# Accurate and Efficient Trajectory-based Contact Tracing with Secure Computation and Geo-Indistinguishability

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Abstract. Contact tracing has been considered as an effective measure to limit the transmission of infectious disease such as COVID-19. Trajectory-based contact tracing compares the trajectories of users with the patients, and allows the tracing of both direct contacts and indirect contacts. However, trajectory data is considered as sensitive and personal data, and there is limited research on how to securely compare trajectories of users and patients to conduct contact tracing with excellent accuracy, good efficiency, and strong privacy guarantee. Traditional Secure Multiparty Computation (MPC) techniques suffer from prohibitive running time, which prevents their adoption in large cities with millions of users. In this work, we propose a technical framework called ContactGuard to achieve accurate, efficient, and privacypreserving trajectory-based contact tracing. It improves the efficiency of the MPC-based baseline by selecting only a small subset of locations of users to compare against the locations of the patients, with the assist of Geo-Indistinguishability, a differential privacy notion for Locationbased services (LBS) systems. Extensive experiments demonstrate that ContactGuard runs up to  $2.6\times$  faster than the MPC baseline, with no sacrifice in terms of the accuracy of contact tracing.

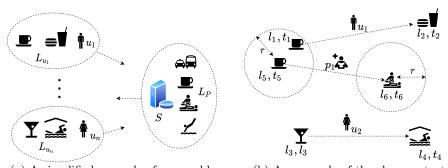
**Keywords:** Contact tracing · Differential privacy.

#### 1 Introduction

Contact tracing has been considered as one of the key epidemic control measures to limit the transmission of infectious disease such as COVID-19 [13] and Ebola [19]. In contrast to traditional contact tracing conducted normally by interviews and manual tracking, digital contact tracing nowadays rely on mobile devices to track the visited locations of users, and are considered as more accurate, efficient, and scalable [23]. Taking the contact tracing of COVID-19 as an example, more than 46 countries/regions have launched contact tracing applications [19], e.g., TraceTogether in Singapore and LeaveHomeSafe in Hong Kong SAR.

As a complementary technique to the Bluetooth-based contact tracing applications such as the Exposure Notification developed by Apple and Google<sup>1</sup>, trajectory-based contact tracing [17,8] compares the trajectories of users with the patients, and allows the tracing of both the direct contacts and the indirect

<sup>1</sup> https://covid19.apple.com/contacttracing



(a) A simplified example of our problem. (b) An example of the close-contact. Fig. 1: (a) A simplified example of our problem.  $L_{u_i}$  denotes the visited location of a user  $u_i$ .  $L_P$  denotes the collection of all visited locations of all patients. (b) An example of the close-contact.  $u_1$  is a close contact, while  $u_2$  is not.

contacts. The indirect contacts normally happen when users and patients visit the same location at different times, and the location (e.g., environment, surface of objects) is contaminated and becomes the transmission medium of the virus. Trajectory-based contact tracing has been widely deployed in countries/regions where there is a stricter policy of COVID-19 control. In China for example, prior to entrance to high-risk locations such as restaurants, bars, and gyms, users need to check-in with a health code, which is linked with their personal identity.

Despite the usefulness of trajectory-based contact tracing, the privacy issue of trajectory data is an obvious issue. In fact, privacy concerns prevent the contact tracing application from being widely adopted in cities like Hong Kong, and there are extreme cases that citizens use two different mobile phones, one for their daily use, and the other one solely for the purpose of fulfilling the check-in requirement when entering the high-risk locations, in order to prevent any privacy breach. Existing privacy-preserving trajectory-based contact tracing research relies on specific hardware (Trusted Hardware such as Intel SGX [17]), but the security guarantee heavily depends on the hardware, and it leads to poor portability. In fact, Intel plans to stop its support for SGX from its 11th and 12th generation processors [16]. Thus, in this work, we aim to develop a hardware-independent software-based solution, which can provide high accuracy of contact tracing, excellent execution efficiency, and strong privacy guarantee.

In this paper, we formulate the <u>Privacy-Preserving Contact Tracing (PPCT)</u> problem, which is illustrated with a simplified example in Fig. 1a. We aim to correctly identify whether each user  $u_i$  is a close contact or not. If a user  $u_i$  co-visited some location with some patient under some spatial and temporal constraints (e.g., co-visit the same location with 5 meters apart, and within a time window of 2 days), then our proposed solution should correctly identify the user  $u_i$  as a close contact. The key challenge of PPCT is that there is a strong privacy requirement: during the entire process of contact tracing, the private information (the visited locations of users, together with the timestamps) are strictly protected from the access of other parties.

The challenge of PPCT goes beyond the strong privacy requirement. On one hand, the contact tracing application requires a high level of accuracy. Taking COVID-19 as an example, some countries spend tremendous amount of resources to identify potential close contacts of patients, and sometimes a whole region of citizens need to go through mandated testing after only one case of patient was found in the area. Thus, our proposed solution needs to avoid false negatives as far as possible, maintaining a reasonably high recall, if not close to 100%. On the other hand, we aim to offer an efficient solution to the PPCT problem, as traditional Secure Multiparty Computation (MPC) techniques usually induce unpractical running time. As our experimental results show, a naïve MPC solution requires more than 5 days for 1 million users, which is the population of a modern city. Such long running time prohibits its daily execution, because it could not even terminate within 24 hours.

To tackle the aforementioned challenges, we propose a novel technical framework ContactGuard. ContactGuard further improves the efficiency of MPC operations by selecting only small subsets of users' locations to compare with the patients. The subset selection process is assisted by an efficient privacy-preserving mechanism – Geo-Indistinguishability (Geo-I) for Location-based services (LBS) systems. The basic idea is that each user perturbs his/her true visited locations with Geo-I and submits only the perturbed locations to the server, where the patients' locations are stored. Using the perturbed locations of the user, the server compares them with the patients' locations, and then informs the user about which locations are more 'risky', because they are closer to the patients. After that, the user could use MPC only on the high-risk locations, to largely reduce the computational overhead.

To summarize, we make the following contributions in this paper:

- We formally define an important problem, the Privacy-Preserving Contact Tracing (PPCT) problem in Sec. 3, which addresses the privacy issue in trajectory-based contact tracing.
- We propose a novel solution ContactGuard in Sec. 4. It combines the strengths of two different privacy-preserving paradigms: differential privacy and secure multiparty computation. The running time is improved significantly because it selects only a small subset of locations to compare with the patients during the MPC operation.
- We conduct extensive experiments to validate the effectiveness and efficiency of ContactGuard in Sec. 5. It runs up to  $2.6\times$  faster than the MPC baseline, with no sacrifice in terms of the accuracy of contact tracing. For moderate privacy budget settings for each user, ContactGuard obtains close to 100% recall and 100% precision.

In addition, we introduce the background in Sec. 2, review related works in Sec. 6 and conclude in Sec. 7.

## 4

# 2 Background

We introduce the background on the Secure Multiparty Computation (MPC) and the Geo-indistinguishability in this section.

#### 2.1 Secure Multiparty Computation (MPC)

Secure Multiparty Computation (MPC) allow multiple parties to jointly compute the desired function outputs over their private inputs, without revealing each party's private inputs to any other parties. The strong privacy guarantee it offered is that only the function output is released, and no other information is disclosed. Thus, the input data by each party is strictly protected.

It achieves the protection of the input data by encryption. The output is derived from the encrypted input data collectively over all parties. Any single party could not derive the final output, and the single party could not access the plaintext of other parties because of the encryption.

In addition, the computation process (the process of evaluating the function output) is *oblivious* [15]. The instruction traces, program counters and the execution time are independent of the private inputs. This ensures that at any step during the computation, nothing about the private inputs are disclosed.

The standard steps for achieving MPC starts by representing the computation of the desired function outputs as a circuit. There are two common ways to represent the computation as oblivious circuit [31]. One way is through a data-oblivious Boolean logic circuit, which includes logic gates (e.g., AND, OR). The logic gates are specified without knowing the private inputs. The second way is to use addition and multiplication gates (as opposed to the AND, OR gates) which operate on finite field elements.

After representing the computation as a circuit, a secure protocol is used to encrypt the private data to be used in the evaluation in the circuit. The protocol ensures that the circuit is executed without revealing any private inputs or the intermediate results. Finally, the secure protocol is executed by evaluating the gates within the circuit, following the topological orders of the designed circuit [15].

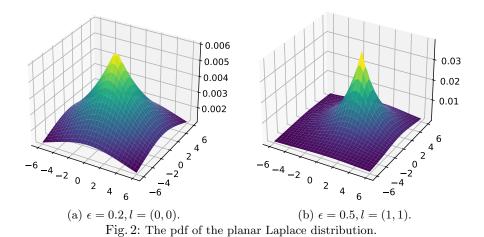
#### 2.2 Geo-indistinguishability

Geo-indistinguishability (Geo-I) [1] extends the traditional and well-adopted privacy notion – differential privacy [11] to location-based systems.

**Definition 1.** (Geo-I) For all true locations x, x', a privacy parameter  $\epsilon$ , a mechanism M satisfies  $\epsilon$ -Geo-I iff:

$$d_o(M(x), M(x')) \le \epsilon d(x, x'), \tag{1}$$

where d(x, x') is the Euclidean distance between x and x' while  $d_{\rho}(M(x), M(x'))$  is the multiplicative distance between two distributions M(x) and M(x'). M(x)



and M(x') are the distributions of perturbed locations based on the original location x and x' respectively.

One particular mechanism satisfying Geo-I is drawing random noise from the planar Laplace distribution [1]. Given the privacy parameter  $\epsilon \in \mathbb{R}^+$ , the actual location  $x_0 \in \mathbb{R}^2$ , the probability density function of a noisy location  $x \in \mathbb{R}^2$  is:

$$D_{\epsilon}(x_0)(x) = \frac{\epsilon^2}{2\pi} e^{-\epsilon d(x_0, x)},\tag{2}$$

where  $\frac{\epsilon^2}{2\pi}$  is the normalization factor. Examples of the planer Laplace distribution are given in Fig. 2.

#### 3 Problem Definition

In this section, we introduce some basic concepts, the adversary model, and a formal definition of the Privacy-Preserving Contact Tracing (PPCT) problem.

## 3.1 Basic Concepts

**Definition 2 (Location).** A location l = (x, y) represents a 2-dimensional spatial point with the coordinates (x, y).

**Definition 3 (Trajectory).** A trajectory is a set of (location, timestamp) tuples indicating the visited location and time that the location is visited. A trajectory  $L = \{(l_1, t_1), \ldots, (l_{|L|}, t_{|L|})\}$ , where |L| is the number of visited locations in the trajectory.

Examples: let location  $l_1 = (300, 500)$  and  $l_2$  be another location  $l_2 = (200, 200)$ . An example of trajectory  $L_{example} = \{(l_1, 2020\text{-}06\text{-}10\ 12\text{:}28\text{:}46), (l_2, l_2, l_3)\}$ 

2020-06-10 17:03:24)} indicates that location  $l_1$  is visited at timestamp 2021-06-10 12:28:46 and location  $l_2$  is visited at timestamp 2021-06-10 17:03:24. The size of  $L_{example}$  is 2, *i.e.*,  $|L_{example}| = 2$ .

Here, the definition of trajectory includes both short sequences (e.g., a user leaves home in the morning, moves to the working place, and comes back home at night) and longer sequences across multiple days. In our problem setting, in order to trace contacts, the trajectory of a user contains the union of all visited locations of a particular user in the incubation period of the disease (e.g., past 14 days for COVID-19 [14,13]).

**Definition 4 (Users).** A set U denotes all n users. Each user  $u \in U$  is associated with a trajectory  $L_u = \{(l_1, t_1), \ldots, (l_{|L_u|}, t_{|L_u|})\}$ , following Definition 3, indicating the locations the user u visited and the time of the visits.

Each user needs to be checked against the patients to see whether the user is a contact of some patient or not. The definition of a patient is similar to the one of the user, and we define them separately for easier illustration in the contact tracing problem.

**Definition 5 (Patients).** A set P denotes all m patients. Each patient  $p \in P$  has a trajectory  $L_p = \{(l_1, t_1), \ldots, (l_{|L_p|}, t_{|L_p|})\}$ , following Definition 3, indicating the locations the patient p visited and the time of the visits. The set  $L_P = L_{p_1} \cup L_{p_2} \cup \ldots \cup L_{p_m}$  is a union of trajectories of all patients  $p_1, \ldots, p_m$ .

In our problem setting, we use  $L_P$  to denote the aggregated set of all trajectories of patients. It is an important notion because a user  $u \in U$  is defined as a contact (see a more formal definition in Definition 6) if the user u's trajectory  $L_u$  overlaps with some location in  $L_P$ . It is not necessary to identify which specific patient  $p \in P$  leads to the contact. Indeed, as we will explain further in our adversary model and system settings in Sec. 3.2, it suffices to store the union of all trajectories  $L_P$  of the patients, without distinguishing each patient's individual trajectories, and it also enhances privacy protection.

**Definition 6 (Contacts).** Given a distance threshold r, and a time difference threshold  $\delta$ , a user  $u \in U$  is called the **contact** if the user u has visited a location  $l_u$  that is within r distance to some visited location  $l_p$  of some patient  $p \in P$ , and the time difference between the two visits is within  $\delta$ , i.e.,

$$\exists (l_u, t_u) \in L_u \ \exists (l_p, t_p) \in L_P \ ((d_s(l_u, l_p) \le r) \land (d_t(t_p, t_u) \le \delta))$$

$$(3)$$

where the function  $d_s(l_u, l_p)$  represents the spatial distance – the Euclidean distance between locations  $l_u$  and  $l_p$ .  $d_t(t_u, t_p)$  represents the temporal difference – the time difference in seconds from an earlier timestamp  $t_p$  to a later timestamp  $t_u$ .

We give a toy example in Example 1 to better illustrate the concept of contacts.

Example 1. As shown in Fig. 1b, there is one patient  $p_1$  and two users  $u_1$ - $u_2$ . User  $u_1$  has a trajectory  $L_{u_1} = \{(l_1, t_1), (l_2, t_2)\}$ . User  $u_2$  has a trajectory  $L_{u_2} = \{(l_3, t_3), (l_4, t_4)\}$ . The set of patients P (only contains one single patient p) has the trajectory  $L_P = \{(l_5, t_5), (l_6, t_6)\}$ . The locations are shown on the figure, and let us further specify the time. Let  $t_1 = 2021$ -06-10 11:00:00 and  $t_5 = 2021$ -06-10 10:00:00, indicating that patient p visits the location  $l_5$  an hour earlier than the time when user u visits  $l_1$ .

Based on Definition 6, let r be the distance shown in the figure, and the time difference threshold be  $\delta=2$  hours, then the user  $u_1$  is a contact, since  $d_s(l_1,l_5) \leq r$ , i.e.,  $u_1$  has visited  $l_1$  and  $l_1$  is within distance r to patient  $p_1$ 's visited location  $l_5$ , and  $d_t(t_1,t_5)=1$  hour  $\leq \delta$ , i.e., the time difference between the two visits is within  $\delta$  ( $\delta=2$  hours).

The temporal information plays a key role in the definition. If user  $u_1$  visits the same location  $l_1$  at a different time (e.g., let  $t_1 = 2021$ -06-10 13:00:00), then since  $d_t(t_1, t_5) = 3$  hours  $> \delta$ , which violates the temporal constraint,  $u_1$  would not be defined as a contact in that case.

On the other hand, user  $u_2$  is not a close-contact, because her visited locations,  $l_3$  and  $l_4$ , are not in proximity of any patient's visited locations.

#### 3.2 Adversary Model

There are two major roles in our application: the users (the clients) and the government (the server). All trajectories by the patients (represented as  $L_P$  as in Definition 5) are aggregated and stored at the server. The setting follows the real-world situation: governments often collect whereabouts of confirmed patients in order to minimize any further transmission, usually starting with tracing the close contacts of the patients. For example, this is enforced by legislation in Hong Kong<sup>2</sup>.

We adopt a semi-honest model as the adversary model in our problem. Adversary could exist on both the client (the user) and the server side (the government). We assume both the client and the server are curious about other parties' private information, but they are not malicious and follow our designed system protocols. The semi-honest model is a commonly adopted setting in recent privacy-preserving LBS related applications [26,32,25].

#### 3.3 Privacy-Preserving Contact Tracing Problem

Based on the concepts and adversary model introduced previously, we now define the Privacy-Preserving Contact Tracing (PPCT) problem as follows.

Definition 7 (Privacy-Preserving Contact Tracing (PPCT) problem). Given a set U of n users , the trajectory  $L_u$  for each user  $u \in U$ , a set P of m patients, a set  $L_P$  containing the union of trajectories for all patients  $p_1, \ldots, p_m \in P$ , a distance threshold r, and a time difference threshold  $\delta$ , the

<sup>&</sup>lt;sup>2</sup> https://www.elegislation.gov.hk/hk/cap599D!en?INDEX\_CS=N

PPCT problem needs to correctly identify each user as a contact (see Definition 6) or not.

In addition, PPCT has the following privacy requirements:

- The computation process needs to be differentially private / confidential w.r.t. each user's trajectory  $L_u$ .
- The computation process needs to be differentially private / confidential w.r.t.  $L_P$ , the union of trajectories of the patients.

With different trust assumptions and tradeoffs, there are a couple of choices for techniques which provide commonly accepted privacy guarantee: secure computation [30,28,3], trusted execution environments [2,33], and differential privacy [21,18].

### 4 ContactGuard

In this section, we first introduce two baselines, one based on Secure Multiparty Computation (MPC) and the other one based on Geo-Indistinguishability (an extension of differential privacy into the location-based services domain). Then, we introduce our proposed method – ContactGuard.

#### 4.1 Baselines

MPC and Geo-I are two different paradigms to satisfy the privacy requirements in the PPCT problem, but they differ greatly in terms of efficiency and accuracy. MPC allows the server and the client to use cryptographic primitives to securely compare each visited location without revealing the exact locations of one party to the other parties. However, it induces significant computation overhead.

Geo-I [1] is an extended notion of differential privacy into the spatial domain (strictly speaking, Geo-I is a variant of local differential privacy notion [10,6]. It is an efficient mechanism to be applied to the location inputs, however Geo-I injects noise into the inputs (protecting the input location by perturbing it to an obfuscated location). Using the perturbed locations to compare trajectories would then lead to errors and reduce the accuracy of contact tracing.

MPC baseline The MPC baseline is to directly apply existing secure multiparty computation techniques to our problem. In our setting, each time, we treat a client (a user) and the server (which holds the patients' data) as two parties. Each of the party holds their own private visited locations. Then, they use MPC operations to compare their visited locations and check whether the user is a contact or not, according to Definition 6. Note that the computation is *exact*, which means that it does not lose any accuracy.

The technique is enabled and implemented with Obliv-C [31], which is a language framework that provides high-level oblivious data structures for us to store and compare visited locations of users and the patients in an oblivious way. The underlying security protocol is provided by the language framework.

**Geo-I baseline** In Geo-I baseline, each user perturbs his/her trajectory  $L_u$  to a protected noisy location set  $L'_u$  using Geo-I (Algo. 1). Then, the user submits  $L'_u$  to the server. The server directly compares  $L'_u$  with the patients' locations  $L_P$ . If there exists some perturbed location  $l' \in L'_u$  that is within distance r' (a system parameter), then u is identified as a contact.

Algo. 1 is a generic method to obtain a set of perturbed locations  $L'_u$ , given a set of original locations  $L_u$  and a total privacy budget of  $\epsilon$ . At Line 2, the total privacy budget  $\epsilon$  is equally divided into  $|L_u|$  shares. Thus, each share is  $\epsilon' = \epsilon/|L_u|$ . Then we perturb each location  $l \in L_u$  into a new location l' by the Geo-I mechanism described in Sec. 2.2. All perturbed locations l' compose the set  $L'_u$  of the perturbed locations.

Note that in the original defi-

nition for the trajectory  $L_u$  in Definition 3, each visited location l is associated with a timestamp that the location is visited (see Example 1). We omit the timestamp information for each visited location, and perturb only the locations to perturbed locations. We abuse the notation  $L_u$  and  $L'_u$  to denote only the spatial locations (Definition 2) and the generated perturbed locations.

**Time Complexity**. For Algo. 1 (the client side), the time complexity is  $\mathcal{O}(|L_u|)$ , linear to the number of visited locations given in the input  $L_u$ . For the server side, because it compares each location of  $L'_u$  against every location of  $L_P$ , the time complexity is  $\mathcal{O}(|L'_u||L_P|) \to \mathcal{O}(|L_u||L_P|)$ . For the server S to finish the processing of all users, the total time complexity is thus  $\mathcal{O}(\sum_u |L_u||L_P|) \to \mathcal{O}(|U||L_P|\max_u |L_u|)$ .

**Privacy Analysis.** We defer the privacy analysis of Algo. 1 to Sec. 4.2, as it is the same as the privacy analysis of ContactGuard.

#### 4.2 ContactGuard

In this section, we propose a novel method called ContactGuard. As we have previously introduced, the MPC baseline provides excellent accuracy, because it invokes computationally heavy MPC operations to compare all visited locations of users against the visited locations of the patients. The weakness of the MPC baseline is its poor efficiency. On the other hand, the Geo-I baseline is efficient because each client (user) applies an efficient Geo-I mechanism to change his/her visited locations to perturbed ones. But the inaccurate perturbed locations could lead to false positives/negatives for the PPCT problem.

The ContactGuard aims to combine the advantages of both baselines. On one hand, it uses the exact locations and MPC to determine whether a user

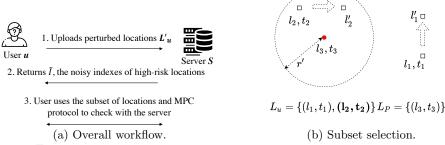


Fig. 3: The overall workflow and the subset selection process in Step 3.

is a contact or not to ensure excellent accuracy while providing strong privacy protection. On the other hand, it accelerates the MPC operation by selecting only a subset of users' visited locations to invoke the heavy MPC operations. The selection is done with the help of Geo-I. We illustrate the details of the method in the following sections.

**Overall workflow** The overall workflow of ContactGuard is illustrated in Fig. 3a. It consists of the following steps.

**Step 1. Location perturbation**: each user perturbs his/her visited location set  $L_u$  to a perturbed visited location set  $L'_u$ . The user submits  $L'_u$  to the server.

Step 2. Subset selection: the server compares  $L'_u$  with  $L_P$ , the trajectories of the patients. The server keeps track of the indexes of the high-risk locations (e.g., the 3rd/4th location) that are within distance r' to some visited location of the patients. Then, the server returns the list of indexes (denoted as  $\tilde{I}$ , with privacy protection) of the identified high-risk locations to the user.

**Step 3.** Accelerated MPC: the user selects the subset of visited locations based on  $\tilde{I}$ , which is returned by the server in Step 2, and then uses MPC protocol to compare the subset of locations with the patients' trajectories  $L_P$  on the server. If there is a location in the subset (together with the visited timestamp) that meets the definition of contact as Definition 6, the user u will be identified as a contact. Otherwise, the user will not be identified as a contact.

**Location perturbation** At Step 1, the user perturbs his/her visited location set  $L_u$  to a perturbed visited location set  $L'_u$ , and submits  $L'_u$  to the server. The steps are similar to the Geo-I baseline (Sec. 4.1).

Given a privacy budget  $\epsilon$  for each user, and the true location set  $L_u$ , the user generates a perturbed location set  $L'_u$  using Algo. 1. Similar to the Geo-I baseline, the total privacy budget  $\epsilon$  is equally divided into  $|L_u|$  shares. Each location  $l \in L_u$  is then perturbed to a noisy location l' by Geo-I mechanism with a privacy budget of  $\epsilon' = \epsilon/|L_u|$ .

Similar to the Geo-I baseline, we abuse notations  $L_u$  and  $L'_u$  to denote only the locations (without the timestamps) and the perturbed locations. This is slight different from the original definition for the visited location set  $L_u$  in

Definition 3, where each location is associated with a timestamp. We simply ignore all the temporal information during the perturbation process, as a way to provide strong privacy guarantee for the timestamps (as we don't use it at all). The temporal information will be used to check for the temporal constraints for determining a contact in later MPC steps (Step 3).

**Privacy analysis.** To understand the level of privacy guarantee of Algo. 1, we provide the theoretical privacy analysis for it. It extends Geo-I [1] from protecting a single location to a set of locations. The brief idea of the extension was mentioned in [1], we provide a concrete and formal analysis here.

First, we extend the privacy definition of Geo-I from a single location to a set of locations.

**Definition 8.** (General-Geo-I) For two tuples of locations  $\mathbf{l} = (l_1, \dots, l_n), \mathbf{l}' = (l'_1, \dots, l'_n),$  a privacy parameter  $\epsilon$ , a mechanism M satisfies  $\epsilon$ -General-Geo-I iff:

$$d_{\rho}(M(\mathbf{l}), M(\mathbf{l}')) \le \epsilon d_{\infty}(\mathbf{l}, \mathbf{l}'),$$

where  $d_{\infty}(\mathbf{l}, \mathbf{l}') = max_i d(l_i, l_i')$ , which is the largest Euclidean distance among all pairs of locations from 1 and 1'.

Different from the Geo-I definition for a single location in Definition 1, here the distance between two tuples of locations is defined as the largest distance at any dimensions. If a randomized mechanism M satisfies the General-Geo-I in Definition 8, then given the any perturbed location set output  $\mathbf{o} = (o_1, \ldots, o_n)$ , which is a sequence of perturbed locations generated by M, no adversary could distinguish whether the perturbed locations are generated from true location set 1 or from 1'. The ratio of the probabilities of producing the same output  $\mathbf{o}$  from the true location set 1 or its neighboring input 1' is bounded by  $\epsilon d_{\infty}(\mathbf{l}, \mathbf{l}')$ .

As a special case, when there is only one location in l, Definition 8 becomes Definition 1. We start the analysis by showing the composition theorem for the General-Geo-I.

**Lemma 1.** Let  $K_0$  be a mechanism satisfying  $\epsilon_1$ -Geo-I (Definition 1) and  $K_1$  be another mechanism satisfying  $\epsilon_2$ -Geo-I. For a given 2-location tuple  $\mathbf{l}=(l_1,l_2)$ , the combination of  $K_0$  and  $K_1$  defined as  $K_{1,2}(\mathbf{l})=(K_1(l_1),K_2(l_2))$  satisfies  $(\epsilon_1+\epsilon_2)$ -General-Geo-I (Definition 8).

*Proof.* Let a potential output of the combined mechanism,  $K_{1,2}(\mathbf{l})$ , be  $\mathbf{o} = (o_1, o_2)$ , indicating a tuple of two perturbed locations. The probability of generating  $\mathbf{o}$  from two input location sets  $\mathbf{l} = (l_1, l_2)$  and  $\mathbf{l}' = (l'_1, l'_2)$  are  $\Pr[K_{1,2}(\mathbf{l}) = \mathbf{o}]$  and  $\Pr[K_{1,2}(\mathbf{l}') = \mathbf{o}]$ , respectively. Then, we measure the ratio of the two probabilities:

$$\frac{\Pr[K_{1,2}(\mathbf{l}) = \mathbf{o}]}{\Pr[K_{1,2}(\mathbf{l}') = \mathbf{o}]} = \frac{\Pr[K_1(l_1) = o_1] \cdot \Pr[K_2(l_2) = o_2]}{\Pr[K_1(l_1') = o_1] \cdot \Pr[K_2(l_2') = o_2]}$$
(4)

$$= \frac{\Pr[K_1(l_1) = o_1]}{\Pr[K_1(l_1') = o_1]} \cdot \frac{\Pr[K_2(l_2) = o_2]}{\Pr[K_2(l_2') = o_2]}$$
(5)

$$\leq e^{\epsilon_1 \cdot d(l_1, l_1')} \cdot e^{\epsilon_2 \cdot d(l_2, l_2')}$$
(6)

$$\leq e^{\epsilon_1 \cdot d_{\infty}(\mathbf{l}, \mathbf{l}')} \cdot e^{\epsilon_2 \cdot d_{\infty}(\mathbf{l}, \mathbf{l}')} \tag{7}$$

$$= e^{(\epsilon_1 + \epsilon_2)d_{\infty}(\mathbf{l}, \mathbf{l}')} \tag{8}$$

The end result in Equation (8) shows that  $K_{1,2}$  satisfies  $(\epsilon_1 + \epsilon_2)$ -General-Geo-I (Definition 8).

**Theorem 1.** Algo. 1 satisfies  $\epsilon$ -General-Geo-I (Definition 8).

*Proof.* We use the composition theorem of Geo-I in Lemma 1 to show that Algo. 1 composes linearly and consumes a total privacy budget of  $\epsilon$  over the entire set of locations.

At Line 4 of Algo. 1, for each location  $l \in L_u$ , it is perturbed to l' with a privacy budget  $\epsilon' = \epsilon_u/|L_u|$ . Then, for each location, we achieve  $\epsilon'$ -Geo-I. If there are two locations in  $L_u$ , and because each location is perturbed independently, we achieve  $\epsilon' + \epsilon' = 2\epsilon'$ -General-Geo-I. Then, by induction, for  $|L_u|$  locations, we achieve  $\sum_{l \in L_u} \epsilon' = \epsilon' \cdot |L_u| = \frac{\epsilon}{|L_u|} \cdot |L_u| = \epsilon$ -General-Geo-I.

Subset selection After Step 1, each user has a set of perturbed locations  $L'_u$  and submits it to the server. At Step 2, subset selection, upon receiving  $L'_u$ , the server selects a subset of high-risk locations based on the perturbed locations. This step is done at the server side, where the patients' true locations are stored. The server returns to the client the index of the selected high-risk locations (e.g., if the 2nd location and the 4th location are high-risk locations, then the server returns  $\{2,4\}$ ) to indicate which location out of  $L_u$  are close to the patients. In order to protect the privacy of the patients, randomized response is used to perturb the indexes of high-risk locations to noisy indexes.

On the server side, the server does not know the true locations nor the timestamps of the visits, which are stored in  $L_u$  on the client side (the user). However, the server has access to the true locations visited by the patients, which are denoted as  $L_P$ .  $L_P$  are the basis to judge whether a user location is high-risk or not.

We use a running example next to better illustrate the basic idea of subset selection.

Example 2. The example is shown in Fig. 3b. In this example, the user u has visited location  $l_1$  at time  $t_1$  and  $l_2$  at time  $t_2$ . At Step 1. location perturbation, the user perturbs location  $l_1$  to  $l'_1$  and  $l_2$  to  $l'_1$ . The set  $L'_u$  comprises these two perturbed locations and is sent to the server.

In this example, the patient visited location  $l_3$  at time  $t_3$ . Then, the server compares  $L'_u$ , which contains  $l'_1$  and  $l'_2$ , with  $l_3$ . Because  $l'_2$  locates close enough to  $l_3$  (within a distance r'),  $l'_2$  is identified as a high-risk location (and chosen to be included in the subset), whereas  $l'_1$  is not chosen. Then the server returns the indexes of the subset of high-risk locations, denoted by  $I = \{2\}$  back to the user, indicating that the 2nd location is a high-risk location.

For privacy reason, before the server returns the exact high-risk indexes, it uses randomized response to perturb them. Then, the noisy indexes are returned to the user. In this example,  $I = \{2\}$  could also be represented as  $\mathbf{i} = \{0, 1\}$  indicating the 1st location is not a high-risk location (indicated by a value of 0) and the 2nd location is high-risk (indicated by a value of 1). Each bit is perturbed with randomized response. Given a privacy budget  $\epsilon_P$ , the original value is returned with a probability  $e^{\epsilon_P}/(e^{\epsilon_P}+1)$ . Otherwise, it returns a flipped value (0 to 1, 1 to 0) with probability  $1/(e^{\epsilon_P}+1)$ .

**Privacy analysis.** The privacy guarantee of this step is provided with the following theorem.

**Theorem 2.** The subset selection step satisfies  $\epsilon_P$ -Local Differential Privacy.

Proof. Without loss of generality, let us focus on one specific location l in  $L_P$ . Assume that l is within distance r' to the i-th location in  $L'_u$ . Then the exact index constructed is  $\mathbf{i} = \{0, \dots, 1, \dots, 0\}$ , where the i-th location is with value 1 and all the other positions are 0. Then, with the randomized response mechanism, for a given output  $\mathbf{o} = \{0, \dots, 1, \dots, 0\}$ , the probability of generating this output at the i-th position is  $e^{\epsilon_P}/(e^{\epsilon_P}+1)$  (ignoring the probability of other positions as they are the same for the neighboring inputs  $L'_P$ , and will be cancelled out).

For the neighboring inputs  $L_P'$ , where all positions are the same except for  $l' \in L_P'$ , and l' be outside distance r' of the i-th location in  $L_u'$  and all the other locations in  $L_u'$ . The exact index constructed for this neighboring input is  $\mathbf{i}' = \{0, \dots, 0, \dots, 0\}$ , where the i-th position is with value 0. Then the probability of generating the same output  $\mathbf{0} = \{0, \dots, 1, \dots, 0\}$  at the i-th position is  $1/(e^{\epsilon_P} + 1)$  (again ignoring the probabilities for the other positions). So, the ratio of the two probabilities are:

$$\frac{\Pr[R(\mathbf{i}) = \mathbf{o}|l \in L_P)]}{\Pr[R(\mathbf{i}') = \mathbf{o}|l' \in L_P']} = \frac{e^{\epsilon_P}/(e^{\epsilon_P} + 1)}{1/(e^{\epsilon_P} + 1)} = e^{\epsilon_P}.$$
(9)

Thus, the subset selection step satisfies  $\epsilon_P$ -Local Differential Privacy.

Accelerated MPC After Step 2, the user u receives the set  $\tilde{I}$ , which contains the indexes of high-risk locations as identified by the server. At Step 3, accelerated MPC, the user uses MPC protocol to check with the server about whether the user is a contact or not, using only the locations indicated by the set  $\tilde{I}$ . In contrast, the MPC baseline uses every location in  $L_u$  to check with the

server using MPC protocol. Here, the user uses only a small subset of locations to perform secure computations.

Our method runs the same secure computation procedure as the MPC baseline. However, it only provides the subset of locations as the inputs to the ProtocolIO object io. The timestamps are also included in the io object, and are used to compare with the patients' trajectories  $L_P$ . This is different from the Geo-I baseline or Step 1. location perturbation in ContactGuard, which only uses the spatial information (the locations).

**Time complexity** We conduct time complexity analysis for each step of ContactGuard.

Step 1, location perturbation: the client side (the user) runs Algo. 1. The time complexity is  $\mathcal{O}(|L_u|)$ , linear to the number of visited locations given in the input  $L_u$ .

Step 2, subset selection: the server side conducts the subset selection. The time complexity is  $\mathcal{O}(|L'_u||L_P|) \to \mathcal{O}(|L_u||L_P|)$ , because it compares each location of  $L'_u$  against every location of  $L_P$ .

Step 3, accelerated MPC: let |I| be the size of the subset selected in Step 2. Then, the oblivious transformation from private inputs to oblivious data types takes  $\mathcal{O}(|n_I| + |L_P|)$  to complete. The main overhead occurs when we iterate over all locations from the patients and only locations from the subset of the user's visited locations, which takes  $\mathcal{O}(|L_P||I|)$  to complete. Overall, for each user, the MPC procedure takes  $\mathcal{O}(|L_P| + |I| + |L_P||I|) \to \mathcal{O}(|L_P||I|)$ .

Overall, for the server to finish processing all users, it takes  $\mathcal{O}(\sum_u |I_u||L_P|) \to \mathcal{O}(|U||L_P|\max_u |I_u|)$ , where  $I_u$  indicates the subset for each user u. The time complexity takes one MPC operation as a unit operation, and it overrides the  $\mathcal{O}(|L_u||L_P|)$  time needed at Step 2, subset selection, which does not require secure computation.

**Privacy analysis** We show the end-to-end privacy analysis for ContactGuard. Step 1, location perturbation:  $L_u$  is protected with  $\epsilon$ -General-Geo-I as shown in Theorem 1. The client side does not have knowledge about the patients' locations  $(L_P)$ , so Step 1 is private w.r.t. both  $L_u$  and  $L_P$ .

Step 2, subset selection: the computation is only done on the perturbed location  $L'_u$ . According to the post-processing property of Geo-I, the computation process is still private w.r.t.  $L_u$ . About the patients' locations  $L_P$ , Theorem 2 shows that the returned noisy index satisfies  $\epsilon_P$ -LDP w.r.t.  $L_P$ . Thus, this step is also private w.r.t. both  $L_u$  and  $L_P$ .

Step 3, accelerated MPC: the privacy is guaranteed by the MPC procedure (provided by Obliv-C) operations over private inputs. On the client side, the subset of  $L_u$  is selected from  $L_P$  with the noisy index  $\tilde{I}$ , and then they are transformed to the oblivious data types. On the server,  $L_P$  is also transformed to the oblivious data types. All further computations are based on oblivious operators.

#### **Experimental Study** 5

In this section, we first introduce the experimental setup in Sec. 5.1. Then, we present the detailed experimental results in Sec. 5.2.

#### Experimental setup

Datasets. We use a real-world dataset Gowalla [5] and a randomly generated synthetic dataset in our experiments.

Baselines. We compare our proposed ContactGuard (short as CG) method with the MPC baseline (Sec. 4.1, short as MPC) and the Geo-I baseline (Sec. 4.1, short as **Geo-I**).

**Metrics.** We focus on the following metrics:

- Recall: the number of found true close-contacts divided by the total number of close-contacts.
- Precision: the number of found true close-contacts divided by the number of predicted close-contacts.
- $F_1$  score: the harmonic mean of the precision and recall.  $F_1 = 2 \cdot \frac{\text{precision} \cdot \text{recall}}{\text{precision} + \text{recall}}$
- Accuracy: the percentage of correctly classified users.
- Running time: the running time of the method in seconds.

Recall is considered as the most important metric in our experiments, as it measures the capability of the tested method for identifying potential closecontacts out of the true close-contacts, which is crucial in the application scenario of contact tracing of infectious disease.

#### Control variables.

We vary the following control variables in our experiments to test our method. Default parameters are in boldface. The privacy budget setting adopts the common setting with the real-world deployments (Apple and Google) [9].

- Number of users:  $|U| \in [200, 400, 800, 1600]$ . Scalability test:  $|U| \in [10K, 100K, 1M]$ .
- Privacy budget for each user:  $\epsilon \in [2.0, 3.0, 4.0, 5.0]$ .
- Privacy budget for the patients:  $\epsilon_P \in [2.0, 3.0, 4.0, 5.0]$ .

#### **Experimental Results** 5.2

Our results show that the proposed solution ContactGuard achieves the best effectiveness/efficiency tradeoff, reducing the running time of the MPC baseline by up to 2.85×. The efficient Geo-I baseline, however, only provides poor effectiveness. Meanwhile, ContactGuard maintains the same level of effectiveness (measured by recall, precision,  $F_1$  score and accuracy) as the one of the MPC baseline. The scalability tests also show that ContactGuard accelerates the MPC baseline significantly. When the number of users is large (e.g., 500K), our ContactGuard supports daily execution, which is desirable in real-world application, while the MPC baseline fails to terminate within reasonable time (e.g., 24 hours).

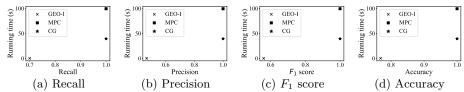


Fig. 4: Effectiveness (Recall/Precision/ $F_1$ /Accuracy) vs. Running time trade-off of different methods on the Gowalla dataset.  $|U| = 200, \epsilon = 4.0$ .

#### Effectiveness vs. Efficiency tradeoff.

Fig. 4 demonstrates the effectiveness and efficiency tradeoff of different methods. The y-axis are the running time of different methods (MPC baseline, Geo-I baseline and our proposed ContactGuard), and the x-axis are the respective measures for effectiveness (recall, precision,  $F_1$  and accuracy).

As we could see from Fig. 4, the MPC baseline is an *exact* method, which obtains 100% in all measures of effectiveness. However, the high accuracy comes with prohibitive running time. It runs for 99.35 seconds when the number of users is 200 (|U| = 200) and 194.99 seconds for 400 users (|U| = 400).

As a comparison, the Geo-I method is efficient, but comes with a significant decrease in effectiveness. For the setting  $|U|=200, \epsilon=4.0$ , Geo-I obtains about 70% recall and merely 46.7% precision. For the setting  $|U|=400, \epsilon=3.0$ , the recall drops to 39.0% and the precision drops to 42.0%.

Our proposed solution ContactGuard achieves the best effectiveness/efficiency tradeoff. For the setting  $|U|=200, \epsilon=4.0$  (Fig. 4), CG obtains the same level of effectiveness as the one of the MPC baseline, achieving 100% in recall, precision and accuracy.

As compared to the Geo-I baseline, CG method obtains  $2.28 \times$ ,  $2.38 \times$ , and  $1.24 \times$  improvement in terms of recall, precision and accuracy, respectively. On the other hand, when we compare to the *exact* MPC baseline, the CG method is about  $2.5 \times$  faster.

#### Effect of control variables.

Then, we test the effectiveness across different input sizes (|U|) and different values of the privacy budget ( $\epsilon$ ).

Varying |U|: Fig. 5 shows measures of effectiveness (recall, precision,  $F_1$ , and accuracy) when we vary the number of users (|U|) for the Gowalla dataset. Across different input sizes, the CG method maintains the same level of recall/precision/ $F_1$  score/accuracy as the *exact* MPC baseline across different |U|. This verifies that our CG method is highly effective across different input sizes.

On the other hand, the Geo-I baseline sacrifices significant effectiveness (in recall/precision/ $F_1$ /accuracy) across different |U|. The recall drops from 70% when |U| = 200 to 54.2% when |U| = 1600. The precision is constantly below 50%, and hovers around 35% when |U| gets larger than 800. It shows that the pure differential privacy based solution injects noise to the perturbed locations, and the perturbed locations inevitably lead to poor effectiveness in the contact tracing applications.

Varying  $\epsilon$ : Fig. 6 demonstrates the impact of the privacy budget on the effectiveness (recall/precision/ $F_1$ /accuracy). In terms of recall, CG obtains the

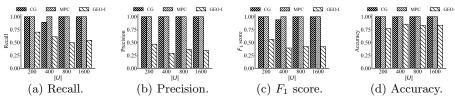


Fig. 5: Effectiveness (Recall/Precision/ $F_1$ /Accuracy) when varying the number of users (|U|) on the Gowalla dataset.  $\epsilon = 4.0$ .

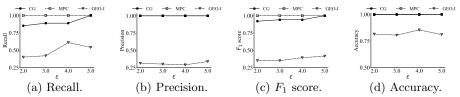


Fig. 6: Effectiveness (Recall/Precision/ $F_1$ /Accuracy) when varying the privacy budget ( $\epsilon$ ) on the Gowalla dataset. |U| = 400.

same level of effectiveness (reaching 100%) as the MPC baseline when  $\epsilon = 5.0$ . The recall is 85.2% when the privacy budget is small ( $\epsilon = 2.0$ ) and improves to 88.9% when  $\epsilon = 3.0$ . In these two settings, the improvement over the Geo-I baseline are about  $2.12\times$ .

In terms of precision, CG never introduces false positives, because positive results are returned only when there is indeed a true visited location of the user (included in the subset selected and verified with MPC operation) which overlaps with the patients. Thus, the precision is constantly at 100% over different  $\epsilon$ .

The  $F_1$  score reflects the similar pattern as the recall, as it is an average of recall and precision. The accuracy of CG stays at more than 99% across different  $\epsilon$ .

In our experiments, the default privacy budget  $\epsilon_P$  is set as 4.0, and the number of patients are set as 4. We defer the detailed results about varying  $\epsilon_P$  and |P| to the appendix.

#### Efficiency and scalability.

As discussed previously, the significant improvement in terms of effectiveness delivered by our proposed CG method as compared to the Geo-I baseline comes with a trade off for efficiency. However, when compared to the MPC baseline, it achieves significant speed up (as shown in Fig. 7). Fig. 7 shows the running time of different methods across different input sizes, when the number of users scales up to 1 million.

When the number of users (|U|) increases from 200 to 1600, the running time of the MPC baseline grows from 99.35 seconds to 746.34 seconds. The running time grows linearly with |U|.

In comparison, the running time of CG grows from 39.31 seconds to 306.20 seconds, also with a linear growth with |U|. The speed up of CG over MPC is  $2.52\times, 2.50\times, 2.48\times$ , and  $2.44\times$  when |U| increases from 200 to 1600. The results are shown in Fig. 7a.

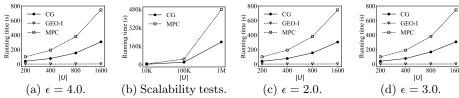


Fig. 7: Efficiency (running time in seconds) when varying the number of users (|U|) on the synthetic dataset.

Then, we use the synthetic dataset to compare the scalability of the MPC baseline and the CG method. The results are shown in Fig. 7b. The running time for MPC is 4754.7 seconds, 46,913 seconds (13 hours) and 476,504.6 seconds (5.5 days) for  $|U|=10\mathrm{K}$ , 100K, and 1M. As a comparison, the CG method runs in 1936.8 seconds, 19,087.2 seconds (5.3 hours) and 195,060.7 seconds (2.25 days). It maintains a  $2.5\times$  speed up over the MPC baseline. This verifies that the subset selection in CG significantly reduces the running time of the MPC protocol.

When the number of users reaches 1 million, the MPC baseline takes about 5.5 days to process all the users, while our proposed CG finishes in about 2 days. It indicates that CG could finish the execution within 24 hours for a scale of 500K users, while the MPC baseline is not feasible, because its daily execution would require more than 2 days to finish.

#### 6 Related Work

In this section, we review the related work based on the adopted privacy-preserving techniques.

Our work is closely related to REACT [8], as it also uses Geo-I [1] to preserve the location privacy. In REACT, each location is applied with Geo-I with the same privacy budget. As shown in the experiments (both in [8] and in our results), the Geo-I baseline performs poorly in terms of both recall and precision, because it only uses perturbed locations. As a similar notion to Geo-I, local differential privacy has also been used to protect the trajectory data [7]. However, its main use is for aggregated statistics over relatively larger regions, where in our application, each user's contact tracing and fine-grained visited location is important.

Many other privacy-preserving techniques are used for contact-tracing, including blockchain based P<sup>2</sup>B-Trace [22] and cryptographic primitives enabled BeeTrace [20] and Epione [27]. As opposed to using relative locations in these works, our setting uses absolute locations, which enables tracing indirect contacts (transmission via a contaminated environment) in addition to direct contacts.

On topics not related to contact tracing, there are many recent works on combining differential privacy and cryptographic techniques [29]. Secure shuffling and encryption-based techniques [12,24] could help reduce the error introduced by differential privacy, and differential privacy could enable more efficient secure computation [4]. Our solution, ContactGuard, is inspired by this line of ideas, and uses Geo-I to accelerate MPC computations.

#### 7 Conclusion

In this work, we study the Privacy-Preserving Contact Tracing (PPCT) problem, to identify the close-contacts of the patients while preserving the location privacy of the patients and the users. To address this problem, we propose an accurate and efficient solution named ContactGuard, which accelerates the Secure Multiparty Computation (MPC) with the help of Geo-Indistinguishability (Geo-I). Experimental results verify that ContactGuard provides significant speed up over the MPC baseline, while maintains an excellent level of effectiveness (as measured by recall and precision).

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