

# Topics in Machine Learning

## Machine Learning for Healthcare

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# Outline

- Machine learning thus far
  - Supervised learning
  - Unsupervised learning
  - Semi-supervised learning
- Self-supervised learning
- Case study : Histopathology

# Supervised learning

$x_1$   $y_1$

$x_2$   $y_2$

$x_3$   $y_3$

Dataset (N=3)

- Given a dataset, the model parameters are learned via **maximum likelihood estimation**

$$\mathcal{L}(y, x) = \log p(y|x; \theta)$$

Score function (high is good, low is bad)

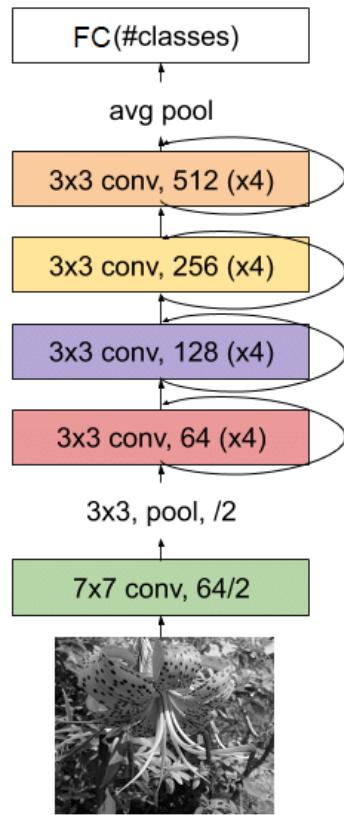
$$\theta = \arg \max_{\theta} \sum_{i=1}^N \mathcal{L}(y_i, x_i)$$

Solve this optimization problem to **learn** the model. Often formulated as a minimization of the negative of the log-likelihood function

Deep neural networks typically learned using tools that leverage automatic differentiation

 PyTorch

# Deep residual neural networks



Researchers found that deep networks had a hard time learning the identity function.

They added a skip-connections between layers:

$$h_k = \phi(conv(h_{k-1})) + \sum_{j < k-1} h_{k-j}$$

Deep Residual Learning for Image Recognition, He et. al, 2015

# Limitations of supervised learning

- Deep neural networks have proven very successful in learning useful representations of image data from large datasets
- Models like AlexNet, ResNet trained on imagenet capture features useful for multiple different tasks
- For a new task:
  - Need fine-grained labels associated with each example
  - Standard approach: Use a pre-trained imagenet model and fine-tune on new dataset
- Self-supervised learning:
  - What if we do not need labels to learn good representations?

# Unsupervised learning

$x_1$

$x_2$

$x_3$

Dataset (N=3)

$$\mathcal{L}(x) = \log p(x; \theta)$$

Score function (high is good, low is bad)

$$\theta = \arg \max_{\theta} \sum_{i=1}^N \mathcal{L}(x)$$

Solve this optimization problem to **learn** the model. Often formulated as a minimization of the negative of the log-likelihood function

# Semi-supervised learning

$x_1$   $y_1$

$x_2$

$x_3$   $y_3$

Dataset (N=3)

$$\theta = \arg \max_{\theta} \sum_{i=1}^3 \mathcal{L}(x; \theta) + \mathcal{L}(y_1 | x_1; \theta_2) + \mathcal{L}(y_3 | x_3; \theta_2)$$

- Have a combination of labelled and un-labelled data in your dataset

# Unsupervised and semi-supervised learning of high-dimensional images is hard

- Even if there is a small space of concepts unsupervised models of image data are challenging to build
- Need a good model of each pixel in the image.
- Recently there has been a lot of work in leveraging generative adversarial networks for this problem
- Idea: Can we build representations without labels and without modeling each pixel as a random variable?

# Self-supervised learning

- Recent (last 4-5 years) development in machine learning
- **Principle:** Leverage domain knowledge about what kinds of information the representation should contain when building it
- Learn about self-supervised learning by examples

# Notation

$\phi$

- Feature function [Resnet]
- Transformation of an image
  - [random crop, rotation, jittering, color normalization]
  - Preserves the identity of the image

$\mathcal{T} : x \rightarrow \tilde{x}$

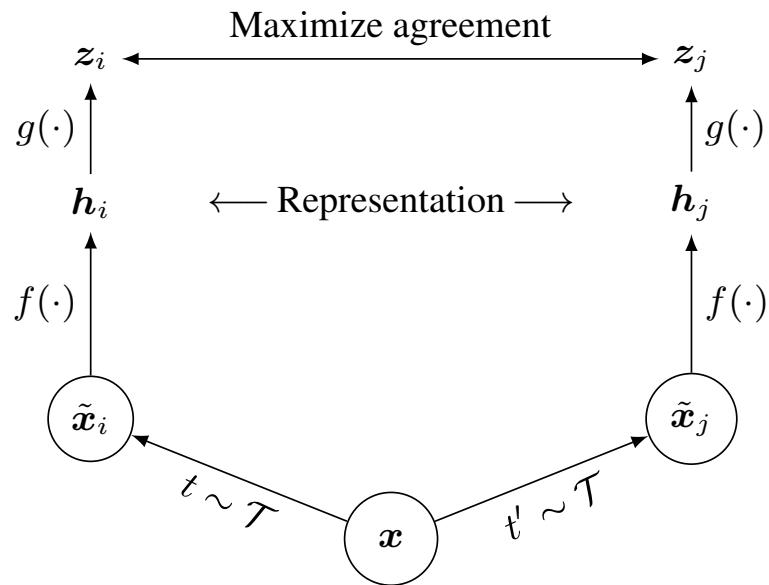
- Similarity function
  - Measure of similarity of two vectors
  - Mean squared error, cosine similarity

$\text{sim}(k, k')$

# SSL 1 - Learning with contrastive examples

- A Simple Framework for Contrastive Learning of Visual Representations, Chen et. al, ICML 2020
- **Builds upon earlier work:** Unsupervised Feature Extraction by Time-Contrastive Learning and Nonlinear ICA, Hyvarinen et. al

# SIMCLR: Self-supervised learning with contrastive examples



Randomly sample a mini-batch of datapoints.

Minimize loss below

**Goal:** Learn representations that recognize that the class of transformations in  $\mathcal{T}$  preserve identity.

Note: No labels used.

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}, \quad (1)$$

# How good are the representations?

A Simple Framework for Contrastive Learning of Visual Representations

	Food	CIFAR10	CIFAR100	Birdsnap	SUN397	Cars	Aircraft	VOC2007	DTD	Pets	Caltech-101	Flowers
<i>Linear evaluation:</i>												
SimCLR (ours)	<b>76.9</b>	<b>95.3</b>	80.2	48.4	<b>65.9</b>	60.0	61.2	<b>84.2</b>	<b>78.9</b>	89.2	<b>93.9</b>	<b>95.0</b>
Supervised	75.2	<b>95.7</b>	<b>81.2</b>	<b>56.4</b>	64.9	<b>68.8</b>	<b>63.8</b>	83.8	<b>78.7</b>	<b>92.3</b>	<b>94.1</b>	94.2
<i>Fine-tuned:</i>												
SimCLR (ours)	<b>89.4</b>	<b>98.6</b>	<b>89.0</b>	<b>78.2</b>	<b>68.1</b>	<b>92.1</b>	<b>87.0</b>	<b>86.6</b>	<b>77.8</b>	92.1	<b>94.1</b>	97.6
Supervised	88.7	98.3	<b>88.7</b>	<b>77.8</b>	67.0	91.4	<b>88.0</b>	86.5	<b>78.8</b>	<b>93.2</b>	<b>94.2</b>	<b>98.0</b>
Random init	88.3	96.0	81.9	<b>77.0</b>	53.7	91.3	84.8	69.4	64.1	82.7	72.5	92.5

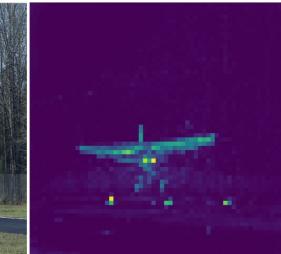
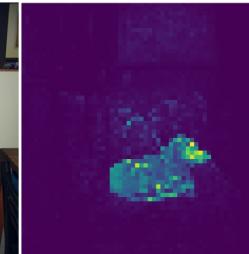
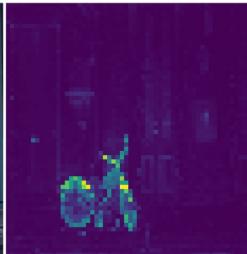
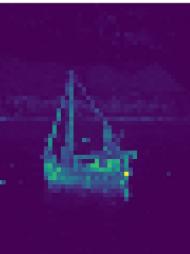
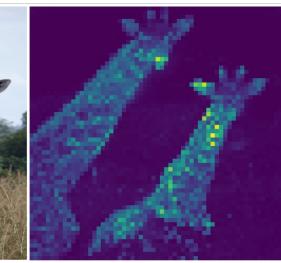
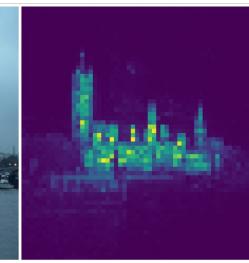
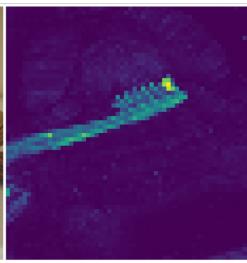
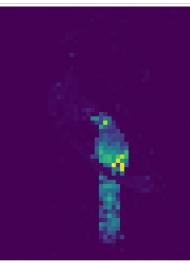
Table 8. Comparison of transfer learning performance of our self-supervised approach with supervised baselines across 12 natural image classification datasets, for ResNet-50 ( $4\times$ ) models pretrained on ImageNet. Results not significantly worse than the best ( $p > 0.05$ , permutation test) are shown in bold. See Appendix B.8 for experimental details and results with standard ResNet-50.

# SSL 1 - Learning without contrastive examples

- In the above examples, the quality of representations will depend on the choice of negative examples used.
- Can we learn without negative examples?
- [DINO: Emerging Properties in Self-Supervised Vision Transformers, Caron et. al, 2021](#)
  - Key idea: Instead of comparing the representations with respect to random negative examples, compare the representation to a different crop of itself



# DINO



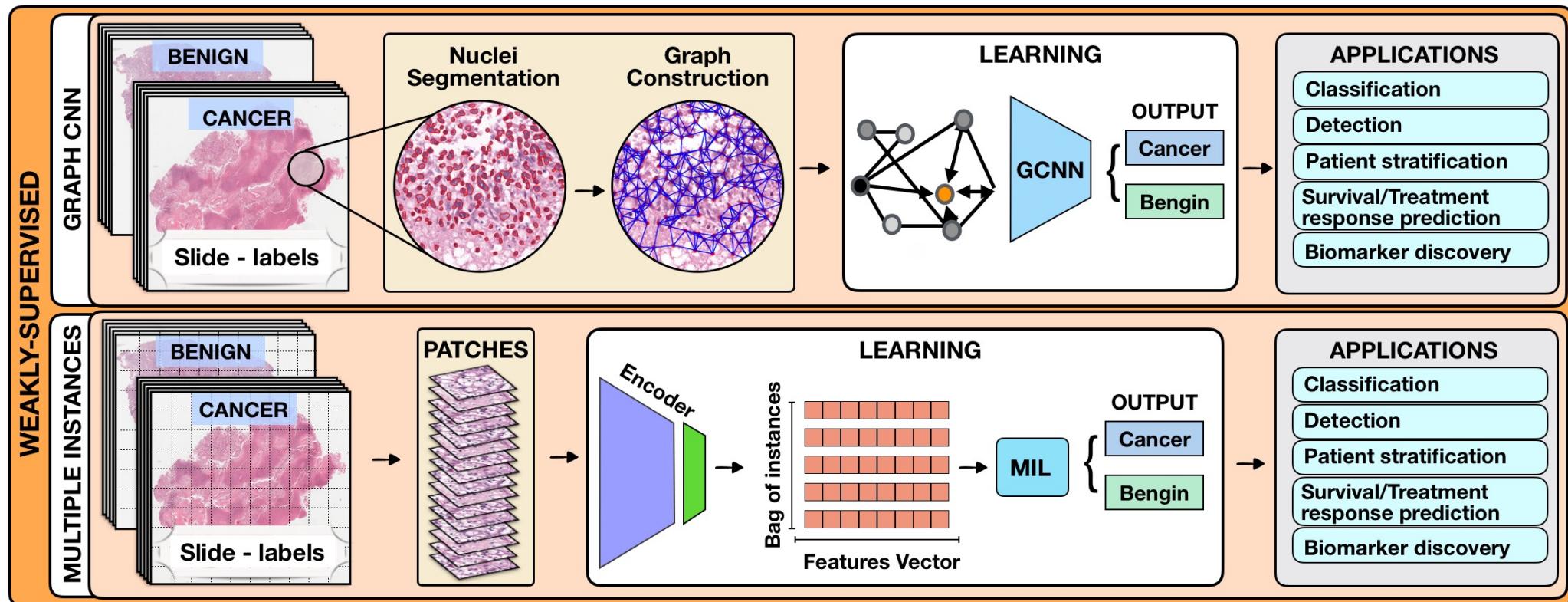
# Case study : Deep learning for histopathological image data

- Research by Richard J. Chen
- 3<sup>rd</sup> year Ph.D. Candidate, Harvard University / BWH, Broad Institute

# Histopathological images in the clinical workflow

- Histopathology: Microscopic examination of tissue to study diseases and their different presentations,
- Pipeline:
  - Surgery, biopsy or autopsy for excision of tissue
  - Placed in a fixative to stabilize tissue
  - Investigated under a microscope
- Histopathological images are routinely used for clinical diagnoses of cancer
  - **Key question: How can we use machine learning to build representations of histopathological image data?**

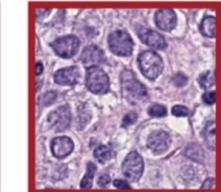
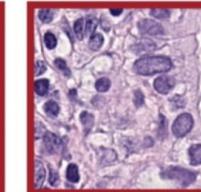
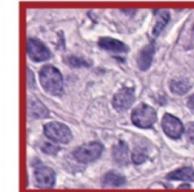
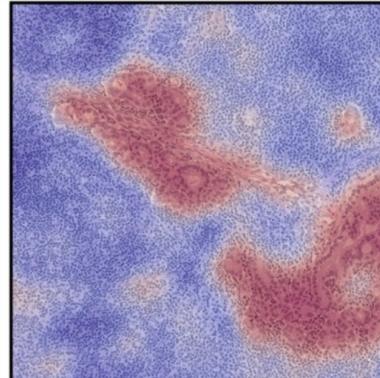
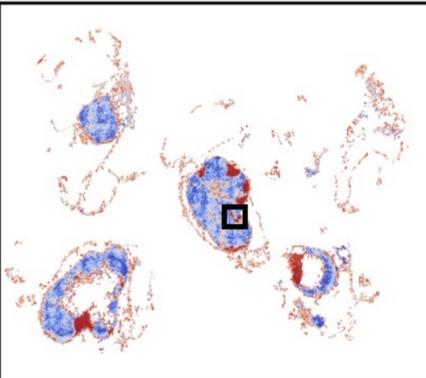
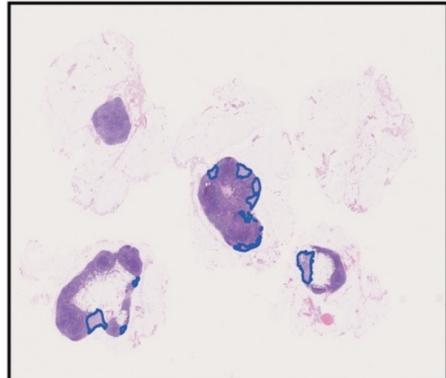
# Slide-Level Supervised Learning (Weak Supervision)



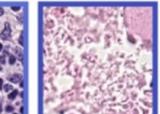
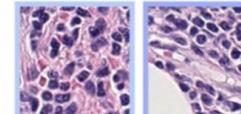
Lipkova *et al.* 2021, In Review

## Weakly-Supervised Learning: Finding Needles in Haystacks via Attention

Positive



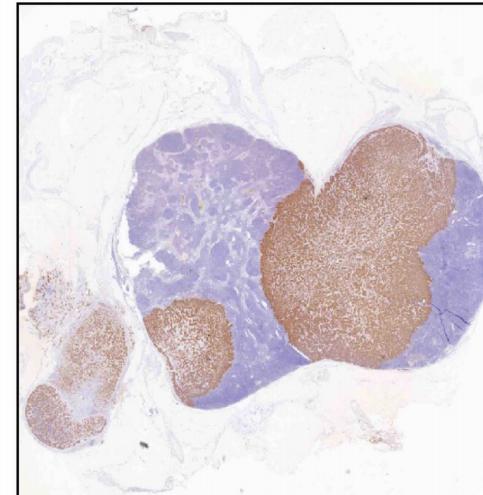
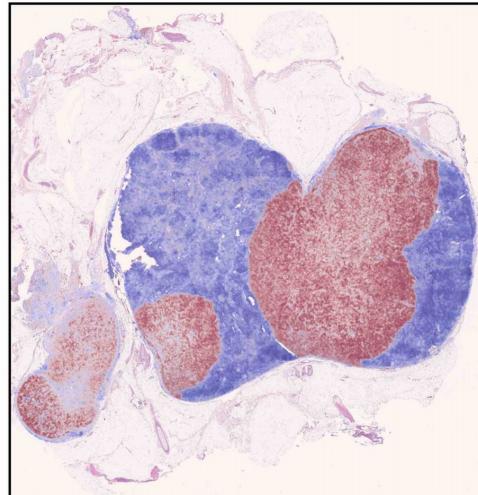
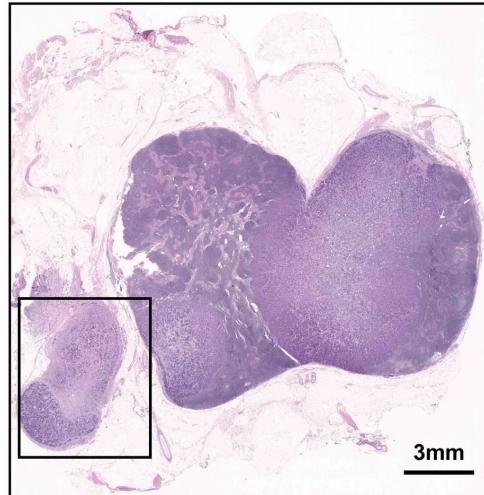
Larger epithelioid cells with nuclear irregularity and increased cytoplasm in a background of small lymphocytes



WSI (H&E)

Attention Map

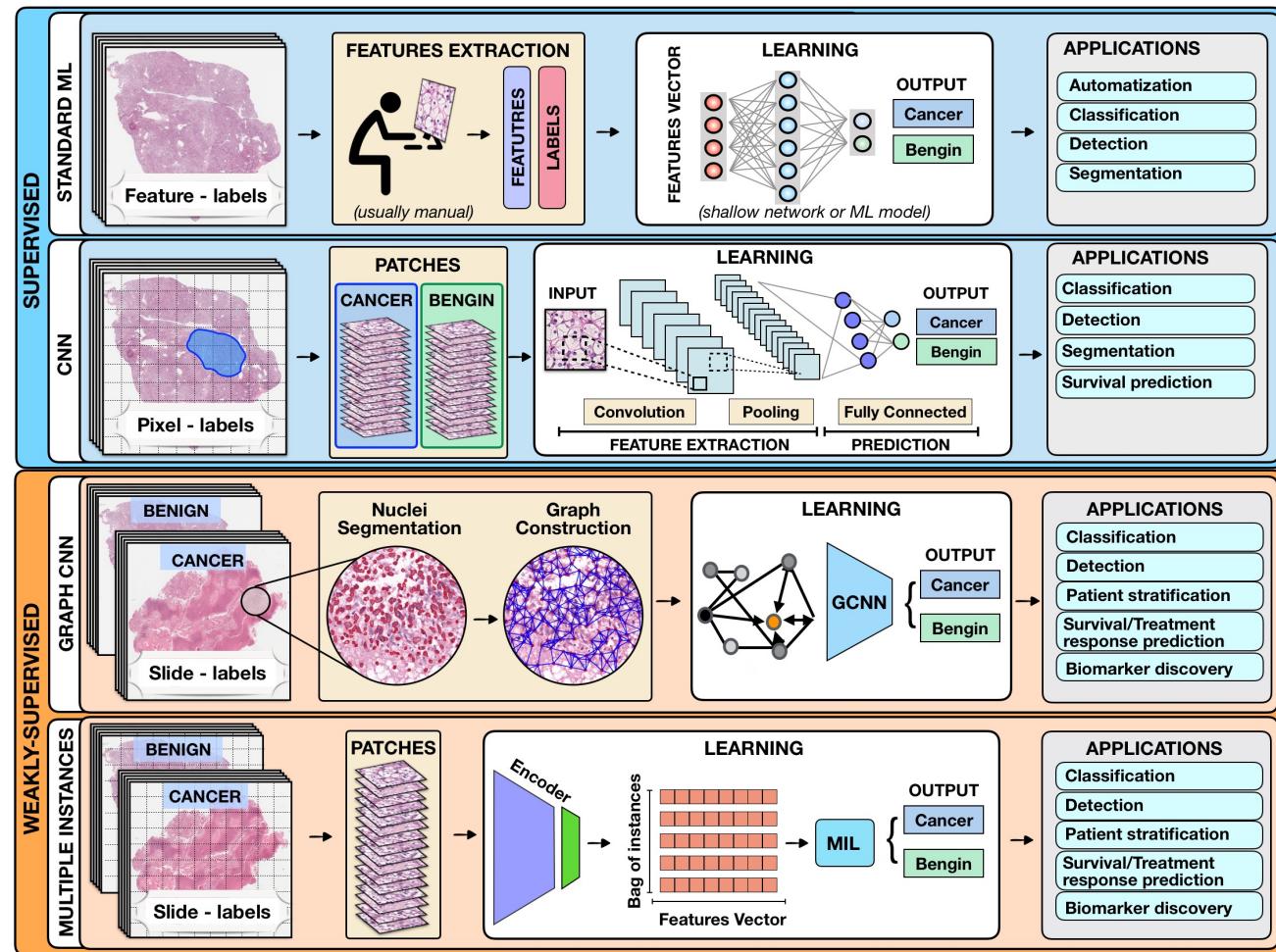
AE1/AE3



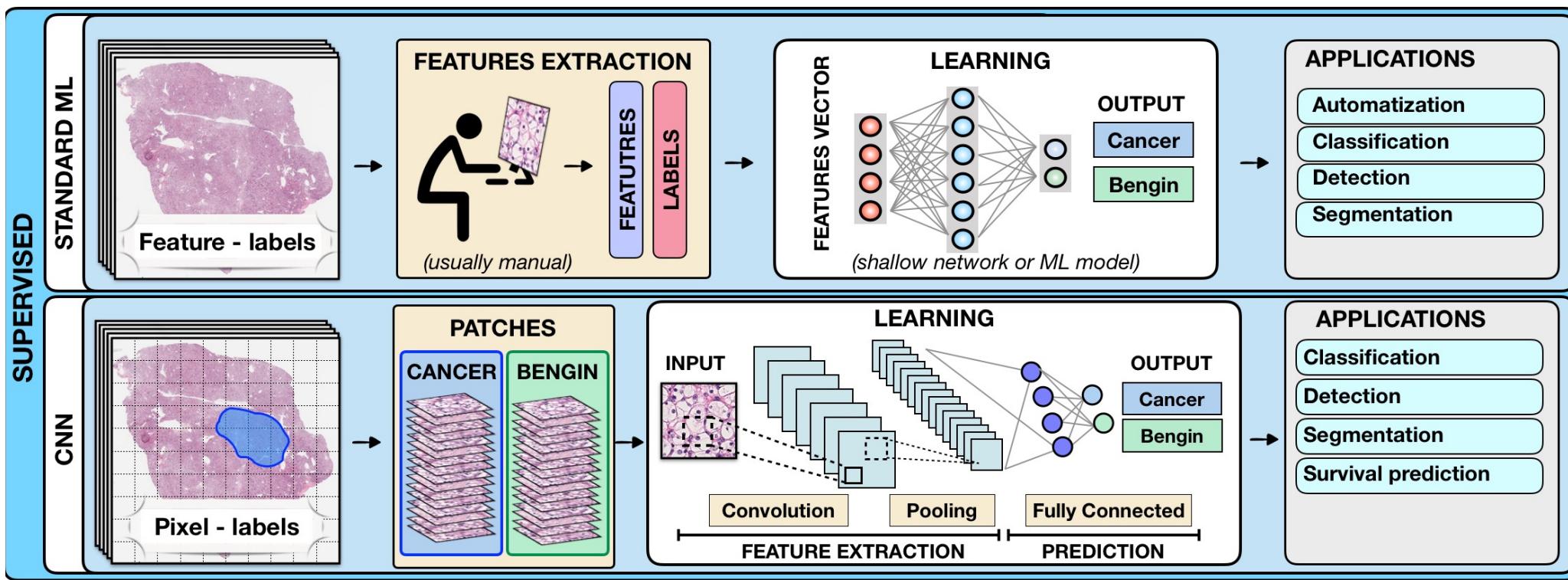
- Attention weights **saliently localize tumor regions** in binary classification tasks of benign / metastasis

# Current Paradigm is limited by: Clinical Domain Knowledge

- Requires clinical domain knowledge to:
  1. label image regions in WSIs with known morphological phenotypes (**patch-level tasks**)
  2. Make prognostic decisions from subjective interpretation of the entire WSI (**slide-level tasks**)
- How can we identify new phenotypic biomarkers?
- What are we missing in current decision-making that can guide prognosis?

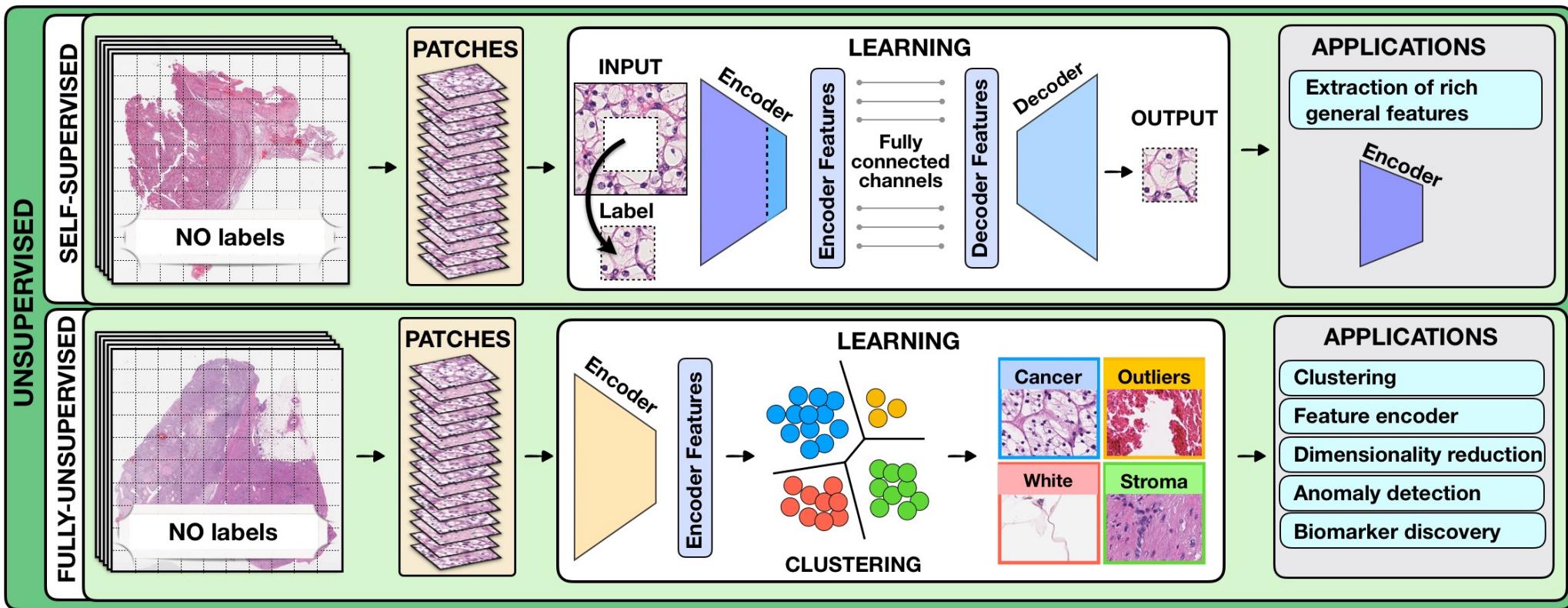


## Current Paradigm is limited by: Clinical Domain Knowledge



Current pipelines for creating representations of whole slide images make use of ResNet50 architectures pretrained on imangenet.

# Self-Supervised Learning: Pixel-Level Annotations are Not Needed!

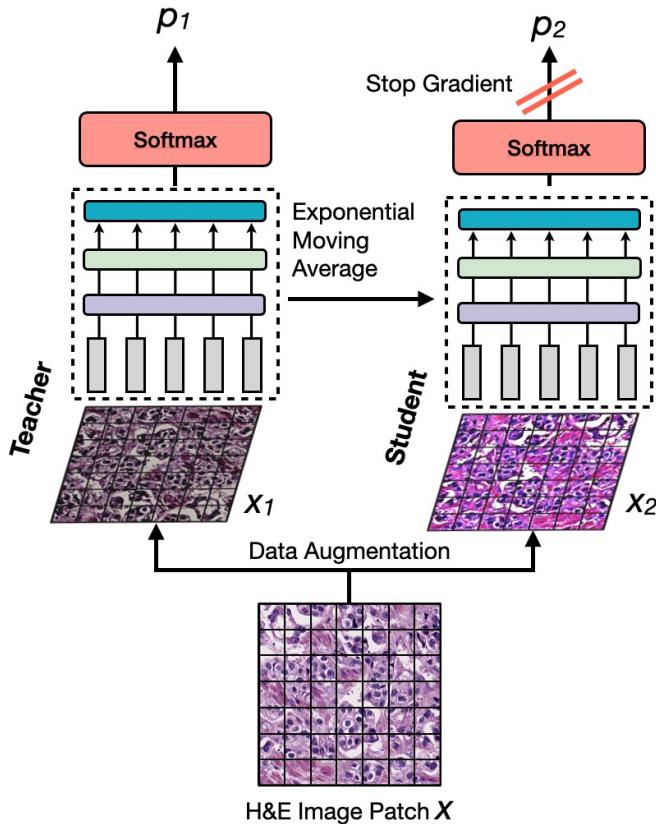


Lipkova *et al.* 2021, In Review, Ciga et. al

We build upon recent work [Resource and data efficient self supervised learning, Ciga et. al, 2021] who show that self-supervision yields general purpose representations of histopathological images

# DINO-based Knowledge Distillation for Patch-based Representations

Loss Function:  $p_1 \log (p_2)$



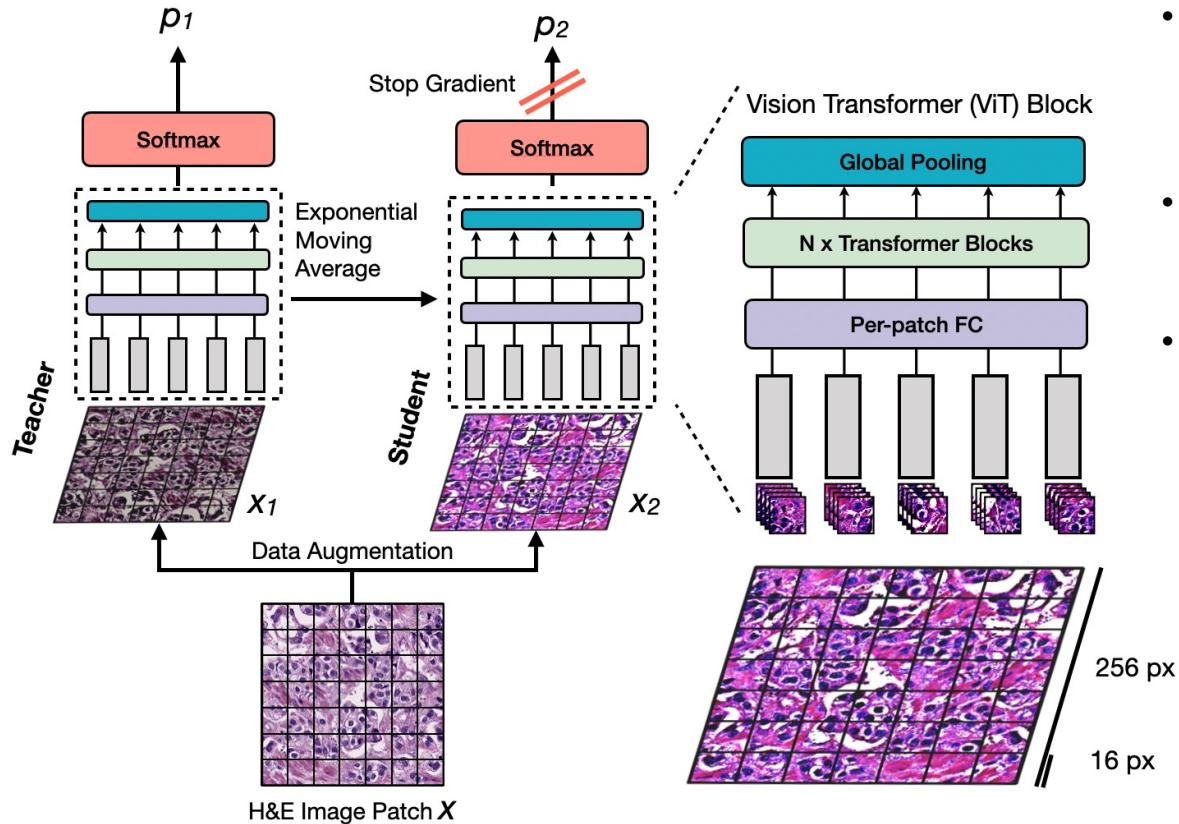
## DINO

- We wanted to study the use of non-contrastive self-supervised learning for creating representations
- Input:
  - Two crops with color contrasts from the same image
- Goal of self-supervised learning:
  - Teach the network that these two crops are from the same image
  - Output of student network is trained to match the distribution of teacher network via minimizing cross-entropy loss
  - Avoid network collapse by having two networks
    - Train the student via gradient descent
    - Teacher is **not trained**, weights are updated via exponential moving average from students
- Does not require negative samples
  - Data inductive biases in natural images may not hold in H&E pathology slides

DINO: Emerging properties in self-supervised vision transformers, Caron et. Al, 2021

# DINO-based Knowledge Distillation for Patch-based Representations

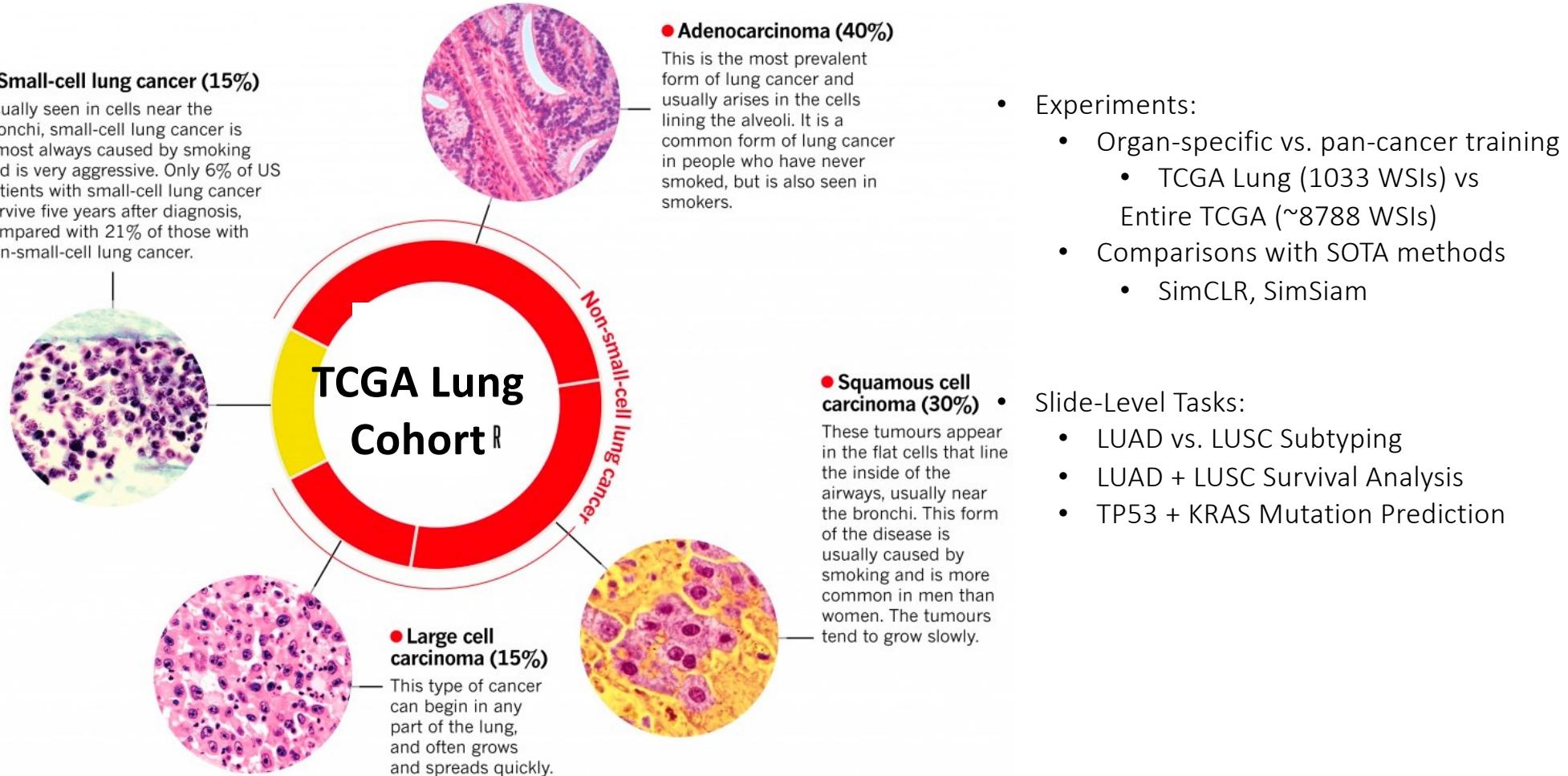
Loss Function:  $p_1 \log (p_2)$



## DINO

- Output of student network is trained to match the distribution of teacher network via:
  - minimizing cross-entropy loss
  - EMA to update teacher network
- Does not require negative samples
  - Data inductive biases in natural images may not hold in H&E pathology slides
- Vision Transformer (ViT) used as encoder
  - 256 x 256 H&E tissue patches are further patched as 16 x 16 patch embeddings

# Study Design



- Experiments:

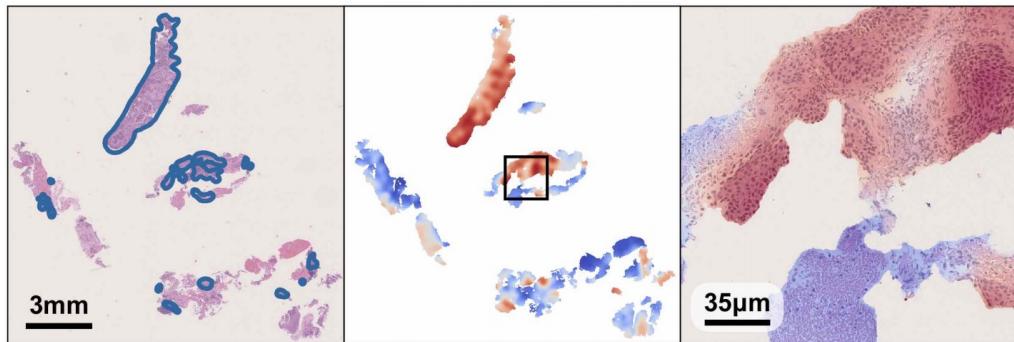
- Organ-specific vs. pan-cancer training
  - TCGA Lung (1033 WSIs) vs Entire TCGA (~8788 WSIs)
- Comparisons with SOTA methods
  - SimCLR, SimSiam

- Slide-Level Tasks:

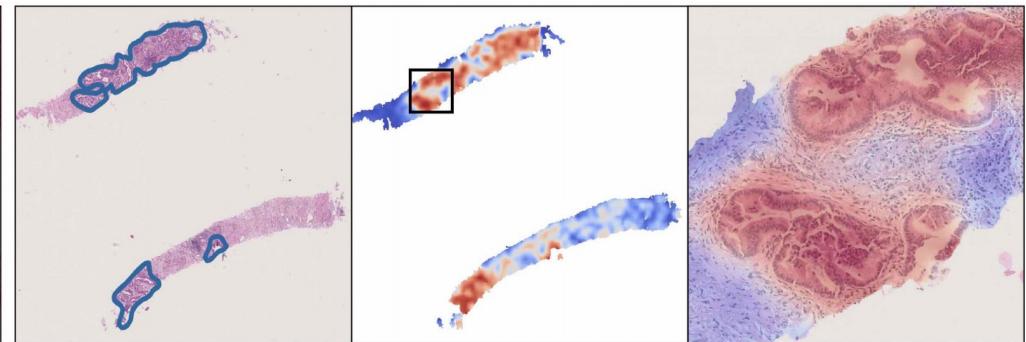
- LUAD vs. LUSC Subtyping
- LUAD + LUSC Survival Analysis
- TP53 + KRAS Mutation Prediction

## Results [1]: TCGA Lung Subtyping (LUAD vs. LUSC)

Lung Adenocarcinoma (LUAD)



Lung Squamous Cell Carcinoma (LUSC)

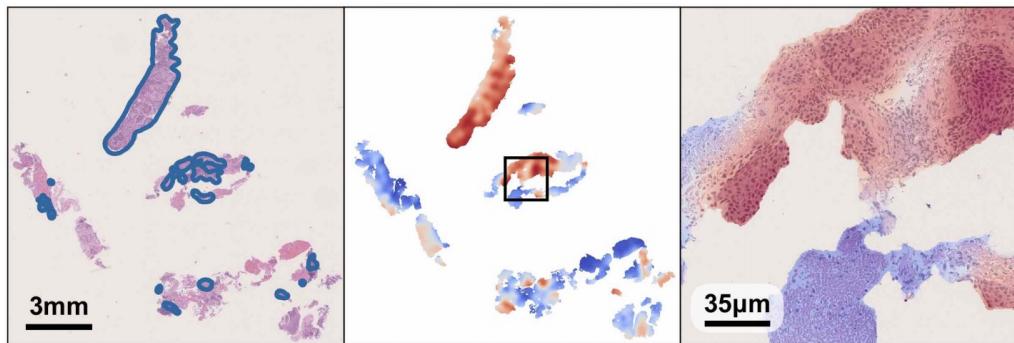


Method	Model Architecture	Training Source	Epochs	100%	75%	50%	25%
ImageNet Transfer	ResNet-50	ImageNet	100	$0.945 \pm 0.018$	$0.943 \pm 0.019$	$0.917 \pm 0.024$	$0.888 \pm 0.031$
SimCLR	ResNet-50	Lung Only	100	$0.950 \pm 0.026$	$0.947 \pm 0.017$	$0.934 \pm 0.025$	$0.897 \pm 0.028$
SimSiam	ResNet-50	Lung Only	100	$0.952 \pm 0.017$	$0.944 \pm 0.018$	$0.935 \pm 0.026$	$0.897 \pm 0.029$
DINO	ViT	Lung Only	100	$0.948 \pm 0.021$	$0.942 \pm 0.019$	$0.937 \pm 0.021$	$0.928 \pm 0.024$
SimCLR	ResNet-50	Pan-Cancer	100	$0.951 \pm 0.016$	$0.948 \pm 0.017$	$0.930 \pm 0.023$	$0.898 \pm 0.026$
SimSiam	ResNet-50	Pan-Cancer	100	$0.493 \pm 0.085$	$0.534 \pm 0.072$	$0.508 \pm 0.085$	$0.603 \pm 0.040$
DINO	ViT	Pan-Cancer	100	<b><math>0.957 \pm 0.019</math></b>	$0.949 \pm 0.019$	<b><math>0.941 \pm 0.022</math></b>	<b><math>0.931 \pm 0.024</math></b>

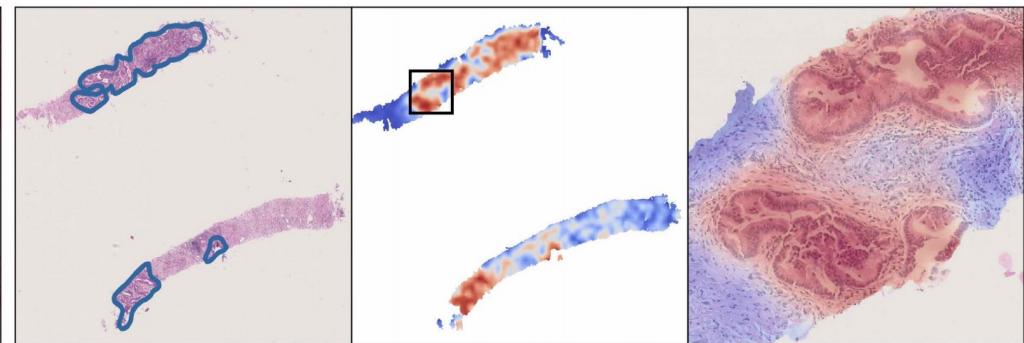
- Self-supervised feature extractors from DINO **are more data-efficient** than pretrained ResNet-50 on ImageNet for subtyping

## Results [2]: TCGA Lung Subtyping (LUAD vs. LUSC) + Mutation Prediction (TP53 + KRAS)

Lung Adenocarcinoma (LUAD)



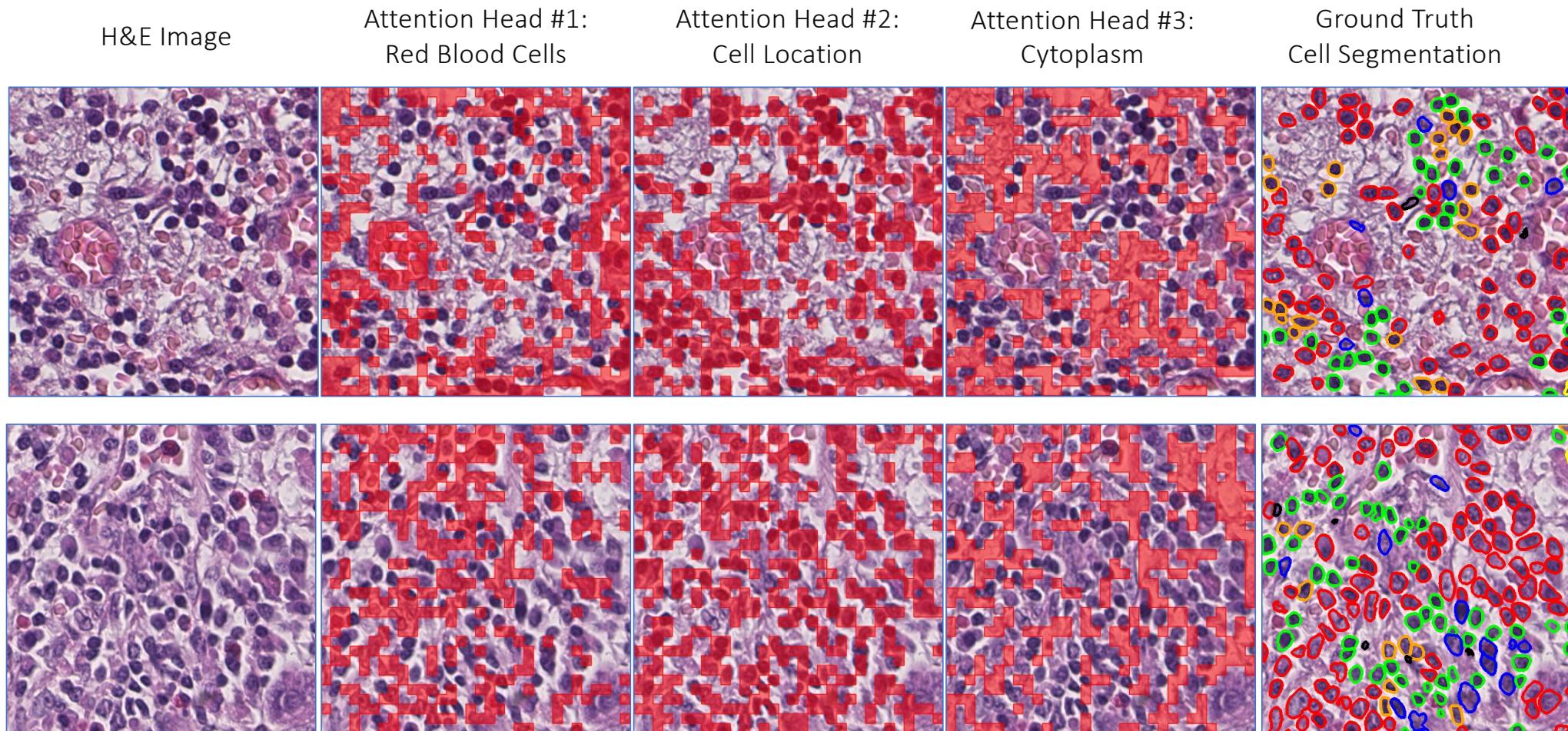
Lung Squamous Cell Carcinoma (LUSC)



Method	Model Architecture	Training Source	Epochs	100%	75%	50%	25%	TP53	KRAS
ImageNet Transfer	ResNet-50	ImageNet	100	$0.945 \pm 0.018$	$0.943 \pm 0.019$	$0.917 \pm 0.024$	$0.888 \pm 0.031$	<b><math>0.756 \pm 0.053</math></b>	$0.761 \pm 0.073$
SimCLR	ResNet-50	Lung Only	100	$0.950 \pm 0.026$	$0.947 \pm 0.017$	$0.934 \pm 0.025$	$0.897 \pm 0.028$	$0.694 \pm 0.073$	$0.737 \pm 0.044$
SimSiam	ResNet-50	Lung Only	100	$0.952 \pm 0.017$	$0.944 \pm 0.018$	$0.935 \pm 0.026$	$0.897 \pm 0.029$	$0.698 \pm 0.084$	$0.681 \pm 0.117$
DINO	ViT	Lung Only	100	$0.948 \pm 0.021$	$0.942 \pm 0.019$	$0.937 \pm 0.021$	$0.928 \pm 0.024$	$0.751 \pm 0.041$	<b><math>0.771 \pm 0.059</math></b>
SimCLR	ResNet-50	Pan-Cancer	100	$0.951 \pm 0.016$	$0.948 \pm 0.017$	$0.930 \pm 0.023$	$0.898 \pm 0.026$	$0.687 \pm 0.100$	$0.711 \pm 0.127$
SimSiam	ResNet-50	Pan-Cancer	100	$0.493 \pm 0.085$	$0.534 \pm 0.072$	$0.508 \pm 0.085$	$0.603 \pm 0.040$	$0.516 \pm 0.073$	$0.612 \pm 0.051$
DINO	ViT	Pan-Cancer	100	<b><math>0.957 \pm 0.019</math></b>	$0.949 \pm 0.019$	<b><math>0.941 \pm 0.022</math></b>	<b><math>0.931 \pm 0.024</math></b>	$0.746 \pm 0.051$	$0.740 \pm 0.052$

- Self-supervised feature extractors from DINO **are more data-efficient** than pretrained ResNet-50 on ImageNet for subtyping
- No difference found in gene mutation prediction

## Results [3]: DINO Attentions to Cellular Identities



Questions?