## CS 188: Artificial Intelligence Fall 2011

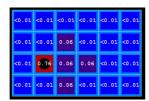
Lecture 20: HMMs / Speech / ML 11/8/2011

Dan Klein - UC Berkeley

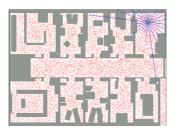
# Today

- HMMs
  - Demo bonanza!
  - Most likely explanation queries
- Speech recognition
  - A massive HMM!
  - Details of this section not required
- Start machine learning

#### Demo Bonanza!





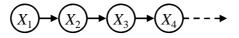


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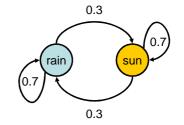
[DEMO: Stationary]

# Recap: Reasoning Over Time

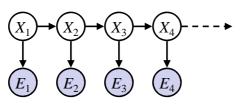
Markov models



 $P(X_1)$   $P(X|X_{-1})$ 



Hidden Markov models



P(E|X)

X	Е	Р
rain	umbrella	0.9
rain	no umbrella	0.1
sun	umbrella	0.2
sun	no umbrella	0.8

[DEMO: Exact Filtering]

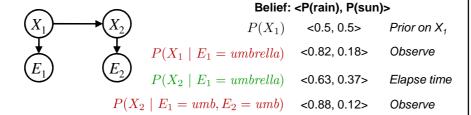
#### Recap: Filtering

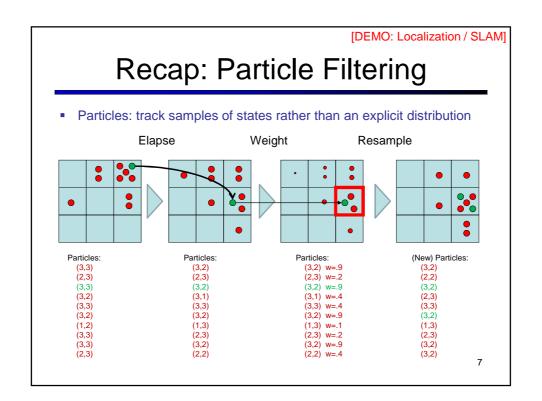
Elapse time: compute P( $X_t | e_{1:t-1}$ )

$$P(x_t|e_{1:t-1}) = \sum_{x_{t-1}} P(x_{t-1}|e_{1:t-1}) \cdot P(x_t|x_{t-1})$$

**Observe:** compute P( $X_t | e_{1:t}$ )

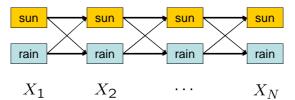
$$P(x_t|e_{1:t}) \propto P(x_t|e_{1:t-1}) \cdot P(e_t|x_t)$$





#### State Trellis

State trellis: graph of states and transitions over time



- Each arc represents some transition  $x_{t-1} \rightarrow x_t$
- Each arc has weight  $P(x_t|x_{t-1})P(e_t|x_t)$
- Each path is a sequence of states
- The product of weights on a path is the seq's probability
- Can think of the Forward (and now Viterbi) algorithms as computing sums of all paths (best paths) in this graph

#### Forward / Viterbi Algorithms

$$f_t[x_t] = P(x_t, e_{1:t})$$
  $m_t[x_t] = \max_{x_{1:t-1}} P(x_{1:t-1}, x_t, e_{1:t})$ 

$$= P(e_t|x_t) \sum_{x_{t-1}} P(x_t|x_{t-1}) f_{t-1}[x_{t-1}] \qquad = P(e_t|x_t) \max_{x_{t-1}} P(x_t|x_{t-1}) m_{t-1}[x_{t-1}]$$

## Speech and Language

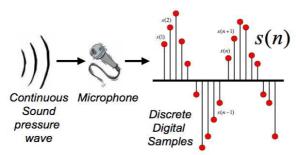
- Speech technologies
  - Automatic speech recognition (ASR)
  - Text-to-speech synthesis (TTS)
  - Dialog systems
- Language processing technologies
  - Machine translation





- Information extraction
- Web search, question answering
- Text classification, spam filtering, etc...

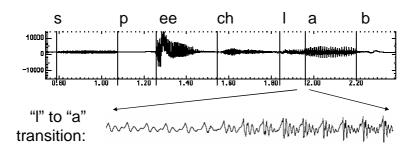
# Digitizing Speech



Thanks to Bryan Pellom for this slide!

# Speech in an Hour

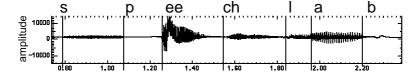
Speech input is an acoustic wave form



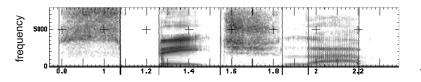
Graphs from Simon Arnfield's web tutorial on speech, Shaffield: http://www.psyc.leeds.ac.uk/research/cogn/speech/tutorial/

## Spectral Analysis

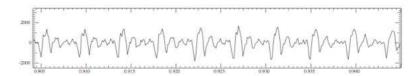
- Frequency gives pitch; amplitude gives volume
  - sampling at ~8 kHz phone, ~16 kHz mic (kHz=1000 cycles/sec)



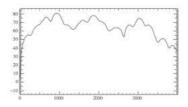
- Fourier transform of wave displayed as a spectrogram
  - darkness indicates energy at each frequency



# Part of [ae] from "lab"



- Complex wave repeating nine times
  - Plus smaller wave that repeats 4x for every large cycle
  - Large wave: freq of 250 Hz (9 times in .036 seconds)
  - Small wave roughly 4 times this, or roughly 1000 Hz

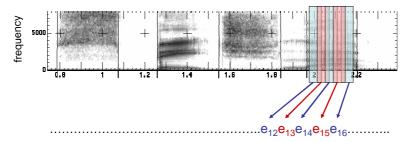


[demo]

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#### Acoustic Feature Sequence

 Time slices are translated into acoustic feature vectors (~39 real numbers per slice)



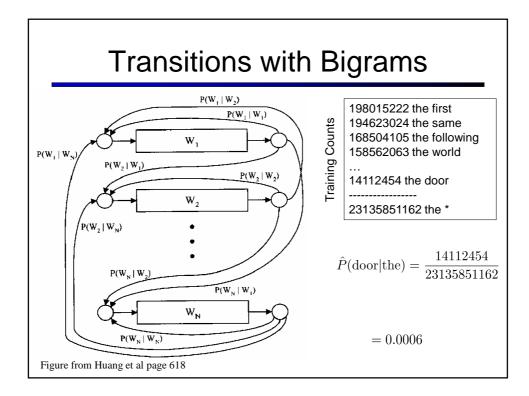
 These are the observations, now we need the hidden states X

#### **State Space**

- P(E|X) encodes which acoustic vectors are appropriate for each phoneme (each kind of sound)
- P(X|X') encodes how sounds can be strung together
- We will have one state for each sound in each word
- From some state x, can only:
  - Stay in the same state (e.g. speaking slowly)
  - Move to the next position in the word
  - At the end of the word, move to the start of the next word
- We build a little state graph for each word and chain them together to form our state space X

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#### **HMMs** for Speech a<sub>33</sub> $a_{01}$ a<sub>12</sub> a23 iy<sub>2</sub> $d_3$ Word Model end<sub>4</sub> $\mathbf{b}_{1}(\mathbf{o}_{2})$ $\mathbf{b}_{2}(\mathbf{o}_{3})$ $b_2(0_5)$ b3(06) $\mathbf{b}_1(\mathbf{o}_1)$ Observation Sequence (spectral feature vectors) 02 17



#### Decoding

- While there are some practical issues, finding the words given the acoustics is an HMM inference problem
- We want to know which state sequence x<sub>1:T</sub> is most likely given the evidence e<sub>1:T</sub>:

$$\begin{split} x_{1:T}^* &= \argmax_{x_{1:T}} P(x_{1:T}|e_{1:T}) \\ &= \argmax_{x_{1:T}} P(x_{1:T},e_{1:T}) \end{split}$$

• From the sequence x, we can simply read off the words

#### End of Part II!

- Now we're done with our unit on probabilistic reasoning
- Last part of class: machine learning

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#### Machine Learning

- Up until now: how to reason in a model and how to make optimal decisions
- Machine learning: how to acquire a model on the basis of data / experience
  - Learning parameters (e.g. probabilities)
  - Learning structure (e.g. BN graphs)
  - Learning hidden concepts (e.g. clustering)

#### Parameter Estimation



- Estimating the distribution of a random variable
- Elicitation: ask a human (why is this hard?)
- Empirically: use training data (learning!)
  - E.g.: for each outcome x, look at the *empirical rate* of that value:

$$P_{\mathsf{ML}}(x) = \frac{\mathsf{count}(x)}{\mathsf{total}}$$
 samples





$$P_{\rm ML}({\bf r}) = 2/3$$

This is the estimate that maximizes the likelihood of the data

$$L(x,\theta) = \prod_{i} P_{\theta}(x_i)$$

#### **Estimation: Smoothing**

Relative frequencies are the maximum likelihood estimates

$$\begin{array}{l} \theta_{ML} = \arg\max_{\theta} P(\mathbf{X}|\theta) \\ = \arg\max_{\theta} \prod_{i} P_{\theta}(X_{i}) \end{array} \implies P_{\mathsf{ML}}(x) = \frac{\mathsf{count}(x)}{\mathsf{total samples}} \\ \end{array}$$

 In Bayesian statistics, we think of the parameters as just another random variable, with its own distribution

$$\begin{split} \theta_{MAP} &= \arg\max_{\theta} P(\theta|\mathbf{X}) \\ &= \arg\max_{\theta} P(\mathbf{X}|\theta) P(\theta) / P(\mathbf{X}) \end{split}$$
 ????? 
$$= \arg\max_{\theta} P(\mathbf{X}|\theta) P(\theta)$$

#### **Estimation: Laplace Smoothing**

- Laplace's estimate:
  - Pretend you saw every outcome once more than you actually did







$$P_{LAP}(x) = \frac{c(x) + 1}{\sum_{x} [c(x) + 1]}$$
$$= \frac{c(x) + 1}{N + |X|}$$

$$P_{ML}(X) =$$

$$P_{LAP}(X) =$$

 Can derive this estimate with Dirichlet priors (see cs281a)

## **Estimation: Laplace Smoothing**

- Laplace's estimate (extended):
  - Pretend you saw every outcome k extra times

$$P_{LAP,k}(x) = \frac{c(x) + k}{N + k|X|}$$

- What's Laplace with k = 0?
- k is the strength of the prior



$$P_{LAP,0}(X) =$$

$$P_{LAP,1}(X) =$$

- Laplace for conditionals:
  - Smooth each condition independently:

$$P_{LAP,k}(x|y) = \frac{c(x,y) + k}{c(y) + k|X|}$$

$$P_{LAP,100}(X) =$$