

# Corten: Refinement Types for Imperative Languages with Ownership

Abschlusspräsentation Masterarbeit

Carsten Csiky | 26th Oktober 2022

# Inhaltsverzeichnis

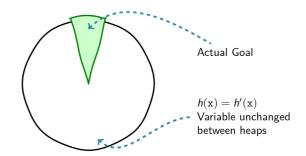


- 1. Motivation
- 2. Empirical Analysis
- 3. Type System
- 4. Soundness Justification
- 5. Related Work
- 6. Conclusion / Future Work

Related Work



```
public IntList square(IntList list) {
  return list.map(x -> x*x);
```





```
fn max(a: i32, b: i32) {
 if a > b { a } else { b }
}
```

Motivation 000000

**Empirical Analysis** 

Type System

Soundness Justification 000

Related Work 00



```
fn max(a: i32, b: i32) {
 if a > b { a } else { b }
}
```

■ Return Value (v) :  $v \ge a \land v \ge b$ 

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```
fn max(a: i32, b: i32) {
 if a > b { a } else { b }
}
```

- Return Value  $(v): v \ge a \land v \ge b$
- Rondon et al. [RKJ08]: Refinement Types for Functional Programming Languages

Motivation 0000000

**Empirical Analysis** 

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Related Work



```
//@ max(a: i32, b: i32) -> {v:i32 | v >= a && v >= b }
fn max(a: i32, b: i32) -> i32 {
 if a > b { a } else { b }
```

Motivation 0000000

**Empirical Analysis** 

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Related Work



```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
fn max(a: i32, b: i32) -> i32 {
  if a > b { a } else { b }
  let \Gamma = (a : \{v : i32 \mid true\}, b : \{v : i32 \mid true\}) and \tau = \{v : i32 \mid v \ge a \land v \ge b\}
```

$$\Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau$$

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```
//@ \max(a: i32, b: i32) -> \{v:i32 \mid v >= a \&\& v >= b \}
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   let \Gamma = (a : \{v : i32 \mid true\}, b : \{v : i32 \mid true\}) and \tau = \{v : i32 \mid v \ge a \land v \ge b\}
```

$$\Gamma$$
,  $a > b \vdash a : \tau$ 

$$\Gamma, \neg (a > b) \vdash b : \tau$$

 $\Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau$ 

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```

$$\frac{\Gamma, a > b \vdash \{v : i32 \mid v \doteq a\} \preceq \tau}{\Gamma, a > b \vdash a : \tau} \qquad \frac{\Gamma, \neg(a > b) \vdash b : \tau}{\Gamma, \neg(a > b) \vdash b : \tau}$$

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                        *
    \Gamma, a > b \vdash a : \{v : i32 \mid v = a\} \Gamma, a > b \vdash \{v : i32 \mid v = a\} \prec \tau
                                         \Gamma. a > b \vdash a : \tau
                                                                                                          \Gamma, \neg (a > b) \vdash b : \tau
```

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 $\Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau$ 

Related Work



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fn max(a: i32, b: i32) -> i32 {
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    let \Gamma = (a : \{v : i32 \mid true\}, b : \{v : i32 \mid true\}) and \tau = \{v : i32 \mid v \ge a \land v \ge b\}
                                                               SMT-VALID \begin{pmatrix} \text{true } \land \text{ true } \land a > b \\ \land v \doteq a \\ \implies (v \geq a \land v \geq b) \end{pmatrix}
     \Gamma, a > b \vdash a : \{v : i32 \mid v = a\} \Gamma, a > b \vdash \{v : i32 \mid v = a\} \prec \tau
                                                   \Gamma. a > b \vdash a : \tau
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                                                                  SMT-VALID \left(\begin{array}{c} \operatorname{true} \wedge \operatorname{true} \wedge a > b \\ \wedge v \doteq a \\ \Longrightarrow (v \geq a \wedge v \geq b) \end{array}\right)
     \Gamma, a > b \vdash a : \{v : i32 \mid v = a\} \Gamma, a > b \vdash \{v : i32 \mid v = a\} \prec \tau
                                                      \Gamma. a > b \vdash a : \tau
                                                                                                                                           \Gamma, \neg (a > b) \vdash b : \tau
                                                              \Gamma \vdash \text{if } a > b \{a\} \text{ else } \{b\} : \tau
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```
clamp(a: &mut i32, b: i32) {
if *a > b { *a = b }
```

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```
clamp(a: &mut i32, b: i32) {
if *a > b { *a = b }
 client(...) {
. . .
clamp(\&mut x, 5);
clamp(&mut y, 6);
print!(x);
. . .
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What does this it print(x) output?

- In most imperative programming languages:
  - Could be: old x or 5

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What does this it print(x) output?

- In most imperative programming languages:
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  - But also 6 (if x aliases with y)!

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clamp(a: &mut i32, b: i32) {
if *a > b { *a = b }
 client(...) {
. . .
clamp(\&mut x, 5);
clamp(&mut y, 6);
print!(x);
. . .
```

What does this it print(x) output?

- In most imperative programming languages:
  - Could be: old x or 5
  - But also 6 (if x aliases with y)!
- In Rust:
  - Just old x or 5
  - And nothing else!

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```
clamp(a: &mut i32, b: i32) {
// borrows a
// owns b
if *a > b { *a = b }
// "returns" the borrow of a
 client(...) { // owns x, y
clamp(&mut x, 5); // lend x mutably
clamp(&mut y, 6); // lend y mutably
print!(x);
. . .
```

# Ownership in Rust: Mutability XOR Aliasing

Each lexical scope tracks permissions for visible memory objects. Possible Permission Levels:

- Owner (e.g. b)
  - can: read, write
  - transfer ownership (if no outstanding borrows)
- Mutable Reference (e.g. &mut x)
  - can: read, write
  - guarantee: no aliasing
- Immutable Reference (e.g. &v)
  - can: read, alias
  - guarantee: no mutation

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#### Consequences:

- unique data owner
- no global, mutable state
- no cycles in memory structure

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#### Used for:

- safe non-gc memory management
- safe concurrency
- safe low-level hardware access

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#### Consequences:

- unique data owner
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#### Used for:

- safe non-gc memory management
- safe concurrency
- safe low-level hardware access
- ⇒ show: program verification as well

# Ownership in Rust: Mutability XOR Aliasing

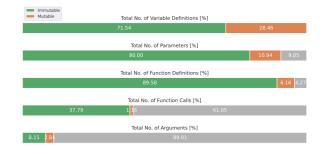
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  - can: read, write
  - guarantee: no aliasing
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  - can: read, alias
  - guarantee: no mutation

# **Empirical Use-Case Analysis**



- public open-source code (crates.io)
- about 64 million lines of Rust code
- syntactical analysis







```
fn max(a: i32, b: i32) -> i32 {
  if a > b { a } else { b }
}
```

#### Addition of two macros

- ty! $\{I: b \mid \varphi\}$  in place of a type
- relax\_ctx!{ ... } in place of a statement

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Related Work





```
fn max(
  a: ty!{ av: i32 | true },
  b: ty!{ bv : i32 | true }
) -> ty! { v : i32 | v >= av \&\& v >= bv } {
  if a > b { a } else { b }
```

#### Addition of two macros

- $ty!\{I:b\mid\varphi\}$  in place of a type
- relax\_ctx!{ ... } in place of a statement

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```
fn max(
  a: ty!{ av: i32 },
  b: ty!{ bv : i32 }
) -> ty!\{ v : i32 | v >= av \&\& v >= bv \} \{
  if a > b { a } else { b }
```

Addition of two macros

- ty! $\{I: b \mid \varphi\}$  in place of a type
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# **Type Updates**



```
fn decr() -> ty!{ w : i32 | w >= 0 } {
  let mut i = ... as ty!{ v: i32 | v > 0};
  i = i - 1;
  i
}
```

- Types need to change through execution
  - ⇒ type updates
  - Separation of program-variables and logic-variables
  - Γ association of program- to logic-variables and predicate
  - $\Gamma \vdash s \Rightarrow \Gamma'$  (Statement Type Checking)
  - Γ  $\vdash$  *e* :  $\tau$  (Expression Typing)
  - On assignment: replace association, append predicate

# **Type Updates**



```
fn decr() -> ty!{ w : i32 | w >= 0 } { // \Gamma_1 = (\{\}, \text{true}) let mut i = ... as ty!{ v: i32 | v > 0}; // \Gamma_2 = (\{i \mapsto v\}, v > 0) i = i - 1; // \Gamma_3 = (\{i \mapsto v_2\}, v > 0 \land v_2 \doteq v - 1) i }
```

- Types need to change through execution
  - ⇒ type updates
  - Separation of program-variables and logic-variables
  - Γ association of program- to logic-variables and predicate
  - Γ  $\vdash$  s  $\Rightarrow$  Γ' (Statement Type Checking)
  - Γ ⊢ e :  $\tau$  (Expression Typing)
  - On assignment: replace association, append predicate





```
fn decr() -> ty!{ w : i32 | w >= 0 } {
  // \Gamma_1 = (\{\}, true)
  let mut i = ... as ty!\{ v: i32 | v > 0\};
  // \Gamma_2 = (\{i \mapsto v\}, v > 0)
  i = i - 1:
  // \Gamma_3 = (\{i \mapsto v_2\}, v > 0 \land v_2 \doteq v - 1)
  i }
    let \Gamma_2 = \Gamma[i \mapsto v_1], v > 0 and \tau = \{v : i32 \mid v > 0\}
               \text{DECL} \ \frac{ \prod_{\text{INTRO-SUB}} \frac{\Gamma_1 \vdash \ldots : \tau' \qquad \Gamma_1 \vdash \tau' \preceq \tau}{\Gamma_1 \vdash \ldots \text{ as } \tau : \tau} }{ \Gamma_1 \vdash \text{let } i = \ldots \text{ as } \tau \Rightarrow \Gamma_2 } \\ \text{Ass} \ \frac{ \prod_{\text{INOP}} \frac{\Gamma_1 \vdash v_2 \text{ fresh}}{\Gamma_1 \vdash i - 1 : \{v_2 : \text{i32} \mid v_2 \doteq v - 1\}} }{ \prod_{\text{INOP}} \frac{\Gamma_1 \vdash v_2 \text{ fresh}}{\Gamma_2 \vdash i = i - 1 \Rightarrow \Gamma[i \mapsto v_2], v > 0, v_2 \doteq v - 1} 
    SEQ
                                                         \overline{\Gamma_1 \vdash \text{let i} = \dots \text{ as } \tau;} \ \overline{\text{i} = \text{i} - 1 \Rightarrow \Gamma[i \mapsto v_2]}, v > 0, v_2 \doteq v - 1
```

**Empirical Analysis** 

Type System o**≜**oooooooo Soundness Justification

Related Work

Expression Typing  $\Gamma \vdash e : \tau$ 

$$\begin{array}{c|c} \Gamma \vdash \alpha \text{ fresh} & \Gamma \vdash \alpha \text{ fresh} \\ \hline \Gamma \vdash v : \{\alpha : b \mid \alpha \simeq \llbracket v \rrbracket \Gamma \} & \text{BINOP} & \hline \Gamma \vdash x_1 \odot x_2 : \{\alpha : b \mid \alpha \simeq \llbracket x_1 \odot x_2 \rrbracket \Gamma \} \\ \hline \text{VAR} & \frac{\Gamma \vdash \alpha \text{ fresh}}{\Gamma \vdash x : \{\alpha : b \mid \alpha \simeq \llbracket x \rrbracket \Gamma \}} & \text{INTRO-SUB} & \frac{\Gamma \vdash e : \tau \qquad \Gamma \vdash \tau \preceq \tau'}{\Gamma \vdash e \text{ as } \tau' : \tau'} \\ \hline \end{array}$$

Statement Type Checking  $\Gamma \vdash s \Rightarrow \Gamma'$ 

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#### Expression Typing $\Gamma \vdash e : \tau$

$$\begin{aligned} & \text{Ref } \frac{\Gamma \vdash \alpha \text{ fresh}}{\Gamma \vdash \&x : \{\alpha : \&b \mid \alpha \simeq [\![\&x]\!]\Gamma\}} \\ & \text{Var-Deref } \frac{\Gamma \vdash *x \in \{y\} \qquad \Gamma \vdash y : \tau}{\Gamma \vdash *x : \tau} \end{aligned}$$

Statement Type Checking  $\Gamma \vdash s \Rightarrow \Gamma'$ 

Assign-Strong 
$$\frac{\Gamma(z) = \beta \qquad \Gamma \vdash *x \in \{y\} \qquad \Gamma \vdash \gamma \text{ fresh}}{\Gamma \vdash *x = z \Rightarrow \Gamma[y \mapsto \gamma], \gamma \doteq \beta}$$

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#### Expression Typing $\Gamma \vdash e : \tau$

$$\begin{aligned} & \text{Ref } \frac{\Gamma \vdash \alpha \text{ fresh}}{\Gamma \vdash \&x : \{\alpha : \&b \mid \alpha \simeq [\![\&x]\!]\Gamma\}} \\ & \text{Var-Deref } \frac{\Gamma \vdash *x \in \{y\} \qquad \Gamma \vdash y : \tau}{\Gamma \vdash *x : \tau} \end{aligned}$$

Statement Type Checking  $\Gamma \vdash s \Rightarrow \Gamma'$ 

ASSIGN-STRONG 
$$\frac{\Gamma(z) = \beta \qquad \Gamma \vdash *x \in \{y\} \qquad \Gamma \vdash \gamma \text{ fresh}}{\Gamma \vdash *x = z \Rightarrow \Gamma[y \mapsto \gamma], \gamma \doteq \beta}$$

$$\frac{\Gamma \vdash e : \tau \qquad \Gamma \vdash *x \in \{y_1, \dots, y_n\}}{\Gamma \vdash y_i : \{\beta_i : b_i \mid \varphi_i\}} \qquad \Gamma \vdash \tau \preceq \{\beta_i : b_i \mid \varphi_i\}}$$

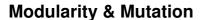
$$\Gamma \vdash *x = e \Rightarrow \Gamma$$

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```
fn clamp(a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 }, b: ty!{ b1: i32 }) {</pre>
 if *a > b { *a = b }
fn client(...) {
  clamp(\&mut x, 5);
  clamp(&mut y, 6);
  print!(x);
  . . .
```

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Related Work



# **Modularity & Mutation**

```
fn clamp(a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 }, b: ty!{ b1: i32 }) {
    //\Gamma_1 = (\{a \mapsto v_1, arg_0 \mapsto a_1, b \mapsto b_1\}, v_1 \doteq \&arg_0 \land true \land true)
    if *a > b { *a = b }
    // \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\}, v_2 \leq b_1 \land v_1 = \&arg_0 \land true \land true)
 }
 fn client(...) {
    // \Gamma_1 = (\{x \mapsto v_1, y \mapsto v_2\}, \dots)
    clamp(\&mut x, 5);
    // \Gamma_2 = (\{x \mapsto v_3, y \mapsto v_2\}, \ldots \land v_3 < 5)
    clamp(&mut v. 6):
    // \Gamma_3 = (\{x \mapsto v_3, y \mapsto v_4\}, \dots \land v_3 < 5 \land v_4 < 6)
    print!(x);
     . . .
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```

### Notion of Sub-Contexts



```
fn clamp(a: &mut ty!{ a1 : i32 | true => a2 | a2 <= b1 }, b: ty!{ b1: i32 }) {
// \Gamma_2 = (\{a \mapsto v_1, arg_0 \mapsto v_2, b \mapsto b_1\}, v_2 \leq b_1 \land v_1 \doteq \&arg_0)
}
```

- Question: Is  $\Gamma_2$  a valid end-state for clamp?
- Question: Expected end-state?
- ightharpoonup  $\Rightarrow$   $\Gamma_e = (\{a \mapsto v_1, arg_0 \mapsto a_2, b \mapsto b_1\}, v_1 \doteq \&arg_0 \land true \land true \land a_2 \leq b_1)$
- $\blacksquare \Rightarrow \Gamma_2$  must be a specialization of  $\Gamma_e$ . Written as  $\Gamma_2 \prec \Gamma_e$

$$\preceq\text{-CTX} \frac{\vDash v_1 \doteq \&arg_0 \land v_2 \leq b_1 \rightarrow v_2 \leq b_1 \land v_1 \doteq \&arg_0 \qquad \mathsf{dom}(\Gamma_e) \subseteq \mathsf{dom}(\Gamma_2)}{\Gamma_2 \preceq \Gamma_e}$$

#### Use SMT-Solver

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## **SMT Request**



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## **Example Error Message**



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## **Ecosystem Integration**



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### Soundness



## **Progress**

If  $\Gamma \vdash s_1, \sigma : \Gamma \Rightarrow \Gamma_2$  and  $s_1 \neq \text{unit}$ , then there is a  $s_2$  and  $\sigma_2$  with  $\langle s_1 \mid \sigma_1 \rangle \rightsquigarrow \langle s_2 \mid \sigma_2 \rangle$ .

Corten strictly refines the base language, therefore progress depends on base type system.

#### Preservation

If  $\Gamma \vdash s \Rightarrow \Gamma_2$ ,  $\sigma : \Gamma$  and  $\langle s \mid \sigma \rangle \leadsto \langle s_1 \mid \sigma_1 \rangle$ , then there is a  $\Gamma_1$  with  $\Gamma_1 \vdash s_1 \Rightarrow \Gamma_2$  and  $\sigma_2 : \Gamma_2$ 

Stronger property than base language preservation: Show that refined types are preserved

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### State Conformance



#### State Conformance $\sigma$ : $\Gamma$

A state  $\sigma$  is conformant with respect to a typing context  $\Gamma = (\mu, \Phi)$  (written as  $\sigma : \Gamma$ ), iff:

$$\Phi[\mu(x) \triangleright \llbracket \sigma(x) \rrbracket \mid x \in dom(\mu)]$$
 is satisfiable

I.e. a conformant type context does not contradict the execution state.

#### Examples:

- If  $\sigma:(\emptyset,\Phi)$  then  $\Phi$  is satisfiable
- If  $\sigma: (\mu, \Phi_1 \wedge \Phi_2)$  then  $\sigma: (\mu, \Phi_1)$  and  $\sigma: (\mu, \Phi_1)$ .
- If  $\sigma: (\mu, \Phi)$  and  $\mathsf{FV}(\Phi) \subseteq \mathsf{dom}(\mu)$ , then  $\models \Phi[\mu(x) \triangleright \llbracket \sigma(x) \rrbracket \mid x \in \mathsf{dom}(\mu)]$

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## **Intermediate Steps**



### Conformance of Symbolic Execution

If  $\sigma : \Gamma$ ,  $\Gamma \vdash \alpha$  fresh then  $\sigma[x \mapsto \llbracket e \rrbracket \sigma] : \Gamma[x \mapsto \alpha], (\alpha \simeq \llbracket e \rrbracket \Gamma)$ 

where  $(\alpha \simeq \llbracket e \rrbracket \Gamma)$  is the symbolic execution of e equated with  $\alpha$  in context  $\Gamma$ 

#### Reference Predicates are Conservative

If  $\sigma : \Gamma$  and  $\Gamma \vdash *x \in \{y_1, \dots, y_n\}$  then  $\llbracket \sigma(x) \rrbracket = \& y_i$  for some  $i \in 1, \dots, n$ 

Rare case where conservative typing requires

#### Sub-Context Relation is Conservative

If  $\Gamma \preceq \Gamma'$  and  $\sigma : \Gamma$  then  $\sigma : \Gamma'$ 

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### Related Work



#### Refinement Types and Mutability

- Rondon et al. [RKJ10], Bakst and Jhala [BJ16]: Refinement Types for C subset. Lack of guarantees requires ad-hoc mechanisms to control aliasing
- Lanzinger [Lan21]: Property Types in Java (only immutable)
- Bachmeier [Bac22]: Extension using Ownership System

#### Rust verification

- Ullrich [UII]: Translation to Lean; linear mutation chain. Denis et al [DJM21] similar, but to Why3
- Astrauskas et al. [Ast+19] (Prusti): heavy-weight verification, translation to separation logic (Viper)
- Matsushita et al. [MTK20]: constrained Horn clauses (RustHorn)

## Flux – Refinement Types for Rust



- MIR vs. HIR
- Context-Inclusions vs. Sub-Context

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### **Future Work**



- Records & ADTs
- Predicate Generics (Abstract Predicates)
- Concurrency using Predicate Generics?

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### Conclusion



- Working Refinement Type System for Rust with Mutability
- Minimal Interface
- Soundness Justification
- Evaluation

Related Work

### Literatur



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## **Blöcke** in den KIT-Farben



Greenblock

Standard (block)

Blueblock

= exampleblock

Redblock

= alertblock

Brownblock

**Purpleblock** 

Cyanblock

Yellowblock

Lightgreenblock

Orangeblock

Grayblock

Contentblock

(farblos)

Literatur

Zweiter Abschnitt

Farben

## **Auflistungen**



#### Text

- Auflistung Umbruch
- Auflistung
  - Auflistung
  - Auflistung



Literatur OO Zweiter Abschnitt OOO Farben

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Bei Frames mit Option [plain] werden weder Kopf- noch Fußzeile angezeigt.

# Beispielinhalt



Bei Frames mit Option [t] werden die Inhalte nicht vertikal zentriert, sondern an der Oberkante begonnen.

Literatur

Zweiter Abschnitt

# Beispielinhalt: Literatur



Literatur

Zweiter Abschnitt

## **Farbpalette**





Literatur

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