
Graphs – Basic Review and BFS

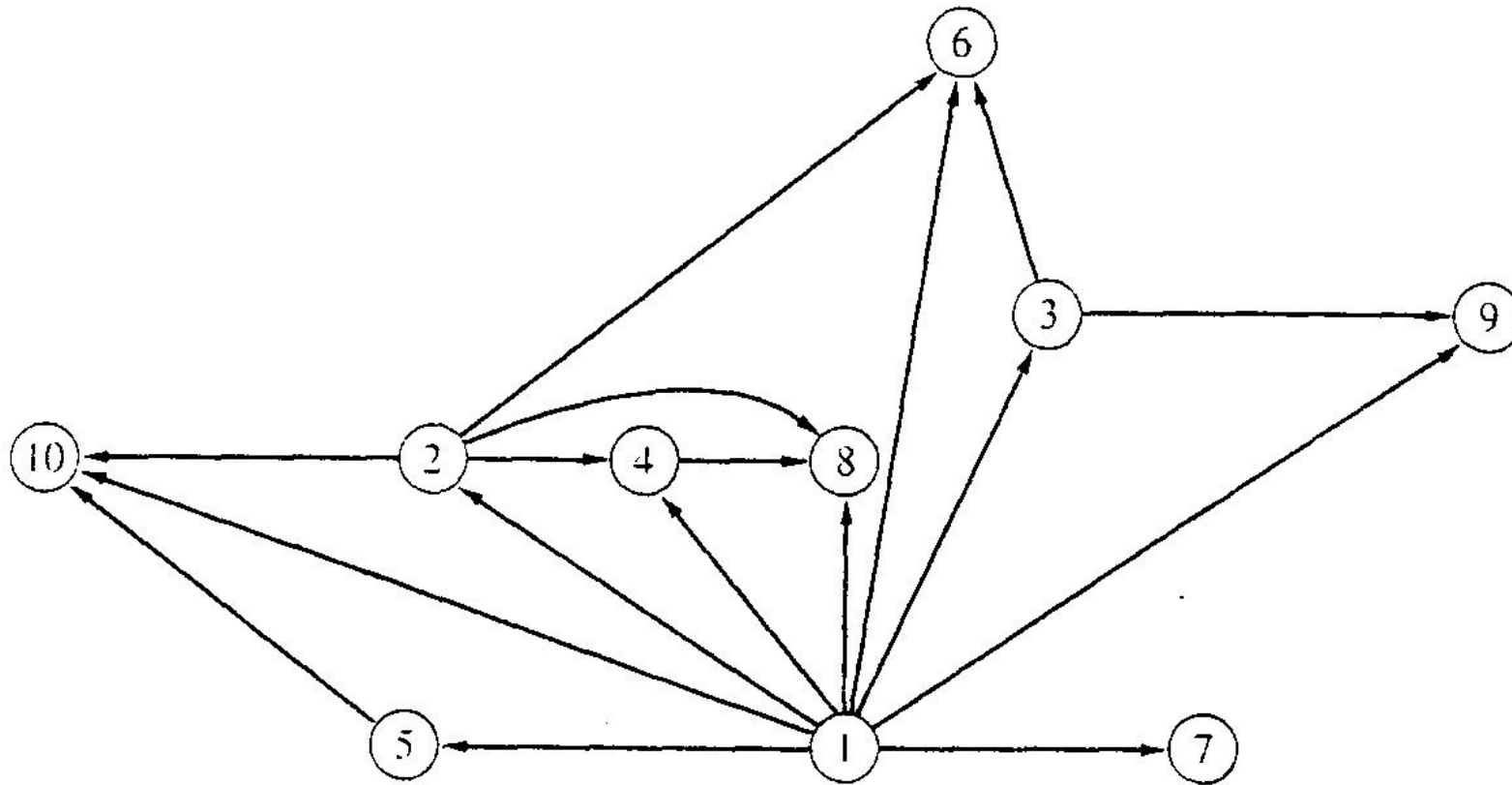
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CLRS Chapter 22.1 and 22.2



Graphs Review

Problems: e.g. Binary relation

- x is a proper factor of y



Definition: Directed graph

► Directed Graph

- A directed graph, or digraph, is a pair
 - $G = (V, E)$
 - where V is a set whose elements are called vertices, and
 - E is a set of ordered pairs of elements of V .
-
- Vertices are often also called nodes.
 - Elements of E are called edges, or directed edges, or arcs.
 - For directed edge (v, w) in E , v is its tail and w its head;
 - (v, w) is represented in the diagrams as the arrow, $v \rightarrow w$.
 - In text we simply write vw .

Definition: Undirected graph

▶ Undirected Graph

- ▶ A undirected graph is a pair
- ▶ $G = (V, E)$
- ▶ where V is a set whose elements are called vertices, and
- ▶ E is a set of *unordered* pairs of *distinct* elements of V .
 - ▶ Vertices are often also called nodes.
 - ▶ Elements of E are called edges, or undirected edges.
 - ▶ Each edge may be considered as a subset of V containing two elements,
 - ▶ $\{v, w\}$ denotes an undirected edge
 - ▶ In diagrams this edge is the line $v\text{---}w$.
 - ▶ In text we simple write vw , or wv
 - ▶ vw is said to be *incident* upon the vertices v and w

Terms You Should Know

- ▶ Vertex (plural *vertices*) or Node
- ▶ Edge (sometimes referred to as an *arc*)
 - ▶ Note the meaning of *incident*
- ▶ Degree of a vertex: how many adjacent vertices
 - ▶ Digraph: in-degree (num. of incoming edges) vs. out-degree
- ▶ Graphs can be:
 - ▶ Directed or undirected
 - ▶ Weighted or not weighted
 - ▶ weights can be reals, integers, etc.
 - ▶ weight also known as: cost, length, distance, capacity,...
- ▶ Undirected graphs:
 - ▶ Normally an edge can't connect a vertex to itself
- ▶ A directed graph (also known as a *digraph*)
 - ▶ “Originating” node is the *head*, the target the *tail*
 - ▶ An edge may connect a vertex to itself

Terms You Should Know or Learn Now

- ▶ Size of graph? Two measures:
 - ▶ Number of nodes. Usually 'V'
 - ▶ Number of edges: usually 'E'
- ▶ Dense graph: many edges
 - ▶ Maximally dense?
 - ▶ Undirected: each node connects to all others, so
$$e = v(v-1)/2$$
Called a *complete graph*
 - ▶ Directed: $e = v(v-1)$ why?
- ▶ Sparse graph: fewer edges
 - ▶ Could be zero edges...

Terms You Should Know or Learn Now

- ▶ Path vs. simple path
 - ▶ One vertex is *reachable* from another vertex
- ▶ A *connected graph*
 - ▶ undirected graph, where each vertex is reachable from all others
- ▶ A *strongly connected digraph*:
 - ▶ direction affects this!
 - ▶ node u may be reachable from v , but not v from u
 - ▶ Strongly connected means both directions
- ▶ Connected components for undirected graphs

Terms You Should Know or Learn Now

▶ Cycle

- ▶ Directed graph: non-empty path with same starting and ending node
- ▶ An edge may appear more than once (but why?)
 - ▶ **Simple cycle**: no node repeated except start and end
- ▶ Undirected graph: same idea
 - ▶ If an edge appears more than once (i.e. non-simple) then we traverse it in the same direction

▶ Acyclic: no-cycles

▶ A connected, acyclic undirected graph: *free tree*

- ▶ If we specify a root, it's a *rooted tree*
- ▶ Acyclic but not connected? a undirected *forest*

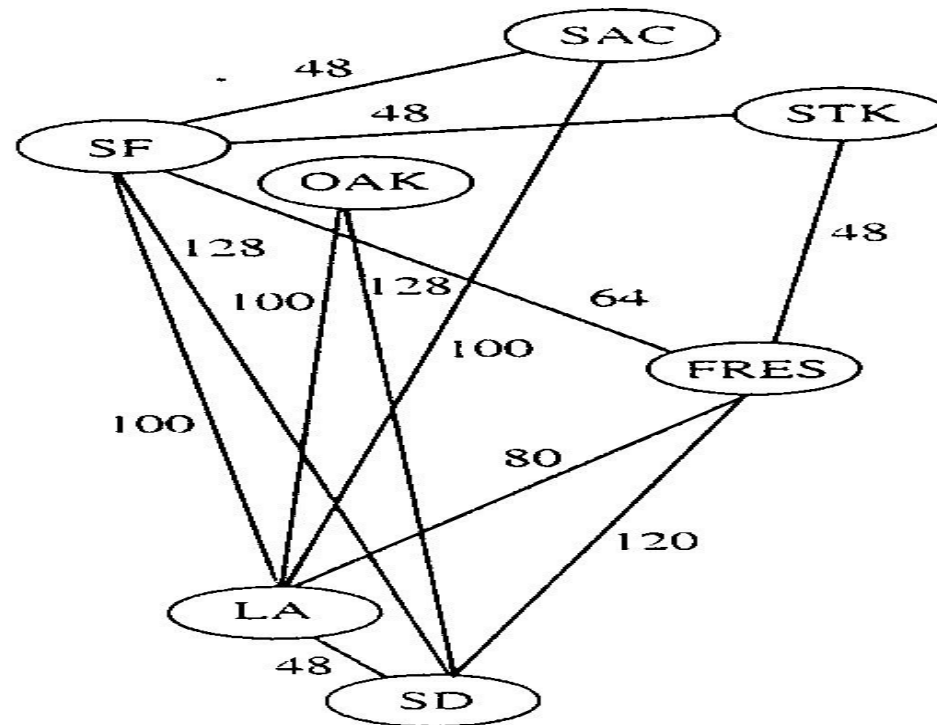
▶ Directed acyclic graph: a DAG

Self-test: Understand these Terms?

- ▶ Subgraph
- ▶ Symmetric digraph
- ▶ complete graph
- ▶ Adjacency relation
- ▶ Path, simple path, reachable
- ▶ Connected, Strongly Connected
- ▶ Cycle, simple cycle
- ▶ acyclic
- ▶ undirected forest
- ▶ free tree, undirected tree
- ▶ rooted tree
- ▶ Connected component

Definitions: Weighted Graph

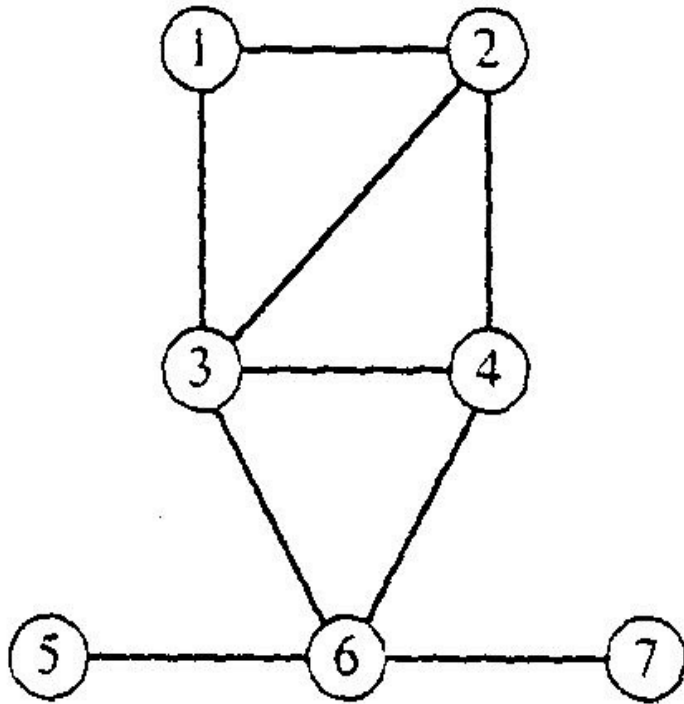
- ▶ A weighted graph is a triple (V, E, W)
 - ▶ where (V, E) is a graph (directed or undirected) and
 - ▶ W is a function from E into \mathbb{R} , the reals (integer or rationals).
 - ▶ For an edge e , $W(e)$ is called the weight of e .



Graph Representations using Data Structures

► Adjacency Matrix Representation

- Let $G = (V, E)$, $n = |V|$, $m = |E|$, $V = \{v_1, v_2, \dots, v_n\}$
- G can be represented by an $n \times n$ matrix

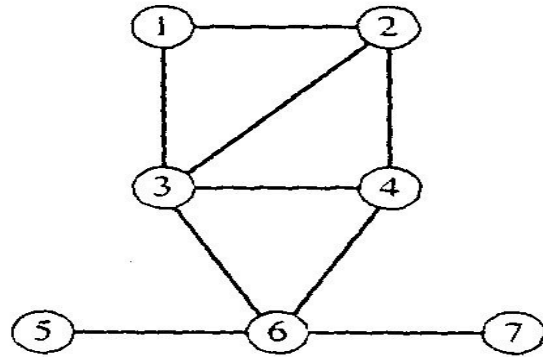


(a) An undirected graph

0	1	1	0	0	0	0
1	0	1	1	0	0	0
1	1	0	1	0	1	0
0	1	1	0	0	1	0
0	0	0	0	0	1	0
0	0	1	1	1	0	1
0	0	0	0	0	1	0

(b) Its adjacency matrix

Array of Adjacency Lists Representation

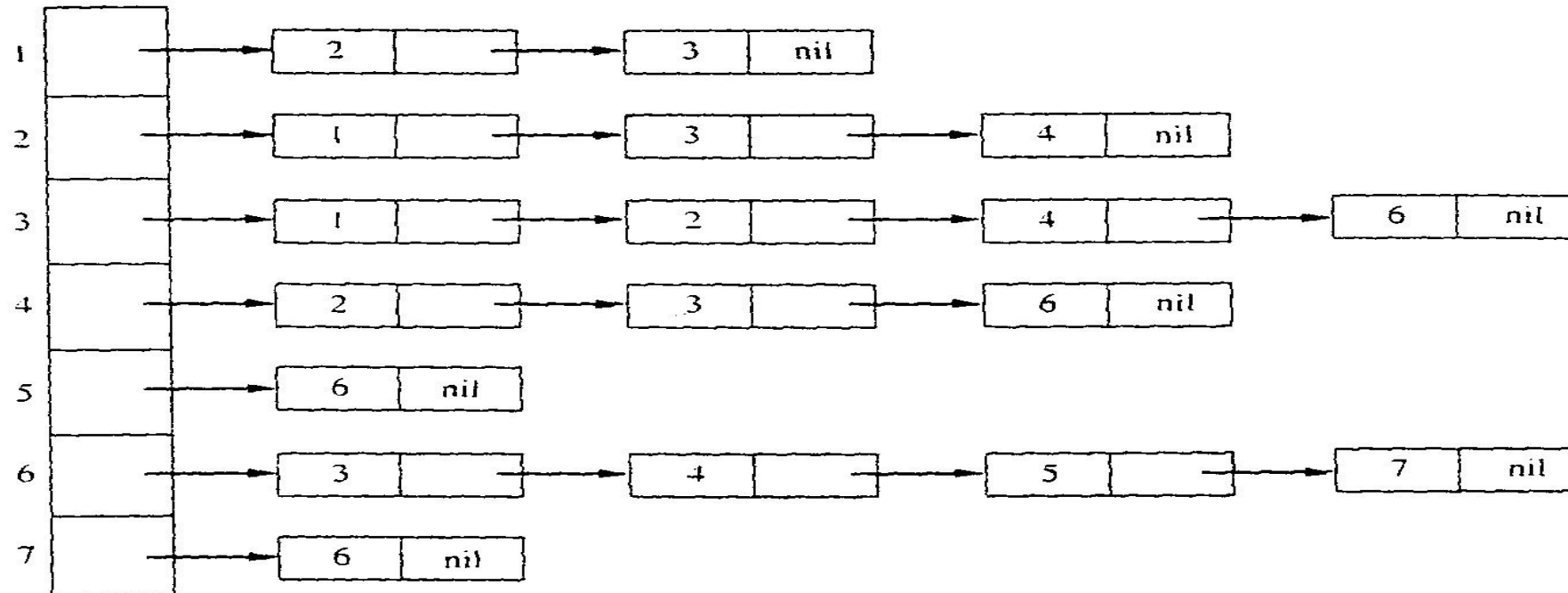


(a) An undirected graph

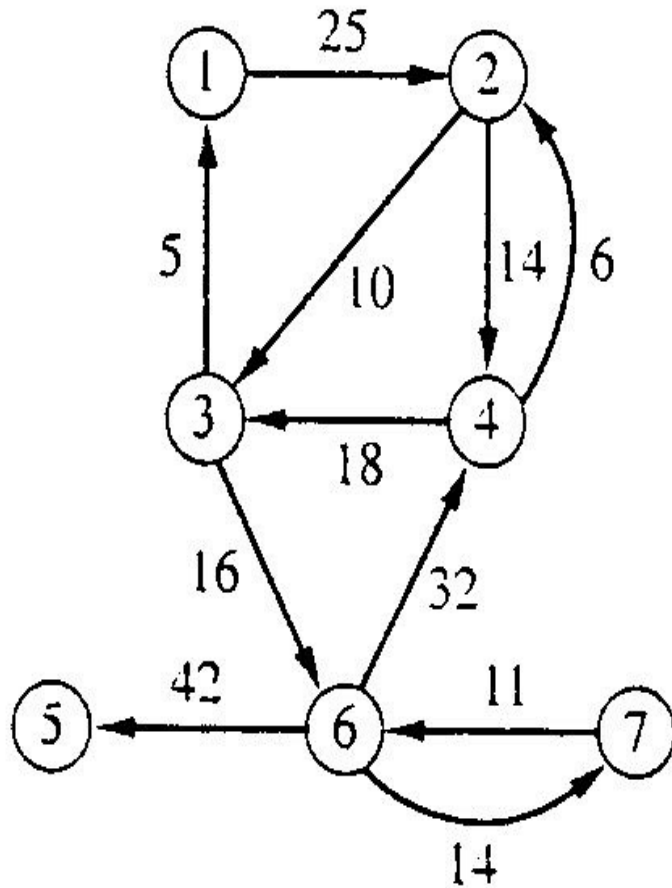
$$\begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

(b) Its adjacency matrix

adjVertices



Adjacency Matrix for weight digraph

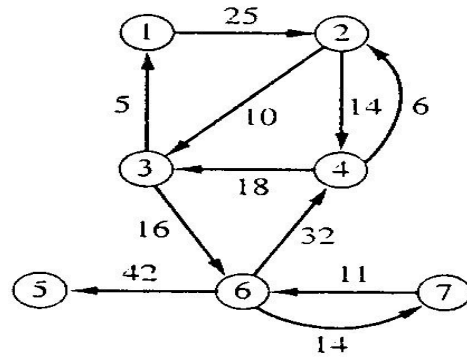


(a) A weighted digraph

$$\begin{pmatrix} 0 & 25.0 & \infty & \infty & \infty & \infty & \infty \\ \infty & 0 & 10.0 & 14.0 & \infty & \infty & \infty \\ 5.0 & \infty & 0 & \infty & \infty & 16.0 & \infty \\ \infty & 6.0 & 18.0 & 0 & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & 0 & \infty & \infty \\ \infty & \infty & \infty & 32.0 & 42.0 & 0 & 14.0 \\ \infty & \infty & \infty & \infty & \infty & 11.0 & 0 \end{pmatrix}$$

(b) Its adjacency matrix

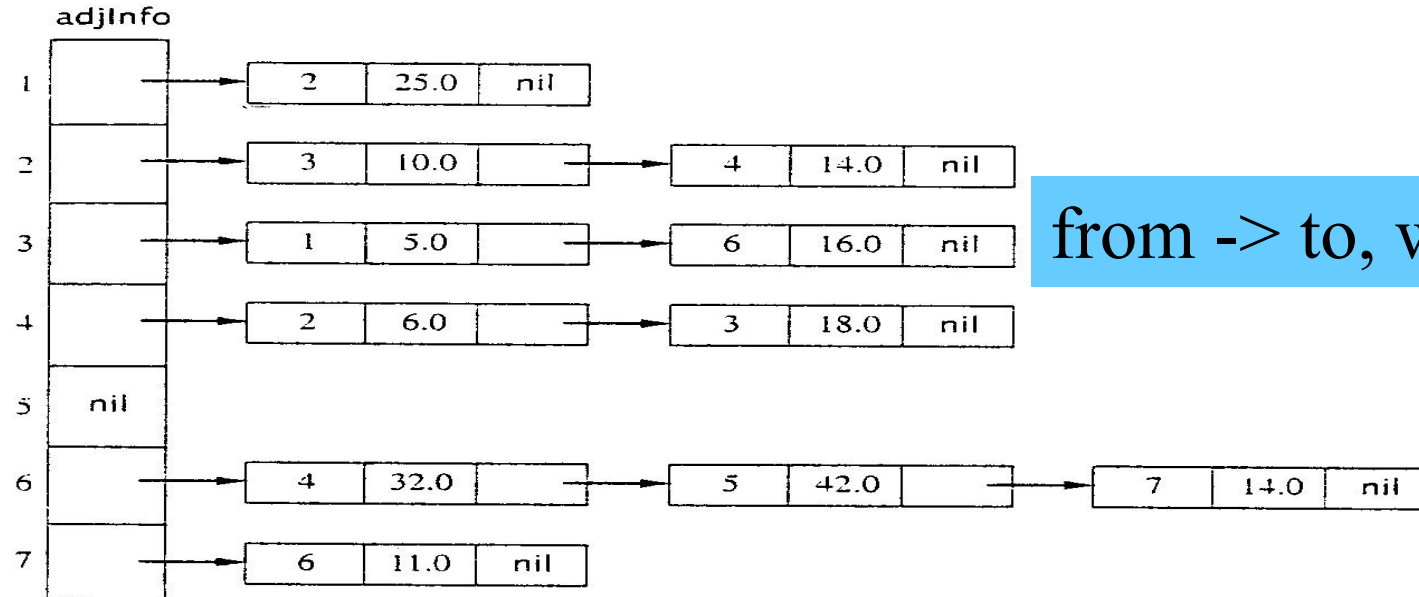
Array of Adjacency Lists Representation



(a) A weighted digraph

$$\begin{pmatrix} 0 & 25.0 & \infty & \infty & \infty & \infty & \infty \\ \infty & 0 & 10.0 & 14.0 & \infty & \infty & \infty \\ 5.0 & \infty & 0 & \infty & \infty & 16.0 & \infty \\ \infty & 6.0 & 18.0 & 0 & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & 0 & \infty & \infty \\ \infty & \infty & \infty & 32.0 & 42.0 & 0 & 14.0 \\ \infty & \infty & \infty & \infty & \infty & 11.0 & 0 \end{pmatrix}$$

(b) Its adjacency matrix



(c) Its adjacency-list structure

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Breadth-First Search

Traversing Graphs

- ▶ “Traversing” means processing each vertex edge in some organized fashion by following edges between vertices
 - ▶ We speak of *visiting* a vertex. Might do something while there.
- ▶ Recall traversal of binary trees:
 - ▶ Several strategies: In-order, pre-order, post-order
 - ▶ Traversal strategy implies an order of visits
 - ▶ We used recursion to describe and implement these
- ▶ Graphs can be used to model interesting, complex relationships
 - ▶ Often traversal used just to process the set of vertices or edges
 - ▶ Sometimes traversal can identify interesting properties of the graph
 - ▶ Sometimes traversal (perhaps modified, enhanced) can answer interesting questions about the problem-instance that the graph models

BFS: Overall Strategy

▶ Breadth-first search: Strategy

- ▶ choose a starting vertex, distance $d = 0$
- ▶ vertices are visited in order of increasing distance from the starting vertex,
- ▶ examine all edges leading from vertices (at distance d) to adjacent vertices (at distance $d+1$)
- ▶ then, examine all edges leading from vertices at distance $d+1$ to distance $d+2$, and so on,
- ▶ until no new vertex is discovered

BFS: Specific Input/Output

► Input:

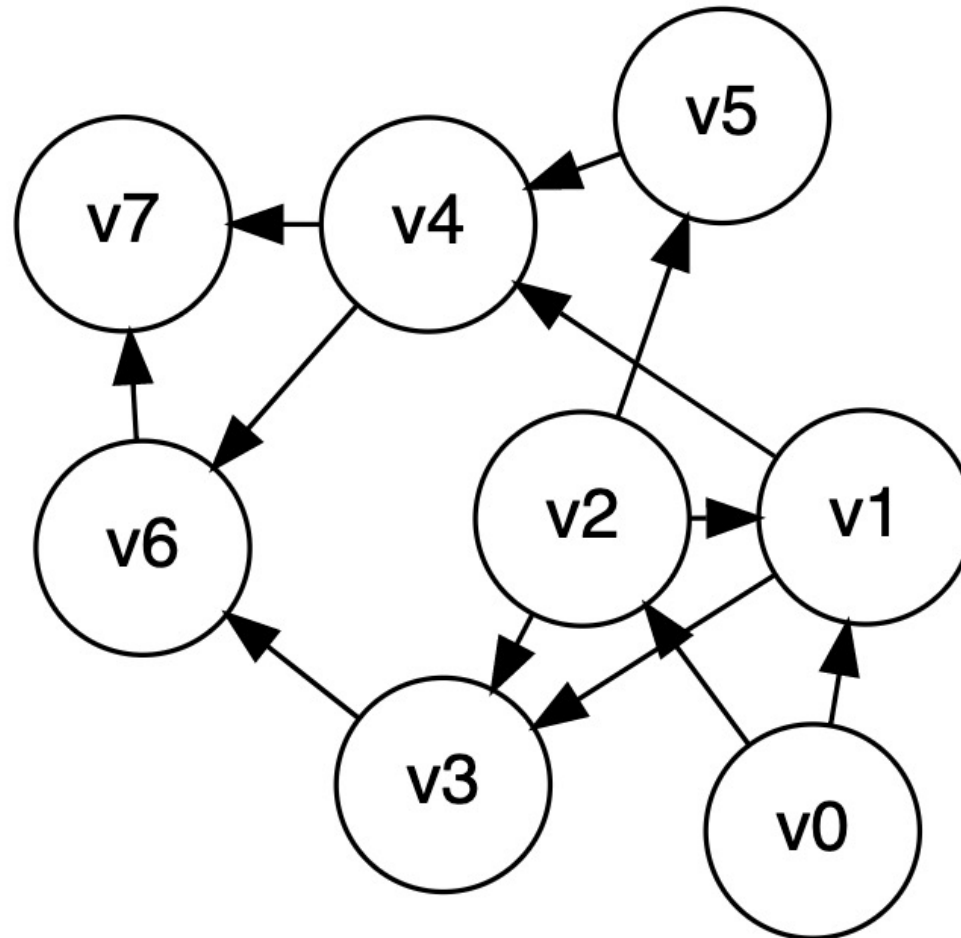
- A graph \underline{G}
- single start vertex \underline{s}

► Output:

- Shortest distance from \underline{s} to each node in \underline{G} (distance = number of edges)
- Breadth-First Tree of \underline{G} with root \underline{s}
 - *Note: The paths in this BFS tree represent the shortest paths from s to each node in G*

Breadth-first search, quick example

- ▶ Let's start at v_0



Breadth-first search implementation

BFS(G, s)

```
1  for each vertex  $u \in G.V - \{s\}$ 
2       $u.color = \text{WHITE}$ 
3       $u.d = \infty$ 
4       $u.\pi = \text{NIL}$ 
5   $s.color = \text{GRAY}$ 
6   $s.d = 0$ 
7   $s.\pi = \text{NIL}$ 
8   $Q = \emptyset$ 
9  ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11      $u = \text{DEQUEUE}(Q)$ 
12     for each  $v \in G.Adj[u]$ 
13         if  $v.color == \text{WHITE}$ 
14              $v.color = \text{GRAY}$ 
15              $v.d = u.d + 1$ 
16              $v.\pi = u$ 
17             ENQUEUE( $Q, v$ )
18      $u.color = \text{BLACK}$ 
```

► Vertices here have some properties:

- $color = \text{white/gray/black}$
- $d = \text{distance from start node}$
- $\pi = \text{node through which } d \text{ is achieved}$

Breadth-first search: Analysis

- ▶ For a digraph having V vertices and E edges
 - ▶ Each edge is processed once in the while loop for a cost of $\theta(E)$
 - ▶ Each vertex is put into the queue once and removed from the queue and processed once, for a cost $\theta(V)$
 - ▶ Total: $\theta(V+E)$
 - ▶ Extra space is used for color array and queue, there are $\theta(V)$
- ▶ From a *tree* (breadth-first spanning tree)
 - ▶ the path in the tree from start vertex to any vertex contains the *minimum* possible number of edges
- ▶ Not all vertices are necessarily reachable from a selected starting vertex

Breadth-first search: Some Properties

- ▶ Does BFS always compute $\delta(s,v)$ correctly, where $\delta(s,v)$ is the shortest path (number of edges) from s to any vertex v ?

- ▶ Lemma 1:

Let $G=(V,E)$ be a directed or undirected graph, and let $s \in V$ be an arbitrary vertex. Then, for any edge $(u, v) \in E$

$$\delta(s,v) \leq \delta(s,u) + 1$$

Breadth-first search: Some Properties

► Lemma 2:

Let $G = (V, E)$ be a directed or undirected graph, and suppose BFS is run on G from a given source vertex $s \in V$. Then upon termination, for each vertex $v \in V$, the value $v.d$ computed by BFS satisfies $v.d \geq \delta(s, v)$

^^^This is a weak bound! Just says distance will not be better than best path.

$$\begin{aligned} v.d &= u.d + 1 && \text{//By how code updates } v.d \\ &\geq \delta(s, u) + 1 && \text{//By inductive hypothesis} \\ &\geq \delta(s, v) . && \text{//By Lemma 1 on previous slide} \end{aligned}$$

Breadth-first search: Some Properties

► Lemma 3:

Suppose during BFS execution, the Queue contains vertices $\{v_1, v_2, \dots, v_n\}$ where v_1 is at head of queue and v_n is at tail of queue. Then:

$$v_n.d \leq v_1.d + 1$$

//all nodes on Q differ by at most 1

$$v_i.d \leq v_{i+1}.d$$

//nodes on Q are non-decreasing distances

for $i = 1, 2, 3, \dots, n-1$

Why?

Correctness of BFS

Proof of Correctness

- ▶ Claim:
- ▶ Let $G=(V,E)$ be a directed or undirected graph, and suppose that BFS is run on G from a given source vertex $s \in V$. Then, during its execution, BFS discovers every vertex $v \in V$ that is reachable from s , and upon termination $v.d = \delta(s, v)$ for all $v \in V$.

Proof of Correctness

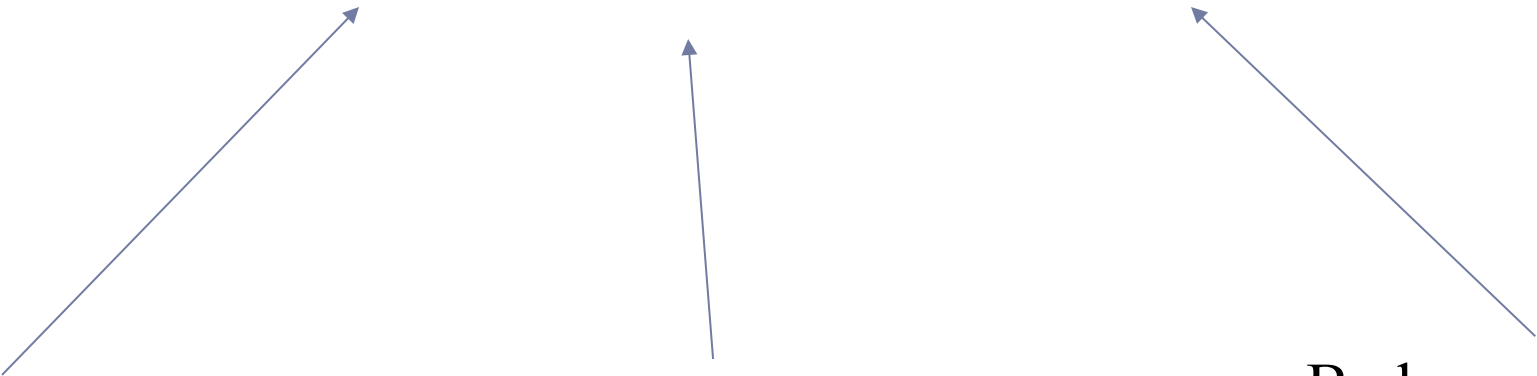
- ▶ Proof by Contradiction:
- ▶ Assume that BFS does NOT work.
- ▶ Then...there MUST exist at least one node v such that $v.d \neq \delta(s, v)$
- ▶ There might be more, but let v be such a node with the smallest $v.d$ value
 - ▶ Meaning the "first one" that BFS incorrectly calculates.
 - ▶ This is a good choice because we can assume all nodes with smaller d value were computed correctly! Nice!

Proof of Correctness

- So, this incorrectly calculated node v has the following property:

$$v.d > \delta(s, v) = \delta(s, u) + 1 = u.d + 1$$

Because of Lemma 2!



By definition of
optimal path

By how we chose
 v

Proof of Correctness

$$v.d > \delta(s, v) = \delta(s, u) + 1 = u.d + 1$$

So...at some point during execution. The node u is popped off the queue and the edge $e=(u,v)$ is followed and node v is processed. Three cases:

Case 1: v is white

Case 2: v is gray

Case 3: v is black

Proof of Correctness

$$v.d > \delta(s, v) = \delta(s, u) + 1 = u.d + 1$$

Case 1: v is white

If v is white, algorithm sets $v.d = u.d + 1$ (line 15).

Contradiction! above formula shows $v.d > u.d + 1$

Proof of Correctness

$$v.d > \delta(s, v) = \delta(s, u) + 1 = u.d + 1$$

Case 2: v is gray

if v is gray, then v is currently on the queue.

v was turned gray by dequeuing some other node w, setting $v.d = w.d + 1$

Order on queue: w, then u, then v, Lemma 3 gives $w.d \leq u.d \leq v.d$

So: $v.d = w.d + 1 \leq u.d + 1$

^^Contradiction!

Proof of Correctness

$$v.d > \delta(s, v) = \delta(s, u) + 1 = u.d + 1$$

Case 3: v is black

if v is black, then v was previously on queue ahead of u

queue distance values monotonically increasing, so $v.d \leq u.d$ (Lemma 3)

Thus $v.d \leq u.d < u.d + 1$

^^Contradiction!!

Proof of Correctness

Finishing out the proof!

If BFS is wrong then either:

$$v.d < \delta(s, v)$$

No! By Lemma 2

$$v.d > \delta(s, v)$$

No! By proof by contradiction / 3 cases

$$\text{Thus, } v.d = \delta(s, v)$$