

# Corten: Refinement Types for Imperative Languages with Ownership

Abschlusspräsentation Masterarbeit

Carsten Csiky | 26th Oktober 2022

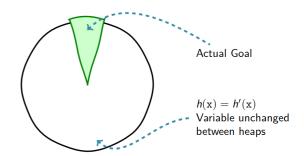
## Inhaltsverzeichnis



- 1. Motivation
- 2. Type System
- 3. Soundness Justification
- 4. Related Work
- 5. Conclusion / Future Work



```
public IntList square(IntList list) {
  return list.map(x -> x*x);
```





```
fn max(a: i32, b: i32) {
 if a > b { a } else { b }
```

Motivation 0000000 Type System

Soundness Justification 000

Related Work



```
fn max(a: i32, b: i32) {
  if a > b { a } else { b }
}
```

■ Return Value  $(v): v \ge a \land v \ge b$ 

Motivation

Type System

Soundness Justification

Related Work



```
fn max(a: i32, b: i32) {
 if a > b { a } else { b }
}
```

- Return Value  $(v): v \ge a \land v \ge b$
- Rondon et al. [RKJ08]: Refinement Types for Functional Programming Languages

Motivation 0000000 Type System

Soundness Justification

Related Work



```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
fn max(a: i32, b: i32) -> i32 {
  if a > b { a } else { b }
```

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```
//@ max(a: i32, b: i32) -> \{v:i32 | v >= a && v >= b \}
fn max(a: i32, b: i32) -> i32 {
  if a > b { a } else { b }
  let \Gamma = (a : \{v : i32 \mid true\}, b : \{v : i32 \mid true\}) and \tau = \{v : i32 \mid v \ge a \land v \ge b\}
```

$$\Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau$$

Type System

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Related Work



```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
fn max(a: i32, b: i32) -> i32 {
  if a > b { a } else { b }
   let \Gamma = (a : \{v : i32 \mid true\}, b : \{v : i32 \mid true\}) and \tau = \{v : i32 \mid v \ge a \land v \ge b\}
```

$$\Gamma$$
,  $a > b \vdash a : \tau$ 

$$\overline{\Gamma, \neg(a > b) \vdash b : \tau}$$

 $\Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau$ 

Motivation 00000000 Type System

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Related Work



```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
fn max(a: i32, b: i32) -> i32 {
  if a > b { a } else { b }
   let \Gamma = (a : \{v : i32 \mid true\}, b : \{v : i32 \mid true\}) and \tau = \{v : i32 \mid v \ge a \land v \ge b\}
```

$$\frac{\Gamma, a > b \vdash \{v : i32 \mid v = a\} \leq \tau}{\Gamma, a > b \vdash a : \tau} \qquad \frac{\Gamma, \neg(a > b) \vdash b : \tau}{\Gamma, \neg(a > b) \vdash b : \tau}$$

 $\Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau$ 

Motivation 00000000 Type System

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Related Work



```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
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                        *
    \Gamma, a > b \vdash a : \{v : i32 \mid v = a\} \Gamma, a > b \vdash \{v : i32 \mid v = a\} \prec \tau
                                         \Gamma. a > b \vdash a : \tau
                                                                                                          \Gamma, \neg (a > b) \vdash b : \tau
```

 $\Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau$ 

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Related Work



```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
fn max(a: i32, b: i32) -> i32 {
   if a > b { a } else { b }
    let \Gamma = (a : \{v : i32 \mid true\}, b : \{v : i32 \mid true\}) and \tau = \{v : i32 \mid v \ge a \land v \ge b\}
                                                               SMT-VALID \begin{pmatrix} \text{true } \land \text{ true } \land a > b \\ \land v \doteq a \\ \implies (v \geq a \land v \geq b) \end{pmatrix}
     \Gamma, a > b \vdash a : \{v : i32 \mid v = a\} \Gamma, a > b \vdash \{v : i32 \mid v = a\} \prec \tau
                                                   \Gamma. a > b \vdash a : \tau
                                                                                                                                   \Gamma, \neg (a > b) \vdash b : \tau
                                                           \Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau
```

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Soundness Justification

Related Work



```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
fn max(a: i32, b: i32) -> i32 {
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                                                              SMT-VALID \begin{pmatrix} \text{true} \land \text{true} \land a > b \\ \land v \doteq a \\ \implies (v \geq a \land v \geq b) \end{pmatrix}
     \Gamma, a > b \vdash a : \{v : i32 \mid v = a\} \Gamma, a > b \vdash \{v : i32 \mid v = a\} \prec \tau
                                                   \Gamma. a > b \vdash a : \tau
                                                                                                                                   \Gamma, \neg (a > b) \vdash b : \tau
                                                          \Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau
```

Motivation

Type System

Soundness Justification

Related Work



```
fn clamp(a: &mut i32, b: i32) {
  if *a > b { *a = b }
}
```

Motivation

Type System

 $\underset{\circ \circ \circ}{\text{Soundness Justification}}$ 

Related Work



```
fn clamp(a: &mut i32, b: i32) {
  if *a > b { *a = b }
  client(...) {
  . . .
  clamp(\&mut x, 5);
  clamp(&mut y, 6);
 print!(x);
  . . .
```

Motivation 00000000 Type System

Soundness Justification

Related Work



```
fn clamp(a: &mut i32, b: i32) {
   if *a > b { *a = b }
}
fn client(...) {
    ...
   clamp(&mut x, 5);
   clamp(&mut y, 6);
   print!(x);
   ...
}
```

What does this it print(x) output?

- In most imperative programming languages:
  - Could be: old x or 5

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Related Work



```
clamp(a: &mut i32, b: i32) {
if *a > b { *a = b }
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What does this it print(x) output?

- In most imperative programming languages:
  - Could be: old x or 5
  - But also 6 (if x aliases with y)!

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Related Work



```
clamp(a: &mut i32, b: i32) {
if *a > b { *a = b }
 client(...) {
. . .
clamp(\&mut x, 5);
clamp(&mut y, 6);
print!(x);
. . .
```

What does this it print(x) output?

- In most imperative programming languages:
  - Could be: old x or 5
  - But also 6 (if x aliases with y)!
- In Rust:
  - Just old x or 5
  - And nothing else!

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Related Work



```
clamp(a: &mut i32, b: i32) {
// borrows a
// owns b
if *a > b { *a = b }
// "returns" the borrow of a
 client(...) { // owns x, y
clamp(&mut x, 5); // lend x mutably
clamp(&mut y, 6); // lend y mutably
print!(x);
. . .
```

## Ownership in Rust: Mutability XOR Aliasing

Each lexical scope tracks permissions for visible memory objects. Possible Permission Levels:

- Owner (e.g. b)
  - can: read, write
  - transfer ownership (if no outstanding borrows)
- Mutable Reference (e.g. &mut x)
  - can: read, write
  - guarantee: no aliasing
- Immutable Reference (e.g. &v)
  - can: read, alias
  - guarantee: no mutation

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Soundness Justification

Related Work



#### Consequences:

- unique data owner
- no global, mutable state
- no cycles in memory structure

## Ownership in Rust: Mutability XOR Aliasing

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#### Consequences:

- unique data owner
- no global, mutable state
- no cycles in memory structure

#### Used for:

- safe non-gc memory management
- safe concurrency
- safe low-level hardware access

## Ownership in Rust: Mutability XOR Aliasing

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#### Consequences:

- unique data owner
- no global, mutable state
- no cycles in memory structure

#### Used for:

- safe non-gc memory management
- safe concurrency
- safe low-level hardware access
- ⇒ show: program verification as well

# Ownership in Rust: Mutability XOR Aliasing

Each lexical scope tracks permissions for visible memory objects. Possible Permission Levels:

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  - guarantee: no mutation

# Contributions



- Empirical Use-Case Analysis
- Refinement Type System
  - Automatic & Decidable Type Checking
  - Path Sensitivity
  - Mutable Data & References
  - Modularity
  - Partial, Mechanized Proof of Soundness
- Implementation
  - Accessible Interface
  - Type-Error Messages with Source Code Locations
  - Counter-Example Generation
- Evaluation
  - Automatic Verification of non-trivial Programs
  - Comparison to other tools

Motivation
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#### **Contributions**



- Empirical Use-Case Analysis
- Refinement Type System
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  - Automatic Verification of non-trivial Programs
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Motivation	Type System
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Soundness Justification

Related Work

- No Inference System
- Datatypes: Integers, Booleans and References

#### Overview



- Common Refinement Types
- Mutable Values
- Mutable References
- Function Calls
- Verification of clamp Example
- Demonstration

```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
  b: ty!{ b1: i32 }
) -> ty!{ v: () } {
  if *a > b {
      *a = b as ty!{r | (r <= b1)}; ()
  } else {};
fn client() -> ty!{ v: () } {
  let m = 42;
  clamp(&mut x, m);
  x as ty! \{ v : i32 | v < 43 \};
```





```
fn max(a: i32, b: i32) -> i32 {
  if a > b { a } else { b }
}
```

- Embedding using a Macro
- ty! $\{I: b \mid \varphi\}$  in place of a type





```
fn max(
 a: ty!{ av: i32 | true },
 b: ty!{ bv : i32 | true }
) -> ty!{ v : i32 | v >= av \&\& v >= bv } {
 if a > b { a } else { b }
```

- Embedding using a Macro
- ty! $\{I: b \mid \varphi\}$  in place of a type

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Related Work





```
fn max(
  a: ty!{ av: i32 },
  b: ty!{ bv : i32 }
) -> ty!{ v : i32 | v >= av \&\& v >= bv } {
  if a > b { a } else { b }
```

- Embedding using a Macro
- ty! $\{I: b \mid \varphi\}$  in place of a type



```
fn incr() -> ty!{ w : i32 | w > 0 } {
let mut i = ... as ty!{ v: i32 | v >= 0 };
i = i + 1:
```

- Types need to change through execution
  - ⇒ Type Updates
  - Γ ⊢ s  $\Rightarrow$  Γ' (Statement Type Checking)
  - Γ  $\vdash$  e :  $\tau$  (Expression Typing)

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```
fn incr() -> ty!{ w : i32 | w > 0 } {
let mut i = ... as ty!{ v: i32 | v >= 0 };
i = i + 1:
```

- Type of i after decrementing?
  - Naïve: ty!{ v : i32 | v = v + 1 }
- How to keep type context consistent?
  - separation of program-variables and logic-variables
  - Γ: association of program- to logic-variables and predicate
  - on assignment: replace association, append predicate
  - observation: assignments can not invalidate existing predicates



```
fn incr() -> ty!{ w : i32 | w > 0 } { // \Gamma_1 = (\{\}, \text{true}) let mut i = ... as ty!{ v: i32 | v >= 0}; // \Gamma_2 = (\{i \mapsto v\}, v > 0) i = i + 1; // \Gamma_3 = (\{i \mapsto v_2\}, v > 0 \land v_2 \doteq v + 1) i }
```

- Type of i after decrementing?
  - Naïve: ty!{ v : i32 | v = v + 1 }
- How to keep type context consistent?
  - separation of program-variables and logic-variables
  - Γ: association of program- to logic-variables and predicate
  - on assignment: replace association, append predicate
  - observation: assignments can not invalidate existing predicates



```
fn incr() -> ty! { w : i32 | w > 0 } {
  // \Gamma_1 = (\{\}, true)
  let mut i = ... as ty!\{ v: i32 | v >= 0\};
  // \Gamma_2 = (\{i \mapsto v\}, v > 0)
  i = i + 1:
  // \Gamma_3 = (\{i \mapsto v_2\}, v > 0 \land v_2 \doteq v + 1)
  i }
```

$$\begin{split} & \text{Intro-SuB} \; \frac{\Gamma \vdash e : \tau \qquad \Gamma \vdash \tau \preceq \tau'}{\Gamma \vdash e \; \text{as} \; \tau' : \tau'} \\ & \text{DECL} \; \frac{\Gamma \vdash e : \{\beta : b \mid \varphi\}}{\Gamma \vdash \text{let} \; x = e \Rightarrow \Gamma[x \mapsto \beta], \varphi} \end{split}$$



```
fn incr() -> ty! { w : i32 | w > 0 } {
  // \Gamma_1 = (\{\}, true)
  let mut i = ... as ty!\{ v: i32 | v >= 0\};
  // \Gamma_2 = (\{i \mapsto v\}, v > 0)
  i = i + 1:
  // \Gamma_3 = (\{i \mapsto v_2\}, v > 0 \land v_2 \doteq v + 1)
  i }
```

BINOP 
$$\frac{\Gamma \vdash \alpha \text{ fresh}}{\Gamma \vdash x_1 \odot x_2 : \{\alpha : b \mid \alpha \simeq [\![x_1 \odot x_2]\!]\Gamma\}}$$

$$\frac{\Gamma \vdash e : \{\beta : b \mid \varphi\}}{\Gamma \vdash x = e \Rightarrow \Gamma[x \mapsto \beta], \varphi}$$

Motivation

Type System 00000000 Soundness Justification

Related Work



```
fn incr() -> ty!{ w : i32 | w > 0 } { 

// \Gamma_1 = (\{\}, \text{true})

let mut i = ... as ty!{ v: i32 | v >= 0};

// \Gamma_2 = (\{i \mapsto v\}, v > 0)

i = i + 1;

// \Gamma_3 = (\{i \mapsto v_2\}, v > 0 \land v_2 \doteq v + 1)

i }
```

$$\text{SEQ} \ \frac{\Gamma \vdash s_1 \Rightarrow \Gamma' \qquad \Gamma' \vdash s_2 \Rightarrow \Gamma''}{\Gamma \vdash s_1; s_2 \Rightarrow \Gamma''}$$

Motivation

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Related Work





```
fn client() -> ty!{ v: i32 | v == 4 } {
 let mut a = 2; // a : \{v_1 : i32 \mid v_1 == 2\}
 let mut q = 3; // q : \{v_2 : i32 \mid v_2 == 3\}
 let mut b = &mut a; // b : \{v_3 : \&i32 \mid v_3 == \&a\}
 *b = 0:
           // changes a's value and type
 b = &mut q; // b : \{v_4 : \&i32 \mid v_4 == \&q\}
 *b = 4:
                      // changes q's value and type
 a
```

```
\mathsf{Lit} \, \frac{\Gamma \vdash \alpha \, \mathsf{fresh}}{\Gamma \vdash \nu : \{\alpha : b \mid \alpha \simeq \llbracket \nu \rrbracket \Gamma \}}
```

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Related Work





```
REF \frac{\Gamma \vdash \alpha \text{ fresh}}{\Gamma \vdash \&x : \{\alpha : \&b \mid \alpha \simeq \llbracket\&x\rrbracket\Gamma\}}
```

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Soundness Justification

Related Work





```
fn client() -> ty!{ v: i32 | v == 4 } {
  let mut a = 2; // a : \{v_1 : i32 \mid v_1 == 2\}
                                                                                                            \Gamma(z) = \beta
  let mut q = 3; // q : \{v_2 : i32 \mid v_2 == 3\}
                                                                           Assign-Strong \frac{\Gamma \vdash x \in \{\&y\} \qquad \Gamma \vdash \gamma \text{ fresh}}{\Gamma \vdash *x = z \Rightarrow \Gamma[y \mapsto \gamma], \gamma \doteq \beta}
  let mut b = &mut a; // b : \{v_3 : \&i32 \mid v_3 == \&a\}
               // changes a's value and type
  *b = 0:
  b = \&mut q; // b : \{v_4 : \&i32 \mid v_4 == \&q\}
  *b = 4:
                               // changes q's value and type
                                                                            (Also Assign-Weak)
  a
```

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Related Work





```
fn client() -> ty!{ v: i32 | v == 4 } {
  let mut a = 2; // a : \{v_1 : i32 \mid v_1 == 2\}
  let mut q = 3; // q : \{v_2 : i32 \mid v_2 == 3\}
  let mut b = &mut a; // b : \{v_3 : \&i32 \mid v_3 == \&a\}
  *b = 0:
                        // changes a's value and type
                       // b : \{v_4 : \&i32 \mid v_4 == \&q\}
 b = \& mut q;
  *b = 4:
                        // changes q's value and type
  а
```

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Related Work





```
\mathsf{VAR} \ \frac{\Gamma \vdash \alpha \ \mathsf{fresh}}{\Gamma \vdash \mathsf{x} : \{\alpha : \mathsf{b} \mid \alpha \simeq [\![\mathsf{x}]\!] \Gamma\}}
```

Type System

Soundness Justification

Related Work





```
fn clamp(a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 }, b: ty!{ b1: i32 }) {</pre>
 if *a > b { *a = b }
fn client() -> ty!{ v: () } {
  . . .
  let max = 42;
  clamp(\&mut x, max);
  x as ty!\{ v : i32 | v < 43 \};
```

Type System 000000000

Soundness Justification

Related Work





```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
  b: ty!{ b1: i32 }
) {
  // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\},\
        v_1 \doteq \&arg_0 \land true \land true)
  if *a > b { *a = b }
  // \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\},
       v_2 < b_1 \wedge v_1 \doteq \&arg_0 \wedge true \wedge true
```

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Type System nnooo•000 Soundness Justification

Related Work





```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
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  // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\},\
        v_1 \doteq \&arg_0 \land true \land true
  if *a > b { *a = b }
  // \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\},
       v_2 < b_1 \land v_1 \doteq \&ara_0 \land true \land true)
```

- ty!  $\{\alpha : \mathbf{b} \mid \varphi \Rightarrow \beta \mid \psi\}$
- **a** Callee requires  $\varphi$  for reference destination  $\alpha$
- lacktriangle Callee ensures  $\psi$  for reference destination  $\beta$
- Of course, multiple arguments possible

Type System 000000000 Soundness Justification

Related Work





```
fn clamp(
    a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
    b: ty!{ b1: i32 }
) {
    // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\}, \\
    // v_1 \doteq \&arg_0 \land true \land true)
    if *a > b { *a = b }
    // <math>\Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\}, \\
    // v_2 \leq b_1 \land v_1 \doteq \&arg_0 \land true \land true)
}
```

Type System ○○○○○●○○○ Soundness Justification

Related Work





```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
  b: ty!{ b1: i32 }
) {
  // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\},\
        v_1 \doteq \&arg_0 \land true \land true)
  if *a > b { *a = b }
  // \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\},\
      v_2 < b_1 \wedge v_1 \doteq \&arg_0 \wedge true \wedge true
```

Type System nnooo•000 Soundness Justification

Related Work



```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
  b: ty!{ b1: i32 }
  // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\},\
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  if *a > b { *a = b }
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          v_2 < b_1 \land v_1 \doteq \&arg_0 \land true \land true
```

- still left: proof obligation from signature  $a_2 \leq b_1$
- i.e. is Γ<sub>2</sub> a valid end-state?

Motivation

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```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
  b: ty!{ b1: i32 }
  // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\},\
        v_1 \doteq \&arg_0 \land true \land true
  if *a > b { *a = b }
  // \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\},
          v_2 < b_1 \wedge v_1 \doteq \&arg_0 \wedge true \wedge true
```

- still left: proof obligation from signature  $a_2 \leq b_1$
- i.e. is Γ<sub>2</sub> a valid end-state?
- generalize notion of sub-types to context: sub-context



```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
  b: ty!{ b1: i32 }
  // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\},\
        v_1 \doteq \&arg_0 \land true \land true)
  if *a > b { *a = b }
  // \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\},\
          v_2 \leq b_1 \wedge v_1 \doteq \&arg_0 \wedge true \wedge true
```

- still left: proof obligation from signature  $a_2 \leq b_1$
- i.e. is Γ<sub>2</sub> a valid end-state?
- generalize notion of sub-types to context: sub-context
- expected state:

$$\Gamma_e = (\{arg_0 \mapsto a_2, b \mapsto b_1\}, a_2 \leq b_1)$$

Motivation

Type System

Soundness Justification

Related Work



```
fn clamp(
  a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 },
  b: ty!{ b1: i32 }
  // \Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\},\
        v_1 \doteq \&arg_0 \land true \land true
  if *a > b { *a = b }
  // \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\},\
          v_2 \leq b_1 \wedge v_1 \doteq \&arg_0 \wedge true \wedge true
```

- still left: proof obligation from signature  $a_2 \leq b_1$
- i.e. is Γ<sub>2</sub> a valid end-state?
- generalize notion of sub-types to context: sub-context
- expected state:

$$\Gamma_e = (\{arg_0 \mapsto a_2, b \mapsto b_1\}, a_2 \leq b_1)$$

• show:  $\Gamma_2 \prec \Gamma_2$ 

Motivation

Type System

Soundness Justification

Related Work



$$\vdash \Phi \to \Phi'[\mu'(x) \rhd \mu(x) \mid x \in \mathsf{dom}(\mu')] \\ \preceq \mathsf{-CTX} \ \frac{\mathsf{dom}(\mu') \subseteq \mathsf{dom}(\mu)}{(\mu, \Phi) \preceq (\mu', \Phi')}$$

- still left: proof obligation from signature  $a_2 \leq b_1$
- i.e. is Γ<sub>2</sub> a valid end-state?
- generalize notion of sub-types to context: sub-context
- expected state:  $\Gamma_e = (\{arg_0 \mapsto a_2, b \mapsto b_1\}, a_2 < b_1)$
- show:  $\Gamma_2 \prec \Gamma_e$

Motivation

Type System nnooo•000 Soundness Justification

Related Work

## **Mutable Calls**



```
fn client(...) -> ty!{ v: () } {
   . . .
  let m = 42:
  // \Gamma_1 = (\{x \mapsto v_1, m \mapsto v_2\}, \ldots \land v_2 \doteq 42)
  clamp(\&mut x, m);
  // \Gamma_2 = (\{x \mapsto v_3, m \mapsto v_2\}, \ldots \land v_2 \doteq 42 \land v_3 \leq 5)
  x as ty!\{ v : i32 | v < 43 \};
```

- append predicates from callee to context
- update association of logic variables

Motivation

Type System 000000000 Soundness Justification

Related Work

```
lib.rs

    Readme.md

src > 🔞 lib.rs > 🕤 client
         #![allow(dead code)]
         | · let · mut · x : i32 · = · 1337; · let · max : i32 · = · 42;
```

Motivation Type System Soundness Justification Related Work Conclusion / Future Work 00000000

```
let mut x: i32 = 1337; let max: i32 = 42;
```

Motivation Type System Soundness Justification Related Work Conclusion / Future Work 00000000

Type System

Soundness Justification

Related Work





```
; checking is_sub_context ...
(declare-datatypes () ((Unit unit)))
(declare-const |_0| Int)
(declare-const |r| Int)
(declare-const |a1| Int)
(declare-const |b1| Int)
(declare-const |a2| Int)

; ty!{ r : i32 | (r <= b1) }
(assert (<= |r| |b1|))

; ty!{ a1 : &mut i32 | true }
(assert true)

; ty!{ b1 : i32 | true }
(assert true)</pre>
```

```
; SuperCtx:
(assert (not (and
        (<= |r| |b1|)
       true
   )))
; checking: RContext {
      a : ty!{ _0 : \&mut i32 | _0 == \& arg (Ousize) }
     <dangling> : ty!{ a1 : &mut i32 | true }
     b : ty!{ b1 : i32 | true }
     <argument 0> : tv!{ r : i32 | (r <= b1) }</pre>
 <: RContext {
      <argument 0> : ty!{ a2 : &mut i32 | a2 <= b1 }</pre>
     b : ty!{ b1 : i32 | true }
(check-sat)
```

### **Soundness**



### **Progress**

If 
$$\Gamma \vdash s_1, \sigma : \Gamma \Rightarrow \Gamma_2$$
 and  $s_1 \neq \text{unit}$ , then there is a  $s_2$  and  $\sigma_2$  with  $\langle s_1 \mid \sigma_1 \rangle \leadsto \langle s_2 \mid \sigma_2 \rangle$ .

Corten strictly refines the base language, therefore progress depends on base type system.

#### Preservation

If 
$$\Gamma \vdash s \Rightarrow \Gamma_2$$
,  $\sigma : \Gamma$  and  $\langle s \mid \sigma \rangle \leadsto \langle s_1 \mid \sigma_1 \rangle$ , then there is a  $\Gamma_1$  with  $\Gamma_1 \vdash s_1 \Rightarrow \Gamma_2$  and  $\sigma_2 : \Gamma_2$ 

Stronger property than base language preservation: Show that refined types are preserved

Partial, Mechanized Proof in Lean 4

Motivation
00000000

### **State Conformance**



#### State Conformance $\sigma$ : $\Gamma$

A state  $\sigma$  is conformant with respect to a typing context  $\Gamma = (\mu, \Phi)$  (written as  $\sigma : \Gamma$ ), iff:

$$\Phi[\mu(x) \triangleright \llbracket \sigma(x) \rrbracket \mid x \in dom(\mu)]$$
 is satisfiable

I.e. a conformant type context does not contradict the execution state.

#### Examples:

- If  $\sigma: (\emptyset, \Phi)$  then  $\Phi$  is satisfiable
- If  $\sigma: (\mu, \Phi_1 \wedge \Phi_2)$  then  $\sigma: (\mu, \Phi_1)$  and  $\sigma: (\mu, \Phi_1)$ .
- If  $\sigma: (\mu, \Phi)$  and  $\mathsf{FV}(\Phi) \subseteq \mathsf{dom}(\mu)$ , then  $\models \Phi[\mu(x) \triangleright \llbracket \sigma(x) \rrbracket \mid x \in \mathsf{dom}(\mu)]$

Motivation

Type System

Soundness Justification

Related Work

# **Intermediate Steps**



### Conformance of Symbolic Execution

If  $\sigma : \Gamma$ ,  $\Gamma \vdash \alpha$  fresh then  $\sigma[x \mapsto \llbracket e \rrbracket \sigma] : \Gamma[x \mapsto \alpha], (\alpha \simeq \llbracket e \rrbracket \Gamma)$ 

where  $(\alpha \simeq \llbracket e \rrbracket \Gamma)$  is the symbolic execution of e equated with  $\alpha$  in context  $\Gamma$ 

#### Reference Predicates are Conservative

If  $\sigma : \Gamma$  and  $\Gamma \vdash *x \in \{y_1, \dots, y_n\}$  then  $\llbracket \sigma(x) \rrbracket = \& y_i$  for some  $i \in 1, \dots, n$ 

Rare case where conservative typing requires

#### Sub-Context Relation is Conservative

If  $\Gamma \prec \Gamma'$  and  $\sigma : \Gamma$  then  $\sigma : \Gamma'$ 

Motivation

Type System

Soundness Justification

Related Work

#### Related Work



#### Refinement Types and Mutability

- Rondon et al. [RKJ10], Bakst and Jhala [BJ16]: Refinement Types for C subset. Lack of guarantees requires ad-hoc mechanisms to control aliasing
- Lanzinger [Lan21]: Property Types in Java (only immutable). Bachmeier [Bac22]: Extension using Ownership System
- Toman et al. [Tom+20] (ConSORT): Fractional Ownership, strong and weak updates

#### **Rust verification**

- Ullrich [Ull16]: Translation to Lean; linear mutation chain. Denis et al [DJM21] similar, but to Why3
- Astrauskas et al. [Ast+19] (Prusti): heavy-weight verification, translation to separation logic (Viper)
- Matsushita et al. [MTK20] (RustHorn): constrained Horn clauses

Marchael Committee
Motivation
00000000

# Related Work: Flux – Refinement Types for Rust



- MIR vs. HIR
- specification in comments vs. embedding in types
- context inclusions vs. sub context
- distinction strong and weak references vs. dynamic choice by typ checking rules
- explicit introduction of logic variables vs. ad-hoc
- formalization based on RustBelt vs. formalization based on own language
- missing in Corten: records & inference
- otherwise: similar capabilities

```
// Flux
//@ ensures *self: i32<n+1>:
fn increment(&strg v : i32<n>) -> ()
//@ requires n > 0
//@ ensures *self: i32<n-1>:
fn decrement(&strg v : i32<n>) -> ()
// Corten
fn increment(n: &mut ty!{
  n1: Nat => n1 \mid n1 == n1+1 \}
) -> ();
fn decrement(n: &mut ty!{
  v1: Nat | v1 > 0 => v2 | v2 == v1-1 
) -> ();
```

#### **Future Work**



- Records & ADTs
  - More Syntax, Nested Structures
  - Variant Distinction
- Predicate Generics (Abstract Predicates)
  - Uninterpreted Functions in Types
  - Syntactic Embedding?
- Concurrency using Predicate Generics?
  - Use Predicate Generics
  - Predicate describes Contract for Mutation
  - Interesting, because unusual guarantees in Rust

### Conclusion



- Refinement Type System for Rust with Mutability
  - Decidable, Automatic
  - Complex Mutation Patterns
  - ..
- Minimal Interface
- Soundness Justification
- Practical Usability
  - Source Locations
  - Counter Example
  - IDE Integration

### Conclusion



- Refinement Type System for Rust with Mutability
  - Decidable, Automatic
  - Complex Mutation Patterns
  - ..
- Minimal Interface
- Soundness Justification
- Practical Usability
  - Source Locations
  - Counter Example
  - IDE Integration

#### More Information:

Implementation, Thesis, Mechanized Proof, Evaluation:

https://gitlab.com/csicar/liquidrust

Empirical Analysis:

https://gitlab.com/csicar/crates-analysis

<b>7/27</b> 26. 10. 2022	Carsten Csiky: Rust &	Refinement Types	Department of Informatics – Institute of Informatio Security and Dependability (KASTEL	
Motivation	Type System			

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- [2] Joshua Bachmeier. Property Types for Mutable Data Structures in Java. 2022. DOI: 10.5445/IR/1000150318. URL: https://publikationen.bibliothek.kit.edu/1000150318 (besucht am 03. 10. 2022).
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### Literatur II



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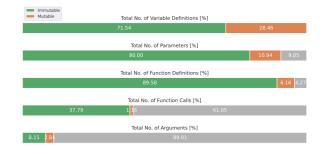
Empirical Analysis

Zweiter Abschnitt

# **Empirical Use-Case Analysis**



- public open-source code (crates.io)
- about 64 million lines of Rust code
- syntactical analysis



Empirical Analysis

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# decr Typing Tree



$$\operatorname{Intro-SuB} \frac{\Gamma[i \mapsto v_1], v > 0 \text{ and } \tau = \{v : \mathrm{i}32 \mid v > 0\}}{\Gamma_1 \vdash \ldots : \tau' \qquad \Gamma_1 \vdash \tau' \preceq \tau} \\ \operatorname{DECL} \frac{\Gamma[i \mapsto v_1], v > 0 \text{ and } \tau = \{v : \mathrm{i}32 \mid v > 0\}}{\Gamma_1 \vdash \ldots : \sigma' \qquad \Gamma_1 \vdash \tau' \preceq \tau} \\ \operatorname{Ass} \frac{\Gamma_1 \vdash v_2 \text{ fresh}}{\Gamma_1 \vdash i - 1 : \{v_2 : \mathrm{i}32 \mid v_2 \doteq v - 1\}} \\ \Gamma_1 \vdash \operatorname{let} \ i = \ldots \ \operatorname{as} \ \tau; \ i = i - 1 \Rightarrow \Gamma[i \mapsto v_2], v > 0, v_2 \doteq v - 1}$$

Empirical Analysis

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Expression Typing  $\Gamma \vdash e : \tau$ 

$$\begin{array}{c|c} \Gamma \vdash \alpha \text{ fresh} & \Gamma \vdash \alpha \text{ fresh} \\ \hline \Gamma \vdash \nu : \{\alpha : b \mid \alpha \simeq \llbracket \nu \rrbracket \Gamma \} & \text{BINOP} & \overline{\Gamma \vdash x_1 \odot x_2 : \{\alpha : b \mid \alpha \simeq \llbracket x_1 \odot x_2 \rrbracket \Gamma \}} \\ \hline \text{VAR} & \overline{\Gamma \vdash \alpha \text{ fresh}} & \text{INTRO-SUB} & \overline{\Gamma \vdash e : \tau \quad \Gamma \vdash \tau \preceq \tau'} \\ \hline \Gamma \vdash e \text{ as } \tau' : \tau' & \overline{\Gamma} \vdash e \text{ as } \tau' : \tau' \\ \hline \end{array}$$

Statement Type Checking  $\Gamma \vdash s \Rightarrow \Gamma'$ 

**Empirical Analysis** 

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### Expression Typing $\Gamma \vdash e : \tau$

$$\begin{aligned} & \text{Ref } \frac{\Gamma \vdash \alpha \text{ fresh}}{\Gamma \vdash \&x : \{\alpha : \&b \mid \alpha \simeq [\![\&x]\!]\Gamma\}} \\ & \text{Var-Deref } \frac{\Gamma \vdash x \in \{\&y\} \qquad \Gamma \vdash y : \tau}{\Gamma \vdash *x : \tau} \end{aligned}$$

Statement Type Checking  $\Gamma \vdash s \Rightarrow \Gamma'$ 

Assign-Strong 
$$\frac{\Gamma(z) = \beta \qquad \Gamma \vdash x \in \{\&y\} \qquad \Gamma \vdash \gamma \text{ fresh}}{\Gamma \vdash *x = z \Rightarrow \Gamma[y \mapsto \gamma], \gamma \doteq \beta}$$

Empirical Analysis

Zweiter Abschnitt

### Expression Typing $\Gamma \vdash e : \tau$

$$\begin{aligned} & \text{Ref} \ \frac{\Gamma \vdash \alpha \text{ fresh}}{\Gamma \vdash \&x : \{\alpha : \&b \mid \alpha \simeq [\![\&x]\!]\Gamma\}} \\ & \text{Var-Deref} \ \frac{\Gamma \vdash x \in \{\&y\} \qquad \Gamma \vdash y : \tau}{\Gamma \vdash *x : \tau} \end{aligned}$$

Statement Type Checking  $\Gamma \vdash s \Rightarrow \Gamma'$ 

$$\begin{aligned} & \text{Assign-Strong} \ \frac{\Gamma(z) = \beta \qquad \Gamma \vdash x \in \{\&y\} \qquad \Gamma \vdash \gamma \text{ fresh}}{\Gamma \vdash *x = z \Rightarrow \Gamma[y \mapsto \gamma], \gamma \doteq \beta} \\ & \frac{\Gamma \vdash e : \tau \qquad \Gamma \vdash x \in \{\&y_1, \dots, \&y_n\}}{\Gamma \vdash y_i : \{\beta_i : b_i \mid \varphi_i\}} \\ & \frac{\Gamma \vdash p_i : \{\beta_i : b_i \mid \varphi_i\}}{\Gamma \vdash *x = e \Rightarrow \Gamma} \end{aligned}$$

**Empirical Analysis** 

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```
struct Mutex<P, T> = ...;
impl Mutex<P, T> {
  fn lock() -> MutexLock<P, T> {
struct MutexLock<P, T> = ...;
impl MutexLock<P, T> {
  fn drop(self : ty!{ v : T | P v }) {
    . . .
```

**Empirical Analysis** 0000000

Zweiter Abschnitt 0000

## Blöcke in den KIT-Farben



Greenblock

Standard (block)

Blueblock

= exampleblock

Redblock

= alertblock

Brownblock

**Purpleblock** 

Cyanblock

Yellowblock

Lightgreenblock

Orangeblock

Grayblock

Contentblock

(farblos)

**Empirical Analysis** 

Zweiter Abschnitt

# **Auflistungen**



#### Text

- Auflistung Umbruch
- Auflistung
  - Auflistung
  - Auflistung

Bei Frames ohne Titel wird die Kopfzeile nicht angezeigt, und der freie Platz kann für Inhalte genutzt werden.

Empirical Analysis

OOOOOO

Zweiter Abschnitt

OOO

Farben

8/27 26.10.2022 Carsten Csiky: Rust & Refinement Types

Department of Informatics – Institute of Information Security and Dependability (KASTEL) Bei Frames mit Option [plain] werden weder Kopf- noch Fußzeile angezeigt.

# Beispielinhalt



Bei Frames mit Option [t] werden die Inhalte nicht vertikal zentriert, sondern an der Oberkante begonnen.

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# Beispielinhalt: Literatur



Empirical Analysis

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## **Farbpalette**





Empirical Analysis

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