

# CS 207: Discrete Structures

## Lecture 21 – Counting and Combinatorics Introduction to Ramsey Theory

Sept 1 2015

# Topics in Combinatorics

## Last two classes

- ▶ Pigeon-Hole Principle (PHP) and its applications
  - ▶ Every sequence of  $n^2 + 1$  distinct real numbers contains a subsequence of length  $n + 1$  which is either increasing or decreasing.
- ▶ The coloring game and its variants

# Topics in Combinatorics

## Last two classes

- ▶ Pigeon-Hole Principle (PHP) and its applications
  - ▶ Every sequence of  $n^2 + 1$  distinct real numbers contains a subsequence of length  $n + 1$  which is either increasing or decreasing.
- ▶ The coloring game and its variants

## Today's class

Generalizing the coloring game  
An introduction to Ramsey theory.

# Edge coloring problems

## Results we saw last class

1. Any 2-coloring of a graph on **6 nodes** has either a **red triangle** or a **blue triangle**.
  - ▶ 6 is the optimal such number. Thus,  $R(3, 3) = 6$ .

# Edge coloring problems

## Results we saw last class

1. Any 2-coloring of a graph on **6 nodes** has either a **red triangle** or a **blue triangle**.
  - ▶ 6 is the optimal such number. Thus,  $R(3, 3) = 6$ .
2. Any 2-coloring of a graph on **10 nodes** has either a **red triangle** or a **blue complete graph on 4 nodes**.

# Edge coloring problems

## Results we saw last class

1. Any 2-coloring of a graph on **6 nodes** has either a **red triangle** or a **blue triangle**.
  - ▶ 6 is the optimal such number. Thus,  $R(3, 3) = 6$ .
2. Any 2-coloring of a graph on **10 nodes** has either a **red triangle** or a **blue complete graph on 4 nodes**.
3. Any 2-coloring of a graph on **9 nodes** has either a **red triangle** or a **blue complete graph on 4 nodes**.
  - ▶ Is 9 the optimal such number?  $R(3, 4) \leq 9$ .
  - ▶ (H.W?) Prove that  $R(3, 4) = 9$ !
4. Any 2-coloring of a graph on **18 nodes** has a **monochromatic complete graph on 4 nodes**.

# Ramsey's theorem

Recall:

## Definition

For  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  denotes the minimum number of nodes such that any 2-coloring of a (complete) graph on  $R(k, \ell)$  nodes has

- ▶ either, a complete graph on  $k$ -nodes with all red edges
- ▶ or, a complete graph on  $\ell$ -nodes with all blue edges

# Ramsey's theorem

Recall:

## Definition

For  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  denotes the minimum number of nodes such that any 2-coloring of a (complete) graph on  $R(k, \ell)$  nodes has

- ▶ either, a complete graph on  $k$ -nodes with all red edges
- ▶ or, a complete graph on  $\ell$ -nodes with all blue edges

## Ramsey's theorem (simplified version)

For all  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  exists, i.e., it is finite.



# Ramsey's theorem

Recall:

## Definition

For  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  denotes the minimum number of nodes such that any 2-coloring of a (complete) graph on  $R(k, \ell)$  nodes has

- ▶ either, a complete graph on  $k$ -nodes with all red edges
- ▶ or, a complete graph on  $\ell$ -nodes with all blue edges

## Ramsey's theorem (simplified version)

For all  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  exists, i.e., it is finite. In fact,

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

## Ramsey theory: A search for order in disorder!

Every structure no matter how disordered must contain some regular sub-part!

E.g., any 2-coloring on a complete graph of 10 nodes contains either a complete graph of 3 nodes of one color or a complete graph of 4 nodes of the other color.

## Ramsey theory: A search for order in disorder!

Every structure no matter how disordered must contain some regular sub-part!

E.g., any 2-coloring on a complete graph of 10 nodes contains either a complete graph of 3 nodes of one color or a complete graph of 4 nodes of the other color.

- Suppose in a group of people any two are friends or enemies.

## Ramsey theory: A search for order in disorder!

Every structure no matter how disordered must contain some regular sub-part!

E.g., any 2-coloring on a complete graph of 10 nodes contains either a complete graph of 3 nodes of one color or a complete graph of 4 nodes of the other color.

- ▶ Suppose in a group of people any two are friends or enemies.
- ▶ In any set of 10 people there must be either 3 mutual friends or 4 mutual enemies.

## Proof of Ramsey's theorem

- ▶ What is  $R(n, 2) = R(2, n)$ ?

## Proof of Ramsey's theorem

- ▶ What is  $R(n, 2) = R(2, n)$ ?
- ▶ What is  $R(1, 1)$ ?  $R(n, 1) = R(1, n)$ ?

## Proof of Ramsey's theorem

- ▶ What is  $R(n, 2) = R(2, n)$ ?
- ▶ What is  $R(1, 1)$ ?  $R(n, 1) = R(1, n)$ ?

For all integers  $k, \ell \geq 2$ ,  $R(k, \ell)$  is finite.

# Proof of Ramsey's theorem

- ▶ What is  $R(n, 2) = R(2, n)$ ?
- ▶ What is  $R(1, 1)$ ?  $R(n, 1) = R(1, n)$ ?

For all integers  $k, \ell \geq 2$ ,  $R(k, \ell)$  is finite.

Proof:

- ▶ By strong induction on  $k + \ell$ .
- ▶ Base case:  $R(2, 2) = 2$ .



# Proof of Ramsey's theorem

- ▶ What is  $R(n, 2) = R(2, n)$ ?
- ▶ What is  $R(1, 1)$ ?  $R(n, 1) = R(1, n)$ ?

For all integers  $k, \ell \geq 2$ ,  $R(k, \ell)$  is finite.

Proof:

- ▶ By strong induction on  $k + \ell$ .
- ▶ Base case:  $R(2, 2) = 2$ .
- ▶ Suppose it is true for all  $k, \ell$  such that  $k + \ell < N$ . We will show that  $R(k, \ell)$  is finite by showing

$$R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$$

where  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist by induction hypothesis since  $k + \ell - 1 < N$ .

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

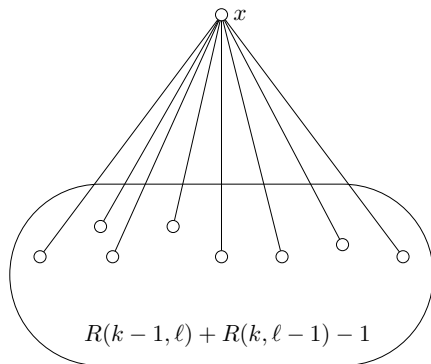
- ▶ i.e., given a 2-colored complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes, it has either a complete red graph with  $k$  nodes or a complete blue graph with  $\ell$  nodes.

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.

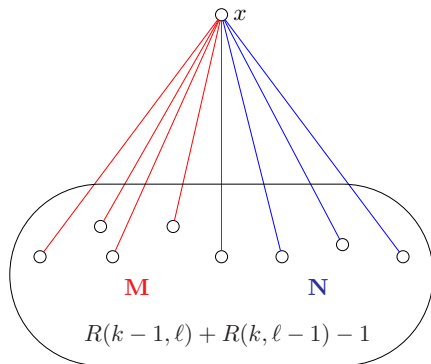


## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.

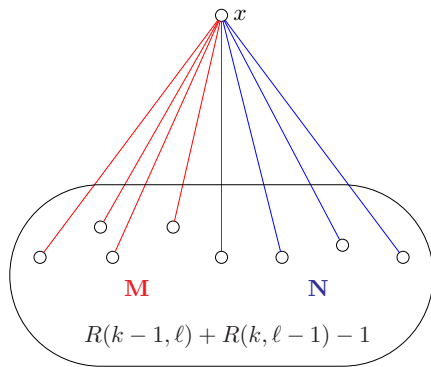


## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.



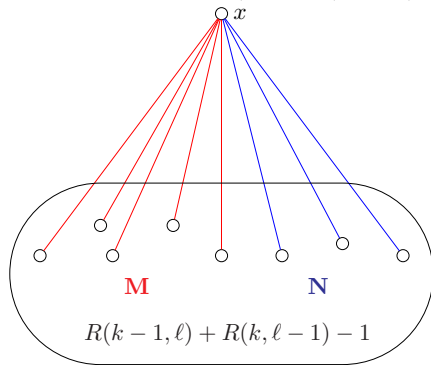
► Clearly  $M + N = R(k-1, \ell) + R(k, \ell-1) - 1$ .

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.



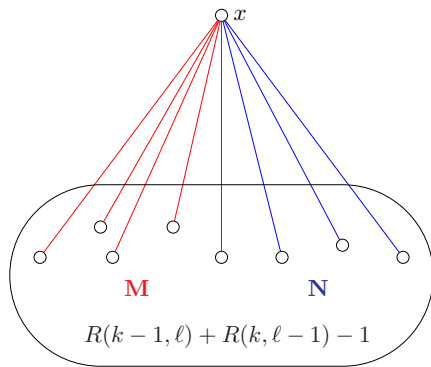
- Clearly  $M + N = R(k-1, \ell) + R(k, \ell-1) - 1$ .
- By PHP, either  $M \geq R(k-1, \ell)$  or  $N \geq R(k, \ell-1)$ .

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.



► Case 1:  $M \geq R(k-1, \ell)$ .

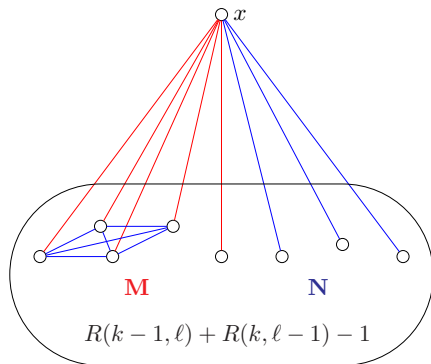


## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.



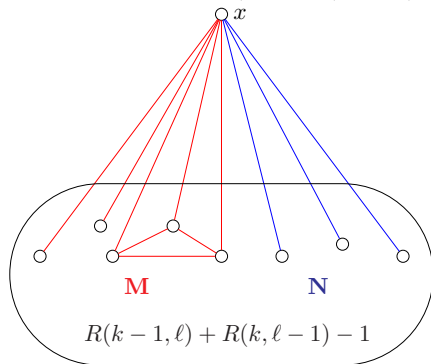
- Case 1:  $M \geq R(k-1, \ell)$ . Either complete blue graph on  $\ell$  nodes

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.



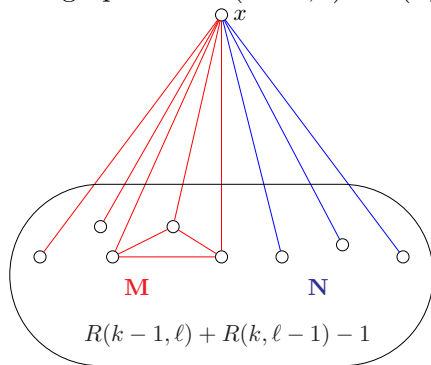
- Case 1:  $M \geq R(k-1, \ell)$ . Either complete blue graph on  $\ell$  nodes or complete red graph on  $k-1$  nodes +  $x$

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.



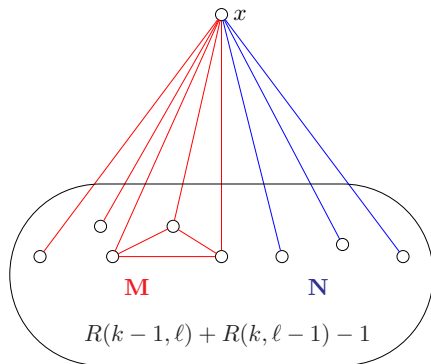
- ▶ Case 1:  $M \geq R(k-1, \ell)$ . ✓
- ▶ Case 2:  $N \geq R(k, \ell-1)$  leads to similar argument. ✓

## Proof of Ramsey's theorem contd.

By ind hyp assume that  $R(k-1, \ell)$  and  $R(k, \ell-1)$  exist. Then,

**Claim:**  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$

Consider complete graph with  $R(k-1, \ell) + R(k, \ell-1)$  nodes.



Thus in all cases, we have  $R(k, \ell) \leq R(k-1, \ell) + R(k, \ell-1)$ .  $\square$

# Proof of Ramsey's theorem

## Ramsey's theorem (simplified version)

For all  $k, \ell \geq 2$ ,  $R(k, \ell)$  exists, i.e., it is finite. Further,

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

Proof:

# Proof of Ramsey's theorem

## Ramsey's theorem (simplified version)

For all  $k, \ell \geq 2$ ,  $R(k, \ell)$  exists, i.e., it is finite. Further,

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

Proof: Now, this should be trivial!

# Proof of Ramsey's theorem

## Ramsey's theorem (simplified version)

For all  $k, \ell \geq 2$ ,  $R(k, \ell)$  exists, i.e., it is finite. Further,

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

Proof:

- By induction on  $k + \ell$  as before.

# Proof of Ramsey's theorem

## Ramsey's theorem (simplified version)

For all  $k, \ell \geq 2$ ,  $R(k, \ell)$  exists, i.e., it is finite. Further,

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

Proof:

- ▶ By induction on  $k + \ell$  as before.
- ▶ Base case for  $k = \ell = 2$  is done.



# Proof of Ramsey's theorem

## Ramsey's theorem (simplified version)

For all  $k, \ell \geq 2$ ,  $R(k, \ell)$  exists, i.e., it is finite. Further,

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

Proof:

- ▶ By induction on  $k + \ell$  as before.
- ▶ Base case for  $k = \ell = 2$  is done.
- ▶ By what we just showed and induction hypothesis we have:

$$\begin{aligned} R(k, \ell) &\leq R(k - 1, \ell) + R(k, \ell - 1) \\ &\leq \binom{k + \ell - 3}{k - 2} + \binom{k + \ell - 3}{k - 1} = \binom{k + \ell - 2}{k - 1} \end{aligned}$$



# Ramsey theory

## Some interesting facts

- ▶ The general Ramsey theorem extends this to any finite number of colors (not just 2).
- ▶ Several applications, vast research area!
- ▶ Exact values are known only for 6 or so entries:  $R(3, 3) = 6$ ,  $R(3, 4) = 9$ ,  $R(4, 4) = 18, \dots$   $R(3, 8) = 28$  or  $29 \dots$
- ▶ Only bounds are known for rest. (see wiki on this...)

# Ramsey theory

## Some interesting facts

- ▶ The general Ramsey theorem extends this to any finite number of colors (not just 2).
- ▶ Several applications, vast research area!
- ▶ Exact values are known only for 6 or so entries:  $R(3, 3) = 6$ ,  $R(3, 4) = 9$ ,  $R(4, 4) = 18, \dots$   $R(3, 8) = 28$  or  $29 \dots$
- ▶ Only bounds are known for rest. (see wiki on this...)
- ▶ What about lower bounds? (next class!)

# Ramsey theory

## Some interesting facts

- ▶ The general Ramsey theorem extends this to any finite number of colors (not just 2).
- ▶ Several applications, vast research area!
- ▶ Exact values are known only for 6 or so entries:  $R(3, 3) = 6$ ,  $R(3, 4) = 9$ ,  $R(4, 4) = 18, \dots$   $R(3, 8) = 28$  or  $29 \dots$
- ▶ Only bounds are known for rest. (see wiki on this...)
- ▶ What about lower bounds? (next class!)

So how hard is it? Paul Erdős is supposed to have said:

# Ramsey theory

## Some interesting facts

- ▶ The general Ramsey theorem extends this to any finite number of colors (not just 2).
- ▶ Several applications, vast research area!
- ▶ Exact values are known only for 6 or so entries:  $R(3, 3) = 6$ ,  $R(3, 4) = 9$ ,  $R(4, 4) = 18, \dots$   $R(3, 8) = 28$  or  $29 \dots$
- ▶ Only bounds are known for rest. (see wiki on this...)
- ▶ What about lower bounds? (next class!)

So how hard is it? Paul Erdős is supposed to have said:

*Suppose an evil alien would tell mankind “Either you tell me the value of  $R(5, 5)$  or I will exterminate the human race.” ... It would be best to try to compute it, both by mathematics and with a computer. If he would ask for the value of  $R(6, 6)$ , the best thing would be to destroy him before he destroys us, because we couldn't.*

## Two more exercises to try out on Ramsey theory

- ▶ We know that a 2-coloring of any graph that contains a complete graph on 6 nodes must contain one monochromatic triangle.

## Two more exercises to try out on Ramsey theory

- ▶ We know that a 2-coloring of any graph that contains a complete graph on 6 nodes must contain one monochromatic triangle.
- ▶ (H.W.) Prove that any 2-coloring of a complete graph on 6 nodes in fact must contain 2 monochromatic triangles!

## Two more exercises to try out on Ramsey theory

- ▶ We know that a 2-coloring of any graph that contains a complete graph on 6 nodes must contain one monochromatic triangle.
- ▶ (H.W.) Prove that any 2-coloring of a complete graph on 6 nodes in fact must contain 2 monochromatic triangles!
- ▶ Are there other graphs that do not contain a complete graph on 6 nodes and yet must have a monochromatic triangle?



## Two more exercises to try out on Ramsey theory

- ▶ We know that a 2-coloring of any graph that contains a complete graph on 6 nodes must contain one monochromatic triangle.
- ▶ (H.W.) Prove that any 2-coloring of a complete graph on 6 nodes in fact must contain 2 monochromatic triangles!
- ▶ Are there other graphs that do not contain a complete graph on 6 nodes and yet must have a monochromatic triangle?

### Further reading

- ▶ Books on graph theory: Frank Harary, Douglas West
- ▶ Book on Ramsey theory: Ramsey Theory, R.L. Graham , B.L. Rothschild, J. H. Spencer