

# Beyond the limits of the Curry-Howard isomorphism

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Types 2018, Braha, 21 June 2018

Martin-Löf Type Theory and Curry Howard Isomorphism

Inductive Data Types and Universes

Steps towards the Mahlo Universe

Extended Predicative Mahlo

Curry-Howard isomorphism

Partial Functions

Discussion

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# Martin-Löf Type Theory and Curry Howard Isomorphism

- ▶ Martin-Löf Type Theory (MLTT) can be considered as “**radical** formalisation **Curry-Howard Isomorphism**”
- ▶ **Propositions as types**
  - ▶ No distinction between data types and propositions.
- ▶ Propositions are true if they are inhabited (have a **proof**).
- ▶ Because of the last two items, elements of types (Set = collection of types) must be total:
  - ▶ Otherwise we can prove

$$p : A$$

$$p = p$$

# Function Type in MLTT

- ▶ An element of  $A \rightarrow B$  is a **program** which for  $a : A$  returns  $b : B$ .
- ▶ Implicitly contains an implication.  
So **implication explained by an implication**.
- ▶ In order to overcome this, Martin-Löf refers to that we **we know what a program is** that takes input  $a : A$  and returns  $b : B$ .
- ▶ Doesn't mean that we know what an arbitrary program is but
  - ▶ when we introduce a program we need to explain that it is a program of its type, and
  - ▶ we know how to apply a program.
- ▶ Therefore **programs are always typed**.

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# Inductive Data Types

- ▶ As in other axiomatic systems proof theoretic strength obtained by adding data types and their introduction/elimination/equality rules.
- ▶ Inductive data types – in Agda notation

data  $\mathbb{N}$  : Set where

0 :  $\mathbb{N}$

S :  $\mathbb{N} \rightarrow \mathbb{N}$

- ▶ Elimination rule is higher type primitive recursion.

# Universes

- ▶ Universes = collection of sets.
- ▶ Formulated if using the logical framework as:

$$U_0 : \text{Set} \quad T_0 : U_0 \rightarrow \text{Set}$$

- ▶  $U_0$  = set of codes for sets.
- ▶  $T_0$  = decoding function.



# Universe closed under $W$

mutual

data  $U_0 : \text{Set}$  where

$\widehat{N} : U_0$

$\widehat{W} : (x : U_0) \rightarrow (T_0 x \rightarrow U_0) \rightarrow U_0$

...

$T_0 : U_0 \rightarrow \text{Set}$

$T_0 \widehat{N} = N$

$T_0 (\widehat{W} a b) = Wx : T_0 a. T_0 (b x)$

...

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# Universe Operator (Palmgren)

- Let the **families of sets** be defined as

$$\text{Fam}(\text{Set}) = \Sigma X : \text{Set}. X \rightarrow \text{Set}$$

- Let for  $A : \text{Fam}(\text{Set})$

$$U^+ A : \text{Fam}(\text{Set})$$

be a **universe containing (codes for)  $A$** .

- $U^+ A$  can be defined as well as a universe closed under

$$\begin{aligned} f &: \text{Fam}(\text{Set}) \rightarrow \text{Fam}(\text{Set}) \\ f X &= A \end{aligned}$$

- In rules  $\text{Fam}(\text{Set})$  is avoided by Currying.

# Super Universe Operator (Palmgren)

- ▶ Let a **super universe** be a universe closed under  $U^+$ .
- ▶ For  $A : \text{Fam}(\text{Set})$  let

$$\text{SU } A : \text{Fam}(\text{Set})$$

be a **super universe containing**  $A$ .

- ▶  $\text{SU } A$  can be defined as well as a universe closed under

$$\begin{aligned} f &: \text{Fam}(\text{Set}) \rightarrow \text{Fam}(\text{Set}) \\ f X &= A \cup (U^+ X) \end{aligned}$$

- ▶ Let a **super-super universe** be a universe closed under  $\text{SU}$ .

# External Mahlo Universe

- ▶ Generalise the above to allow formation of universes closed under arbitrary operators:
  - ▶ If  $f : \text{Fam}(\text{Set}) \rightarrow \text{Fam}(\text{Set})$  then

$$U_f : \text{Fam}(\text{Set})$$

is a universe closed under  $f$ .

- ▶ The **external Mahlo universe** is the type theory formalising the existence of  $U_f$  for any such  $f$ .

# Internal Mahlo Universe

- The **internal Mahlo universe**  $V$  is a universe internalising closure under  $\lambda f.U_f$ : If

$$f : \text{Fam}(V) \rightarrow \text{Fam}(V)$$

then

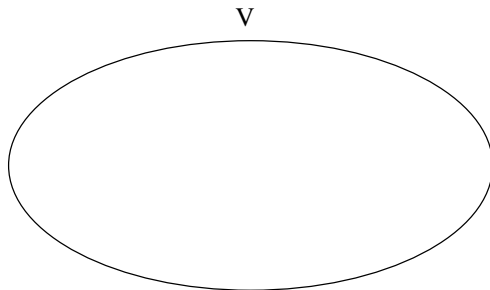
$$\widehat{U}_f : \text{Fam}(V)$$

is a family of codes for a subuniverse

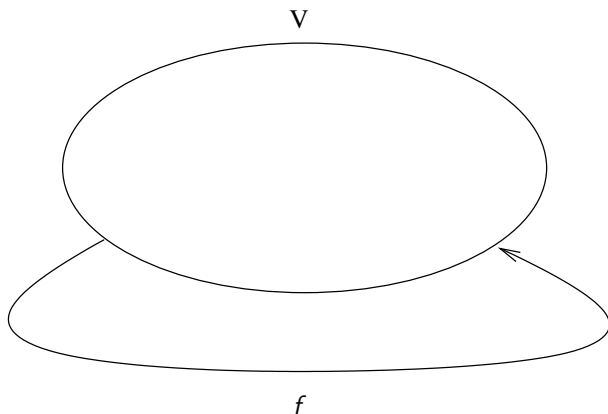
$$U_f : \text{Fam}(\text{Set})$$

of  $V$  closed under  $f$

# Illustration of the Mahlo Universe

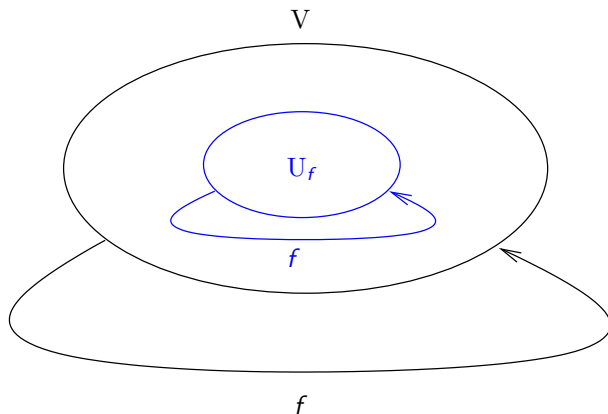


# Illustration of the Mahlo Universe

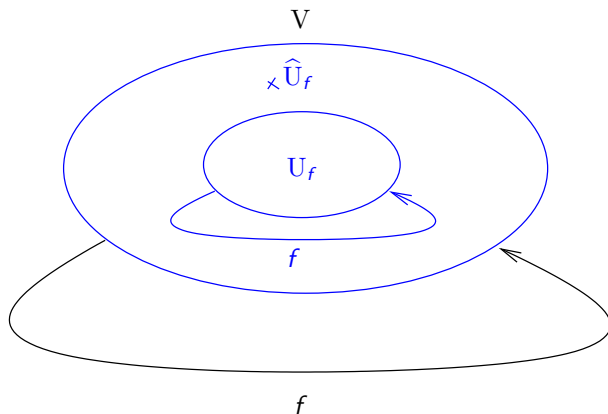




# Illustration of the Mahlo Universe



# Illustration of the Mahlo Universe



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# Problems of Mahlo Universe

- ▶ Constructor

$$\widehat{U} : (\text{Fam}(V) \rightarrow \text{Fam}(V)) \rightarrow V$$

refers to

- ▶ the set of total functions

$$\text{Fam}(V) \rightarrow \text{Fam}(V)$$

- ▶ which depends on the totality of  $V$ .
- ▶ So the reason for defining an element of  $V$  depends on the totality of  $V$ .
- ▶ However, for defining  $U_f$ , only the restriction of  $f$  to  $\text{Fam}(U_f)$  is required to be total.
  - ▶ Only local knowledge of  $V$  is needed.
  - ▶ Adding  $\widehat{U}_f$  to  $V$  does not destroy the reason for adding it.
  - ▶ However this idea hasn't been transformed yet into a formal model of the Mahlo universe.

# Idea for an Extended Predicative Mahlo Universe

- Idea: For  $f$  partial object, we try to define a subuniverse

$$\text{Pre } V \ f$$

of  $V$  closed under  $f$ .

- If we succeed then add a code  $\widehat{U}_f$  for  $\text{Pre } V \ f$  to  $V$ .
- Therefore reason for adding  $\widehat{U}_f$  doesn't depend on totality of  $V$ ,  $V$  is **predicative**.
- Requires that we have the notion of a **partial object**  $f$ .

# Explicit Mathematics (EM)

- ▶ Problem: In MLTT we have no reference of the set of partial objects (“potential programs”, collection of terms of our language).
- ▶ In Feferman’s explicit mathematics (EM) this exist.
- ▶ We will work in EM, but use syntax borrowed from type theory,
  - ▶ however write  $a \in B$  instead of  $a : B$ .

# Basics of EM

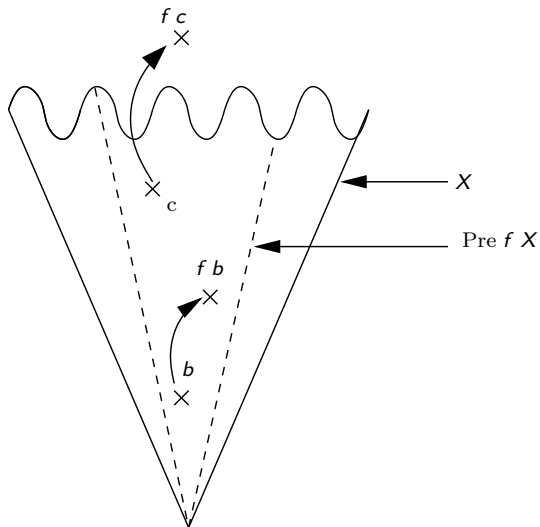
- ▶ EM more Russell-style, therefore we can have
  - ▶  $V \in \text{Set}$ ,
  - ▶  $V \subset \text{Set}$ ,
  - ▶ no need to distinguish between  $\hat{U}$  and  $U$ .
- ▶ We can encode  $\text{Fam}(V)$  into  $V$ , therefore need only to consider functions

$$f : V \rightarrow V$$

- ▶ We define now  $f, X \in \text{Set}, X \subseteq \text{Set}$

$$\text{Pre } f \ X \in \text{Set} \quad \text{Pre } f \ X \subseteq X$$



Pre  $f X$ 

# Closure of $\text{Pre } f X$

- ▶  $\text{Pre } f X$  is closed under universe constructions, if result is in  $X$ .
- ▶ Closure under  $\Sigma$  (called join in EM):

$$\forall a \in \text{Pre } f X. \forall b \in a \rightarrow \text{Pre } f X. \Sigma a b \in X \rightarrow \Sigma a b \in \text{Pre } f X$$

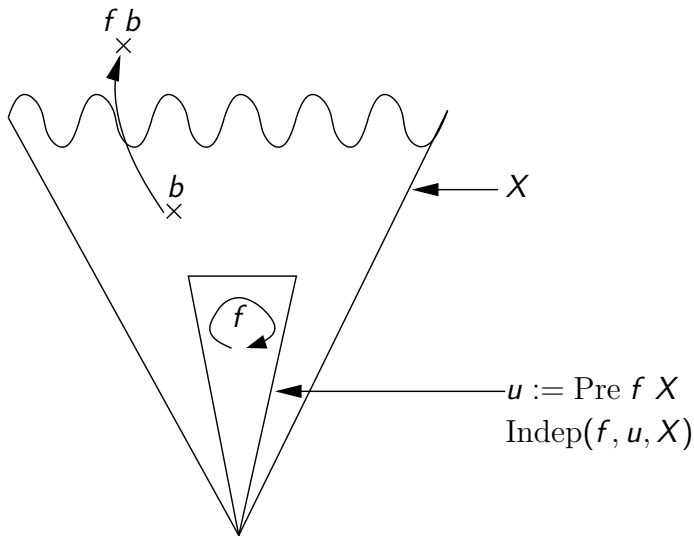
- ▶  $\text{Pre } f X$  is closed under  $f$ , if result is in  $X$ :

$$\forall a \in \text{Pre } f X. f a \in X \rightarrow f a \in \text{Pre } f X$$

# Independence of $\text{Pre } f \ X$

- If, whenever a universe construction or  $f$  is applied to elements of  $\text{Pre } f \ X$  we get elements in  $X$ , then  $\text{Pre } f \ X$  is independent of future extensions of  $X$ .

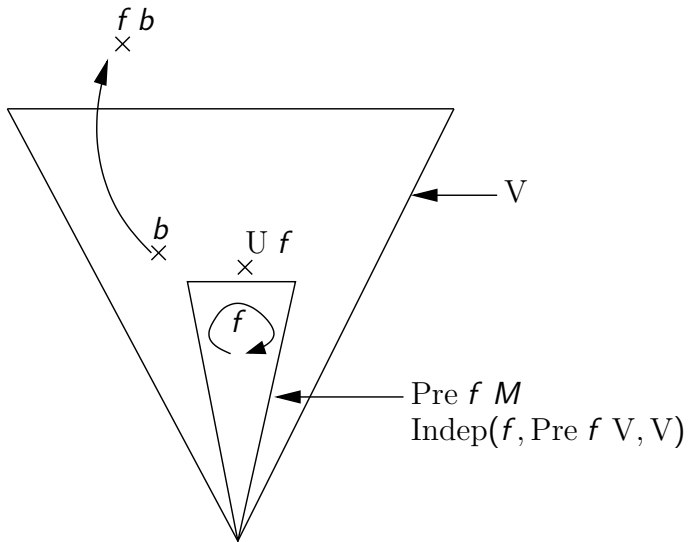
$$\begin{aligned} \text{Indep}(f, \text{Pre } f \ X, X) &:= (\forall a \in \text{Pre } f \ X. \forall b \in a \rightarrow \text{Pre } f \ X. \Sigma a \ b \in X) \\ &\quad \wedge \dots \\ &\quad \wedge \forall a \in \text{Pre } f \ X. f \ a \in X \end{aligned}$$

Indep  $X$   $f$ 

# Introduction Rule for $V$

- $\forall f. \text{Indep}(f, \text{Pre } f \ V, V) \rightarrow (U_f \in \text{Set}$   
 $\quad \wedge U_f =_{\text{ext}} \text{Pre } f \ V$   
 $\quad \wedge U_f \in V)$
- $V$  admits an **elimination rule** expressing that  $V$  is the smallest universe closed under universe constructions and introduction of  $U_f$ .

# Introduction Rule for $V$



# Interpretation of Axiomatic Mahlo

- It easily follows:

$$\forall f \in V \rightarrow V. \text{Indep}(f, \text{Pre } f V, V)$$

therefore

$$\forall f \in V \rightarrow V. U_f \in V \wedge \text{Univ}(f) \wedge f \in U_f \rightarrow U_f$$

- So  $V$  closed under axiomatic Mahlo constructions.
- Therefore extended predicative Mahlo has at least strength of axiomatic Mahlo.

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# Curry-Howard isomorphism



# Curry-Howard isomorphism



Intuitionistic implicational natural deduction	Lambda calculus type assignment rules
$\frac{}{\Gamma_1, \alpha, \Gamma_2 \vdash \alpha} \text{Ax}$	$\frac{}{\Gamma_1, x : \alpha, \Gamma_2 \vdash x : \alpha}$
$\frac{\Gamma, \alpha \vdash \beta}{\Gamma \vdash \alpha \rightarrow \beta} \rightarrow I$	$\frac{\Gamma, x : \alpha \vdash t : \beta}{\Gamma \vdash \lambda x. t : \alpha \rightarrow \beta}$
$\frac{\Gamma \vdash \alpha \rightarrow \beta \quad \Gamma \vdash \alpha}{\Gamma \vdash \beta} \rightarrow E$	$\frac{\Gamma \vdash t : \alpha \rightarrow \beta \quad \Gamma \vdash u : \alpha}{\Gamma \vdash t u : \beta}$

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## “Generic Ackermann”

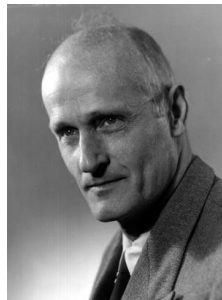
If there is an inductive scheme to generate “all” total functions, one can always diagonalize over it to construct a new Ackermann-style total function outside of this class.



# Partial Functions

Kleene, 1981

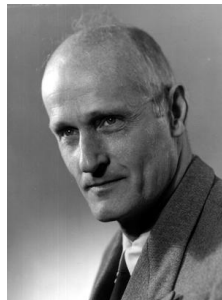
When Church proposed this thesis, I sat down to disprove it by diagonalizing out of the class of the  $\lambda$ -definable functions. But, quickly realizing that the diagonalisation cannot be done effectively, I became overnight a supporter of the thesis.



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  - ▶ technical note: we assume a strict evaluation strategy of functions.
- ▶ ...but it comes for the price of Undecidability.

# Partial Functions

Nachum Dershowitz (2005): full 'one-minute proof' of undecidability

Consider any programming language supporting programs as data [...], which has some sort of conditional (**if ... then ... else ...**) and includes at least one non-terminating program (which we denote **loop**). Consider the decision problem of determining whether a program  $X$  diverges on itself, that is,  $X(X) = \perp$ , where  $\perp$  denotes a non-halting computation. Suppose  $A$  were a program that purported to return true ( $T$ ) for (exactly) all such  $X$ . Then  $A$  would perforce fail to answer correctly regarding the behavior of the following (Lisp-ish) program:

$$C(Y) := \text{if } A(Y) \text{ then } T \text{ else loop}(),$$

since we would be faced with the following contradiction:

$$C(C) \text{ returns } T \quad \Leftrightarrow \quad A(C) \text{ returns } T \quad \Leftrightarrow \quad C(C) \text{ diverges.}$$

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## Question

How could the Curry-Howard isomorphism be extended to partial functions?

# Discussion

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What could be **partial proofs**?