

## INFORMATION THEORY

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TEACHER: Christian Schaffner, TAs: Yfke Dulek, Esteban Landerreche, Kyah Smaal

# Team homework set 6

Unless otherwise stated, you should provide exact answers rather than rounded numbers (e.g.,  $\log 3$  instead of 1.585) for non-programming exercises.

## Problem 1: Hadamard code (11pt)

For two  $k$ -bit strings  $x$  and  $y$ , the inner product is defined as

$$\langle x, y \rangle := \sum_{i=1}^k x_i \cdot y_i \quad \text{mod } 2.$$

Note that the inner product of two strings is a single bit. The  $k$ -bit Hadamard code is defined such that the codeword of  $x$  is the concatenation of all possible inner products with  $x$ , i.e.

$$\text{enc}(x) := (\langle x, y \rangle)_{y \in \{0,1\}^k}.$$

Here, the  $y$  are ordered [lexicographically](#).

- (a) (1pt) Find the codewords for 010 and 1101 using the 3-bit and 4-bit Hadamard codes respectively.
- (b) (1pt) Give the explicit generator matrix  $G^T$  for  $k = 3$ .
- (c) (2pt) For a fixed non-zero  $x \in \{0,1\}^k$ , how many  $y \in \{0,1\}^k$  are there such that  $\langle x, y \rangle = 1$ ?
- (d) (1pt) Use (c) to find the minimal distance of the  $k$ -bit Hadamard code.

There is some unnecessary redundancy in the Hadamard code. For example, the first bit of  $\text{enc}(x)$  is always 0, regardless of what  $x$  is, because the inner product with the all-zero string is always 0. The *punctured*  $k$ -bit Hadamard code attempts to resolve this. It considers only the inner products with strings  $y$  such that  $y_1 = 1$ :

$$\text{enc}'(x) := (\langle x, y \rangle)_{y \in \{1\} \times \{0,1\}^{k-1}}$$

- (e) (3pt) Find the minimal distance of the punctured  $k$ -bit Hadamard code using a similar approach as in (c) and (d).  
**Hint:** there are four cases to consider, depending on the value of the first bit  $x_1$  and of the “tail”  $x_2 \cdots x_k$ .
- (f) (2pt) Compute the rates of the  $k$ -bit Hadamard code and the punctured  $k$ -bit Hadamard code as a function of  $k$ . Which is better?
- (g) (1pt) What goes wrong if we try to puncture this code again? I.e. what breaks if we define the encoding function for a  $k$ -bit string as

$$\text{enc}''(x) := (\langle x, y \rangle)_{y \in \{1\} \times \{1\} \times \{0,1\}^{k-2}}?$$

## Problem 2: Shannon capacity of the complete graph (6pt)

A graph  $G$  with  $n$  vertices  $V(G) = \{1, 2, \dots, n\}$  is called complete if it has edges between any two vertices, i.e.  $\forall i \neq j : (i, j) \in E(G)$ .

- (a) (2pt) Compute  $\alpha(K_n)$ , the independence number of the complete graph of size  $n$ .
- (b) (2pt) Show that  $K_n \boxtimes K_n = K_{n^2}$ .  
**Hint:** the  $\LaTeX$  command for  $\boxtimes$  is `\boxtimes` (in the `amssymb` package). See also [Detexify](#).
- (c) (2pt) Use (a) and (b) to prove that the Shannon capacity of  $K_n$  is 0. Note that this result formally confirms the intuition that channels whose confusability graphs are complete are useless for zero-error communication, because all symbols can possibly be confused with each other.

## Problem 3: Disjoint graphs (5 pt)

For two graphs  $G$  and  $H$ , the graph  $G + H$  is defined as the disjoint union of the two graphs. Formally, assuming without loss of generality that  $V(G) \cap V(H) = \emptyset$ , then  $V(G + H) = V(G) \cup V(H)$  and  $E(G + H) = E(G) \cup E(H)$ . (You can think of  $G + H$  as  $G$  and  $H$  “next to each other”.) For the disjoint union of  $k$  times the same graph  $G$ , we write  $G^{+k}$ .

- (a) (2pt) Prove that  $\alpha(G + H) = \alpha(G) + \alpha(H)$ .
- (b) (3pt) For any three graphs  $G, H, L$ , it holds that

$$(G + H) \boxtimes L = (G \boxtimes L) + (H \boxtimes L)$$

and also

$$G \boxtimes (H + L) = (G \boxtimes H) + (G \boxtimes L).$$

You can verify the above identities for yourself, but you do not have to hand in a proof. Use them to derive that for any natural number  $k \in \mathbb{N}$ ,

$$(G + G)^{\boxtimes k} = (G^{\boxtimes k}) + 2^k.$$

#### Problem 4: Same-parity channel (6pt)

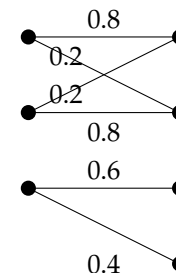
Let  $\mathcal{X} = \mathcal{Y} = \{1, 2, 3, 4, 5, 6\}$ . In this exercise, you will compute the zero-error Shannon capacity of the noisy channel with transition probabilities  $P_{Y|X}(y|x) = 1/3$  if and only if  $x$  and  $y$  have the same parity (i.e.  $x \equiv y \pmod{2}$ ).

- (a) (2pt) Give the confusability graph  $G$  of the noisy channel  $P_{Y|X}$  described above.
- (b) (4pt) Compute the Shannon capacity of  $G$ .  
**Hint:** use several results from the previous two exercises.

#### Problem 5: Toggle channel (6pt)

Given two channels  $(\mathcal{X}_1, P_{Y_1|X_1}, \mathcal{Y}_1)$  and  $(\mathcal{X}_2, P_{Y_2|X_2}, \mathcal{Y}_2)$  with  $\mathcal{X}_1 \cap \mathcal{X}_2 = \mathcal{Y}_1 \cap \mathcal{Y}_2 = \emptyset$ , define the “union channel”  $(\mathcal{X}, P_{Y|X}, \mathcal{Y})$  by allowing the transmitter to choose between sending a signal through either channel 1 or channel 2 (but not both) each time. Let  $C$  be the capacity of the new channel, and let  $C_1$  and  $C_2$  be the capacities of the “component channels”.

- (a) (4pt) Prove that  $2^C = 2^{C_1} + 2^{C_2}$ . Solve any maximization problems analytically (i.e. by hand).  
**Hint:** think of  $P_X$  as a tree, where the first step decides whether to use the first or the second channel.
- (b) (1pt) Use (a) to find the capacity of the following channel (in three decimals precision):



- (c) (1pt) Use (a) to find the capacity of an arbitrary channel with capacity  $C_1$  combined with an ideal channel with  $k$  inputs.