

## Team homework set 3

### Problem 1: Huffman Coding

- (a) (4pt) Consider the binary source  $P_X$  with  $P_X(0) = \frac{1}{8}$  and  $P_X(1) = \frac{7}{8}$ . Design a Huffman code for  $P_X$ . Describe, in order, which source symbols you combine, and list the final codebook. What is the average codeword length?
- (b) (4pt) Design a Huffman code for blocks of  $N = 2$  bits drawn from the source  $P_X$ . Describe, in order, which source symbols you combine, and list the final codebook. What is the average codeword length?
- (c) (4pt) Design a Huffman code for blocks of  $N = 3$  bits drawn from the source  $P_X$ . Describe, in order, which source symbols you combine, and list the final codebook. What is the average codeword length?
- (d) (4pt) For the three codes you designed ( $N = 1, 2, 3$ ), divide the average codeword length by  $N$ , and compare these values to the optimal length, i.e.,  $H(X)$ . What do you observe?
- (e) (1pt) If you were asked to design a Huffman code for a block of  $N = 100$  bits, what problem would you run into?
- (f) (2pt) Consider the random variable  $Z$  with

$z$	1	2	3	4	5	6
$P_Z(z)$	1/10	3/10	2/10	2/10	1/10	1/10

Design a *ternary* Huffman code for  $Z$  (i.e. using an alphabet with three symbols).

### Problem 2: Inefficiency when using the wrong code

- (a) (2pt) Given are two distributions  $P_X$  and  $Q_X$  for the same set  $\mathcal{X} = \{a, b, c, d\}$ :

$x$	a	b	c	d
$P_X(x)$	1/4	1/4	1/4	1/4
$Q_X(x)$	1/2	1/4	1/8	1/8

Design an optimal prefix-free code for  $Q_X(x)$ .

- (b) (4pt) What is the expected codeword length of the code you just designed? (Three decimals precision)
- (c) (4pt) What is the expected codeword length if you use this code to encode the source  $P_X$ ? (Three decimals precision)
- (d) (4pt) Show that in general, when using optimal code for any source  $Q_X$  (which has lengths  $\ell(x) = \lceil -\log Q_X(x) \rceil$  for all  $x \in \mathcal{X}$ ) to encode another source  $P_X$ , this incurs a penalty of  $D(P_X||Q_X)$  in the average description length.  
More formally, prove that

$$H(P_X) + D(P_X||Q_X) \leq \mathbb{E}_{P_X}[\ell(X)] \leq H(P_X) + D(P_X||Q_X) + 1$$

for all  $P_X$  and  $Q_X$ .

### Problem 3: Shannon code (6pt)

Consider the following method for generating a code for a random variable  $X$  which takes on  $m$  values  $\{1, 2, \dots, m\}$ . Assume that the probabilities are ordered such that  $P_X(1) \geq P_X(2) \geq \dots \geq P_X(m)$ . Define

$$F_i := \sum_{k=1}^{i-1} P_X(k),$$

the sum of the probabilities of all symbols less than  $i$ . Then the Shannon code is defined by assigning the (binary representation of the) number  $F_i \in [0, 1]$  as the codeword for  $i$ , where  $F_i$  is rounded off to  $\lceil \log \frac{1}{P_X(i)} \rceil$  bits.

- (a) (1pt) Construct the code for the probability distribution  $P_X(1) = \frac{1}{2}$ ,  $P_X(2) = \frac{1}{4}$ ,  $P_X(3) = P_X(4) = \frac{1}{8}$

- (b) (1pt) Construct the code for the probability distribution  $P_Y(1) = P_Y(2) = P_Y(3) = \frac{1}{3}$ .
- (c) (2pt) Show that the Shannon code is prefix-free.
- (d) (2pt) Show that the average length  $\ell_S$  of the Shannon code satisfies

$$H(X) \leq \ell_S(P_X) < H(X) + 1.$$

#### Problem 4: Programming project (10pt)

Invent a compressor and uncompressor for a source file of  $N = 10000$  bits each having probability  $p = 0.01$  of being a 1. Implement them and estimate how well your method works.

Evaluate the performance of your algorithm on [this particular file](#). Use [this program](#) to generate more testdata.