## Annotated Bibliography

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Andrei Alexandrescu and Katrin Kirchhoff. Factored neural language models. 01 2006

- The chief problem that this paper attempts to solve is that neural language models, which operate on vectorized representations of words, cannot produce out-of-vocabulary inflected forms. It seeks to address this by storing its "factors" (pre-defined features of any kind) in separate vector spaces.
- For out-of-vocabulary inputs, a good set of factors will leave many factors derivable from word shape. Unknown factors are produced by averaging those of in-vocabulary words with the same known factors.

Markus Dreyer, Jason Smith, and Jason Eisner. Latent-variable modeling of string transductions with finite-state methods. pages 1080–1089, 01 2008

- This paper introduces a new method of training weighted finite state machines for the task of string transduction. It operates over a space of string alignments and characterwise latent variables, allows the construction of task-specific feature templates to reduce search space in training.
- Their method, applied to morphological transformation tasks, improved over string transduction models lacking latent variables. However, as presented in this study, they only trained for specific form-to-form transductions; to apply this method to morphological paradigm completion would require substantive adaptations. To use training data, a way would be needed of incorporating origin morphological information as part of a string. To produce an target form, predictions based on all known forms would need to be synthesized. A single canonical lemma could be used as the basis of all transformations, but

depending on language it would be very likely impossible to choose such a form that implies all relevant paradigmatic information.

Thang Luong, Richard Socher, and Christopher Manning. Better word representations with recursive neural networks for morphology. pages 104–113, August 2013

- This paper seeks to improve on vector-embedding neural language models by building word representations with an RNN from constituent morphemes. With the goal of learning the semantics of affixes, it initializes vector values based on embeddings provided by Collobert et. al. 2011 ([4]).
- It assumes the possession of a morphological parser or a morphologically tagged corpus.

Greg Durrett and John DeNero. Supervised learning of complete morphological paradigms. In *Proceedings of the North American Chapter of the Association for Computational Linguistics*, 2013

- The goal of this paper is very similar to my project generating whole morphological paradigms. Their dataset is also one morphological paradigm each from a few languages, pulled from Wiktionary, validating my choice of data structure and sourcing.
- they introduce a very interesting alternative to simple Levenshtein
  distance for string alignment, weighting matched characters based
  on how often they match across a paradigm, encouraging consistent/intuitive alignments. The paper ignores words with space-separated
  forms.
- They have an interesting feature model, which picks up on spans of n characters in a word to help determine what rules to apply.

Their actual model is a "Semi-Markov Model," not a deep learning approach.

Cícero Nogueira Dos Santos and Bianca Zadrozny. Learning character-level representations for part-of-speech tagging. pages II–1818–II–1826, 2014

- This paper is one of the closest I've found to my own project idea. They use a convolutional neural architecture on character embeddings, in additional to more typical word vector embeddings, to extract a piece of morphological category information, namely part of speech. They claim to have surpassed other means of part of speech tagging, attaining up to 97% accuracy. At the moment I don't fully understand their convolutional neural architecture, but it appears to be a promising method for extracting morphological information from words.
- I have two main concerns in adapting their methodlogy. The first is whether comparable results can be achieved with character embeddings alone, as opposed to the addition of word embeddings, which would require conducting SkipGram or a similar method on a plaintext corpus. The second is whether their convolutional architecture can be made bidirectional without introducing new limitations.

Mans Hulden, Markus Forsberg, and Malin Ahlberg. Semi-supervised learning of morphological paradigms and lexicons. In *Proceedings of the 14th Conference of the European Chapter of the Association for Computational Linguistics*, pages 569–578, Gothenburg, Sweden, April 2014. Association for Computational Linguistics

• This paper has an interesting set of goals and an expansive, flexible range of use cases. They designed a system whose rules would be

human-readable and easily able to be reviewed and corrected by a linguist. In addition to completing morphological paradigms, goals they identify include lemmatizing inflected forms with in order to construct a lexicon, and generalizing inflection of all words a small set of paradigms (e.g., "ar", "er", and "ir" verbs in Spanish).

- The methodology does not use any type of deep learning, simply string pattern matching. It builds templates using "variables" containing lexically-specific substrings, and collapses exactly matching paradigms. This method clearly does not favor any broad type of inflection prefixing, suffixing, or non-concatenative. Its variable model may provide a way to represent reduplication effectively. However, I would worry about its flexibility to learn phonological alternations; when more than one regular morphophonological process is at work in a single paradigm, as in Finnish gradation or Turkish harmony and consonant voicing alternation, this model may be forced to create an exponentially larger body of paradigms. This can be seen in the model's worse performance on Finnish relative to German and Spanish I'd bet that some languages would be very incompatible with it. I'm also unclear on how it handles very irregular forms.
- Part of what makes this method more readable to a linguist also makes it more rigid - its output looks more like whole inflection patterns that might be specified in a textbook, but it doesn't seem easily able to build an inflection table from the composition of several types of transformations.
- This paper evaluates its proposed methodology via the same experiment with Wiktionary data as Durrett & DeNero 2013 ([9]). This might be a good benchmark for me as well. They also run an experiment on identifying the paradigm of words seen in a POS-annotated

text corpus.

Garrett Nicolai, Colin Cherry, and Grzegorz Kondrak. Inflection generation as discriminative string transduction. pages 922–931, 01 2015

- This paper builds on the work of Hulden et. al. 2014 ([12]) and Durrett & DeNero 2013 ([9]), with a few key differences. It allows for common character transformations a character remaining unchanged over a paradigm is only regarded as a particular, common case of transformation. It uses a Semi-Markov model like Durrett & DeNero.
- They use the experimental Wiktionary data set that Durrett & DeNero introduced.

Radu Soricut and Franz Och. Unsupervised morphology induction using word embeddings. pages 1627–1637, 01 2015

- The authors present a means of induction of morphological alternations that uses only an unannotated monolingual corpus. They claim in addition that it works well across languages and language families, predicts unseen forms, discovers the semantic content of morphological categories, and identify which pairs of words are actually morphologically related, as opposed to being spuriously similar. My main points of skepticism are the simplistic manner of morphological modeling (simple one-to-one prefix and suffix alternation) and the claim that meaningful semantic information can be inferred.
- Perhaps the simplicity of the morphological model provides room for my project to build on. If I can build a more sophisticated morphological pattern recognizer, it would be interesting to try plugging it into their algorithm in some way.

Malin Ahlberg, Markus Forsberg, and Mans Hulden. Paradigm classification in supervised learning of morphology. pages 1024–1029, 01 2015

- This is a continuation of the work in Hulden et. al. 2014 ([12], a paper by the same group sometimes referred to in literature as Ahlberg et. al. 2014). The method of abstracting paradigms is the same; the methodology in this paper introduces a new means of predicting the paradigm of a new lexeme via the use of a support-vector machine used on the left and right edges of the citation form.
- They do achieve generally better results than Hulden et. al. 2014 or Durrett & DeNero 2013 ([9]), though in some trials, most notably on Maltese verbs, the performance is significantly hindered by their reliance on guessing a lexeme's paradigm based purely on citation form. In general, almost all the languages that this line of research uses in trials come from a related set of European IE languages, which have fusional, mostly suffixing morphology and on the order of one hundred cells in each paradigm table. I would guess that the methodology presented here would fail on languages which make use of reduplication or more prevalent synchronic morphophonological change, or have larger, agglutinating paradigms; Malay and Navajo come to mind, although unfortunately I lack good data for those languages.

Manaal Faruqui, Yulia Tsvetkov, Graham Neubig, and Chris Dyer. Morphological inflection generation using character sequence to sequence learning. *CoRR*, abs/1512.06110, 2015

• I think this paper may represent the transition of the line of work beginning with Durrett & DeNero 2013 ([9]) into neural models. They point out many of the criticisms I've had of those models - their inflexibility with regard to reduplication, segment lengthening, harmony,

or other processes which operate based on individual stem features, and/or the artificial data sparsity they impose attempting to deal with those processes.

- A summary of the model: they use an LSTM network with an encoder-decoder architecture, meaning that the entire input is consumed by an encoder to produce a fixed-size representation **e**, before this is fed into a decoder to produce the output form. At each decoder LSTM step, in addition to transferring the state of the previous step, they feed in **e**, the input letter matching the current step, and the previous letter of output. I suspect that the left-alignment of output with input favors suffixing languages. They distinguish two variants of their model a "Factored Model" in which each paradigm cell is learned separately, and a "Joint Model" in which an encoder is shared across paradigm cells.
- "it has been shown that unlabeled data can generally improve the performance of such systems (Ahlberg et al., 2014; Nicolai et al., 2015)." (page 638)
- They experiment with interpolation with a 5-gram character language model with Witten-Bell smoothing.
- An interesting by-product of their model is that it builds vector embeddings for characters, which results in it discovering some aspects of phonology, such as Finnish vowel harmony.
- There is an interesting interplay of word length and accuracy. Surprisingly, Nicolai et. al. 2015 ([15]), Durrett and DeNero 2013 ([9]), and this paper all have models which are more accurate on longer words.

Ryan Cotterell, Christo Kirov, John Sylak-Glassman, David Yarowsky, Jason Eisner, and Mans Hulden. The sigmorphon 2016 shared task—morphological reinflection. August 2016

- The task in this paper is very similar to what I'd like to accomplish given any inflected form of a word, "re-inflect" it in a specified way. That is, replace all current inflection with inflection for a new set of categories. Their approach is very similar to what I initially imagined: a recurrent neural network architecture design to work cross-linguistically (they tested their system on ten languages, including all four I am considering using).
- Their system does a similar task to one direction of my idea, transforming a set of morphological categories and a lemma into a correct inflected form. It does not, however, do the reverse, and MacKay et. al. 2018 among others make me think that adapting their system to be bidirectional might not be straightforward. Tackling the challenge of bidirectionality with this type of system might be an interesting research niche for me to follow.
- Other interesting directions they name for future research include generating entire morphological paradigms, analyzing reduplicating morphology, and analyzing derivational morphology. The last idea might tie in nicely with Dos Santos and Zadrozny 2014.
- One thing I found very interesting about their approach is that their output is a sequence of string edits, which transform the input word to the output, rather than directly producing an output word.
- A valuable piece of information they provide is a statistical analysis, separate from their main work, of how frequently their subject languages were prefixing, suffixing, and apophonic. According to their

data, the four languages I named as possible subjects (Spanish, Turkish, Russian, and Hungarian), are all extremely suffixing. It might be valuable for me to incorporate less purely suffixing languages, such as Maltese or Navajo.

Yonatan Belinkov, Nadir Durrani, Fahim Dalvi, Hassan Sajjad, and James R. Glass. What do neural machine translation models learn about morphology? *CoRR*, abs/1704.03471, 2017

- Here, the authors present a means of assessing the inferences that neural machine translation systems make about morphology, and draw several conclusions. Least surprisingly, a convolutional neural network operating over character embeddings learns morphological patterns much more effectively than a system using word embeddings. They also claim that lower network layers carry more morphological information, and that having a morphologically poor target language actually improves the system's learning of source language morphology.
- Some of the conclusions here are potentially very relevant to me, specifically the notes about which parts of a neural network architecture are best suited to learn morphology. I need to read more about the convolutional architecture they use to analyze character embeddings dealing with character embeddings will be one of the most important tasks of my project.

Ryan Cotterell and Georg Heigold. Cross-lingual, character-level neural morphological tagging. CoRR, abs/1708.09157, 2017

• This papers aims to train morphological parsers given small training sets, and constructs a transfer learning scheme to simultaneously train a morphological parser on high-resource and low-resource languages.

- There are two tasks: generating specific inflected forms given sparse training paradigms, and generating whole tables given entire training paradigms. Performance was assessed via form accuracy rate, average form Levenshtein distance, and full paradigm accuracy rate.
- The UniMorph structured inflectional tag system [18] [19] [17] was used to represent morphological categories across all 52 languages.

Matthew MacKay, Paul Vicol, Jimmy Ba, and Roger B. Grosse. Reversible recurrent neural networks. *CoRR*, abs/1810.10999, 2018

- To achieve the goal of producing a system which can both provide a morphological analysis given a word and vice versa, I'd need a deep learning system which can be used bidirectionally. That is, such an architecture would need to be able to accept either end as input and produce output from the other, without loss of information.
- Here, a class of reversible, non-forgetting RNN architectures is presented, which has been designed for another goal to avoid the memory-intensive backpropagation step of standard LSTM and GRU RNNs. The authors claim to demonstrate severe limitations of this class inability to forget information means that reversible RNNs simply become overloaded on too long an input. These concerns do not apply to my project because my input sizes will be limited, reducing the memory burden of backpropagation or a no-forgetting architecture, so this model may be a good fit.
- The authors also cite Gomez et. al. 2017 ([11]) in saying of residual neural networks: "Because this mapping is reversible with an easily computable Jacobian determinant, maximum likelihood training is efficient." Reversible residual networks sounds like a more developed

area; if I can accomplish my goals with a residual neural network, that may be simpler.

Lawrence Wolf-Sonkin, Jason Naradowsky, Sebastian J. Mielke, and Ryan Cotterell. A structured variational autoencoder for contextual morphological inflection. *CoRR*, abs/1806.03746, 2018

• This paper definitely takes a different strategy than I'm planning on, using an unannotated text corpus as training data and predicting morphological forms at the level of whole words. However, it uses a few interesting architectural features I might find useful, namely LSTM (which it only describes via reference) and wake-sleep (a manner of abstracting surface forms from underlying representations that I may find useful).

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