

CS310 Automata Theory – 2016-2017

Nutan Limaye

Indian Institute of Technology, Bombay

nutan@cse.iitb.ac.in

Lecture 4: Finite state automata

January 09, 2017

Last class

On power of NFAs

Subset construction.

Discussion related to Tutorial 1

Problems 1, 2, 3, a part of 4a.

Subset construction

From now on we will not distinguish between Σ and Σ_ϵ .

Definition

Let $A = (Q, \Sigma, \delta, q_0, F)$ be an NFA. Let $\hat{\delta} : 2^Q \times \Sigma^* \rightarrow 2^Q$ be defined as follows:

Let $S \subseteq Q$

$\hat{\delta}(S, \epsilon) := S$ If A has epsilon transitions, then $\hat{\delta}(S, \epsilon)$ will be defined accordingly

$$\hat{\delta}(S, xa) := \bigcup_{q \in \hat{\delta}(S, x)} \delta(q, a)$$

Definition

An NFA A is said to accept a word $w \in \Sigma^*$ if $\hat{\delta}(\{q_0\}, w) \cap F \neq \emptyset$.

Subset construction

Lemma

Let A be an NFA. Then $L(A)$ is a regular language. That is, NFA and DFA accept the same set of languages.

Proof.

Let $A = (Q, \Sigma, \delta, q_0, F)$. We will construct a DFA $B = (Q', \Sigma, \delta', q'_0, F')$ such that $L(A) = L(B)$.

Subset construction

$$Q' = 2^Q,$$

$$\delta'(S, a) = \hat{\delta}(S, a), \text{ where } S \subseteq Q \text{ and } a \in \Sigma,$$

$$q'_0 = q_0,$$

$$F' = \{S \subseteq Q \mid S \cap F \neq \emptyset\}.$$

Correctness

The definition of $\hat{\delta}$ will be useful here.



Correctness of subset construction

We will prove the following first.

Lemma

For any NFA A that has no ϵ transitions, there is an equivalent DFA B such that $L(A) = L(B)$.

Recall the definition of $\hat{\delta}$

Definition

Let $A = (Q, \Sigma, \delta, q_0, F)$ be an NFA **with no ϵ moves**.

Let $\hat{\delta} : 2^Q \times \Sigma^* \rightarrow 2^Q$ be defined as follows:

Let $S \subseteq Q$

$\hat{\delta}(S, \epsilon) := S$ **If A has epsilon transitions, then $\hat{\delta}(S, \epsilon)$ will be defined accordingly**

$\hat{\delta}(S, xa) := \bigcup_{q \in \hat{\delta}(S, x)} \delta(q, a)$

Properties of $\hat{\delta}$

Properties of $\hat{\delta}$

(P_1) For any $a \in \Sigma$, $S \subseteq Q$, $\hat{\delta}(S, a) = \bigcup_{q \in \hat{\delta}(S, \epsilon)} \delta(q, a)$.

(P_2) For any $x, y \in \Sigma^*$, $S \subseteq Q$, $\hat{\delta}(S, xy) = \hat{\delta}(\hat{\delta}(S, x), y)$.

(P_3) For any $S_1, S_2, \dots, S_k \subseteq Q$, $x \in \Sigma^*$, $\hat{\delta}(\bigcup_i S_i, x) = \bigcup_i \hat{\delta}(S_i, x)$.

Definition (of $\hat{\delta}$)

Let $A = (Q, \Sigma, \delta, q_0, F)$ be an NFA **with no ϵ moves**.

Let $\hat{\delta} : 2^Q \times \Sigma^* \rightarrow 2^Q$ be defined as follows:

Let $S \subseteq Q$

$$\hat{\delta}(S, \epsilon) := S$$

$$\hat{\delta}(S, xa) := \bigcup_{q \in \hat{\delta}(S, x)} \delta(q, a)$$

Lemma

For all $w \in \Sigma^$ and for all $S \subseteq Q$, $\hat{\delta}'(S, w) = \hat{\delta}(S, w)$.*

Proof of correctness

Lemma

For all $w \in \Sigma^$ and for all $S \subseteq Q$, $\hat{\delta}'(S, w) = \hat{\delta}(S, w)$.*

Proof.

Base case: Let $w = \epsilon$.

$$\hat{\delta}'(S, \epsilon) = \hat{\delta}(S, \epsilon)$$

Induction step: Let $w = x \cdot a$

$$\hat{\delta}'(S, w) = \hat{\delta}'(\hat{\delta}'(S, x), a) \quad \text{By } (P_2)$$

$$= \hat{\delta}'(\hat{\delta}(S, x), a) \quad \text{By Induction hypothesis}$$

$$= \hat{\delta}(\hat{\delta}(S, x), a) \quad \text{By def'n of } \hat{\delta}$$

$$= \hat{\delta}(S, w) \quad \text{By } (P_2)$$

Handling the ϵ moves

Lemma

For any NFA A with ϵ transitions, there is another NFA, say B , such that B has no ϵ transitions and $L(A) = L(B)$.

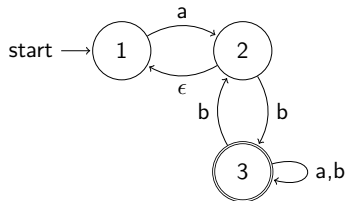
Proof Idea

Let $S \subseteq Q$.

Let

$$E(S) = \left\{ q \mid \begin{array}{l} q \text{ is reachable from some state in } S \\ \text{with zero or more } \epsilon \text{ transitions} \end{array} \right\}$$

Example



$$\begin{aligned} E(\{1\}) &= ? \\ E(\{2\}) &= \{1\} \\ E(\{3\}) &= ? \end{aligned}$$

Handling the ϵ moves

Lemma

For any NFA A with ϵ transitions, there is another NFA, say B , such that B has no ϵ transitions and $L(A) = L(B)$.

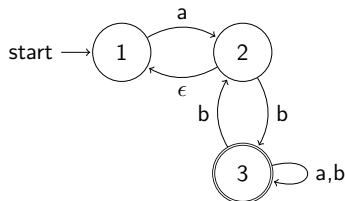
Proof Idea

Let $S \subseteq Q$.

Let

$$E(S) = \left\{ q \mid \begin{array}{l} q \text{ is reachable from some state in } S \\ \text{with zero or more } \epsilon \text{ transitions} \end{array} \right\}$$

Example



$$\begin{aligned} \delta'(1, a) &= E(\delta(1, a)) \\ &= E(\{2\}) \\ &= \{1\} \end{aligned}$$