

Girard's cut elimination for iterated inductive definitions revisited

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1 $ID(\underline{Q})$

General Assumption 1.1 (a) *In the following, we will often introduce in the language of PA , extended by some predicates, new predicates. We will write $\lambda x.\phi(x)$, if ϕ is a formula, for the predicate P s.t. $P(x) :\Leftrightarrow \phi(x)$, similarly for more variables, eg $\lambda x, y, z.\phi(x, y, z)$ introduces a predicate with 3 arguments.*

If we have a formula $\phi(x_1, \dots, x_n, y_1, \dots, y_l)$, then $\phi(r_1, \dots, r_n)$ stands for the l -ary predicate $\lambda y_1, \dots, y_l.\phi(r_1, \dots, r_n, y_1, \dots, y_l)$.

(b) *We will use capital letters X, Y, Z for indicate predicates. So $\phi(x, y, X)$ will be a formula, having (possibly) free variables x and y and one predicate P . Then $\phi(s, t, P)$ is the result of substituting x by s , y by t and (if X is eg a binary predicate) $X(r, r')$ by $P(r, r')$ (which means, that, if $P \equiv \lambda x, y.\psi(x, y)$, we have to replace $X(r, r')$ by $\psi(r, r')$).*

(c) *We define, if P is a n -ary predicate, $(r_1, \dots, r_n) \in P :\equiv P(r_1, \dots, r_n)$, esp. if $n = 1$ $r \in P :\equiv P(r)$.*

Definition 1.2 (a) *For a binary predicate P , $P_\nu(s) := P(\nu, s)$, $P_{\prec\nu}(r, s) := r \prec \nu \wedge P(r, s)$, similar for a 4-ary predicate Φ , $\Phi_\nu(X, Y, x) := \Phi(\nu, X, Y, x)$. Therefore P_ν is a unary predicate, similarly for $P_{\prec\nu}$, Φ_ν .*

If A, B are unary (possibly $A \equiv P_\nu$), $A \subset B :\equiv \forall x.A(x) \rightarrow B(x)$, $A = B :\equiv A \subset B \wedge B \subset A$. We will talk in the same way of A as if it were a set, referring always to the formulas mentioned. Therefore P_ν denotes the set, s.t. $x \in P_\nu \Leftrightarrow P_\nu(x)$.

(b) *The language of ID_R (we will omit in the following the mentioning of R) is the language of arithmetic, extended by a binary predicate I .*

(c) *We assume, that R is a primitive recursive linear ordering (usually a well-ordering). Therefore $R(x, y) \equiv f(x, y) = 0$ for some primitive recursive function f . $\underline{R}(x) :\equiv R(x, x)$, $\nu \prec \mu :\equiv R(\nu, \mu) \wedge \nu \neq \mu$, $\nu \preceq \mu :\equiv R(\nu, \mu)$, $\forall \nu \prec \rho.\phi :\equiv \forall \nu.\nu \prec \rho \rightarrow \phi$ etc.*

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(d) A formula $\Phi(\nu, X, Y, x)$ is fair, if X is a only positively occurring unary, Y a (arbitrarily occurring) binary predicate, ν, x are variables (esp. I does not occur in I).

We assume that we have some fair Φ fixed, and $\Phi_\nu(X, Y, x)$ will denote the set s.t. $x \in \Phi_\nu(X, Y) \leftrightarrow \Phi_\nu(X, Y, x)$ in the convention as in (a).
 $\Phi_\nu(X, Y)$.

(e) The axioms of ID_R or ID are axioms of PA (in the extended language) plus the axioms:

$$(ID1) \quad \forall \nu \in \underline{R}. \Phi_\nu(P_\nu, P_{<\nu}) \subset P_\nu$$

$$(ID2) \quad \forall \nu \in \underline{R}. (\Phi_\nu(B, P_{<\nu}) \subset B) \rightarrow P_\nu \subset B$$

where in the last statement B is an arbitrary unary predicate (e.g. definable by a formula).

Definition 1.3 If α, β are ordinals, $f : \alpha \rightarrow \beta$, we define $f(\alpha) := \beta$. Note, that this definition depends on the choice of β , so whenever we define a function we will explicitly declare domain and codomain of it.

Definition 1.4 (a) Let $\mathcal{O} := \{(\alpha, \beta, \Omega) | \alpha < \beta < \Omega\}$. We will assume that if $\underline{Q} \in \mathcal{O}$, $\underline{Q} = (\alpha, \beta, \Omega)$, and if $\underline{Q}' \in \mathcal{O}$, $\underline{Q}' = (\alpha', \beta', \Omega')$. Unless stated differently, $\underline{Q}, \underline{Q}'$ are always assumed to be elements of \mathcal{O} .

(b) If $\underline{Q}, \underline{Q}' \in \mathcal{O}$, then $f : \underline{Q} \rightarrow \underline{Q}'$ means, that $f : \Omega \rightarrow \Omega'$ and $f(\alpha) = \alpha'$, $f(\beta) = \beta'$.

(c) If $\underline{Q}, \underline{Q}' \in \mathcal{O}$, then we define $\underline{Q} < \underline{Q}'$ iff $\alpha = \alpha'$, $\beta = \beta'$, $\Omega < \Omega'$.

We write $\iota_{\underline{Q}\underline{Q}'}$ for the inclusion: $\underline{Q} \rightarrow \underline{Q}'$, $\iota_{\underline{Q}\underline{Q}'}(z) := z$ (which implies $\iota_{\underline{Q}\underline{Q}'}(\Omega) = \Omega'$).

(d) If $\Omega \leq \Omega'$ (not necessarily $\underline{Q} \leq \underline{Q}'$), then $\tilde{\iota}_{\underline{Q}\underline{Q}'}$ is the inclusion, $\Omega \rightarrow \Omega'$, $\tilde{\iota}_{\underline{Q}\underline{Q}'}(z) := z$.

Definition 1.5 (a) If γ is an ordinal, $\gamma^\leq := (\gamma + 1)$ (considered as a set), $M_{-1} := M \cup \{-1\}$, (i.e. $\gamma_{-1}^\leq = (\gamma + 1) \cup \{-1\}$).
 $\omega_{-1} = \omega \cup \{-1\}$.

(b) If $\underline{Q} \in \mathcal{O}$, then $Deg(\underline{Q}) := \alpha_{-1}^\leq \times \Omega_{-1}^\leq \times \omega_{-1}$.

Definition 1.6 Assume $\underline{Q} \in \mathcal{O}$.

(a) For $u', u \in \Omega_{-1}^\leq$ we define $u' < u \Leftrightarrow u' <_{\underline{Q}} u \Leftrightarrow (u \neq -1 \wedge (u' = -1 \vee u' < u))$.
 $u' \ll u \Leftrightarrow u' \ll_{\underline{Q}} u \Leftrightarrow u' < u < \Omega \vee (u' < \beta \wedge u = \Omega)$.

The definition for the value -1 is only an auxiliary one, needed for $Deg(\underline{Q})$ in (c). In the following by “for all $u' < s$ ” we mean “for all $u' < s$ s.t. $u' \neq -1$ ”, similar for \ll .

(b) For $(t, u), (t', u') \in \Omega_{-1}^\leq \times \alpha_{-1}^\leq$ we define $(t', u') \ll (t, u) \Leftrightarrow (t', u') \ll_{\underline{Q}} (t, u) \Leftrightarrow (t' < t \wedge u' < \beta) \vee (t' = t \wedge u' \ll_{\underline{Q}} u)$.
 $(t', u') < (t, u) \Leftrightarrow t' < t \vee (t' = t \wedge u' < u)$, $(t', u') = (t, u) \Leftrightarrow t' = t \wedge u' = u$.
For the values -1 , the same applies as in (a), so by “for all $(t', u') < (t, u)$ ” we mean “for all $(t', u') < (t, u)$ s.t. $t' \neq -1$ and $u' \neq -1$ ”.

(c) For $(t, u, n), (t', u', n') \in Deg(\underline{Q})$ we define $(t', u', n') < (t, u, n) \Leftrightarrow (t' < t \vee (t' = t \wedge u' < u) \vee (t' = t \wedge u' = u \wedge n' < n))$.

Remark 1.7 Let $t, t', t'' \in \Omega_{-1}^\leq$ or $t, t', t'' \in \Omega_{-1}^\leq \times \alpha_{-1}^\leq$.

(a) $t \ll t' \ll t'' \rightarrow t \ll t''$.

(b) $t < t' \ll t'' \rightarrow (t \ll t'' \leftrightarrow t \ll t')$.

(c) If $f : \underline{Q} \rightarrow \underline{Q}'$, then $t < t' \rightarrow f(t) < f(t')$ and $t \ll t' \rightarrow f(t) \ll f(t')$.

Proof:

(a) Assume $t \ll t' \ll t''$.

If $t, t', t'' \in \Omega_{-1}^{\leq}$, then if $t'' = \Omega$, $t < t' \leq \beta$, $t \ll t''$, otherwise $t < t' < t'' < \Omega$.

Case $t = (s, u)$, $t' = (s', u')$, $t'' = (s'', u'')$: $s \leq s' \leq s''$. If $s < s'$ then $u < \beta$, $(s, u) \ll (s'', t'')$, if $s' < s''$, then $u < \beta$ or $u < u' < \beta$, $(s, u) \ll (s'', t'')$, and if $s = s' = s''$, then $u \ll u' \ll u''$, and again the assertion.

(b) Assume $t < t' \ll t''$.

If $t, t', t'' \in \Omega_{-1}^{\leq}$, then if $t'' = \Omega$, $t < t' < \beta$, $t \ll t''$, $t \ll t'$; if $t'' < \Omega$, $t < t' < t''$ and we have $t \ll t''$ and $t \ll t'$.

If $t = (s, u)$, $t' = (s', u')$, $t'' = (s'', u'')$ we have $s \leq s' \leq s''$. If $s < s'$ then $t \ll t' \leftrightarrow u < \beta \leftrightarrow t \ll t''$.

If $s = s' < s''$ then by $t < t' \ll t''$ follows $u < u' < \beta$, $t \ll t''$, $t \ll t'$.

If $s = s' = s''$, then the assertion follows as in the case $t, t', t'' \in \Omega^{\leq}$.

(c): easy.

Definition 1.8 For $\underline{Q} \in \mathcal{O}$ we define the semi-formal system $ID_R(\underline{Q})$ or short $ID(\underline{Q})$, in which we can interpret ID_R .

(a) The symbols of $ID(\underline{Q})$ are the logical connectives $\wedge, \vee, \forall, \exists$ and \neg , the constant 0, the successor function S , $+$ and \cdot , symbols for primitive recursive functions (which are for this analysis not essential), $=$, $<$ (for $=$ and $<$ on natural numbers) further the predicates IN^s ($s \leq \Omega$, unary), IA^s ($s \leq \alpha$, unary) and $I^{s,t}$ ($s \leq \alpha$, $t \leq \Omega$, binary).

The formulas are built from primeformulas and negated primeformulas by \wedge, \vee, \exists and \forall , the negation being a defined operation using the deMorgan-laws.

We identify α -equivalent formulas, eg $\forall x.A$ and $\forall y.A[x/y]$ are identified, if $A[x/y]$ is an allowed substitution.

(b) Existential formulas are false arithmetical prime formulas, $A \vee B$, $\exists x.A$, $IN^u(a)$, $IA^u(a)$, $I_v^{t,u}(a)$. Universal formulas are the negation of existential formulas.

(c) Let $N(X, x)$ be the formula, depending on a unary predicate X , defined by $N(X, x) := x = 0 \vee \exists y.(y \in X \wedge x = S(y))$.

N is operator, by which we get the inductive definition of the natural numbers.

Let $IN^{\leq u}(a) := N(IN^u, a)$.

The rules of the system for IN^u will represent the axiom

$$(N) \quad \bigcup_{u' < u} IN^{\leq u'} \subset IN^u \subset \bigcup_{u' \ll u} IN^{\leq u'} \quad u \leq \Omega$$

This means IN^u is the iteration of N u times, $IN^u = \{x \in \omega \mid x < u\}$, $IN^{\leq u} = \{x \in \omega \mid x \leq u\}$ and further $IN^\Omega \subset \bigcup_{t < \beta} IN^{\leq t} = IN^\beta$, especially $N(IN^\beta) \subset IN^\Omega \subset IN^\beta$, which is correct if β is at least the closure ordinal of N , i.e. $\omega \leq \beta$.

(d) Let $Acc(X, x) := x \in \underline{R} \wedge \forall y \prec x. y \in X$.

Let $IA^{\leq t}(a) := Acc(IA^t, a)$.

If we iterate Acc t times, we get the elements of \underline{R} of order type $< t$.

The rules of the system for IA^u will represent the following axiom:

$$(IA) \quad IA^u = \bigcup_{v < u} IA^{\leq v} \quad u < \alpha$$

Note, that these rules are symmetric.

This means IA^u is the iteration of Acc u times, $IA^u = \{x \in \underline{R} \mid \|x\| < u\}$ and $IA^{\preceq u} = \{x \in \underline{R} \mid \|x\| \leq u\}$.

We define $\|t\| < u \equiv IA^u(t)$, $\|t\| \leq u \equiv IA^{\preceq u}(t)$, $\|t\| = u \equiv \|t\| \leq u \wedge \neg(\|t\| < u)$.

- (e) Let $\Phi_{\nu, \underline{Q}}(X, Y, x)$ be the result of restricting every quantifier to \mathbb{N}^Ω , i.e. $\forall x.\phi(x)$ is replaced by $\forall x.x \in \mathbb{N}^\Omega \rightarrow \phi(x)$, $\exists x.\phi(x)$ by $\exists x.x \in \mathbb{N}^\Omega \wedge \phi(x)$.

$$\Phi_{\nu, \underline{Q}}^t(X, x) := (\|\nu\| = t \wedge \Phi_{\nu, \underline{Q}}(X, I_{\preceq \nu}^{t, 0}, x)) \vee (\|\nu\| < t \wedge x \in I)$$

i.e.

$$\Phi_{\nu, \underline{Q}}^t(X, x) = \begin{cases} \Phi_{\nu, \underline{Q}}(X, I_{\preceq \nu}^{t, 0}) & \text{if } \|\nu\| = t \\ X & \text{if } \|\nu\| < t \\ \emptyset & \text{if } t < \|\nu\| \end{cases}$$

$I^{\preceq t, u}(a) := I^{\preceq \underline{Q}^t, u}(a) := \Phi_{\nu, \underline{Q}}^t(I_{\preceq \nu}^{t, u}, a)$. Note, that $I^{\preceq \underline{Q}^t, u}$ depends only on Ω .

The rules of the system for $I^{t, u}$ will represent the following axioms:

$$(I) \quad \bigcup_{(t', u') < (t, u)} I^{\preceq \underline{Q}^{t'}, u'} \subset I^{t, u} \subset \bigcup_{(t', u') \ll (t, u)} I^{\preceq \underline{Q}^{t'}, u'} \quad (t, u) \leq (\alpha, \Omega)$$

Therefore we have, if $\|\nu\| = t$, $u < \Omega$, $I_{\preceq \nu}^{t, u} = (\Phi_{\nu, \underline{Q}}(\cdot, I_{\preceq \nu}^{t, 0}))^u$, the asymmetry expresses $\Phi_{\nu, \underline{Q}}(I_{\preceq \nu}^{t, \beta}, I_{\preceq \nu}^{t, 0}) \subset I_{\preceq \nu}^{t, \Omega} \subset \bigcup_{(t', u') \ll (t, \Omega)} I^{\preceq t', u'} \subset I^{t, \beta}$.

In set theory follows from these axioms for all (t, u) s.t. $\|\nu\| < t$, that $I_{\preceq \nu}^{t, u} = I_{\preceq \nu}^{\|\nu\|, \beta}$. Proof by induction on (t, u) ($<$ is naturally a well-ordering for $t \preceq \alpha$, $u \preceq \Omega$): $I_{\preceq \nu}^{t, u} = \bigcup_{(t', u') \ll (t, u)} I_{\preceq \nu}^{t', u'} = \bigcup_{(t', u') \ll (t, u)} I_{\preceq \nu}^{t', u'} \cup \bigcup_{(t', u') \ll (t, u)} I_{\preceq \nu}^{t', u'} \subset I_{\preceq \nu}^{\|\nu\|, \Omega} \cup I_{\preceq \nu}^{\|\nu\|, \beta} \subset I_{\preceq \nu}^{\|\nu\|, \beta} \subset I_{\preceq \nu}^{t, u}$.

For $t < \|\nu\|$ we have $I_{\preceq \nu}^{t, u} = \emptyset$.

The rules are correct, if β is at least the closure ordinal of the ν th inductive definition, i.e. $\omega_{\nu}^{ck} \leq \beta$. If α is the ordertype of R , and α is a limit ordinal, we have the condition $\omega_{\alpha}^{ck} \leq \beta$. Girard had a different definition, namely

$$\Phi_{\nu, \underline{Q}}^t(X, x) := Acc(IA^t, \nu) \wedge \Phi_{\nu, \underline{Q}}(X, I_{\preceq \nu}^{t, 0}, x),$$

therefore

$$\Phi_{\nu, \underline{Q}}(X, x) = \begin{cases} \Phi_{\nu, \underline{Q}}(X, I_{\preceq \nu}^{t, 0}) & \text{if } \|\nu\| \preceq t \\ \emptyset & \text{otherwise} \end{cases}$$

- (f) Let $Term_{CI}$ be the set of closed Terms.

- (g) We define the relation $A \doteq_{\underline{Q}} \bigwedge_{i \in I} A_i$ or $A \doteq_{\underline{Q}} \bigvee_{i \in I} A_i$ for A being a closed formula in $ID(\underline{Q})$ as follows (we usually omit the index \underline{Q}):

(We abbreviate $\bigvee_{i \in \{i' \in J \mid i < j\}} \cdots$, by $\bigvee_{i < j} \cdots \bigvee_{i \in \{i' \in J \mid i < j\}}$, where $J = Ord$, the class of ordinals, or $J = Ord \times Ord$, corresponding to j , similar for $<_{\underline{Q}}$, \ll , \wedge).

$A \doteq \bigvee_{i \in \emptyset} A_i$ if A is a false arithemtical primeformula.

$B \vee C \doteq \bigvee_{i \in \{0, 1\}} A_i$ with $A_0 := B$, $A_1 := \exists x.A \doteq \bigvee_{t \in Term_{CI}} A[x/t]$,

C
 $N^u(a) \doteq_{\underline{Q}} \bigvee_{u' <_{\underline{Q}} u} N^{\preceq u'}(a)$,

$IA^u(a) \doteq \bigvee_{u' < u} IA^{\preceq u'}(a)$,

$I_{\preceq \nu}^{t, u}(a) \doteq_{\underline{Q}} \bigvee_{(t', u') <_{\underline{Q}} (t, u)} I_{\preceq \nu}^{\preceq t', u'}(a)$,

$\neg A \doteq \bigvee_{i \in I} \neg A_i$ if $\bar{A} \doteq \bigwedge_{i \in I} A_i$, if A is a universal formula, except in the following two cases:

$\neg N^u(a) \doteq_{\underline{Q}} \bigwedge_{u' \ll_{\underline{Q}} u} \neg N^{\preceq u'}(a)$, $\neg I_{\preceq \nu}^{t, u}(a) \doteq_{\underline{Q}} \bigwedge_{(t', u') \ll_{\underline{Q}} (t, u)} I_{\preceq \nu}^{\preceq t', u'}(a)$,

(h) We have the following list of rules:

$$(Cut, C) \quad \frac{C}{\neg C}$$

$$(\vee, A, j) \quad \frac{A_j}{A} \quad (\text{if } A \doteq \vee_{i \in I} A_i, j \in I) \quad (\wedge, A) \quad \frac{\dots A_j \dots}{A} \quad (j \in I) \quad (\text{if } A \doteq \wedge_{i \in I} A_i)$$

The premisses are numbered by $J = \{\underline{0}, \underline{1}\}$ in the case of (Cut, C) , $J = I$ in the case of (\wedge, A) and $J = \{\underline{0}\}$ in the case of (\vee, A, i) , the J is called the index set of the rule.

The main formulas of (\vee, A, j) and (\wedge, A) are A , and (Cut) has no main formula.

We define now inductively $\vdash^{\underline{Q}; \delta} \Gamma$, by:

If

$$(Rule) \quad \frac{\Delta_i (i \in I)}{\Delta}$$

is any rule, $\Delta \subset \Gamma$, and $\forall i \in I. \exists \delta' < \delta. \vdash^{\underline{Q}; \delta'} \Gamma, \Delta_i$ then $\vdash^{\underline{Q}; \delta} \Gamma$ holds.

(i) We can define proofs as formal objects: If $(Rule)$ is a rule with index set I , if the conclusion is Δ , the premisses are Δ_i , $(P_i)_{i \in I}$ are proofs of Γ, Δ, Δ_i , of height δ_i , then $(\Gamma, (Rule), (P_i)_{i \in I})$ is a proof of Γ, Δ of height $\sup\{\delta_i + 1 | i \in I\}$.

(j) If $P = (\Gamma, (Rule), (P_i)_{i \in I})$ is a proof, $Rule(P) := (Rule)$.

Definition 1.9 (a) For $(t, u, n) \in Deg(\underline{Q})$, we define $(t, u, n) + 1 := (t, u, n + 1)$.

(b) We define the degree $d^o(A) \in Deg(\underline{Q})$ and the predicative degree $d^p(A) \in Deg(\underline{Q})$ of a formula A in $ID(\underline{Q})$ by:

$d^o(A) := d^p(A) := (-1, -1, 0)$ if A is a prime arithmetical formula,

$d^o(IN^t(a)) := (-1, t, 0)$.

$d^p(IN^t(a)) := (-1, t, 0)$ if $t \neq \Omega$, $d^p(IN^\Omega(a)) := (-1, -1, -1)$.

$d^o(IA^t(a)) := d^p(IA^t(a)) := (t, 0, 0)$.

$d^o(I_\nu^{t,u}(a)) := (t, u, 0)$.

$d^p(I_\nu^{t,u}(a)) := (t, u, 0)$ (if $u \notin \{0, \Omega\}$), $d^p(I_\nu^{t,0}(a)) := d^p(I_\nu^{t,\Omega}(a)) := (-1, -1, -1)$.

$d^o(A \vee B) := \max\{d^o(A), d^o(B)\} + 1$, $d^p(A \vee B) := \max\{d^p(A), d^p(B)\} + 1$,

$d^o(\exists x.A) := d^o(A) + 1$, $d^p(\exists x.A) := d^p(A) + 1$.

$d^p(\neg A) := d^p(A)$, $d^o(\neg A) := d^o(A)$, if A is a universal formula.

Note, that, if B is a subformula of A , then $d^o(B) < d^o(A)$.

Definition 1.10 (a) The degree of a cut with cut formula A is $d^o(A)$, its predicative cut degree $d^p(A)$.

(b) A proof P in $ID(\underline{Q})$ is of degree d iff for the degrees of its cuts we have $d' < d$, and of predicative cut degree d' iff for the predicative degrees of its cuts we have $d' < d$. We write $P \vdash_{d, d_p}^{\underline{Q}; \alpha} \Gamma$ for P is a proof of Γ in $ID(\underline{Q})$ of degree d and predicative degree d_p .

Definition 1.11 Given a formula A of $ID(\underline{Q})$, d a degree of the same theory, then A_r is the result of replacing every ordinal parameter l , s.t. $\beta < l < \Omega$, by Ω .

Definition 1.12 Assume $\underline{Q} \in \mathcal{O}$

(a) We define the set of indices for rules as $\mathcal{I}(\underline{Q}) := Term_{Cl} \cup \{\underline{0}, \underline{1}\} \cup \Omega^{\leq} \cup (\alpha^{\leq} \times \Omega^{\leq})$.

$\vec{\mathcal{I}}(\underline{Q})$ is the set of not empty sequences of $\mathcal{I}(\underline{Q})$.

- (b) If $P = (\Gamma, \Delta, (Rule), (P_i)_{i \in I})$, then $P[i] := P_i$.
 If $A \doteq \bigwedge_{i \in I} B_i$ or $A \doteq \bigvee_{i \in I} B_i$, then $A[i] := B_i$, if $i \in I$.
- (c) In the situation above, for some $\vec{i} \in \vec{\mathcal{I}}(\underline{Q})$, and P an $ID(\underline{Q})$ -proof or formula we define $P[\vec{i}]$:
 If \vec{i} is the list containing the element i , then $P[\vec{i}] \doteq P[i]$, and if $\vec{i} = i, \vec{j}$, then $P[\vec{i}]$ is defined
 if $P[i]$ and $P[i][\vec{j}]$ are defined and in this case defined as $P[i][\vec{j}]$.

2 Images of Objects under functions f

Definition 2.1 Assume $\underline{Q}', \underline{Q} \in \mathcal{O}$.

A good function $f : \underline{Q}' \rightarrow \underline{Q}$ is a function $f \in I(\Omega, \Omega')$, s.t. $f(\alpha') = \alpha$, and $f(\beta') = \beta$.

- (b) In the following we will define the image under f of indices, degrees, formulas, sequences, rules. In this case we have the usual definition of $f^{-1}(Q)$: $f^{-1}(Q)$ is defined iff $\exists P. f(P) = Q$, and in this case $f^{-1}(Q) = P$. f will always be injective therefore $f^{-1}(Q)$ is uniquely defined, if it is defined.
- (c) We will always extend a function $f : \Omega' \rightarrow \Omega$ by $f(\Omega') := \Omega$.
- (d) If $\underline{Q} < \underline{Q}'$, then $\iota_{\underline{Q}, \underline{Q}'}$ is the inclusion $\Omega \rightarrow \Omega'$.

Definition 2.2 Assume $\underline{Q}', \underline{Q} \in \mathcal{O}$ $f : \underline{Q}' \rightarrow \underline{Q}$ good.

- (a) The ordinal parameters in a formula or sequence of $ID(\underline{Q})$ are the parameter u in the prime formulas $IN^u(a)$, $IA^u(a)$ and t, u in $I_v^{t,u}(a)$.
- (b) The ordinal parameters in a rule of $ID(\underline{Q})$ are the ordinal parameter of A in (Cut, A) , (\wedge, A) and i and the ordinal parameter of A in (\vee, A, i) .
- (c) The image of a rule, formula, sequence under f is the result of replacing the ordinal parameters of it by their image under f . Note, that if the object was of $ID(\underline{Q}')$, $f : \underline{Q}' \rightarrow \underline{Q}$, then the image of the object will be an object of $ID(\underline{Q})$.
- (d) If $i \in \vec{\mathcal{I}}(\underline{Q}')$, then we define $\hat{f}(i)$ by $\hat{f}(i) = i$ if $i \in \{\underline{0}, \underline{1}\} \cup Term_{Cl}$, $\hat{f}(i) := f(i)$ for $i \in \Omega + 1$ and $\hat{f}(s, t) := (f(s), f(t))$. We write $f(i)$ for $\hat{f}(i)$ and extend f as well to $\vec{\mathcal{I}}$ by applying f to the elements of the sequence.
- (e) $f(-1) := -1$.
- (f) If $(t, u, n) \in Deg(\underline{Q})$, then $f(t, u, n) := (f(t), f(u), n)$.
- (g) For proofs we cannot define the image of a proof under f , since we get too few premisses, but only possibly the inverse image under it:
 Assume $Q = (\Gamma, \Delta, (Rule), (P_i)_{i \in I})$, then $f^{-1}(Q)$ is defined iff $\forall i \in range(f). f^{-1}(P_i)$ is defined (f extended as in definition 2.1 (b)). and $f^{-1}(Rule)$, $f^{-1}(\Gamma)$, $f^{-1}(\Delta)$ are defined.
 In this case $f^{-1}(Q) := (f^{-1}(\Gamma), f^{-1}(\Delta), f^{-1}(Rule), (f^{-1}(P_{f(i)}))_{i \in f^{-1}(I)})$.

Remark 2.3 (a) If A is a formula of $ID(\underline{Q}')$, $f : \Omega' \rightarrow \Omega$, then $d^o(f(B)) = f(d^o(B))$.

- (b) Note, that in the following cases, if P is a proof in $ID(\underline{Q})$, we have a unique Q s.t. $f^{-1}(Q) = P$, written $f(P) = Q$, in each of the following two cases:
 (i) If $\underline{Q}' \leq \underline{Q}$ and $f = \iota_{\underline{Q}', \underline{Q}}$ (the inclusion).

- (ii) If P is cut-free and all ordinal-parameters $< \Omega$ in the conclusion l are such that $f(l) = l$.
- (ii) can be rewritten as:
- (ii') If $P \vdash_{0,0} \Gamma$ for some Γ s.t. $f(\Gamma) = \tilde{t}_{\underline{Q}\underline{Q}'}(\Gamma)$.

Lemma 2.4 Assume $\underline{Q}_1, \underline{Q}_2, \underline{Q}_3, \underline{Q}_4 \in \mathcal{O}$, $f : \underline{Q}_1 \rightarrow \underline{Q}_2$, $h : \underline{Q}_2 \rightarrow \underline{Q}_4$, $g : \underline{Q}_1 \rightarrow \underline{Q}_3$, $k : \underline{Q}_3 \rightarrow \underline{Q}_4$, $h \circ f = k \circ g$.

- (a) If i is an ordinal, index, formula, sequence of $ID(\underline{Q}_3)$, $g^{-1}(i)$ is defined, then $h^{-1}(k(i))$ is defined, $h^{-1}(k(i)) = f(g^{-1}(i))$.
- (b) If $I \subset \mathcal{I}(\underline{Q}_3)$, $f(g^{-1}(I)) \subset h^{-1}(k(I))$.
- (c) If Q is a proof in $ID(\underline{Q}_3)$, s.t. $f(g^{-1}(Q))$, $h^{-1}(k(Q))$ are proofs, then $f(g^{-1}(Q)) = h^{-1}(k(Q))$.

Proof:

(a) Case $i < \Omega$. By assumption $i = g(j)$, $fg^{-1}(i) = f(j)$, $hf(j) = kg(j) = k(i)$, therefore $h^{-1}(k(i)) = f(j) = fg^{-1}(i)$.

The other cases follow, since the functions just act on the parameters.

(b) by (a).

(c) Proof by induction on the derivations.

Let

$Q =$

$$\frac{\cdots Q_i \vdash \Gamma_i \cdots (i \in I)}{\Gamma} \quad (Rule)$$

Then

$f(g^{-1}(Q)) =$

$$\frac{\cdots f(g^{-1}(Q_{f^{-1}(g(i))})) \cdots (i \in f(g^{-1}(I)))}{f(g^{-1}(\Gamma))} \quad f(g^{-1}(Rule))$$

$h^{-1}k(Q) =$

$$\frac{\cdots h^{-1}(k(Q_{h^{-1}(g(i))})) \cdots (i \in h^{-1}(k(I)))}{h^{-1}(k(\Gamma))} \quad h^{-1}(k(Rule))$$

Now $fg^{-1}(Rule) = h^{-1}(k(Rule))$, $f(g^{-1}(I)) \subset h^{-1}(k(I))$. Since the proofs are correct, these sets must be identical. Further, for $i \in f(g^{-1}(I))$, $i = fg^{-1}(j) = h^{-1}k(j)$, therefore $g(f^{-1}(i)) = k^{-1}(h(j))$, $Q_{g(f^{-1}(i))} = Q_{k^{-1}(h(j))}$. Now $h^{-1}(k(Q_{g(f^{-1}(i))}))$, $fg^{-1}(Q_{k^{-1}(h(j))})$ are defined, by IH therefore equal, further the resulting sequences are equal, and therefore $h^{-1}(k(Q)) = fg^{-1}(Q)$.

Remark 2.5 Assume $f : \underline{Q} \rightarrow \underline{Q}'$ good, $t, t' \in \Omega_{-1}^{\leq}$ or $t, t' \in \Omega_{-1}^{\leq} \times \alpha_{-1}^{\leq}$ or $t, t' \in Deg(\underline{Q})$.

- (a) $t < t' \rightarrow f(t) < f(t')$.
- (b) $t \ll_{\underline{Q}} t' \rightarrow f(t) \ll_{\underline{Q}'} f(t')$.

3 Categories

Definition 3.1 (a) Let \mathcal{C} be a category. A category \mathcal{D} is a direct extension of \mathcal{C} if $Ob(\mathcal{D}) \subset Ob(\mathcal{C}) \times A$ for some class A and $Mor(\mathcal{D})((a, b), (a', b')) = Mor(\mathcal{C})(a, a')$ ($a, a' \in \mathcal{C}$, $b, b' \in A$).

(b) If \mathcal{D} extends a category \mathcal{C} , and \mathcal{E} is a category, $L : \mathcal{E} \rightarrow \mathcal{C}$ and $M : \mathcal{E} \rightarrow \mathcal{D}$, then we say M extends L , if $M(x) = (L(x), a(x))$ for some $a(x) \in A$. We write in this case M_x for $a(x)$.

Definition 3.2 (a) A finite set K is good, if $\underline{0}, \underline{a} \in K$, where $\underline{0}, \underline{a}$ are some distinguished objects.

(b) Let ON be the category with $Ob(ON)$ being the class of ordinals and $Mor_{ON}(x, y) := I(x, y) := \{f : x \rightarrow y \mid f \text{ is strictly increasing}\}$.

(c) Let K be good. ON^K is the category with

$$Ob(ON^K) := \{(x, d) \mid x \text{ Ordinal}, d : K \rightarrow x, d(\underline{0}) = 0\}$$

and

$$Mor_{ON^K}((x, d), (y, e)) := J((x, d), (y, e)) := \{f \in I(x, y) \mid e = f \circ d\}$$

(d) We replace now Girard's definition of a good Category by the following definition of a suitable category, which seems to be the more general principle behind:

A subcategory \mathcal{C} of ON^K for some finite good K is called a suitable category, if the following holds:

- (i) \mathcal{C} is a full subcategory of ON^K , e.g. for $(x, d), (y, e) \in \mathcal{C}$, $Mor_{\mathcal{C}}((x, d), (y, e)) = J((x, d), (y, e))$.
- (ii) \mathcal{C} is an initial segment of ON^K , i.e. if $(x, d) \in \mathcal{C}$, $(y, e) \in ON^K$, $J((y, e), (x, d)) \neq \emptyset$, then $(y, e) \in \mathcal{C}$.
- (iii) $\mathcal{C} \neq \emptyset$.
- (iv) If $(x, d) \in \mathcal{C}$, $x < y$, then $(y, d) \in \mathcal{C}$.
- (e) If $\mathcal{C}, \mathcal{C}'$ are suitable, $\mathcal{C} \subset ON^K$, $\mathcal{C}' \subset ON^{K'}$, then $\mathcal{C} \leq \mathcal{C}' : \Leftrightarrow K \subset K' \wedge (\forall (x, d) \in \mathcal{C}. (x, d|K) \in \mathcal{C}) \wedge (\forall (x, d) \in \mathcal{C}. \exists e : K' \rightarrow ON. e|K = d \wedge \exists x < y. (y, e) \in \mathcal{C}')$.
(Here $|$ stands for restriction).
Further we define $\mathcal{C} \subset \mathcal{C}' \Leftrightarrow K \subset K' \wedge (\forall (x, d) \in \mathcal{C}'. (x, d|K) \in \mathcal{C})$.
- (f) If $\mathcal{C} \subset \mathcal{C}'$, (e.g. $\mathcal{C} \leq \mathcal{C}'$), $\mathcal{C} \subset ON^K$, $\mathcal{C}' \subset ON^{K'}$, F a functor from \mathcal{C} into some category \mathcal{D} , then $\theta_{\mathcal{C}\mathcal{C}'}(F)$ is the functor from \mathcal{C}' into \mathcal{D} , defined by $\theta_{\mathcal{C}\mathcal{C}'}(F)(x, d') = F(x, d'|K)$, $\theta_{\mathcal{C}\mathcal{C}'}(F)(f) = F(f)$.
- (g) $K_0 := \{\underline{0}, \underline{a}\}$, $\mathcal{C}_0 := ON^{K_0}$.
 K_d^x is the full subcategory of ON^K s.t. $Ob(K_d^x) = \{(y, e) \in ON^K \mid \exists x' > x. J((y, e), (x', d)) \neq \emptyset\}$.
- (h) A good functor on a suitable category \mathcal{C} is a functor $\mathcal{C} \rightarrow ON$, s.t.
 - (i) L commutes with direct limits and pull backs
 - (ii) $L = I + L'$ for some L' ,
i.e. $L(x, d) = x + L'(x, d)$, if $f \in J((x, d), (y, e))$, then $L(f) = f + L'(f)$, $L(f)(z) = f(z)$ if $z < x$, $L(f)(x + z) = y + L'(f)(z)$.
 $L_0 := I$ (identity).

- (i) If L is a good functor on \mathcal{C} , an L -parameter is a Functor $l : \mathcal{C} \rightarrow ON$ s.t. $l(x, d) \leq L(x, d)$ for $(x, d) \in \mathcal{C}$ and for $f \in J((x, d), (y, e))$, $L(f)(l(x, d)) = l(y, e)$.
Special L -parameters are $l(x, d) = 0$ (written as 0), $l(x, d) = d(\underline{a})$ (written as \underline{a}), $l(x, d) = x$ (written as $\underline{\beta}$) and $l(x, d) = L(x, d)$ (written as $\underline{\Omega}$).
We define, if l, m are L -parameters $l \leq m : \Leftrightarrow \forall (x, d) \in \mathcal{C}. l(x, d) \leq m(x, d)$, $l < m : \Leftrightarrow \forall (x, d) \in \mathcal{C}. l(x, d) < m(x, d)$, and $l + m$ to be the L -parameter, s.t. $(l + m)(x, d) = l(x, d) + m(x, d)$.

Remark 3.3 (a) K_d^x is suitable.

- (b) If \mathcal{C} is suitable, $\mathcal{C} \subset ON^K$, $(x, d) \in \mathcal{C}$, then, $K_d^x \subset \mathcal{C}$.

Proof:

- (a) K_d^x is suitable: $K_d^x \neq \emptyset$, since $(x', d) \in K_d^x$, if $x < x'$ and $\text{rng}(d) \subset x'$. If $(y, e) \in K_d^x$, $y < z$, $h \in J((y, e), (x', d)) \neq \emptyset$, $x < x'$, then let $z = y + z'$, $g : z \rightarrow x' + z'$, $g(u) := h(u)$ for $u < y$, $g(z + u) := x' + u$, then $g \in J((z, e), (x' + z', d))$, $(z, e) \in K_d^x$. That K_d^x is an initial segment is obvious.

- (b) obvious.

Definition 3.4 Assume L is a good functor, then $L(x, d)$ represents the element $(d(\underline{a}), x, L(x, d)) \in \mathcal{O}$, e.g. $ID(L(x, d)) := ID(d(\underline{a}), x, L(x, d))$, or $\vdash^{L(x, d); \delta} \Gamma$ stands for $\vdash^{(d(\underline{a}), x, L(x, d)); \delta} \Gamma$.

Definition 3.5 Assume L, M are good functors.

- (a) A natural transformation between good functors $L = I + L'$ and $M = I + M'$ is good, if $T = I + T'$ (i.e. $T_{x,d}(z) = z$ if $z < x$, $T_{x,d}(x + z) = x + T'_{x,d}(z)$).
- (b) $L \leq M$ iff $M = L + L'$.
- (c) If $L(x, d) \leq M(x, d)$ for all x, d , then let \tilde{E}_{LM} represent the family of functions $\tilde{E}_{LMxd} := \tilde{l}_{L(x,d), M(x,d)} : L(x, d) \rightarrow M(x, d)$, $\tilde{E}_{LMxd}(z) = z$. Note, that by definition, we have $\tilde{E}_{LMxd}(L(x, d)) = M(x, d)$. \tilde{E}_{LM} is in general not a natural transformation, in the case $L \leq M$ it is a natural transformation, and denoted by E_{LM} .

4 Definition of $ID(L)$

Definition 4.1 The language of $ID(L)$:

- (a) We define FOR_L as the category extending \mathcal{C} with

$$Ob(FOR_L) = \{(x, d, A) | (x, d) \in \mathcal{C}, A \text{ a formula of } ID(L(x, d))\},$$

$$Mor(FOR_L)((x, d, A), (y, e, B)) = \{f \in J((x, d), (y, e)) | L(f)(A) = B\}.$$

- (b) The language of $ID(L)$ is defined as follows: the formulas (called L -formulas) are functors A from \mathcal{C} into FOR_L , extending $Id : \mathcal{C} \rightarrow \mathcal{C}$ (i.e. $A(x, d) = (x, d, A_{xd})$ for some $A_{x,d}$, $A(f) = f$).

It can be easily checked, that these functors commute with pullbacks and direct limits.

- (c) Note that if we define a class FOR'_L of formulas by: every arithmetical prime formula is in FOR'_L , if A, B are in FOR'_L and x is a variable, then $\neg A$, $A \wedge B$, $A \vee B$, $\forall x.A$ and $\exists x.A$ are in FOR'_L , and if l, l' are L -parameters, s, t are terms, then $IN^l(a)$, $IA^l(a)$ and $I^{l,l'}(s, t)$ are in FOR'_L . Then FOR'_L are (except \mathcal{C} is empty) all L -formulas, where for $A \in FOR'_L$ A_{xd} is the result of substituting all L -parameters l by $l(x, d)$.

We will usually write L -formulas as an element of FOR'_L .

(d) In a similar way we can define $f(\Gamma)$ for sequences and introduce the category SEQ_L of L -sequents in \mathcal{C} as functors from \mathcal{C} into SEQ_L .

If $\Gamma, \Gamma' \in SEQ_L$ the concationation Γ, Γ' is defined by $(\Gamma, \Gamma')_{xd} = \Gamma_{xd}, \Gamma'_{xd}$.

Definition 4.2 Definition of the proofs in $ID(L)$, or L -proofs.

(a) We define the category of proofs $PROOF_L$, extending SEQ_L as follows: objects are 4-tupels (x, d, Γ, P) , s.t. $(x, d) \in \mathcal{C}$, P is a proof of the sequence Γ in $ID(L(x, d))$. Morphisms from (x, d, Γ, P) to (y, e, Γ', Q) are $f \in J((x, d), (y, e))$ such that $f^{-1}(Q) = P$. This forces $f^{-1}(\Gamma') = \Gamma$.

(b) An L -proof of a sequence Γ is a functor P from \mathcal{C} into $PROOF_L$ extending Γ (i.e. $P(x, d) = (x, d, \Gamma_{x,d}, P_{x,d})$ with $\Gamma(x, d) = (x, d, \Gamma_{x,d})$, and $P(f) = f$).

It is obvious, that L -proofs commute with direct limits and pull-backs.

Definition 4.3 (a) Let Deg_L be the category, extending a K -category \mathcal{C} , s.t. $Ob(Deg_L) = \{(x, d, d^o) | (x, d) \in \mathcal{C} \wedge d^o \in Deg(L(x, d))\}$, and $Mor(Deg_L)((x, d, d^o), (y, e, e^o)) = \{f \in J((x, d), (y, e)) | f(d^o) = e^o\}$.

An L -degree is a functor $D : \mathcal{C} \rightarrow Deg_L$, extending $Id : \mathcal{C} \rightarrow \mathcal{C}$ (so $D(x, d) = (x, d, D_{x,d})$).

By remark 2.3 every L -formula A has a degree $d^o(A)$ in $ID(L)$ defined by $d^o(A)_{x,d} = d^o(A_{x,d})$.

The degree of a formula is therefore $d^o(A)_{x,d} = (-1, -1, n)$ or $d^o(A)_{x,d} = (-1, l_1(x, d), n)$ or $d^o(A)_{x,d} = (l_1(x, d), l_2(x, d), n)$, where l_1 and l_2 are L -parameters, $n \in \mathbb{N}$.

Definition 4.4 A proof P in $ID(L)$ is of degree D if $P_{x,d}$ is of degree $D_{x,d}$ for all (x, d) in \mathcal{C} .

Note, that the degree of an L -proof need not exist, since

$$D_{x,d} := \sup\{t + 1 | t \text{ is the degree of a cut in } P_{x,d}\}$$

need not be the degree of a formula of $ID(L)$.

All proofs, coming from finitary proofs of the first order theory ID have a degree $(\underline{a}, \underline{\Omega}, n)$ some integer n .

Definition 4.5 (a) We define categories $\mathcal{I}_L, \vec{\mathcal{I}}_L$ of L -indices as the category, extending \mathcal{C} , s.t. $Ob(\mathcal{I}_L) = \{(x, d, i) | (x, d) \in \mathcal{C} \wedge i \in \mathcal{I}(L(x, d))\}$, $Mor(\mathcal{I}_L)((x, d, i), (y, e, j)) = \{f \in Mor_{\mathcal{C}}((x, d), (y, e)) | f(i) = j\}$, $\vec{\mathcal{I}}_L$ is defined as \mathcal{I} with \mathcal{I} replaced by $\vec{\mathcal{I}}$.

An L -index is a functor $I : \mathcal{C} \rightarrow \vec{\mathcal{I}}$ extending Id .

Indices are now of the form $I_{x,d} = \underline{0}$, $I_{x,d} = \underline{1}$, $I_{x,d} = t$, $I_{x,d} = l(x, d)$, $I_{x,d} = (u(x, d), l(x, d))$, where u, l are L -parameters and t is a term. We write $I = \underline{0}, \underline{1}, t, l, (t, l)$ in these cases respectively.

If P is an L -proof or L -formula and I an L -index, then we possibly define $P[I]$ by

$$P[I](x, d) := (x, d, P_{x,d}[I_{x,d}]).$$

It follows, that if $P[I]$ is defined, then $P[I]$ is an L -proof, L -formula.

(Note that by definition $I_{x,d}$ is never the empty sequence)

(b) We define a category $Rule_L$ of L -rules as the category, extending \mathcal{C} , s.t.

$Ob(\mathcal{I}_L) = \{(x, d, rule) | rule \in Rule(L(x, d))\}$, and $Mor(\mathcal{I}_L)((x, d, rule), (y, e, rule')) = \{f \in Mor_{\mathcal{C}}((x, d), (y, e)) | f(rule) = rule'\}$.

An L -rule is a functor $Rule : \mathcal{C} \rightarrow Rule_L$ extending Id .

If P is an L -proof, than we the functor with $Rule(P)_{x,d} := Rule(P_{x,d})$ defines an L -rule.

Rules are now of the form $Rule_{x,d} = (Cut, C_{x,d}), (\wedge, A_{x,d}), (\vee, A_{x,d}, I_{x,d})$, where A, C are L -formulas, I is an L -index, which we will write as $(Cut, C), (\wedge, A), (\vee, A, I)$.

Definition 4.6 If T is a good transformation, it introduces a natural transformation between formulas, sequents, degrees, indices and rules:

If F is a formula, sequent, proof, degree, index, rule in $ID(L)$, define $T(F)(x, d) := T_{xd}(F_{xd})$. We can define $T(P)$ for proofs P by $T(P)(x, d) := T_{xd}(P_{xd})$ not in general, but in the following cases:

- (i) if T is of the form E_{LM}
- (ii) if the $P \vdash_{0,0}^L \Gamma$ for some Γ s.t. $T(\Gamma) = \tilde{E}_{LM}(\Gamma)$.
- (ii) is esp. fulfilled if
- (ii') $P \vdash_{0,0}^L E_{L'L}(\Gamma)$, for some L', Γ s.t. $T \circ E_{L'L} = E_{L'M}$, in which case we have $T(P) \vdash_{0,0}^L E_{L'M}(\Gamma)$.

Remark 4.7 (a) If we have $T : L \rightarrow M$, and P is an L -proof of Γ of degree D and predicative degree D_p s.t. $T(P)$ is defined, then $T(P)$ is a proof of $T(\Gamma)$ of degree $T(D)$ and predicative degree $T(D_p)$.

- (b) If we have two good categories $\mathcal{C} < \mathcal{C}'$, $\theta := \theta_{\mathcal{C}\mathcal{C}'}$, then, if P is an L -proof of a sequent S of degree D , predicative degree D_p in \mathcal{C} then $\theta(P)$ is a $\theta(L)$ -proof of $\theta(S)$ of degree $\theta(D)$, predicative degree $\theta(D_p)$ in \mathcal{C}' .

5 Double Bar-Induction

We have now to compare proofs and degrees of $ID(L)$ and $ID(M)$, which actually depend on the L and M :

Definition 5.1 (a) We define an ordering on proofs: $P < P'$ iff $P'[I] = P$ for some L -index I .

- (b) For degrees D, D' of L -proofs we define $D < D' :\Leftrightarrow \forall (x, d) \in \mathcal{C}. D_{xd} < D'_{xd}$, and $D \equiv D' :\Leftrightarrow \forall (x, d) \in \mathcal{C}. D_{xd} = D'_{xd}$.

- (c) We can compare degrees and proofs in the same category \mathcal{C} , but with different functor L as follows: If D is a degree, P a proof of $ID(M)$, D' a degree, P' a proof of $ID(L)$, and $L \leq M$, then, with $E := E_{LM}$, we define
 - $D < D'$ iff $D < E(D')$.
 - $D \equiv D'$ iff $D \equiv E(D')$.
 - $P < P'$ iff $P < E(P')$.

- (d) Using θ , we can compare degrees and proofs of different good categories. If $\mathcal{C}, \mathcal{C}' \leq \mathcal{C}''$, then we define, if L is a good functor on \mathcal{C} , L' a good functor on \mathcal{C}' , D is a degree, P a proof of $ID(L)$, D' a degree, P' a proof of $ID(L')$, and then, with $\theta := \theta_{\mathcal{C}\mathcal{C}''}$, $\theta' := \theta_{\mathcal{C}'\mathcal{C}''}$, we define
 - $D < D'$ iff $\theta(D) < \theta'(D')$.
 - $D \equiv D'$ iff $\theta(D) \equiv \theta'(D')$.
 - $P < P'$ iff $\theta(P) < \theta'(P')$.

This definition is independant of the choice of \mathcal{C}'' .

Further we see, that if $D < D'$, then $\theta(D) < \theta(D')$ similar for P .

- (e) We define for pairs (D, P) , where P is a proof of degree D : $(D, P) < (D', P') :\Leftrightarrow (D < D' \vee (D \equiv D' \wedge P < P'))$.

Remark 5.2 If $L_1 \leq L_2 \leq L_3 \leq \dots$, then we can define a functor $L = \sup(L_n)_{n \in \omega}$ by $L(x, d) = \sup\{L_n(x, d) | n \in \omega\}$, and $L(f)(z) := L_n(f)(z)$ if $z < L_n(x, d)$. Then $L_n \leq L$.

Proof: Obvious.

Theorem 5.3 *Double bar induction on degrees and proofs: The relation $<$ defined in 5.1 is wellfounded.*

Proof:

Assume a strictly decreasing sequence $(K_n, x_n, d_n, \mathcal{C}_n, L_n, D_n, P_n)$, where P_n is a L_n proof of degree D_n on the category $\mathcal{C}_n \subset ON^{K_n}$, $(x_n, d_n) \in \mathcal{C}_n$, $K_n \subset K_{n+1}$, $x_n < x_{n+1}$ and $d_{n+1}|K_n = d_n$.

Define $K := \bigcup K_n$, d defined by $d|K_n = d_n$, (here $|$ stands for restriction), $x := \sup\{x_n | n \in \omega\}$, $\mathcal{C} := K_d^x$, $\theta_n := \theta_{\mathcal{C}_n \mathcal{C}}$. then with $P'_n := \theta_n(P_n)$, $D'_n := \theta_n(D_n)$, $L'_n := \theta_n(L_n)$ (P'_n, D'_n) is a strictly decreasing sequence in \mathcal{C} of L'_n proofs and degrees, L'_n is increasing. Let $L := \sup(L_n)_{n \in \omega}$, Let $E_n := E_{L'_n, L}$. Then the sequence (D''_n, P''_n) defined by $D''_n := E_n(D'_n)$, $P''_n := E_n(P'_n)$ is a strictly decreasing sequence of pairs (z, t) , where z is a degree, t is a well-founded proof-tree, and we have a contradiction.

(Note that $D'_{n+1} < D'_n$, by definition therefore $D'_{n+1} < E_{L'_n, L'_{n+1}}(D'_n)$, therefore $D'_{n+1} = E_{n+1}(D'_{n+1}) < E_{n+1}(E_{L'_n, L'_{n+1}}(D'_{n+1})) = E_n(D'_n)$, similar for P).

6 Local Cutelimination

Definition 6.1 *In the following we will define several operations, which transform proofs into proofs, depending on certain parameters, written as $\text{Operation}_{\underline{Q}}(s_1, \dots, s_n)$, where P is a proof in $ID(\underline{Q})$. Such an operation is called functorial, if for good $f : \underline{Q}' \rightarrow \underline{Q}$, if $f^{-1}(s_i)$ is defined for all i (if s_i is a term, variable, then $f^{-1}(s_i) := s_i$), then $f^{-1}(\text{Operation}_{\underline{Q}}(s_1, \dots, s_n)) = \text{Operation}_{\underline{Q}'}(f^{-1}(s_1), \dots, f^{-1}(s_n))$.*

Lemma 6.2 (a) *We can define an operation $r\text{Red}_{\underline{Q}}(P; \Gamma; \Gamma')$, s.t. if $P \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma$, Γ' is the result of replacing in Γ some parameters $\beta < s < \Omega$ by Ω , then $r\text{Red}_{\underline{Q}}(P; \Gamma; \Gamma') \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma$. This operation is functorial.*

(b) *We can define an operation $\wedge\text{elim}_{\underline{Q}}(P; \Gamma; A; i)$, s.t. if $P \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma, A$, $A \doteq_{\underline{Q}} \bigwedge_{i \in I} A_i$ and $i \in I$, then $\wedge\text{elim}_{\underline{Q}}(P; \Gamma; A; i) \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma, A_i$. This operation is functorial.*

(c) *We can define an operation $\wedge\text{red}_{\underline{Q}}(P; \Gamma; A; A'; I')$, s.t. if $P \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma, A$, $A \doteq \bigwedge_{i \in I} A_i$, $A' \doteq \bigwedge_{i \in I'} A_i$, $I' \subset I$, then $\wedge\text{red}_{\underline{Q}}(P; \Gamma; A; A'; I') \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma, A'$. The operation is functorial. In the cases $A = I_\nu^{t, u}(a)$, $A' = I_\nu^{t', u'}(a)$, $(t', u') \ll (t, u)$, or $A = \text{IN}^u(a)$, $A' = \text{IN}^{u'}(a)$, $u' \ll u$, we can omit the parameter I' .*

(d) *We can define an operation $\vee\text{red}_{\underline{Q}}(P; \Gamma; A; A'; I')$ s.t. if $P \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma, A$, $A \doteq \bigvee_{i \in I} A_i$, $A' \doteq \bigvee_{i \in I'} A_i$, $I \subset I'$, then $\vee\text{red}_{\underline{Q}}(P; \Gamma; A; A'; I') \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma, A'$. The operation is functorial. In the cases $A = I_\nu^{t, u}(a)$, $A' = I_\nu^{t', u'}(a)$, $(t, u) < (t', u')$, or $A = \text{IN}^u(a)$, $A' = \text{IN}^{u'}(a)$, $u < u'$, the only cases, we actually use, we don't need to mention the parameter I' .*

(e) *We can define an operation $\text{Weak}_{\underline{Q}}(P; \Gamma')$, s.t. if $P \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma$, then $\text{Weak}_{\underline{Q}}(P; \Gamma') \vdash_{d, d_p}^{\underline{Q}; \delta} \Gamma, \Gamma'$. The operation is functorial.*

(f) *We can define an operation $\beta\text{change}_{\alpha, \beta', \Omega}(P; \beta)$, s.t. if $P \vdash_{d, d_p}^{\alpha, \beta', \Omega; \delta} \Gamma$, $\alpha < \beta < \beta' < \Omega'$, then $\beta\text{change}_{\alpha, \beta', \Omega}(P; \beta) \vdash_{d, d_p}^{\alpha, \beta, \Omega; \delta} \Gamma$. The operation is functorial.*

- (g) We can define an operation $\Omega\text{change}_{\alpha,\beta,\Omega'}(P; \Omega; \Gamma; \Gamma')$, s.t. if $P \vdash_{d,d_p}^{\alpha,\beta,\Omega;\delta} \Gamma$, $\alpha < \beta < \Omega < \Omega'$, Γ' is the result of replacing all, except of some positive occurrences, of Ω by Ω' , d', d'_p is the result of replacing Ω by Ω' in d, d_p then $\Omega\text{change}_{\alpha,\beta,\Omega'}(P; \Omega; \Gamma; \Gamma') \vdash_{d',d'_p}^{\alpha,\beta,\Omega';\delta} \Gamma'$.

The operation is functorial.

Proof: All the following proofs are trivial, we just present them here for completeness.
By recursion on the proofs, let in all cases the proof be

$$\frac{\cdots P_j \vdash \Gamma, \Delta_j \cdots (j \in J)}{\Gamma} \quad (\text{Rule})$$

(a) Case $(\text{Rule}) = (\text{Cut}, A)$: Then $r\text{Red}(P, \Gamma, \Gamma') :=$

$$\frac{r\text{Red}(P_0; \Gamma, A; \Gamma', A_r) \vdash \Gamma', A_r \quad r\text{Red}(P_1; \Gamma, \neg A; \Gamma', \neg A_r) \vdash \Gamma', \neg A_r}{\Gamma'} \quad (\text{Cut}, A)$$

Case (Rule) is not a cut:

If $(\text{Rule}) = (\wedge, A)$, let $(\text{Rule}') = (\wedge, A')$, where A' is the formula, corresponding to A in Γ' , $A \doteq \bigwedge_{i \in I} A_i$, $A' \doteq \bigwedge_{i \in I'} A'_i$. Then A'_i corresponds to A_i , and $I' \subset I$; let $J' := I'$.
If $(\text{Rule}) = (\vee, A, i)$, let $(\text{Rule}') = (\vee, A', i)$, where A' defined as before, $A \doteq \bigwedge_{i \in I} B_i$, $A' \doteq \bigwedge_{i \in I'} B'_i$. Then B'_i corresponds to B_i for $i \in I$, and $I \subset I'$. Let in this case $A_0 := B_i$, $A'_0 := B'_i$, $J' := \{0\}$.

Then we define

$r\text{Red}(P, \Gamma, \Gamma') :=$

$$\frac{\cdots r\text{Red}(P_i; \Gamma, A_i; \Gamma', A'_i) \vdash \Gamma, A'_i \cdots (i \in J')}{\Gamma} \quad (\text{Rule}')$$

(b) Case (Rule) has not main premisses A . Then

$\wedge\text{elim}_{\underline{Q}}(P; \Gamma; A; i) :=$

$$\frac{\cdots \wedge\text{elim}(P_j; \Gamma, \Delta_j; A; i) \vdash \Gamma, \Delta_j, A_i \cdots (j \in I)}{\Gamma, A_i} \quad (\text{Rule})$$

Case (Rule) has main premisses A , then $\wedge\text{elim}_{\underline{Q}}(P; \Gamma; A; i) := \wedge\text{elim}(P_i; \Gamma; A; i)$, and $\wedge\text{elim}_{\underline{Q}}(P; \Gamma; A_i; A; i) \vdash \Gamma, A_i$.

(c) Case (Rule) has not main premisses A . Then

$\wedge\text{red}_{\underline{Q}}(P; \Gamma; A; A'; I') :=$

$$\frac{\cdots \wedge\text{red}(P_j; \Gamma, \Delta_j; A; A'; I') \vdash \Gamma, \Delta_j, A' \cdots (j \in J)}{\Gamma, A'} \quad (\text{Rule})$$

Case (Rule) has main premisses A , then $(\text{Rule}) = (\wedge, A)$,

$\wedge\text{red}_{\underline{Q}}(P; \Gamma; A; A'; I') :=$

$$\frac{\cdots \wedge\text{red}(P_j; \Gamma, A_j; A; A'; I') \vdash \Gamma, A_j, A' \cdots (j \in I')}{\Gamma, A'} \quad (\wedge, A')$$

(d) Case $(Rule)$ has not main premisses A . Then

$$\forall red_{\underline{Q}}(P; \Gamma; A; i; I') :=$$

$$\frac{\dots \vee red(P_j; \Gamma, \Delta_j; A; A'; I') \vdash \Gamma, \Delta_j, A' \dots (j \in J)}{\Gamma, A'} \quad (Rule)$$

Case $(Rule)$ has main premisses A , then $(Rule) = (\vee, A, i)$, $i \in I'$,

$$\forall red_{\underline{Q}}(P; \Gamma; A; A'; I') :=$$

$$\frac{\forall red(P_{\underline{0}}; \Gamma, A_i; A; A'; I') \vdash \Gamma, A_i, A'}{\Gamma, A'} \quad (\vee, A', i)$$

(e)

$$Weak_{\underline{Q}}(P; \Gamma') :=$$

$$\frac{\dots Weak(P_j; \Gamma') \vdash \Gamma, \Delta_j, \Gamma'}{\Gamma, \Gamma'} \quad (Rule)$$

(f) If $A \doteq_{\alpha, \beta', \Omega} \bigwedge_{i \in I} A_i$, then $A \doteq_{\alpha, \beta, \Omega} \bigwedge_{i \in I'} A_i$ for some $I' \subset I$, and if $A \doteq_{\alpha, \beta', \Omega} \bigvee_{i \in I} A_i$, then $A \doteq_{\alpha, \beta, \Omega} \bigvee_{i \in I} A_i$.

Therefore we can define

$$\beta change(P; \beta) :=$$

$$\frac{\dots rRed(P_i; \Gamma, \Delta_i; \beta) \vdash \Gamma, \Delta_i \dots (i \in J')}{\Gamma} \quad (Rule')$$

where $J' = J$ in case of an \vee or Cut rule, and if $(Rule) = (\wedge, A)$, $A \doteq_{\alpha, \beta', \Omega} \bigwedge_{i \in J} A_i$, then J' defined by $A' \doteq_{\alpha, \beta, \Omega} \bigwedge_{i \in J'} A_i$.

(g) Case $(Rule) = (Cut, A)$, A' be the result of replacing all occurrences of Ω by Ω' in A .

Then let $Q := \Omega change(P_{\underline{0}}; \Omega; \Gamma, A; \Gamma', A')$, $Q' := \Omega change(P_{\underline{1}}; \Omega; \Gamma, \neg A; \Gamma', \neg A')$,

$$\Omega change_{\alpha, \beta, \Omega'}(P; \Omega; \Gamma; \Gamma') :=$$

$$\frac{Q \vdash \Gamma', A' \quad Q' \vdash \Gamma', \neg A'}{\Gamma} \quad (Cut, A')$$

Case $(Rule)$ is not a cut:

If $(Rule) = (\wedge, A)$, let $(Rule') = (\wedge, A')$, where A' is the formula, corresponding to A in Γ' , $A \doteq_{\alpha, \beta, \Omega} \bigwedge_{i \in I} A_i$, $A' \doteq_{\alpha, \beta, \Omega'} \bigwedge_{i \in I} A'_i$. Then A'_i corresponds to A_i . (Note in case $A = \neg I_{\nu}^{s, u}(a)$ $u < \Omega$, then $I = \{(s', u') | (s' < s \wedge u' < \beta) \vee (s' = s \wedge u' < u)\}$, $A' = A$, $A_{s', u'} = \neg I_{\nu}^{\leq_{\alpha, \beta, \Omega} s', u'} A'_{s', u'} = \neg I_{\nu}^{\leq_{\alpha, \beta, \Omega'} s', u'}$. If $A = \neg I_{\nu}^{s, \Omega}(a)$, $I = \{(s', u') | (s' \leq s \wedge u' < \beta)\}$, $A' = \neg I_{\nu}^{s, \Omega'}(a)$, $A_{s', u'} = \neg I_{\nu}^{\leq_{\alpha, \beta, \Omega} s', u'} A'_{s', u'} = \neg I_{\nu}^{\leq_{\alpha, \beta, \Omega'} s', u'}$).

If $(Rule) = (\vee, A, i)$, let $(Rule') = (\vee, A', i)$, where A' defined as before, $A \doteq \bigwedge_{i \in I} B_i$, $A' \doteq \bigwedge_{i \in I'} B'_i$. Then B'_i corresponds to B_i for $i \in I$, and $I \subset I'$. Let the $A_{\underline{0}} := B_i$, $A'_{\underline{0}} := B'_i$.

Then we define

$\Omega change(P, \Omega, \Gamma, \Gamma') :=$

$$\frac{\dots \Omega change(P_i; \Gamma, A_i; \Gamma', A'_i) \vdash \Gamma', A'_i \dots (i \in J)}{\Gamma'} \quad (Rule')$$

Lemma 6.3 *We can define an operation $PredCut_{\underline{Q}}(P; Q; \Gamma; \Gamma'; A)$, s.t. if $P \vdash_{\frac{Q; \gamma}{d, d_p}} \Gamma, A$, $Q \vdash_{\frac{Q; \delta}{d, d_p}} \Gamma', \neg A$, $d^o(A) < d$, $d^p(A) \leq d_p$, $d_p \neq (-1, -1, -1)$, then*

$$PredCut_{\underline{Q}}(P; Q; \Gamma; \Gamma'; A) \vdash_{\frac{Q}{d, d_p}} \Gamma, \Gamma'$$

The operation is functorial.

Proof: By induction on $\gamma \# \delta$.

W.l.o.g. A is existential. If $d^p(A) < d_p$, then

$PredCut_{\underline{Q}}(P; Q; \Gamma; \Gamma'; A) :=$

$$\frac{Weak(P; \Gamma') \vdash \Gamma, \Gamma', A \quad Weak(Q; \Gamma) \vdash \Gamma, \Gamma', \neg A}{\Gamma, \Gamma'} \quad (Cut, A)$$

Assume now $d^p(A) = d_p(\neq (-1, -1, -1))$.

Let

$P =$

$$\frac{\dots P_j \vdash \Gamma, A, \Delta_j \dots (j \in J)}{\Gamma, A} \quad (Rule)$$

Case A is not a main premiss of $(Rule)$. Then

$PredCut_{\underline{Q}}(P; Q; \Gamma; \Gamma'; A) :=$

$$\frac{\dots PredCut(P_i; Q; \Gamma, \Delta_i; \Gamma'; A) \vdash \Gamma, \Delta_i, \Gamma' \dots (i \in J)}{\Gamma, \Gamma'} \quad (Rule')$$

Case A is the main premiss of $(Rule) = (\vee, A, i)$, ($A \not\equiv I_{\nu}^{t,u}(a)$ or $i = (t, u')$). Then i is an index of $\neg A$ as well. Let

$Q := PredCut(P; Q; \Gamma, A_i; \Gamma'; A)$,

$Q' := Weak(\wedge elim(P; \Gamma; \neg A; i); \Gamma)$,

$PredCut_{\underline{Q}}(P; Q; \Gamma; \Gamma'; A) :=$

$$\frac{Q \vdash \Gamma, \Gamma', A_i \quad \vdash \Gamma, \Gamma', \neg A_i}{\Gamma, \Gamma'} \quad (Cut, A_i)$$

Case otherwise. Therefore A is the main premiss of $(Rule) = (\vee, A, i)$, $A \equiv I_{\nu}^{t,u}(a)$, $i = (t', u')$, $t' < t$. Let

$P' := Weak(PredCut(P_0; Q; \Gamma, I_{\nu}^{\leq t', u'}(a); \Gamma'; I_{\nu}^{t,u}), I_{\nu}^{t,0}(a))$,

$P'' :=$

$$\frac{P' \vdash \Gamma, \Gamma', I_{\nu}^{\leq t', u'}(a), I_{\nu}^{t,0}(a)}{\Gamma, \Gamma', I_{\nu}^{t,0}(a)} \quad (\vee, I_{\nu}^{t,0}(a), (t', u'))$$

$P''' := Weak(\wedge red(Q; \Gamma'; \neg I_{\nu}^{t,u}(a); \neg I_{\nu}^{t,0}(a)); \Gamma)$

$PredCut(P; Q; \Gamma; \Gamma'; A) :=$

$$\frac{P'' \vdash \Gamma, \Gamma', I_{\nu}^{t,0}(a) \quad P''' \vdash \Gamma, \Gamma', \neg I_{\nu}^{t,0}(a)}{\Gamma, \Gamma'} (Cut, I_{\nu}^{t,0}(a))$$

Lemma 6.4 *We can define an operation $PredCutelim_{\underline{Q}}(P, d_p)$, s.t. if $P \vdash_{\frac{Q}{d, d_p}} \Gamma$, $PredCutelim_{\underline{Q}}(P, d_p) \vdash_{\frac{Q}{d, 0}} \Gamma$.*

The operation is functorial.

Proof: Induction on the d_p , side induction on the height of the derivation.

Let

$P =$

$$\frac{\dots P_j \vdash \Gamma, \Delta_j \dots (j \in J)}{\Gamma} (Rule)$$

Case $(Rule)$ is not a Cut: Then

$PredCutelim(P, d_p) :=$

$$\frac{\dots PredCutelim(P_j, d_p) \vdash \Gamma, \Delta_j \dots (j \in J)}{\Gamma} (Rule)$$

Case $(Rule) = (Cut, A)$. $Q_i := PredCutelim(P_i)$, $Q_{\underline{0}} \vdash_{d, 0} \Gamma, A$, $Q_{\underline{1}} \vdash_{d, 0} \Gamma, \neg A$. $Q := PredCut(Q_{\underline{0}}, Q_{\underline{1}}, \Gamma; \Gamma; A) \vdash_{d, d_p(A)} \Gamma$, $d_p(A) < d_p$. $PredCut(P, d_p) := PredCut(Q, d_p(A))$.

Lemma 6.5 *We can define an operation $ImpredCut_{\alpha, \beta, \Omega''}(P; Q; \Omega; \Omega'; \Gamma; \Gamma'; A; \tilde{\Gamma}; \tilde{A})$, s.t. if we have the following situation:*

$\alpha < \beta < \Omega < \Omega' < \Omega''$.

A is existential, $d_p(A) = (-1, -1, -1)$ (so $A \in \{I_{\nu}^{s,0}(a), I_{\nu}^{s,\Omega}(a), I_{\nu}^{\Omega}(a)\}$ for some s),

$d^o(A) \leq d$,

$\tilde{\Gamma}$ is the result of replacing in Γ all, except of some positive occurrences, of Ω by Ω'' ,

\tilde{A} is the result of replacing all occurrences of Ω by Ω'' in A ,

d' the result of replacing in d Ω by Ω' , similar for d'_p and d_p ,

$d''_p := \max\{d', d'_p\}$,

$P \vdash_{d, d_p}^{\alpha, \beta, \Omega; \gamma} \Gamma, A$, $Q \vdash_{d', d'_p}^{\alpha, \Omega', \Omega''; \delta} \Gamma', \neg \tilde{A}$,

then

$ImpredCut_{\alpha, \beta, \Omega''}(P; Q; \Omega; \Omega'; \Gamma; \Gamma'; A; \tilde{\Gamma}; \tilde{A}) \vdash_{d', d''_p}^{\alpha, \beta, \Omega''; \gamma \# \delta} \tilde{\Gamma}, \Gamma'$.

The operation is functorial.

Proof: By induction on $\gamma \# \delta$.

Let

$P =$

$$\frac{\dots P_j \vdash \Gamma, A, \Delta_j \dots (j \in J)}{\Gamma, A} (Rule)$$

Case A is not a main premiss of $(Rule)$. Then

$ImpredCut_{\alpha, \beta, \Omega''}(P; Q; \Omega; \Gamma; \Gamma'; A; \tilde{\Gamma}; \tilde{A}) :=$

$$\frac{\dots ImpredCut_{\underline{Q}}(P; Q; \Omega; \Gamma, \Delta_i; \Gamma'; A; \tilde{\Gamma}, \tilde{\Delta}_i; \tilde{A}) \vdash \tilde{\Gamma}, \tilde{\Delta}_i \dots (i \in J)}{\tilde{\Gamma}} (\widetilde{Rule})$$

where in $\tilde{\Delta}_i$, we have made the same changes as in $\tilde{\Gamma}$ in the \wedge and \vee rules, in the case of a Cut $\tilde{\Delta}$ is the result of replacing in Δ all Ω by Ω'' , and $(Rule)$ is the result of making the corresponding changes.

Case A is the main premiss of $(Rule) = (\vee, A, i)$, $A \equiv I_\nu^{s,0}(a), I_\nu^{s,\Omega}(a), \mathbb{N}^\Omega(a)$. We consider the case $A \equiv I_\nu^{s,\Omega}(a)$.

$P_0 \vdash \Gamma, I_\nu^{\leq s',t'}(a), I_\nu^{s,\Omega}(a)$.

$Q' := ImpredCut(P_0; Q; \Omega; \Gamma; I_\nu^{\leq \alpha, \beta, \Omega s', t'}(a); \Gamma'; A; \tilde{\Gamma}; I_\nu^{\leq \alpha, \beta, \Omega'' s' t'}(a); \tilde{A})$,

$Q' \vdash \tilde{\Gamma}, \Gamma', I_\nu^{\leq \alpha, \beta, \Omega'' s' t'}(a)$. $Q'' := \wedge elim(Q; \Gamma'; \neg \tilde{A}; I_\nu^{\leq \alpha, \Omega', \Omega'' s' t'}(a))$

$Q'' \vdash \alpha, \Omega', \Omega'' \Gamma', \neg I_\nu^{\leq \alpha, \Omega', \Omega'' s' t'}(a)$.

$Q''' := Weak(\beta change_{\alpha, \Omega', \Omega''}(Q''; \beta), \tilde{\Gamma})$, $Q''' \vdash \tilde{\Gamma}, \Gamma', \neg I_\nu^{\leq \alpha, \Omega', \Omega'' s' t'}(a)$ which is the same as $\tilde{\Gamma}, \Gamma', \neg I_\nu^{\leq \alpha, \beta, \Omega'' s' t'}(a)$,

and the result is now

$$\frac{Q' \vdash \tilde{\Gamma}, \Gamma', I_\nu^{\leq \alpha, \beta, \Omega'' s' t'}(a) \quad Q''' \vdash \tilde{\Gamma}, \Gamma', \neg I_\nu^{\leq \alpha, \Omega', \Omega'' s' t'}(a)}{\tilde{\Gamma}, \Gamma'} (Cut, I_\nu^{\leq s', t'}(a))$$

Lemma 6.6 (a) For every operation $operation_Q(s_1, \dots, s_n)$ in Lemma 6.2 (a) - (e) we can define an operation $Operation_L(S_1, \dots, S_n)$, where S_i are the $ID(L)$ objects, corresponding to the $ID(Q)$ -objects s_i , which fulfill the corresponding relations, except that we don't get any ordinal bounds).

E.g. in 6.2 (c) we have:

If $P \vdash_{D, D_p}^L \Gamma, A$, $A_{xd} \doteq \bigwedge_{i \in I_{xd}} A_{xd}^i$, $A'_{xd} \doteq \bigwedge_{i \in I'_{xd}} A_{xd}^i$, $I'_{xd} \subset I_{xd}$, for all $f \in J((x, d), (y, e))$,

$L(f)^{-1}(I'_{ye}) = I'_{xd}$, then

$\wedge red_L(P; \Gamma; A; A'; I') \vdash_{D, D_p}^L \Gamma, A'$.

(b) We can do the same for 6.2 (g): We can define an operation $\Omega change_M(P; L; \Gamma; \Gamma')$ s.t. if $P \vdash_{D, D_p}^L \Gamma$, $L \leq M$ $E := E_{LM}$, Γ' is the result of replacing all, except of some positive occurrences of the L -parameter L by M , then $\Omega change_M(P; L) \vdash_{E(D), E(D_p)} \Gamma'$.

The operation is functorial.

(c) Lemma 6.4 extends as in (a).

(d) Lemma 6.5 extends to an operation on L -proofs as follows:

We can define an operation $ImpredCut_L(P; Q; \Gamma; A; D, D_p)$, s.t. if we have the following situation:

$M := L \circ (L + 1)$ ($M(x, d) = L(L(x, d) + 1, d)$, $M(f) = L(L(f) + 1)$),

$d_p(A) = (-1, -1, -1)$ (so $A \in \{I_\nu^{s,0}(a), I_\nu^{s,\Omega}(a), \mathbb{N}^\Omega(a)\}$),

$d^o(A) \leq D$,

$\Gamma = \Gamma_r$,

$P \vdash_{D, D_p}^L \Gamma, A$, $Q \vdash_{D, D_p}^L \Gamma, \neg A$,

then, with $E := E_{LM}$ we have:

$ImpredCut_L(P; Q; \Gamma; A; D, D_p) \vdash_{E(D), 0}^M E(\Gamma)$.

Proof: (a) Define $Operation_L(S_1, \dots, S_n)_{xd} := operation_{L(x,d)}(S_{1,x,d}, \dots, S_{n,x,d})$. By the functoriality follows, that we get an L -proof.

(b), (c): similar.

(d). Assume A is existential. Let $P' := PredCut_L(P, D_p)$, $Q' := PredCut_L(Q, D_p)$, $D'_{pxd} := D^o(A_{xd})$. We define $ImpredCut_L(P; Q; \Gamma; A) :=$

$PredCutelim_{M(x,d)}($
 $ImpredCut_{M(x,d)}($
 $P'_{xd}; Q'_{L(x,d)+1,d}; L(x,d); L(x,d)+1; \Gamma_{xd}; E(\Gamma_{xd}); A_{xd}; E(\Gamma_{xd}); A_{L(x,d)+1,d}, D'_{p,L(x,d),d}).$
 $P' \vdash_{D,0}^L \Gamma, A, Q' \vdash_{D,0}^L \Gamma, \neg A, d_0(A) \leq D, \Gamma = \Gamma_r, E := E_{LM},$ therefore $P'_{xd} \vdash_{D_{xd},0}^{L(x,d)} \Gamma_{xd}, A_{xd}.$ Further for the embedding $\iota : x \rightarrow L(x,d)+1$ we have $L(\iota) : L(x,d) \rightarrow L(L(x,d)+1,d).$ (note that $\iota \circ d = d.$ $\Gamma_{L(x,d)+1,d} = L(\iota)(\Gamma_x, d).$). Since $\Gamma = \Gamma_r,$ $L(\iota)(z) = E_{xd}(z)$ for $z < x$ or $z = L(x,d),$ follows therefore $\Gamma_{L(x,d)+1,d} = L(\iota)(\Gamma_{xd}) = (E(\Gamma_{xd}))$ which is the result of replacing $L(x,d)$ by $M(x,d).$ A similar relationship we have for $D_{L(x,d)+1,d}$ and $D_{xd}.$ $Q'_{L(x,d)+1,d} \vdash_{E_{xd}(D_{xd})0}^{d(\underline{a}), L(x,d)+1, M(x,d)} E(\Gamma_{xd}), E(\neg A_{xd}),$ therefore $ImpredCut_L(P; Q; \Gamma; A; \tilde{\Gamma})_{xd} \vdash_{E(D),0}^{d(\underline{a}), x, M(x,d)} E(\Gamma_{xd}).$
Further if $f \in J((x,d), (y,e)),$ then $M(f)^{-1}(P_{ye}) = L(L(f)+1)^{-1}(P_{ye}) = L(f)^{-1}(P_{ye}) = P_{xd},$ since all parameters in P_{ye} are less than $L(x,d)+1,$ and $L = E + L'$ for some $L',$ further $M(f)^{-1}(Q_{L(x,d)+1,e}) = L(L(f)+1)^{-1}(Q_{L(x,d)+1,e}) = Q_{L(y,e)+1,d},$ similar for the other parameters, therefore $M(f)^{-1}(ImpredCut_L(P; Q; \Gamma; A))_{ye} = ImpredCut_L(P; Q; \Gamma; A)_{xd}.$

7 Global Cutelimination

Theorem 7.1 (Cutelimination for $ID(L)$) *If Γ is a sequent of $ID(L),$ and Γ is provable in $ID(L),$ then Γ_r is cut-free provable in $ID(L')$ for some good functor $L'.$ Further, if L is s.t. $L(n)$ is finite for all $n \in \mathbb{N},$ then this holds for $L',$ too. If L is recursive, then L' is recursive, too. (If L is primitive recursive, L' need not be primitive recursive).*

Proof of the Main Theorem 7.1: We proof the following Lemma:

Lemma 7.2 *We can define operations Λ and N with arguments being a degree $D,$ a good functor L and an L -proof P of degree $D,$ all on a suitable category $\mathcal{C},$ such that if $P \vdash_{D,0}^L \Gamma$ then $M := \Lambda_D(L, P)$ is a good functor, $L \leq M,$ and $N_D(L, P) \vdash_{O,O}^M E_{LM}(\Gamma_r).$ Further, if we have: If L is recursive, then $\Lambda_D(L, P)$ is recursive, if $L(n)$ is finite for $n \in \omega,$ then the same holds for $\Lambda_D(L, P).$*

Proof: by induction on $(D, P).$

Assume $\Lambda_{D'}(L', P'), N_{D'}(L', P')$ are constructed for $(L', P') < (L, P).$

Let

$$\begin{array}{c}
 P_{xd} = \\
 \frac{\dots P_{xd}^j \vdash \Gamma_{xd}, \Delta_{xd}^j \dots (j \in J_{xd})}{\Gamma_{xd}} \quad (Rule_{xd})
 \end{array}$$

We have for $f \in J((x,d), (y,e)),$

$$\begin{aligned}
 L(f)^{-1}(P_{ye}) &= P_{xd}, \\
 L(f)^{-1}(\Gamma_{ye}) &= \Gamma_{xd}, \\
 L(f)^{-1}(Rule_{ye}) &= Rule_{xd}, \\
 L(f)^{-1}(P_{ye}^{L(f)(j)}) &= P_{xd}^j, \\
 L(f)^{-1}(\Gamma_{ye}^{L(f)(j)}) &= \Gamma_{xd}^j.
 \end{aligned}$$

Case $(Rule) = (\wedge, A),$ A atomic arithmetical.

$$\Lambda_D(L, P) := L, N_D(L, P) := P.$$

Case $(Rule) = (\vee, A, I),$ $A = N_\nu^u(a)$ and $I = u', \underline{\beta} < u'$ or $I_\nu^{t,u}(a), I = (t', u'), \underline{\beta} < u'.$ Consider the case $A = I_\nu^{t,u}(a).$

Let $M' := N_D(L, P^0), Q' := \Lambda_D(L, P^0), Q' \vdash_{0,0}^{M'} E_{LM}(\Gamma_r), I_\nu^{\prec M' t', M'}(a).$

Let $\Lambda_D(P, L) := M := M' + 1,$

$$Q'' := \Omega change_M(Q'; M'; E_{LM'}(\Gamma_r), I_{\nu}^{\preceq_{M't'}, M'}(a); E_{LM}(\Gamma_r), I_{\nu}^{\preceq_{M't'} M'}(a)),$$

$$N_D(L, P) :=$$

$$\frac{Q'' \vdash_{0,0}^M E_{LM}(\Gamma_r), I_{\nu}^{\preceq_{M't'} M'}(a)}{E_{LM}(\Gamma_r)} (\vee, I_{\nu}^{t,M}(a), (t', M'))$$

Case $Rule = (\vee, A, I)$, but different from the case before. Then let $\Lambda_D(P, L) := M :=$

$$\Lambda_D(L, P^0), Q' := \Lambda_D(L, P^0),$$

$$N_D(P, L) := Q :=$$

$$\frac{Q' \vdash_{0,0}^M E_{LM}(\Gamma_r, A_{r,I})}{E_{LM}(\Gamma_r)} (\vee, E_{LM}(A_r), I)$$

Case $(Rule) = (\wedge, A_0 \wedge A_1)$.

$M_i := \Lambda_D(L, P^i)$, $Q^i := N_D(L, P^i)$. We need to unify the functors M_0 , M_1 :

Let $M_i = L + M'_i$, $M := L + M'_0 + M'_1 (= M_0 + M'_1)$.

$T^0 := E_{M_0, M}$. Let $T_{xd}^1(z) := z$, if $z < L(x, d)$, $T_{xd}^1(L(x, d) + z) := M_0(x, d) + z$, if $z < M'_1(x, d)$.

Let $R^i := T^i(Q^i)$. R^1 is defined, since $Q^1 \vdash_{0,0}^{M_1} E_{LM_1}(\Gamma_r, A_{1,r})$, $T^1 E_{LM_1} = E_{LM}$ (see definition 4.6, condition (ii')).

Further, because $T^0 \circ E_{LM_0} = T^1 \circ E_{LM_1} = E_{LM}$, we can define, with $E := E_{LM}$,

$\Lambda_D(L, P) := M$,

$N_D(L, P) :=$

$$\frac{Q^0 \vdash_{0,0}^M E(\Gamma_r, A_{0r}) \quad Q^1 \vdash_{0,0}^M E(\Gamma_r, A_{1r})}{E(\Gamma_r)} (\wedge, E(A_0 \wedge A_1))$$

Case $(Rule) = (Cut, C)$.

Since $D_p = 0$, $D_p(C) = (-1, -1, -1)$. Let M , Q^i be defined as in the case before, $\tilde{M} := M \circ (M+1)$, $\tilde{P} := ImpredCut_M(Q^0, Q^1, \Gamma, C, 0)$. $\tilde{P} \vdash_{D(C), 0}^{\tilde{M}} E_{M\tilde{M}}(E_{LM}(\Gamma_r))$, the last sequence is equal to $E_{L\tilde{M}}(\Gamma_r)$. Now $\Lambda_D(L, P) := \Lambda_{D(C)}(\tilde{M}, \tilde{P})$, $N_D(L, P) := N_{D(C)}(\tilde{M}, \tilde{P})$.

Case $(Rule) = (\wedge, \forall x.A(x))$.

$M_t := \Lambda_D(L, P^t)$, $Q^t := N_D(L, P^t)$. Let $M_t = L + M'_t$, $M := L + \sum_{t \in Term_{Cl}} M'_t$ (where the sum is taking in some ordering $<$ of the closed terms).

$T_{xd}^t(z) := z$, if $z < L(x, d)$, $T_{xd}^t(L(x, d) + z) := \sum_{s < t} M_s(x, d) + z$, if $z < M_t(x, d)$.

Let $R^i := T^i(Q^i)$. R^i is defined, since $R^i \circ E_{LM_i} = E_{LM}$, Q^t is a cut-free proof of $E_{LM_t}(\Gamma_{rt})$, see definition 4.6, condition (ii') .

Let $E := E_{LM}$, $\Lambda_D(L, P) := M$,

$N_D(L, P) :=$

$$\frac{\dots Q^t \vdash_{0,0}^M E(\Gamma_r, A(t)_r) \dots \quad (t \in Term_{Cl})}{E(\Gamma_r)} (\wedge, E(\forall x.A(x)))$$

Case $(Rule) = (\wedge, A)$, $A \equiv \neg \mathbb{N}^{\tilde{u}}(a), \neg I A^{\tilde{u}}(a), \neg I_{\nu}^{\tilde{t}, \tilde{u}}(a)$. We consider only the case $A \equiv \neg I_{\nu}^{\tilde{t}, \tilde{u}}(a)$.

$P_{xd} =$

$$\frac{\cdots P_{xd}^{t,u} \vdash \Gamma_{xd}, \neg I_{\nu}^{\leq t,u}(a) \cdots ((t, u) \ll_{L(x,d)} (t'(x, d), u'(x, d)))}{\Gamma_{xd}} (\wedge, \neg I_{\nu}^{\tilde{t}(x,d), \tilde{u}(x,d)}(a))$$

Let $t'(x, d) := \tilde{t}(x, d)$, $u'(x, d) := \begin{cases} \tilde{u}(x, d) & \text{if } \tilde{u}(x, d) < x \\ L(x, d) & \text{otherwise} \end{cases}$.

Then $I_{\nu}^{u', t'}(a) = (I_{\nu}^{\tilde{u}, \tilde{t}})_r$.

We want to construct a cut-free proof of $E_{LM}(\Gamma_r)$ and have $I_{\nu}^{t' u'}(a)$ occurs in Γ_r .

We will use therefore $P_{xd}^{t,u}$ only for $(t, u) \ll (t'(x, d), u'(x, d))$ (note that, if $(t, u) \ll (t'(x, d), u'(x, d))$, then $(t, u) \ll (\tilde{t}(x, d), \tilde{u}(x, d))$).

Let $K' := K \uplus \{\underline{2}, \underline{3}\}$, where \uplus stands for disjoint union. We write (x, d, t, u) for $(x, d') \in ON^{K'}$ s.t. $d'|K = d$, $d(\underline{2}) = t$, $d(\underline{3}) = u$. ($|$ stands for restriction)

Let \mathcal{C}' be the full sub-category of $ON^{K'}$ with

$Ob(\mathcal{C}') = \{(x, d, t, u) | (t, u) \ll_{L(x,d)} (t'(x, d), u'(x, d))\}$.

Lemma 7.3 Assume $(t'(x, d), u'(x, d)) \neq (0, 0)$.

(a) \mathcal{C}' is a suitable category.

(b) $\mathcal{C} \leq \mathcal{C}'$.

Proof: (a) We check the properties:

(i) \mathcal{C}' is a full subcategory of $ON^{K'}$.

(ii) If $(y, e, t'', u'') \in \mathcal{C}'$, $f \in J((x, d, t, u), (y, e, t'', u''))$, then $(t'', u'') \ll_{L(y,e)} (l'(y, e), u'(y, e))$, $t'' = f(t)$, $u'' = f(u)$, $l'(y, e) = f(l'(x, d))$, $u'(y, e) = f(u'(x, d))$ $(t, u) \ll_{L(x,d)} (l'(x, d), u'(x, d))$, $(x, d, t, u) \in \mathcal{C}'$, therefore \mathcal{C}' is an initial segment.

(iii) If $(x, d) \in \mathcal{C}$, $x' := \max\{1, x\}$, then $(x', d) \in \mathcal{C}$, $(x', d, 0, 0) \in \mathcal{C}' \neq \emptyset$, since $(t'(x, d), u'(x, d)) \neq (0, 0)$.

(iv) If $(x, d, t, u) \in \mathcal{C}'$, $x < y$, then $(y, d) \in \mathcal{C}$. Let $\iota : x \rightarrow y$ be the embedding. $(t, u) = L(\iota)(t, u) \ll L(\iota)(t'(x, d), u'(x, d)) = (t'(y, d), u'(y, d))$, $(y, d, t, u) \in \mathcal{C}'$.

(b): If $(x, d, t, u) \in \mathcal{C}'$, we have $(x, d) \in \mathcal{C}$, with $x' := \max\{x, 1\}$, $(x', d) \in \mathcal{C}$, $(x', d, 0, 0) \in \mathcal{C}'$.

Definition 7.4 (a) Let $\theta := \theta_{\mathcal{C}'}$.

(b) $L' := \theta(L)$, $P' := \theta(P)$, $\hat{t} := \theta(t')$, $\hat{u} := \theta(u')$, $D' := \theta(D)$, $\Gamma' := \theta(\Gamma_r)$

(c) Let $T(x, d, t, u) := t$, $U(x, d, t, u) := u$. T, U are L' -terms, $(T, U) \ll (\hat{t}, \hat{u})$.

(d) Let $P'' := P'[(T, U)]$. $P''_{xdtu} \vdash \Gamma_{xd}, I_{\nu}^{\leq L(x,d)tu}$.

(e) Let $M := \Lambda_{D'}(L', P'')$, $Q := N_{D'}(L', P'')$.

Let $M = L' + M'$.

$Q \vdash^M E_{LM}(\Gamma'), \neg I_{\nu}^{\leq M TU}(a)$.

(This is defined in this way only if $t(x, d) \neq 0$ or $u(x, d) \neq 0$, but otherwise \mathcal{C}' is empty, and we can define M, Q as the only functors on an empty category.)

(f) Let N be the good functor $\mathcal{C} \rightarrow ON$,

$N(x, d) = L(x, d) + \Sigma_{(t,u) \ll_{L(x,d)} (t'(x,d), u'(x,d))} M'(x, d, t, u)$, where the (t, u) are taken in increasing order.

(Note, that if $(t'', u'') < (t, u) \ll (t'(x, d), u'(x, d))$ by Lemma 1.7 (b) we have $(t'', u'') \ll (t'(x, d), u'(x, d)) \leftrightarrow (t'', u'') < (t, u)$, therefore we can order them as will with respect to \ll .)
 If $f \in J((x, d), (y, e))$, $N(f)(z) := L(f)(z)$ for $z < L(x, d)$,
 $N(f)(L(x, d) + (\Sigma_{(t'', u'') \ll (t, u)} M'(x, d, t'', u'') + z)) :=$
 $L(y, e) + (\Sigma_{(t'', u'') \ll (f(t), f(u))} M'(y, e, t'', u'')) + M'(f_{tu})(z),$
 where $f_{tu} \in J((x, d, t, u), (y, e, f(t), f(u)))$, $f_{tu}(z) := f(z)$.

(g) Let $N' := \theta(N)$.

(h) We define $V : M \rightarrow N'$:

if $z < L(x, d)$, $V_{xdtu}(z) := z$,

if $z < M'(x, d, t, u)$, $V_{xdtu}(L(x, d) + z) := L(x, d) + (\Sigma_{(t'', u'') \ll (t, u)} M'(x, d, t'', u'')) + z$.

Remark 7.5 (a) $V \circ E_{L'M} = E_{L'N}$.

(b) V is a natural transformation.

Proof: (a): trivial.

(b): If $f \in J((x, d, t, u), (y, e, t'', u''))$, $f' \in J((x, d), (y, e))$, $f'(z) = f(z)$, then
 if $z < L(x, d)$,

$$\begin{aligned} N'(f)(V_{xdtu}(z)) &= N(f)(z) = L(f)(z) = V_{yet''u''}(L(f)(z)) = V_{yet''u''}(L'(f)(z)) \\ &= V_{yet''u''}(M(f)(z)) \end{aligned}$$

and

if $z < M(x, d, t, u)$, then

$$\begin{aligned} N'(f)(V_{xdtu}(L(x, d) + z)) &= \\ N(f')(L(x, d) + \Sigma_{(t''', u''') \ll (t, u)} M'(x, d, t''', u''') + z) &= \\ L(y, e) + \Sigma_{(t''', u''') \ll (f'(t), f'(u))} M'(y, e, t''', u''') + M'(f'_{tu})(z) &= \\ V_{yef'(t)f'(u)}(L(y, e) + M'(f'_{tu})(z)) &= \\ V_{yet''u''}(L(y, e) + M'(f)(z)) &= \\ V_{yet''u''}(M(f)(L(x, d) + z)). \end{aligned}$$

Definition 7.6 Let $R := V(Q)$.

R can be defined, because $V \circ E_{L'M} = E_{L'N}$ and $Q \vdash_{0,0}^M E_{L'M}(\Gamma', \neg I_{\nu}^{\leq L'TU})$.

We see now $R_{xdtu} \vdash E_{L'N'}(\theta(\Gamma_r))_{xdtu}, \neg I_{\nu}^{\leq N'(x,d,t,u)tu}(a)$.

$E_{L'N'xdtu}(z) = E_{LNxd}(z)$, $\theta(\Gamma_r)_{xdtu} = (\Gamma_r)_{xd}$.

Therefore $R_{xdtu} \vdash E_{LN}(\Gamma_r)_{xd}, \neg I_{\nu}^{\leq N(x,d)tu}(a)$.

If $f \in J((x, d), (y, e))$, $(t, u) \ll (t'(x, d), u'(x, d))$, then

$f_{tu} \in J((x, d, t, u), (y, e, f(t), f(u)))$.

Then we have

$$\begin{aligned} R_{xdtu} &= V_{xdtu}(Q_{xdtu}) = V_{xdtu}(M(f_{tu})^{-1}(Q_{yef(t)f(u)})) = N'(f_{tu})^{-1}(V_{yef(t)f(u)}Q_{yef(t)f(u)}) = \\ &= N(f)^{-1}(R_{yef(t)f(u)}), \text{ using } V_{yef(t)f(u)}M(f_{tu}) = N'(f_{tu})V_{xdtu} \text{ and Lemma 2.4.} \end{aligned}$$

We define now $\Lambda_D(L, P) := N$,

$(N_D(L, P))_{xdtu} :=$

$$\frac{\dots R_{xdtu} \vdash_{0,0}^{N(x,d)} E_{LN}(\Gamma_r), \neg I_{\nu}^{\leq N(x,d)t,u} \dots \quad ((t, u) \ll (t'(x, d), u'(x, d)))}{E_{LN}(\Gamma_r)} \quad (\wedge, I_{\nu}^{t'(x,d), u'(x,d)}(a))$$

and are done.