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CC: M. Barbach, Ph.D, Internet:mrb@sei.cmu.edu  
DATE: 12/4/95 8:42 AM

Re: Copy of: Continuation

Volunteers,

It has been a month since I sent my request to see if the group was still working. I got six replies, the six I am sending this memo to. I finally got in touch with Don G. and he reported there were problems with the group: no reply to what yet. I also understand from Jayaramn that Mario thinks we are working on the project; that is why he is cc. Therefore, I thought I would note some of the work I have been doing, some additional resources, and a preliminary Outline.

The Outline is wide open for change; designed as a starting point. I agree with Manny that we should have reviewed more examples but I did not see any from the membership; if you have an outline please pass it on. I did two and have asked for ACM version plus reviewed some articles so I have developed this prototype. I have tried to organize the subcategories but not all that good, fill free to change. Also, fill free to change anything else. Please return comments by the end of the year. We have an ETHICAL obligation to develop a version for review. I plan to mix in all of your comments and get your approval on the outline by Feb, 1996. Then we can decide what the final version for submission should look like.

#### ADDITIONAL INFORMATION:

##### BOOKS;

Information and Responsibility: The Ethical Challenge; R. Mason,  
F. Mason and M. Culnan

Ethical Decision Making and Information Technology; E. Hallman  
Computer Ethics; D. G. Johnson

Ethical Concepts for Information and Computer Science, Technology  
and Business; D Parker, S Swope, & B. N. Baker

Maybe, since some of you are at the University, you could pick up a copy from the Library to see if we can use anything.

##### MAGS;

Beyond Computing (IBM Mag) has a continuing two page article  
Very practical.

Communications of the ACM Dec,95 has a number of articles  
BOOHLET;

I have ordered and received. I will try to outline and send along in a couple  
of weeks. Outline:

"The Unwritten Laws of Engineering"; by W. J. King

First printed in 1944

Revised and updated to reflect modern workplace

Altered workplace definitions and nomenclature

changing engineering demographics

new social mores

49 pages sets forth a professional code of conduct covering

from interpersonal relationships to assigning responsibilities

Draws on 25 books and articles

timeless do's and don'ts

Available from

American Society of Mechanical Engineers

Order Department

P. O. Box 2900

Fairfield NJ 07007-2900

201-882-1170

\$9.95+ or \$12.95 with credit card

## OUTLINE:

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### INTRODUCTION

#### SYSTEM DEVELOPMENT

Evaluate business affects and culture changes

Implement Ethical evaluation.

Assure proper Goals and Objectives

Assure proper Development Methodology

Assure proper Project Management

Assure proper testing, debugging, Case Tools , GUI, etc

Only approve safe and accurate documents

Assure proper privacy, accuracy, property, access and people

#### PROFESSIONAL PRACTICES(Himself/Herself)

Accept responsibility in engineering decisions for safety, health and public  
welfare; disclose endangering factors.

Avoid conflicts of interest; disclose.

Reject bribery.

No paybacks from contracts

No political contributions, gifts, commissions, etc. for award of contract

Not accept outside work detrimental to regular work

Not represent adversary interest without consent

Do not use employer equipment on outside engineering

No pirated soft/etc  
Respect ownership

Service only in areas of competence  
Faithful agents or trustees  
Non-association with fraudulent businesses  
Signature to only areas of competence  
Same project-one party pay only  
No decisions on services provided by self  
No promotional efforts without consent  
Salary appropriate to professional qualifications

Honesty in stating claims.  
Objective and truthful public statements  
Avoid deceptive acts  
Not reveal confidential facts  
Not falsify or permit misrepresentation  
Admit own errors  
Avoid misrepresented statements

No professional compromised  
Not promote own interest at expense of profession  
Constructive service in civic affairs  
Extend public knowledge of engineering  
Prepare articles

Avoid fads  
Develop ethical check lists  
Develop review process  
Develop contingency plans  
Assure data is accurate and legitimate; kept confidential;  
authorized use  
Customer fulfillment  
Recognize and report problems to proper authorities, cooperate  
Inform client if project will fail

#### PROFESSIONAL OBLIGATIONS(Others)

Improve understanding of technology.  
Improve technical competence.  
Improve ethical education  
Develop ethical learning  
Assist colleagues in professional development.

Not review work without other engineer knowledge  
Honest criticism and credit properly.  
Treat fairly all persons.  
Avoid injuring others by false action.

Assure employee informed  
Not attack engineer falsely

Assure only qualified signatures from others  
Assignments only by educated/experience  
Develop positive agreement on ownership  
Assign duties to utilize for potential

Assist in development of Organization ethical environment

Assure commitment

Assure employees know

- Policies and procedures

- to protect passwords/files/soft/hard

- to cooperate with proper authorities

- to work in competent areas

- to state opinion vs fact