



CS 228 : Logic in Computer Science

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Summary

- ▶ On the way to FO nondefinability
- ▶ Defined quantifier depth or quantifier rank of a formula
- ▶ Showed that there are finitely many FO formulae of quantifier rank r
- ▶ Introduced some new notations for words, mimicking assignments of values to free variables
- ▶ Started playing a game on word structures, and relating game equivalence and formula equivalence

Non-Expressibility in FO : The Game Begins

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- ▶ Duplicator wants to show that they are same ($w_1 \sim_r w_2$)
- ▶ Each player has r pebbles z_1, \dots, z_r

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- ▶ A pebble once placed, cannot be removed
- ▶ The game ends after r rounds, when both players have used all their pebbles

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- ▶ $w_1 = (a, \emptyset)(b, \emptyset)$ and $w_2 = (a, \emptyset)(b, \emptyset)(a, \emptyset)$

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 - ▶ Spoiler : $(a, \{z_1\})(b, \emptyset)(a, \{z_2\})$
 - ▶ Duplicator : $(a, \{z_1, z_2\})(b, \emptyset)$ or $(a, \{z_1\})(b, \{z_2\})$

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- ▶ That is, $w'_1 \sim_0 w'_2$
- ▶ Spoiler wins otherwise.

Winner

Given two word structures (w_1, w_2) , duplicator wins on (w_1, w_2) if for every atomic formula α , $w_1 \models \alpha$ iff $w_2 \models \alpha$

Play continues

- ▶ Who won in the earlier play?
- ▶ We had
 - ▶ $(a, \{z_1\})(b, \emptyset)(a, \{z_2\})$ and $(a, \{z_1, z_2\})(b, \emptyset)$
 - ▶ $(a, \{z_1\})(b, \emptyset)(a, \{z_2\}) \models (z_1 < z_2)$
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- ▶ Spoiler wins in two rounds
- ▶ If the game was played only for one round, who will win?

Unique Winner

Given structures w_1 , w_2 , and a number of rounds r , exactly one of the players win.

Logical Equivalence and Winning

Let w_1, w_2 be \mathcal{V} -structures and let $r \geq 0$. At the end of r rounds, we are left with $\mathcal{V} \cup \{z_1, \dots, z_r\}$ -structures (w'_1, w'_2) . Then $w_1 \sim_r w_2$ iff $w'_1 \sim_0 w'_2$ iff Duplicator has a winning strategy in the r -round game on (w_1, w_2) .

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Assume $w_1 \sim_r w_2$, and induct on r

- ▶ Base : $r = 0$ and $w_1 \sim_0 w_2$. Duplicator wins, since by assumption, w_1, w_2 agree on all atomic formulae.

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- ▶ Assume for $r - 1$: $w_1 \sim_{r-1} w_2 \Rightarrow$ Duplicator has a winning strategy in a $r - 1$ round game

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- ▶ Now, let $w_1 \sim_r w_2$, and assume spoiler wins the r -round game on (w_1, w_2) .
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 - ▶ Then $w'_1 \models \psi$, $w'_2 \not\models \psi$

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 - ▶ Let ψ be the conjunction of all formulae of rank $\leq r - 1$ in normal form that are satisfied by w'_1
 - ▶ Then $w'_1 \models \psi$, $w'_2 \not\models \psi$
 - ▶ We thus have

$$w_1 \models \exists z_1 \psi, w_2 \not\models \exists z_1 \psi$$

contradicting $w_1 \sim_r w_2$

Logical Equivalence and Winning : Converse

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- ▶ Assume for $r - 1$: Duplicator has a winning strategy in a $r - 1$ round game $\Rightarrow w_1 \sim_{r-1} w_2$

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- ▶ Now, let duplicator win in the r round game, but $w_1 \approx_r w_2$.
 - ▶ $w_1 \approx_r w_2 \Rightarrow$ there is some formula ψ , $c(\psi) = r$ such that
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 - ▶ Since $w_1 \models \exists z_1 \varphi$, spoiler can keep pebble z_1 somewhere in w_1 obtaining w'_1 satisfying φ

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 - ▶ Also, by assumption, duplicator wins the $r - 1$ round game on (w'_1, w'_2) : this by inductive hypothesis says that $w'_1 \sim_{r-1} w'_2$
 - ▶ That is, either both w'_1, w'_2 satisfy φ , or both don't, a contradiction.

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- ▶ We know $w_1 \models \varphi$ and $w_2 \not\models \varphi$ (hence, $w_1 \not\sim_r w_2$)

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- ▶ We know $w_1 \models \varphi$ and $w_2 \not\models \varphi$ (hence, $w_1 \not\sim_r w_2$)
- ▶ Play a r -round game on (w_1, w_2) . Then spoiler will win the r -round game.

Implications of the Game on FO definability

FO Definability

L is FO definable \Rightarrow there exists an r such that for every (w_1, w_2) pair, such that $w_1 \in L$, $w_2 \notin L$, spoiler wins in r rounds

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Non FO Definability

For all $r \geq 0$, there exists a (w_1, w_2) pair with $w_1 \notin L$, $w_2 \in L$, duplicator wins in r rounds $\Rightarrow L$ is not FO definable

Non $FO[<]$ definability

- ▶ $FO[<, S] \subseteq FO[<]$
- ▶ Non definability in $FO[<]$ implies non definability in $FO[S, <]$

$(aa)^*$ is not $FO[<]$ Definable

- ▶ Assume that there is a sentence φ that defines words of even length, with $c(\varphi) = r$.
- ▶ Then, $a^i \models \varphi$ iff i is even
- ▶ Show that for all $r > 0$, $a^{2^r} \sim_r a^{2^r-1}$

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- ▶ Base case : $(a, \emptyset)(a, \emptyset)$ and (a, \emptyset) for $r = 1$
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- ▶ Consider $(aaaa, aaa)$ for $r = 3$. Who wins?
- ▶ Consider $(aaaa, aaa)$ for $r = 2$. Who wins?

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- ▶ Show that for all $k \geq 2^r - 1$, duplicator has a winning strategy for the r -round game in (a^k, a^{k+1}) , for all $r \geq 0$
- ▶ Induct on r
- ▶ If $r = 1$, then on (a, aa) duplicator wins in one round
- ▶ Assume now that the claim is true for $\leq r - 1$

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- ▶ Let $k \geq 2^r - 1$, and consider the structures

$$(a^k, a^{k+1})$$

- ▶ Spoiler puts pebble z_1 in one of the words obtaining

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- ▶ $s \leq \frac{k-1}{2}$ or $t \leq \frac{k-1}{2}$

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- ▶ Assume $s \leq \frac{k-1}{2}$. Duplicator puts her pebble z_1 on the $(s+1)$ th letter of the other word obtaining

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where $t' = t + 1$ or $t' = t - 1$.

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- ▶ The structures after round 1 are thus

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- ▶ We have $2^r - 1 \leq k = \min(t, t') + s + 1 \leq \min(t, t') + \frac{k-1}{2} + 1$
- ▶ Hence $\min(t, t') \geq \frac{k-1}{2} \geq 2^{r-1} - 1$
- ▶ By inductive hypothesis, duplicator has a winning strategy for the $r - 1$ round game on $(a^t, a^{t'})$.

Duplicator's Win

- ▶ Use the duplicator's winning strategy for the $r - 1$ round game on $(a^t, a^{t'})$, to obtain a winning strategy in $r - 1$ rounds on

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- ▶ Whenever spoiler plays on a structure on letter $i \leq s + 1$, duplicator plays on the same position on the other structure
- ▶ When spoiler plays at a position $i > s + 1$ in either word, duplicator plays in the part of the other word $> s + 1$ using her winning strategy in $(a^t, a^{t'})$

Duplicator's Win

- ▶ At the end of r rounds, we have structures w'_1, w'_2 .
- ▶ For $i \leq s + 1$, pebble z_i appears at position i of w'_1 iff pebble z_i appears at position i of w'_2
- ▶ Let's erase the first $s + 1$ letters in w'_1, w'_2 , obtaining v'_1, v'_2
- ▶ v'_1, v'_2 are the words that result after $r' \leq r - 1$ rounds of play on $(a^t, a^{t'})$. Recall that duplicator won this.
- ▶ Show that w'_1, w'_2 satisfy the same atomic formulae

Duplicator's Win

- ▶ Atomic Formulae : $Q_a(z_j)$: Both w'_1, w'_2 satisfy this.
- ▶ $w'_1 \models z_i < z_j$. If z_i, z_j are in the first $s + 1$ letters, then $w'_2 \models z_i < z_j$.
- ▶ If z_i, z_j occur in the last $|w'_1| - s - 1$ positions, then $v'_1 \models z_i < z_j$.
By duplicator's win in $(a^t, a^{t'})$, $v'_2 \models z_i < z_j$
- ▶ If z_i appears among the first $s + 1$ letters and z_j after the first $s + 1$ letters of w'_1 , same is true in w'_2 .

Ehrenfeucht and Fraïssé Games

The games that we saw are due to Ehrenfeucht and Fraïssé

Reference: Finite Automata, Formal Logic and Circuit Complexity, by Howard Straubing.

Summary

- ▶ Propositional Logic : syntax, semantics, proof rules, solving puzzles, soundness, completeness, resolution for satisfiability, normal forms
- ▶ FO : syntax, semantics using structures in general, satisfiability and validity, focus on word structures, satisfiability of FO on words using automata, FO-definability and FO non-definability, regular languages, closure properties