

# CS 207: Discrete Structures

## Lecture 22 – Bounds on Ramsey Numbers

Sept 3 2015

# Ramsey theory: A search for order in disorder

Every structure no matter how disordered must contain some “nice” (regular) sub-structure!

# Ramsey theory: A search for order in disorder

Every structure no matter how disordered must contain some “**nice**” (regular) sub-structure!

## Recall: Definition

For  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  denotes the minimum number of nodes such that any 2-coloring of a (complete) graph on  $R(k, \ell)$  nodes has

- ▶ either, a complete graph on  $k$ -nodes with all **red** edges
- ▶ or, a complete graph on  $\ell$ -nodes with all **blue** edges

# Ramsey theory: A search for order in disorder

Every structure no matter how disordered must contain some “**nice**” (regular) sub-structure!

## Recall: Definition

For  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  denotes the minimum number of nodes such that any 2-coloring of a (complete) graph on  $R(k, \ell)$  nodes has

- ▶ either, a complete graph on  $k$ -nodes with all **red** edges
- ▶ or, a complete graph on  $\ell$ -nodes with all **blue** edges

## Ramsey's theorem (2-color simple version)

For all  $k, \ell \in \mathbb{N}$ ,  $R(k, \ell)$  exists, i.e., it is finite. In fact,

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

# Bounds on Ramsey numbers

## Upper bounds

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

# Bounds on Ramsey numbers

## Upper bounds

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

$$R(k, k) \leq \binom{2k - 2}{k - 1}$$

# Bounds on Ramsey numbers

## Upper bounds

$$R(k, \ell) \leq \binom{k + \ell - 2}{k - 1}$$

$$R(k, k) \leq \binom{2k - 2}{k - 1} \leq 2^{2(k-1)}$$

# Bounds on Ramsey numbers

## Upper bounds

$$\begin{aligned} R(k, \ell) &\leq \binom{k + \ell - 2}{k - 1} \\ R(k, k) &\leq \binom{2k - 2}{k - 1} \leq 2^{2(k-1)} \\ &\leq \frac{2^{2k-2}}{\sqrt{2(k-1)}} \quad (\text{H.W}) \end{aligned}$$



# Bounds on Ramsey numbers

## Upper bounds

$$\begin{aligned} R(k, \ell) &\leq \binom{k + \ell - 2}{k - 1} \\ R(k, k) &\leq \binom{2k - 2}{k - 1} \leq 2^{2(k-1)} \\ &\leq \frac{2^{2k-2}}{\sqrt{2(k-1)}} \quad (\text{H.W}) \end{aligned}$$

So what about lower bounds?

Next: Lower bound for Ramsey numbers

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

# Bounds on Ramsey numbers

## Upper bounds

$$\begin{aligned} R(k, \ell) &\leq \binom{k + \ell - 2}{k - 1} \\ R(k, k) &\leq \binom{2k - 2}{k - 1} \leq 2^{2(k-1)} \\ &\leq \frac{2^{2k-2}}{\sqrt{2(k-1)}} \quad (\text{H.W}) \end{aligned}$$

So what about lower bounds?

- For what value of  $n$  can we be sure that in a complete graph with  $n$  nodes, there exists a 2-coloring of edges such that there is **no** monochromatic  $k$ -complete set of nodes.

## Next: Lower bound for Ramsey numbers

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

# Lower bound on Ramsey numbers

Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

# Lower bound on Ramsey numbers

Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method: Consider a graph with  $n$  nodes.

# Lower bound on Ramsey numbers

Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method: Consider a graph with  $n$  nodes.

1. Pick a random coloring of edges with 2 colors, i.e., each edge is colored
  - ▶ blue with probability  $1/2$ .
  - ▶ red with probability  $1/2$ .

# Lower bound on Ramsey numbers

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method: Consider a graph with  $n$  nodes.

1. Pick a random coloring of edges with 2 colors, i.e., each edge is colored
  - ▶ blue with probability  $1/2$ .
  - ▶ red with probability  $1/2$ .
2. Let  $X$  be a collection of  $k$  nodes.  
Prob [all edges in  $X$  are red] =

# Lower bound on Ramsey numbers

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method: Consider a graph with  $n$  nodes.

1. Pick a random coloring of edges with 2 colors, i.e., each edge is colored
  - ▶ blue with probability  $1/2$ .
  - ▶ red with probability  $1/2$ .
2. Let  $X$  be a collection of  $k$  nodes.

$$\text{Prob} [\text{all edges in } X \text{ are red}] = \frac{1}{2^{\binom{k}{2}}}$$

$$\text{Prob} [(\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] =$$

# Lower bound on Ramsey numbers

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method: Consider a graph with  $n$  nodes.

1. Pick a random coloring of edges with 2 colors, i.e., each edge is colored
  - ▶ blue with probability  $1/2$ .
  - ▶ red with probability  $1/2$ .

2. Let  $X$  be a collection of  $k$  nodes.

$$\text{Prob} [\text{all edges in } X \text{ are red}] = \frac{1}{2^{\binom{k}{2}}}$$

$$\text{Prob} [(\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \frac{2}{2^{\binom{k}{2}}}.$$

3.  $\therefore$  Prob  $[\exists X, |X| = k \text{ such that: } (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] =$



# Lower bound on Ramsey numbers

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method: Consider a graph with  $n$  nodes.

1. Pick a random coloring of edges with 2 colors, i.e., each edge is colored
  - ▶ blue with probability  $1/2$ .
  - ▶ red with probability  $1/2$ .

2. Let  $X$  be a collection of  $k$  nodes.

$$\text{Prob} [\text{all edges in } X \text{ are red}] = \frac{1}{2^{\binom{k}{2}}}$$

$$\text{Prob} [(\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \frac{2}{2^{\binom{k}{2}}}.$$

3.  $\therefore \text{Prob} [\exists X, |X| = k \text{ such that: } (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}}$

# Lower bound on Ramsey numbers

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method: Consider a graph with  $n$  nodes.

1. Pick a random coloring of edges with 2 colors, i.e., each edge is colored
  - ▶ blue with probability  $1/2$ .
  - ▶ red with probability  $1/2$ .

2. Let  $X$  be a collection of  $k$  nodes.

$$\text{Prob} [\text{all edges in } X \text{ are red}] = \frac{1}{2^{\binom{k}{2}}}$$

$$\text{Prob} [(\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \frac{2}{2^{\binom{k}{2}}}.$$

3.  $\therefore \text{Prob} [\exists X, |X| = k \text{ such that: } (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1-\binom{k}{2}}.$

## Lower bound on Ramsey numbers: probabilistic method

- ▶ Now, if  $\text{Prob}[A] < 1$ , then we know that  $\text{Prob}[\neg A] > 0$ .

## Lower bound on Ramsey numbers: probabilistic method

- ▶ Now, if  $\text{Prob}[A] < 1$ , then we know that  $\text{Prob}[\neg A] > 0$ .
- ▶ Now consider:
- ▶ If  $\text{Prob}[\exists X, |X| = k, \text{ either (all edges in } X \text{ are red) or (all edges in } X \text{ are blue)}] < 1$ ,

## Lower bound on Ramsey numbers: probabilistic method

- ▶ Now, if  $\text{Prob}[A] < 1$ , then we know that  $\text{Prob}[\neg A] > 0$ .
- ▶ Now consider:
- ▶ If  $\text{Prob}[\exists X, |X| = k, \text{ either (all edges in } X \text{ are red) or (all edges in } X \text{ are blue)}] < 1$ ,
- ▶ then,  $\text{Prob}[\forall X, |X| = k, \text{ neither (all edges in } X \text{ are red) nor (all edges in } X \text{ are blue)}] > 0$ ,

## Lower bound on Ramsey numbers: probabilistic method

- ▶ Now, if  $\text{Prob}[A] < 1$ , then we know that  $\text{Prob}[\neg A] > 0$ .
- ▶ Now consider:
- ▶ If  $\text{Prob}[\exists X, |X| = k, \text{ either (all edges in } X \text{ are red) or (all edges in } X \text{ are blue)}] < 1$ ,
- ▶ then,  $\text{Prob}[\forall X, |X| = k, \text{ neither (all edges in } X \text{ are red) nor (all edges in } X \text{ are blue)}] > 0$ ,
- ▶ That is,  $\exists$  a 2-coloring of edges such that there is **no** monochromatic  $k$ -complete subset of nodes.

# Lower bound on Ramsey numbers: probabilistic method

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method (pick random coloring of edges):

3.  $\therefore \text{Prob} [\exists X, |X| = k: (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1-\binom{k}{2}}$

# Lower bound on Ramsey numbers: probabilistic method

Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method (pick random coloring of edges):

3.  $\therefore \text{Prob} [\exists X, |X| = k: (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1-\binom{k}{2}} \leq n^k \cdot 2^{\frac{-k(k-2)}{2}}.$



# Lower bound on Ramsey numbers: probabilistic method

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method (pick random coloring of edges):

3.  $\therefore \text{Prob} [\exists X, |X| = k: (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1 - \binom{k}{2}} \leq n^k \cdot 2^{\frac{-k(k-2)}{2}}.$
4. Now, r.h.s  $< 1$  if  $n^k < 2^{k(k-2)/2}$ , i.e., if  $n < 2^{(k-2)/2}$ .

# Lower bound on Ramsey numbers: probabilistic method

Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method (pick random coloring of edges):

3.  $\therefore \text{Prob} [\exists X, |X| = k: (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1 - \binom{k}{2}} \leq n^k \cdot 2^{\frac{-k(k-2)}{2}}.$
4. Now, r.h.s  $< 1$  if  $n^k < 2^{k(k-2)/2}$ , i.e., if  $n < 2^{(k-2)/2}$ .
5. Thus, if  $n < 2^{(k-2)/2}$ , then

# Lower bound on Ramsey numbers: probabilistic method

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method (pick random coloring of edges):

3.  $\therefore \text{Prob} [\exists X, |X| = k: (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1 - \binom{k}{2}} \leq n^k \cdot 2^{\frac{-k(k-2)}{2}}.$
4. Now, r.h.s  $< 1$  if  $n^k < 2^{k(k-2)/2}$ , i.e., if  $n < 2^{(k-2)/2}$ .
5. Thus, if  $n < 2^{(k-2)/2}$ , then  
 $\implies \text{Prob} [\exists X, |X| = k \text{ such that: } (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] < 1.$

# Lower bound on Ramsey numbers: probabilistic method

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method (pick random coloring of edges):

3.  $\therefore \text{Prob} [\exists X, |X| = k: (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1 - \binom{k}{2}} \leq n^k \cdot 2^{\frac{-k(k-2)}{2}}.$
4. Now, r.h.s  $< 1$  if  $n^k < 2^{k(k-2)/2}$ , i.e., if  $n < 2^{(k-2)/2}$ .
5. Thus, if  $n < 2^{(k-2)/2}$ , then
  - $\implies \text{Prob} [\exists X, |X| = k \text{ such that: } (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] < 1.$
  - $\implies \text{Prob} [\forall X, |X| = k, \text{ neither } (\text{all edges in } X \text{ are red}) \text{ nor } (\text{all edges in } X \text{ are blue})] > 0,$

# Lower bound on Ramsey numbers: probabilistic method

## Theorem [Erdős]

$$R(k, k) \geq 2^{\frac{k-2}{2}}$$

Proof by probabilistic method (pick random coloring of edges):

3.  $\therefore \text{Prob} [\exists X, |X| = k: (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] = \binom{n}{k} \cdot \frac{2}{2^{\binom{k}{2}}} \leq n^k \cdot 2^{1 - \binom{k}{2}} \leq n^k \cdot 2^{\frac{-k(k-2)}{2}}.$
4. Now, r.h.s  $< 1$  if  $n^k < 2^{k(k-2)/2}$ , i.e., if  $n < 2^{(k-2)/2}$ .
5. Thus, if  $n < 2^{(k-2)/2}$ , then
  - $\implies \text{Prob} [\exists X, |X| = k \text{ such that: } (\text{all edges in } X \text{ are red}) \text{ or } (\text{all edges in } X \text{ are blue})] < 1.$
  - $\implies \text{Prob} [\forall X, |X| = k, \text{ neither } (\text{all edges in } X \text{ are red}) \text{ nor } (\text{all edges in } X \text{ are blue})] > 0,$
  - $\implies \exists \text{ a 2-coloring of edges such that there is no monochromatic } k\text{-complete set of nodes.}$
6. Thus,  $R(k, k) \geq 2^{(k-2)/2}.$  □

## Bounds on Ramsey numbers

Thus we have

$$2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2^{2(k-1)}}{\sqrt{2(k-1)}}$$

# Bounds on Ramsey numbers

Thus we have

$$2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2^{2(k-1)}}{\sqrt{2(k-1)}}$$

$$\text{i.e., } 2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2\sqrt{2}}{\sqrt{k-1}} \cdot 2^{2(k-2)}$$

# Bounds on Ramsey numbers

Thus we have

$$2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2^{2(k-1)}}{\sqrt{2(k-1)}}$$

$$\text{i.e., } 2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2\sqrt{2}}{\sqrt{k-1}} \cdot 2^{2(k-2)}$$

- Note: This proof is not constructive!



# Bounds on Ramsey numbers

Thus we have

$$2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2^{2(k-1)}}{\sqrt{2(k-1)}}$$

$$\text{i.e., } 2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2\sqrt{2}}{\sqrt{k-1}} \cdot 2^{2(k-2)}$$

- Note: This proof is not constructive! –Finding a (generic) constructive proof is an open problem.

# Bounds on Ramsey numbers

Thus we have

$$2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2^{2(k-1)}}{\sqrt{2(k-1)}}$$
$$\text{i.e., } 2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2\sqrt{2}}{\sqrt{k-1}} \cdot 2^{2(k-2)}$$

- ▶ Note: This proof is not constructive! –Finding a (generic) constructive proof is an open problem.
- ▶ Is this satisfactory? One may ask if the probability space well-defined/natural?

# Bounds on Ramsey numbers

Thus we have

$$2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2^{2(k-1)}}{\sqrt{2(k-1)}}$$

$$\text{i.e., } 2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2\sqrt{2}}{\sqrt{k-1}} \cdot 2^{2(k-2)}$$

- ▶ Note: This proof is not constructive! –Finding a (generic) constructive proof is an open problem.
- ▶ Is this satisfactory? One may ask if the probability space well-defined/natural?
- ▶ Same proof can be done by counting (using PIE!).(H.W)

# Bounds on Ramsey numbers

Thus we have

$$2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2^{2(k-1)}}{\sqrt{2(k-1)}}$$

$$\text{i.e., } 2^{\frac{k-2}{2}} \leq R(k, k) \leq \frac{2\sqrt{2}}{\sqrt{k-1}} \cdot 2^{2(k-2)}$$

- ▶ Note: This proof is not constructive! –Finding a (generic) constructive proof is an open problem.
- ▶ Is this satisfactory? One may ask if the probability space well-defined/natural?
- ▶ Same proof can be done by counting (using PIE!).(H.W)
- ▶ In effect, we are counting the number of bad objects and trying to prove that it is less than the number of all objects, so the set of good objects must be non-empty.

## More about the probabilistic method

- ▶ This general approach of using probabilistic reasoning to show existence of discrete structures is called the probabilistic method.
- ▶ Use probabilities to show something with certainty!
- ▶ Very powerful
  - ▶ not all proofs can be converted back into counting arguments.
  - ▶ can utilize advanced results from probability theory.
  - ▶ Application in graph theory, number theory, real analysis, CS.
- ▶ Pioneered by Paul Erdős.
- ▶ Further reading: Book by Noga Alon and Joel Spencer. The probabilistic method (2ed). New York: Wiley.

## An exercise...

### Application of Ramsey's theorem

Prove that there exists a function  $f(m, n)$  such that:  
if  $x_1, \dots, x_N$  is any sequence of distinct real numbers with  
 $N > f(m, n)$ , then there is either

- ▶ a monotonic decreasing sequence of length  $> m$ , or,
- ▶ a monotonic increasing sequence of length  $> n$ .

## Summary: End of section on combinatorics

Two broad topics covered till date - Half-time!

- ▶ Mathematical proofs and structures
- ▶ Counting and Combinatorics

# Summary: End of section on combinatorics

Two broad topics covered till date - Half-time!

- ▶ **Mathematical proofs and structures**
  - ▶ **Propositions, proof techniques:** contradiction, contrapositive
  - ▶ **Induction:** strong induction, well-ordering principle
  - ▶ **Sets:** finite and infinite sets, countable and uncountable sets
  - ▶ **Functions:** bijections (from e.g.,  $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ ), injections and surjections, Cantor's diagonalization technique
  - ▶ **Relations:** equivalence relations and partitions; partial orders, chains, anti-chains, lattices
- ▶ **Counting and Combinatorics**



# Summary: End of section on combinatorics

Two broad topics covered till date - Half-time!

- ▶ **Mathematical proofs and structures**
  - ▶ **Propositions, proof techniques:** contradiction, contrapositive
  - ▶ **Induction:** strong induction, well-ordering principle
  - ▶ **Sets:** finite and infinite sets, countable and uncountable sets
  - ▶ **Functions:** bijections (from e.g.,  $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ ), injections and surjections, Cantor's diagonalization technique
  - ▶ **Relations:** equivalence relations and partitions; partial orders, chains, anti-chains, lattices
- ▶ **Counting and Combinatorics**
  - ▶ **Basic counting principles, double counting**
  - ▶ **Binomial theorem**, permutations and combinations, Estimating  $n!$
  - ▶ **Recurrence relations and generating functions**
  - ▶ Principle of Inclusion-Exclusion (**PIE**) and its applications.
  - ▶ Pigeon-Hole Principle (**PHP**) and its applications.
  - ▶ Introduction to **Ramsey theory**

Next topic (post mid-sem)

Graph theory