

# “TreeBERT: A Tree-Based Pre-Trained Model for Programming Language”

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# Agenda

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# 1. Context

- How can learning models “learn” a programming language?
- Pre-trained models are great for learning natural languages (NLs), but not so great for learning programming languages (PLs)
  - Think transformers in general or the the BERT architecture more specifically
- To learn a language, you need some “pre-training tasks” to help you learn the language’s “rules”
  - Models learn these rules contextually (not logically) through a large amount of training data
- For PLs, pre-trained models exist, but they’re based in code sequences instead of something more complete like an abstract semantic tree (AST)
  - Run through many, many code snippets to find patterns, then you’ve “learned” the PL
- Learning ASTs can be difficult, since you don’t know where you are in the tree for a given node

## 2. Problem Statement

There are two main issues when applying pre-trained natural language (NL) models to programming languages (PLs):

- It's difficult to determine a finite set of logical “rules” when a PL is modeled as a sequence of words, such as when training on NLs.
- Learning tasks for PLs don't necessarily follow the same “priority” as learning tasks for NLs.

**Thinking of a PL in terms of an AST and not a sequence of words when learning it is a critical paradigm shift in the literature on “learning” PLs.**

### 3. Why This Problem is Interesting

- If we can “learn” PLs not through context but their underlying rules of logic, we can:
  - Create better generative PL models
  - Auto-comment
  - Enhance or automate verification
  - Automate performance optimizations
    - Think Lawrence, Emily, and Kartik’s presentation on DrAsync and the “anti-patterns” they discussed
  - Teach PLs more easily
  - Create self-repairing code

## 4. Research Up to This Point

1. Modeling language in a general sense
  - a. [“BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding”](#) (Devlin et al, 2019)
2. Modeling programming languages
  - a. [“Learning and Evaluating Contextual Embedding of Source Code”](#) (Kenade et al, 2020)
  - b. [“CodeBERT: A Pre-Trained Model for Programming and Natural Languages”](#) (Feng et al, 2020)
3. Exploring and learning ASTs
  - a. [“Deep Code Comment Generation”](#) (Hu et al, 2018)
  - b. [“A Neural Model for Generating Natural Language Summaries of Program Subroutines”](#) (LeClair et al, 2019)
4. Learning models
  - a. [“Attention Is All You Need”](#) (Vaswani et al, 2017)

## 5. TreeBERT's Novel Contribution

1. TreeBERT, a PL-oriented, tree-based, pre-trained modeling architecture
2. Representing the AST as a set of constituent paths and the introduction of node position embedding
  - a. “Tree-Masked Language Modeling” (TMLM): Using an encoder-decoder framework to both learn the structure of the AST but also infer properties of the AST that may not be known yet
  - b. “Node Order Prediction” (NOP): When learning the AST, NOP allows nodes to be sequenced
    - i. Think “Expr” must come after “then”, which must come after “if”
3. Verify theoretical contributions with empirical tests which show TreeBERT's performance improvement over existing pre-training methods

## 5. TreeBERT's Novel Contribution

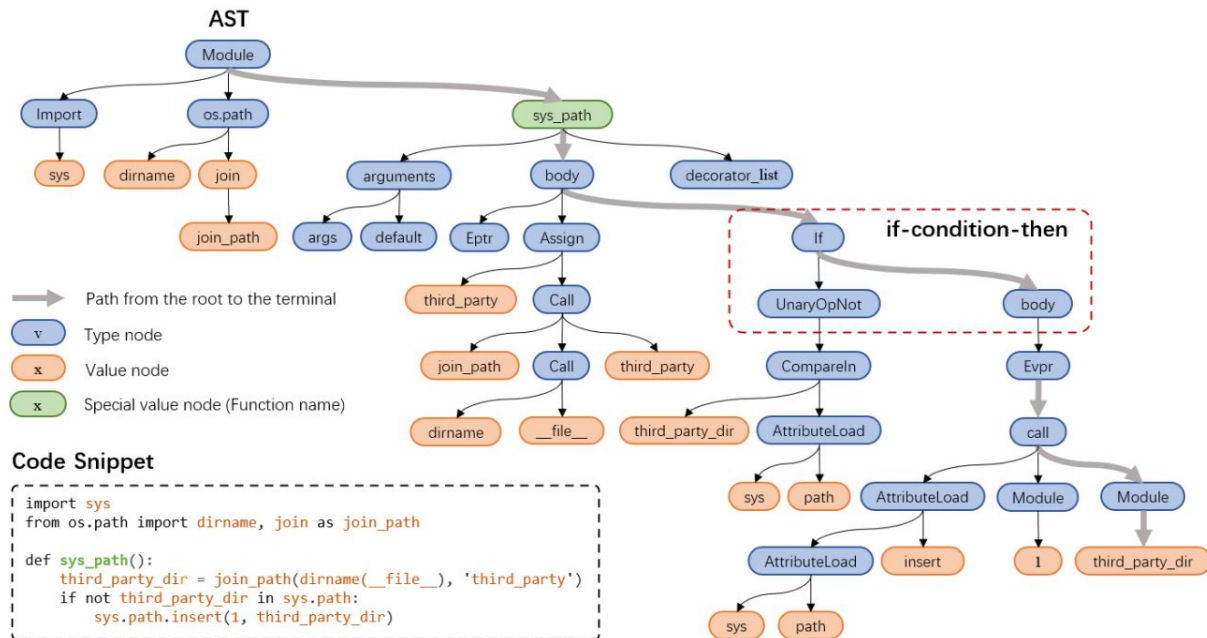


Figure 1: **AST representation of the code snippet.** When we represent AST, the terminal node is its corresponding value attribute, and the non-terminal node is its corresponding type attribute, except for the function name that acts as a non-terminal node but uses the value attribute.



## 5. TreeBERT's Novel Contribution

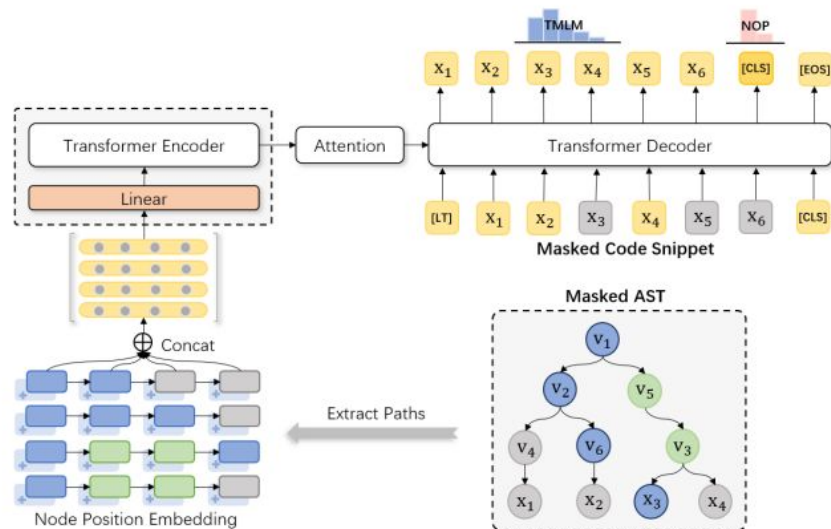


Figure 2: **Overview of TreeBERT.** The gray nodes indicate that the nodes (or tokens) are masked, and green nodes mean that the nodes (e.g.,  $v_3$  and  $v_5$ ) exchange their positions.

## 6. Drawbacks / Things to Consider

- What if the PL can't be represented as an AST?
  - Or a “complete” AST? How would we know?
- What if the PL is a compilation of other PLs?
  - Think modules in Python that call C scripts
- Can we use this pre-trained model in low-resource environments where data and / or training resources are limited?
- What does the evolution of the PL mean for training?

## 7. Opportunities for Future Research

- Can a TreeBERT-like architecture be applied to LLMs that work specifically with PLs? (Our research project)
  - Can we use TMLM and NOP in LLMs?
- Can a tree-based learning approach be enacted on an AST that is a compilation of smaller, distinct ASTs?
- Besides code documentation and code summarization, what other tasks can TreeBERT be used for?
- How can more information about the AST be gleaned in the training process?
  - Think using AST, graphs, and sequencing simultaneously

## 8. Conclusion

- We can learn NLs via modern learning architectures, but PLs can be more difficult
- PLs aren't series of code sequences as much as they're a system of logical rules that can be represented (completely) via an AST
- A tree-based learning approach (TreeBERT) can "learn" a PL effectively, since it's learning the PL's underlying AST
- Defining hierarchical training tasks is a critical element in effectively learning the AST
- The tree-based approach is also more performant than context- or sequence-based approaches
- More work can be done on applying tree-based learning approaches to more complex "PLs", such as LLMs

Thank you.