## **Software Trajectory Analysis**

an empirically-based method for software process discovery

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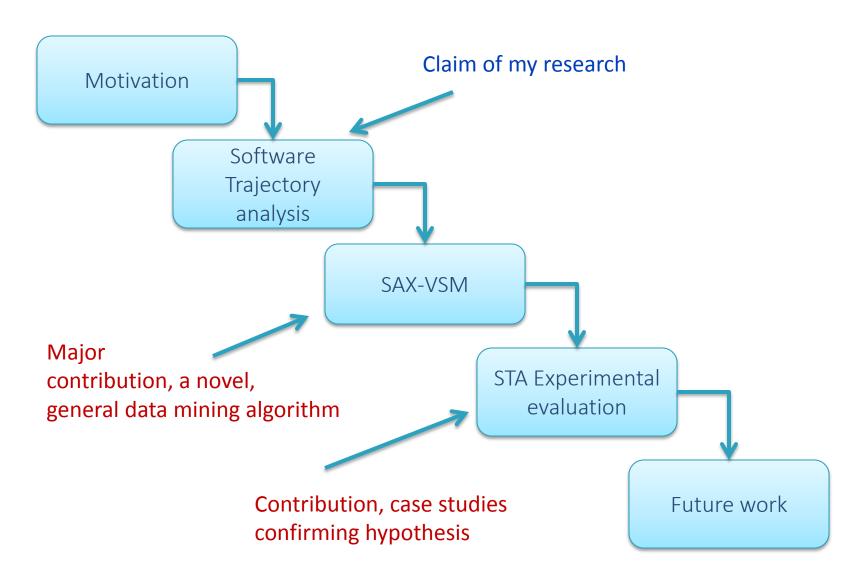
Department of Information and Computer Sciences

Collaborative Software Development Laboratory

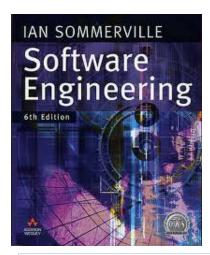
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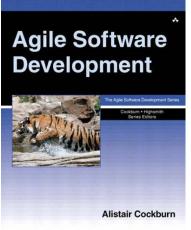
senin@hawaii.edu

## Presentation outline, waterfall-style

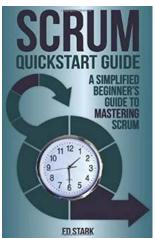


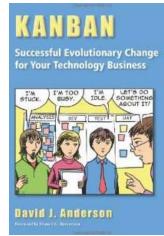
## We have a lot of software processes

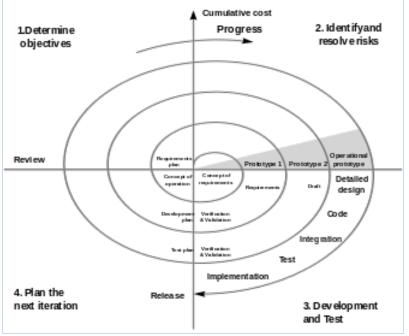


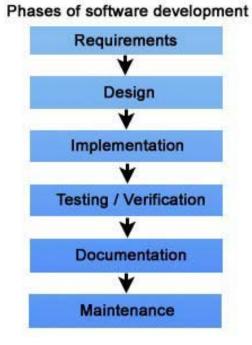


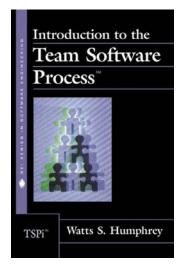












## Where do they come from?

Some people (or groups) invent them

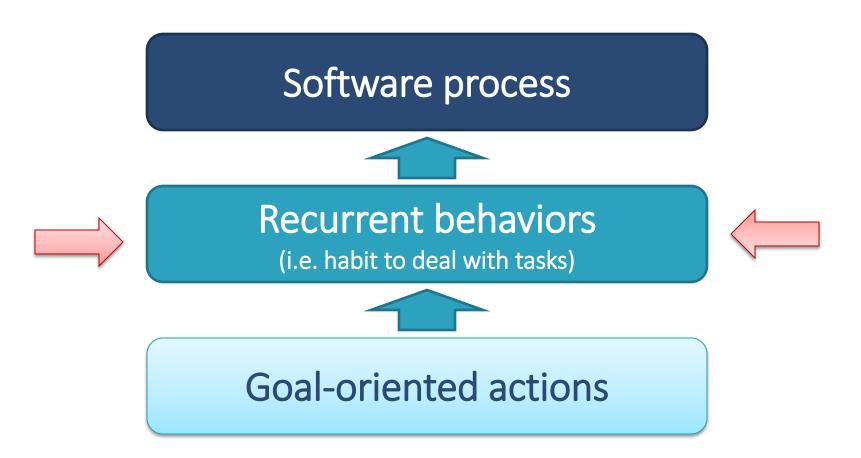
Top-down approach

- Waterfall: W. Royce, 1970
- Spiral development, B. Boehm, 1988
- Rapid Application Development, J. Martin, 1991
- Agile, Agile Manifesto, 2001
- TDD: Kent Beck, 2003

Bottom-up approach

- others built systems to discover them
  - Cook & Wolf, DAGAMMA, 1998. (Finite State Machines)
  - Van der Aalst et al., 2007. (Petri Nets, Transition Systems)
  - Jensen & Scacchi, 2007. (Reference Model)

# Software Trajectory Analysis (STA) a new bottom-up approach for software process design



The bottom-up approach is the iterative piecing together atomic elements to give rise to grander systems. Elementary actions linked together into recurrent behaviors, which, potentially can be linked into the larger entity – a software process.

## Claim of my research

*Initial*: It is possible to discover recurrent behaviors by systematic analysis of low-level development actions

**Revised**: It is possible to discover recurrent behaviors by systematic analysis of software process artifacts

## **Contributions of my research**

- 1. Software Trajectory Analysis framework
  - Peer-reviewed publication in ESEM-2010 doctoral symposium
- 2. SAX-VSM an algorithm for class-characteristic behaviors discovery
  - Peer-reviewed publication in ICDM-2013
- 3. SAX-VSM implementation and experimental evaluation, including the performance evaluation in hierarchical and k-means clustering
  - Peer-reviewed publication in ICDM-2013, partially unpublished
- 4. SAX-VSM discretization parameters optimization scheme
  - Peer-reviewed publication in ICDM-2013, partially unpublished
- 5. Novel time-series class-characteristic heatmap-like visualization
  - Peer-reviewed publication in ICDM-2013
- 6. JMotif, an open-source Java library for the time series classification, recurrent and rare patterns discovery, and the time series grammatical decomposition
  - Peer-reviewed publications in ECML/PKDD 2014, EDBT 2015
- 7. Software Trajectory Analysis empirical evaluation
  - Publication in SERP-2012
  - Future publication summarizing the dissertation

## **Discovering recurrent behaviors**

#### Online observations



In- or post- process interviewing

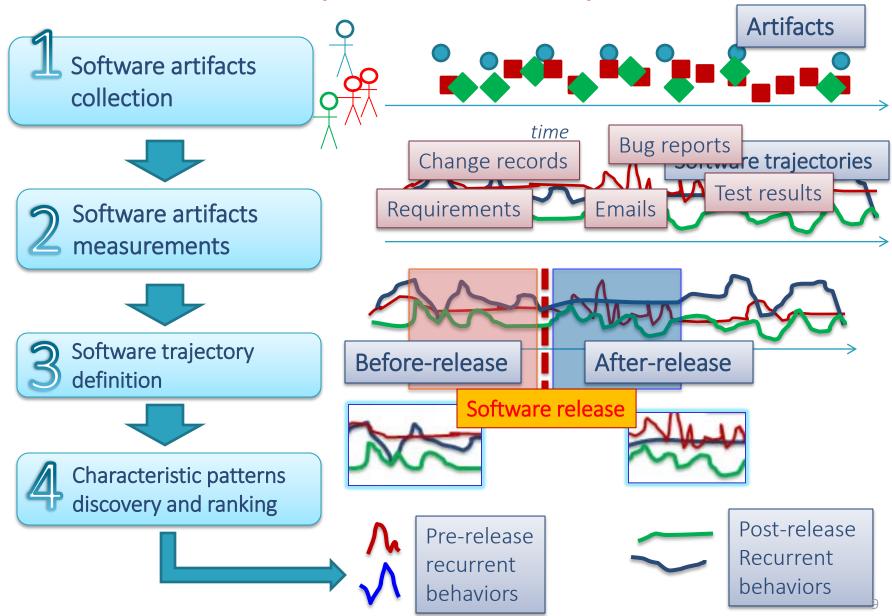


Expensive, Intrusive, Misleading

Expensive, Intrusive, Misleading, Annoying

We want an inexpensive, non-intrusive tool which facilitates the <u>systematic</u> recurrent behaviors discovery

# Contribution #1: Software Trajectory Analysis framework. (shown in a nutshell)

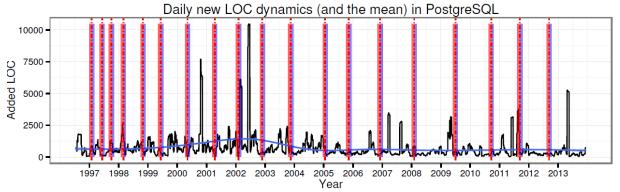


## Software Trajectory analysis application example

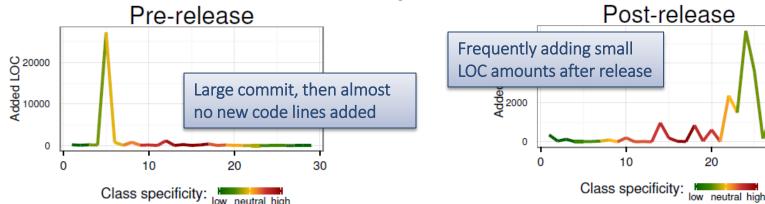
#### 1. Software artifact – software change record



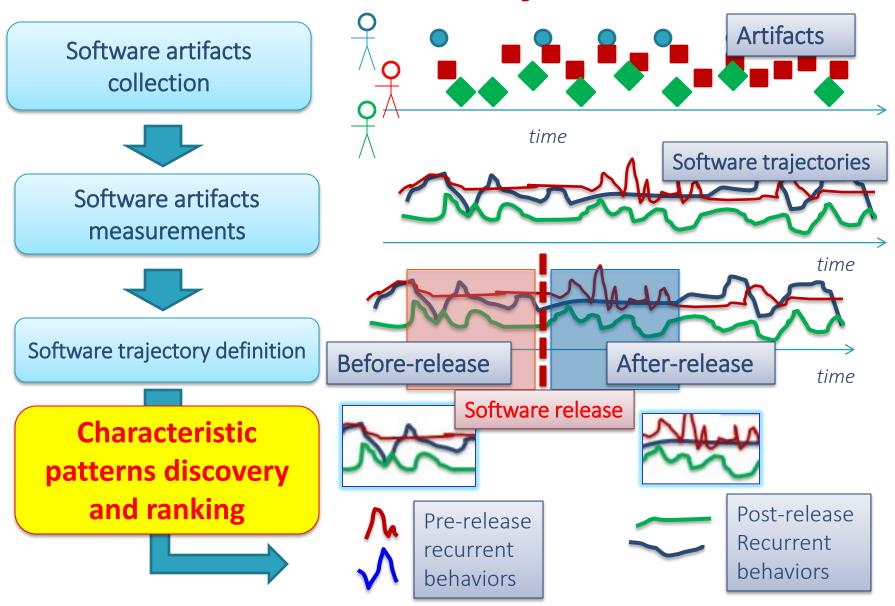
### 2 & 3. Systematic measurements => software trajectory synthesis



### 4. Recurrent behaviors discovery with a data mining toolkit



## What is really new?

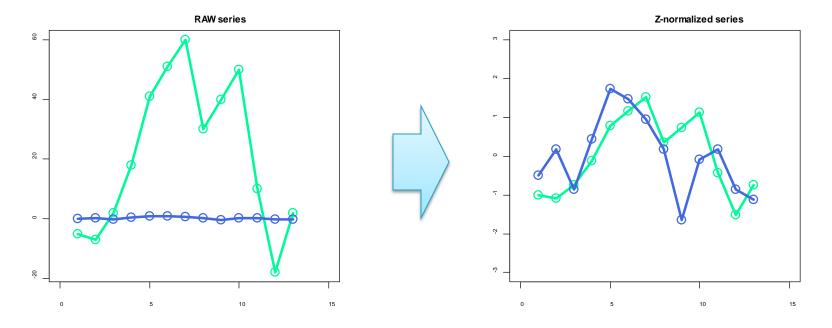


# Software Trajectory Analysis framework: characteristic behavior discovery and ranking magic

- **Q**: How to discover class-characteristic recurrent behaviors in software trajectories and rank them by characteristic power?
- A: I have invented an algorithm called **SAX-VSM** that enables class-characteristic behaviors discovery and ranking.
- The algorithm embeds a number of other algorithms (solutions), which we are going to see next at the high level, as the preliminaries.

## SAX-VSM step: z-normalization (to unit of energy)

$$x_i \in X$$
  $x_i' = \frac{x_i - \mu}{\sigma}$ ,  $i \in \mathbb{N}$  yields the vector  $X_i'$  such as  $\mu_{X'} \approx 0$  and  $\sigma_{X'} \approx 1$ 



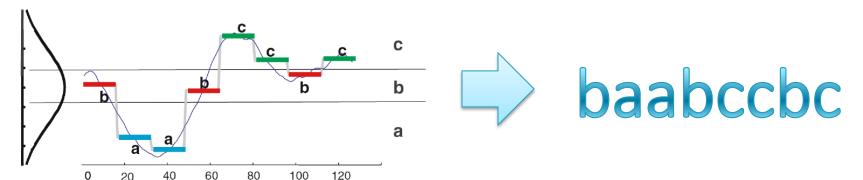
Allows to focus on temporal features, not scale

## **SAX-VSM** step: Symbolic Aggregate approXimation

#### 1. Z-normalization

2. PAA – Piecewise Aggregate Approximation
3. SAX – Symbolic Aggregate approXimation

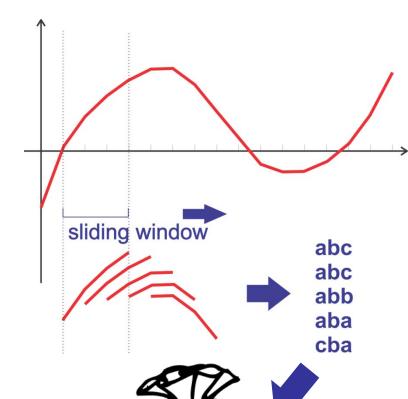
## Conversion of time series of size 128 into 8 symbols



In PAA we aggregate many (16) points into single value – the mean value of these points

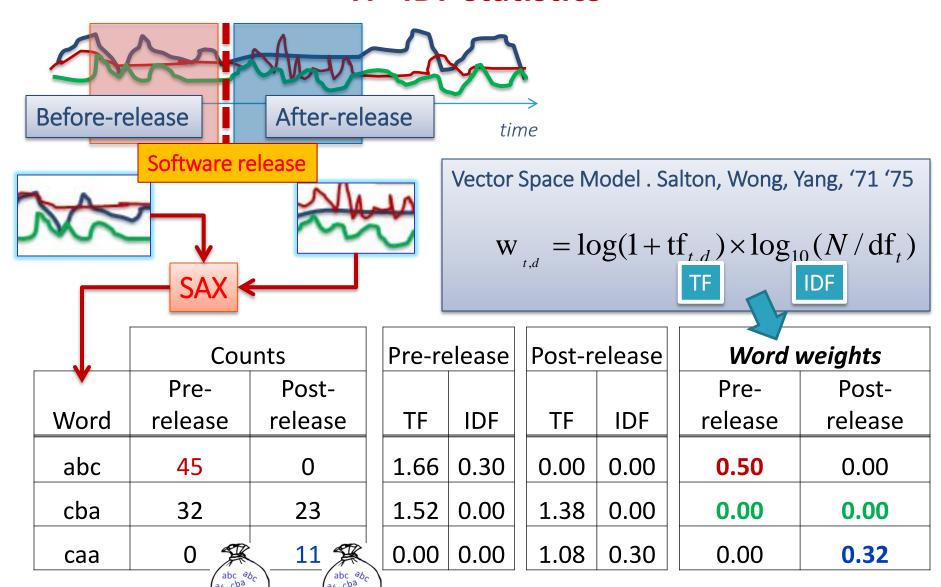
In SAX we convert these means into symbols based on the given alphabet

## **SAX-VSM** step: sliding window SAX discretization



- 1. Three parameters are required for this:
  - 1. sliding window size,
  - 2. number of PAA segments,
  - 3. the alphabet size.
- 2. By sliding a window we extract subsequences, each of them:
  - 1. z-normalized,
  - 2. discretized,
  - 3. placed into a "bag of words" that corresponds to a class.
- Note that at this point we do not care about the SAX words ordering anymore.

# SAX-VSM: VSM, words (behaviors) rating. TF\*IDF statistics



## **SAX-VSM:** How SAX gets the three parameters?

through the cross-validation procedure, where the cost function is based on the Cosine similarity

It has been shown, that the Cosine similarity works much better for vectors of TF\*IDF weights than any other distance metrics.

(Salton & Buckley, 1988).

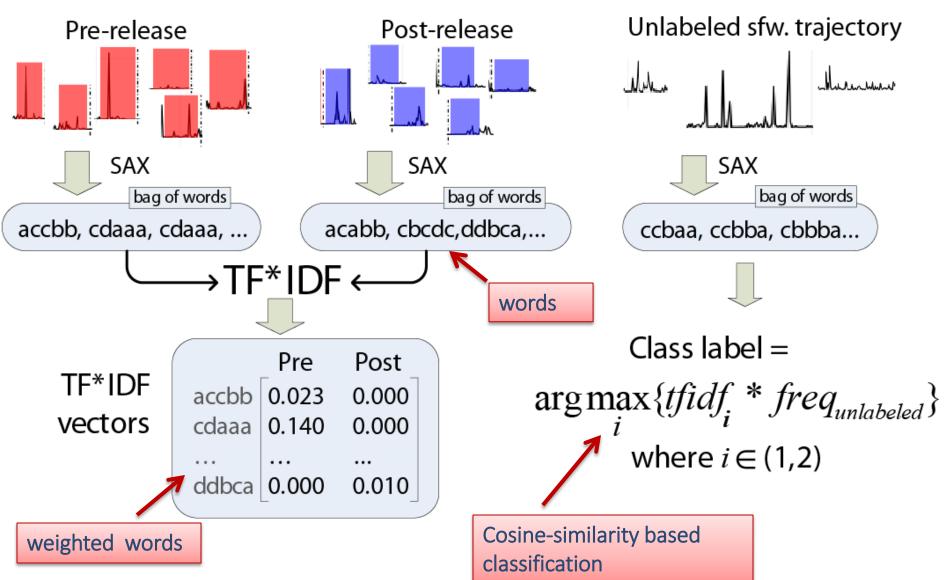
$$\cos(\vec{q}, \vec{d}) = \frac{\vec{q} \cdot \vec{d}}{|\vec{q}||\vec{d}|} = \frac{\vec{q}}{|\vec{q}|} \cdot \frac{\vec{d}}{|\vec{d}|} = \frac{\sum_{i=1}^{|V|} q_i d_i}{\sqrt{\sum_{i=1}^{|V|} q_i^2} \sqrt{\sum_{i=1}^{|V|} d_i^2}}$$

 $q_i$  is the tf-idf weight of word i in the query  $d_i$  is the tf-idf weight of word i in the document  $\cos(q,d)$  is the cosine similarity of q and d... or, equivalently, the cosine of the angle between q and d.

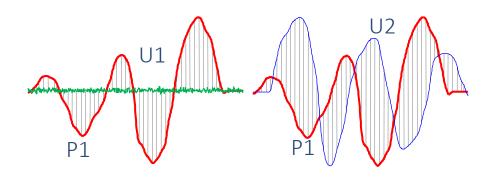
larger cosine value => smaller the angle => weight vectors are more similar

#### **Contribution #2:**

### SAX-VSM algorithm for trajectory-characteristic behavior discovery



## **Contribution #3: SAX-VSM performance evaluation**

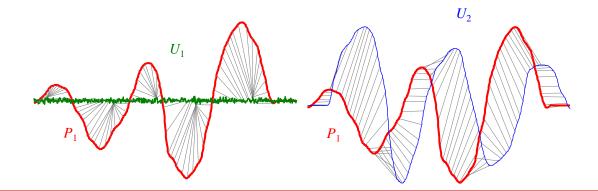


### **Euclidean distance**

"... it is clear that one-nearest-neighbor with Dynamic Time Warping (DTW) distance is exceptionally difficult to beat..."

"Fast Time Series Classification Using Numerosity Reduction", Xi, Keogh, Shelton, Wei, 2006



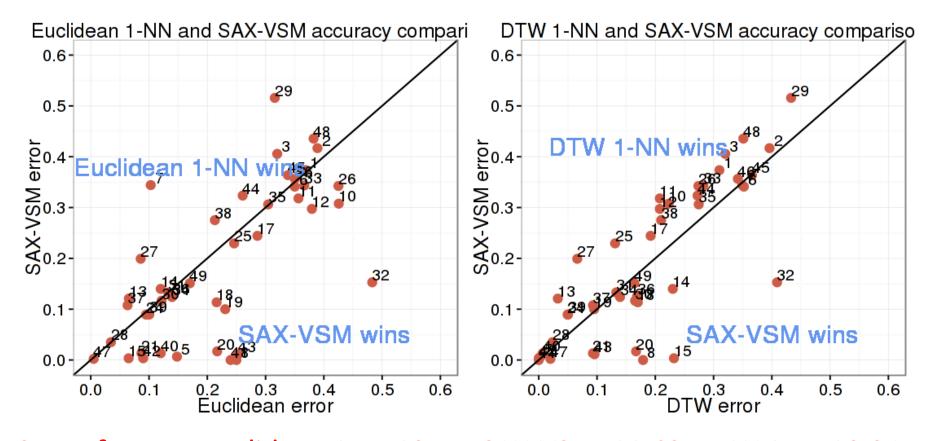


#### **Experimental Design:**

Competing against 1NN classifiers built upon Euclidean distance and DTW



# SAX-VSM classification accuracy evaluation on the standard UCR dataset (48 sets)

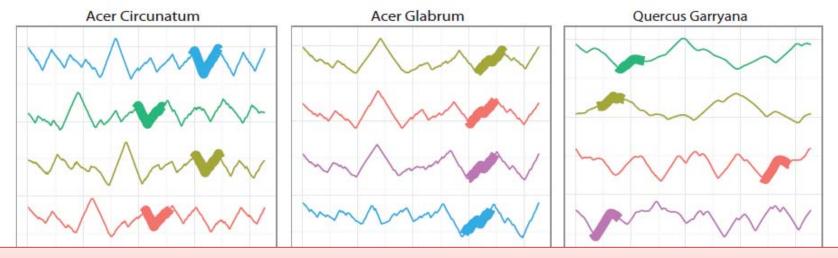


Sum of errors: Euclidean 1NN 12.57, SAX-VSM 11.63, DTW 1NN 10.94

On some datasets SAX-VSM accuracy is really good, on others it is average, exactly as the "No Free Lunch theorem" points out

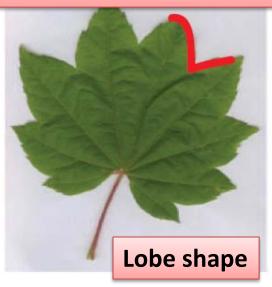


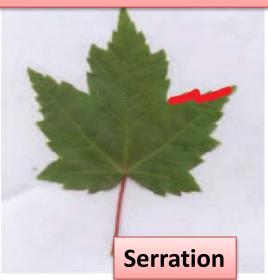
## **Example of interpretable SAX-VSM patterns**



Accuracy: Euclidean 51.7%, DTW 59.1%, SAX-VSM 92.2%

Moreover: SAX-VSM highlights same patterns which the field experts use

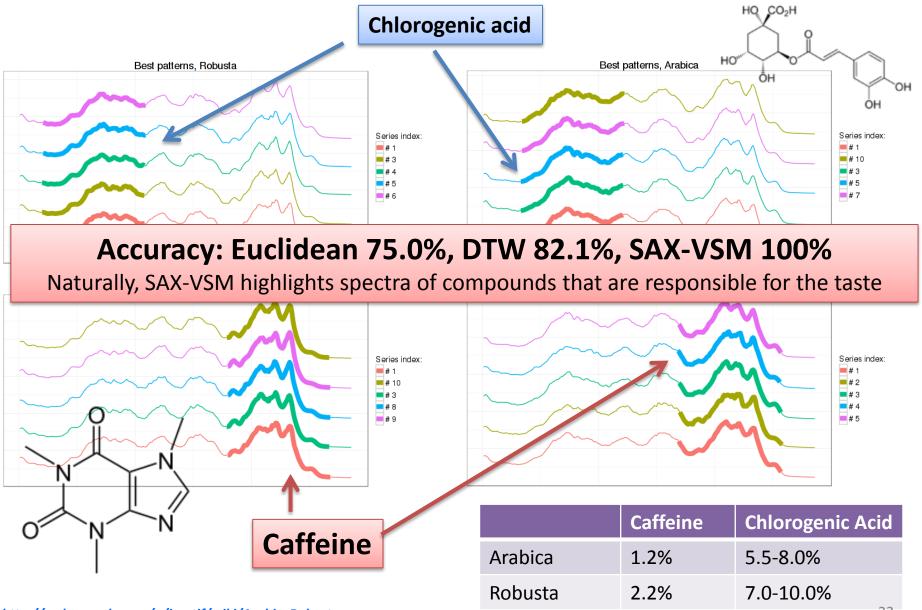








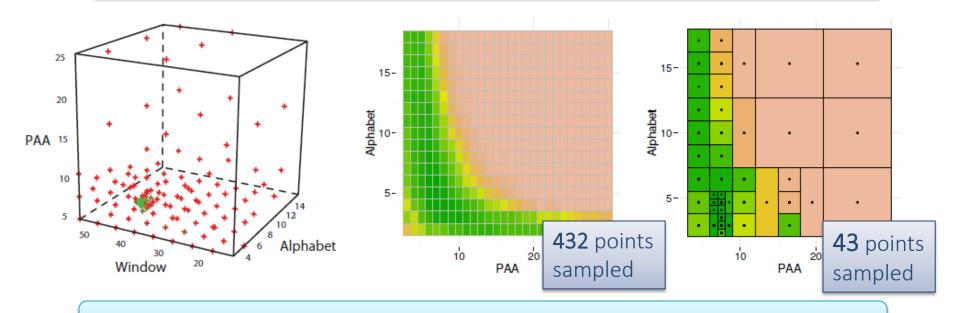
## **Example of interpretable SAX-VSM patterns**



# But, how do I choose discretization parameters? (Contribution #4)

- For the first time, for SAX-based technique, I have implemented a discretization parameters optimization scheme.
- It is based on previously developed general parameters optimization scheme called DIviding RECTangles.
- SAX-VSM uses the cross-validation procedure to assess the classification error, and the parameters of this cost function are optimized by DIRECT.

(1) Sliding window size; (2) PAA number; (3) The alphabet size

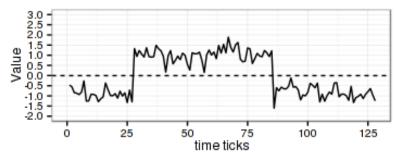


DIRECT converges orders of magnitude times faster than the grid scan

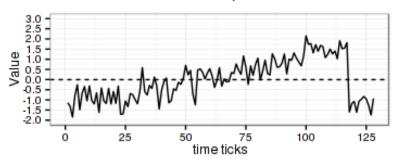


## **SAX-VSM** example: Cylinder-Bell-Funnel dataset

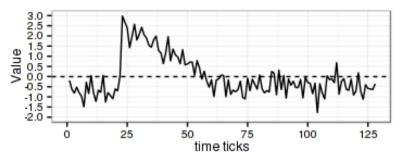




Class 2, Bell



Class 3, Funnel



**Cylinder**: a plateau;

Bell: increasing linear ramp, sharp drop; Funnel: a sharp rise, gradual decrease. The class-characteristic feature start, its duration and the amplitude are randomized. The Gaussian noise is also added

$$c(t) = (6+\eta) * X_{[a,b]}(t) + \varepsilon(t)$$

$$b(t) = (6+\eta) * X_{[a,b]}(t) * (t-a) / (b-a) + \varepsilon(t)$$

$$f(t) = (6+\eta) * X_{[a,b]}(t) * (b-t) / (b-a) + \varepsilon(t)$$

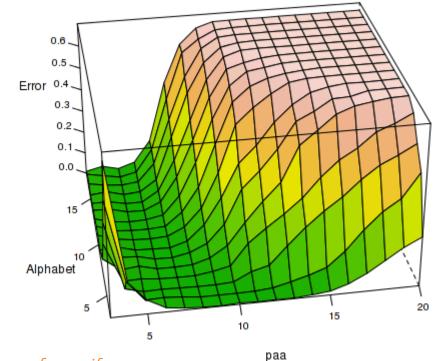
$$\mathbf{X}_{[a,b]} = \begin{cases} 0, t < a \\ 1, a \le t \le b \\ 0, t > b \end{cases}$$

where  $\eta$  and  $\varepsilon(t)$  are drawn from N(0,1) and a is integer uniformly drawn from [16,32] and b-a is uniformly drawn from [32,96]

# CBF dataset, SAX-VSM accuracy is 99.99% in 4 parameters optimization iterations

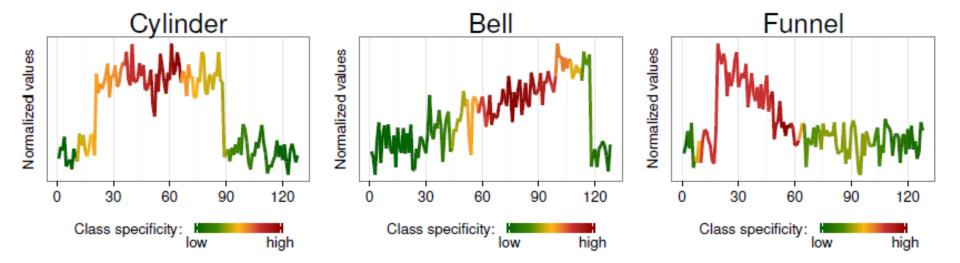
Features/Learner	Published error
Euclidean Distance (Keogh & Kasetty, 2002)	0.003
TClass/Naive Bayes (Kadous, 2002)	0.0367
Segments/Naive Bayes (Kadous, 2002)	0.0620
TClass/C4.5 (Kadous, 2002)	0.019
Segment/C4.5 (Kadous, 2002)	0.0241
TClass with AdaBoost/J48 (Kadous, 2002)	0.0139
Aligned Subsequence (Park et al. 2001)	0.451
Piecewise Normalization (Indyk et al. 2002)	0.130
Autocorrelation Functions (Wang & Wang 2000b)	0.380
Cepstrum (Kalpakis et. al. 2001)	0.570
String (Suffix Tree) (Huang & Yu 1999)	0.206
Important Points (Pratt & Fink 2002)	0.387
Edit Distance (Bozkaya et al.1997)	0.603
String Signature (Jonsson & Badal 1997)	0.444
Cosine Wavelets (Huntala et al. 1999)	0.130
Hölder (Struzik & Siebes)	0.331
Piecewise Probabilistic (Keogh & Smyth 1997)	0.202

	paa	Alphabet	WINDOW	асс	Error
1410	6	7	40	1	0
1788	- 7	5	40	1	0
1789	7	5	42	1	0
1818	7	6	46	1	0
1871	- 7	8	44	1	0
1898	- 7	9	44	1	0
1900	- 7	9	48	1	0
1901	- 7	9	50	1	0
2191	8	4	36	1	0
2197	8	4	48	1	0
2219	8	5	38	1	0
2220	8	5	40	1	0



## **Contribution #5: Heatmap visualization in SAX-VSM**

CBF dataset class-characteristic subsequence segment visualization



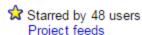
- Each time series point color intensity is the sum of weights of point-containing subsequences.
  - If subsequence from the class, the weight value is added, if it is from other class, it
    is subtracted.

### **Contribution #6:**

## JMotif library, implements SAX-VSM and more



#### **Project Information**



Code license GNU GPL v2

Content license Creative Commons 3.0 BY-SA

#### Labels

timeseries, SAX, SAX-VSM, PAA, iSAX, tfidf, motif, discord, distance, clustering, jmotif, Academic, Java, R

Members
seninp, jessi.lin
committer

#### Summary

JMotif implements in Java a number of methods for time series data handling, mining, and classification.

#### News

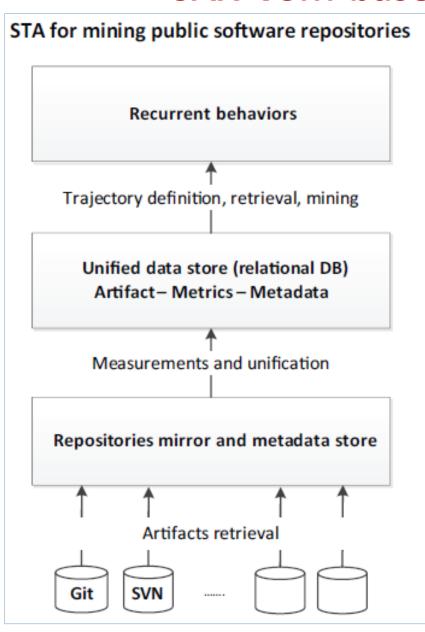
GrammarViz 2.0 has its own website and the code repository.

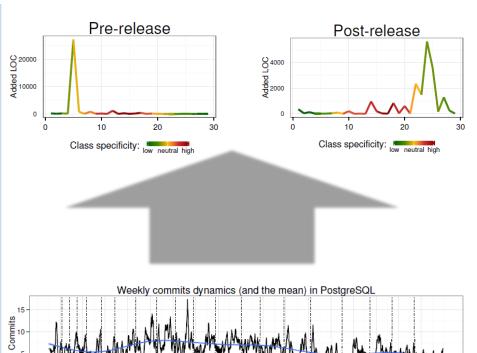
#### **Detailed summary**

In particular, JMotif implements:

- time series motif discovery workflow based on Symbolic Aggregate Approximation (i.e. SAX)
- time series discord discovery workflow based on SAX, known as HOTSAX
- time series characteristic patterns discovery and classification workflow based on SAX, TF\*IDF, and Vector Space Model (VSM), known as <u>SAX-VSM</u>
- time series variable length motif and discord discovery and visualization workflow based on SAX and Sequitur that extends the functionality of previously developed tool called <u>GrammarViz</u>

### **SAX-VSM-based STA architecture**





2005 2006 2007 2008 2009 2010 2011

1997 1998 1999 2000 2001 2002 2003

## STA evaluation experimental design:

- 1. Find software artifacts that can be labeled and are interesting for studying.
- Measure them.
- 3. Synthesize software trajectory classes using labels.
- 4. Apply SAX-VSM learning procedure based on cross-validation, or to a splitting of the existing dataset into train and test data.
- 5. Try to make sense of discovered class-characteristic behaviors.
- 6. Conclude.

### **Contribution #7: STA Case studies**

## PostgreSQL:

- CommitFest recurrent characteristic behavior discovery
- Software Release recurrent characteristic behavior discovery

#### Android OS:

Software Release characteristic recurrent behaviors discovery

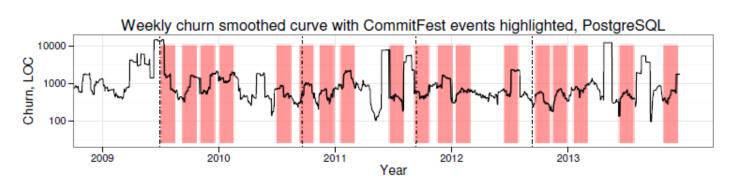
#### StackOverflow:

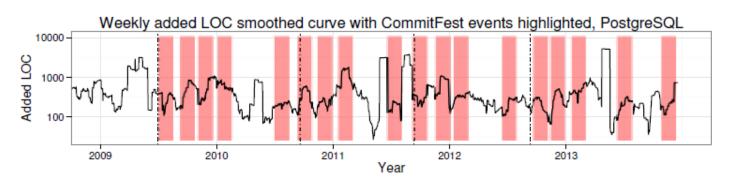
 Top contributors daily activity behavior analysis using TF\*IDF statistics



## PostgreSQL CommitFest recurrent behaviors case study design







- 1. Measure all change records.
- 2. Build software trajectories for CommitFest intervals and normal development cycle without differentiating contributors.
- 3. Run SAX-VSM parameters optimization using both classes.
- 4. Explore the discovered behaviors.



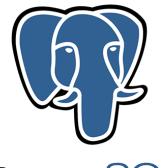
## PostgreSQL case study, results

C	ommit	Fest	behav	iors	exper	iment
---	-------	------	-------	------	-------	-------

Trajectory class	Discretization parameters	LOOCV accuracy
added LOC edited LOC deleted LOC added files edited files	6,5,8 14,5,5 8,6,10 12,8,5 12,4,11	72.22% 75.00% 75.00% 65.71% 66.67%
deleted files	27,7,3	55.17%

Software Release behaviors experiment

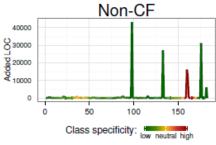
Trajectory class	Discretization parameters	LOOCV accuracy
added LOC edited LOC deleted LOC added files edited files	14,5,7 5,5,14 10,5,11 16,4,10 6,4,7	80.56% 75.00% 72.22% 64.71% 80.56%
deleted files	18,5,12	56.25%

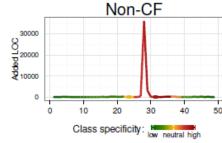


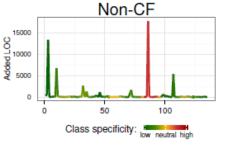
Postgre SQL

Table 4.5: The Leave One Out Cross Validation results for PostgreSQL aggregated trajectories. The discretization parameters are ordered as the sequence of sliding window size, PAA size, Alphabet size.

Normal dev cycle – Stand-alone spikes, no new code added







CommitFest –
Continuous changes
new code added
daily

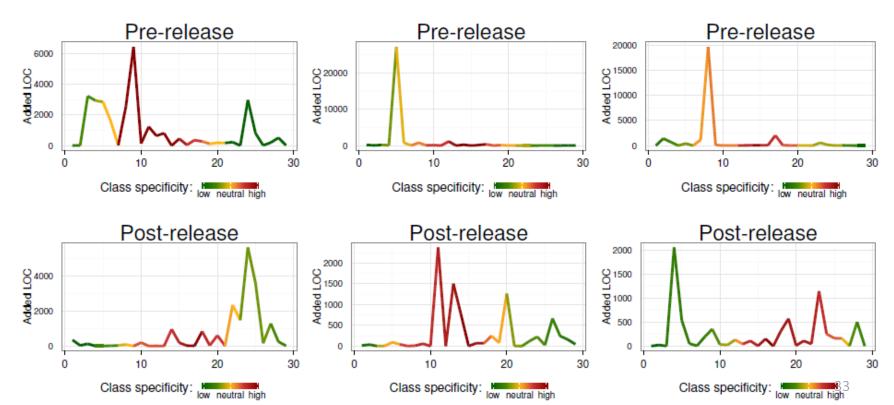






## PostgreSQL Software Release case study

- Measure all change records.
- 2. Build software trajectories for Pre-release using four weeks preceding the release week.
- 3. Build software trajectories for Post-release using four weeks succeding the release week.
- 4. Run SAX-VSM parameters optimization on two classes.
- 5. Explore the discovered behaviors.





## Android OS software release case study

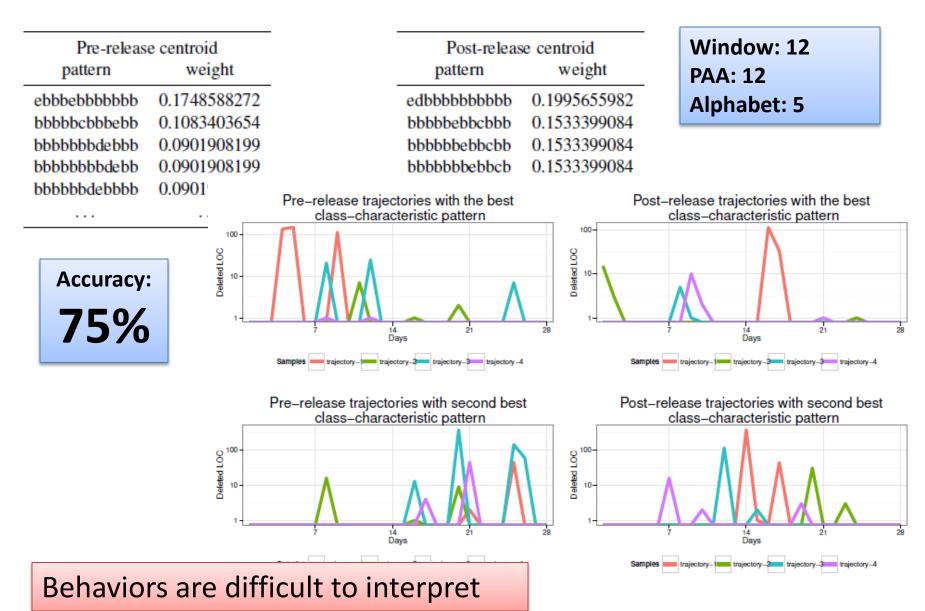
- 1. Measure all change records.
- 2. Randomly pick three releases and build

#### per-contributor trajectories .

- 3. Run SAX-VSM LOOCV on Pre- and Post- trajectories.
- 4. Using the optimal parameters assess the performance of SAX-VSM classifier on other releases.

Software metric	Train releases	Parameters	Accuracy	Note
added code lines	1,3,5	18,7,12	54.00%	biased towards post-
added code lines	4,6,9	15,15,5	58.33%	biased towards post-
added code lines	5,8,11	12,10,10	66.66%	biased towards pre-
added code lines	1,6,12	28,5,14	66.66%	biased towards pre-
edited lines	1,3,5	24,10,4	62.50%	biased towards post-
edited lines	4,6,9	24,5,12	58.33%	biased towards post-
edited lines	5,8,11	22,7,7	62.50%	biased towards pre-
edited lines	1,6,12	18,8,7	58.33%	biased towards pre-
deleted lines	1,3,5	24,10,4	58.44%	biased towards pre-
deleted lines	4,6,9	12,12,5	<b>75.00</b> s%	*
deleted lines	5,8,11	24,5,7	61.50%	biased towards post-
deleted lines	1,6,12	24,5,11	62.50%	biased towards pre-

### Android OS case study, best class characteristic behaviors



## John Skeet phenomenon:



## daily 200+ in reputation, for years

- Jon Skeet can divide by zero
- Jon Skeet IS the traveling salesman –
   only he knows the shortest route
- When Jon Skeet's code fails to compile,
   the compiler apologizes
- When invoking one of Jon's callbacks,
   he runtime adds "please"



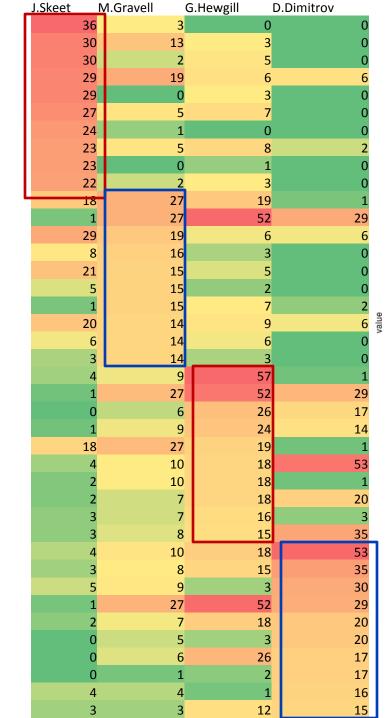
Jon Skeet Facts

## StackOverflow study design



The goal is to see if there are some interesting behaviors among Stackoverflow top contributors.

- 1. Account for answers submitted by 4 top contributors
- 2. Create software trajectories per contributor SO lifetime
- No sliding window used.
   Software trajectory is chopped by one day window.
   24 hours aggregated into 8 points, discretized into a word, A=3.
   Bags of words built for each contributor.
- 4. TF\*IDF computed for four word bags.



1aabbbccb

2aaccbbbb

3aabbccbb

4aabccbbb

5aacbbcbb 6aabbbcbb

7aaccbcbb 8aabcbcbb

9aacbbccb

10aacbbcba

11aabcbbbb

12bbbcbbbb

13aabccbbb 14aaccbabb

15 aaccbbba

16aabccabb

17aabccbab

18aabccbba

19 aacccbaa

20aabcbabb

21bbcbbbbb

22bbbcbbbb

23bbbbcbbb

24bbbccbbb

25aabcbbbb

26bbbbbbbbb

27bbccbbbb

28bbbbbcbb

29aabccbaa

32bbbbbbbc

33 aaaccbbb 34 bbbcbbbb

35bbbbbcbb

36aaaccbba 37bbbbcbbb

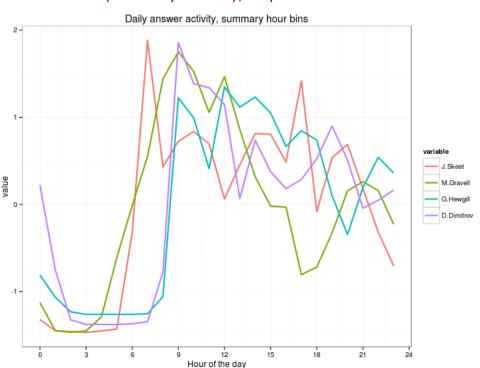
38aaacccba

39aaacbcbb

40bbbbbbcc

# Daily Answers counts for four StackOverflow top contributors

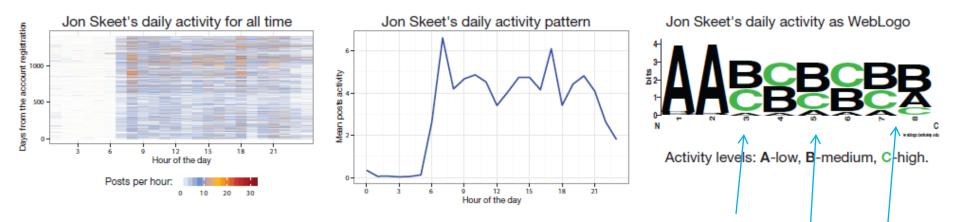
Sliding window=24hrs PAA=8 (bins by 3 hrs), Alphabet=3



#### Cosine distances

	J.Skeet	M.Gravell	D.Dimitrov	G.Hewgill	
J.Skeet	C	0	(	כ	0
M.Gravell	0,1699	C	(	)	0
D.Dimitrov	0,0096	0,04568	(	)	0
G.Hewgill	0,13533	0,18094	0,1533	3	0
					38

# Since behaviors representation is symbolic, why not to try some existing visualization for symbolic data?



- ...I have a longish commute both ways each day: a 3G data dongle lets me answer questions during that time...
- ... I spend a fair amount of time in the evening on my computer for whatever reason (coding, writing talks or articles, etc) I pop onto SO every so often...
- ...While at work, I tend to check SO while I have tests running, a deploy, or a build. I hope my colleagues wouldn't regard me as a slacker though...
- Well, there is nothing special in the behavior -- a common working day activity pattern. It is really self-motivation and persistence.
   No special magic in the recurrent behavior was detected.

## **STA** evaluation summary

#### Pros:

 STA is capable to discover and to rank recurrent behaviors – the dissertation research claim is supported.

#### Cons:

 The discovered behaviors are sometimes difficult to interpret. More project-specific knowledge required.

## **Contributions of my research**

- 1. Software Trajectory Analysis framework
  - Peer-reviewed publication in ESEM-2010 doctoral symposium
- 2. SAX-VSM an algorithm for class-characteristic behaviors discovery
  - Peer-reviewed publication in ICDM-2013
- 3. SAX-VSM implementation and experimental evaluation, including the performance evaluation in hierarchical and k-means clustering
  - Peer-reviewed publication in ICDM-2013, partially unpublished
- 4. SAX-VSM discretization parameters optimization scheme
  - Peer-reviewed publication in ICDM-2013, partially unpublished
- 5. Novel time-series class-characteristic heatmap-like visualization
  - Peer-reviewed publication in ICDM-2013
- 6. JMotif, an open-source Java library for the time series classification, recurrent and rare patterns discovery, and the time series grammatical decomposition
  - Peer-reviewed publications in ECML/PKDD 2014, EDBT 2015
- 7. Software Trajectory Analysis empirical evaluation
  - Publication in SERP-2012
  - Future publication summarizing the dissertation

#### **Future work**

- Done already, based on the dissertation research:
  - a tool for recurrent behaviors discovery in a real-time from a live stream of software artifact measurements (Grammarviz 2.0, ECML/PKDD 2014)
  - a novel algorithm for the unusual behavior detection, i.e. anomaly,
     EDBT 15.

#### In the queue:

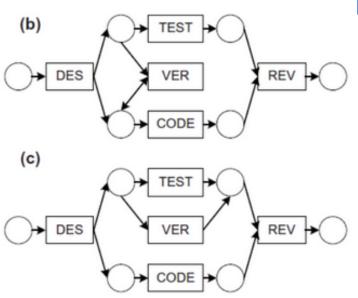
- SAX-VSM G an algorithm for time series classification and variable-length characteristic patterns discovery based on SAX, VSM, and grammatical inference.
- Kolmogorov-complexity based patterns ranking (MDL principle). I hope that it will significantly improve the quality of discovered patterns.

## Thank you!

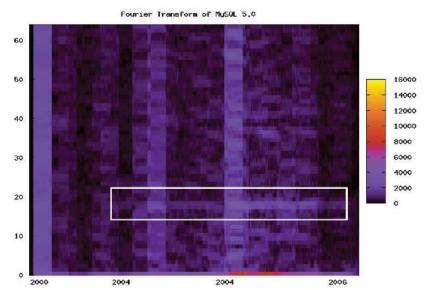


## Related work in automated behavior discovery

#### **Event log analysis, Cook, Wolf, Dongen, Aalst**

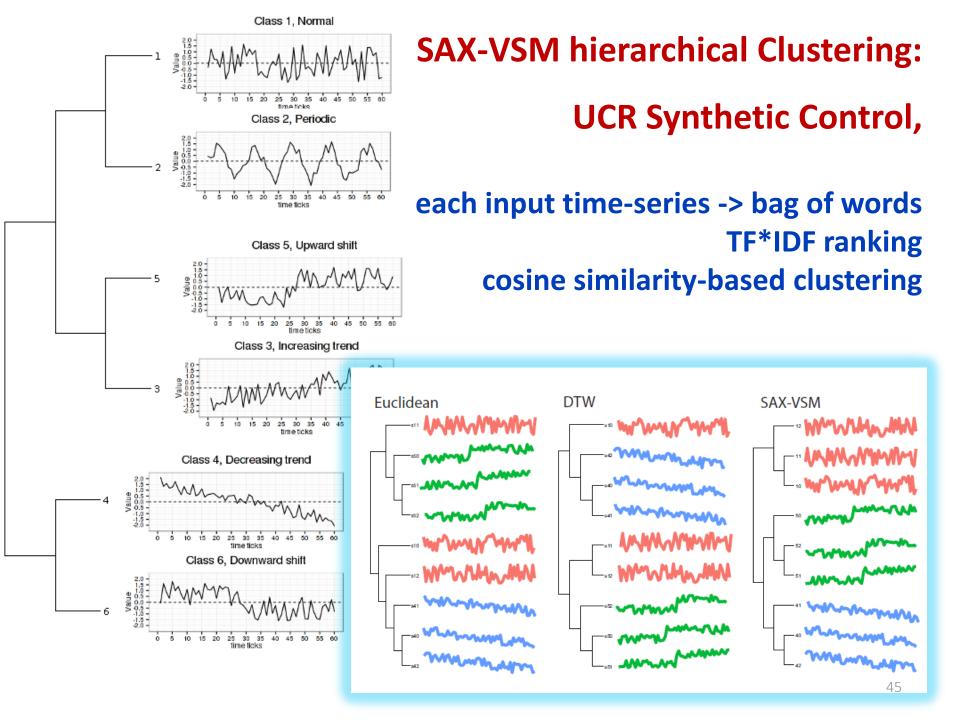


#### Fourier-transform based decomposition, Hindle



#### **STDB-notation, Hindle**

Project	Major	Minor	All
Firebird	S▼T▲B▼D▲	S▼T▼B▲D▼	S▼T▲B▼D▲
MaxDB	S∏TVBVD∏	S TAB D	S∏T∏B∏D▼
MySQL	S▼T▼B▲D▼	S▼T▼BMD▼	S▼T▼BMD▼
PostgreSQL	SATABADA	S▲T▼B▼D▲	S▲T▼B▲D▲



## SAX-VSM, k-Means Clustering

# updating/normalizing centroids after each iteration i.e. "spherical k-means"



Random centroids assignment made two clusters of the same class

Nevertheless, clustering recovered

Currently I employ further first strategy and restarting