

# Prediction, Perception, and Imagination



Andy Clark, School of Philosophy, Psychology and Language Sciences, University of Edinburgh, UK

[andy.clark@ed.ac.uk](mailto:andy.clark@ed.ac.uk)

**With special thanks  
to....**

Karl Friston, Anil  
Seth, Jakob Hohwy

and to Susan Hurley  
for long-term  
impacts..



**European Research Council**  
Established by the European Commission

## The Conclusions (!)

‘Predictive Processing’ offers a promising neurocomputational account of perception, understanding, and imagination

They all arrive as a kind of ‘cognitive package deal’ in brains that are constantly trying to **guess** the evolving sensory flow.

This blurs the intuitive boundaries between **perception, imagination, and thought.**

## So What Is Predictive Processing?

Core of PP is the **active** use of a **probabilistic generative model**

= an inner organization that has the resources to **construct plausible versions** of the sensory data for itself, using what the system has learnt about the **probabilities of different patterns in the data.**

That means working **more like a computer graphics program** than a standard pattern recognizer - generating probable patterns 'from the top-down' rather than via a feed-forward sweep of increasingly complex feature detection.

## Example:

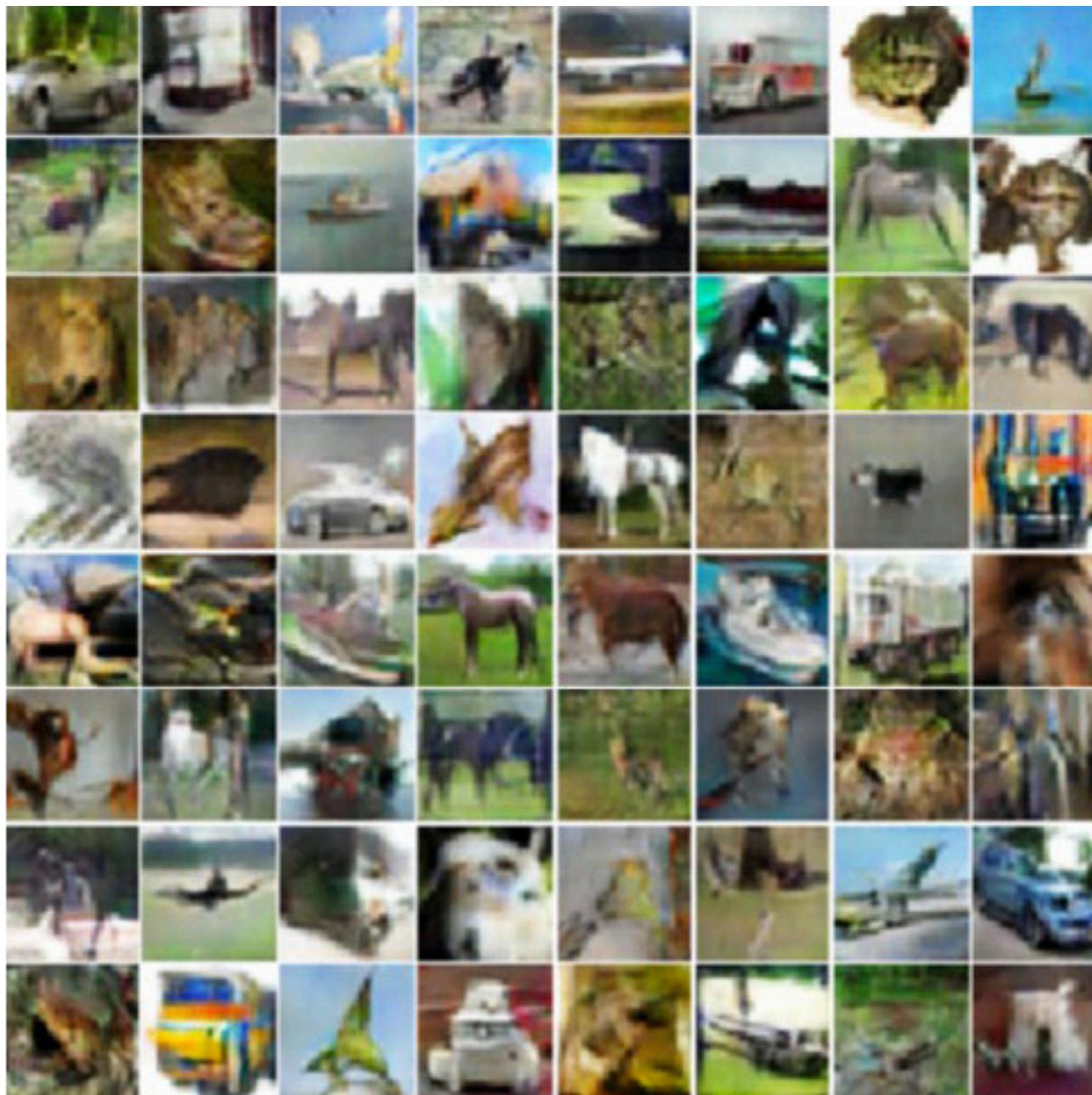
An ‘**image grammar**’ - a (simple, low-dimensional) probabilistic model that can generate plausible images of real-world states of affairs

- can be **learnt** by exposing multi-level neural networks to lots of training images and getting them to **try to generate** similar images for themselves.

To do so, they must learn about the visual patterns characteristic of different kinds of object at different spatial scales.



Real images (CIFAR-10)



## Generated images

Same technique can generate **short moving 'hallucinated' videos of scenes** – nice examples at:

<http://web.mit.edu/vondrick/tinyvideo/>

The trained-up generative model can also take a static image and **hallucinate a plausible future unfolding** of the same scene.

See Vondrick, Pirsiavash, and Torralba (2016)

Vondrick, Pirsiavash, and Torralba (2016) Generating Videos with Scene Dynamics  
29<sup>th</sup> Conference on Neural Information Processing Systems (NIPS 2016) Barcelona, Spain

Crucially, In PP, the trained-up **generation engine** is used for **online perception (recognition)**

The brain **recognizes** what is ‘in’ the current visual scene by finding the **best way to predict (match)** the current raw sensory evidence using the resources of the probabilistic generative model

The goal is for the cascade of top-down construction (prediction) to match, and thus ‘**explain away**’, the incoming sensory signal up to some level of tolerated noise.

Friston, K. (2005). A theory of cortical responses. *Phil. Trans. R. Soc. B* 360: 815-836.

Lee, T.S., Mumford, D. (2003) Hierarchical Bayesian inference in the visual cortex. *Journal of Optical Society of America, A.* . 20(7): 1434-1448

Rao and Ballard (1999) Predictive coding in the visual cortex: a functional interpretation of some extra-classical receptive-field effects *Nature Neuroscience* 2, 79- 87

This is where you need to make an appreciable **leap of faith.**

If PP is right, the core of cognition and understanding is using that kind of trick, **writ very large and very wide.**

The key thing is:

There's no limit on **what kinds of information, accumulated over many interacting time-scales**, can be used to inform the current **multi-level, multi-area, top-down** active construction of the sensory signal.

## Fair game includes:

Information at **many scales** of space and time about the **nature and properties of objects** (Rao and Ballard (1999))

Information about the kind of **affective response** they typically elicit (Barrett and Bar (2009))

Information about and the **kinds of behaviors that you might want to launch** in their presence (Pezzulo et al (2015))

Information about your own **current physiological states and needs** (Seth (2013))

Rao, R and Ballard, D. (1999). Predictive coding in the visual cortex: A functional interpretation of some extra-classical receptive-field effects, *Nature Neuroscience* 2, 1, 79

Barrett, L. F., & Bar, M. (2009). See it with feeling: Affective predictions in the human brain. *Royal Soc Phil Trans B*, 364, 1325-1334

Pezzulo, G., Rigoli, F., & Friston, K. (2015). Active Inference, homeostatic regulation and adaptive behavioural control. *Progress in Neurobiology*, 134, 17–35

Seth, A K (2013) Interoceptive Inference, Emotion, and the Embodied Self *Trends in Cognitive Sciences* 17, no. 11: 565–73.

....all of these can **inform and nuance** the PP process of 'top-down construction'...

They must settle into the **coherent whole** that best accounts for (predicts) the current waves of **task-salient sensory information**.

(So we already glimpse how this kind of perception phases seamlessly into understanding...)

Perhaps this is how a **structured, meaningful world** comes into view in perception – we see the world by finding out how to **build** the present sensory signal for ourselves using all manner of stored knowledge –

In this way, we **build our percepts out of predicted ‘meanings’**, rather than the other way around.



+ **Predictive Coding** – a rather specific claim about the way top-down meets bottom-up...

Idea is that moment-by-moment we need only bother about **whatever escaped the predictive net.**

What needs to drive further processing is just the residual difference (**aka the ‘prediction error’**) between the actual current signal and the predicted one.

PE carries the news!



Such a process is **computationally feasible** (there are many small-scale proofs-by-simulation)

For reviews, see Clark (2013) (2016), Hohwy (2013)

And crucially there are increasingly detailed proposals for its **neuronal implementation** (see e.g. Barrett and Simmons (2015), Bastos et al, 2012)

Barrett, L. F., and Simmons, W. K. (2015). Interoceptive predictions in the brain. *Nature Reviews Neuroscience*, 16, 419–429

Bastos AM, Usrey WM, Adams RA, Mangun GR, Fries P, Friston KJ. (2012) Canonical microcircuits for predictive coding. *Neuron* 76:695-71

Clark, A (2013) Whatever Next? Predictive Brains, Situated Agents, and the Future of Cognitive Science *Behavioral and Brain Sciences* 36: 3: p. 181-204

Clark, A (2016) *Surfing Uncertainty: Prediction, Action, and the Embodied Mind* (Oxford University Press, NY)

Hohwy, J (2013) *The Predictive Mind* (Oxford University press, NY)

## Summary So Far.

Perception (rich, world-revealing perception) occurs when the downward cortical cascade succeeds at **generating the sensory data for itself** using everything we know about the world

Recalls the slogan (Max Clowes/ Ramesh Jain) that **perception is controlled hallucination.**

You might say : our brains try to guess what's out there, and to the extent that that **guess matches** (hence 'explains away') the **evolving sensory data**, we perceive the world.



FreakingNews.com

In PP, the whole process is orchestrated and nuanced by **self-estimated sensory uncertainty** ('precision').

This **weights** selected predictions/prediction errors according to how reliable (for task, in context) they are deemed to be.

= the estimated **inverse variance** of the prediction/prediction error



## Upshot

These stories make the estimated **precision** of predictions and prediction errors into one of the major players on the cognitive stage



Assign **too much precision** to sensory PE and you won't be able to detect **faint patterns** in a noisy environment



Aside: interesting recent work on possible **disturbances to this balance** in **autism** – e.g. Pellicano and Burr (2012)

Pellicano, E. and Burr, D. (2012) When the world becomes too real: a Bayesian explanation of autistic perception. *Trends Cogn. Sci.* 16, 504–510

Image from Mike Specian, astrophysics

Assign **too little precision to sensory PE** and you will start to **hallucinate patterns** that are not there, just because you strongly expect them

e.g. the Bing Crosby experiments...  
(Merckelbach and van de Ven (2001))

Merckelbach, H., & van de Ven, V. (2001). Another White Christmas: Fantasy proneness and reports of 'hallucinatory experiences' in undergraduate students. *Journal of Behaviour Therapy and Experimental Psychiatry*, 32, 137-144.



As a way of showing what a huge difference apt top-down prediction can make to perceptual experience, listen to these **clips of sine-wave speech** - degraded replicas of recorded speech that have been stripped of most of the normal speech attributes and acoustics.

The replica preserves only a kind of skeletal outline in which the core (and rather coarse) pattern of dynamic changes in the speech signal is coded as a set of **pure tone whistles** –

sounds kinda like  
The Clangers....



Sound clips from Leo Nordwall: <https://vimeo.com/leonordwall> - and see  
<http://www.mrc-cbu.cam.ac.uk/people/matt-davis/sine-wave-speech/>



Or consider hearing a **familiar song** playing on a **poor radio receiver**, or **in the shower**

The familiar song will appear to sound **much clearer** than an unfamiliar one.

But whereas we might have thought of this, within a **simple feed-forward** framework, as some kind of **add-on memory effect**, it now seems more reasonable to think of it as a **genuinely perceptual** one.



The clear-sounding percept for the familiar song, after all, is constructed in **just the same way** as the fuzzy-sounding percept of the unfamiliar one.

It is just that the familiar one invokes a **better set of top-down predictions** (priors, in the Bayesian translation of the story).



Under those conditions – I suggest - the familiar song ***really does*** sound clearer.

It is not that familiarity makes a **fuzzy percept merely seem clearer**

It is not that memory *later* does some filling-in affecting, in some backward-looking way, how we **judge** the percept to be

Rather, the **percept itself is altered** by our multi-level expectations

This is not just a philosopher's thought experiment.

Teufel et al (2010) show that predictions of other people's **mental states** influence **how we physically perceive them to be**, affecting our perceptual experience of (e.g.) their **gaze direction in a court-room setting...**

(For many, many more examples of the effects of top-down knowledge upon perception, see work by Gary Lupyan)

Teufel, Fletcher and Davis (2010) "Seeing other minds: attributed mental states influence perception" *Trends in Cognitive Sciences* 14 (2010) 376–382

## The Moral

Perceptual experience reflects not the sensory stimulation but the sensory stimulation **relative to ongoing predictions**.

This neatly explains why (for example) **unexpected absences** can seem so **experientially vivid**

If you hear a familiar (or regular) series of notes and one is unexpectedly omitted, **prediction error spikes**.



In PP simulations (Adams et al (2015)), that spike comes **just after a faint 'hallucination'** of the **onset** of the missing item – a note, or a bird chirp...

– like ‘half-seeming to just start to see’ your recently deceased dog emerge when the door opens in just the right way....

Perhaps this is not simply a **grief-based malfunction**.

Instead, it is just the prediction machine working as it should, constructing experience by **balancing** ingrained expectations against incoming sensory evidence.

Adams R, Stephan K, Brown H, Frith C, and Friston K (2013) The computational anatomy of psychosis. *Front Psychiatry*.30;4:47

## Some Attractions (more goodies in the ‘cognitive package deal’)

1. The PP schema shows why we perceive a structured **external world** and not just ‘sense data’ or patterns of light and sound.

Our brains must meet the sensory barrage with a top-down cascade of guesses at the **interacting wordly causes** that most probably **generated** the sensory evidence (see Helmholtz, Neisser, Gregory...).

The so-called ‘**transparency**’ of perceptual experience (that we seem to **simply see** bananas, cats, and burritos not pixels or any sensory intermediaries) seems to fall quite naturally out of such a process.



## 2. PP delivers **perception and understanding** at a single stroke

To perceive like that is to guess how the sensory evidence was generated by means of **interacting worldly causes**, and how perceived events are likely to **change and evolve** over time.

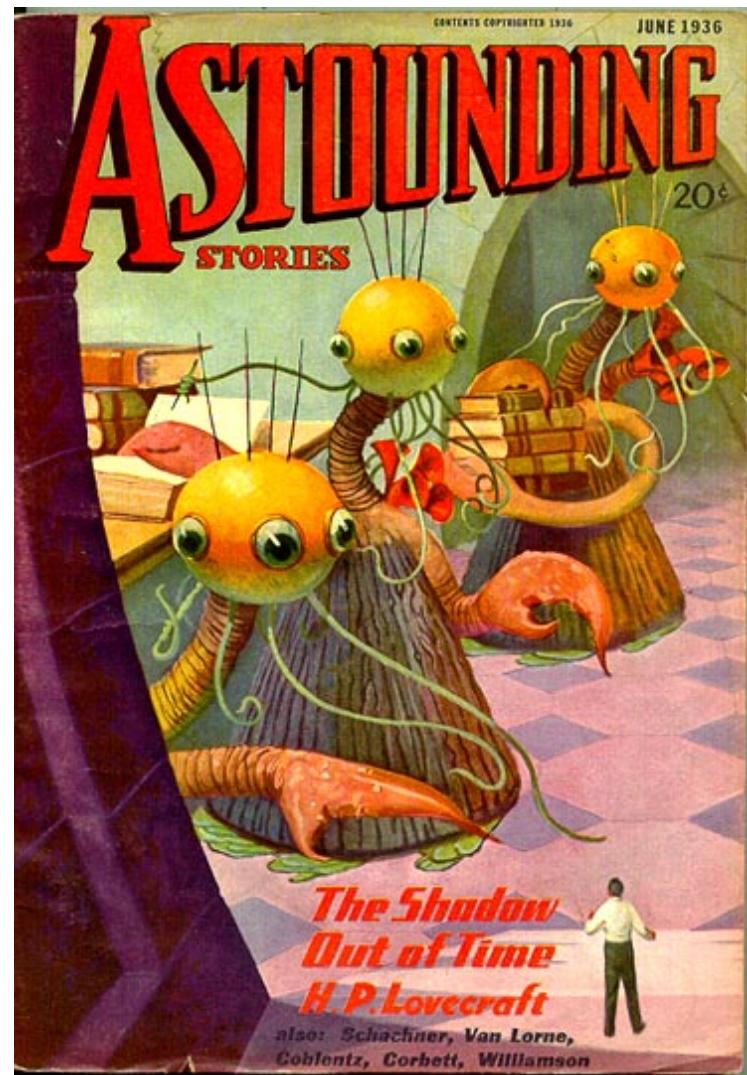
This, surely, is to make deep inroads not just into the explanation of **effective perception** but also into the origins of **meaning and semantics**

= the elusive realm of '**aboutness**' itself.

3. Perception and imagination are co-emergent too.

A crucially important feature of the probabilistic internal models that power these approaches is that they are **generative** in nature.

That means that the perceptual system can be 'run from the top-down' and is capable of **creating 'virtual sensory data'** for itself.



For any creature whose perceptual organization is akin to ours, if it is able to **perceive** some state of affairs X, then it has the neural resources **endogenously to generate** (at least an approximation to) **those same sensory states**.



## 4. Finally – a bridge towards **reason**?

What we think of as reason may be ushered onto the cognitive scene by **harnessing imagination**.

If we **down-regulate** (=assign low-precision to) **perceptual information**, and somehow **seed** the system by activating a high-level state (prediction), we can ‘see’ how things **would** unfold **were** we to take such and such an action.



PP thus describes a mechanism that fits very nicely with large bodies of work on **mental simulation** as the bridge between perception and reason

Clark, A, and Grush, R (1999) Towards a cognitive robotics *Adaptive Behavior* 7 (1), 5-16

Grush, R. (2004). The emulation theory of representation: motor control, imagery, and perception. *Behavioral and Brain Sciences* 27:377-442.

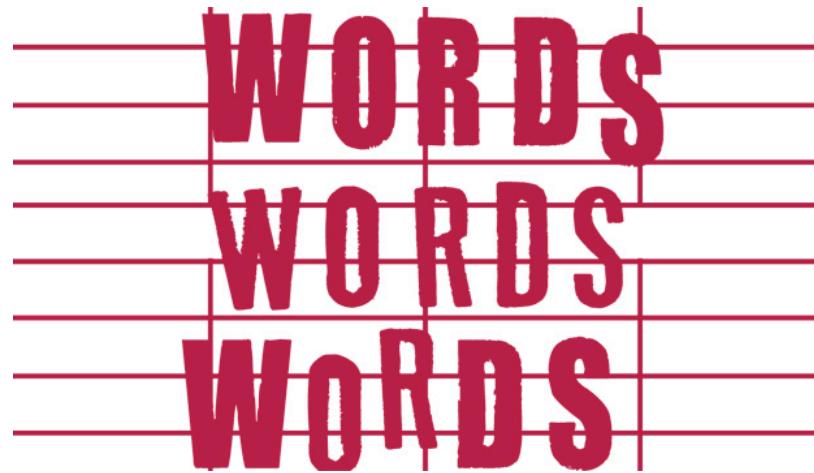
Barsalou, L. (2009) Simulation, situated conceptualization, and prediction. *Philosophical Transactions of the Royal Society of London: Biological Sciences* 364:1281–89.

Friston, K., Mattout, J. & Kilner, J. (2011) Action understanding and active inference. *Biological Cybernetics* 104:137–60.

Hesslow, G (2002) Conscious thought as simulation of behaviour and perception, *Trends in Cognitive Sciences*, 6, 6, 242-247

(Mere) **Speculation**: perhaps **human language** provides a key additional means of **self-seeding** our own imaginative explorations

(See Lupyan and Clark (2015))



Lupyan, G and Clark, A (2015) Words and the World: Predictive coding and the language-perception-cognition interface *Current Directions in Psychological Science* 24, 279–284.

# Plenty of Outstanding Issues

A big one is: so why is there **conscious experience at all?**  
Can PP really help us there?

Hope so - see our 4-year ERC project 'Expecting Ourselves',  
now up-and-running – see [www.x-spect.org](http://www.x-spect.org)



**European Research Council**  
Established by the European Commission

But end with a word on just one question.... What does all this say about the nature of our **perceptual contact with the world?**



Does the PP strategy threaten to **cut us off from the world in perception** by inserting all those **rich bodies of expectation** between us and the perceived world?



Yes and no!

Folk in this literature speak freely of perception as ‘**controlled hallucination**’ and of the brain as in the business of generating a ‘**virtual reality**’ or ‘**inferred fantasy**’ about the world (see e.g. Paton, Skewes, Frith, and Hohwy (2013), Hohwy (2013), Hohwy (2014))

Paton, Skewes, Frith, and Hohwy (2013) Commentary on Clark in *Behavioral and Brain Sciences*

Hohwy, J (2013) *The Predictive Mind* (Oxford University Press)

Hohwy, J. (2014). *The self-evidencing brain*. *Nous*. doi: 10.1111/nous.12062

But this is the very same process that enables us, when all is working correctly, to **sort out the signal from the noise**, unearthing the varied distal objects casting their rough sensory shadows on the walls of Plato's cave



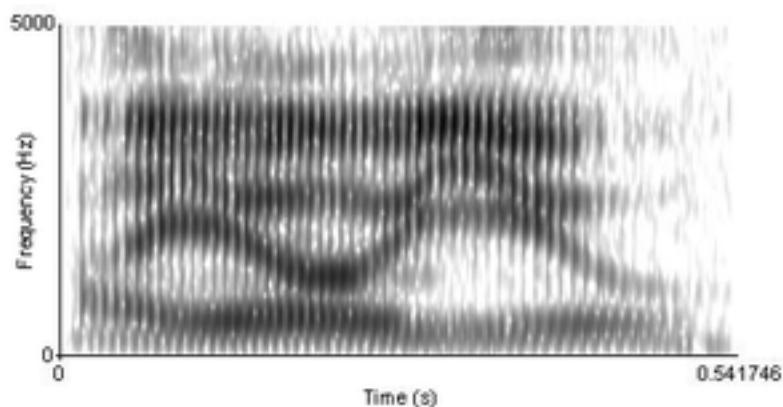
Image from Michelle Henry <http://www.michellehenry.fr/cave.jpg>

Consider the act of hearing a sentence spoken in your native language.

You hear **words, separated by gaps**.

But the soundstream itself is **perfectly continuous**, so those gaps are added by the listener.

So what we encounter is **in one sense a construct**.



A spectrogram of the phrase "I owe you".

There are no clearly distinguishable boundaries between speech sounds.

But it is a construct that tracks **real structure in the signal source** (other agents producing strings of distinct meaningful words)

Apt prediction helps us **see through** the noisy, continuous, frequently ambiguous, sensory signal to the **human and task-relevant aspects** of the world

This may be a rather good picture of animal perception in general....

If so, then the world we encounter in perception is **no more (and no less)** a virtual reality or fantasy than the **separated words** we hear in a sentence spoken in our native tongue.

PP describes a way of **seeing through the sensory signal** (through the shadows on the wall of Plato's cave) to the **human-relevant aspects of the distal world**.

This is **perceptual openness** for real agents operating in a real (and noisy) world.



## Some Sources

- Barrett, L. F., and Simmons, W. K. (2015). Interoceptive predictions in the brain. *Nature Reviews Neuroscience*, 16, 419–429
- Bastos AM, Usrey WM, Adams RA, Mangun GR, Fries P, Friston KJ. (2012) Canonical microcircuits for predictive coding. *Neuron* 76:695-711.
- Friston, K. (2005). A theory of cortical responses. *Phil. Trans. R. Soc. B* 360: 815-836.
- Friston K. (2010) The free-energy principle: a unified brain theory? *Nat Rev Neurosci.* 11(2):127-38.
- Lee, T.S., Mumford, D. (2003) Hierarchical Bayesian inference in the visual cortex. *Journal of Optical Society of America, A.* . 20(7): 1434-1448
- Rao and Ballard (1999) Predictive coding in the visual cortex: a functional interpretation of some extra-classical receptive-field effects  
*Nature Neuroscience* 2, 79- 87
- Seth, A K (2013) Interoceptive Inference, Emotion, and the Embodied Self  
*Trends in Cognitive Sciences* 17, no. 11: 565–73.

## Some Reviews

- Clark, A (2013) Whatever Next? Predictive Brains, Situated Agents, and the Future of Cognitive Science *Behavioral and Brain Sciences* 36: 3: p. 181-204
- Clark, A (2016) *Surfing Uncertainty: Prediction, Action, and the Embodied Mind* (Oxford University Press, NY)
- Hohwy, J (2013) *The Predictive Mind* (Oxford University press, NY)

## Conclusions

PP suggests that full-blooded perception is nothing other than **multi-area, multi-scale prediction**.

Perception, understanding, imagination and the rudiments of reason now co-emerge, delivered as a single '**cognitive package deal**'.

Getting to grips with this vision – testing it, challenging it, and working out what it means for standard pictures of mind and reason is a prime task for **21<sup>st</sup> century cognitive science**