

1. Solve the following recurrence relations using any of the following methods: unrolling, tail recursion, recurrence tree (include tree diagram), or expansion.

Each each case, show your work.

- (a) $T(n) = T(n - 2) + Cn$ if $n > 1$, and $T(n) = C$ otherwise

Unrolling:

$$T(n) = T(n - 2) + Cn \tag{1}$$

$$= (T(n - 4) + Cn) + Cn \tag{2}$$

$$= ((T(n - 6) + Cn) + Cn) + Cn \tag{3}$$

$$= (((T(n - 8) + Cn) + Cn) + Cn) + Cn \tag{4}$$

$$\dots \tag{5}$$

$$= T(n - 2i) + iCn \tag{6}$$

Base Case: $n - 2i = 1 \implies n = 2i + 1 \implies i = \frac{1-n}{2}$

Given $i = \frac{1-n}{2}$:

$$T(n) = T(n - 2i) + iCn \tag{7}$$

$$= T(1) + \frac{1-n}{2}Cn \tag{8}$$

$$= C + \frac{1-n}{2}Cn \tag{9}$$

$$= \Theta(n) \tag{10}$$

(b) $T(n) = 3T(n-1) + 1$ if $n > 1$ and $T(1) = 3$

Unrolling:

$$T(n) = 3T(n-1) + 1 \tag{11}$$

$$= 3(3T(n-2) + 1) + 1 \tag{12}$$

$$= 3(3(3T(n-3) + 1) + 1) + 1 \tag{13}$$

$$\dots \tag{14}$$

$$= 3^i T(n-i) + i \tag{15}$$

Base Case: $n - i = 1 \implies i = n - 1$

Given $i = n - 1$:

$$T(n) = 3^i T(n-i) + i \tag{16}$$

$$= 3^{n-1} T(1) + (n-1) \tag{17}$$

$$= 3^{n-1} 3 + n - 1 \tag{18}$$

$$= 3^n + n - 1 \tag{19}$$

$$= \Theta(3^n) \tag{20}$$

(c) $T(n) = T(n-1) + 3^n$ if $n > 1$ and $T(1) = 3$

Unrolling:

$$T(n) = T(n-1) + 3^n \tag{21}$$

$$= T(n-2) + 3^n + 3^n \tag{22}$$

$$= T(n-3) + 3^n + 3^n + 3^n \tag{23}$$

$$\dots \tag{24}$$

$$= T(n-i) + i3^n \tag{25}$$

Base Case: $n - i = 1 \implies i = n - 1$

Given $i = n - 1$:

$$T(n) = T(n-i) + i3^n \tag{26}$$

$$= T(1) + (n-1)3^n \tag{27}$$

$$= n3^n - 3^n + 3 \tag{28}$$

$$= \Theta(n3^n) \tag{29}$$

(d) $T(n) = T(n^{1/4} + 1)$ if $n > 2$, and $T(n) = 0$ otherwise

Unrolling:

$$T(n) = T(n^{(\frac{1}{4})}) + 1 \quad (30)$$

$$= T(n^{(\frac{1}{16})}) + 1 + 1 \quad (31)$$

$$= T(n^{(\frac{1}{64})}) + 1 + 1 + 1 \quad (32)$$

$$\dots \quad (33)$$

$$= T(n^{(\frac{1}{4^i})}) + i \quad (34)$$

Base Case:

$$n^{(\frac{1}{4^i})} = 2 \quad (35)$$

$$\frac{1}{4^i} \lg(n) = \lg(2) \quad (36)$$

$$\lg(n) = 4^i \lg(2) \quad (37)$$

$$4^i = \lg(n) \quad (38)$$

$$i \lg(4) = \lg(\lg(n)) \quad (39)$$

$$i = \frac{\lg(\lg(n))}{2} \quad (40)$$

Given $i = \frac{\lg(\lg(n))}{2}$:

$$T(n) = T(n^{\frac{1}{4^i}}) + i \quad (41)$$

$$= T(n^{4^{(\frac{\frac{1}{\lg(\lg(n))}{2})}}) + \frac{\lg(\lg(n))}{2} \quad (42)$$

$$= T(2) + \frac{\lg(\lg(n))}{2} \quad (43)$$

$$= \frac{\lg(\lg(n))}{2} \quad (44)$$

$$= \Theta(\lg(\lg(n))) \quad (45)$$

2. Consider the following function:

```
def foo(n) {  
    if (n > 1) {  
        print( 'hello' )  
        foo(n/3)  
        foo(n/3)  
    }  
}
```

In terms of the input n , determine how many times is “hello” printed. Write down a recurrence and solve using the Master method.

The recursion tree is a binary tree with each node having input $\frac{n}{3}$ of the parent. The recursion stops when the input $n \leq 1$.

Hence the base case is: $\frac{n}{3^i} = 1 \implies i = \log_3(n) = \text{depth of tree}$. “Hello” is printed for each node in this tree, and since it is a full binary tree, there are 2^i nodes on the i -th level. Following this, the total number of nodes in the tree excluding the bottom layer (where $n = 1$) is given by:

$$\sum_{i=0}^{\log_3(n)-1} 2^i = 2^{\log_3(n)} - 1$$

Given $\epsilon = 1 > 0$:

$$T(n) = 2T(n/3) + 1 \tag{46}$$

$$f(n) = 1 = O(n^{\log_3(2)-\epsilon}) \tag{47}$$

$$= O(1) \tag{48}$$

$$\therefore T(n) = \Theta(n^{\log_3(2)}) \tag{49}$$

3. Professor McGonagall asks you to help her with some arrays that are *raludominular*. A *raludominular* array has the property that the subarray $A[1..i]$ has the property that $A[j] > A[j+1]$ for $1 \leq j < i$, and the subarray $A[i..n]$ has the property that $A[j] < A[j+1]$ for $i \leq j < n$. Using her wand, McGonagall writes the following *raludominular* array on the board $A = [7, 6, 4, -1, -2, -9, -5, -3, 10, 13]$, as an example.

- (a) Write a recursive algorithm that takes asymptotically sub-linear time to find the minimum element of A .

```
def globmin(A, i):
    if (A[i] > A[i+1]):
        return globmin(A, i+1)
    else return A[i]
```

- (b) Prove that your algorithm is correct. (Hint: prove that your algorithm's correctness follows from the correctness of another correct algorithm we already know.) Assuming 0-based indexing:

Invariant: $A[i] < \{x | x \in A[0..i-1]\} \vee A[0..i-1] = \emptyset$

Initialization: $i = 0$, so $A[0..-1]$ is the empty set and the loop invariant holds.

Maintenance: if $(A[i] > A[i+1])$, the loop invariant holds and the function calls itself with the next index. Otherwise, it returns $A[i]$, as $A[i-1] > A[i] < A[i+1]$.

Termination: once $\text{min}()$ returns: $A[i-1] > A[i] < A[i+1]$, and the loop invariant still holds because i was only incremented if $A[i] < A[i-1]$. This means $A[i]$ is the minimum element in the subarray $A[0..i]$. Combining this fact with the definition of the 'raludominular' array, $A[i]$ must also be the minimum element in the sub array $A[i..n]$. Thus $A[i]$ must be the minimum element in $A[0..n] = A$.

- (c) Now consider the *multi-raludominular* generalization, in which the array contains k local minima, i.e., it contains k subarrays, each of which is itself a ralu-dominular array. Let $k = 2$ and prove that your algorithm can fail on such an input.

The algorithm will return as soon as it finds an index i such that

$$A[i - 1] > A[i] < A[i + 1]$$

This will be the first local minimum in the first subarray. This will not always be the global minimum, so the algorithm is incorrect for this type of input.

- (d) Suppose that $k = 2$ and we can guarantee that neither local minimum is closer than $n = 3$ positions to the middle of the array, and that the “joining point” of the two singly-raludominular subarrays lays in the middle third of the array. Now write an algorithm that returns the minimum element of A in sublinear time. Prove that your algorithm is correct, give a recurrence relation for its running time, and solve for its asymptotic behavior.

```
def globmin(A, i):
    while (A[i+1] < A[i]):
        ++i
    if (i < (A.length-(A.length/3))):
        return min(A[i], globmin(A, (A.length-(A.length/3))))
    return A[i]
```

For the while loop on the first two lines of globmin():

Let l = the initial value of i before entering the loop.

Let m = the end of the current raludominular subarray of A

Invariant: $A[i] < \{x | x \in A[0..i-1]\} \vee A[0..i-1] = \emptyset$

Initialization: $i = 0$, so $A[0..-1]$ is the empty set and the loop invariant holds.

Maintenance: if $(A[i+1] < A[i])$, i is incremented preserving the loop invariant for the next iteration.

Termination: the loop exits when $A[i+1] \geq A[i]$, and the loop invariant still holds. Furthermore: $A[l..i-1] > A[i] < A[i+1]$. Combining this with the definition of ‘raludominular’ arrays means $A[i]$ is the local minimum for the current subarray $A[l..m]$.

Now, if we had just found the first local minimum, the if statement would return True since $A[i]$ is necessarily not within the last third of A . From here, the minimum of $A[i]$ (the first local minimum) and the result of a second call to globmin() starting at the last third of A is returned. We just proved that we will find the second minimum in this second call, and the if statement will now return False - simply returning $A[i]$ (now our second minimum) to be compared with our first minimum. The result of this comparison is returned, meaning we have returned the minimum of our two local minima - the global minimum.

Running time:

Because the only costs of this algorithm are the while loop and the if statement evaluation (a constant +1 to each recursion), we really just need to calculate how many times the loop runs to find the cost. The loop only runs for each beginning section $A[0..i]$ of the current random subarray. For the first subarray, this is at most $(\frac{n}{2}) - (\frac{n}{3}) = \frac{n}{6}$ (the local minimum in this subarray cannot be closer than $\frac{n}{3}$ to the center of the array at $\frac{n}{2}$). For the second array, we begin iterating at $\frac{n}{3}$ from the end, so this is at most $\frac{n}{3}$.

Thus, the maximum number of times i can be incremented during a complete run is $\frac{n}{6} + \frac{n}{3} = \frac{n}{2}$.

A recurrence relation for this algorithm would be:

$$T(n) = \begin{cases} T(n/3) + \frac{n}{6} + 1, & \text{if } n < A.length - (A.length/3) \\ 1, & \text{otherwise} \end{cases}$$

However a relation is not needed. Since we know the maximum possible aggregate value $(\frac{n}{2})$ of the driving term, it follows that $T(n) = O(\frac{n}{2} + 2) = O(n)$.

4. Asymptotic relations like O , Ω , and Θ represent relationships between functions, and these relationships are transitive. That is, if some $f(n) = \Omega(g(n))$, and $g(n) = \Omega(h(n))$, then it is also true that $f(n) = \Omega(h(n))$. This means that we can sort functions by their asymptotic growth.¹

Sort the following functions by order of asymptotic growth such that the final arrangement of functions g_1, g_2, \dots, g_{12} satisfies the ordering constraint $g_1 = \Omega(g_2)$, $g_2 = \Omega(g_3)$, \dots , $g_{11} = \Omega(g_{12})$.

n	$n^{1.5}$	$8^{\lg n}$	$4^{\lg^* n}$	$n!$	$(\lg n)!$	$(\frac{5}{4})^n$	$n^{1/\lg n}$	$n \lg n$	$\lg(n!)$	e^n	42
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Give the final sorted list and identify which pair(s) functions $f(n)$, $g(n)$, if any, are in the same equivalence class, i.e., $f(n) = \Theta(g(n))$.

From highest order to lowest order:

- $n!$
- e^n
- $(\frac{5}{4})^n$
- $\lg(n)!$
- $8^{\lg(n)}$
- $n^{1.5}$
- n
- $4^{\lg^*(n)}$
- 42
- $n^{\frac{1}{\lg(n)}}$

$$42 = \Theta(x^{\frac{1}{\lg(n)}}) \quad (50)$$

¹The notion of sorting is entirely general: so long as you can define a pairwise comparison operator for a set of objects S that is transitive, then you can sort the things in S . For instance, for strings, we use a comparison based on lexical ordering to sort them. Furthermore, we can use any sorting algorithm to sort S , by simply changing the comparison operators $>$, $<$, etc. to have a meaning appropriate for S . For instance, using Ω , O , and Θ , you could apply QuickSort or MergeSort to the functions here to obtain the sorted list.

References Used

1. CLRS
2. https://courses.engr.illinois.edu/cs173/fa2009/Lectures/lect_22.pdf
3. https://en.wikipedia.org/wiki/Iterated_logarithm