# Relational Theory Part 2

UAlbany ICSI 410 Fall 2016

# Much of the material in these slides is taken directly from

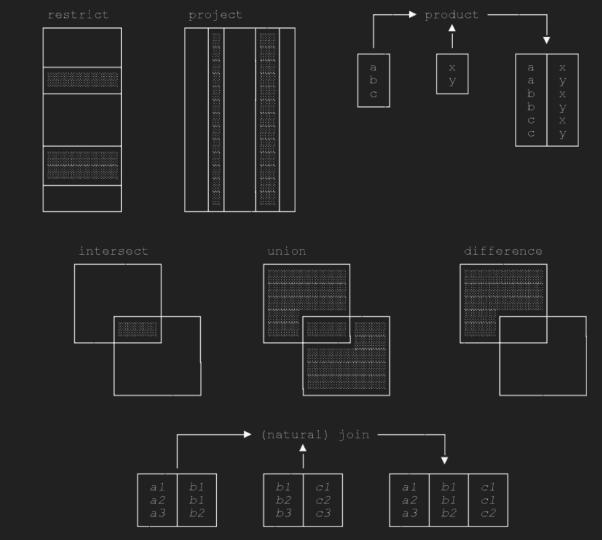
**SQL** and Relational Theory by C.J. Date

and

**Database System Concepts** by Silbershatz et al.

# Relational Algebra

(Continued)



### **RENAME:**

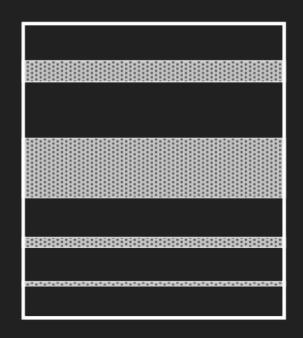
- Denoted by lowercase Greek letter rho (p)
- Unlike relations in the database, the results of relational-algebra expressions do not have a name that we can use to refer to them.
- It is useful to be able to give them names.

# **SELECT**

# Relational Algebra: Operations

### SELECT (aka RESTRICT):

- Denoted by lowercase Greek letter sigma (O)
- "Selects" tuples that satisfy a given predicate.
- The predicate appears as a subscript to σ
- The argument relation is in parentheses after the σ



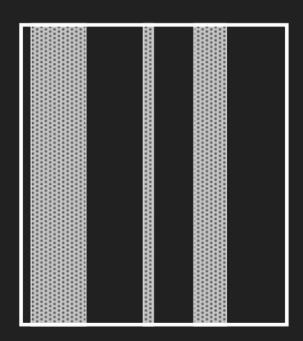
 NOTE: The term "select" in relational algebra has a different meaning than the one used in SQL. In relational algebra, the term "select" corresponds to SQL's WHERE.

# **PROJECT**

# Relational Algebra: Operations

### PROJECT:

- Denoted by uppercase Greek letter pi (□)
- Unary operation that returns its argument relation, with certain attributes left out.
- Since a relation (body) is a set, any duplicate rows are eliminated.
- We list those attributes that we wish to appear in the result as a subscript to  $\Pi$ .
- The argument relation is in parentheses after the  $\Pi$ .

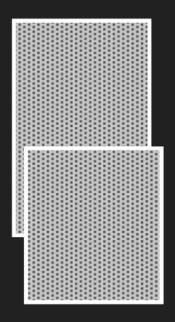


# UNION

# Relational Algebra: Operations

### **UNION:**

- Denoted, as in set theory, by U.
- Binary operation that returns the set theory union of the bodies of the two argument relations.
- We must make sure that unions are taken between compatible relations. (More on this in a later slide.)

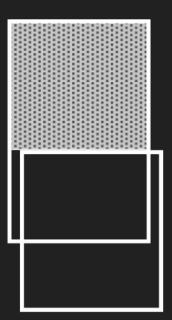


# DIFFERENCE

# Relational Algebra: Operations

### Set-Difference:

- Denoted by —
- Binary operation that allows us to find tuples that are in one relation but not another.
- r − s produces a relation containing those tuples that are in r but not s.
- As with the union operation, we must insure that set differences are taken between compatible relations.



### PRODUCT:

- Denoted by a cross (X)
- Binary operation that allows us to combine information from (any) two relations.
- Recall that a relation is a subset of the Cartesian product of a set of attribute domains.

# **PRODUCT**





The operations that we covered so far allow us to give us a complete definition of an expression in the relational algebra.

- $\bullet$   $E_1 \cup E_2$
- $\bullet$   $E_1 E_2$
- $\bullet$   $E_1 \times E_2$
- $\sigma_P(E_1)$
- $\Pi_{s}(E_{1})$
- $\bullet$   $\rho_{x}(E_{1})$

These fundamental operations of the relational algebra are sufficient to express any relational-algebra query.

However, if we restrict ourselves to just these operations, certain common queries are lengthy to express.

The operations that we covered so far allow us to give us a complete definition of an expression in the relational algebra.

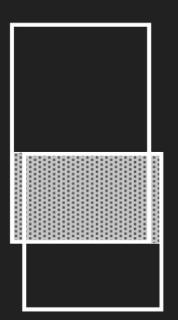
- Set-intersection
- Natural-Join
- Theta-Join
- Left outer join
- Right outer join
- Full outer join

The next set of slides will cover these operations that do not add any power to the algebra, but which simplify common queries.

# Relational Algebra: Operations

### Set Intersection:

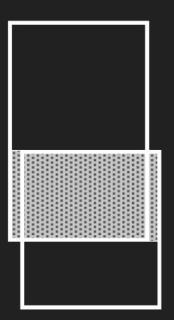
- Definition (from Date)
  - Let relations r1 and r2 be of the same type (have the same heading)
  - Then their intersection r1 INTERSECT r2 is a relation of the same type
    - With body consisting of all tuples *t* such that *t* appears in both *r*1 and *r*2.



# Relational Algebra: Operations

### Set Intersection:

- Recall that Set Intersection adds no power to the relational algebra.
- It can be expressed using just one operation from the fundamental set of operators.

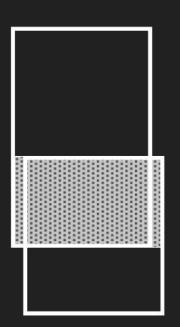


# Relational Algebra: Operations

### Set Intersection:

- Recall that Set Intersection adds no power to the relational algebra.
- It can be expressed using just one operation from the fundamental set of operators.

Which one?



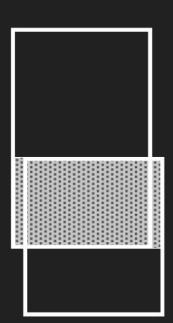
# Relational Algebra: Operations

### Set Intersection:

- Recall that Set Intersection adds no power to the relational algebra.
- It can be expressed using just one operation from the fundamental set of operators.

Which one?

The Difference Operator: r—s



# Relational Algebra: Operations

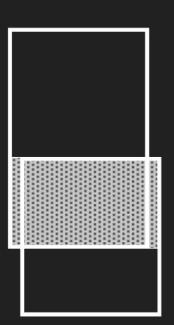
### Set Intersection:

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- It can be expressed using just one operation from the fundamental set of operators.

Which one?

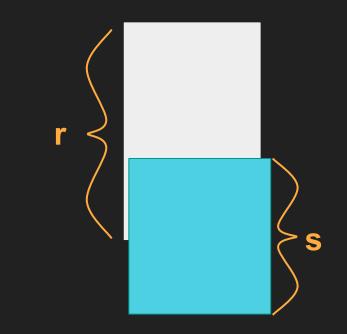
The Difference Operator: r-s

$$r \cap s = r - (r - s)$$



Set Intersection:

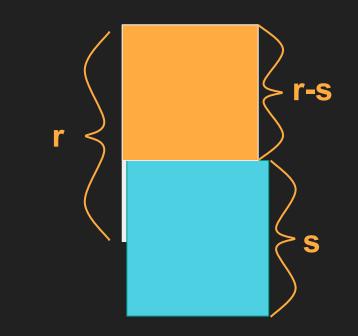
# INTERSECTION



$$r \cap s = r - (r - s)$$

Set Intersection:

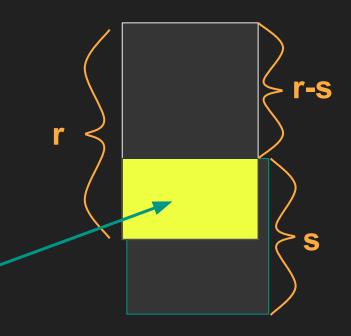
# INTERSECTION



$$r \cap s = r - (r - s)$$

INTERSECTION

Set Intersection:



$$r \cap s = r - (r - s)$$

### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500
1	3	3000
2	4	2258.02
2	5	200
3	7	1057.75
3	8	2212.5
4	10	534.12
4	11	767.77
4	12	5487.09

	CUSTO	CUSTOMER_TYPES		
!	cust_id	cust_type_cd		
	1	1		
	2	1		
	3	1		
	4	1		
	5	I		
	6	- 1		
	7	- 1		
	8	I		
	9	- 1		
	10	В		
	11	В		
	12	В		

### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

RESTRICT CUSTOMER\_TYPES tuples to individuals

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500
1	3	3000
2	4	2258.02
2	5	200
3	7	1057.75
3	8	2212.5
4	10	534.12
4	11	767.77
4	12	5487.09

CUSTOMER_TYPES	
cust_id	cust_type_cd
1	1
2	1
3	1
4	ı
5	1
6	1
7	ı
8	1
9	1
10	В
11	В
12	В

### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

RESTRICT CUSTOMER\_TYPES tuples to individuals

ance
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CUSTOMER_TYPES		
cust_id	cust_type_cd	
1	ı	
2	ı	
3	ı	
4	ı	
5	ı	
6	ı	
7	ı	
8	ı	
9	ı	
10	В	
11	В	
12	В	

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2	5	200	
3	7	1057.75	
3	8	2212.5	
4	10	534.12	
4	11	767.77	
4	12	5487.09	

cust_id	cust_type_cd
1	I
2	I
3	1
4	I
5	I
6	I
7	I
8	I
9	ı

### INTERSECTION Example

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

$$\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd}} = "I"(\text{CUSTOMER\_TYPES})$$

CUSTOMER_ACCOUNT_BALANCES		
account_id	avail_balance	
1	1057.75	
2	500	
3	3000	
4	2258.02	
5	200	
7	1057.75	
8	2212.5	
10	534.12	
11	767.77	
12	5487.09	
	account_id  1 2 3 4 5 7 8 10 11	account_id         avail_balance           1         1057.75           2         500           3         3000           4         2258.02           5         200           7         1057.75           8         2212.5           10         534.12           11         767.77

cust_id	cust_type_cd
1	I
2	ı
3	I
4	I
5	I
6	I
7	I
8	I
9	ı

### INTERSECTION Example

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$$\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd}} = "I"(\text{CUSTOMER\_TYPES})$$

CUSTOMER_ACCOUNT_BALANCES			
cust_id	account_id	avail_balance	
1	1	1057.75	
1	2	500	
1	3	3000	
2	4	2258.02	
2	5	200	
3	7	1057.75	
3	8	2212.5	
s <b>))</b> <sup>4</sup>	10	534.12	
4	11	767.77	
4	12	5487.09	

cust_id	cust_type_cd
1	1
2	1
3	1
4	1
5	1
6	1
7	1
8	1
9	1

### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

$$\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd}} = "I"(\text{CUSTOMER\_TYPE})$$

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500
1	3	3000
2	4	2258.02
2	5	200
3	7	1057.75
3	8	2212.5
s <b>))</b> <sup>4</sup>	10	534.12
4	11	767.77
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cust_id
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### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500
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2	4	2258.02
2	5	200
3	7	1057.75
3	8	2212.5
4	10	534.12
4	11	767.77
4	12	5487.09

cust_id
1
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$$\Pi_{\text{cust id}}(\sigma_{\text{cust type cd = "l"}}(\text{CUSTOMER\_TYPES}))$$

**INTERSECTION Example** 

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available balance greater than 1,000

		_
SELECT tuples	4	
from CUSTOMER_ACCOUNT_BALANCES		
	4	
with avail_balance greater than 1,000	4	
σ <sub>avail_balance</sub> > 1000 (CUSTOMER_ACCOUNT_BA	LANCES)	_
_	$\prod_{\text{cust}}$	

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500
1	3	3000
2	4	2258.02
2	5	200
3	7	1057.75
3	8	2212.5
4	10	534.12
4	11	767.77
4	12	5487.09

cust_id
1
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### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available balance greater than 1,000

SELECT tuples	Α	
from CUSTOMER ACCOUNT BALANCES	4	
with avail_balance greater than 1,000	4	
σ <sub>avail_balance</sub> > 1000 <sup>(</sup> CUSTOMER_ACCOUNT_BA	LANCES)	

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500
1	3	3000
2	4	2258.02
2	5	200
3	7	1057.75
3	8	2212.5
4	10	534.12
4	11	767.77
4	12	5487.09

cust_id
1
2
3
4
5
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7
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9

### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

cust_id	account_id	avail_balance
1	1	1057.75
2	4	2258.02
3	7	1057.75
3	8	2212.5
4	12	5487.09

cust_id
1
2
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SELECT tuples from CUSTOMER\_ACCOUNT\_BALANCES with avail\_balance greater than 1,000

 $\Pi_{\text{cust id}}(\sigma_{\text{cust type cd}} = "|" (\text{CUSTOMER\_TYPES}))$ 

**INTERSECTION Example** 

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

cust_id	account_id	avail_balance
1	1	1057.75
2	4	2258.02
3	7	1057.75
3	8	2212.5
4	12	5487.09

cust_id
1
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$$\Pi_{\text{cust id}}(\sigma_{\text{avail balance}})$$
 | (CUSTOMER\_ACCOUNT\_BALANCES))

$$\Pi_{\text{cust id}}(\sigma_{\text{cust type cd} = "|"}(\text{CUSTOMER\_TYPES}))$$

INTERSECTION Example

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

cust_id	account_id	avail_balance
1	1	1057.75
2	4	2258.02
3	7	1057.75
3	8	2212.5
4	12	5487.09

cust_id	
1	
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•	

$$\Pi_{\text{cust id}}(\sigma_{\text{avail balance}})$$
 | (CUSTOMER\_ACCOUNT\_BALANCES))

$$\Pi_{\text{cust id}}(\sigma_{\text{cust type cd = "l"}}(\text{CUSTOMER\_TYPES}))$$

**INTERSECTION Example** 

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

cust_id
1
2
3
3
4

cust_id
1
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$$\Pi_{\text{cust\_id}}(\sigma_{\text{avail\_balance}} > 1000 \text{(CUSTOMER\_ACCOUNT\_BALANCES))}$$

$$\Pi_{\text{cust id}}(\sigma_{\text{cust type cd = "I"}}(\text{CUSTOMER\_TYPES}))$$

INTERSECTION Example

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

2 3 4

cust_id
1
2
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PROJECT cust\_id

```
\Pi_{\text{cust id}}(\sigma_{\text{avail balance}} > 1000 \text{(CUSTOMER_ACCOUNT_BALANCES))}
```

 $\Pi_{\text{cust id}}(\sigma_{\text{cust type cd}} = "I"(\text{CUSTOMER\_TYPES}))$ 

### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

cust_id
1
2
3
4

cust_id
1
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$$\Pi_{\text{cust\_id}}(\sigma_{\text{avail\_balance}} > 1000(\text{CUSTOMER\_ACCOUNT\_BALANCES}))$$

$$\Pi_{\text{cust id}}(\sigma_{\text{cust type cd}} = "I"(\text{CUSTOMER\_TYPES}))$$

### INTERSECTION Example

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

Take the INTERSECTION of the two relations

cust_id	
1	
2	
3	
4	

cust_id
1
2
3
4
5
6
7
8
9

```
\Pi_{\text{cust id}}(\sigma_{\text{avail balance}}) \cap \Pi_{\text{cust id}}(\sigma_{\text{cust type cd}} = \Gamma_{\text{cust id}}(\sigma_{\text{cust type cd}}))
```

#### INTERSECTION Example

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

Take the INTERSECTION of the two relations

cust	_id	cust_id
1		1
2		2
3		3
4		4
		5
		6
		7
		8
		9

$$\Pi_{\text{cust\_id}}(\sigma_{\text{avail\_balance}}) \cap \Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd}} = \Gamma_{\text{cust\_type\_cd}})$$

#### **INTERSECTION Example**

Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

Take the INTERSECTION of the two relations

cust	_id	cust_id
1		1
2		2
3		3
4		4
		6
		8

$$\Pi_{\text{cust\_id}}(\sigma_{\text{avail\_balance}} > 1000 \text{(CUSTOMER\_ACCOUNT\_BALANCES))} \cap \Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd}} = \text{``l''}(\text{CUSTOMER\_TYPES}))$$

#### **INTERSECTION Example**

#### Required Predicate:

customer\_id *i* belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

cust_id	
1	
2	
3	_
4	

```
\Pi_{\text{cust id}}(\sigma_{\text{avail balance}}) \cap \Pi_{\text{cust id}}(\sigma_{\text{cust type cd}} = \Gamma_{\text{cust id}}(\sigma_{\text{cust type cd}}))
```

#### **Another Example**

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

CUSTOME	R_ACCOUN	T_BALANCES
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500.00
1	3	3000.00
2 2 3	4	2258.02
2	5 7	200.00
		1057.75
3	8	2212.50
4	10 11	534.12
4	12	767.77
4 5		5487.09
	13 14	2237.97
6 6	1 <del>4</del> 15	122.37
7	15 17	10000.00
		5000.00
8	18	3487.19
8	19	387.99
9	21	125.67
9	22	9345.55
9	23	1500.00
10	24	23575.12
10	25	0.00
11	27	9345.55
12	28	38552.05
13	29	50000.00

сиѕто	MER_TYPES
cust_id	cust_type_cd
1	1
2	ı
3	1
4	1
5	ı
6	1
7	1
8	1
9	1
10	В
11	В
12	В

#### **Another Example**

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

We can pick up from here.

oavail_balance >
1000 (CUSTOMER_ACCOUNT_BALANCES)

сиѕтоме	R_ACCOUN	T_BALANCES
cust_id	account_id	avail_balance
1	1	1057.75
1	3	3000.00
2	4	2258.02
3	7	1057.75
3	8	2212.50
4	12	5487.09
5	13	2237.97
6	15	10000.00
7	17	5000.00
8	18	3487.19
9	22	9345.55
9	23	1500.00
10	24	23575.12
11	27	9345.55
12	28	38552.05
13	29	50000.00

cust_id
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9

$$\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd}} = \text{"I"}(\text{CUSTOMER\_TYPES}))$$

#### Another Example

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

We will need to do a PRODUCT, therefore we need to RENAME the columns in one table:

σ <sub>avail_balance &gt;</sub>	
1000 (CUSTOMER_ACCOUNT_BALANCES)	

сиѕтомі	ER_ACCOUN	T_BALANCES
cust_id	account_id	avail_balance
1	1	1057.75
1	3	3000.00
2	4	2258.02
3	7	1057.75
3	8	2212.50
4	12	5487.09
5	13	2237.97
6	15	10000.00
7	17	5000.00
8	18	3487.19
9	22	9345.55
9	23	1500.00
10	24	23575.12
11	27	9345.55
12	28	38552.05
13	29	50000.00

cust_id
1
2
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4
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8
9

#### **Another Example**

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

Now we can get the PRODUCT

		\ /
σ <sub>avail balance &gt; 1000</sub>	(CUSTOMER_ACCOUNT_BALANCES)	$\times$

CUSTOMER_ACCOUNT_BALANCES			
cust_id	account_id	avail_balance	
1	1	1057.75	
1	3	3000.00	
2	4	2258.02	
3	7	1057.75	
3	8	2212.50	
4	12	5487.09	
5	13	2237.97	
6	15	10000.00	
7	17	5000.00	
8	18	3487.19	
9	22	9345.55	
9	23	1500.00	
10	24	23575.12	
11	27	9345.55	
12	28	38552.05	
13	29	50000.00	

CTYPES
ct_cust_id
1
2
3
4
5
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8
9

```
\rho_{\text{CTYPES(ct\_cust\_id)}}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd} = "l"}(\text{CUSTOMER\_TYPES})))
```

cust\_id

## Relational Algebra: Operations

#### **Another Example**

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

#### Now we can get the PRODUCT

```
\sigma_{\text{avail\_balance} > 1000} \text{(CUSTOMER\_ACCOUNT\_BALANCES)} \times \\ \rho_{\text{CTYPES}(\text{ct\_cust\_id})} (\Pi_{\text{cust\_id}} (\sigma_{\text{cust\_type\_cd} = \text{`'l'}} \text{(CUSTOMER\_TYPES)))}
```

account\_id avail\_balance ct\_cust\_id

cust\_id

## Relational Algebra: Operations

#### **Another Example**

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

We now need to select the rows where (cust\_id = ct\_cust\_id)

```
\sigma_{\text{cust\_id} = \text{ct\_cust\_id}}(\sigma_{\text{avail\_balance} > 1000}(\text{CUSTOMER\_ACCOUNT\_BALANCES}) \times \rho_{\text{CTYPES}(\text{ct\_cust\_id})}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd} = \text{"I"}}(\text{CUSTOMER\_TYPES}))))
```

#### **Another Example**

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

We now need to select the rows where (cust id = ct cust id)

cust_id	account_id	avail_balance	ct_cust_id
1	1	1057.75	1
1	3	3000.00	1
2	4	2258.02	2
3	7	1057.75	3
3	8	2212.50	3
4	12	5487.09	4
5	13	2237.97	5
6	15	10000.00	6
7	17	5000.00	7
8	18	3487.19	8
9	22	9345.55	9
9	23	1500.00	9

$$\sigma_{\text{cust\_id} = \text{ct\_cust\_id}}(\sigma_{\text{avail\_balance} > 1000}(\text{CUSTOMER\_ACCOUNT\_BALANCES}) \times \rho_{\text{CTYPES(ct\_cust\_id)}}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd} = \text{"I"}}(\text{CUSTOMER\_TYPES}))))$$

#### **Another Example**

Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

Finally, we project on account\_id:

cust_id	account_id	avail_balance	ct_cust_id
1	1	1057.75	1
1	3	3000.00	1
2	4	2258.02	2
3	7	1057.75	3
3	8	2212.50	3
4	12	5487.09	4
5	13	2237.97	5
6	15	10000.00	6
7	17	5000.00	7
8	18	3487.19	8
9	22	9345.55	9
9	23	1500.00	9

```
\Pi_{\text{account\_id}}(\sigma_{\text{cust\_id}} = \sigma_{\text{avail\_balance}} > 1000 \text{(CUSTOMER\_ACCOUNT\_BALANCES)} \times \rho_{\text{CTYPES}(\text{ct\_cust\_id})}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd}} = \Gamma_{\text{U}}(\text{CUSTOMER\_TYPES})))))
```

#### **Another Example**

#### Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

#### Finally, we project on account\_id:

```
\Pi_{\text{account}\_id}(\sigma_{\text{cust}\_id} = \text{ct}\_{\text{cust}\_id}(\sigma_{\text{avail}\_\text{balance}} > \text{1000}(\text{CUSTOMER}\_\text{ACCOUNT}\_\text{BALANCES}) \times \\ \rho_{\text{CTYPES}(\text{ct}\_\text{cust}\_id)}(\Pi_{\text{cust}\_id}(\sigma_{\text{cust}\_\text{type}\_\text{cd}} = \text{"I"}(\text{CUSTOMER}\_\text{TYPES})))))
```

```
account_id
     3
4
7
    12
    13
    15
    18
    22
    23
```

#### **Another Example**

#### Required Predicate:

account\_id **a** belongs to an <u>individual</u> with an available <u>balance greater than 1,000</u>

```
\overline{\Pi_{\text{account}\_id}}(\sigma_{\text{cust}\_id} = \text{ct\_cust}\_id}(\sigma_{\text{avail\_balance}} > \text{1000}(\text{CUSTOMER\_ACCOUNT\_BALANCES}) \times \rho_{\text{CTYPES}(\text{ct\_cust}\_id})(\overline{\Pi_{\text{cust}\_id}}(\sigma_{\text{cust}\_type\_cd} = \text{"I"}(\text{CUSTOMER\_TYPES})))))
```

When working with the relational model, it is very common to combine information from multiple tables.

Operators are defined to *simplify* the expression of these types of queries.

The JOIN operations (from Silberschatz)

- Allow the combining of two relations by merging pairs of tuples, one from each relation, into a single tuple.
- There are a number of different ways to join relations.

### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
A3	В3		В3	C3

A1	В2	C1
A2	В3	C3
А3	В3	C3

JOIN operations (from Silberschatz, with Date's terminology)

- Output Relation Schema Convention:
  - We do not repeat those attributes that appear in the schemas of both relations.
  - The ordering of attributes is as follows:
    - First the attributes common to the schemas of both relations
    - Second those attributes unique to the schema of the first relation
    - Finally, those attributes unique to the schema of the second relation.

### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
А3	В3		В3	C3

A1	В2	C1
A2	В3	C3
А3	В3	C3

JOIN operations (from Silberschatz, with Date's terminology)

- Output Relation Schema Convention:
  - We do not repeat those attributes that appear in the schemas of both relations.
  - The ordering of attributes is as follows:
    - First the attributes common to the schemas of both relations
    - Second those attributes unique to the schema of the first relation
    - Finally, those attributes unique to the schema of the second relation.

### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
A3	В3		В3	C3

yields

A1	В2	C1
A2	В3	C3
A3	В3	C3

Note that in this convention, the order of the attributes matters. Therefore, we are using the term "schema" instead of "heading." The difference is headings are based on <u>sets</u> whereas schemas are based on <u>sequences</u>.

The JOIN operations (from Beaulieu)

- Queries against a single relation are certainly not rare, but you fill find that most of your queries will require two, three, or even more relations.
- JOIN operations use a set of attributes as the <u>bridge</u> between relations, thereby allowing columns from both relations to be included in the result relation.

### JOIN

A1	B2		B1	C1
A2	В3	and	B2	C1
A3	В3		В3	C3

A1	В2	C1
A2	В3	C3
A3	В3	C3

The JOIN operations (from Date)

#### Joinability:

- Relations r1 and r2 are joinable if and only if attributes with the same heading are of the same type (meaning they are in fact the very same attribute).
- Equivalently, relations r1 and r2 are joinable if and only
  if the set theory union of the headings of r1 and r2 is
  itself a legal heading.

### JOIN

	B2 B3	and	B1 B2	C1 C1
<b>A</b> 3	В3		В3	C3

A1	В2	C1
A2	В3	C3
<b>A</b> 3	В3	C3

The JOIN operations (from Date)

#### Joinability:

- Relations r1 and r2 are joinable if and only if attributes with the same heading are of the same type (meaning they are in fact the very same attribute).
- Equivalently, relations r1 and r2 are joinable if and only
  if the set theory union of the headings of r1 and r2 is
  itself a legal heading.

## JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
А3	В3		В3	C3

yields

A1	В2	C1
A2	В3	C3
A3	В3	C3

Recall: The heading is a set of attributes where no two attributes have the same attribute name.

The JOIN operations (from Date)

#### Joinability:

- Relations r1 and r2 are joinable if and only if attributes with the same heading are of the same type (meaning they are in fact the very same attribute).
- Equivalently, relations r1 and r2 are joinable if and only
  if the set theory union of the headings of r1 and r2 is
  itself a legal heading.

## JOIN

A1 A2		and	B1 B2	C1 C1
A3	В3		B3	C3

yields

A1	В2	C1
A2	В3	C3
A3	В3	C3

Recall: The heading is a *set* of attributes where *no two attributes have the same attribute name*.

Why do the types of those attributes whose names are common between the relations determine whether the union of the heading is a valid heading?

#### NATURAL JOIN (from Silbershatz)

- Usually, a query that involves a Cartesian product includes a selection operation on the result of the Cartesian product.
- The natural join is a binary operation that allows us to combine certain selections and a Cartesian product into one operation.

### JOIN

A1	B2		B1	C1
A2	В3	and	B2	C1
А3	В3		В3	C3

A1	В2	C1
A2	В3	C3
А3	В3	C3

NATURAL JOIN (from Silbershatz)

- Denoted by the join symbol
- The natural-join operation
  - 1. Forms a Cartesian product of its two arguments
  - 2. Performs a selection forcing equality on those attributes that appear in both relation schemas

### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
А3	В3		В3	C3

A1	В2	C1
A2	В3	C3
А3	В3	C3

NATURAL JOIN (from Silbershatz)

- Denoted by the join symbol
- The natural-join operation
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### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
<b>A</b> 3	В3		В3	C3

A1	В2	C1
A2	В3	C3
А3	В3	C3

NATURAL JOIN (from Silbershatz)

- Denoted by the join symbol
- The natural-join operation
  - 1. Forms a Cartesian product of its two arguments
  - 2. Performs a selection forcing equality on those attributes that appear in both relation schemas

### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
А3	В3		В3	C3

yields

A1	В2	C1
A2	В3	C3
А3	В3	C3

Remember this query expression???

 $\Pi_{\text{account id}}(\sigma_{\text{cust id}} = \text{ct cust id}(\sigma_{\text{avail balance}} > 1000}(\text{CUSTOMER\_ACCOUNT\_BALANCES}) \times \rho_{\text{CTYPES(ct cust id)}}(\Pi_{\text{cust id}}(\sigma_{\text{cust type\_cd}} = \text{"|"}(\text{CUSTOMER\_TYPES})))))$ 

NATURAL JOIN (from Silbershatz)

- ullet Denoted by the join symbol igwedge
- The natural-join operation
  - 1. Forms a Cartesian product of its two arguments
  - 2. Performs a selection forcing **equality** on those attributes that appear in both relation schemas

### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
А3	В3		В3	C3

yields

A1	В2	C1
A2	В3	C3
А3	В3	C3

Remember this query expression???



## JOIN

## Relational Algebra: Operations

NATURAL JOIN (from Date)

- Definition:
  - Let r1 and r2 be joinable.
  - Then their natural join (or just join for short)

is a relation with

- a. heading the set theory union of the headings of r1 and r2
- b. body the set of all tuples *t* such that *t* is the set theory union of a tuple from *r*1 and a tuple from *r*2.

A1	В2		B1	C1
A2	В3	and	B2	C1
А3	В3		В3	C3

A1	В2	C1
A2	В3	C3
А3	В3	C3

#### NATURAL JOIN (from Date)

- Easily the most important join operation.
  - So much so that the unqualified term "join" is taken almost invariably to mean the natural join specifically.

### JOIN

A1	В2		B1	C1
A2	В3	and	B2	C1
A3	В3		В3	C3

A1	В2	C1
A2	В3	C3
A3	В3	C3

NATURAL JOIN Example

```
\Pi_{\text{account\_id}}(\mathbf{O}_{\text{cust\_id} = \text{ct\_cust\_id}}(\sigma_{\text{avail\_balance} > 1000}(\text{custOMER\_ACCOUNT\_BALANCES}) \\ \times \rho_{\text{CTYPES(ct\_cust\_id)}}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd} = \text{"I"}}(\text{custOMER\_TYPES})))))
```

NATURAL JOIN Example

```
\Pi_{\text{account\_id}}(\mathbf{O}_{\text{cust\_id} = \text{ct\_cust\_id}}(\sigma_{\text{avail\_balance} > 1000}(\text{CUSTOMER\_ACCOUNT\_BALANCES}) \times \rho_{\text{CTYPES(ct\_cust\_id})}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd} = \text{"I"}}(\text{CUSTOMER\_TYPES})))))
```

#### **BECOMES**

NATURAL JOIN Example

$$\Pi_{\text{account\_id}}(\mathbf{O}_{\text{cust\_id} = \text{ct\_cust\_id}}(\sigma_{\text{avail\_balance} > 1000}(\text{CUSTOMER\_ACCOUNT\_BALANCES}) \\ \times \rho_{\text{CTYPES(ct\_cust\_id)}}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd} = \text{"I"}}(\text{custOMER\_TYPES})))))$$

#### BECOMES

Π<sub>account\_id</sub>(σ<sub>(avail\_balance > 1000)</sub> AND (cust\_type\_cd = "|")(CUSTOMER\_ACCOUNT\_BALANCES CUSTOMER\_TYPES))

NATURAL JOIN Example

```
Customer_types)
```

**NATURAL JOIN** 

CUSTOMER\_ACCOUNT\_BALANCES X CUSTOMER\_TYPES

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500.00
1	3	3000.00
2	4	2258.02
2	5	200.00
3	7	1057.75
3	8	2212.50
4	10	534.12
4	11	767.77
4	12	5487.09
5	13	2237.97
6	14	122.37
6	15	10000.00
7	17	5000.00
8	18	3487.19
8	19	387.99
9	21	125.67
9	22	9345.55
9	23	1500.00
10	24	23575.12
10	25	0.00
11	27	9345.55
12	28	38552.05
13	29	50000.00

CUSTOMER_TYPES		
cust_id	cust_type_cd	
1	1	
2	- I	
3	T.	
4	- 1	
5	T.	
6	1	
7	1	
8	1	
9	- I	
10	В	
11	В	
12	В	

NATURAL JOIN

CUSTOMER\_ACCOUNT\_BALANCES CUSTOMER\_TYPES

Note that we no longer need to rename attributes, as we did when using taking the Cartesian Product.

CUSTOMER_ACCOUNT_BALANCES		
cust_id	account_id	avail_balance
1	1	1057.75
1	2	500.00
1	3	3000.00
2	4	2258.02
2	5	200.00
	7	1057.75
3	8	2212.50
4	10	534.12
4	11	767.77
4	12	5487.09
5	13	2237.97
6	14	122.37
6	15	10000.00
7	17	5000.00
8	18	3487.19
8	19	387.99
9	21	125.67
9	22	9345.55
9	23	1500.00
10	24	23575.12
10	25	0.00
11	27	9345.55
12	28	38552.05
13	29	50000.00

CUSTOMER_TYPES		
cust_id	cust_type_cd	
1	1	
2	1	
3	1	
4	1	
5	1	
6	1	
7	1	
8	1	
9	1	
10	В	
11	В	
12	В	

NATURAL JOIN

CUSTOMER\_ACCOUNT\_BALANCES X CUSTOMER\_TYPES

cust_id	account_id	avail_balance	cust_type_cd
1	1	1057.75	1
1	2	500.00	1
1	3	3000.00	1
2	4	2258.02	1
2	5	200.00	1
3	7	1057.75	1
3	8	2212.50	1
4	10	534.12	1
4	11	767.77	1
4	12	5487.09	1
5	13	2237.97	1
6	14	122.37	1
6	15	10000.00	1
7	17	5000.00	1
8	18	3487.19	1
8	19	387.99	1
9	21	125.67	1
9	22	9345.55	1
9	23	1500.00	1
10	24	23575.12	В
10	25	0.00	В
11	27	9345.55	В
12	28	38552.05	В
13	29	50000.00	В

**NATURAL JOIN** 

cust_id	account_id	avail_balance	cust_t	type_cd
1	1	1057.75		1
1	2	500.00	1	
1	3	3000.00		1
2	4	2258.02		1
2	5	200.00	- 1	
3	7	1057.75		1
3	8	2212.50		1
4	10	534.12	- 1	
4	11	767.77	- 1	
4	12	5487.09		1
5	13	2237.97		1
6	14	122.37	- 1	
6	15	10000.00	- 1	
7	17	5000.00		1
8	18	3487.19		
8	19	387.99	- 1	
9	21	125.67	- 1	
9	22	9345.55		
9	23	1500.00		
10	24	23575.12	В	
10	25	0.00	В	
11	27	9345.55		В
12	28	38552.05	В	
13	29	50000.00	В	

**NATURAL JOIN** 

cust_id	account_id	avail_balance	cust_type_cd
1	1	1057.75	1
			1
1	3	3000.00	1
2	4	2258.02	1
			1
3	7	1057.75	1
3	8	2212.50	1
			l l
			1
4	12	5487.09	I
5	13	2237.97	I
			1
6	15	10000.00	
7	17	5000.00	1
8	18	3487.19	1
			1
9	22	9345.55	1
9	23	1500.00	1
			В
			В
			В
			В
			В

cust_id	account_id	avail_balance	cust_type_cd
1	1	1057.75	1
1	3	3000.00	1
2	4	2258.02	1
3	7	1057.75	1
3	8	2212.50	1
4	12	5487.09	1
5	13	2237.97	1
6	15	10000.00	1
7	17	5000.00	1
8	18	3487.19	1
9	22	9345.55	1
9	23	1500.00	1

cust_id	account_id	avail_balance	cust_type_cd
1	1	1057.75	1
1	3	3000.00	1
2	4	2258.02	1
3	7	1057.75	1
3	8	2212.50	1
4	12	5487.09	1
5	13	2237.97	1
6	15	10000.00	1
7	17	5000.00	1
8	18	3487.19	1
9	22	9345.55	1
9	23	1500.00	1

cust_id	account_id	avail_balance	cust_type_cd
1 1 2 3 3 4 5 6 7 8 9	1 3 4 7 8 12 13 15 17 18 22 23	1057.75 3000.00 2258.02 1057.75 2212.50 5487.09 2237.97 10000.00 5000.00 3487.19 9345.55 1500.00	

```
1 3 4 7 8 12 13 15 17 18 22 23
```

**NATURAL JOIN** 

```
Π<sub>account_id</sub>(O<sub>(avail_balance > 1000) AND (cust_type_cd = "|")</sub> CUSTOMER_ACCOUNT_BALANCES ⋈ CUSTOMER_TYPES))
```

 $\Pi_{\text{account\_id}}(\sigma_{\text{cust\_id} = \text{ct\_cust\_id}}(\sigma_{\text{avail\_balance} > 1000}(\text{CUSTOMER\_ACCOUNT\_BALANCES}) \times \rho_{\text{CTYPES(ct\_cust\_id)}}(\Pi_{\text{cust\_id}}(\sigma_{\text{cust\_type\_cd} = \text{"I"}}(\text{CUSTOMER\_TYPES})))))$ 

account\_id

**NATURAL JOIN** 

### **Requested Predicate:**

Employee with first name fname and

last name Iname works in

the department named dname.

DEPARTMENT		
dept_id name		
1	Operations	
2	Loans	
3	Administration	

EMPLOYEE			
fname Iname		dept_id	
Michael	Smith	3	
Susan	Barker	3	
Robert	Tyler	3	
Susan	Hawthorne	1	
John	Gooding	2	
Helen	Fleming	1	
Chris	Tucker	1	
Sarah	Parker	1	
Jane	Grossman	1	
Paula	Roberts	1	
Thomas	Ziegler	1	

NATURAL JOIN

### Requested Predicate:

Employee with

first name fname and
last name Iname works in
the department named dname.

We take the NATURAL JOIN of the two relations:

**EMPLOYEE** ⋈ **DEPARTMENT** 

DEPARTMENT		
dept_id name		
1	Operations	
2	Loans	
3	Administration	

EMPLOYEE				
Iname	dept_id			
Smith	3			
Barker	3			
Tyler	3			
Hawthorne	1			
Gooding	2			
Fleming	1			
Tucker	1			
Parker	1			
Grossman	1			
Roberts	1			
Ziegler	1			
	Iname Smith Barker Tyler Hawthorne Gooding Fleming Tucker Parker Grossman Roberts			

NATURAL JOIN

### **Requested Predicate:**

Employee with

first name fname and
last name Iname works in
the department named dname.

We take the NATURAL JOIN of the two relations:

**EMPLOYEE** ⋈ **DEPARTMENT** 

fname	Iname	dept_id	name
Michael	Smith	3	Administration
Susan	Barker	3	Administration
Robert	Tyler	3	Administration
Susan	Hawthorne	1	Operations
John	Gooding	2	Loans
Helen	Fleming	1	Operations
Chris	Tucker	1	Operations
Sarah	Parker	1	Operations
Jane	Grossman	1	Operations
Paula	Roberts	1	Operations
Thomas	Ziegler	1	Operations

NATURAL JOIN

Requested Predicate:

Employee with

first name fname and

last name Iname works in
the department named dname.

We don't need the dept\_id attribute:

T fname lname name (EMPLOYEE 🔀 DEPARTMENT)

fname	Iname	dept_id	name
Michael	Smith	3	Administration
Susan	Barker	3	Administration
Robert	Tyler	3	Administration
Susan	Hawthorne	1	Operations
John	Gooding	2	Loans
Helen	Fleming	1	Operations
Chris	Tucker	1	Operations
Sarah	Parker	1	Operations
Jane	Grossman	1	Operations
Paula	Roberts	1	Operations
Thomas	Ziegler	1	Operations

NATURAL JOIN

### Requested Predicate:

Employee with

first name fname and

last name Iname works in
the department named dname.

#### We don't need the dept\_id attribute:

T fname lname name (EMPLOYEE 🔀 DEPARTMENT)

fname	Iname	dept_id	name
Michael	Smith	3	Administration
Susan	Barker	3	Administration
Robert	Tyler	3	Administration
Susan	Hawthorne	1	Operations
John	Gooding	2	Loans
Helen	Fleming	1	Operations
Chris	Tucker	1	Operations
Sarah	Parker	1	Operations
Jane	Grossman	1	Operations
Paula	Roberts	1	Operations
Thomas	Ziegler	1	Operations

NATURAL JOIN

#### Requested Predicate:

Employee with

first name fname and

last name Iname works in

the department named dname.

#### We don't need the dept\_id attribute:

∏ fname.lname.name (EMPLOYEE ⋈ DEPARTMENT)

fname	Iname	name
Michael	Smith	Administration
Susan	Barker	Administration
Robert	Tyler	Administration
Susan	Hawthorne	Operations
John	Gooding	Loans
Helen	Fleming	Operations
Chris	Tucker	Operations
Sarah	Parker	Operations
Jane	Grossman	Operations
Paula	Roberts	Operations
Thomas	Ziegler	Operations

NATURAL JOIN

### Requested Predicate:

Employee with

first name fname and

last name Iname works in

the department named dname.

Finally, we rename the attribute "name" to "dname":

fname	Iname	name
Michael	Smith	Administration
Susan	Barker	Administration
Robert	Tyler	Administration
Susan	Hawthorne	Operations
John	Gooding	Loans
Helen	Fleming	Operations
Chris	Tucker	Operations
Sarah	Parker	Operations
Jane	Grossman	Operations
Paula	Roberts	Operations
Thomas	Ziegler	Operations

 $\rho_{\text{EMP\_DEPT(fname,lname,dname)}}(\Pi_{\text{fname,lname,name}})$ 

(EMPLOYEE ⋈ DEPARTMENT)

NATURAL JOIN

Requested Predicate:

Employee with

first name fname and

last name Iname works in

the department named dname.

fname Michael

Smith Ad

**EMP DEPT** 

Iname

Barker

Tyler

Fleming

Tucker

Parker

Grossman

Roberts

Administration

Administration

Administration

dname

Robert Susan John

Helen

Chris

Sarah

Jane

Paula

Susan

Hawthorne Operations

Gooding Loans

Loans
Operations
Operations
Operations

Operations

Operations

Operations

Thomas Ziegler Oper
me,name (EMPLOYEE ⋈ DEPARTMENT))

#### THETA JOIN (from Silbershatz)

The theta join operation is a variant of the natural join operation that allows us
to combine a selection and a Cartesian product into a single operation.

$$r\bowtie_{\theta} s = \sigma_{\theta}(r \times s)$$

Where  $\boldsymbol{\theta}$  is a predicate on the attributes in the set union of the schemas of r and s.

THETA JOIN (from Stack Overflow "Difference between a theta join, equijoin and natural join")

- A theta join allows for arbitrary comparison relationships (such as ≥).
- An equijoin is a theta join using the equality operator.
- A natural join is an equijoin on attributes that have the same name in each relationship.

THETA JOIN (from Stack Overflow "Difference between a theta join, equijoin and natural join")

- **Natural Join** = the join is made on all columns with the same name; it removes duplicate columns from the result, as opposed to all other joins.
- Theta Join = this is the general join everybody uses because it allows you to specify the condition (the ON clause in SQL). You can join on pretty much any condition you like, for example on Products that have the first 2 letters similar, or that have a different price. In practice, this is rarely the case in 95% of the cases you will join on an equality condition, which leads us to:
- Equi Join = the most common one used in practice. Theta Join using only the equality operator.
- Non-equi Join = when you join on a condition other than "=".

THETA JOIN (from Stack Overflow "Difference between a theta join, equijoin and natural join")

- **Natural Join** = the join is made on all columns with the same name; it removes duplicate columns from the result, as opposed to all other joins.
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- Equi Join = the most common one used in practice. Theta Join using only the equality operator.
- Non-equi Join = when you join on a condition other than "=".

Equi Join and Non-equi Join are subsets of the general theta join.

**Natural Join** is also a theta join but the condition (the theta) is implicit.

THETA JOIN

### **BECOMES**

```
Taccount_id (CUSTOMER_ACCOUNT_BALANCES (avail_balance > 1000) AND (cust_type_cd = "I") CUSTOMER_TYPES)
```

THETA JOIN

```
Taccount_id (CUSTOMER_ACCOUNT_BALANCES (avail_balance > 1000) AND (cust_type_cd = "I") CUSTOMER_TYPES))
```

### THETA JOIN Example

#### Requested Predicate:

Employee ID **emp\_id** belongs to an employee that started at the bank before their supervisor.

ЕМР			
emp_id	start_date	sup_emp_id	
1	2001-06-22	null	
2	2002-09-12	1	
3	2000-02-09	1	
4	2002-04-24	3	
5	2003-11-14	4	
6	2004-03-17	4	
7	2004-09-15	6	
8	2002-12-02	6	
9	2002-05-03	6	
10	2002-07-27	4	
11	2000-10-23	10	

### THETA JOIN Example

Requested Predicate:

Employee ID **emp\_id** belongs to an employee that started at the bank before their supervisor.

### First, let's RENAME the relation:

ρ<sub>sub</sub> (EMP)

ρ<sub>sup</sub> (EMP)

ЕМР			
emp_id	start_date	sup_emp_id	
1	2001-06-22	null	
2	2002-09-12	1	
3	2000-02-09	1	
4	2002-04-24	3	
5	2003-11-14	4	
6	2004-03-17	4	
7	2004-09-15	6	
8	2002-12-02	6	
9	2002-05-03	6	
10	2002-07-27	4	
11	2000-10-23	10	

### THETA JOIN Example

Requested Predicate:

Employee ID **emp\_id** belongs to an employee that started at the bank before their supervisor.

### First, let's RENAME the relation:

ρ<sub>sub</sub> (EMP

ρ<sub>sup</sub> (EMP)

SUB		
emp_id	start_date	sup_emp_id
1	2001-06-22	null
2	2002-09-12	1
3	2000-02-09	1
4	2002-04-24	3
5	2003-11-14	4
6	2004-03-17	4
7	2004-09-15	6
8	2002-12-02	6
9	2002-05-03	6
10	2002-07-27	4
11	2000-10-23	10

		SUP	
	emp_id	start_date	sup_emp_id
	1	2001-06-22	null
	2	2002-09-12	1
	3	2000-02-09	1
	4	2002-04-24	3
	5	2003-11-14	4
	6	2004-03-17	4
	7	2004-09-15	6
	8	2002-12-02	6
	9	2002-05-03	6
	10	2002-07-27	4
	11	2000-10-23	10

### THETA JOIN Example

Requested Predicate:

Employee ID **emp\_id** belongs to an employee that started at the bank before their supervisor.

Now we can do the theta join:

emp_id	start_date	sup_emp_id	emp
1	2001-06-22	null	1
2	2002-09-12	1	2
3	2000-02-09	1	3
4	2002-04-24	3	4
5	2003-11-14	4	5
6	2004-03-17	4	6
7	2004-09-15	6	7
8	2002-12-02	6	8
9	2002-05-03	6	9
10	2002-07-27	4	10
11	2000-10-23	10	1
LIV ANID	/- 111	1.4	

	SUP	
emp_id	start_date	sup_emp_id
1	2001-06-22	null
2	2002-09-12	1
3	2000-02-09	1
4	2002-04-24	3
5	2003-11-14	4
6	2004-03-17	4
7	2004-09-15	6
8	2002-12-02	6
9	2002-05-03	6
10	2002-07-27	4
11	2000-10-23	10
tart_date)) P sup (EMP)		

#### THETA JOIN Example

Requested Predicate:

Employee ID emp\_id belongs to an employee that started at the bank before their supervisor.

sub.emp_id	sub.start_date	sub.superior_emp_id	sup.emp_id	sup.start_date	sup.superior_emp_i d
3	2000-02-09	1	1	2001-06-22	null
8	2002-12-02	6	6	2004-03-17	4
9	2002-05-03	6	6	2004-03-17	4
11	2000-10-23	10	10	2002-07-27	4
13	2000-05-11	4	4	2002-04-24	3
16	2001-03-15	4	4	2002-04-24	3

Now we can do the theta join:

### THETA JOIN Example

Requested Predicate:

Employee ID emp\_id belongs to an employee that started at the bank before their supervisor.

sub.emp_id	sub.start_date	sub.superior_emp_id	sup.emp_id	sup.start_date	sup.superior_emp_i d
3	2000-02-09	1	1	2001-06-22	null
8	2002-12-02	6	6	2004-03-17	4
9	2002-05-03	6	6	2004-03-17	4
11	2000-10-23	10	10	2002-07-27	4
13	2000-05-11	4	4	2002-04-24	3
16	2001-03-15	4	4	2002-04-24	3

### We can now PROJECT on sub.emp\_id:

$$\Pi_{\text{sub.emp\_id}}$$
 ( $\rho_{\text{sub}}$  (EMP)  $\bowtie$  ((sub.sup\_emp\_id = sup.emp\_id) AND (sub.start\_date < sup.start\_date))  $\rho_{\text{sup}}$  (EMP))

### THETA JOIN Example

Requested Predicate:

Employee ID **emp\_id** belongs to an employee that started at the bank before their supervisor.

sub.emp_id
3
8
9
11
13
16

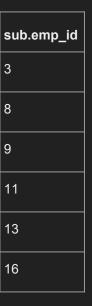
We can now PROJECT on sub.emp\_id:

$$\Pi_{\text{sub.emp\_id}}$$
 ( $\rho_{\text{sub}}$  (EMP)  $\bowtie$  ((sub.sup\_emp\_id = sup.emp\_id) AND (sub.start\_date < sup.start\_date))  $\rho_{\text{sup}}$  (EMP))

### THETA JOIN Example

#### Requested Predicate:

Employee ID **emp\_id** belongs to an employee that started at the bank before their supervisor.



### And we finish up with a RENAME:

$$\rho_{\text{EMP\_BEFORE\_SUP(emp\_id)}}(\Pi_{\text{sub.emp\_id}}(\rho_{\text{sub}}(\text{EMP}))))$$

### THETA JOIN Example

#### Requested Predicate:

Employee ID **emp\_id** belongs to an employee that started at the bank before their supervisor.

EMP_BEFORE_SUP
emp_id
3
8
9
11
13
16

### And we finish up with a RENAME:

$$\rho_{\text{EMP\_BEFORE\_SUP(emp\_id)}}(\Pi_{\text{sub.emp\_id}}(\rho_{\text{sub}}(\text{EMP})\bowtie_{\text{((sub.sup\_emp\_id = sup.emp\_id) AND (sub.start\_date < sup.start\_date))}}\rho_{\text{sup}}(\text{EMP})))$$

The **OUTER JOIN** operations (from Silbershatz)

- The outer-join operation is an extension of the join operation to deal with missing information.
- Some tuples in either or both of the relations being joined could be "lost" when the join condition is not met.
- The outer join operation works in a manner similar to the natural join operation, but preserves those tuples that would be lost in a join by creating tuples in the result containing null values.

#### NULLS (from Silbershatz)

- A null value indicates that the value does not exist (or is not known)
- An unknown value may be either
  - Missing (the value exists, but we do not have it)
  - Not known (we do not know whether or not the value actually exists)
- Null values are difficult to handle, and it is preferable not to resort to them.

### (from Date)

 Nulls--and the entire theory of three-valued logic on which they are based--are fundamentally misguided and have no place in a clean formal system such as the relational model is intended to be.

### NULLS (from Silbershatz)

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  - Not known (we do not know whether or not the value actually exists)
- Null values are difficult to handle, and it is preferable not to resort to them.

### (from Date)

We will cover three-valued logic, and how to deal with nulls, in the next lecture.

 Nulls--and the entire theory of three-valued logic on which they are based--are fundamentally misguided and have no place in a clean formal system such as the relational model is intended to be.

The **OUTER JOIN** operations (from Silbershatz)

There are three forms of the outer-join operation:

Left outer join



Right outer join



Full outer join



The **OUTER JOIN** operations (from Silbershatz)

There are three forms of the outer-join operation:

Left outer join



o Right outer join



Full outer join



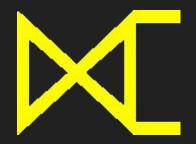
All three forms of outer join compute the join and add extra tuples to the result of the join.



#### **Left outer join** (from Silbershatz)

- Takes all tuples in the left relation that did not match with any tuple in the right position
- pads the tuples with null values for all other attributes from the right relation
- adds them to the result of the join.

All information from the left relation is present in the result of the left outer join.



#### **Right outer join** (from Silbershatz)

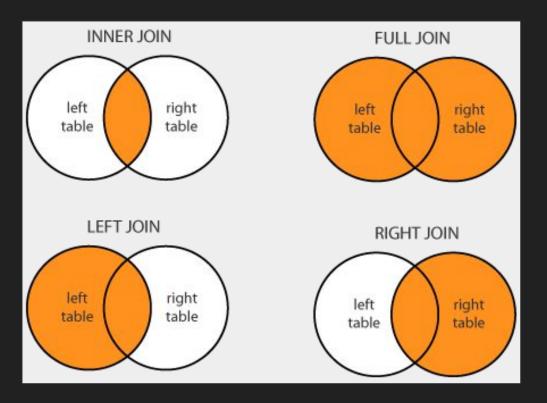
- Takes all tuples in the right relation that did not match with any tuple in the left position
- pads the tuples with null values for all other attributes from the left relation
- adds them to the result of the join.

All information from the right relation is present in the result of the left outer join.

### Full outer join (from Silbershatz)

- Does both the left and right outer join operations
- pads tuples from the left relation that did not match any from the right relation.
- pads tuples from the right relation that did not match any from the left relation.
- adds the padded tuples to the result of the join.

All information from both relations is present in the result of the full outer join.





Left outer join Example

Requested Predicate:

Branch named **name** is in city **city** along with the business with customer ID **cust\_id** 

BRANCH_NAME_CITY		
name	city	
Headquarters	Waltham	
Woburn Branch	Woburn	
Quincy Branch	Quincy	
So. NH Branch	Salem	

BUSINESS_CUST_CITY		
cust_id	city	
10	Salem	
11	Wilmington	
12	Salem	
13	Quincy	



Left outer join Example

Requested Predicate:

Branch named **name** is in city **city** along with the business with customer ID **cust\_id** 

BRANCH_NAME_CITY		
name	city	
Headquarters	Waltham	
Woburn Branch	Woburn	
Quincy Branch	Quincy	
So. NH Branch	Salem	

BUSINESS_CUST_CITY	
cust_id	city
10	Salem
11	Wilmington
12	Salem
13	Quincy



Left outer join Example

Requested Predicate:

Branch named **name** is in city **city** along with the business with customer ID **cust\_id** 

name	city	cust_id
Headquarters	Waltham	null
Woburn Branch	Woburn	null
Quincy Branch	Quincy	13
So. NH Branch	Salem	10
So. NH Branch	Salem	12

BRANCH\_NAME\_CITY BRANCH\_NAME\_CITY



Right outer join Example

#### Requested Predicate:

Business with customer ID **cust\_id** is in city **city** along with the branch named **name** 

BRANCH_NAME_CITY		
name	city	
Headquarters	Waltham	
Woburn Branch	Woburn	
Quincy Branch	Quincy	
So. NH Branch	Salem	

BUSINESS_CUST_CITY		
cust_id	city	
10	Salem	
11	Wilmington	
12	Salem	
13	Quincy	



Right outer join Example

#### Requested Predicate:

Business with customer ID **cust\_id** is in city **city** along with the branch named **name** 

BRANCH_NAME_CITY		
name	city	
Headquarters	Waltham	
Woburn Branch	Woburn	
Quincy Branch	Quincy	
So. NH Branch	Salem	

BUSINESS_CUST_CITY	
cust_id	city
10	Salem
11	Wilmington
12	Salem
13	Quincy



Right outer join Example

#### Requested Predicate:

Business with customer ID **cust\_id** is in city **city** along with the branch named **name** 

name	cust_id	city
So. NH Branch	10	Salem
null	11	Wilmington
So. NH Branch	12	Salem
Quincy Branch	13	Quincy



Full outer join Example

#### Requested Predicate:

City **city** is home to the branch named **name** and to the business with customer ID **cust\_id** 

BRANCH_NAME_CITY		
name city		
Headquarters	Waltham	
Woburn Branch	Woburn	
Quincy Branch	Quincy	
So. NH Branch	Salem	

BUSINESS_CUST_CITY		
cust_id	city	
10	Salem	
11	Wilmington	
12	Salem	
13	Quincy	



Full outer join Example

Requested Predicate:

City **city** is home to the branch named **name** and to the business with customer ID **cust\_id** 

BRANCH_NAME_CITY	
name	city
Headquarters	Waltham
Woburn Branch	Woburn
Quincy Branch	Quincy
So. NH Branch	Salem

BUSINESS_CUST_CITY	
cust_id	city
10	Salem
11	Wilmington
12	Salem
13	Quincy



Full outer join Example

Requested Predicate:

City **city** is home to the branch named **name** and to the business with customer ID **cust\_id** 

name	city	cust_id
Headquarters	Waltham	null
Woburn Branch	Woburn	null
Quincy Branch	Quincy	13
So. NH Branch	Salem	10
So. NH Branch	Salem	12
null	Wilmington	11

BRANCH\_NAME\_CITY BRANCH\_NAME\_CITY



Full outer join Example

Requested Predicate:

City **city** is home to the branch named **name** and to the business with customer ID **cust\_id** 

name	city	cust_id
Headquarters	Waltham	null
Woburn Branch	Woburn	null
Quincy Branch	Quincy	13
So. NH Branch	Salem	10
So. NH Branch	Salem	12
null	Wilmington	11

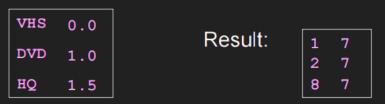


Note: the above output relation attribute scheme is from Postgres. RelaX outputs two columns for city.

### Relational Algebra: Division

```
T = \pi_{format, movieID} Tape(id, format, movieId) F = \pi_{format} Format(format, extra_Ch))
```

```
1 VHS 7
2 DVD 7
4 VHS 55
5 VHS 1
8 HQ 7
11 VHS 25
17 DVD 25
```



Find movies which are available in all formats

#### Relational Division

Informally T . /. F is the set of all tuples r of T projected on attributes not belonging to F such that  $\{(r)\}\ X\ F \subseteq T$ 

### Relational Algebra: an operator based on table predicates

#### Relational Division T . /. F

- Simulates universal quantifier for finite sets
- Signature of T ./. F is D =  $\Sigma(T) \setminus \Sigma(F)$

T ./. 
$$F = \{ t' \mid t' \in \pi_D (T) \land (\forall s \in F) (\exists t \in T) \pi'_{\Sigma(F)}(t) = s \land \pi'_D (t) = t' \}$$

 $\pi$ ' denotes the projection of a row as opposed to  $\pi$ , which is defined on tables.

```
\pi_D (T) = {(7), (55), (1), (25)}
F = {VHS,DVD, HQ}
let t' be (55), for s = (DVD) there is
no tuple (DVD, 55) in T. t' = (7) is the only one which qualifies
```

### Relational Algebra Division

T ./. F may be defined in terms of other relational operators

T ./. 
$$F = \pi_D(T) \setminus (\pi_D(\pi_D(T) \times F) \setminus T)$$

The "missing" tuples of T

Building the complement

$$D = \Sigma(T) \setminus \Sigma(F)$$

**Proof: Assignment** 

Property of relational division:

Let D = 
$$\Sigma(T) \setminus \Sigma(F)$$
,

if D contains the key of T and |F| > 1 then T ./.  $F = \emptyset$ 



**DIVISION** Example

Requested Predicate:

Postal code **postal\_code** has customers of each customer type.



postal_code_cust_type	
postal_code	cust_type_cd
01940	I
01801	I
02169	I
02451	ı
03079	I
01887	ı
02458	I
03079	В
01887	В
02169	В

cust_type
cust_type_cd
I
В



**DIVISION** Example

Requested Predicate:

Postal code **postal\_code** has customers of each customer type.

postal\_code\_cust\_type ÷ cust\_type



postal_code_cust_type	
postal_code	cust_type_cd
01940	I
01801	I
02169	I
02451	I
03079	I
01887	I
02458	I
03079	В
01887	В
02169	В

cust_type	
cust_type_cd	
T.	
В	



**DIVISION** Example

Requested Predicate:

Postal code **postal\_code** has customers of each customer type.

postal\_code\_cust\_type ÷ cust\_type



postal_code_cust_type	
postal_code	cust_type_cd
01940	1
01801	1
02169	1
02451	1
03079	1
01887	1
02458	1
03079	В
01887	В
02169	В

cust_type	
cust_type_cd	
1	
В	



**DIVISION** Example

Requested Predicate:

Postal code **postal\_code** has customers of each customer type.

postal\_code\_cust\_type ÷ cust\_type

postal_code
02169
03079
01887

This completes the slides on the relational model and the algebra.

We will return to these concepts later in the semester, particularly when we discuss how data modeling techniques and the inner workings of relational database systems, such as the query optimizer.