eaqyT ested DiesdeglA to noisestnemelqml nA nypes nyetstion Data Types and Indiestrated Indiestr

Anton Setzer

- 1. Algebraic Data Types.
- 2. Naive Modelling of Algebraic Types.
- 3. Defining Algebraic Types using Elimination Rules.

1. Algebraic Data Types

There are two basic constructions for introducing types in Haskell:

- Function types, e.g. Int → Int.
- Algebraic data types, e.g.
 data Nat = Z | S Nat
- data List = Nil | Cons Int List

Idea of Algebraic Data Types

- teN 2 | X = teN eteb ●
- Elements of Nat are exactly those which can be constructed from
- 'Z −
- S applied to elements of Nat.
- ... ,(Z Z)Z ,Z ,S.i.
- data List = Nil | Cons Int List
 Elements of List are exactly those which can be constructed from
- Elements of List are exactly those which can be constructed from
- ίΙΙΝ —
- Cons applied to elements of Int and List,
- e.g. Nil, Cons 3 Nil, Cons 17 (Cons 9 Nil), etc.

Infinitary Elements of Algebraic Data Types

- Because of full recursion and lazy evaluation, algebraic data types in Haskell contain as well infinitary elements:
- E.g. infiniteMat = S(S(S(···))).
 Defined as table in Mat

infinite N at S in finite N at

- $\bullet \ E.g. \ increasing Stream \ 0 = Cons(0,Cons(1,\cdots)).$
- Defined as increasing $Stream: Int \rightarrow List$ increasing Stream(n+1) increasing Stream(n+1)

More Examples

The Lambda types and terms, built from basic terms and types, can be defined as

```
data LambdaType = BasicType String

Ar LambdaType LambdaType

data LambdaTerm = BasicTerm String

LambdaTerm

Ap LambdaTerm LambdaTerm

Ap LambdaTerm

Ap LambdaTerm
```

In general many languages (or term structures) can be introduced as algebraic data types.

Elimination

Elimination is carried out using case distinction.

```
Example:  \begin{aligned} \text{Example:} & \text{listLength} & \text{:: List} \to \text{Int} \\ & \text{listLength} & \text{I: List} \to \text{Int} \\ & \text{Mil} \to 0 \\ & \text{I: ListLength} & \text{I:
```

Note the use of full recursion.

Simultaneous Algebraic Data Types

- Haskell allows as well the definition of simultaneous algebraic data types.
- Example: we define simultanteously the type of finitely branching trees and the type of lists of such trees:

```
data FinTree Foot

| MkTree FinTreeList |
| AllTree FinTreeList |
| ConsTree FinTree FinTreeList |
```

Model for Positive Algebraic Data Types

- Assume a set of constructors (notation C, C₁, C₂...) and deconstructors (notation D, D₁, D₂...).
- Assume a set of terms s.t. every term has one of the following forms (where r,s are terms):
- x səlqeıxev -
- .) –
- . O –
- r s. -
- We identify \alpha-equivalent terms (i.e. those which differ only in the choice of bounded variables).

- Assume a reduction relation $\longrightarrow_{\mathbb{I}}$ between terms, s.t.
- C t_1, \ldots, t_n has no reduct.
- $\lambda x.t$ has no reduct.
- -x has no reduct.
- α -equivalent terms have α -equivalent reducts.
- ullet Fet \longrightarrow pe the closure of \longrightarrow^{1} under
- reduction of subterms (if a subterm reduces the whole term reduces correspondingly)
- transitive closrue.
- ullet Assume that the reduct of \longrightarrow is always a term.
- Assume that
 — is confluent.

 \bullet For sets of terms S , Γ let

$$\{t \longleftarrow s \ r.T \ni t \models S \ni s \forall \mid r\} =: T \leftarrow S$$

Assuming that we have defined the interpretation $[B^i_j]$, $[E^i_j]$ of types B^i_j ,

vd benifeb ed A tel ●

$$\mathsf{data} \; \mathsf{A} = \; C_1 \, \mathsf{B}_1^1 \, \cdots \, \mathsf{B}_{k_1}^1 \, (\mathsf{E}_1^1 \to \mathsf{A}) \cdots (\mathsf{E}_{l_1}^1 \to \mathsf{A}) \\ | \; \cdots \; | \\ | \; \mathsf{C}_n \, \mathsf{B}_n^n \, \cdots \, \mathsf{B}_{k_n}^n \, (\mathsf{E}_1^n \to \mathsf{A}) \cdots (\mathsf{E}_{l_n}^n \to \mathsf{A})$$

• Define $\Gamma : \mathcal{P}(\mathsf{Term}) \to \mathcal{P}(\mathsf{Term})$,

$$\Gamma(X) := \{t \mid t \text{ closed} \land \\ \exists i \in \{1, \dots, n\}. \\ \exists t_1 \in [[B_1^i]], \dots \exists t_{k_i} \in [[B_{k_i}^i]]. \\ \exists s_1 \in [[B_1^i]] \to X, \dots \exists s_{l_i} \in [[B_{l_i}^i]] \to X. \\ \exists s_1 \in [[B_1^i]] \to X, \dots \exists s_{l_i} \in [[B_{l_i}^i]] \to X. \\ \exists s_1 \in [[B_1^i]] \to X, \dots \exists s_{l_i} \in [[B_{l_i}^i]] \to X.$$

• The algebraic data type corresponding to A is defined as the least fixed point of T:

$$[\![\,A^*\,]\!] = \bigcup \{X \subseteq \mathsf{Term} \mid \Gamma(X) \subseteq X\}$$

- Because of the presence of infinite elements, the algebraic data types in Haskell are in fact coalgebraic data types, given by the greatest fixed points.
- Terms which never reduce to a constructor should as well be added to this fixed point.

We define the set of terms:

$$\begin{array}{c} \mathsf{besol} \ t \mid t\} =: \triangle \\ (nt, \dots, t^{1}) \longleftarrow t, nt, \dots, t^{1}, nt, \square \vdash) \land \\ \{(s.x \land \longleftarrow t.s, x \vdash \vdash) \land \\ \end{array}$$

The largest fixed point is given by

$$[\![A^\infty]\!] = \bigcup \{X \subseteq \mathsf{Term} \mid X \supseteq \Delta \cup \Gamma(X)\}$$

"A^ ∞ is the largest set s.t. every element reduces to an element introduced by a constructor of A."

Extensions

Model can be extended to simultaneous and to general positive (co)algebraic data types.

2. Naive Modelling of Algebraic Types in Java

• We take as example the type of LambdaTerms:

```
data LambdaType = BasicType String | Ar LambdaType LambdaType
```

- Version 1.
- Model the set as a record consisting of
- * one variable determining the constructor.
- * the arguments of each of the constructors.
- Only the variables corresponding to the arguments of the constructor in question are defined.

Version 1

```
class LambdaType{
    public int constructor;
    public String BTypeArg;
    public LambdaType ArArg1, ArArg2;

    public static LambdaType BType(String s){
        LambdaType t = new LambdaType();
        t.constructor = 0;

        t.BTypeArg = s;

        t.BTypeArg = s;

        return t;

        return t;
```

```
public static LambdaType Ar(LambdaType left, LambdaType right) { LambdaType t = new LambdaType(); t.constructor = 1; \\ t.ArArg1 = left; \\ t.ArArg2 = right; \\ return t; } } } } };
```

Elimination

Functions defined by case distinction can be introduced using the following

Generic Case Distinction

- On the next slide a generic case distinction is introduced.
- We use the extension of Java by function types.
- We assume the extension of Java by templates (might be integrated in Java version 1.5).

Generic Case Distinction (Cont.)

```
throw new Error("Error");
                                                         :tlusfab
                           return caseAr(I.ArArg1,I.ArArg2);
                                                          case 1:
                              return caseBType(LBTypeArg);
                                                          :0 aseo
                                           switch(I.constructor){
  (\text{LambdaType}, \text{LambdaType}) \rightarrow \text{Result} caseAr)
caseBType,
                                       String→Result
                                         (LambdaType
                               public static <Result > Result elim
```

Generic Case Distinction (Cont.)

```
public static String LambdaTypeToString(LambdaType I) { return elim(I, \lambda(\text{String s}) \rightarrow \{\text{return "BType}(" + s + ")"; }  \lambda(\text{LambdaTerm left, LambdaTerm right}) \rightarrow \{\text{return "BTypeToString(left}) + "," + LambdaTypeToString(left}) + "," + LambdaTypeToString(right) + "," } } }
```

Version 2

- Standard way of moving to a more object-oriented solution:
- elim should be a non-static method of LambdaType.
- Similarly LambdaTypeToString can be a non static method (with
- canonical name toString).

 The methods BType and Ar can be integrated as a factory into the class
- Lambda Type.

 Now the variable constructor and the variables representing the arguments of the constructors of the algebraic data type can be kept private.
- * Implementation is encapsulated.

```
class Lambda Type {
    private int constructor;
    private String BTypeArg;
    private Lambda Type ArArg1, ArArg2;
    public static Lambda Type BType (String s) {
        Lambda Type t = new Lambda Type();
        t.constructor = 0;
        t.constructor = 0;
        t.constructor = 5;
        t.eturn t;
        return t;
```

```
public static LambdaType Ar(LambdaType left, LambdaType right) { LambdaType t = new \ LambdaType(); t.constructor = 1; t.ArArg1 = left; t.ArArg2 = right; return t;
```

```
throw new Error("Error");
                                       :tluefab
               return caseAr(ArArg1,ArArg2);
                                        csse 1:
                return caseBType(BTypeArg);
                                        :0 əseɔ
                            switch(constructor){
  (LambdaType, LambdaType)→Result caseAr){
caseBType,
                                  String→Result
                      public <Result> Result elim(
```

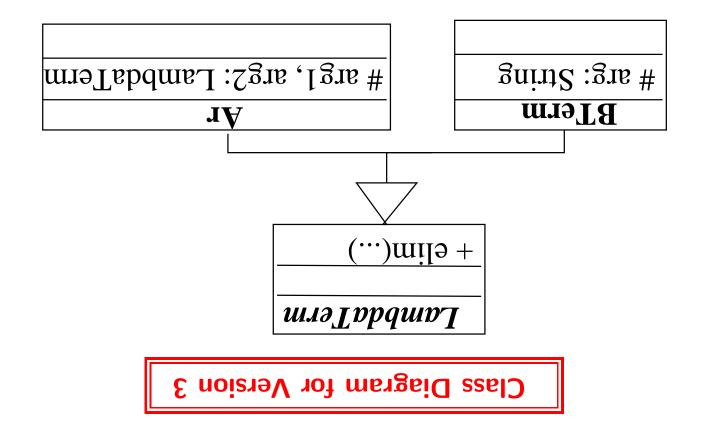
Problem with Version 2 (Cont.)

- We store more variables than actually needed:
- The variable BTypeArg is only \neq null if constructor = 0.
- The variables ArArg1, ArArg2 are only \neq null if constructor = 1.
- Would be waste of storage for data type definitions with lots of constructors.

• Solution:

Define LambdaType as an abstract class.

- BType, Ar are subclasses of LambdaType which store the arguments of the constructor of the algebraic data type.
- Elim makes now case distinction on whether the current term is an instance of BType or Ar.
- The element has then to be casted to an element of BType or Ar, in order to retrieve the arguments of the constructor of the algebraic data type.



Version 3

```
\{throw new Error("Error");
                                        əslə
  return caseAr(((Ar)this).arg1,((Ar)this).arg2);
                     else if (this instanceof Ar)
         return caseBType((BType)this).arg);
                     if (this instanceof BType){
  caseBType,
                              String→Result
                    public <Result> Result elim(
                        abstract class LambdaType{
```

```
public String toString() {
    return elim(\(\lambda\)(\(String s\));
},
    \(\lambda\)(\(\text{LambdaType left, LambdaType right}) {
        return "\(\text{Ar("} + \text{left} + "," + \text{right} + ")";\)
        return "\(\text{Ar("} + \text{left} + "," + \text{right} + ")";\)
    };
};
```

```
class BType extends LambdaType{
    protected String arg;
    arg = s;
};
class Ar extends LambdaType left, LambdaType right){
    protected Ar(LambdaType left, LambdaType right){
        arg1 = left;
        arg2 = right;
};
};
```

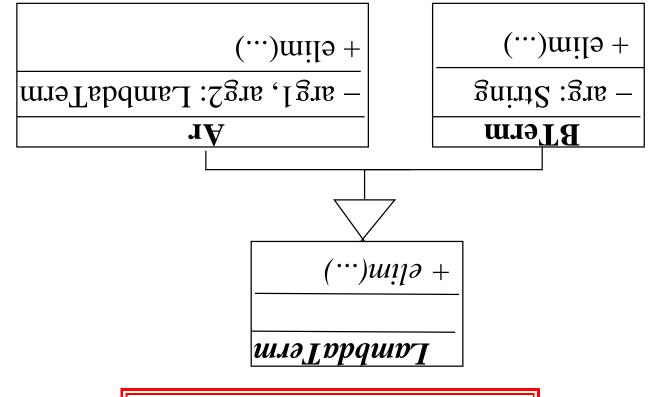
Step to Version 4

- Instead of defining elim in LambdaType and then making case distinction, we
- leave elim in LambdaType abstract,
- implement elim in BType and Ar.

csu

- Then no more type casting is required.
- The arguments of the constructor of the algebraic data type can now be kept private.

Class Diagram for Version 4



Version 4

Version 4 (Cont.)

```
return caseAr(argl,arg2);
  (\text{LambdaType}, \text{LambdaType}) \rightarrow \text{Result} caseAr)
caseBType,
                                        String→Result
                           public <Result> Result elim(
                                   \mathsf{arg2} = \mathsf{right}; 
                                           arg1 = left;
     public Ar(LambdaType left, LambdaType right){
                        private LambdaType argl, arg2;
                            class Ar extends LambdaType{
```

Further Simplification

• We can now form an interface which subsumes all elimination steps:

An element of LambdaElim corresponds to the two elimination steps used previously.

Version 5

```
abstract class LambdaType{  abstract \ public < Result > Result \ elim(LambdaElim < Result > steps); \\ public String toString() <math>\{\cdots\}
```

(.tno.) & noisyeV

```
return steps.caseAr(left,right); };
public <Result> Result elim(LambdaElim <Result> steps)
                     this.left = left; this.right = right; };
           public Ar(LambdaType left, LambdaType right){
                            private LambdaType left, right;
                               class Ar extends LambdaType{
                         return steps.caseBType(s); };
public <Result> Result elim(LambdaElim <Result> steps){
                       public BType(String s){this.s = s;};
                                           private String s;
                            class BType extends LambdaType{
```

Generalization

- The above generalizes immediately to all (even non-positive) algebraic data types.
- Using the implmentation of function types we can now for instance define
 Kleene's O.
- The type theory in Java allows simultaneous definitions of data types.
- Therefore simultanous algebraic definitions are already contained in the above.

Example: Kleene's O

```
return steps.zeroCase();};
                                                                                                                      \{(Reneorallize > Result > Reps)\}
                                                                                                                                                                                                                                                                                                                                                                                           ;{}()oreZ cilduq
                                                                                                                                                                                                                                                                                                                      Solution of the series of the 
public abstract <Result> Result elim(KleeneOElim <Result> steps);};
                                                                                                                                                                                                                                                                                                                                                     abstract class KleeneO{
                                                                                                                                                                                                                                                             Result limCase(Int→KleeneO x);};
                                                                                                                                                                                                                                                                                                   Result succCase(KleeneO \times);
                                                                                                                                                                                                                                                                                                                                                                           Result zeroCase();
                                                                                                                                                                                                                                                                          interface KleeneOElim <Result> {
```

Example: Kleene's O (Cont.)

```
return steps.limCase(pred);};};
public <Result> elim(KleeneOElim <Result> steps){
                               this.pred = pred; \};
                    ){(beng Oeneel×thi) | Jiduq
                         private Int→KleeneO pred;
                          class Lim extends KleeneO{
                    return steps.succCase(pred);};
\{ (Result > Result > Result > Steps ) \}
                                this.pred = pred;
                        }(beng OeneelX)couc cilduq
                              private KleeneO pred;
                         Slass Succ extends KleeneO
```

Example: FinTree

```
return steps.rootCase();};
                               public Object elim(FinTreeElim steps){
                                                      ;{}()tooA oilduq
                                             class Root extends FinTree{
public abstract <Result> Result elim(FinTreeElim <Result> steps); };
                                                  abstract class FinTree{
                                    Result mktreeCase(FinTreeList \times);
                                                    Result rootCase();
                                      interface FinTreeElim <Result> {
```

Example: FinTree (Cont.)

```
class MkTree extends FinTree{
    private FinTreeList pred;
    public MkTree(FinTreeList pred) {
        this.pred = pred;
    };
    public <Result> Result elim(FinTreeElim <Result> steps) {
        return steps.mktreeCase(pred);};
};
```

Example: FinTree (Cont.)

```
return steps.nilCase();};
public <Result> Result elim(FinTreeElimList <Result> steps) {
                                                                                                                                                                                                                                                                                                                                                                     class Nil extends FinTreeList{
     public <Result> Result elim(FinTreeElimList <Result> steps);
                                                                                                                                                                                                                                                                                             abstract class FinTreeList{
                                                                                                                                                                   Result consCase(FinTree x, FinTreeList I);
                                                                                                                                                                                                                                                                                                                                                      Result nilCase();
                                                                                                                                                                                                                    | shipsing | Standard | shipsing | shipsing
```

Example: FinTree (Cont.)

```
class Cons extends FinTreeList{
    private FinTree arg1;
    private FinTreeList arg2;
    public Cons(FinTree arg1, FinTreeList arg2){
        this.arg1 = arg1;
        this.arg2 = arg2;
    };
    public <Result> Result elim(FinTreeElimList <Result> steps){
        return steps.consCase(arg1,arg2);
    };
};
};
```

3. Defining Algebraic Types using Elimination Rules

If we look again at the Version-4-definition of class LambdaType, we see that it is defined by the elimination rule:

```
abstract class LambdaType{

abstract public <Result> Result elim(

String→Result

(LambdaType,LambdaType)→Result caseAr);
```

- Note that we have no recursion hypothesis.
- Not needed since we have full recursion.

Correctness

- For every element of the new types defined we have defined case distinction.
- Given by elim.
- Further we have introduced the constructions corresponding to the constructors of the algebraic data type.
- Given by the Java-constructors of the subclasses (BType, Ar) in the example above.

Correctness (Cont.)

Finally the equality rules are fulfilled.

$$-$$
 If $s = C_i a_1 \cdots a_{n_i}$, then

case s of
$$(C_1 x_1^1 \cdots x_{n_1}^k) \to case_1 x_1^1 \cdots x_{n_1}^k$$
 \cdots $(C_k x_1^k \cdots x_{n_k}^k) \to case_k x_1^k \cdots x_{n_k}^k$

should evaluate to

- But thats the definition of elim in that case.

Correctness (Cont.)

- Therefore the algebraic data types are modelled in such a way that the introduction, elimination and equality principles for those types are fulfilled.
- This means that from a type theoretic point of view this is a correct implementation of the algebraic data type.

Comparision with System F

- The definition of an inductive data type by its elimination rules is similar to
 The second order definition of algebraic data types in System F:
- Example: Nat in System F is defined as

$$X \leftarrow (X \leftarrow X) \leftarrow X.X \forall$$

- $z.z.\lambda x.\lambda x.\lambda x.\lambda z.\lambda z.$ –
- In our definition, references to the recursion hypothesis are replaced by references to the type to be defined.

abstract class Nat{

abstract public
$$\langle X \rangle X$$
 elim(
() $\rightarrow X$ caseSucc);

$$X \leftarrow (X \leftarrow \text{teV}) \leftarrow (X \leftarrow ()).X \forall = \text{teV}$$

Mat (Cont.)

Mat (Cont.)

```
seture caseSucc(n);
){caseSucc)}
 ()\rightarrow X caseZero,
    )mile X < X > silduq
           \mathsf{in} = \mathsf{n.sidt}
    blic Succ(Nat n){
           private Nat n;
    slass Succ extends Nat
```

Visitor Pattern

- The solution found is very close to the visitor pattern.
- An implementation of LambdaTerm by using the visitor pattern can be obtained from the above solution as follows:
- Replace the return type of the elim method by void.
- * If one wants to obtain a result, one can export it using side effects.
- * However, to export it this way is rather cumbersome.

Visitor Pattern (Cont.)

- Instead of referring to the arguments of the constructor of the algebriac data
 type in elim, one refers to the whole object (which is an element of BType or Ar).
- If their arguments are public we can access them.
- Now all the steps of LambdaElim have different argument types.
- Because of polymorphism, we can give all steps the same name, "visit".
- LambdaElim is called in the visitor pattern Visitor.
- elim is called accept.

Lambda Type Using the Visitor Pattern

```
interface Visitor{
    void visit(BType t);
    void visit(Ar t);
;}
abstract class LambdaType{
    abstract public void accept(Visitor v);
}
```

LambdaType Using the Visitor Pattern (Cont.)

```
;{;(sidt);isiv.v
                                                                                                   public void accept(Visitor v) {
                                                     \{t,t\} = tf; th: th
public Ar(LambdaType left, LambdaType right){
                                                                                          private LambdaType left, right;
                                                                                                           Selass Ar extends LambdaType{
                                                                                                                                                                   ;{;(sidt)tisiv.v
                                                                                                   public void accept(Visitor v) {
                                                                 \{t: s = s.sidt\}(s gninte) = s; \};
                                                                                                                                                                         private String s;
                                                                                       class BType extends LambdaType{
```

noinU tnioleiQ

• If we look again at definition of the visitor:

```
interface Visitor{
    void visit(BType t);
    void visit(Ar t);
;}
abstract class LambdaType{
    abstract public void accept(Visitor v);
}
```

of BType and Ar:

$$LambdaType = BType + Ar$$

we see that this is the definition of LambdaType as the disjoint union

Oisjoint Union (Cont.)

- To define the product of types in Java is trivial:
 This is a record type.
- To define a set recursively is built into the Java type checker.
- The definition of ↑

data
$$A = C_1(\cdots) \mid \cdots \mid C_k(\cdots)$$

can be split into two parts:

$$- \ A = B_1 + \dots + B_k$$

$$A_i(\cdots)_i = A_i = A_i(\cdots)_i$$

 The visitor pattern is essentially a (because of the return type void suboptimal) way of defining the disjoint union.

Conclusion

- Started with a naive implementation of algebraic data types.
- Derived from this a definition, which defines algebraic data types by elimination.
- Carried out a comparison with the Visitor pattern.
- Found that the visitor pattern is an implementation of the disjoint union.
- The implementation in Java is considerably much longer than the definition
- Need for suitable syntactic sugar for algebraic data types in Java.