REPORT OF INVENTIONS AND SUBCONTRACTS

(Pursuant to "Patent Rights" Contract Clause) (See Instructions on back)

Form Approved OMB No. 9000-0095 Expires Oct 31, 2004

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Services and Communications Directorate (9000-0095). Respondents should be aware that notwithstanding any other provision of law, no persons shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.														
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE ABOVE ORGANIZATION. RETURN COMPLETED FORM TO THE CONTRACTING OFFICER. 1.a. NAME OF CONTRACTOR/SUBCONTRACTOR 3. TYPE OF REPORT // goal														
1.a. NAME OF CONTRACTOR/SUBC	c. CONTRACT	NUMBER	2.a. NAME	OF GOVERNINE	NT PRIME CONTRACTOR		c. CONT	RACT NU	MBER		3. 1	YPE OF REF	ORT (X one)	
													a. INTERIM	b. FINAL
b. ADDRESS (Include ZIP Code)			d. AWARD DATE	b. ADDRESS	(Include ZIP Code,	j				d. AWARD DATE		4. RE	PORTING PERI	OD (YYYYMMDD)
			(YYYYMMDD)					į		(YYYYMMDD)		a. FROM		
												b. TO		
			l	ECTION I - S	LIB IECT INVE	NTIONS						. I.		
SECTION I - SUBJECT INVENTIONS 5. "SUBJECT INVENTIONS" REQUIRED TO BE REPORTED BY CONTRACTOR/SUBCONTRACTOR (If "None," so state)														
ELECTION TO ELE														
	TITLE OF INVENTION(S)				DISCLOSURE NUMBER,		PAT		LICATIONS (X)		CONFIRMATORY INSTRUMENT			
NAME(S) OF INVENTOR(S) (Last, First, Middle Initial)					PATENT APPLICATION SERIAL NUMBER OR			OR ASSIGNMENT FORWARDED TO CONTRACTING OFFICER (X) e.						
(Last, Pirst, Middle Initial)						PATENT NUMBER				(1) UNITE	D STATES	(2) FOREIGN		
а.			b.	:	c.	(a) YES	(b) NO	(b) NO (a) YES (b) NO		(a) YES (b) No		(b) NO		
							 							
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						:								
f. EMPLOYER OF INVENTOR(S) NOT EMP	SUBCONTRACTOR				g. ELECTED FOREIGN COUNTRIES IN WHICH A PA				TENT APPLICATION WILL BE FILED					
(1) (a) NAME OF INVENTOR (Last, First, Middle Initial)		(2) (a) NAME OF INVENTOR (Last, First, Middle Initial)				(1) TITLE OF INVENTION			(2) FOREIGN COUNTRIES OF PATENT APPLICATION					
(b) NAME OF EMPLOYER		(b) NAME OF EMPLOYER				-								
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(c) ADDRESS OF EMPLOYER (Include ZIP Code)		(c) ADDRESS OF EMPLOYER (Include ZIP Code)												
SECTION II - SUBCONTRACTS (Containing a "Patent Rights" clause)														
6. SUBCONTRACTS AWARDED BY	CONTRACTOR/SUBC	ONTRACTOR (f "None," so state)										·······	
			FAR "PATENT	FAR "PATENT RIGHTS"							SUBCONTRACT DATES (YYYYMMDD)			
NAME OF SUBCONTRACTOR(S)	AME OF SUBCONTRACTOR(S) a. ADDRESS (In			ONTRACT C		i i		ON OF WORK TO BE PERFORMED			D	f.		
a.			NO	VIBER(S)	(1) CLAUSE	(2) DATE		INDER SUBCONTRACT(S) e.				(1) AWARD		(2) ESTIMATED
l l). 			NUMBER	(YYYYMM)							, , , , , , , , , , , , , , , , , , , ,	COMPLETION
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	II - CERTIFICA	TION												
7. CERTIFICATION OF REPORT BY	CONTRACTOR/SUBCO	ONTRACTOR (A)	lat enquired if IV as			ISINESS or		NO	UDDOCIT	ORCANI	ATION			
										ORGANIZ				
I certify that the reporting par Inventions" have been reported.	rty has procedures f	tor prompt ide	entification and	timely discl	osure of "Subj	ect Invent	ions," that sucl	h proced	lures ha	ve been	followe	d and	d that all "S	Subject
a. NAME OF AUTHORIZED CONTRACTOR	b. TITLE				c. SIGNATURE				d. DATE SIGNED					
OFFICIAL (Last, First, Middle Initial)		Interim Director of Research Services												i
Hanaoka, Kevin														1

DD FORM 882 INSTRUCTIONS

GENERAL

This form is for use in submitting INTERIM and FINAL invention reports to the Contracting Officer and for use in reporting the award of subcontracts containing a "Patent Rights" clause. If the form does not afford sufficient space, multiple forms may be used or plain sheets of paper with proper identification of information by item number may be attached.

An INTERIM report is due at least every 12 months from the date of contract award and shall include (a) a listing of "Subject Inventions" during the reporting period, (b) a certification of compliance with required invention identification and disclosure procedures together with a certification of reporting of all "Subject Inventions," and (c) any required information not previously reported on subcontracts containing a "Patent Rights" clause.

A FINAL report is due within 6 months if contractor is a small business firm or domestic nonprofit organization and within 3 months for all others after completion of the contract work and shall include (a) a listing of all "Subject Inventions" required by the contract to be reported, and (b) any required information not previously reported on subcontracts awarded during the course of or under the contract and containing a "Patent Rights" clause.

While the form may be used for simultaneously reporting inventions and subcontracts, it may also be used for reporting, promptly after award, subcontracts containing a "Patent Rights" clause.

Dates shall be entered where indicated in certain items on this form and shall be entered in six or eight digit numbers in the order of year and month (YYYYMM) or year, month and day (YYYYMMDD). Example: April 1999 should be entered as 199904 and April 15, 1999 should be entered as 19990415.

- 1.a. Self-explanatory.
- 1.b. Self-explanatory.
- 1.c. If "same" as Item 2.c., so state.
- 1.d. Self-explanatory.
- 2.a. If "same" as Item 1.a., so state.
- 2.b. Self-explanatory.
- 2.c. Procurement Instrument Identification (PII) number of contract (DFARS 204.7003).
- 2.d. through 5.e. Self-explanatory.

5.f. The name and address of the employer of each inventor not employed by the contractor or subcontractor is needed because the Government's rights in a reported invention may not be determined solely by the terms of the "Patent Rights" clause in the contract.

Example 1: If an invention is made by a Government employee assigned to work with a contractor, the Government rights in such an invention will be determined under Executive Order 10096.

Example 2: If an invention is made under a contract by joint inventors and one of the inventors is a Government employee, the Government's rights in such an inventor's interest in the invention will also be determined under Executive Order 10096, except where the contractor is a small business or nonprofit organization, in which case the provisions of 35 U.S.C. 202(e) will apply.

- 5.g.(1) Self-explanatory.
- 5.g.(2) Self-explanatory with the exception that the contractor or subcontractor shall indicate, if known at the time of this report, whether applications will be filed under either the Patent Cooperation Treaty (PCT) or the European Patent Convention (EPC). If such is known, the letters PCT or EPC shall be entered after each listed country.
- 6.a. Self-explanatory.
- 6.b. Self-explanatory.
- 6.c. Self-explanatory.
- 6.d. Patent Rights Clauses are located in FAR 52.227.
- 6.e. Self-explanatory.
- 6.f. Self-explanatory.
- 7. Certification not required by small business firms and domestic nonprofit organizations.
- 7.a. through 7.d. Self-explanatory.