Comp 460 - Algorithms & Complexity

Spring Semester 2020 - Week 7 Dr Nick Hayward

Fibonacci

- fun way to test recursion and stacks (i.e. call stack)
- problem of searching Fibonacci series of numbers
- Fibonacci series is simply an ordered sequence of numbers
- each number is the sum of the preceding two...
- e.g.

```
[0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89]
```

- might also see the series beginning with 1 instead of 0
- function should return n-th entry in sequence.
 - e.g. 5th index entry will return 5
- Fibonacci may be solved using various techniques and algorithms
- e.g. iteration and recursion...
- a good test of runtime speed and complexity

Fibonacci - iteration example

- initially test an iterative solution
- check and return values in the Fibonacci series
- e.g.

```
function fib(n) {
    // pre-populate array - allow calculation with two initial values
    const result = [0, 1];
    // i starts at index 2...
    for (let i = 2; i <= n; i++) {
        // get the previous two results in array
        const a = result[i-1];
        const b = result[i-2];
        // calculate next value in series & push to result array
        result.push(a + b);
    }
    // get result at specified index posn in series...
    return result[n-1]; // -1 due to array index starting at 0...
}
// log to console...
console.log('index posn 8 in fibonacci series = ', fib(8));</pre>
```

- O(n) linear time for iteration
- assuming constraints of memory for 64bit system
- beyond memory bounds and complexity becomes quadratic
 - $O(n^2)$ or $O(n^2)$

- also consider a solution using recursion
- e.g.

```
function fib(n) {
   // base case
   if (n < 2) {
      console.log(n);
      return n;
   }
   // dynamic calculation of number in sequence
   return fib(n-1) + fib(n-2);
}
console.log('index posn 5 in fibonacci series = ', fib(5));</pre>
```

- add some logging for this recursion
 - *e.g.*

```
function fib(n, r) {
  console.log(`n = ${n} and r = ${r}`);
  // base case
  if (n < 2) {
    console.log(n);
    return n;
  }
  // dynamic calculation of number `n` in sequence and recursive call `r`...
  return fib(n-1, 1) + fib(n-2, 2);
}

console.log('index posn 5 in fibonacci series = ', fib(5, 0));</pre>
```

- sample output to help track recursive calls and addition
- e.g.

```
n = 5 and r = 0
n = 4 and r = 1
n = 3 and r = 1
n = 2 and r = 1
n = 1 and r = 1
return base = 1
n = 0 and r = 2
return base = 0
n = 1 and r = 2
return base = 1
n = 2 and r = 2
n = 1 and r = 1
return base = 1
n = 0 and r = 2
return base = 0
n = 3 and r = 2
n = 2 and r = 1
n = 1 and r = 1
return base = 1
n = 0 and r = 2
return base = 0
n = 1 and r = 2
return base = 1
index posn 5 in fibonacci series = 5
```

Fibonacci - recursion example - part 4

recursive pattern may be defined as follows

```
fib(5)
    n = 5
    return fib(5-1) + fib(5-2) // recurse
    fib(5-1)
        n = 4
        return fib(4-1) + fib(4-2) // recurse
        fib(4-1)
            n = 3
            return fib(3-1) + fib(3-2) // recurse
            fib(3-1)
                return fib(2-1) + fib (2-2) // recurse
                fib(2-1)
                    return 1 // base returned - recurse
                fib(2-2)
                    n = 0
                    return 0 // base returned - recurse
            fib(3-2)
                n = 1
                return 1 // base returned - recurse
        fib(4-2)
            n = 2
            return fib(2-1) + fib(2-2) // recurse
            fib(2-1)
                n = 1
                return 1 // base returned
            fib(2-2)
                n = 0
                return 0 // base returned
    fib(5-2)
        n = 3
        return fib(3-1) + fib(3-2) // recurse
        fib(3-1)
            return fib(2-1) + fib(2-2) // recurse
            fib(2-1)
                n = 1
                return 1 // base returned
            fib(2-2)
```

```
n = 0
    return 0 // base returned
fib(3-2)
    n = 1
    return 1 // base returned
return 5 // sum return values for base
```

- follow pattern of recursion and base case returns
 - shows return values needed to calculate index position 5 in Fibonacci series
- *i.e.*

```
// Fibonacci series to index 5
[0,1,1,2,3,5]
```

Recursion and Fibonacci



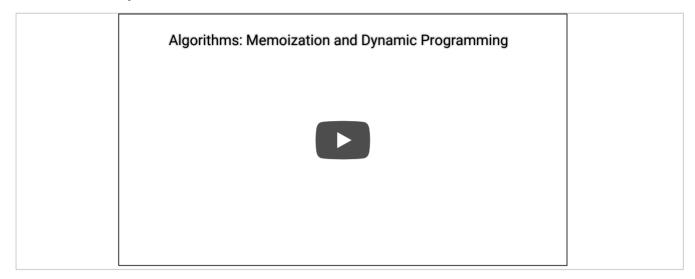
Recursion - UP TO 4:30

Source - Recursion & Fibonacci - YouTube

- why does this JavaScript recursive solution actually work as expected?
- as function is called recursively
- only returns value for base case
- i.e. either 0 or 1
- as it continues down from value of passed n-th position in series,
 - it is storing each return
- then returns total for that position in series
- for current JavaScript example
- may consider execution of functions to better understand pattern
- e.g. function where another function is called
- paused whilst inner execution is completed
- i.e. outer will be paused as inner is executed...

- recursive solution will produce an exponential time for the complexity
- i.e. as n-th value increases
- so will time required to find a value in the series...
- commonly define complexity for a recursive solution as exponential
- O(2^n)
- improvements may be made to this recursive algorithm
 - e.g. using memoisation
- due to repetitive calls to same values for fib()
 - e.g. multiple calls to fib(3)

memoisation - part 1



What is Memoisation - UP TO 2:51

Source - Memoisation - YouTube

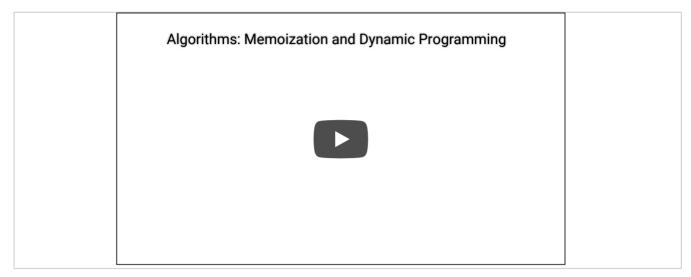
Fibonacci - memoisation - part 1

- store arguments of a given function call along with computed result
- e.g. when fib(4) is first called
- computed value will be stored in memory
- a temporary cache in effect
- then call stored return
- e.g. each and every subsequent call to fib(4)

Fibonacci - memoisation - part 2

- now improve performance of recursive algorithm
- e.g. for Fibonacci series
- add support for memoisation
- abstract functionality to a separate, re-usable memoisation function
- then use this function to add memoisation to an algorithm, application &c.
- main part of function
- records used and repeated functions &c.
- then call again as needed
- i.e. a *cache* for the function
- derive speed improvement for passed function

memoisation - part 2



Memoisation and Complexity - UP TO 4:12

Source - Memoisation - YouTube

Fibonacci - memoisation - part 3

e.g. define initial memoisation function

```
// pass original function - e.g. slow recursive fibonacci function
function memoise(fn) {
 // temporary store
 const cache = {};
 // return anonymous function - use spread operator to allow variant no. args
 return function(...args) {
   // check passed args in cache - if true, return cached args...
    if (cache[args]) {
     return cache[args];
   // no cached args - call passed fn with args
    const result = fn.apply(this, args);
   // add result for args to the cache
    cache[args] = result;
   // return the result...
   return result;
 };
```

Fibonacci - memoisation - part 4

use memoisation with Fibonacci function

```
function fib(n) {
    // base case
    if (n < 2) {
        console.log(n);
        return n;
    }
    // dynamic calculation of number in sequence
    return fib(n-1) + fib(n-2);
}

// reassign memoised fib fn to fib - recursion then calls memoised fib fn...
fib = memoise(fib);
console.log('index posn 100 in fibonacci series = ', fib(100));</pre>
```

- now able to check higher index values in Fibonacci series
 - without previous memory issues...
- e.g. 100th position in the Fibonacci series is,
- 354224848179262000000
- position 1000 = 4.346655768693743e+208

Recursion and Fibonacci - memoisation



Recursion - UP TO END

Source - Recursion & Fibonacci - YouTube

divide and conquer - intro

- algorithms and development often trying to solve a problem in a given context
- many techniques we may consider to solve a problem
- might start with a common option to help us get started...
- Divide and conquer is a general technique
- e.g. used to solve various problems in application development and data usage
- Divide and conquer is a well known recursive technique for solving various problems
- e.g. an option for analysing and solving such problems
- consider use of divide and conquer from different perspectives
- use various examples to help outline its general usage...

Recursion & Divide and Conquer - part 1



Recursion and Divide and Conquer - UP TO 4:08

Source - Divide and Conquer - YouTube

- start with a common example problem
- helps define basic structure and usage of divide and conquer
- e.g. consider a parcel (plot or lot) of land
- need to sub-divide it evenly into square plots
- need these plots of land to be as large as possible
- fit all of the available space in original parcel of land
- land has been measured to the following size
- 1680 feet by 640 feet
- approximately same as 6.74 Jumbo Jet planes in length
- or 560 yd (a decent length par 5 in golf)
- n.b. to solve this problem effectively
- can't simply divide this land in half not two even squares
- nor 20x20 squares, which are too small...
- need to ensure we can always find maximum size for a square
 - then divide the specified parcel of land...

- how do we calculate largest square
- i.e. largest used for a defined parcel of land
- we may use divide and conquer to help solve this problem
- divide and conquer is a recursive technique
- divide and conquer algorithms are recursive algorithms...
- begin by defining two initial steps for the algorithm
 - define base case should be as simple as possible
 - divide and decrease underlying problem until it is base case

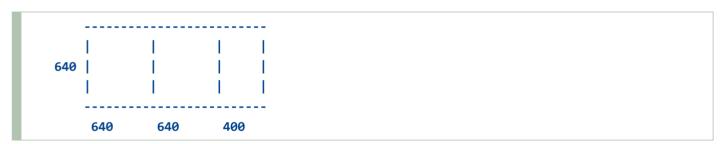
divide and conquer - part 3

- consider the base case:
 - begin by considering and defining base case for this algorithm
- *e.g.*

What is the largest possible square we may use to divide the land?

- easiest base case for this type of problem might be as follows
- i.e. if one side was a multiple of the other side...
- e.g. simple box of 50x50, which may be divided as two boxes of 25x25
- largest box we may use is 25x25
- this meets requirement for defined base case as well...

- consider the recursive case:
- once we've defined a base case for the problem
- need to consider an appropriate recursive case to achieve base case
- divide and conquer proves useful
- effectively reducing the problem to meet the base case
- divide and conquer states for each recursive call you need to reduce the problem
- for our land 1680 feet by 640 feet
- begin by marking largest boxes we may use to divide this size
- e.g. two boxes of 640x640 and one remaining box of 640x400



- still have land measuring 640x400 to divide
- division of this land may now follow same underlying pattern as original land size
- i.e. find largest box to fill this remaining land of 640x400
- when we find this size
- define largest box for overall land of 1680x640
- problem has now been reduced from a land size of 1680x640 to 640x400

divide and conquer - part 5

- now apply same algorithm to this problem a land size of 640x400
- largest box we may define is 400x400
- still some land remaining after this division, 400x240

continue to apply this algorithm & reduce the problem as follows

```
240 | | |
240 | | |
240 160
```

and then

```
160 | | |
160 | | |
160 80
```

finally arrive at the base case...

- now have two evenly sized boxes of 80x80 with no land left over
- i.e. 80 is a factor of 160
- we may sub-divide original land of 1680x640 into even plots of 80x80

divide and conquer - Euclid's algorithm



Euclid and the Greatest Common Divisor - UP TO 8:27

Source - Algorithms - YouTube

- we may summarise this use of divide and conquer as follows
- define a simple case for the base case
- define how to reduce the problem to reach the base case
- divide and conquer
- not itself an algorithm or reductive solution
- can't apply as is to solve a given problem...
- divide and conquer
- give us a clear way of thinking about a problem to reach a solution

- e.g. if we consider following problem
 - we may clearly see how useful this approach can be to defining an algorithm
- e.g. for a defined data structure
- [6, 9, 13, 5, 11, 16]
- need to add all of the values and return the total
- might simply use a loop to sum these values

```
def sum(data):
    total = 0
    for x in data:
        total += x
    return total

print(sum([6, 9, 13, 5, 11, 16]))
```

- might define a solution using recursion for the same array of values
- e.g. define following steps to create a recursive algorithm to solve this problem
- Step 1 define base case
- i.e. what's simplest array we may sum
- e.g. an array of size 1 or 0 may be passed to the sum() function
- this is easy to sum...base case
- Step 2 recursive calls
- need to reduce problem with each recursive call
- i.e. move closer to defined base case

Recursion & Divide and Conquer - part 2



Recursion and Divide and Conquer - UP TO 7:53

Source - Divide and Conquer - YouTube

divide and conquer - part 9

- begin by considering how to sum values in a passed array
- e.g.

```
sum([6, 9, 13, 5, 11, 16])
```

actually the same as

```
6 + sum([9, 13, 5, 11, 16])
```

- both examples return same summed value
- n.b. second example has started to reduce size of passed array
 - now reduced size of problem

- define this algorithm as follows
 - get the passed data
 - o e.g. array of numbers
 - if data is empty
 - o return zero
 - else total equals
 - first number + rest of data
- check expected output as follows

```
sum([6, 9, 13, 5, 11, 16]) - sum = `60`
6 + sum([9, 13, 5, 11, 16]) 6 + 54 = return `60`
9 + sum([13, 5, 11, 16]) 9 + 45 = return `54`

13 + sum([5, 11, 16]) 13 + 32 = return `45`
5 + sum([11, 16]) - 5 + 27 = return `32`

11 + sum([16]) - 11 + 16 = return `27`

sum([16]) - base case & first return from execution - return `16`
print 60
```

divide and conquer - part 11

 now implement sum() function using divide and conquer with recursion

```
def sum(data):
    if len(data) == 1:
        return data[0]
    else:
        return data[0] + sum(data[1:])

print(sum([6, 9, 13, 5, 11, 16]))
```

divide and conquer - part 12

JavaScript example 1

```
function sum(data) {
    if (data.length === 1) {
        return data[0];
    } else {
        // slice - return array from index 1 to end...
        return data[0] + sum(data.slice(1));
    }
}
console.log(sum([6, 9, 13, 5, 11, 16]))
```

JavaScript example 2

```
function sum(data) {
    if (data.length === 1) {
        return data[0];
    } else {
        // destructure data - get head and return rest
        const [head, ...rest] = data;
        return head + sum(rest);
    }
}
console.log(`sum of values = ${sum([6, 9, 13, 5, 11, 16])}`);
```

Recursion & Divide and Conquer - part 3



Efficiency of Recursion and Divide and Conquer - UP TO 13:59

Source - Divide and Conquer - YouTube

sorting - quicksort - part 1

- a brief segue into a consideration of a sorting algorithm, quicksort
- faster search option than selection sort
- a common option for many real-world uses...
- e.g. implementations of a qsort funtion in the C standard library.
- Quicksort also uses a pattern of divide and conquer
- e.g. use quicksort to sort our previous array of data
 - [6, 9, 13, 5, 11, 16]
- consider our last example of divide and conquer
- identified base case as simplest array we could sum
- same may initially be considered relative to sorting
- i.e. clearly identify some arrays that do not need sorting
- may define base case for such sorting as follows
 - [] empty array
 - [16] array with one element
- empty arrays and arrays with one element
 - become base case for this sorting
 - i.e. return arrays as is without need to sort

sorting - quicksort - part 2

- then consider an array with three elements
- again, use divide and conquer...
- want to break this array down until we reach base case
- i.e. define quicksort as follows
- 1. choose an element in array
- element is pivot
- 2. partition the array
 - find elements less than pivot
- find elements greater than pivot
- now have two sub-arrays
- sub-array of all elements less than the pivot
- sub-array of all elements greater than the pivot
- these arrays will not initially be sorted
 - just partitioned
- when sub-arrays have been sorted
- combine them with pivot to return required sorted array
- i.e.

sub_array[less_than] + pivot + sub_array[greater_than]

sorting - quicksort - part 3

- need a way to sort the sub-arrays
- · where base case is useful again
- i.e. Quicksort already knows how to sort arrays of two elements
- if we use quicksort with two sub-arrays
 - then combine results
 - we now have a sorted array
- e.g.

```
quicksort([less_than]) + [pivot] + quicksort([greater_than])
```

- this approach will work with any chosen pivot
- now define quicksort for an array of three elements
- choose a pivot
- partition array into two sub-arrays
- o elements less than pivot
- o elements greater than pivot
- recursively call quicksort on the two sub-arrays

Video - Algorithms and Data Structures

quicksort - part 1



Quicksort - UP TO 3:25

Source - Quicksort - Java - YouTube

sorting - quicksort - part 4

- what happens if we now need to sort an array of four elements...
- use a similar, known pattern
- e.g. for an array of [37, 12, 17, 9] follow expected steps
- choose a pivot
- o e.g. 37
- select elements less than pivot
- ∘ e.g. [12, 17, 9]
- select elements greater than pivot
- ∘ e.g. []
- we know how to sort an array of three elements
- may call quicksort recursively for this array
- simply combine results to return sorted array
- we may now sort an array of four elements
- if we can sort an array of four elements
- may also sort an array of five elements
- then six elements
- & seven elements
- ,,,

sorting - quicksort - part 5

- i.e. if we consider an array of five elements
 - [6, 10, 4, 2, 8]
- we may partition this array as follows
- then call quicksort for sub-arrays
- e.g.

```
[] 2 [6, 10, 4, 8]

[2] 4 [6, 10, 8]

[2, 4] 6 [10, 8]

[2, 6, 4] 8 [10]

[2, 6, 4, 8] 10 []
```

- clearly see how each sub-array has between zero and four elements
- already know how to sort arrays of these sizes using quicksort
- regardless of chosen pivot
- recursively call quicksort on two sub-arrays
- continue this logic for six elements, &c.

sorting - quicksort - part 6

example implementation in Python is as follows

```
def quicksort(data):
    if len(data) < 2:
        # base case - 0 or 1 elements already sorted...
        return data
    else:
        # recursive case
        pivot = data[0]
        # sub-array of elements less than pivot
        less_than = [i for i in data[1:] if i <= pivot]
        # sub-array of elements greater than pivot
        greater_than = [i for i in data[1:] if i > pivot]
        # return sorted data
        return quicksort(less_than) + [pivot] + quicksort(greater_than)
```

Video - Algorithms and Data Structures

sorting algorithms



Algorithms and Sorting - UP TO 22:03

Source - Algorithms - YouTube

inductive proofs - part 1

- just seen an example of inductive proofs
- use such proofs to show an algorithm will work in theory
- each inductive proof has two familiar steps
 - a base case
 - an inductive case
- e.g. we want to prove that a test robot can climb steps
- inductive case may define the following
- if robot's legs are on a step
- it may put its legs on the next step...
- o e.g. if it's on the second step, it may now move to the third step, and so on...
- base case will define the following
- robots legs are on first step
- it can now climb all of the steps
- o i.e. progressing one step at a time...

inductive proofs - part 2

- we may see a similar logic for our earlier quicksort algorithm
- base case shown to work as expected for arrays of size 0 and 1
- inductive case proved that if quicksort worked with an array of size 1
- it would also work with an array of size 2
- if it works for an array of size 2
- it will also work for an array of size 3...
- by inductive reasoning
- the algorithm for quicksort will work with an array of any size
- for real-world usage
 - obviously making assumptions regarding memory usage, scale, &c.
 - but inductive proofs still remain true

- briefly return to a consideration of Big O notation
- comparison of runtimes for various search and sort algorithms
- may help provide some context for quicksort &c...
- *e.g.*

binary search	simple search	quicksort	selection sort	traveling salesman
O(log n)	O(n)	O(n log n)	O(n²)	O(n!)
logarithmic	linear	linearithmic	quadratic	factorial

Video - Algorithms and Data Structures

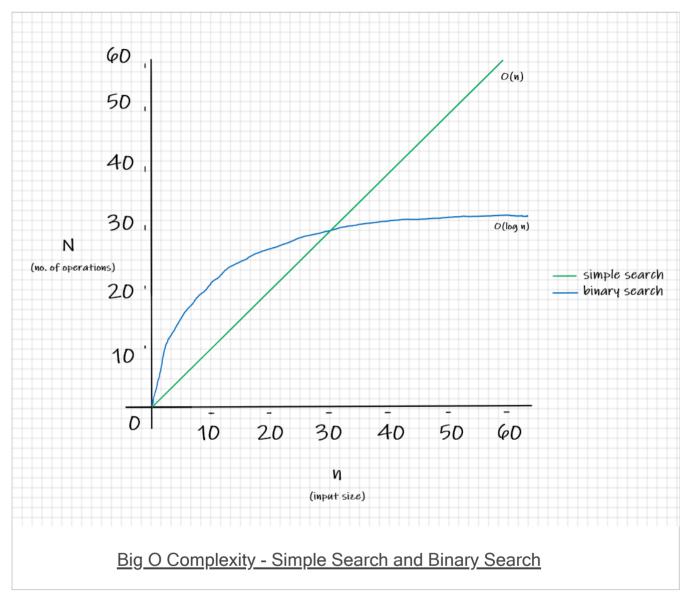
quicksort - part 2



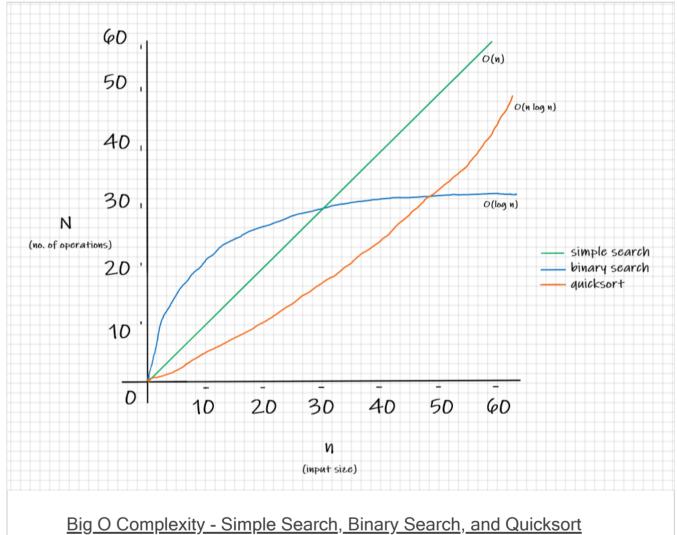
Quicksort - UP TO 4:40

Source - Quicksort - Java - YouTube

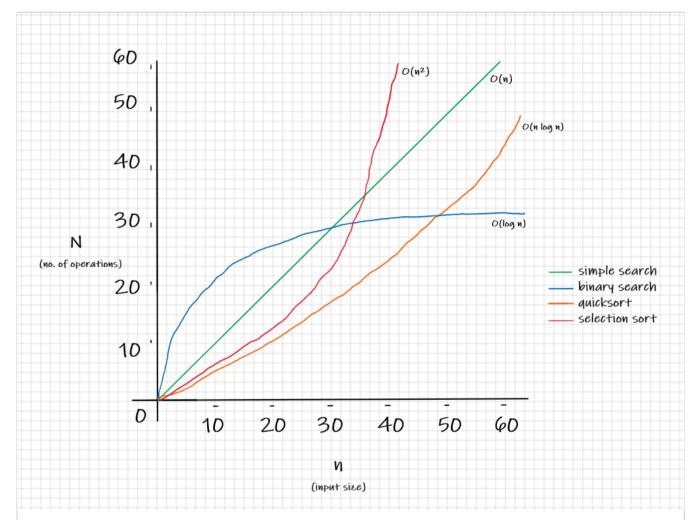
simple search and binary search



simple search, binary search, and quicksort

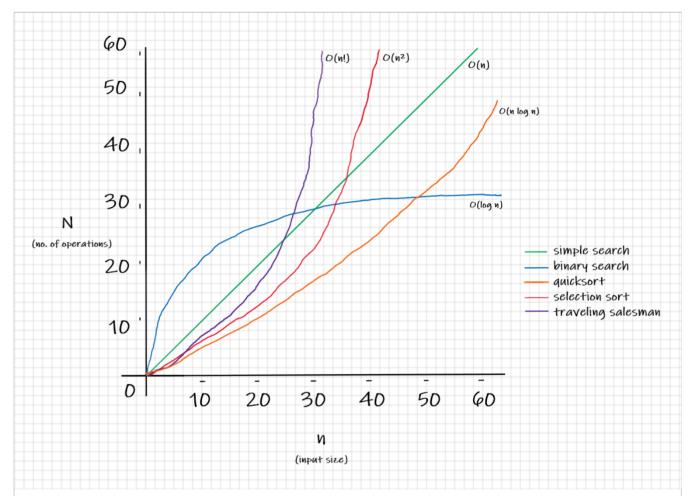


simple search, binary search, quicksort, and selection sort



Big O Complexity - Simple Search, Binary Search, Quicksort, and Selection Sort

simple search, binary search, quicksort, selection sort, and traveling salesman



Big O Complexity - Simple Search, Binary Search, Quicksort, Selection Sort, and Traveling Salesman

- consider the following comparative run times
- computer capable of a basic 10 operations per second
- (such a slow computer helps visualise the comparative performance)

data size	quicksort	selection sort	traveling salesman
10 items	3.3 seconds	10 seconds	4.2 days
100 items	66.4 seconds	16.6 minutes	2.9 x 10 ¹⁴⁹ years
1000 items	996 seconds	27.7 hours	1.27 x 10 ²⁵⁵⁹ years

- previous graphs indicative of expected performance
- not accurate reflections of performance times
- show difference in expected performance for each algorithm
- relative to scale...
- e.g quickly see that selection sort, O(n²), is a slow algorithm
- in particular compared with quicksort...

- compare with another sorting algorithm
 - e.g merge sort a time of O(n log n)
 - much faster than selection sort
- current algorithm *quicksort* is a tad harder to pin down
- for worst case
- *time is* $0(n^2)$
- potentially as slow as selection sort
- for average case
- define a time of O(n Log n)
- comparable with faster algorithm merge sort
- if merge sort is considered faster with a time of O(n log n)
- why not use this algorithm all the time instead of quicksort?

- consider a comparison of *quicksort* and *merge sort*
 - should helps choose a preferred algorithm to use...
- start with the following simple usage
- a Python function to iterate a list

```
def print_list(data):
   for val in list:
    print val
```

- as this iteration loops the whole list
- runs with a time of O(n)
- what happens if we need to introduce a pause per iteration...
- e.g. perhaps to check an external data store, API &c.
- add a test pause of one second per iteration
- both use cases need to loop through data
 - each may be defined with a time of O(n)
- even though both functions return same time using Big O notation
- first iteration without pause will return faster real-world performance and time...

Big O revisited - part 5

- consider apparent contradiction for a moment
- start to understand actual meaning of Big O notation
- consider a time of O(n) as follows

```
`constant` x `n`
```

or

```
`c` x `n`
```

- c is a fixed amount of time algorithm will take
 - or the constant
- comparative times for basic iteration and iteration with a pause
- e.g. 10ms * n vs1 sec * n

- usually ignore such constants
- if comparative algorithms have different Big O time
- i.e. for most instances constant doesn't matter
- e.g. compare simple search to binary search for previous usage

```
simple search = 10ms * n
binary search = 1 sec * log n
```

- simple search initially seems faster
- if we scale query to four billion elements
 - disparity in performance becomes clear...

```
simple search = 10ms * 4 billion = 463 days
binary search = 1sec * 32 = 32 seconds
```

- clear improvement in times with binary search
 - the constant did not make a difference

- still exceptions to this rule
- i.e. constant may sometimes make a difference
- Quicksort versus merge sort is one example where this holds true
- Quicksort has a smaller constant than merge sort
- if they're both O(n log n) time
- quicksort is faster...
- quicksort is faster in practice
- it hits average case more frequently than worst case

- average case and worst case
- performance for *quicksort* predicated on chosen *pivot*
- e.g. if we choose a pivot and array is already sorted
- quicksort does not check if input array is already sorted
- i.e. it will try to sort the passed array
- if we compared two possible scenarios for an array
- 1. first element is always chosen as the pivot
- 2. middle element is always chosen as the pivot
- starting at middle element
 - will not need to make as many recursive calls for this example
- i.e. hits the base case more quickly, and required call stack will also be shorter...

- first example, choosing first element, is worst case
- second example, middle element selection, is best case
- for worst case
 - stack size is O(n)
- best case has a stack size of O(log n)
- e.g. we may see how best case is partitioning the array

```
[1, 2, 3, 4, 5, 6, 7, 8]
[1, 2, 3] 4 [5, 6, 7, 8]
[1] 2 [3] [5] 6 [7, 8]
[] 7 [8]
```

- for worst case
- checking each element in array
- e.g. eight in this example
- first operation takes O(n)
 - we actually check O(n) elements on every level of call stack
- even if we partition array in a different manner
- e.g. with a different pivot
- still checking O(n) elements every time
- i.e. each level of the stack currently takes O(n) time to complete

- difference between worst case and best case
 - seen when we consider height of call stack
- e.g. best case will check O(log n) levels
- height of its call stack
- each level takes 0(n) time
- algorithm will take O(n) * O(Log n)
- *i.e.* 0(n log n) time
- best case for this algorithm
- see difference when we calculate comparative worst case
- a time of O(n) for each level
- but also O(n) levels
- algorithm will take O(n) * O(n)
- *i.e.* $0(n^2)$ time
- also define best case as average case
 - if we always choose a random element in array as defined pivot
 - quicksort algorithm will have average time of O(n Log n)

Resources

- Algorithms YouTube
- Divide and Conquer YouTube
- Memoisation YouTube
- Quicksort Java YouTube
- Recursion & Fibonacci YouTube
- Recursion and Fun JavaScript YouTube
- Recursion and the Call Stack Java YouTube