

Matrix Representations in Lie Algebras

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Definition

A *Lie Algebra* is a (finite-dimensional) vector space \mathfrak{g} together with a bilinear multiplication $[\cdot, \cdot] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$ that satisfies three properties:

- ① $[x, x] = \mathbf{0}$ for all $x \in \mathfrak{g}$,
- ② $[[x, y], z] + [[y, z], x] + [[z, x], y] = \mathbf{0}$ for all $x, y, z \in \mathfrak{g}$. and
- ③ $[x + y, z] = [x, z] + [y, z]$ and $[\alpha x, y] = [x, \alpha y] = \alpha [x, y] \quad \forall x, y, z \in \mathfrak{g} \text{ and } \alpha \in \mathbb{R}$

Property 1 is known as *alternating*, property 2 is known as the *Jacobi Identity* and property 3 describes *bilinearity*.

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Property 1 is known as *alternating*, property 2 is known as the *Jacobi Identity* and property 3 describes *bilinearity*.

Property 1 and 3 imply another property called *skew symmetry*:

- ④ $[x, y] = -[y, x]$ for all $x, y \in \mathfrak{g}$.

The product $[\cdot, \cdot]$ is known as a *Lie bracket* on \mathfrak{g} .

skew-symmetry

By bilinearity, every alternating product is also skew-symmetric. Indeed, if $[,]$ is alternating then

$$0 = [x + y, x + y] \quad (1)$$

$$= [x + y, x] + [x + y, y] \quad (2)$$

$$= [x, x] + [y, x] + [x + y, y] \quad (3)$$

$$= [x, x] + [y, x] + [x, y] + [y, y] \quad (4)$$

$$0 = [x, y] + [y, x] \quad (5)$$

$$\implies [x, y] = -[y, x] \quad (6)$$

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Conversely, if $[\ , \]$ is skew-symmetric, then $[x, x] + [x, x] = \mathbf{0}$ implies that $2[x, x] = \mathbf{0}$. Now we see that this implies $[x, x] = \mathbf{0}$ so long as our field is not of characteristic 2, for in those spaces $2 = 0$ and we can deduce nothing about $[x, x]$.

The Center

The *center* of a Lie Algebra, \mathfrak{g} is

$$\mathfrak{z} = \{z \in \mathfrak{g} \mid [z, x] = \mathbf{0} \ \forall x \in \mathfrak{g}\}.$$

Thus z , is in the center of \mathfrak{g} if and only if

$$[z, x] = \mathbf{0} \ \forall x \in \mathfrak{g}.$$

The *non-center*, \mathfrak{v} , is given by $\mathfrak{v} = \mathfrak{g} - \mathfrak{z}$

A First Example: One-Step Nilpotent Lie Algebras

Let \mathfrak{g} be any vector space, and define

$$[x, y] = \mathbf{0} \text{ for all } x, y \in \mathfrak{g}.$$

Clearly $[\ , \]$ is alternating:

$$[x, x] = \mathbf{0} \text{ for all } x \in \mathfrak{g}.$$

And the Jacobi Identity is trivial:

$$\begin{aligned} [[x, y], z] + [[y, z], x] + [[z, x], y] &= [\mathbf{0}, z] + [\mathbf{0}, x] + [\mathbf{0}, y] \\ &= \mathbf{0} + \mathbf{0} + \mathbf{0} = \mathbf{0}. \end{aligned}$$

This Algebra is called *Abelian* or *One-Step Nilpotent*.

Two-Step Nilpotent

A Lie Algebra, \mathfrak{g} is called *two-step nilpotent* if and only if

$$[[x, y], z] = \mathbf{0} \quad \forall x, y, z \in \mathfrak{g} \text{ and } z \neq \mathbf{0}$$

- ① Applying the bracket twice to any arbitrary set of three vectors in the Algebra, always returns $\mathbf{0}$.
- ② Applying the bracket once to any arbitrary set of two vectors does not always return $\mathbf{0}$.

\mathbb{R}^3 as a non-Abelian Lie Algebra

Let $x = (x_1, x_2, x_3)$ and $y = (y_1, y_2, y_3)$. The *cross product* of x with y is defined by

$$x \times y = (x_2y_3 - x_3y_2, x_3y_1 - x_1y_3, x_1y_2 - x_2y_1).$$

The cross product is skew symmetric:

$$x \times y = -y \times x \quad \text{for all } x, y \in \mathbb{R}^3.$$

Using some identities from Calc III, we can show that the cross product satisfies the Jacobi Identity:

$$\begin{aligned} & (x \times y) \times z + (y \times z) \times x + (z \times x) \times y \\ &= (x \cdot z)y - (y \cdot z)x + (y \cdot x)z - (z \cdot x)y + (z \cdot y)x - (x \cdot y)z \\ &= 0. \end{aligned}$$

\mathfrak{h}_3 : The Heisenberg Algebra

We can construct another example from \mathbb{R}^3 by defining a different Lie Bracket. Consider a 3-dimensional vector space with basis vectors e_1, e_2, e_3 . Define a Lie bracket on this space by

$$[e_1, e_2] = e_3,$$

with all other brackets equal to 0.

This is called the *Heisenberg Algebra*, and is denoted by \mathfrak{h}_3 .

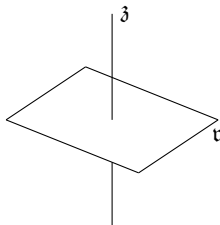


Figure : The Heisenberg Algebra, \mathfrak{h}_3

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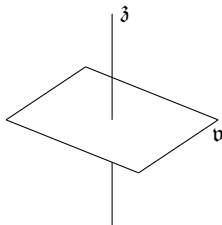


Figure : The Heisenberg Algebra, \mathfrak{h}_3

Can anyone tell me if the Heisenberg Algebra is one-step nilpotent, two-step nilpotent, neither, or both?

Inner Products

An *inner product* on a vector space \mathfrak{g} is a non-degenerate, symmetric, bilinear, positive definite function $\langle \cdot, \cdot \rangle : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$.

- ① If $\langle x, y \rangle = 0$ for all $y \in \mathfrak{g}$, then x must be $\mathbf{0}$;
- ② $\langle x, y \rangle = \langle y, x \rangle$ for all $x, y \in \mathfrak{g}$;
- ③ $\langle ax + by, z \rangle = a\langle x, z \rangle + b\langle y, z \rangle$ for all $x, y, z \in \mathfrak{g}$, $a, b \in \mathbb{R}$.
- ④ $\langle x, x \rangle \geq 0 \ \forall x \in \mathfrak{g}$ and $\langle x, x \rangle = 0 \implies x = \mathbf{0}$

A Lie Algebra with an inner product is called a *Metric Lie Algebra*.

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Example The *dot product* on \mathbb{R}^3 : Let $x, y \in \mathbb{R}^3$; then $x \cdot y = x^T y$. The dot product is given by

$$\langle x, y \rangle = x_1 y_1 + x_2 y_2 + x_3 y_3$$

j -maps

In order to separate the interaction between the center and the non-center of a two-step nilpotent lie algebra, we can define a map, $j_z : \mathfrak{v} \rightarrow \mathfrak{v}$.

j_z is defined by the equation

$$\langle [x, y], z \rangle = \langle y, j_z x \rangle$$

The j -maps are extremely useful because they simultaneously encode information about the algebraic structure and geometric structure of the Lie algebra \mathfrak{g} .

This makes the computation of many seemingly complicated objects “simple” calculations in linear algebra!

The Lie Bracket as a Matrix with Vector entries

In order to do math with Lie Algebras on a computer, we would like to represent the Lie Bracket in a matrix form. Consider the Lie Bracket, with bases e_1, e_2, \dots, e_n

Formally, $L_{ij} = [e_i, e_j]$

$$L = \begin{pmatrix} L_{11} & L_{12} & \cdots & L_{1n} \\ L_{21} & L_{22} & \cdots & L_{2n} \\ \vdots & & \ddots & \vdots \\ L_{n1} & L_{n2} & \cdots & L_{nn} \end{pmatrix}$$

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We will denote the k^{th} entry of L_{ij} as L_{ij}^k .

The Lie Bracket as a Matrix with Vector entries

Recall that the Lie Bracket is a matrix of vectors.

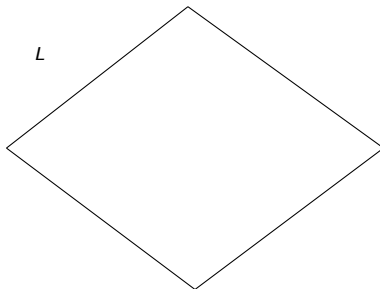


Figure : The Lie Bracket as a Matrix, L

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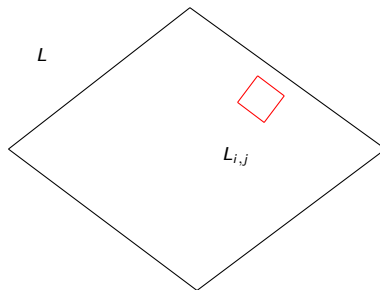


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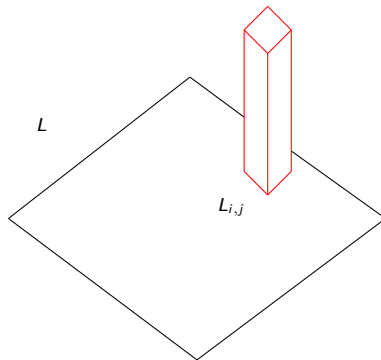
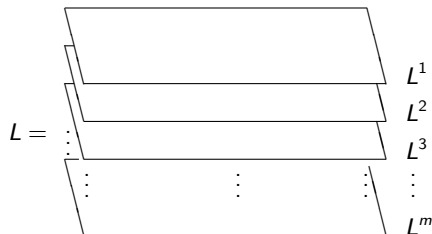


Figure : The Lie Bracket as a Matrix, L

The Lie Bracket as a vector of matrices

It can also be represented by a “stack” of matrices



A vector of matrices.

$$L = \begin{pmatrix} L^1 \\ L^2 \\ \vdots \\ L^m \end{pmatrix}.$$

$$L = \begin{pmatrix} L^1 \\ L^2 \\ \vdots \\ L^k \end{pmatrix}.$$

L can then be arranged in an $n \times n$ matrix

$$L = \begin{pmatrix} L_{11} & L_{12} & \cdots & L_{1n} \\ L_{21} & L_{22} & \cdots & L_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ L_{n1} & L_{n2} & \cdots & L_{nn} \end{pmatrix}.$$

It's nice to see that we can *encode* the bracket into a matrix representation, but how can we use that encoding as the Lie Bracket “map”?

Notice that we can retrieve information about the bases.

$$[e_1, e_2] = L_{12}$$

$$L = \begin{pmatrix} L_{11} & L_{12} & \cdots & L_{1n} \\ L_{21} & L_{22} & \cdots & L_{2n} \\ \vdots & & \ddots & \vdots \\ L_{n1} & L_{n2} & \cdots & L_{nn} \end{pmatrix}$$

So it will be enough find a way to write all Lie Brackets as a linear combination of basis brackets.

Lie Bracket as Linear Combination of Bases

We can write $[x, y]$ as a linear combination of their component vectors' brackets.

Let's look at an example of a 2 dimensional space

Let $x, y \in \mathfrak{g}$ with

$$x = w_1 e_1 + w_2 e_2$$

$$y = v_1 e_1 + v_2 e_2$$

$$\begin{aligned}\text{So } [x, y] &= [w_1 e_1 + w_2 e_2, v_1 e_1 + v_2 e_2] \\ &= [w_1 e_1, v_1 e_1 + v_2 e_2] + [w_2 e_2, v_1 e_1 + v_2 e_2] \\ &= [w_1 e_1, v_1 e_1] + [w_1 e_1, v_2 e_2] + [w_2 e_2, v_1 e_1] + [w_2 e_2, v_2 e_2] \\ &= w_1 v_1 [e_1, e_1] + w_1 v_2 [e_1, e_2] + w_2 v_1 [e_2, e_1] + w_2 v_2 [e_2, e_2] \\ &= w_1 v_1 L_{11} + w_1 v_2 L_{12} + w_2 v_1 L_{21} + w_2 v_2 L_{22}\end{aligned}$$

This means that the Lie Bracket is fully described by the matrix, L

Generalizing the Lie Bracket

As before, we'll investigate the 2 dimensional example.

Let

$$L = \begin{pmatrix} L_{11} & L_{12} \\ L_{21} & L_{22} \end{pmatrix},$$

with

$$x = \begin{pmatrix} w_1 \\ w_2 \end{pmatrix}, \quad y = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$

$$x^T L = (w_1 w_2) \begin{pmatrix} L_{11} & L_{12} \\ L_{21} & L_{22} \end{pmatrix} = (w_1 L_{11} + w_2 L_{12}, w_1 L_{21} + w_2 L_{22})$$

$$\begin{aligned} (x^T L)y &= (w_1 L_{11} + w_2 L_{12}, w_1 L_{21} + w_2 L_{22}) \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \\ &= (w_1 v_1 L_{11} + w_2 v_1 L_{12} + w_1 v_2 L_{21} + w_2 v_2 L_{22}) \end{aligned}$$

That is $[x, y] = x^T L y$

The Lie Bracket as a Matrix with Vector entries

Let's take another look at L

Consider the Lie Bracket, with bases e_1, e_2, \dots, e_n

Formally, $L_{ij} = [e_i, e_j]$

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What is special about $[e_i, e_i]$?

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Given $[e_i, e_j]$, what do we know about $[e_j, e_i]$?

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Given $[e_i, e_j]$, what do we know about $[e_j, e_i]$?

$$[e_i, e_j] = -[e_j, e_i]$$

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Consider the map $[e_1,] : \mathfrak{g} \rightarrow \mathfrak{g}$.

Can anyone see the matrix representation of $[e_1,]$?

The Lie Bracket as a Matrix with Vector entries

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Example Abelian \mathbb{R}^3

What does Abelian \mathbb{R}^3 look like in this form?

Recall that a Lie Algebra is Abelian if

$$[x, y] = \mathbf{0} \quad \forall x, y \in \mathbb{R}^3$$

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

What is the center of Abelian \mathbb{R}^3 ?

Examples of Cross Product over \mathbb{R}^3

What does the the cross product on \mathbb{R}^3 look like in this form?

$$[e_1, e_2] = e_3$$

$$[e_1, e_3] = -e_2$$

$$[e_2, e_3] = e_1$$

$$\begin{pmatrix} \mathbf{0} & e_3 & -e_2 \\ -e_3 & \mathbf{0} & e_1 \\ e_2 & -e_1 & \mathbf{0} \end{pmatrix}$$

What is the center of \mathbb{R}^3 with the cross product?

\mathfrak{h}_3 's Lie Bracket

How could the three dimensional Heisenberg Algebra be represented?

$[e_1, e_2] = e_3$ for bases $e_1, e_2, e_3 \in \mathfrak{h}_3$

And all other brackets zero

$$\begin{pmatrix} 0 & e_3 & 0 \\ -e_3 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

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What is the center of \mathfrak{h}_3 ?

The center is the span of $\{e_3\}$.

Inner Product as a Matrix

The Inner Product can be represented by a matrix very similarly to the Lie Bracket.

$$\langle e_i, e_j \rangle = E_{ij}$$

$$E = \begin{pmatrix} E_{11} & E_{12} & \cdots & E_{1n} \\ E_{21} & E_{22} & \cdots & E_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ E_{n1} & E_{n2} & \cdots & E_{nn} \end{pmatrix}$$

Recall that $\langle e_i, e_j \rangle = \langle e_j, e_i \rangle$

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Recall also that the inner product has additional properties.

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Postive Definite: $\langle x, x \rangle \geq 0$ and $\langle x, x \rangle = 0 \implies x = \mathbf{0}$

And $\mathbf{0}$ cannot be a basis vector because it is linearly dependent with all vectors.

So $e_{ii} > 0$

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Dot Product on \mathbb{R}^3

The Dot Product can be represented in this way.

$$\langle e_i, e_j \rangle = 0$$

$$\langle e_i, e_i \rangle = 1$$

$$E = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Matrix representation of the j -maps

Let \mathfrak{n} be a 2-step nilpotent Lie Algebra with \mathfrak{v} as the non-center and \mathfrak{z} as the center.

Recall that the Lie bracket on a 2-step nilpotent Lie algebra is a bilinear map $[,] : \mathfrak{v} \times \mathfrak{v} \rightarrow \mathfrak{z}$.

So for every $z \in \mathfrak{z}$, one can define a linear transformation $j_z : \mathfrak{v} \rightarrow \mathfrak{v}$ by the identity

$$\langle y, j_z(x) \rangle_{\mathfrak{v}} = \langle z, [x, y] \rangle_{\mathfrak{z}}.$$

We can then use the same methods as before to construct matrix representations of $\langle , \rangle_{\mathfrak{v}}$ and $[,]$ to find a matrix representation for j_z .

Matrix representation of the j -maps

By linearity, we will know how to construct any j -map, if we know the j -maps corresponding to basis vectors of \mathfrak{z} .

Suppose $\mathfrak{z} = \text{span}\{z_1, z_2, \dots, z_m\}$.

Then for any z_k , the j -map $j_{z_k} : \mathfrak{v} \rightarrow \mathfrak{v}$ is given by

$$\begin{aligned}\langle y, j_{z_k}(x) \rangle_{\mathfrak{v}} &= \langle z_k, [x, y] \rangle_{\mathfrak{z}} \\ y^T E(J_{z_k} x) &= z_k^T (x^T L y) \\ y^T (E J_{z_k}) x &= y^T (L^k)^T x\end{aligned}$$

taking advantage of some clever stack-matrix manipulations.

Matrix representation of the j -maps

Since $y^T(EJ_{z_k})x = y^T(L^k)^Tx$ for arbitrary x and y in \mathfrak{v} , we deduce that $EJ_{z_k} = (L^k)^T$.

Since $\det(E) \neq 0$, we may solve for J_{z_k} to obtain

$$J_{z_k} = E^{-1}(L^k)^T \in \mathbb{R}^{n \times n}.$$

If $z = \zeta_1 z_1 + \zeta_2 z_2 + \cdots + \zeta_m z_m$, where ζ_i are coefficients of the linear combination of z_i , then the map j_z is represented by the matrix

$$J_z = \zeta_1 J_{z_1} + \zeta_2 J_{z_2} + \cdots + \zeta_m J_{z_m}.$$

The matrix J as a stack

The j -maps of a 2-step nilpotent Lie algebra can be described by a stack of matrices of the same type as L .

Indeed, if we let $J^k = J_{z_k}$,
 then

$$J = \begin{pmatrix} J^1 \\ J^2 \\ \vdots \\ J^m \end{pmatrix}$$

is an $(m \times 1)$ stack of $(n \times n)$ matrices.

Then for $z = (\zeta_1, \zeta_2, \dots, \zeta_m)^T \in \mathfrak{z}$, the map j_z is represented by

$$J_z = z^T J.$$

The equation $y^T(EJ_z)x = y^T(z^t L^T)x$ must hold for arbitrary vectors x and y in \mathfrak{v} , implying that

$$EJ_z = z^t L^T.$$

Since $\det(E) \neq 0$, it is invertible and

$$J_z = E^{-1}(z^t L^T). \quad (7)$$

This gives us a method to compute the j -map for any z using only matrix computations. Furthermore, if $z = z_k$ is a basis vector of \mathfrak{z} , then $z_k^t L^T$ is just $(L^k)^T$. Thus, for basis vectors z_k , the map j_{z_k} is represented by the matrix

$$J_{z_k} = E^{-1}(L^k)^T.$$

Since the j -maps are linear, knowing how they act on a basis of \mathfrak{z} is good enough. Indeed, we should be able to define a stack of j -maps by

$$J = E^{-1} L^T. \quad (8)$$

The j -map j_z *should* then be $J_z = z^t J$.

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