# Cong Shen

#### Contact

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## Research Interests

My research interests span a number of interdisciplinary topics in machine learning, signal processing, and networking, with an emphasis on theoretical models, algorithms, and practical engineering applications. Some of my current research topics are:

- In-context learning, Transformers, and LLMs
- Reinforcement learning
- Distributed optimization
- Federated learning and distributed/decentralized learning
- Machine learning on the edge
- Statistical signal processing
- ML and AI for engineering and science applications

University of Virginia (UVA) Charlottesville VA U.S.A.

#### Education

University of California Los Angeles (UCLA), Los Angeles, U.S.A.	Dec. 20	)09
Ph.D., Electrical and Computer Engineering		

Tsinghua University, Beijing, China June 2004 M. E., Electronic Engineering

Tsinghua University, Beijing, China

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June 2002 B. E., Electronic Engineering

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## Work Experience

### Academia:

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Associate Professor, Electrical and Computer Engineering	
University of Virginia (UVA), Charlottesville, VA, U.S.A.	Aug. $2019 - \text{June } 2024$
Assistant Professor, Electrical and Computer Engineering	
University of Science and Technology of China, Hefei, China	July. $2015 - Apr. 2019$
Professor, School of Information Science and Technology	

#### **Industry:**

Xsense.ai, San Diego, CA, U.S.A.	Jan. 2019 – July 2019
Principle Engineer, Autonomous Driving	
Silvus Technologies Inc., San Diego, CA, U.S.A.	Mar. $2017 - \text{July } 2017$
Consultant	
SpiderCloud Wireless Inc., San Jose, CA, U.S.A.	Nov. $2014 - \text{July } 2015$
Senior Staff Engineer, Corporate Research	
Qualcomm Technologies, San Diego, CA, U.S.A.	Oct. 2009 – Nov. 2014
Staff Engineer, Corporate Research and Development	

## Awards and Honors

• CAREER Award, National Science Foundation (NSF), 2022

Senior Engineer, Corporate Research and Development

• Faculty Research Award, UVA ECE Department, 2022

- Best Paper Award, IEEE International Conference on Communications (ICC), 2021
- Air Force Research Lab (AFRL) Summer Faculty Fellowship, 2020, 2021
- Exemplary Editor, IEEE Wireless Communications Letters, 2019
- Excellent Paper Award, The Ninth International Conference on Ubiquitous and Future Networks (ICUFN 2017)
- Qualstar, Qualcomm Research, 2010, 2011, 2013 and 2014

# AI/ML Preprints

Note: Underlined co-authors are my supervised students.

- [P1] Z. Chen, X. Fu, C. Shen, J. Li, "FedHERO: A Federated Learning Approach for Node Classification Tasks on Heterophilic Graphs," preprint, under review.
- [P2] R. Liu, R. Zhou, C. Shen, J. Yang, "On the Learn-to-Optimize Capabilities of Transformers in In-Context Sparse Recovery," preprint, under review.
- [P3] <u>D. Wu</u>, <u>C. Shi</u>, R. Zhou, **C. Shen**, "Optimal Cost-Aware Pure Pair-wise Exploration," preprint, under review.
- [P4] X. Wei, T. Wang, J. Yang, C. Shen, "FedPrivSyn: Federated Differentially Private Data Synthesis," preprint, under review.
- [P5] F. Gao, R. Zhou, T. Wang, C. Shen, J. Yang, "Data-adaptive Differentially Private Prompt Synthesis for In-Context Learning," preprint, under review.
- [P6] D. Li, R. Huang, <u>C. Shi</u>, **C. Shen**, J. Yang, "Exploiting Offline Datasets for Efficient Online Learning in Stochastic Linear Bandits," preprint, under review.
- [P7] W. Shen, R. Zhou, J. Yang, C. Shen, "On the Training Convergence of Transformers for In-Context Classification," preprint, under review.
- [P8] S. Wang, Y. Dong, B. Zhang, Z. Chen, X. Fu, Y. He, C. Shen, C. Zhang, N. V. Chawla, J. Li, "Safety in Graph Machine Learning: Threats and Safeguards," preprint.

# Top AI/ML Conference Papers

- [C1] C. Shi, K. Yang, J. Yang, and C. Shen, "Transformers as Game Players: Provable In-context Game-playing Capabilities of Pre-trained Models," Thirty-eighth Annual Conference on Neural Information Processing Systems (NeurIPS), Dec. 2024.
- [C2] <u>C. Shi</u>, <u>K. Yang</u>, <u>Z. Chen</u>, J. Li, J. Yang, and **C. Shen**, "Efficient Prompt Optimization Through the Lens of Best Arm Identification," *Thirty-eighth Annual Conference on Neural Information Processing Systems (NeurIPS)*, Dec. 2024.
- [C3] S. Wang, Z. Chen, C. Shi, C. Shen, and J. Li, "Mixture of Demonstrations for In-Context Learning," Thirty-eighth Annual Conference on Neural Information Processing Systems (NeurIPS), Dec. 2024.
- [C4] C. Shi, R. Zhou, K. Yang, and C. Shen, "Harnessing the Power of Federated Learning in Federated Contextual Bandits," Transactions on Machine Learning Research, July 2024.
- [C5] Z. Chen, S. Wang, C. Shen, and J. Li, "FastGAS: Fast Graph-based Annotation Selection for In-Context Learning," ACL 2024 Findings, Aug. 2024.
- [C6] B. Zhang, <u>Z. Chen</u>, **C. Shen**, and J. Li, "Verification of Machine Unlearning is Fragile," *International Conference on Machine Learning (ICML)*, July 2024.
- [C7] R. Liu, C. Shen, and J. Yang, "Federated Representation Learning in the Under-Parameterized Regime," International Conference on Machine Learning (ICML), July 2024.

- [C8] W. Shen, M. Huang, J. Zhang, and C. Shen, "Stochastic Smoothed Gradient Descent Ascent for Federated Minimax Optimization," International Conference on Artificial Intelligence and Statistics (AISTATS), May 2024.
- [C9] L. Fan, R. Zhou, C. Tian, and C. Shen, "Federated Linear Bandits with Finite Adversarial Actions," Thirty-seventh Conference on Neural Information Processing Systems (NeurIPS), Dec. 2023.
- [C10] C. Shi, W. Xiong, C. Shen, and J. Yang, "Provably Efficient Offline Reinforcement Learning with Perturbed Data Sources," the 40th International Conference on Machine Learning (ICML), July 2023.
- [C11] D. Li, R. Huang, C. Shen, and J. Yang, "Near-optimal Conservative Exploration in Reinforcement Learning under Episode-wise Constraints," the 40th International Conference on Machine Learning (ICML), July 2023.
- [C12] W. Xiong, H. Zhong, <u>C. Shi</u>, C. Shen, L. Wang, and T. Zhang, "Nearly Minimax Optimal Offline Reinforcement Learning with Linear Function Approximation: Single-Agent MDP and Markov Game," the 11th International Conference on Learning Representations (ICLR), May 2023.
- [C13] W. Xiong, H. Zhong, C. Shi, C. Shen, and T. Zhang, "A Self-Play Posterior Sampling Algorithm for Zero-Sum Markov Games,", the 39th International Conference on Machine Learning (ICML), July 2022.
- [C14] C. Shi, H. Xu, W. Xiong, and C. Shen, "(Almost) Free Incentivized Exploration from Decentralized Learning Agents," Thirty-fifth Conference on Neural Information Processing Systems (NeurIPS), Dec. 2021.
- [C15] <u>C. Shi</u>, <u>W. Xiong</u>, **C. Shen**, and J. Yang, "Heterogeneous Multi-player Multi-armed Bandits: Closing the Gap and Generalization," *Thirty-fifth Conference on Neural Information Processing Systems (NeurIPS)*, Dec. 2021.
- [C16] R. Huang, W. Wu, J. Yang, and C. Shen, "Federated Linear Contextual Bandits," Thirty-fifth Conference on Neural Information Processing Systems (NeurIPS), Dec. 2021.
- [C17] H. Lee, C. Shen, W. Zame, J. Lee, and M. van der Schaar, "SDF-Bayes: Cautious Optimism in Safe Dose-Finding Clinical Trials with Drug Combinations and Heterogeneous Patient Groups," the 24rd International Conference on Artificial Intelligence and Statistics (AISTATS), Apr. 2021.
- [C18] C. Shi, C. Shen, and J. Yang, "Federated Multi-Armed Bandits with Personalization," the 24th International Conference on Artificial Intelligence and Statistics (AISTATS), Apr. 2021. (Oral Presentation Acceptance Rate: 3%)
- [C19] C. Shi and C. Shen, "Federated Multi-Armed Bandits," the 35th AAAI Conference on Artificial Intelligence (AAAI), Feb. 2021.
- [C20] H.-S. Lee, Y. Zhang, W. Zame, C. Shen, J.-W. Lee, and M. van der Schaar, "Robust Recursive Partitioning for Heterogeneous Treatment Effects with Uncertainty Quantification," the Thirty-fourth Conference on Neural Information Processing Systems (NeurIPS), Dec. 2020.
- [C21] C. Shen, Z. Wang, S. Villa, and M. van der Schaar, "Learning for Dose Allocation in Adaptive Clinical Trials with Safety Constraints," *International Conference on Machine Learning (ICML)*, July 2020.
- [C22] H. Lee, C. Shen, J. Jordon, and M. van der Schaar, "Contextual Constrained Learning for Dose-Finding Clinical Trials," *The 23rd International Conference on Artificial Intelligence and Statistics (AISTATS)*, Palermo, Sicily, Italy, June 2020.

- [C23] <u>C. Shi</u>, <u>W. Xiong</u>, **C. Shen**, and J. Yang, "Decentralized Multi-player Multi-armed Bandits with No Collision Information," *The 23rd International Conference on Artificial Intelligence and Statistics (AISTATS)*, Palermo, Sicily, Italy, June 2020.
- [C24] W. Wu, J. Yang, and C. Shen, "Stochastic Linear Contextual Bandits with Diverse Contexts," The 23rd International Conference on Artificial Intelligence and Statistics (AISTATS), Palermo, Sicily, Italy, June 2020.
- [C25] R. Zhou, C. Gan, J. Yang, and C. Shen, "Cost-aware Cascading Bandits," The 27th International Joint Conference on Artificial Intelligence (IJCAI), Stockholm, Sweden, Jul. 2018.
- [C26] Z. Wang, R. Zhou, and C. Shen, "Regional Multi-Armed Bandits," The 21st International Conference on Artificial Intelligence and Statistics (AISTATS), Lanzarote, Spain, Apr. 2018.

# Other AI/ML Conference Papers

- [C24] Z. Chen, J. Li, and C. Shen, "Personalized Federated Learning with Attention-based Client Selection," IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), Apr. 2024
- [C25] R. Liu, J. Yang, and C. Shen, "Exploiting Feature Heterogeneity for Improved Generalization in Federated Multi-Task Learning", *IEEE International Symposium* on Information Theory (ISIT), June 2023.
- [C26] C. Shi, C. Shen, and N. D. Sidiropoulos, "On High-Dimensional and Low-Rank Tensor Bandits," IEEE International Symposium on Information Theory (ISIT), June 2023.
- [C27] C. Shi, W. Xiong, C. Shen, and J. Yang, "Reward Teaching for Federated Multi-Armed Bandits," IEEE International Symposium on Information Theory (ISIT), June 2023.
- [C28] K. Yang, C. Shi, and C. Shen, "Teaching Reinforcement Learning Agents via Reinforcement Learning," 57th Annual Conference on Information Sciences and Systems (CISS), March 2023. (Invited Paper)
- [C29] Z. Shao, J. Yang, C. Shen and S. Ren, "Learning for Robust Combinatorial Optimization: Algorithm and Application," IEEE Conference on Computer Communications (INFOCOM), May 2022.
- [C30] K. Yang an C. Shen, "On the Convergence of Hybrid Federated Learning with Server-Clients Collaborative Training," 56th Annual Conference on Information Sciences and Systems (CISS), March 2022. (Invited Paper)
- [C31] D. Cheng, R. Huang, C. Shen, and J. Yang, "Cascading Bandits With Two-Level Feedback," IEEE International Symposium on Information Theory (ISIT), June 2022.
- [C32] C. Shi and C. Shen, "An Attackability Perspective on No-Sensing Adversarial Multi-player Multi-armed Bandits," *IEEE International Symposium on Information Theory*, July 2021.
- [C33] C. Gan, J. Yang, R. Zhou, and C. Shen, "Online Learning with Diverse User Preferences," IEEE International Symposium on Information Theory, Paris, France, July 2019.
- [C34] H. Zhang and C. Shen, "Best Arm Identification for Both Stochastic and Adversarial Multi-armed Bandits," *IEEE Information Theory Workshop (ITW)*, Guangzhou, China, Nov. 2018. (Invited Paper)

# AI/ML Application Papers

- [C35] K. Yang, J. Yang, and C. Shen, "Average Reward Reinforcement Learning for Wireless Radio Resource Management," Asilomar Conference on Signals, Systems, and Computers, Oct. 2024. (Finalist for the Best Student Paper Award)
- [C36] K. Yang, S. Yeh, M. Zhang, J. Sydir, J. Yang, and C. Shen, "Advancing RAN Slicing with Offline Reinforcement Learning," IEEE International Symposium on Dynamic Spectrum Access Networks (DySPAN), May. 2024.
- [C37] Y. Mu, X. Wei, and C. Shen, "An Autoencoder-Based Constellation Design for AirComp in Wireless Federated Learning," *IEEE International Conference on Com*munication (ICC), Jun. 2024.
- [C38] K. Yang, C. Shen, J. Yang, S. Yeh, and J. Sydir, "Offline Reinforcement Learning for Wireless Network Optimization with Mixture Datasets," the 57th Asilomar Conference on Signals, Systems and Computers, Oct. 2023. (Invited Paper)
- [C39] Y. Mu and C. Shen, "Communication and Storage Efficient Federated Split Learning," *IEEE International Conference on Communications (ICC)*, May 2023.
- [C40] X. Wei, T. Wang, R. Huang, C. Shen, J. Yang, and H. V. Poor, "FLORAS: Differentially Private Wireless Federated Learning Using Orthogonal Sequences," *IEEE International Conference on Communications (ICC)*, May 2023.
- [C41] J. Bian, C. Shen, and J. Xu, "Federated Learning via Indirect Server-Client Communications," 57th Annual Conference on Information Sciences and Systems (CISS), March 2023. (Invited Paper)
- [C42] K. Yang, D. Li, C. Shen, J. Yang, S. Yeh, and J. Sydir, "Multi-Agent Reinforcement Learning for Wireless User Scheduling: Performance, Scalability, and Generalization," the 56th Asilomar Conference on Signals, Systems and Computers, Oct. 2022. (Invited Paper)
- [C43] Y. Mu, C. Shen, and Y. C. Eldar, "Optimizing Federated Averaging Over Fading Channels," IEEE International Symposium on Information Theory (ISIT), June 2022.
- [C44] C. Shen, J. Yang, and J. Xu, "On Federated Learning with Energy Harvesting Clients," *IEEE International Conference on Acoustics, Speech and Signal Processing* (ICASSP), May 2022. (Invited Paper)
- [C45] X. Wei, C. Shen, J. Yang, and H. V. Poor, "Random Orthogonalization for Federated Learning in Massive MIMO Systems," IEEE International Conference on Communications (ICC), May 2022.
- [C46] Y. Mu, Y. Tan, M. Veeraraghavan, and C. Shen, "A Machine Learning Approach for Rate Prediction in Multicast File-stream Distribution Networks," *IEEE Global Communications Conference (GLOBECOM)*, Dec. 2021.
- [C47] X. Wei and C. Shen, "Federated Learning over Noisy Channels," *IEEE International Conference on Communications (ICC)*, June 2021.
- [C48] S. Zheng, C. Shen, and X. Chen, "Design and Analysis of Uplink and Downlink Communications for Federated Learning," *IEEE International Conference on Com*munications (ICC), June 2021. (Best Paper Award)
- [C49] C. Shen and S. Chen, "Federated Learning with Heterogeneous Quantization," ACM/IEEE Symposium on Edge Computing – Workshop on Edge Computing and Communications (EdgeComm), Nov. 2020.
- [C50] C. Shen, D. Li, and J. Yang, "MIMO Receive Antenna Selection via Deep Learning and Greedy Adaptation," the 54th Asilomar Conference on Signals, Systems and Computers, pp. 403-407, Nov. 2020. (Invited Paper)

- [C51] C. Gan, J. Yang, and C. Shen, "Thresholded Wirtinger Flow for Fast Millimeter Wave Beam Alignment," the 54th Asilomar Conference on Signals, Systems and Computers, pp. 32-36, Nov. 2020. (Invited Paper)
- [C52] W. Chen, R. Zhou, C. Tian, and C. Shen, "On Top-k Selection from m-wise Partial Rankings via Borda Counting," IEEE International Symposium on Information Theory, June 2020.
- [C53] K. Yang, C. Shen, and T. Liu, "Deep Reinforcement Learning based Wireless Network Optimization: A Comparative Study," IEEE INFOCOM 2020 Workshop on Data Driven Intelligence for Networks, July 2020.
- [C54] C. Shi, L. Chen, C. Shen, and J. Xu, "Privacy-Aware Edge Computing Based on Adaptive DNN Partitioning," *IEEE Global Communications Conference (GLOBE-COM)*, Hawaii, USA, Dec. 2019.
- [C55] C. Wang, R. Zhou, J. Yang, and C. Shen, "A Cascading Bandit Approach to Efficient Mobility Management in Ultra-Dense Networks," IEEE International Workshop on Machine Learning for Signal Processing (MLSP), Pittsburgh, PA, USA, Oct. 2019. (Invited Paper)
- [C56] F. Liang, C. Shen, W. Yu, and F. Wu, "Power Control for Interference Management via Ensembling Deep Neural Networks," *IEEE/CIC ICCC 2019*, Changchun, China, Aug. 2019. (Invited Paper)
- [C57] Z. Wang, Z. Ying, and C. Shen, "Opportunistic Spectrum Access via Good Arm Identification," *IEEE GlobalSIP*, Anaheim, California, USA, Nov. 2018.

#### Journal Papers

- [J1] J. Bian, L. Wang, K. Yang, C. Shen, and J. Xu, "Accelerating Hybrid Federated Learning Convergence under Partial Participation," *IEEE Transactions on Signal Processing*, accepted for publication. (Impact Factor: 5.4)
- [J2] Byungjoon Bae, Doeon Lee, Minseong Park, Yujia Mu, Yongmin Baek, Inbo Sim, Cong Shen, and Kyusang Lee, "Stereoscopic artificial compound eyes for spatiotemporal perception in three-dimensional space," Science Robotics, vol. 9, no. 90, May 2024
- [J3] K. Yang, C. Shi, C. Shen, J. Yang, S. Yeh, and J. Sydir, "Offline Reinforcement Learning for Wireless Network Optimization with Mixture Datasets," *IEEE Trans*actions on Wireless Communications, accepted for publication. (Impact Factor: 8.346)
- [J4] X. Wei, C. Shen, J. Yang, and H. V. Poor, "Random Orthogonalization for Federated Learning in Massive MIMO Systems," *IEEE Transactions on Wireless Communications*, vol. 23, no. 3, pp. 2469–2485, March 2024. (Impact Factor: 8.346)
- [J5] S. Chen, L. Li, G. Wang, M. Pang and C. Shen, "Federated Learning with Heterogeneous Quantization Bit Allocation and Aggregation for Internet of Things," *IEEE Internet of Things Journal*, vol. 11, no. 2, pp. 3132–3143, Jan. 2024. (Impact Factor: 10.6)
- [J6] C. Shi, W. Xiong, C. Shen, and J. Yang, "Reward Teaching for Federated Multiarmed Bandits," *IEEE Transactions on Signal Processing*, vol. 71, pp. 4407 – 4422, Nov. 2023. (Impact Factor: 5.4)
- [J7] Z. Peng, B. Li, L. Li, S. Chen, G. Wang, H. Rao, and C. Shen, "Performance Optimization for Noise Interference Privacy Protection in Federated Learning," *IEEE Transactions on Cognitive Communications and Networking*, vol. 9, no. 5, pp. 1322–1339, Oct. 2023. (Impact Factor: 6.359)

- [J8] <u>K. Yang</u>, S. Chen, and **C. Shen**, "On the Convergence of Hybrid Server-Clients Collaborative Training," *IEEE Journal on Selected Areas in Communications*, vol. 41, no. 3, pp. 802–819, March 2023. (Impact Factor: 13.081)
- [J9] W. Chen, R. Zhou, C. Tian, and C. Shen, "On Top-k Selection from m-wise Partial Rankings via Borda Counting," *IEEE Transactions on Signal Processing*, vol. 70, pp. 2031–2045, Apr. 2022. (Impact Factor: 5.4)
- [J10] Y. Li, Y. Guo, M. Alazab, S. Chen, C. Shen, and K. Yu, "Joint Optimal Quantization and Aggregation of Federated Learning Scheme in VANETs," *IEEE Transactions on Intelligent Transportation Systems*, vol. 23, no. 10, pp. 19852 19863, Oct. 2022. (Impact Factor: 9.551)
- [J11] X. Wei and C. Shen, "Federated Learning over Noisy Channels: Convergence Analysis and Design Examples," *IEEE Transactions on Cognitive Communications and Networking*, vol. 8, no. 2, pp. 1253–1268, June 2022. (Impact Factor: 6.359)
- [J12] X. Wei, Y. Jiang, X. Wang and C. Shen, "Tx-Rx Reciprocity Calibration for Hybrid Massive MIMO Systems," *IEEE Wireless Communications Letters*, vol. 11, no. 2, pp. 431–435, Feb. 2022. (Impact Factor: 5.281)
- [J13] C. Shen, Z. Qian, A. Huyuk, and M. van der Schaar, "MARS: Assisting Human with Information Processing Tasks Using Machine Learning," *ACM Transactions on Computing for Healthcare*, vol. 3, no. 2, pp 1-19, Feb. 2022. (Impact Factor: N/A)
- [J14] S. Chen\*, C. Shen\*, L. Zhang, and Y. Tang, "Dynamic Aggregation for Heterogeneous Quantization in Federated Learning," *IEEE Transactions on Wireless Communications*, Volume: 20, Issue: 10, Page(s):. 6804–6819, Oct. 2021. (\*: equal contribution; Impact Factor: 8.346)
- [J15] <u>C. Shi</u> and **C. Shen**, "Multi-player Multi-armed Bandits with Collision-Dependent Reward Distributions," *IEEE Transactions on Signal Processing*, Volume: 69, Page(s): 4385–4402, July 2021. (Impact Factor: 5.4)
- [J16] C. Shi and C. Shen, "On No-Sensing Adversarial Multi-player Multi-armed Bandits with Collision Communications," *IEEE Journal on Selected Areas in Information Theory*, Special Issue on Sequential, Active, and Reinforcement Learning, Volume: 2, Issue: 2, Page(s): 515–533, June 2021. (Impact Factor: N/A)
- [J17] C. Shen, J. Xu, S. Zheng, and X. Chen, "Resource Rationing for Wireless Federated Learning: Concept, Benefits, and Challenges," *IEEE Communications Magazine*, vol. 59, no. 5, pp. 82-87, May 2021. (Impact Factor: 9.03)
- [J18] S. Zheng, C. Shen, and X. Chen, "Design and Analysis of Uplink and Downlink Communications for Federated Learning,", IEEE Journal on Selected Areas in Communications, Series on Machine Learning for Communications and Networks, Volume: 39, Issue: 7, Page(s): 2150–2167, July 2021. (Impact Factor: 13.081)
- [J19] L. Chen, C. Shen, P. Zhou, and J. Xu, "Collaborative Service Placement for Edge Computing in Dense Small Cell Networks," *IEEE Transactions on Mobile Computing*, Volume: 20, Issue: 2, Page(s): 377-390, Feb. 2021. (Impact Factor: 6.075)
- [J20] S. Chen, L. Zhang, Y. Tang, C. Shen, R. Kumar, K. Yu, U. Tariq, and A. K. Bashir, "Indoor temperature monitoring using wireless sensor networks: A SMAC application in smart cities," *Elsevier Sustainable Cities and Society*, Volume: 61, Page(s): 102333, Oct. 2020. (Impact Factor: 10.696)
- [J21] W. R. Zame, I. Bica, C. Shen, A. Curth, H.-S. Lee, S. Bailey, J. Weatherall, D. Wright, F. Bretz, and M. van der Schaar, "Machine learning for clinical trials in the era of COVID-19," *Statistics in Biopharmaceutical Research*, Special Issue on Covid-19, Aug. 2020. (Impact Factor: 1.586)

- [J22] S. Liu, S. Chen, C. Shen, M. Ismail, and R. Kumar, "Improved Low-Resolution Quantized SIMO Estimation via Deep Learning,", *IEEE Wireless Communications Letters*, Volume: 9, Issue: 8, Page(s): 1331-1335, Aug. 2020. (Impact Factor: 5.281)
- [J23] C. Gan, R. Zhou, J. Yang, and C. Shen, "Cost-aware Cascading Bandits," IEEE Transactions on Signal Processing, Volume: 68, Page(s): 3692-3706, June 2020. (Impact Factor: 5.4)
- [J24] X. Xu, M. Tao, and C. Shen, "Collaborative Multi-Agent Multi-Armed Bandit Learning for Small-Cell Caching," *IEEE Transactions on Wireless Communications*, Volume: 19, Issue: 4, Page(s): 2570-2585, April 2020. (Impact Factor: 7.016)
- [J25] F. Liang, C. Shen, W. Yu, and F. Wu, "Towards Optimal Power Control via Ensembling Deep Neural Networks," *Transactions on Communications*, Volume: 68, Issue: 3, Page(s): 1760-1776, Mar. 2020. (Impact Factor: 6.166)
- [J26] S. Chen, L. Zhang, C. Shen, K. Yu, S. H. Myint and Z. Wen, "On Scheduling Policies with Heavy-Tailed Dynamics in Wireless Queueing Systems," *IEEE Access*, Volume: 8, Issue: 1, Page(s): 32137-32149, Feb. 2020. (Impact Factor: 3.476)
- [J27] W. Zhang, Y. Wang, C. Shen, and N. Liang, "A Regression Approach to Certain Information Transmission Problems," *IEEE Journal on Selected Areas in Communi*cations, Volume: 37, Issue: 11, pp. 2517-2531, Nov. 2019. (Impact Factor: 13.081)
- [J28] C. Shen, "Universal Best Arm Identification," *IEEE Transactions on Signal Processing*, Volume: 67, Issue: 17, Page(s): 4464-4478, Sept. 2019. (Impact Factor: 5.4)
- [J29] C. Gan, R. Zhou, J. Yang, and C. Shen, "Cost-Aware Learning and Optimization for Opportunistic Spectrum Access," *IEEE Transactions on Cognitive Communications and Networking*, Volume: 5, Issue: 1, Page(s): 15-27, Mar. 2019. (Impact Factor: 6.359)
- [J30] Y. Zhou, C. Shen, and M. van der Schaar, "A Non-Stationary Online Learning Approach to Mobility Management," *IEEE Transactions on Wireless Communications*, Volume: 18, Issue: 2, Page(s): 1434-1446, Feb. 2019. (Impact Factor: 7.016)
- [J31] Z. Wang, R. Zhou, and C. Shen, "Regional Multi-Armed Bandits with Partial Informativeness," *IEEE Transactions on Signal Processing*, Volume: 66, Issue: 21, Page(s): 5705-5717, Nov. 2018. (Impact Factor: 5.4)
- [J32] S. Shao, T. Liu, C. Tian, and C. Shen, "New Results on Multilevel Diversity Coding with Secure Regeneration," *SCIENCE CHINA Information Sciences (SCIS)*, Special Issue on Distributed Storage Coding, 2018, 61(10): 100307. (Impact Factor: 7.275)
- [J33] S. Shao, T. Liu, C. Tian, and C. Shen, "Multilevel Diversity Coding with Secure Regeneration: Separate Coding Achieves the MBR Point," *Entropy*, Special Issue on Multiuser Information Theory II, 2018, 20(10): 751. (Impact Factor: 2.738)
- [J34] J. Xu, L. Chen, <u>K. Liu</u>, and **C. Shen**, "Designing Security-Aware Incentives for Computation Offloading via Device-to-Device Communication," *IEEE Transactions* on Wireless Communications, Volume: 17, Issue: 9, Page(s): 6053-6066, Sept. 2018. (Impact Factor: 7.016)
- [J35] F. Liang, C. Shen, and F. Wu, "An Iterative BP-CNN Architecture for Channel Decoding," *IEEE Journal of Selected Topics in Signal Processing*, Volume: 12, Issue:1, Page(s): 144-159, Feb. 2018. (Impact Factor: 7.695)
- [J36] C. Shen, R. Zhou, C. Tekin, and M. van der Schaar, "Generalized Global Bandits and Its Application in Cellular Coverage Optimization," *IEEE Journal of Selected Topics in Signal Processing*, Volume: 12, Issue:1, Page(s): 218-232, Feb. 2018. (Impact Factor: 7.695)

- [J37] C. Shen, "Downlink Multi-User MIMO Precoding Design via Signal-over-Leakage Capacity," *IEEE Access*, Volume: 6, Issue:1, Page(s): 2812-2824, Jan. 2018. (Impact Factor: 3.476)
- [J38] S. Shao, T. Liu, C. Tian, and **C. Shen**, "On the Tradeoff Region of Secure Exact-Repair Regenerating Codes," *IEEE Transactions on Information Theory*, Volume: 63, Issue:11, Page(s): 7253-7266, Nov. 2017. (Impact Factor: 2.978)
- [J39] X. Luo, P. Cai, X. Zhang, D. Hu, and C. Shen, "A Scalable Framework for CSI Feedback in FDD Massive MIMO via DL Path Aligning," *IEEE Transactions on Signal Processing*, Volume: 65, Issue:18, Page(s): 4702-4716, Sept. 2017. (Impact Factor: 5.4)
- [J40] Z. Wang and C. Shen, "Small Cell Transmit Power Assignment Based on Correlated Bandit Learning," *IEEE Journal on Selected Areas in Communications*, Volume: 35, Issue: 5, Page(s): 1030-1045, May 2017. (Impact Factor: 13.081)
- [J41] C. Shen, C. Tekin, and M. van der Schaar, "A Non-stochastic Learning Approach to Energy Efficient Mobility Management," *IEEE Journal on Selected Areas in Communications*, Volume: 34, Issue: 12, Page(s): 3854-3868, December 2016. (Impact Factor: 13.081)
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- [J43] C. Shen and M. P. Fitz, "Hybrid ARQ in Multiple-Antenna Slow Fading Channels: Performance Limits and Optimal Linear Dispersion Code Design," *IEEE Transactions on Information Theory*, Volume: 57, Issue: 9, Page(s): 5863-5883, Sept. 2011. (Impact Factor: 2.978)
- [J44] C. Shen and M. P. Fitz, "Opportunistic Spatial Orthogonalization and Its Application in Fading Cognitive Radio Networks," *IEEE Journal of Selected Topics in Signal Processing*, Volume: 5, Issue: 1, Page(s): 182-189, Feb. 2011. (Impact Factor: 7.695)
- [J45] C. Shen, T. Liu, and M. P. Fitz, "On the Average Rate Performance of Hybrid-ARQ in Quasi-Static Fading Channels," *Transactions on Communications*, Volume: 57, Issue: 11, Page(s): 3339-3352, Nov. 2009. (Impact Factor: 6.166)
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- [J47] C. Shen and M. P. Fitz, "MIMO-OFDM Beamforming for Improved Channel Estimation," *IEEE Journal on Selected Areas in Communications*, Volume: 26, Issue: 6, Page(s): 948-959, Aug. 2008. (Impact Factor: 13.081)
- [J48] C. Shen, H. Zhuang, D. Dai, and S. Zhou, "Detection algorithm improving V-BLAST performance over error propagation," *IET Electronics Letters*, Volume: 39, Issue: 13, Page(s): 1007-1008, June 2003. (Impact Factor: 1.202)

#### **Tutorials**

[T1] Cong Shen, Cem Tekin, and Mihaela van der Schaar, "Online Learning for Wireless Communications: Theory, Algorithms, and Applications," tutorial given at the 2021 IEEE International Conference on Communications (ICC), July 2021.

[T2] Cong Shen, "Case Studies of Deep Learning for Channel Decoding and Power Control," IEEE Signal Processing Society Webinar Series, September 14, 2021.

# Invited Presentations

Federated Bandits: From Incentivized Exploration to Reward Teaching  • Amazon, U.S.A.	Jan. 2024
Towards Real-Time Federated Learning over Wireless Communications • Virginia CCI CVN Speaker Series, U.S.A.	Nov. 2023
<ul> <li>The role of random orthogonality in federated learning</li> <li>National University of Singapore, Singapore</li> <li>University at Buffalo, U.S.A.</li> </ul>	Jan. 2023 Oct. 2023
On federated learning over wireless fading channels • <i>EE Department Colloquium</i> , The Pennsylvania State University, U.S.A.	Mar. 2022
Federated multi-armed bandits • Intel Labs, U.S.A.	Aug. 2021
<ul> <li>Flying under the radar: federated learning over noisy channels</li> <li>Texas A&amp;M University, U.S.A.</li> <li>University of Texas Austin, U.S.A.</li> <li>Tsinghua University, China</li> </ul>	Sept. 2021 Nov. 2020 May 2021
<ul> <li>Cost-aware cascading bandits</li> <li>Oxford University, U.K.</li> <li>ShanghaiTech University, China</li> <li>University of California, Davis, U.S.A.</li> <li>City University of Hong Kong, Hong Kong</li> <li>The Alan-Turing Institute, London, U.K.</li> <li>National Institute of Informatics, Tokyo, Japan</li> </ul>	Nov. 2017 Nov. 2017 Feb. 2018 Jun. 2018 Oct. 2018 Nov. 2018
<ul> <li>Universal best arm identification</li> <li>Qualcomm Inc., San Diego, U.S.A.</li> <li>The Pennsylvania State University, U.S.A.</li> </ul>	Feb. 2018 Sept. 2018
Regional multi-armed bandits  • Qualcomm Inc., San Diego, U.S.A.  • Texas A&M University, U.S.A.	Feb. 2018 Mar. 2018

Mar. 2018

May 2018

Jun. 2018

An iterative BP-CNN architecture for channel decoding

• University of Texas, Austin, U.S.A.

• City University of Hong Kong, Hong Kong

• University at Buffalo, U.S.A.

## Professional Activities

# Senior Program Committee and Area Chair for AI and ML Conferences:

- International Joint Conference on Artificial Intelligence (IJCAI), Senior Program Committee: 2021, 2023
- International Conference on Artificial Intelligence and Statistics (AISTATS), Area Chair: 2023, 2024, 2025

#### Program Committee & Reviewer for AI and ML Conferences:

- International Conference on Machine Learning (ICML): 2022, 2023, 2024
- International Conference on Learning Representations (ICLR): 2022, 2023, 2024
- Conference on Neural Information Processing Systems (NeurIPS): 2021, 2022, 2023
- International Joint Conference on Artificial Intelligence (IJCAI): 2020, 2021, 2023
- AAAI Conference on Artificial Intelligence (AAAI): 2019, 2020, 2021

# Technical Program Committee (TPC) for Signal Processing and Networking Conferences:

- ACM SIGMETRICS: 2023
- ACM MobiCom: 2013
- IEEE INFOCOM: 2024, 2025
- IEEE DySPAN: 2024
- IEEE MASS: 2022, 2023
- IEEE WiOpt: 2021, 2022, 2023, 2024
- IEEE ICC: 2010, 2012, 2015, 2018, 2019, 2020, 2021, 2022, 2023, 2024
- IEEE GLOBECOM: 2010, 2011, 2017, 2018, 2019, 2020, 2021, 2022, 2023
- IEEE WCNC: 2013, 2014, 2015, 2016, 2019, 2020, 2021, 2022, 2023
- *IEEE ICME*: 2019
- IEEE GlobalSIP: 2015
- IEEE ICNC: 2017, 2018, 2019, 2020
- IEEE VTC: 2022-Spring, 2023-Spring, 2023-Fall
- MILCOM: 2022, 2023, 2024

#### **IEEE Technical Committee Activities:**

Chair: Special Interest Group on Signal Processing Techniques for Big Data and Wireless Edge Intelligence, IEEE ComSoc SPCC Technical Committee Jan. 2020 – Dec. 2022

#### Conference Chair:

- TPC Co-Chair: 1st SIGKDD International Workshop on NL2Code-Reasoning and Planning with LLM for Code Development, 2024
- Program Co-Chair: 10th SIGKDD International Workshop on Mining and Learning from Time Series Workshop: From Classical Methods to LLMs, 2024
- Program Co-Chair: 9th SIGKDD International Workshop on Mining and Learning from Time Series Deep Forecasting: Models, Interpretability, and Applications, 2023
- Program Co-Chair: 8th SIGKDD International Workshop on Mining and Learning from Time Series Deep Forecasting: Models, Interpretability, and Applications, 2022
- Publicity Co-Chair: WiOpt 2024
- Workshop Co-Chair: IEEE ICC 2024 The 3rd Workshop on Spectrum Sharing Tech-

- nology for Next Generation Communications
- Publicity Co-Chair: IEEE International Symposium on Dynamic Spectrum Access Networks (DySPAN) 2024
- TPC Co-Chair: IEEE Global Communications Conference (GLOBECOM) 2021: Wireless Communications Symposium
- TPC Chair: The 2nd Workshop on Edge Computing and Communications (EdgeComm), in conjunction with the Sixth ACM/IEEE Symposium on Edge Computing
- Invited Session Chair: CISS 2023: Distributed & Robust Machine Learning
- Invited Session Co-Chair (Lead): Asilomar 2022: Machine Learning for Wireless Communications and Networking
- Invited Session Co-Chair (Lead): Asilomar 2020: Machine Learning for Advanced Wireless Communications
- Poster/Demo Co-Chair: 2019 IEEE International Conference on Multimedia and Expo (ICME 2019)
- Symposium Co-Chair (Lead): The 11th International Conference on Wireless Communications and Signal Processing (WCSP 2019): Symposium on Intelligent Informatics and Big Data
- Symposium Co-Chair: IEEE Vehicular Technology Conference (VTC) Spring 2017: Track 8 Multiple Antenna Systems and Services
- TPC Co-Chair: The 4th IEEE ICCC International Workshop on Wireless Communications for Internet of Things Networking (WIN2016)
- Symposium Co-Chair: The 6th International Conference on Wireless Communications and Signal Processing (WCSP 2014): Symposium on Emerging Areas in Wireless Communications

#### NSF Spectrum Week 2024

- Chair, Career Fair
- Co-chair, Publicity, DySPAN 2024
- Member, Organizing Committee

#### **NSF SWIFT Program**

• PI Meeting Program Co-Chair (co-organized with NSF Program Director Dr. Huaiyu Dai), April 2023

#### SpectrumX – The National Center for Spectrum Innovation by NSF

- Part of the UVA WHISPERS team (senior personnel) that won the SII planning grant
- Member of *SpectrumX*, a multi-university effort for NSF National Center for Wireless Spectrum Research (SII-Center)

#### Journal Editorial Board:

- IEEE Transactions on Communications (TCOM, Associate Editor) June 2022 now
- IEEE Transactions on Machine Learning in Communications and Networking (TMLCN, Associate Editor)

  Sept. 2022 now
- IEEE Transactions on Green Communications and Networking (TGCN, Editor)

Oct. 2021 - now

• IEEE Wireless Communications Letters (WCL, Editor) May 2018 – May 2023

- Frontiers in Communications and Networks (Associate Editor) May 2020 now
- IEEE Transactions on Wireless Communications (TWC, Editor)

Nov. 2016 - Dec. 2021

- IEEE Transactions on Green Communications and Networking (TGCN), Lead Guest Editor for Special Issue "Energy Efficient Distributed AI over Wireless Networks", 2021 – 2022
- IEEE COMSOC MMTC Communications–Review (Editor) Dec. 2016 now
- Journal of Communications and Information Networks (JCIN, Associate Editor) Jan. 2017 – Dec. 2018

#### Membership:

- Senior Member: ACM, IEEE, IEEE ComSoc, IT, and SP societies
- Member: Sigma Xi

### Research Grants

- 1. **PI**, NTIA, "AI and ML for Spectrum Management"
- 2. PI, Juniper Networks, unrestricted gift
- 3. Co-PI, UVA Internal Commonwealth Cyber Initiative (CCI) Cybersecurity Research Continuation, "Smart Cities"
- 4. **Co-PI**, *UVA Internal FY24 Research Innovation Awards*, "Artificial Insect Eye for Spatiotemporal Perception and Cognition in 3D Space via Edge Computing"
- 5. **Co-PI**, *UVA Internal CCI Commercialization and Innovation*, "Privacy-preserving Synthetic Data Generation"
- 6. Co-PI, 2024 NoVA Node CCI+A (CATAPULT) Fund, "Privacy-preserving Synthetic Data Generation"
- 7. **Co-PI**, National Science Foundation (NSF) ECCS Core Program, "Integrating Federated Split Neural Network with Artificial Stereoscopic Compound Eyes for Optical Flow Sensing in 3D Space with Precision"
- 8. **PI**, National Science Foundation (NSF) Cyber-Physical Systems Core Program, Medium Project, "Learning through the Air: Cross-Layer UAV Orchestration for Online Federated Optimization"
- 9. **PI**, National Science Foundation (NSF) CAREER Program, "CAREER: Towards a Communication Foundation for Distributed and Decentralized Machine Learning"
- 10. **PI**, National Science Foundation (NSF) SWIFT Program, "SWIFT: SMALL: Learning-Efficient Spectrum Access for No-Sensing Devices in Shared Spectrum"
- 11. **PI**, National Science Foundation (NSF) ECCS Core Program, "Towards a Resource Rationing Framework for Wireless Federated Learning"
- 12. **PI**, National Science Foundation (NSF) and Intel MLWiNS Program, "MLWiNS: Dino-RL: A Domain Knowledge Enriched Reinforcement Learning Framework for Wireless Network Optimization"
- 13. Co-PI, Commonwealth Cyber Initiative (CCI) Commercialization Fund, "Connected Vehicle Identification System and Cybersecurity Assessment Testbed"

- 14. **Co-PI**, Commonwealth Cyber Initiative (CCI) CVN Innovation and Commercialization Grant, "Towards Real-Time Federated Learning over Wireless Communications"
- 15. **PI**, Commonwealth Cyber Initiative (CCI) Cybersecurity Research Collaboration Grants, "Open-source, Multi-band, Multi-dimensional Spectrum Access system with Interfaces to Wireless Testbeds and Network Simulation Software"
- 16. Co-PI, Commonwealth Cyber Initiative (CCI) Cybersecurity Research Collaboration Grants, "Secure and Privacy 5G Network for Connected Vehicles"
- 17. Co-PI, Commonwealth Cyber Initiative (CCI) Cybersecurity Research Collaboration Grants, "SmallSat Cybersecurity and Resiliency"
- 18. Co-PI, UVA Internal Commonwealth Cyber Initiative (CCI) Cybersecurity Research Continuation, "Smart Cities"
- 19. **PI**, *Kneron Inc.*, "Low-resolution Machine Learning Model Representation in Distributed Learning"
- 20. **PI**, Bloomberg L.P., unrestricted gift
- 21. PI, Kneron Inc., "Efficient Deep Learning Architecture in Mobile Edge Computing"
- 22. **PI**, subcontract from *VT-ARC*, "Towards low-latency, energy-efficient and resilient 5G network for smart warehouse"
- 23. Senior Personnel, National Science Foundation (NSF) Research Traineeship (NRT) Program, "Secure Emergency Communications", Supplementary funding to "NRT: A Graduate Traineeship in Cyber Physical Systems"
- 24. Senior Personnel, National Science Foundation (NSF) Spectrum Innovation Initiative (SII) Program, "SII Planning: WHISPERS: Wireless Hardware Innovations and Signal Processing for Enhanced Radio-astronomy and Scientific Spectrum Sharing"
- 25. **Senior Personnel**, National Science Foundation (NSF), "SII-Center: SpectrumX The National Center for Spectrum Innovation"

## Supervised Students

- Chengshuai Shi (August 2019 May 2024): PhD. First job: Bloomberg
- Xizixiang Wei (August 2020 May 2024): PhD. First job: Apple
- Kun Yang (August 2020 July 2024): PhD. First job: Juniper Networks
- Yujia Mu (August 2020 now): PhD candidate
- Li Fan (August 2020 now): PhD candidate
- Wei Shen (August 2022 now): PhD student
- Zhihan Chen (Jan. 2023 now): PhD student
- Di Wu (August 2024 now): PhD student
- Songwei Dong (August 2024 now): PhD student
- Zihao Liang (August 2024 now): PhD student

### Students Honors

- Yujia Mu received the Malathi Veeraraghavan Legacy Fellowship from the Charles L. Brown Department of Electrical and Computer Engineering for 2022
- <u>Xizixiang Wei</u> received the Charles L. Brown Department of Electrical and Computer Engineering's Ann Lee Brown Rookie of the Year (graduate) for 2022

- Chengshuai Shi received the Bloomberg Data Science Ph.D. Fellowship for 2021-2022 and 2022-2023
- <u>Chengshuai Shi</u> received the McVey Fellowship from the Charles L. Brown Department of Electrical and Computer Engineering for 2022 2023
- Chengshuai Shi received the 2022-2023 Endowed Graduate Fellowship from the Engineering School at UVa
- <u>Chengshuai Shi</u> received the Charles L. Brown Department of Electrical and Computer Engineering's Louis T Rader Graduate Research Award for 2023
- <u>Xizixiang Wei</u> received the McVey Fellowship from the Charles L. Brown Department of Electrical and Computer Engineering for 2023 2024
- Xizixiang Wei received the 2023-2024 Endowed Graduate Fellowship from the Engineering School at UVa
- Kun Yang won the third place award in the Fall 2023 ECE Student Research Poster Session
- <u>Zihan Chen</u> received the Charles L. Brown Department of Electrical and Computer Engineering's Ann Lee Brown Rookie of the Year (graduate) for 2024