

Data Structures and Algorithms

Lecture 3: Algorithm Analysis

Motivation

- Purpose: Understanding the resource requirements of an algorithm
 - Time
 - Memory
- Running time analysis estimates the time required of an algorithm as a function of the input size. (upper and lower bounds)
- Usages:
 - Estimate growth rate as input grows.
 - Guide to choose between alternative algorithms.

An example

- ```
int sum(int set[], int n) {
 int temsum, i;
 tempsum = 1; /* step/execution 1 */
 for (i=0; i<n; i++) /* step/execution n+1 */
 tempsum +=set[i]; /* step/execution n */
 return tempsum; /* step/execution 1 */
}
```
- Input size: n (number of array elements)
- Total number of steps:  $2*n + 3$

# Algorithm Efficiency

- There are often many approaches (algorithms) to solve a problem. How do we choose between them?
- As the cores of computer program design, there are two (sometimes conflicting) goals.
  1. To design an algorithm that is **easy** to **understand, code, debug**.
  2. To design an algorithm that makes **efficient** use of the **computer's resources**.

# Algorithm Efficiency (cont.)

- Goal (1) is the concern of Software Engineering.
- Goal (2) is the concern of data structures and algorithm analysis.
- When **goal (2)** is important, how do we measure an algorithm's cost?

# Analysis and measurements

- Performance measurement (execution time): **machine dependent.**
- Performance analysis: **machine independent.**
- How do we analyze a program independent of a machine?
  - Counting the number steps.

# How to Measure Efficiency?

- Empirical comparison (run programs)
- It is **difficult** to be **'fair'** due to:
  - Time consuming, especially when there are **many alternative algorithms** for a problem
  - **Depend on your programming skills**
    - One program may be finely tuned, while the other is not
  - Depend on the **computers** running algorithms
    - e.g., CPU, workload, etc.
  - May vary for **different test cases**
    - One program may favor some test cases

# How to Measure Efficiency? (cont.)

- **Analytical** method: asymptotic algorithm analysis
- Critical resources, factors affecting running time
  - **Running time, space** (memory or disk)

For most algorithms, running time depends on “**size**” of the input.

Running time is expressed as  **$T(n)$**  for some function  **$T$**  on **input size  $n$** .






# How to Measure Efficiency? (cont.)

- Primary consideration when estimation an algorithm's performance is the **number of basic operations** required by the algorithm to **process** an input of a certain **size**.
  - **Basic operations**
    - The time for performing a basic operation does not depend on particular inputs
    - E.g., operations for +, -, X, /
  - **Size**
    - The number of inputs processed

# Random Access Machine (RAM)

- To analyze the efficiency, we need an abstract machine model
- RAM
  - Each simple operation takes 1 time step
  - Loops and subroutines are not simple operations
  - Each memory access takes one time step, no shortage of memory

# What does “size” exactly mean?

- Number of inputs  strong
  - Strongly polynomial time
- Input length (binary encoded)  weak
  - (Weakly) polynomial time
  - Most commonly adopted definition
- Input magnitudes  even weaker
  - Pseudo-polynomial time

# Growth rate

- **Growth rate:** A program with  $O(f(n))$  is said to have growth rate of  $f(n)$ . It shows how fast the running time grows when  $n$  increases.

# Growth rates illustrated

|               | n=1 | n=2 | n=4 | n=8 | n=16  | n=32       |
|---------------|-----|-----|-----|-----|-------|------------|
| $O(1)$        | 1   | 1   | 1   | 1   | 1     | 1          |
| $O(\log n)$   | 0   | 1   | 2   | 3   | 4     | 5          |
| $O(n)$        | 1   | 2   | 4   | 8   | 16    | 32         |
| $O(n \log n)$ | 0   | 2   | 8   | 24  | 64    | 160        |
| $O(n^2)$      | 1   | 4   | 16  | 64  | 256   | 1024       |
| $O(n^3)$ ,    | 1   | 8   | 64  | 512 | 4096  | 32768      |
| $O(2^n)$      | 2   | 4   | 16  | 235 | 65536 | 4294967296 |

# Exponential growth

- Say that you have a problem that, for an input consisting of  $n$  items, can be solved by going through  $2^n$  cases
- You use **Deep Blue**, that analyses 200 million cases per second
  - Input with 15 items, 163 microseconds
  - Input with 30 items, 5.36 seconds
  - Input with 50 items, more than two months
  - Input with 80 items, 191 million years

# Examples of Growth Rate

- Example 1, find the largest value in an array

```
// Find largest value
int largest(int array[], int n) {
 int currlarge = 0; // Largest value seen
 for (int i=0; i<n; i++) // For each val
 if (array[currlarge] < array[i])
 currlarge = i; // Remember pos
 return currlarge; // Return largest
}
```

**c:** the time for performing a **comparison operation** **<**, which varies for different computers

**n:** the number of **<** operations processed

**$T(n) = c n$**

# Examples (cont.)

- Example 2: Assignment statement.

$$T(n) = c_1$$

- Example 3:

```
sum = 0;
for (i=1; i<=n; i++)
 for (j=1; j<n; j++)
 sum++;
}
```

$$T(n) = c_2 n^2$$



# The growth rate of a **recursive** algorithm

- Example 1: 

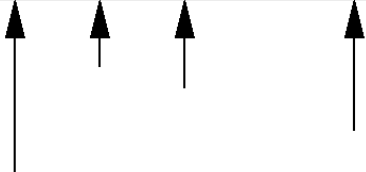
```
int Fact(int n){
 if (n ==0) return 1;
 return n * Fact(n-1);
}
```

- Denote by  $T(n)$  the time for computing  $\text{Fact}(n)$

- $$\begin{aligned} T(n) &= T(n-1) + c \\ &= T(n-2) + c + c = T(n-2) + 2c \\ &\dots \\ &= T(n-n) + nc = c(n+1) \end{aligned}$$

# Binary Search

|          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|----------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Position | 0  | 1  | 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  | 10 | 11 | 12 | 13 | 14 | 15 |
| Key      | 11 | 13 | 21 | 26 | 29 | 36 | 40 | 41 | 45 | 51 | 54 | 56 | 65 | 72 | 77 | 83 |



- How many elements are examined in the **worst case**?

# Binary Search

```
// Return position of element in sorted
// array of size n with value K.
int binary(int array[], int l, int r, int K) {
 if(l==r){
 if(array[r] == K) return r;
 else return -1; //not found
 }

 int m = (l+r)/2; // Check middle
 if (K <= array[m]) // Left half
 return binary(array, l, m, K);
 else // Right half
 return binary(array, m+1, r, K);
}
```

# The growth rate of a recursive algorithm (cont.)

- Binary search algorithm

- $T(n) = c + T(n/2)$

$$= c + c + T(n/4)$$

$$= 2c + T(n/2^2)$$

$$= 3c + T(n/2^3)$$

...

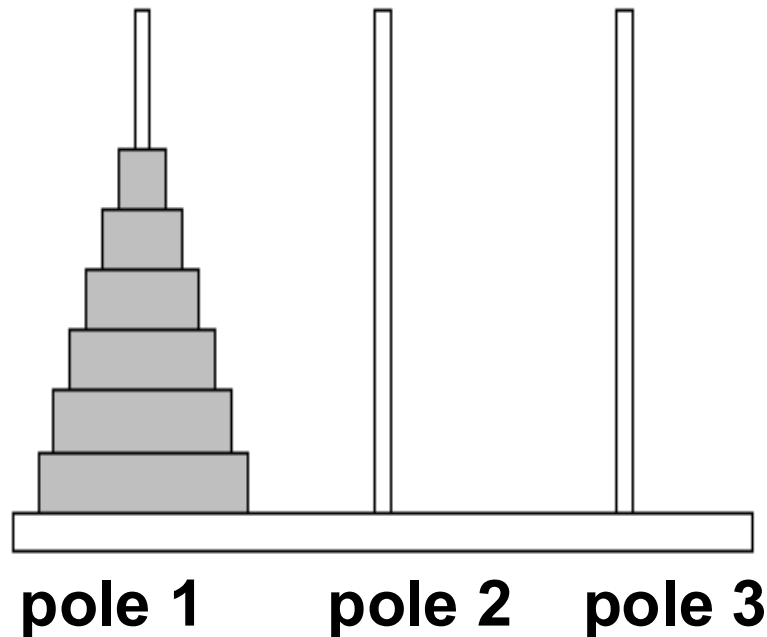
$$= c \log n + T(n/2^{\log n})$$

$$= c \log n + T(n/n)$$

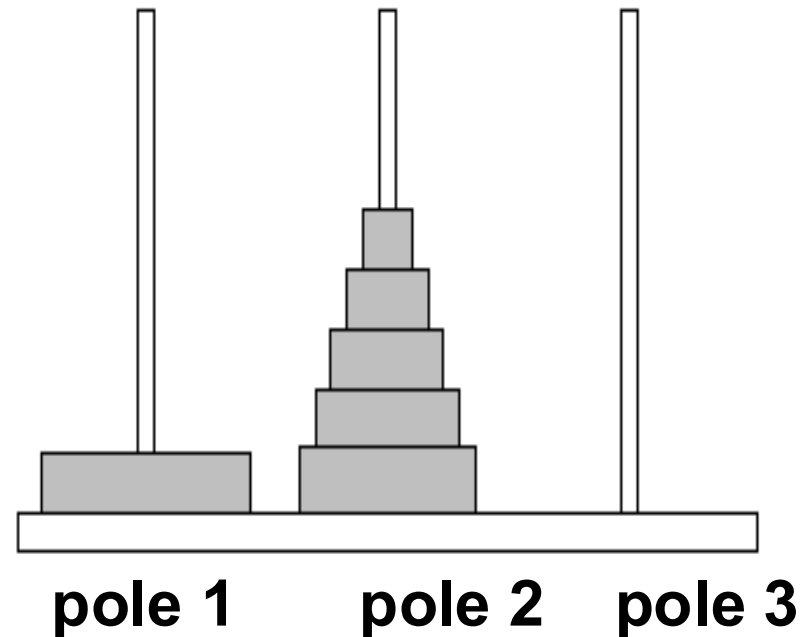
$$= c (\log n + 1)$$

# The growth rate of a recursive algorithm (cont.)

## ■ Hanoi Puzzle



(a)



(b)

**Figure 2.2** Towers of Hanoi example. (a) The initial conditions for a problem with six rings. (b) A necessary intermediate step on the road to a solution.

# The growth rate of a recursive algorithm (cont.)

//moves *n* rings from pole *s* to pole *f* with the help of pole *t*

```
■ void Hanoi(int n, int s, int f, int t){
 if(n == 1) printf("move ring 1 from poles %d to %d\n", s, f);
 else{
 // move the upmost n-1 rings in pole s to pole t with the
 help of pole f
 Hanoi(n-1, s, t, f);
 printf("move ring %d from %d pole to %d pole\n", n, s, f);
 // moves the n-1 rings in pole t to pole f with the help of
 pole s
 Hanoi(n-1, t, f, s);
 }
}
```

# The growth rate of a recursive algorithm (cont.)

- Denote by  $T(n)$  the running time of Hanoi

- $T(n) = T(n-1) + c + T(n-1)$

$$= 2T(n-1) + c$$

$$= 2(2T(n-2) + c) + c$$

$$= 2^2T(n-2) + 2c + c$$

$$= 2^3T(n-3) + 2^2c + 2c + c$$

...

$$= 2^nT(n-n) + 2^{n-1}c + \dots + 2^2c + 2c + c$$

$$= 2^{n-1}c + \dots + 2^2c + 2c + c, \text{ as } T(0) = 0$$

$$= (2^n - 1)c$$

# The growth rate of a recursive algorithm (cont.)

- The steps for analyzing the growth rate of a recursive algorithm
  - Derive the **recurrence relation of  $T(n)$** 
    - E.g.,  $T(n)=T(n-1)+c$  for the factorial function and  $T(n)=c+T(n/2)$  for the binary search algorithm
  - Solve the recurrence relation  $T(n)$ 
    - see the relation with  $T(n-1)$  and  $T(n-2)$ , or  $T(n/2)$  and  $T(n/4)$ , etc, e.g.,  $T(n-1)=T(n-2)+c$
    - Expand  $T(n)$  with substitute
    - Expand  $T(n)$  until the base case of  $T(0)$  or  $T(1)$
    - Sum up some terms



# The Master Method

- A "cookbook" method for estimating the growth rate of a recursive algorithm
  - The CLRS book (3<sup>rd</sup> edition), Sections 4.5

## *Theorem 4.1 (Master theorem)*

Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n) ,$$

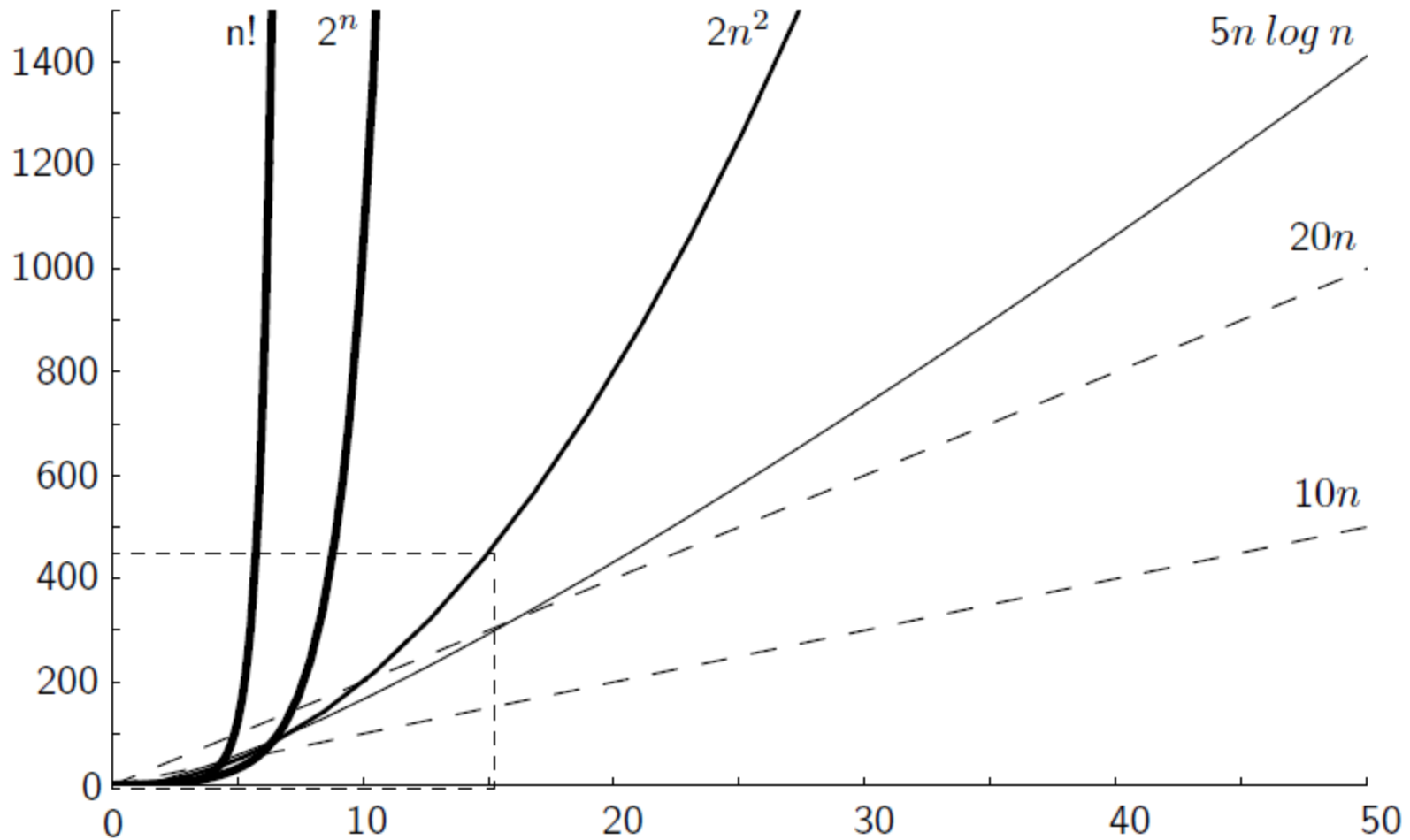
where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then  $T(n)$  has the following asymptotic bounds:

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ . ■

# Glossary

- growth rate
  - The rate at which the **cost** of an algorithm grows as **the size of inputs** grows
- linear growth rate / linear time cost
  - $T(n) = cn$
- quadratic growth rate
  - $T(n) = cn^2$
- exponential growth rate
  - $T(n) = 2^n$

# Growth Rates Comparison



# Faster Computer or Algorithm?

What happens when we buy a computer **10 times faster?**

| $T(n)$      | $n$   | $n'$   | Change                   | $n'/n$ |
|-------------|-------|--------|--------------------------|--------|
| $10n$       | 1,000 | 10,000 | $n' = 10n$               | 10     |
| $20n$       | 500   | 5,000  | $n' = 10n$               | 10     |
| $5n \log n$ | 250   | 1,842  | $\sqrt{10} n < n' < 10n$ | 7.37   |
| $2n^2$      | 70    | 223    | $n' = \sqrt{10}n$        | 3.16   |
| $2^n$       | 13    | 16     | $n' = n + 3$             | -----  |

# Best, Worst, Average Cases

- Not all inputs of a given size take the same time to run.
- Sequential search for  $K$  in an array of  $n$  integers:
  - Begin at first element in array and look at each element in turn until  $K$  is found
- Best case: Find at first position. Cost is 1 compare
- Worst case: Find at last position. Cost is  $n$  compares
- Average case:  $(n+1)/2$  compares

# Which Analysis to Use?

- **Best case** analysis is too **optimistic**
- While **average** time appears to be the fairest measure, it may be **difficult to determine**.
  - require knowledge of the distribution of inputs
- When is the **worst case** time important?
  - Give an **upper bound** on the running time
    - Important for real-time algorithms
  - Worst case running time **usually is in the order of average case** running time, with only a few times longer

# Asymptotic Analysis: Big-Oh

- Definition: For  $T(n)$  a non-negatively valued function,  $T(n)$  is in the set  $O(f(n))$  if there exist two positive constants  $c$  and  $n_0$  such that  $T(n) \leq cf(n)$  for all  $n > n_0$ .
- Usage: The algorithm is in  $O(n^2)$  in [best, average, worst] case.
- Meaning: For all data sets big enough (i.e.,  $n > n_0$ ), the algorithm always executes in **less than  $cf(n)$**  steps in [best, average, worst] case.

# Big-Oh Notation (cont)

- Big-Oh notation indicates **an upper bound on** a growth rate
- Example 1: If  $T(n) = 3n^2$  then  $T(n)$  is in  $O(n^2)$ .
- Example 2: If  $T(n) = 3n^2$  then  $T(n)$  is in  $O(n^3)$ .
- Use the **tightest upper bound**:
  - While  $T(n) = 3n^2$  is in  $O(n^3)$ , we prefer  $O(n^2)$ .



# Big-Oh Examples

- Definition does not require upper bound to be tight, though we would prefer as tight as possible
- **Example 1:** What is Big-Oh of  $T(n) = 3n+3$ 
  - Let  $f(n) = n$ ,  $c = 6$  and  $n_0 = 1$ ;  
 $T(n) = O(f(n)) = O(n)$  because  $3n+3 \leq 6f(n)$  if  $n \geq 1$
  - Let  $f(n) = n$ ,  $c = 4$  and  $n_0 = 3$ ;  
 $T(n) = O(f(n)) = O(n)$  because  $3n+3 \leq 4f(n)$  if  $n \geq 3$
  - Let  $f(n) = n^2$ ,  $c = 1$  and  $n_0 = 5$ ;  
 $T(n) = O(f(n)) = O(n^2)$  because  $3n+3 \leq (f(n))^2$  if  $n \geq 5$
- We certainly prefer  $O(n)$ .

# Big-Oh Examples

- **Example 2:** Finding value  $X$  in an array (average cost).
- How to identify constants  $c$  and  $n_0$  ?
- $T(n) = c_s n/2$ .
  - For all values of  $n > 1$ ,  $c_s n/2 \leq c_s n$ .  
Therefore, by the definition,  $T(n)$  is in  $O(n)$  for  $n_0 = 1$  and  $c = c_s$ .

# Big-Oh Examples

- **Example 3:**  $T(n) = c_1n^2 + c_2n$  in average case.

$$c_1n^2 + c_2n \leq c_1n^2 + c_2n^2 \leq (c_1 + c_2)n^2 \text{ for all } n > 1.$$

$$T(n) \leq cn^2 \text{ for } c = c_1 + c_2 \text{ and } n_0 = 1.$$

Therefore,  $T(n)$  is in  $O(n^2)$  by the definition.

- **Example 4:**  $T(n) = c$ . We say this is in  $O(1)$ .

# Rules for Big-Oh

- If  $T(n) = O(c f(n))$  for a constant  $c$ , then  $T(n) = O(f(n))$
- If  $T_1(n) = O(f(n))$  and  $T_2(n) = O(g(n))$  then  $T_1(n) + T_2(n) = O(\max(f(n), g(n)))$
- If  $T_1(n) = O(f(n))$  and  $T_2(n) = O(g(n))$  then  $T_1(n) * T_2(n) = O(f(n) * g(n))$
- If  $T(n) = a_m n^k + a_{m-1} n^{k-1} + \dots + a_1 n + a_0$  then  $T(n) = O(n^k)$
- Thus
  - Lower-order terms can be ignored.
  - Constants can be thrown away.

# More about Big-Oh notation

- **Asymptotic**: Big-Oh is meaningful only when  $n$  is sufficiently large  
 $n \geq n_0$  means that we only care about large size problems.
- **Growth rate**: A program with  $O(f(n))$  is said to have growth rate of  $f(n)$ . It shows how fast the running time grows when  $n$  increases.

# Typical bounds (Big-Oh functions)

- Typical bounds in an increasing order of growth rate
- Function Name
  - $O(1)$ , Constant
  - $O(\log n)$ , Logarithmic
  - $O(n)$ , Linear
  - $O(n \log n)$ , Log linear
  - $O(n^2)$ , Quadratic
  - $O(n^3)$ , Cubic
  - $O(2^n)$ , Exponential

# How do we use Big-Oh?

- Programs can be evaluated by comparing their Big-Oh functions with the constants of proportionality neglected. For example,
  - $T_1(n) = 10000 n$  and  $T_2(n) = 9 n$ . The time complexity of  $T_1(n)$  is equal to the time complexity of  $T_2(n)$ .
- The common Big-Oh functions provide a “yardstick” for classifying different algorithms.
- Algorithms of the same Big-Oh can be considered as equally good.
- A program with  $O(\log n)$  is better than one with  $O(n)$ .

# Nested loops

- Running time of a loop equals running time of the code within the loop times the number of iterations.
- Nested Loops: analyze inside out
  - 1 `for (i=0; i <n; i++)`
  - 2     `for (j = 0; j< n; j++)`
  - 3         `k++`
- Running time of lines 2-3:  $O(n)$
- Running time of lines 1-3:  $O(n^2)$



# Consecutive statements

- For a sequence  $S_1, S_2, \dots, S_k$  of statements, running time is maximum of running times of individual statements

```
for (i=0; i<n; i++)
```

```
 x[i] = 0;
```

```
for (i=0; i<n; i++)
```

```
 for (j=0; j<n; j++)
```

```
 k[i] += i+j;
```

- Running time is:  $O(n^2)$

# Conditional statements

- The running time of

If (cond) S1

else S2

is running time of *cond* plus the max of running times of S1 and S2.

# More nested loops

```
1 int k = 0;
2 for (i=0; i<n; i++)
3 for (j=i; j<n; j++)
4 k++
```

- Running time of lines 3-4:  $n-i$
- Running time of lines 1-4:

$$\sum_{i=0}^{n-1} (n-i) = n(n+1)/2 = O(n^2)$$

# More nested loops

```
1 int k = 0;
2 for (i=1; i<n; i*= 2)
3 for (j=1; j<n; j++)
4 k++
```

- Running time of inner loop:  $O(n)$
- What about the outer loop?
- In  $m$ -th iteration, value of  $i$  is  $2^{m-1}$
- Suppose  $2^{q-1} < n \leq 2^q$ , then outer loop is executed  $q$  times.
- Running time is  $O(n \log n)$ . Why?

# A more intricate example

```
1 int k = 0;
2 for (i=1; i<n; i*= 2)
3 for (j=1; j<i; j++)
4 k++
```

- Running time of inner loop:  $O(i)$
- Suppose  $2^{q-1} < n \leq 2^q$ , then the total running time:  
$$1 + 2 + 4 + \dots + 2^{q-1} = 2^q - 1$$
- Running time is  $O(n)$ .

# A Common Misunderstanding

- “The best case for my algorithm is  $n=1$  because that is the fastest.” WRONG!
  - Big-oh refers to a growth rate as  $n$  grows to  $\infty$ .
  - Best case is defined as which input of size  $n$  is cheapest among all inputs of size  $n$ .
  - Analyze the growth rate for best/average/worst cases, e.g.,  $T(n)=2n^2+3n+6$ , then obtain the upper bound for the growth rate, e.g.,  $T(n)=O(2n^2)$

# Lower Bounds

- To give better performance estimates, we may also want to give **lower bounds** on growth rates
- Definition (**omega**):  $T(n) = \Omega(f(n))$   
if there exist some constants  $c$  and  $n_0$  such that  $T(n) \geq cf(n)$  for all  $n \geq n_0$

# “Exact” bounds

- Definition (**Theta**):  $T(n) = \Theta(f(n))$  if and only if  $T(n) = O(f(n))$  and  $T(n) = \Omega(f(n))$ .
- An algorithm is  $\Theta(f(n))$  means that  $f(n)$  is a tight bound (as good as possible) on its running time.
  - On all inputs of size  $n$ , time is  $\leq f(n)$
  - On all inputs of size  $n$ , time is  $\geq f(n)$

```
int k = 0;
```

```
for (i=1; i<n; i*=2)
```

```
 for (j=1; j<n; j++)
```

```
 k++
```

This program is  $O(n^2)$  but not  $\Omega(n^2)$ ; it is  $\Theta(n \log n)$



# Big-Omega

- Definition: For  $T(n)$  a non-negatively valued function,  $T(n)$  is in the set  $\Omega(g(n))$  if there exist two positive constants  $c$  and  $n_0$  such that  $T(n) \geq c \cdot g(n)$  for all  $n > n_0$ .
- **Lower bound on** a growth rate
- Meaning: For all data sets big enough (i.e.,  $n > n_0$ ), the algorithm always executes in more than  $c \cdot g(n)$  steps.

# Big-Omega Example

- $T(n) = c_1n^2 + c_2n.$

$$c_1n^2 + c_2n \geq c_1n^2 \text{ for all } n > 1.$$

$$T(n) \geq cn^2 \text{ for } c = c_1 \text{ and } n_0 = 1.$$

Therefore,  $T(n)$  is in  $\Omega(n^2)$  by the definition.

- $T(n)$  in  $\Omega(n)$  as  $T(n) \geq c_2n$  for  $n \geq 1$
- We want the **greatest lower bound**.

# Theta Notation

- When big-Oh and  $\Omega$  meet, we indicate this by using  $\Theta$  (**big-Theta**) notation.
- Definition: An algorithm is said to be  $\Theta(h(n))$  if it is in  $O(h(n))$  and it is in  $\Omega(h(n))$ .
- $T(n) = c_1n^2 + c_2n$ .
  - $T(n) = \Theta(n^2)$  as  $T(n)$  in  $O(n^2)$  and  $T(n)$  in  $\Omega(n^2)$
- For  $T(n)$  given by an algebraic equation, we always give a  $\Theta$  analysis

# Theta Notation (cont.)

- We may not have  $\Theta(n)$  for some  $T(n)$

- Example

$$T(n) = \begin{cases} n & \text{for all odd } n \geq 1 \\ n^2 & \text{for all even } n \geq 1 \end{cases}$$

- Upper bound

- $T(n)$  in  $O(n^2)$

- Lower bound

- $T(n)$  in  $\Omega(n)$

- ~~big-Oh and  $\Omega$  do not meet~~

# An Alternative Definition for $\Omega$

- $T(n)$  is in  $\Omega(g(n))$  if there exists a positive constant  $c$  such that  $T(n) \geq cg(n)$  for an infinite number of values for  $n$ .
- Using this definition,  $T(n)$  is in  $\Omega(n^2)$  for the example in the previous slide.
- Caveat: Not a lower bound for the function, but for a "subsequence"

# A Common Misunderstanding

- Confusing worst case with upper bound, and best case with lower bound
- Worst case refers to the worst input from among the choices for possible inputs of a given size.
- **Upper bound refers to a growth rate**, and the rate may be for the worst case, average case, or the best case

# Simplifying Rules

1. If  $f(n)$  is in  $O(g(n))$  and  $g(n)$  is in  $O(h(n))$ , then  $f(n)$  is in  $O(h(n))$ .
  - a. If  $T(n)$  in  $O(n)$ , then  $T(n)$  in  $O(n^2)$
2. If  $f(n)$  is in  $O(kg(n))$  for any constant  $k > 0$ , then  $f(n)$  is in  $O(g(n))$ .
  - a. Ignore constants
3. If  $f_1(n)$  is in  $O(g_1(n))$  and  $f_2(n)$  is in  $O(g_2(n))$ , then  $(f_1 + f_2)(n)$  is in  $O(\max(g_1(n), g_2(n)))$ .
  - a. Drop low order terms, e.g.  $T(n) = n^2 + n$  is in  $O(n^2)$
4. If  $f_1(n)$  is in  $O(g_1(n))$  and  $f_2(n)$  is in  $O(g_2(n))$  then  $f_1(n)f_2(n)$  is in  $O(g_1(n)g_2(n))$ .

---

a) Useful for analyzing loops

# Running Time Examples (1)

- Example 1: `a = b;`

This assignment takes constant time, so it is  $\Theta(1)$ .

- Example 2:

```
sum = 0;
for (i=1; i<=n; i++)
 sum += n;
```

$T(n) = \Theta(n)$



# Running Time Examples (2)

- Example 3:

// take time  $\Theta(1)$

```
sum = 0;
```

// take time  $\sum i = \Theta(n^2)$

```
for (i=1; i<=n; i++)
 for (j=1; j<=i; j++)
 sum++;
```

// take time  $\Theta(n)$

```
for (k=0; k<n; k++)
 A[k] = k;
```

- $T(n) = \Theta(1) + \Theta(n^2) + \Theta(n) = \Theta(n^2)$

- Drop low order terms

# Running Time Examples (3)

## ■ Example 4:

```
sum1 = 0;
// takes time $n^2 = \Theta(n^2)$
for (i=1; i<=n; i++)
 for (j=1; j<=n; j++)
 sum1++;
```

```
sum2 = 0;
// takes time $\sum i = n(n+1)/2 = \Theta(n^2)$
for (i=1; i<=n; i++)
 for (j=1; j<=i; j++)
 sum2++;
```

# Running Time Examples (4)

## ■ Example 5:

```
sum1 = 0;
for (k=1; k<=n; k*=2)
 for (j=1; j<=n; j++)
 sum1++;
```

- Each inner loop takes time  $\Theta(n)$
- How many inner loops?
  - $\log n$
- $\Theta(n \log n)$ .

## ■ Example 6:

```
sum2 = 0;
for (k=1; k<=n; k*=2)
 for (j=1; j<=k; j++)
 sum2++;
```

- Each inner loop takes  $k$  basic operations
- Total time:

$$\begin{aligned} & 1+2+4+8+\dots+n/2+n \\ & = \sum_{k=0}^{\log n} 2^k \text{ for } k = 0 \text{ to } \log n \\ & = 2^{n-1} = \Theta(n) \end{aligned}$$

# Other Control Statements

- `while` loop: Analyze like a `for` loop.
- `if` statement: Take greater complexity of `then/else` clauses.
- `switch` statement: Take complexity of most expensive case.
- Subroutine call: Complexity of the subroutine.

# Analyzing Problems

- Upper bound: Upper bound of the best **known algorithm**.
  - e.g.,  $O(n \log n)$  for known sorting algorithms
- Lower bound: Lower bound for **every possible algorithm**.
- It is useful to see whether an algorithm is good enough

# Analyzing Problems: Example

- Common misunderstanding: No distinction between upper/lower bound when you know the exact running time.
- Example of imperfect knowledge: Sorting
  1. Cost of I/O:  $\Omega(n)$ .
  2. Bubble or insertion sort:  $O(n^2)$ .
  3. A better sort (Quicksort, Mergesort, Heapsort, etc.):  $O(n \log n)$ .
  4. We prove later that sorting is  $\Omega(n \log n)$ .

# Multiple Parameters

- Compute the rank ordering for all  $C$  pixel values in a picture of  $P$  pixels.

```
for (i=0; i<C; i++) // Initialize count
 count[i] = 0;
for (i=0; i<P; i++) // Look at all pixels
 count[value(i)]++; // Increment count
sort(count); // Sort pixel counts
```

If we use  $P$  as the measure, then time is  $\Theta(P)$ .

- More accurate is  $\Theta(P + C \log C)$ .

# Space Bounds

- Space bounds can also be analyzed with asymptotic complexity analysis.
- Time: Algorithm
- Space: Data Structure



# Space/Time Tradeoff Principle

- One can often reduce time if one is willing to sacrifice space, or vice versa.
  - Encoding or packing information
    - Boolean flags
  - Table lookup
    - Fibonacci calculation
- Disk-based Space/Time Tradeoff Principle:  
The smaller you make the disk storage requirements, the faster your program will run.
  - Disk is about 1,000 times slower than memory

# Summary: lower vs. upper bounds

- This section gives some ideas on how to analyze the complexity of programs.
- We have focused on worst case analysis.
- **Upper bound  $O(f(n))$**  means that for sufficiently large inputs, running time  $T(n)$  is bounded by a multiple of  $f(n)$ .
- **Lower bound  $\Omega(f(n))$**  means that for sufficiently large  $n$ , there is at least one input of size  $n$  such that running time is at least a fraction of  $f(n)$
- We also touch the “exact” bound  **$\Theta(f(n))$** .

# Summary: algorithms vs. Problems

- Running time analysis establishes bounds for individual algorithms.
- Upper bound  $O(f(n))$  for *a problem*: there is some  $O(f(n))$  algorithms to solve the problem.
- Lower bound  $\Omega(f(n))$  for *a problem*: every algorithm to solve the problem is  $\Omega(f(n))$ .
- They different from the lower and upper bound of an algorithm.

# Conclusion

- Growth rate of an algorithm
- The worst, average, and best cases
- The upper and low bounds on a growth rate
  - Big O, big  $\Omega$ , big  $\Theta$
  - Consider only the most important term
  - Ignore low order terms
- The cost of an algorithm vs. the cost of a problem

# Homework 1

- See course webpage
- **Deadline**: midnight before next lecture
- Submit to: [cs\\_scu@foxmail.com](mailto:cs_scu@foxmail.com)
- File name format:
  - CS311\_Hw1\_yourID\_yourLastName.doc (or .pdf)