My research vision is to bring together systems and natural language processing (NLP) research to make language processing systems and applications more **energy-efficient**, **privacy-preserving**, and **run faster** and more **widely applicable** to heterogeneous hardware. My current research focus is question answering (QA) systems.

QA systems essentially power many real-world applications ranging from intelligent personal assistants (like Alexa or Siri) to commercial search engines such as Google and Bing. However, QA systems are evolving rapidly, and the QA models are moving targets; each uses a more complex deep learning model than the previous iteration. These models run in the cloud and require expensive energy and compute resources. This also means they cannot run on mobile devices, making on-device, privacy-preserving QA impractical. Existing research has centered on smaller NLP models by designing compact architectures or compressing large models; both require huge retraining costs. My research adopts a different paradigm to make NLP systems like QA run efficiently: digging deeper into which components in the NLP models are effective and how they interact with hardware resources (like CPU, memory, and GPU). I leverage these two pieces of information to model and optimize the system's power and performance.

My work combines systems principles with a deep understanding of NLP models. For example, I show how to run complex NLP models on mobile devices using fine-grained bottleneck and critical path analysis and exploring data caching and reuse opportunities [**MobiSys'19, EMDL'17**]. I have also made contributions in efficient NLP models by developing efficient model architecture variants that identifies and removes the representation dependency in the attention blocks of Transformers [**ACL'20**]. More recently, I have focused on modeling the energy consumption of large NLP models, preliminary results [**SustaiNLP'20**] show existing software energy measurements without calibration are problematic and using hardware power meters provide more accurate energy measurements. Earlier in my research, I worked on the UIWear [**MobiCom'17**] project that made mobile applications more accessible and usable to different form-factors. Additionally, I researched how to make web QA systems more practical for dynamic business-related queries [work under submission]. In what follows, I describe concrete examples that paint a picture of my research career so far.

Efficient Privacy-Preserving On-Device NLP Systems. On the systems side, my work focuses on developing on-device NLP systems that preserve data privacy and provide device-wide capabilities for mobile users. Existing deep learning QA systems are designed for the cloud and cannot run efficiently on mobile phones. To address this problem, I developed DeQA [**MobiSys'19**], a suite of latency- and memory- optimizations that adapt state-of-the-art QA systems to run locally on mobile devices. DeQA effectively reduces QA latency on mobile phones *from over a minute to under 5s*. DeQA moves the neural encoding computation off the critical path by pre-computing them, and then loads this representation on-demand at runtime. DeQA also uses a dynamic early stopping algorithm that predicts when further processing will not yield better accuracy, so paragraph processing can be stopped early. DeQA reduces memory requirements by loading paragraph-level partial index into memory and replacing in-memory embeddings lookups with a key-value database. Earlier in my research, I developed the MobiRNN [**EMDL'17**@MobiSys] framework to run RNNs on the mobile phone GPUs efficiently. The core idea is to group the cells in RNNs in a coarse-grained manner so that the underlying low-level compute library can automatically decide offloading units to avoid scheduling overheads. The key takeaway of my work is that studying the interactions between the NLP models and system resources

can yield optimization opportunities that will otherwise not be visible.

Efficient Models and Practical Systems for Sustainable NLP. In DeQA and MobiRNN, I looked at systems optimizations to run QA on mobile devices. However, state-of-the-art NLP models like large pre-trained Transformers are becoming more effective in many NLP tasks including QA. These Transformer models are indispensable for web-scale QA services like Google and Bing search, but consume enormous computing resources and are expensive to run even in the cloud. My research focuses on developing efficient NLP algorithms that run faster and are more energy efficient for both the mobile and cloud. To solve large Transformers' resource efficiency problems for QA tasks, I designed DeFormer [**ACL'20**], which decomposes Transformer-based NLP models such as BERT to remove the dependencies between question and paragraph processing in the lower layers of the model. This decomposition allows DeFormer to precompute paragraph processing, improving QA inference latency by *over 4 times* without sacrificing accuracy. A key design decision in DeFormer is to decompose Transformer models without requiring pre-training, so that this expensive process does not have to be repeated.

Current Work: Estimating the Energy Consumption of NLP Models. Studying the energy consumption of NLP models is critical for reducing the server costs in training and inference in large models and deploying models to battery-powered mobile devices. However, existing energy work in NLP often underestimates the challenges and uses uncalibrated software measurement approaches. I recently studied the hardware-based approach to accurately measure energy and quantify the error ($>20\%$) of existing software measurements [**SustaiNLP'20**]. I found that existing utilization-based software methods are inaccurate and cause misleading design choices because they do not address non-utilization power-hungry behaviors like data movements in GPUs. My current work [**ACL'21** under review] addresses these challenges by (1) designing meaningful energy relevant features of both the NLP model abstractions and runtime hardware resources; and (2) developing a multi-level regression approach to provide not only accurate energy estimation but also interpretable energy analysis for the NLP models.

Proposed Work. My long-term research goal is to build efficient and privacy-preserving intelligent systems and applications for diverse devices. In the future, I strongly believe it is promising to work on the following research problems:

(1) **Novel NLP Algorithms for Energy and Data Efficiency.** Existing successful NLP models are pre-trained on large amounts of data. However, this trend is unsustainable as the computing resources cannot support exponentially growing models and data volumes (e.g., GPT3 has 175 billion parameters and was trained on 400 billion tokens). I propose to design new practical NLP algorithms with energy efficiency as the primary target, which will make NLP research more sustainable and reduce carbon impacts on society. My initial work on accurate energy estimation of NLP models has helped set up a measurable energy metric towards this broader goal. It is crucial to explore further the design and optimization space of NLP algorithms for best energy-efficiency and task performance tradeoffs. My prior work in DeFormer focuses on reducing the model computations. It is also compelling to focus on the data dimension to make NLP more efficient. For example, one promising direction is to exploit the inherent linguistic structures and patterns in the text data, making NLP algorithms learn faster and use less data.

(2) **Efficient and Privacy-Preserving Multi-Modality Systems.** NLP research advances rapidly, while the need for deployments to heterogeneous devices is ever increasing. It is insufficient to focus on specific models that work only for text. Visual and linguistic information, along with sensory data, is essential to understand our daily environments. For example, on-device multi-modal QA such as visual question answering (VQA) can help over 280 million people in the world who are visually-impaired access information and finish daily tasks without privacy issues. My past projects, like DeQA and MobiRNN, mostly research text-based QA systems. I plan to broaden the research scenarios to include multi-modal systems (such as visual question answering). However, on-device multi-modal applications present unique challenges; for example, processing images or videos consume much energy on battery-powered devices. Research multi-modal applications will make systems and NLP optimizations scale to a broader range of future NLP applications that run faster with fewer resources and energy footprints on more diverse hardware.

UChicago has many world-renowned researchers collaborating frequently and doing high impact research. The rapidly-growing data science and AI ecosystem make it even more vibrant and an ideal place to do cutting-edge interdisciplinary data science and AI research. I have learned more about the community by meeting with peers and researchers via the Rising Stars in Data Science workshop. I can see many collaboration opportunities on a wide range of research topics that are both of my interests and UChicago researchers'. For example, my research addresses privacy issues by running machine learning systems locally on devices. Professor Heather Zheng's group has studied protecting the input data to preserve user privacy in the ML system, aligning with my research vision to address privacy issues. I have also worked on improving data and ML model efficiency for unstructured text data. Professor Nick Feamster's group has researched efficient data representations for network traffic. Still, it is interesting to investigate how the ideas apply to domain-specific structured data like network packets. Looking at the broader UChicago community, I'm also excited about the research led by Professor Allyson Ettinger from the department of linguistics and Professor Kevin Gimpel from TTIC. For example, instead of modifying the execution as I did in DeFormer work, their group has improved transformer efficiency by adding recurrence to the representation window of texts. I believe many more collaboration opportunities can fit both UChicago's data science research vision and my research agenda together.

References

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