

# Properties of equivalence relation on $\Sigma^*$

## Definition (right congruence)

An equivalence relation  $\equiv_A$  defined on  $\Sigma^*$  is said to be a **right congruence** if  $\forall x, y \in \Sigma^*$  and  $\forall a \in \Sigma$ ,  $x \equiv y \implies x \cdot a \equiv y \cdot a$ .

## Definition (Refinement)

An equivalence relation  $\equiv$  is said to **refine** a language  $L$ , if  $x \equiv y$  then  $(x \in L \iff y \in L)$ .

## Definition (Finite index)

An equivalence relation is said to have **finite index** if the number of equivalence classes defined by  $\equiv$  is finite.

## Lemma

*For a DFA  $A$ , the equivalence relation  $\equiv_A$  defined as before is a right congruence, refines  $L(A)$ , has finite index.*

# Properties of $\equiv_A$

## Lemma

*For a DFA  $A$ , the equivalence relation  $\equiv_A$  defined as before is a right congruence, refines  $L(A)$ , has finite index.*

## Proof.

right congruence

$$\begin{aligned}\tilde{\delta}(q_0, x \cdot a) &= \delta(\tilde{\delta}(q_0, x), a) \\ &= \delta(\tilde{\delta}(q_0, y), a) \because x \equiv_A y \\ &= \tilde{\delta}(q_0, y \cdot a)\end{aligned}$$

finite index

For  $q \in Q$ ,

$$[q] := \{w \in \Sigma^* \mid \tilde{\delta}(q_0, w) = q\}$$

$$\# \text{ equivalence classes} \leq |Q|.$$

refinement

If  $x \equiv_A y$   
then  $\tilde{\delta}(q_0, x) = \tilde{\delta}(q_0, y)$   
 $\therefore x, y$  both accepted or  
both rejected.



# Myhill-Nerode relation

## Definition

An equivalence relation  $\equiv$  on  $\Sigma^*$  is said to be a **Myhill-Nerode relation** for a language  $L$  if

- it is a right congruence

- refining  $L$

- and has a finite index.

**Lemma (Regular language  $\implies$  Myhill-Nerode relation)**

*For any regular language there is a Myhill-Nerode relation.*

What about the converse?

# Non-regular languages

Let  $L_{a,b} = \{a^n b^n \mid n \geq 0\}$ .

Consider any relation  $\equiv$  on  $\{a, b\}^*$ .

Assume that it is a right congruence and refines  $L$ .

Now we will show that it does not have finite index.

For  $n \neq m$ , can  $a^n \equiv a^m$ ? NO!

$\therefore a^n b^n \in L$  but  $a^m b^n \notin L$ .

Let  $FACTORIAL = \{a^{n!} \mid n \geq 0\}$ .

Consider any relation  $\equiv$  on  $\{a\}^*$ .

Assume that it is a right congruence and refines  $L$ .

Now we will show that it does not have finite index.

Can  $a^{n!} \equiv a^{n+1!}$ ? NO!

$\therefore a^{n!} \cdot a^{n \cdot n!} \in L$  but  $a^{n+1!} \cdot a^{n \cdot n!} \notin L$ .

## Converse also holds

### Lemma

*Let  $L \subseteq \Sigma^*$ . If there is a Myhill-Nerode relation for  $L$  then  $L$  is regular.*

### Proof idea

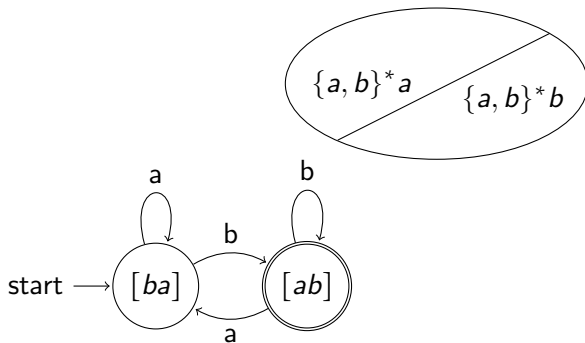
Using the relation, construct a finite state automaton.

Let each equivalence class of the relation be a state of the automaton.

Define transitions naturally.

# Converse: Myhill-Nerode relation $\implies$ regularity

## Example



$$L = \{\text{words ending with } b\}$$

## Converse also holds

### Lemma

*Let  $L \subseteq \Sigma^*$ . If there is a Myhill-Nerode relation for  $L$  then  $L$  is regular.*

### Proof.

#### Construction

Let  $\equiv$  be a Myhill-Nerode relation.

Let  $[x] = \{y \mid y \equiv x\}$ .

Let  $A_{\equiv} = (Q, \Sigma, \delta, q_0, F)$  be defined as follows:

$Q = \{[x] \mid x \in \Sigma^*\},$

$q_0 = [\epsilon], F = \{[x] \mid x \in L\},$

$\delta([x], a) = [xa].$

Correctness: DIY.



# Decision problems on regular languages

Acceptance problem (for fixed  $\Sigma$ )

Given: DFA  $A$ , input string  $w \in \Sigma^*$

Output: “yes” iff  $A$  accepts  $w$ .

Construct a graph from an automaton:

Let  $Q = \{q_0, \dots, q_{m-1}\}$ ,  $q_0$  be the start state,  
 $F \subseteq Q$  be the set of final states.

Create a layered graph  $G_{A,n}$ , where  $|w| = n$ , as follows:

Make  $n+1$  copies of  $Q$ :  $Q_0, Q_1, \dots, Q_n$ , where  $Q_i = \{q_{i,0}, \dots, q_{i,m-1}\}$ .

Add edge  $(q_{i,u}, q_{i+1,v})$  with label  $a \in \Sigma$   
if  $\delta(q_u, a) = q_v$ .

## Lemma

*There is a path from  $q_{0,0}$  to  $q_{n,u}$  labelled by a string  $w$  in  $G_{A,|w|}$  if and only if  $\tilde{\delta}(q_0, w) = q_u$  in  $A$ .*



# Decision problems on regular languages

Nonemptiness problem (for fixed  $\Sigma$ )

Given: DFA  $A$

Output: “yes” iff  $\exists w : A$  accepts  $w$ .

## Lemma

*If a DFA  $A = (Q, \Sigma, \delta, q_0, F)$  accepts some string then it accepts a string of length  $\leq |Q|$ .*

# Minimization problem

Minimization problem (for fixed  $\Sigma$ )

Given: DFA  $A$

Output: DFA  $B$  s.t.  $L(A) = L(B)$  and  $B$  has the smallest number of states possible for recognizing  $L(A)$

## Definition

Let  $A = (Q, \Sigma, \delta, q_0, F)$ . We call states  $p, q$  indistinguishable if  $\forall w \in \Sigma^*, \tilde{\delta}(p, w) \Leftrightarrow \tilde{\delta}(q, w)$ .

Minimization algorithm.

Identify indistinguishable states.

Collapse them.

# Minimization problem

Minimization problem (for fixed  $\Sigma$ )

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Example

	0	1	2	3	4	5
a	1	2	3	4	5	0

(Red color indicates final states.)

0						
-	1					
-	-	2				
-	-	-	3			
-	-	-	-	4		
-	-	-	-	-	5	

0						
✓	1					
✓	✓	2				
-	✓	✓	3			
✓	-	✓	✓	4		
✓	✓	-	✓	✓	5	

# Minimization problem

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Example

	0	1	2	3	4	5
a	1	3	4	5	5	5
b	2	4	3	5	5	5

(Red color indicates final states.)