

1 **A Comparison of the Polarimetric Radar Characteristics of**
2 **Heavy Rainfall from Hurricanes Harvey (2017) and Florence**
3 **(2018)**

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6 **Key Points:**

- 7 • Rain drop size distributions in Harvey and Florence were broadly similar to other
8 tropical cyclones
- 9 • High-concentrations of midsize drops were more common and widespread in Har-
10 vey than in Florence
- 11 • Harvey's rainfall was more intense and asymmetric due to vertical wind shear and a
12 weaker intensity

13 **Abstract**

14 Polarimetric coastal radar data are used to compare the rainfall characteristics of Hurri-
15 canes Harvey (2017) and Florence (2018). Intense rainfall was an infrequent yet impor-
16 tant contributor to the total rainfall in Harvey, but its relative contribution varied spatially.
17 The total rainfall over land maximized near the coast over Beaumont, TX due to intense
18 convection resulting from prolonged onshore flow downshear from the circulation center.
19 Overall, polarimetric radar observations in Harvey show a dominance of high concentra-
20 tions of small-to-medium drops, consistent with prior tropical cyclone studies. The micro-
21 physical characteristics were spatially and temporally inhomogeneous however, with larger
22 drops more frequent on 27 August and higher number concentrations more frequent on 28
23 and 30 August. The polarimetric variables and raindrop characteristics observed during
24 Florence share broad similarities to Harvey, but had reduced variability, fewer observations
25 of stronger reflectivity and differential reflectivity, and a lower frequency of high num-
26 ber concentrations and medium-sized drops. The radar data indicate Florence had reduced
27 coverage of stronger convection compared to Harvey. We hypothesize that differences in
28 storm motion, intensity decay rates, and vertical wind shear produce the distinct precipita-
29 tion structures and microphysical differences seen in Harvey and Florence.

30 **1 Introduction**

31 Heavy rainfall is a known hazard of tropical cyclones (TCs), responsible for 25% of
32 hurricane fatalities in the United States (*Rappaport* [2014]). During the 2017 and 2018
33 Atlantic hurricane seasons, rainfall from Hurricanes Harvey and Florence set new state
34 rainfall records in Texas and the Carolinas and caused destructive flooding. Over a 6-d
35 period in August 2017, Hurricane Harvey stalled over coastal Texas and the peak rain-
36 fall observation of 1538 mm near Nederland, TX broke the continental and overall United
37 States TC rainfall records previously held by Tropical Storm Amelia (1978) and Hurri-
38 cane Hiki (1950), respectively. Equally noteworthy, rainfall exceeded 500 mm over a large
39 area extending from southeast of Austin, TX to the Texas-Louisiana border (*Blake and*
40 *Zelinsky* [2018]). Just one year later, Hurricane Florence crept along the east coast of the
41 United States, where accumulated rainfall totals of 912 mm over three days near Eliza-
42 bethtown, NC and 600 mm near Loris, SC broke the TC precipitation records for each
43 state. In each case, the extreme rainfall caused considerable impacts. Harvey caused over
44 65 direct deaths and widespread structural damage throughout southeast Texas (*Blake and*

45 Zelinsky [2018]). In the Carolinas, Florence caused 22 direct fatalities, widespread flooding,
 46 and damage (*Stewart and Berg* [2019]).

47 Forecasting extreme rainfall is challenging since the accumulation results from in-
 48 tense rain rates, long duration events, or a combination of both factors. The microphysical
 49 processes that determine the surface rainfall intensity and drop size distribution (DSD)
 50 in a TC are a complex mixture of raindrop creation, growth, and melting ice (*Black and*
Hallett [1986]; *Marks and Houze* [1987]; *Houze et al.* [1992]; *Black and Hallett* [1999]).
 51 While the rotational storm dynamics and their interaction with the boundary layer are
 52 largely responsible for producing the vertical motion leading to precipitation, the envi-
 53 ronmental shear and the convective lifecycle also contribute to the strength and location
 54 of convective and stratiform precipitation in a TC (*Corbosiero and Molinari* [2002]; *Chen*
et al. [2006]; *Hence and Houze* [2011, 2012]; *Didlake and Kumjian* [2017]). Overall, the
 55 complicated interplay between microphysical processes and the kinematic and thermo-
 56 dynamic environments that determine their importance, all within a translating, rotating
 57 storm, make it a challenge to accurately predict the local rainfall accumulation.
 58

59 In situ and surface microphysical observations from rain gauges and disdrometers
 60 provide important information about hydrometeor characteristics, but only represent a
 61 small region of a TC. Nonetheless, disdrometer observations indicate that TC surface
 62 rainfall is typically dominated by numerous, midsize raindrops with median diameters
 63 of roughly 1-2 mm (*Jorgensen and Willis* [1982]; *Ulbrich and Lee* [2002]; *Tokay et al.*
 64 [2008]; *Chang et al.* [2009]; *Wang et al.* [2016]). DSD characteristics can vary substan-
 65 tially in time and space and depend on the specific precipitation growth mechanisms. In
 66 Typhoon Haima (2004), convective rainfall periods were composed of numerous midsize
 67 drops with median diameters around 2 mm, whereas stratiform rainfall periods had fewer
 68 drops with smaller median diameters between 1.25-1.75 mm (*Chang et al.* [2009]). How-
 69 ever, the wind speed limitations of ground-based disdrometers restrict most studies to re-
 70 gions away from the eyewall (*Ulbrich and Lee* [2002]; *Tokay et al.* [2008]; *Chang et al.*
 71 [2009]; *Wang et al.* [2016]).

72 Polarimetric radars can provide additional insight into the bulk hydrometeor shapes
 73 and concentrations over a much larger area (*Bringi and Chandrasekar* [2001]; *Ryzhkov*
 74 *et al.* [2005]; *Kumjian* [2013]; *Ryzhkov and Zrnic* [2019]). Although operational polarimet-
 75 ric radars in the United States are relatively recent, their data have improved our under-
 76

standing of TC microphysical processes. *Brown et al.* [2016] analyzed the rainfall characteristics from Hurricanes Arthur and Ana (2014) and found similar but distinct probability distributions of the DSDs in the two TCs that were in some cases substantially different than the DSDs produced by numerical simulations. *Didlake and Kumjian* [2017] also analyzed Hurricane Arthur (2014) and found that convection located in the downshear right quadrant of the TC produced columnar and planar crystals, which were advected downstream to the downshear left quadrant and fell as stratiform rain; despite different contributions from rain and ice processes, both quadrants exhibited heavy rain. The relative importance of rain and ice processes varies based on the precipitation feature and type.

Polarimetric analysis of the convective regions of a single rainband in Typhoon Matmo (2014) revealed a higher contribution of warm-rain processes to the surface rain, though ice processes were not insignificant (*Wang et al.* [2016]). A case study of an outer rainband in Typhoon Nida (2016) showed more influence from convective ice processes (*Wu et al.* [2018]). Case studies of Hurricanes Irene (2011) and Arthur (2014) revealed small ice was a weak contributor to the total ice water path in convective and stratiform precipitation, but was prevalent in low-reflectivity regions (*Kalina et al.* [2017]). In addition to differences associated with specific features, processes can be influenced by environmental factors. Recently, *Didlake and Kumjian* [2018] and *Feng and Bell* [2019] found evidence of size-sorting of raindrops in TCs due to the asymmetric vertical motion caused by the storm motion and deep layer vertical wind shear vector, respectively. The variety of conclusions with regards to the importance of different microphysical and dynamical processes in TCs underscore the spatial and temporal variability of rainfall production mechanisms as well as the variability in storm structure and environmental conditions.

Hurricanes Harvey and Florence offer an opportunity to study the bulk surface rainfall characteristics in two record-setting storms. *Wolff et al.* [2019] used polarimetric radar observations of Harvey to show that distinct DSD regimes impact rain rate retrievals and complicate the selection of parameters for attenuation-based algorithms. Through analyzing reanalysis and polarimetric radar data, *Brauer et al.* [2020] showed that strong horizontal moisture flux convergence, warm-rain processes, and rotating supercells all contributed to efficient precipitation processes in Harvey. Both *Wolff et al.* [2019] and *Brauer et al.* [2020] focused heavily on the precipitation processes and characteristics close to the Houston metropolitan area. In the current study, we focus on a broader region, including

109 the rainfall maximum near Beaumont, TX, with the aim of better understanding the vari-
 110 ability in rainfall in Harvey and the similarities and differences with rainfall in Florence.

111 The goal of this study is to characterize the nature of the extreme precipitation as-
 112 sociated with Harvey and Florence, taking advantage of polarimetric radar data and the
 113 dense rain gauge network (where available). We approach this study through two perspec-
 114 tives: 1) assessing the relative contributions of intense and long-lasting rainfall and 2) ex-
 115 amining characteristics of the near-surface rainfall inferred from polarimetric radar data.
 116 We first introduce our data processing methods (Sec. 2). Then we decompose the surface
 117 precipitation from Harvey into intense and light rain, examine how the rainfall unfolded
 118 at two representative rain gauge locations, and use the polarimetric radar data from Har-
 119 vey and Florence to compare the evolution and variability of the rainfall characteristics
 120 over a broad region of each storm (Sec. 3). We then use the polarimetric radar data to de-
 121 rive metrics to describe the bulk drop size distributions in each storm (Sec. 4). Finally,
 122 we propose hypotheses to explain the differences in the observed characteristics and the
 123 inferred microphysical processes from Harvey and Florence (Sec. 5).

124 **2 Data and Processing**

125 **2.1 Rain gauge data**

126 Hourly precipitation data come from the Automated Surface Observing System (ASOS)
 127 network. Due to strong winds and heavy rainfall, many rain gauges failed during Har-
 128 vey and Florence. We focus our analysis on the gauges that reported more than an av-
 129 erage of 20 hourly observations per day. This requirement allows us to include stations
 130 that are missing an occasional hourly observation, while excluding stations that are miss-
 131 ing too much data such that their statistics become meaningless. Most ASOS stations in
 132 Texas met the required number of hourly observations. Of the stations that did not meet
 133 the required number of hourly observations, all but one station failed for at least half the
 134 period, meaning the stations included were not sensitive to modest reductions in the re-
 135 quired number of hours. The vast majority of stations failed during Florence, particularly
 136 in North Carolina, where only two ASOS stations met our hourly observations require-
 137 ment. In South Carolina, roughly half the stations met our requirement and like Harvey,
 138 the stations that did not were also missing roughly half the observations. Unfortunately,

139 the lack of adequate stations from Florence prevents a complete comparison between rain
 140 gauge data from Florence and Harvey.

141 2.2 Polarimetric radar data

142 The radar data used in this study come from the operational polarimetric Next Gen-
 143 eration Weather Radar (NEXRAD) network. These radars have a wavelength of 10 cm
 144 (S band) and a 1° beamwidth, which allows for spatial resolution of order ~ 1 km over
 145 large distances with minimal attenuation. The key benefit of polarimetric capabilities is
 146 the inference of bulk hydrometeor characteristics through the use of both horizontally and
 147 vertically polarized radio waves (*Bringi and Chandrasekar [2001]; Ryzhkov et al. [2005];*
 148 *Kumjian [2013]*). In Rayleigh scattering regimes, the radar reflectivity at horizontal polar-
 149 ization Z_H is proportional to the power returned from the backscatter due to both raindrop
 150 size and concentration, although it is more sensitive to the former due to the dependence
 151 on the sixth power of the drop diameter. The differential reflectivity Z_{DR} is defined as
 152 the difference between the reflectivity at horizontal and vertical polarization and is pro-
 153 portional to the median drop size of the DSD. The correlation coefficient ρ_{HV} is defined
 154 as the correlation of the horizontal and vertical pulses, with high values associated with
 155 nearly spherical targets like raindrops, and lower values typically associated with biolog-
 156 ical targets or mixed phase conditions within the pulse volume. The specific differential
 157 phase K_{DP} is the local change in the difference between the vertical and horizontal phase
 158 shifts and is proportional to the liquid water content, which depends on both the drop size
 159 and number concentration.

160 Located just west of Galveston Bay, the Houston radar (KHGX) captured the vast
 161 majority of Harvey's precipitation. Along the coast in central North Carolina, the More-
 162 head City radar (KMHX) was situated to the northeast of Florence's maximum precipi-
 163 tation, but unfortunately went offline after 1800 UTC on 15 September, missing the final
 164 24 h of rainfall. While the nearby Wilmington radar (KLTX) was better positioned than
 165 KMHX and operated over a longer period, it suffered from an apparent Z_{DR} bias and in-
 166 termittent dropouts (not shown). Although applying an estimated bias correction to the
 167 KLTX data produced results that were reasonably consistent with the KMHX radar, we fo-
 168 cuse our analysis on the higher-quality KMHX data to provide a better comparison with the
 169 high-quality radar data from Harvey.

To ensure the best representation of near-surface characteristics, we restrict the majority of our analysis to data from the lowest elevation angle (0.5°) from each radar. We retain the native polar coordinates of the radar to avoid interpolation, but the total and fractional coverage of each polarimetric variable and derived metric category at each range gate are weighted by the distance from the radar to account for beam spreading at larger radii. We exclude data beyond 127 km from the radar to limit contamination by ice above the melting layer. We note that by including all data within 127 km of KHGX our analysis encompasses a larger area than the one used by Wolff *et al.* [2019], which focused on the region immediately surrounding the Harris County Flood Warning System Network.

LROSE software (Bell [2019]) was first used to determine the most likely hydrometeor type according to the National Center for Atmospheric Research (NCAR) Particle ID (PID) fuzzy logic algorithm (Vivekanandan *et al.* [1999]). We then isolated all radar pixels that were classified as light, moderate, or heavy rain and also had ρ_{HV} values between 0.95 and 1.0, inclusive, to retain only rain in our analysis. We performed an additional subjective quality control by identifying persistent signals of reduced or elevated Z_{DR} along entire beams and removed those beams from the analysis. This processing resulted in the removal of 20 beams (2.8%) from KHGX believed to have been compromised by partial beam blockage, while no beams from KMHX were compromised.

K_{DP} estimation in LROSE is based on an updated version of the *Hubbert and Bringi* [1995] method. First, ϕ_{DP} is unfolded to create a monotonically increasing field. The unfolded ϕ_{DP} field is smoothed using a finite impulse response (FIR) filter, similar to *Hubbert and Bringi* [1995], except using fewer iterations. Local bumps in the smoothed ϕ_{DP} field due to backscatter differential phase (δ) are removed before another FIR is applied for additional smoothing. Finally, the algorithm computes K_{DP} as the derivative of the smoothed and corrected ϕ_{DP} . While K_{DP} can be negative in ice regions, negative values are unphysical in rain and indicative of noise in the derivative calculation. To further reduce the impact of noise on our analysis, we removed all radar gates where K_{DP} was negative.

The *Cunningham et al.* [2013] technique was used to estimate Z_{DR} bias due to calibration errors by applying the technique to low-reflectivity regions in each radar sweep and averaging together the individual biases over all sweeps where the number of samples surpassed 500. With this technique, we calculated Z_{DR} bias corrections of -0.25 and -0.07

202 dB to KHGX and KMHX, respectively. At times, we also noticed odd jumps in the mean
 203 Z_{DR} at KHGX, which were well outside the mean bias correction and we deemed to be
 204 unphysical. We removed 22 of the 0.5° sweeps that exhibited strong jumps in the mean
 205 Z_{DR} compared to the surrounding times.

206 2.3 Storm and shear data

207 The storm intensity and location data for Harvey and Florence come from the Na-
 208 tional Hurricane Center Best Track dataset. The deep-layer wind shear magnitude and
 209 direction for Harvey come from the Statistical Hurricane Intensity Prediction Scheme
 210 (SHIPS) database (*DeMaria et al.* [2005]). Since the final predictors had not been released
 211 for 2018 at the time of this manuscript, we use the real-time SHIPS predictors for Flo-
 212 rence. In each case, the deep-layer shear is calculated from 850-200 hPa over radii be-
 213 tween 0 and 500 km from the storm center after the vortex has been removed.

214 3 Rain gauge and polarimetric radar characteristics

215 3.1 Harvey rainfall

224 Harvey first made landfall near Rockport, TX as a Category 4 hurricane (*Blake and*
 225 *Zelinsky* [2018]). Weak steering flow slowed and eventually reversed the inland movement,
 226 sending Harvey back to the Gulf of Mexico around 1200 UTC on 28 August. Although
 227 the *center* of Harvey remained at least 170 km from Houston, heavy rain accumulated
 228 near Houston and Beaumont between 0000 UTC on 25 August and 0000 UTC on 31 Au-
 229 gust as persistent onshore flow rose over a stationary front (*Blake and Zelinsky* [2018]).
 230 Overall, rain gauges near the coast measured the largest rainfall accumulations (Fig. 1a).
 231 Notably, Houston's George Bush Intercontinental Airport (KIAH) and Beaumont's Jack
 232 Brooks Regional Airport (KBPT) received 794 mm and 1207 mm in five days, respec-
 233 tively.

234 Event totals alone are insufficient for understanding extreme rainfall, which depends
 235 on both rain rate and event duration. Given Harvey's slow motion, it is clear that the long
 236 period of rainfall was an important factor. In an attempt to untangle the two effects, we
 237 isolate the frequency and fractional contribution of intense rain, which we define as a rain
 238 rate exceeding 25.4 mm h^{-1} (1 in h^{-1}). This threshold is consistent with the minimum rain
 239 rate of 25 mm h^{-1} used by *Hitchens et al.* [2013] to define heavy rain in their climatol-

ogy of hourly rain rates in the continental United States. *Hitchens et al.* [2013] show that such a rain rate is infrequent but not rare. Gauge-adjusted radar estimates of precipitation suggest 10^6 instances of 25 mm h^{-1} rain rates and $10^2\text{-}10^3$ instances of 150 mm h^{-1} rain rates occur each year, compared to over 10^7 instances of 10 mm h^{-1} rain rates (*Hitchens et al.* [2013]). For each station, we calculate the frequency of intense and light rain (excluding hours with no rain) and their relative contributions to the total storm rainfall over the five-day period. By our definition, intense rain was infrequent and comprised no more than one-fourth of all raining hours, but the intense rain occurrence was closely associated with the total rain (Fig. 1). Intense rain contributed nearly one-third of the total rain in the Houston metro area. Meanwhile, intense rainfall made up one-fourth of all hours with measurable rainfall at stations close to the Louisiana border and was responsible for nearly two-thirds of the total rain. The two stations near Beaumont, TX consistently saw a larger fraction of the total rainfall come from intense rain rates than all but one station, regardless of whether intense rainfall threshold was 20, 30, 40, or 50 mm h^{-1} (not shown). The only other station that had comparable fractional contribution of intense rainfall when the intense rainfall threshold was 40 or 50 mm h^{-1} , is located on the west side of Houston (29.62N , -95.65W). The gauge observed less rainfall than the Beaumont gauges and most of the Houston gauges and three hours of intense rain were able to make a larger fractional contribution. Both intense rain rates and the long duration were important, but their relative importance varied across Texas.

The spatial variability of rainfall evolution is highlighted in the precipitation time series for KIAH and KBPT (Fig. 1b, c). We focus on the 120-h (5-d) period after 0000 UTC on 26 August as it encompasses most of the rain at each location (KIAH: 99%; KBPT: 97%). Overall, the hourly KIAH timeseries is consistent with the 15-minute observations from the Harris County Flood Warning System gauges (*Wolff et al.* [2019]). To first order, Harvey's slow eastward movement dominates differences between the time series in Houston and Beaumont. The daily rainfall at KIAH peaked on 27 August as the center of Harvey passed by Victoria, TX, placing the Houston metro area closer to the circulation center and directly in the onshore flow. Meanwhile, rainfall at KBPT peaked during the 12-h period surrounding 0000 UTC on 30 August as the center of Harvey moved southeast of Houston, when Beaumont was closer to the storm center. At both airports, the peak rainfall was strong and steady, but rain rates were more intense at KBPT. Before the peak rainfall, both locations observed intermittent intense rain suggesting embedded

273 convection within a broader region of stratiform precipitation. KBPT was in this regime
 274 for a longer period than KIAH and only light rain occurred at KIAH after Harvey pushed
 275 east. The period of light rain should not be disregarded, as it amounts to 20% of the total
 276 precipitation at KIAH. But the combination of prolonged convection and more intense rain
 277 rates led to more precipitation at KBPT than KIAH.

278 **3.2 Polarimetric characteristics of Harvey**

283 As discussed in the introduction, a key benefit of polarimetric radars is the ability
 284 to infer microphysical characteristics over a large area. A sample sweep from the KHGX
 285 radar shows widespread rain over southeast TX on 27 August (Fig. 2a). Radar reflectiv-
 286 ity values above 40 dBZ are frequent, but the echo intensities are not uniform and the
 287 NCAR PID algorithm identifies differences in the rain intensity (Fig. 2b). To examine
 288 the radar data through time, we select all radar gates classified by the PID as light, mod-
 289 erate, or heavy rain. In Vivekanandan *et al.* [1999], these categories roughly correspond to
 290 rain rates of $< 10 \text{ mm h}^{-1}$, $< 40 \text{ mm h}^{-1}$, and $> 40 \text{ mm h}^{-1}$, respectively. These categories
 291 do not match our definitions of light and intense rainfall, since our primary usage of the
 292 PID categories is merely to identify likely raining radar echoes. Some infrequent echoes
 293 believed to be falsely identified as graupel are excluded from our analysis (Fig. 2b).

294 Once the raining areas are identified, we count the frequency of each PID rain cate-
 295 gory within 127 km of the radar. We weight the count for each radar gate by its distance
 296 from the radar to account for beam spreading at larger range distances and aggregate the
 297 weighted counts at each hour to create a time series of hourly PID counts (Fig. 2c). We
 298 include data over the ocean, but our results are not sensitive to the exclusion of offshore
 299 data points. Rain was widespread and peaked in coverage on 27 August. Harvey's exit
 300 from the radar domain is visible in the diminishing counts after 1200 UTC on 30 Au-
 301 gust. Occasional missing or bad radar sweeps yield gaps in the time series. To determine
 302 the relative importance of each PID category for each hour over the precipitating area,
 303 we examine the fractional coverage of the PID categories (Fig. 2d). Any periods when
 304 the weighted count failed to exceed 2×10^{10} were removed to ensure a sufficient sample
 305 size. Overall, light rain was the dominant PID category, but heavy and moderate rain were
 306 more frequent before 1200 UTC on 28 August. A slight resurgence in moderate rain oc-
 307 curred between 0000-0600 UTC on 30 August.

Using these rain categories to identify likely precipitating echo, we examine the distributions of Z_H , Z_{DR} , and K_{DP} to determine the dominant microphysical characteristics. One prominent feature of the distributions is the modest values of each polarimetric variable, consistent with a midsize-drop dominated regime (Fig. 3). Z_H and Z_{DR} values lie mostly below 45 dBZ and 2 dB, respectively, consistent with prior studies (*Brown et al.* [2016], *Wang et al.* [2016], *Wolff et al.* [2019], and *Brauer et al.* [2020]). K_{DP} values infrequently surpass the $0.3^\circ \text{ km}^{-1}$ threshold often used for K_{DP} -based rain rate algorithms, similar to K_{DP} values estimated from disdrometer observations in tropical convection over the Indo-Pacific warm pool (*Thompson et al.* [2018]). Despite modest values overall, Z_H , Z_{DR} , and K_{DP} values were larger in the first half of the event, consistent with the increased prevalence of moderate and heavy rain identified by the PID algorithm. The K_{DP} values in our analysis cover the same range as the values obtained by *Wolff et al.* [2019], but our distribution skews towards weaker values. Our area of analysis is roughly a factor of 4 larger than *Wolff et al.* [2019] that focused on a rectangle located in the northwest quadrant of the radar domain to compare radar-estimated rain rates with rain gauges in the Harris County Flood Warning System Network. We also use a slightly different algorithm to estimate K_{DP} . Since the estimated K_{DP} values span a similar range of values, we hypothesize that the different regions of analysis contribute the most to the difference in K_{DP} values.

The greatest shift to larger reflectivity values in the full-domain distributions occurred in two periods: 0600 UTC on 27 August through 1200 UTC on 28 August and 0000-0600 UTC on 30 August (Fig. 3a). Despite similar reflectivity distributions, Z_{DR} values were greater in between 0300-0900 UTC 27 August, indicating larger drops were responsible for the higher reflectivities (Fig. 3b). The simultaneous increase in Z_H and Z_{DR} values on 27 August were noted by both *Wolff et al.* [2019] and *Brauer et al.* [2020]. Although the larger-domain hourly timeseries show that the most intense values only persisted for half the day. In contrast to the high Z_{DR} values on 27 August, the high reflectivities on 30 August coincided with reduced Z_{DR} values, indicative of smaller drops. In between was a 36-h period (1200 UTC on 28 August-0000 UTC 30 August) of weak reflectivity values across the domain. The coincident decrease in Z_{DR} and K_{DP} values around 1200 UTC 28 August suggests a decrease in the drop size. There was a similar weakening of the polarimetric variables after the second heavy rain peak (~1200 UTC 30 August), but the echo coverage at this time was minimal (Fig. 2c).

To get a sense of how the polarimetric data relate to the rain gauge data at key time periods, we compare radar data surrounding KIAH and KBPT during hours when the stations experienced their heaviest rainfall. Figure 4 shows snapshots of gridded radar data near KIAH and KBPT during those hours of intense rainfall. Radar data was gridded with LROSE Radx2Grid software with horizontal grid spacing of 1 km and vertical grid spacing of 0.5 km below 3 km altitude and 1.0 km above 3 km altitude. Near KIAH, the 0421 UTC radar observation on 27 August coincided with an hourly rain gauge observation of nearly 50 mm. Reflectivity values at 1 km altitude within 32 km of the gauge ranged from 25-50 dBZ, while those immediately next to the gauge exhibited a narrower range between 35-45 dBZ (Fig. 4a). Meanwhile, near KBPT, the 0431 UTC radar observation on 30 August coincided with an hourly rain gauge observation of almost 100 mm, which was the highest rain rate at that location. Although 1 km altitude reflectivity values surrounding the gauge spanned a similar range as observed near KIAH days prior, reflectivity values were more homogeneous, with a large area of reflectivity values exceeding 40 dBZ (Fig. 4b). Comparing the vertical profiles of Z_H , Z_{DR} , and K_{DP} shows that each variable was generally more intense near KBPT throughout the atmosphere, with the exception of Z_{DR} values above 6 km altitude, where the spread was large (Fig. 4c-e). All three polarimetric profiles increase towards the surface near KBPT, consistent with enhanced collision-coalescence processes (*Kumjian and Prat [2014]*). Meanwhile, Z_H increases toward the surface near KIAH, but the K_{DP} increase toward the surface is weaker and Z_{DR} exhibits a lot of spread, including both positive and negative slopes. The variability in vertical structure is not surprising given the heterogeneous low-level reflectivity field near KIAH at this time; *Brauer et al. [2020]* also showed that vertical polarimetric profiles over nearby downtown Houston varied substantially on 27 August.

3.3 Florence rainfall

The outer rainbands of Florence approached North Carolina on 13 September and Florence made landfall in southeast North Carolina on 14 September as a Category 1 storm. Similar to Harvey, forward motion slowed as Florence crept slowly into South Carolina before accelerating northward late on 16 September (Fig. 5). Unfortunately, extensive rain gauge outages in the region of maximum rainfall preclude a breakdown of rain rates for Florence, as indicated by the number of stations with too many hours of missing data in Fig. 5. The available data reveal two big differences from Harvey, however. First,

384 Florence was a shorter event as most gauges observed rainfall for approximately three
 385 days. Second, the available rain rates from Florence are comparable, yet slightly weaker
 386 than the rain rates in Fig. 1b,c, although rain rates likely strengthened on 15 September
 387 when most gauges were offline. As discussed in section 2, the Morehead City, NC radar
 388 (KMHX) also went offline midway through the event (~1800 UTC 15 September), missing
 389 the final 12-24 hours of rainfall over North Carolina. Despite missing data, we think the
 390 high radar data quality, sufficient length of the data record (> 48 h), and similar statistics
 391 to bias-corrected KLTX radar data allow for a reasonable comparison of Florence's rainfall
 392 characteristics derived from KMHX and the rainfall characteristics from Harvey.

393 3.4 Polarimetric characteristics of Florence

395 Similar to Harvey, polarimetric data from Florence are dominated spatially and tem-
 396 porally by the light rain PID category (Fig. 6a). The magnitude of range-weighted counts
 397 is similar, although KMHX observed more offshore pixels than KHGX. Similar to Harvey,
 398 our results are not sensitive to the exclusion of offshore data points. The range-weighted
 399 counts increased slowly on 13 September as Florence approached the east coast, before
 400 plateauing on 14 September (Fig. 6b). As Florence moved southwest away from the radar,
 401 the range-weighted counts decreased on 15 September. At the same time, the fractional
 402 coverage of moderate and heavy rain categories increased (Fig. 6c).

404 The polarimetric distributions exhibit similar tropical cyclone characteristics to Har-
 405 vey, although most values are generally lower. Reflectivity values seldom exceed 45 dBZ,
 406 differential reflectivity values never exceed 2 dB, and K_{DP} values are lower than those
 407 seen during Harvey (Fig. 7). The lower polarimetric values are consistent with the values
 408 observed in an inner rainband in *Wu et al. [2018]*. Greater coverage of the moderate inten-
 409 sity values corresponds to reduced coverage of heavier rain rates in comparison to Harvey.
 410 Additionally, the polarimetric distributions from Florence gradually shift to higher val-
 411 ues after 0600 UTC on 15 September in contrast to the more episodic nature of Harvey's
 412 variability. The increase in coverage of Z_H , Z_{DR} , and K_{DP} above 40 dBZ, 1 dB, and 0.3
 413 ($^{\circ}\text{km}^{-1}$), respectively, are the closest the polarimetric values from Florence approach the
 414 statistics from the first 60 hours of Harvey (Fig. 3). Even if these statistics persisted for
 415 the final 24 hours that Florence impacted North Carolina, the length of influence by heav-
 416 ier rain rates would only amount to 48 hours. The amount of intense polarimetric values
 417 in Florence was reduced spatially and temporally in comparison to Harvey.

424 Although most rain gauges failed in Florence, we can still examine representative
 425 vertical profiles of polarimetric quantities. Due to the lack of suitable rain gauge com-
 426 parisons, we chose instead to highlight the vertical structure of two different TC features:
 427 a convective outer rainband and an inner rainband. Figure 8 shows snapshots of the hor-
 428 izontal and vertical structure of two rainbands occurring simultaneously in Florence on
 429 14 September 2018. The outer rainband exhibits a similar structure as the examples from
 430 Harvey in Fig. 4, with heterogeneous horizontal patterns of reflectivity at 1 km and re-
 431 flectivity peaking between 45–52 dBZ. The vertical profiles of the polarimetric variables
 432 in the outer rainband shown in Fig. 8c–e are similar to those near KBPT during Harvey
 433 on 30 August, where Z_H , Z_{DR} , and K_{DP} all increase toward the ground as collision-
 434 coalescence processes are enhanced (*Kumjian and Prat [2014]*). Meanwhile, the inner
 435 rainband shows more modest increases in Z_H and K_{DP} near the surface, and the Z_{DR}
 436 profile varies little below 4 km. These localized profiles combined with the examples from
 437 Harvey underscore the variety of microphysical processes that can exist in a TC, consis-
 438 tent with prior studies (*Wang et al. [2016]; Didlake and Kumjian [2018]; Wu et al. [2018]*).
 439 A more systematic examination of the vertical polarimetric profiles with respect to both
 440 TC features and surface rainfall is beyond the scope of this study but is recommended for
 441 future work.

442 4 Estimated DSD characteristics

443 To better understand the covariability of the rain drop size and number concentra-
 444 tion, we retrieve specific metrics related to the DSD from the radar data. A DSD can be
 445 approximated as a gamma distribution with the following form:

$$446 N(D) = N_0 D^\mu \exp(-\Lambda D) \quad (1)$$

447 where N_0 is the intercept parameter, D is the drop diameter, μ is the shape parameter, and
 448 Λ is the slope parameter (*Ulbrich [1983]*). The distribution can be normalized (*Willis*
 449 [*1984*]) to compare different DSDs using a modified intercept parameter proportional to
 450 the liquid water content and median drop diameter:

$$451 N_W = \frac{1.81 \times 10^5 LWC}{\pi \rho_L D_0^4} \quad (2)$$

452 where N_W ($\text{m}^{-3} \text{mm}^{-1}$) is the normalized intercept parameter, LWC (g m^{-3}) is the liquid
 453 water content, ρ_L (g cm^{-3}) is the density of liquid water, and D_0 (mm) is the median vol-
 454 ume diameter. N_W and D_0 can be considered proxies for the number of drops and the

455 median drop size. Although an assumed gamma distribution cannot represent all observed
 456 DSDs, gamma distributions are prevalent within the literature and are an effective way of
 457 identifying meaningful spatial and temporal changes in the type of DSD (*Willis* [1984];
 458 *Bringi et al.* [2003]; *Chang et al.* [2009]; *Thompson et al.* [2015]; *Wang et al.* [2016]; *Za-*
 459 *grodnik et al.* [2018]). Without disdrometer measurements of D_0 and N_W , we use the
 460 CSU RadarTools package (*Lang et al.* [2019]) to estimate these parameters from polari-
 461 metric data following the algorithm described by *Bringi et al.* [2015] where D_0 and N_W
 462 are related to Z_{DR} and Z_H through the following equations:

$$463 D_0 = \begin{cases} 0.0536Z_{DR}^3 - 0.1971Z_{DR}^2 + 0.6261Z_{DR} + 1.0815, & Z_{DR} \geq 1\text{dB} \\ 0.0424Z_{DR}^4 - 0.4571Z_{DR}^3 + 0.6215Z_{DR}^2 + 0.457Z_{DR} + 0.8808, & Z_{DR} < 1\text{dB} \end{cases} \quad (3)$$

$$464 N_W = 19.76 \frac{Z_H}{D_0^{7.46}} \quad (4)$$

465 We exclude data where Z_{DR} is below -0.5 dB, though such data points are infrequent.
 466 To understand how the DSDs are broadly related to water content, we obtain a theoreti-
 467 cal estimate of LWC by rearranging equation 2. Infrequent big drop and numerous small
 468 drop DSDs can produce similar LWC values, despite distinct radar signatures and forma-
 469 tion processes (Fig. 9). As discussed by *Chang et al.* [2009], precipitation radar estimates
 470 such as those from S-band can miss the numerous ($\log_{10}(N_W) > 4$), small drop ($D_0 < 1$
 471 mm) DSDs due to limitations in radar sensitivity, which can cause an underestimate of the
 472 LWC from precipitation radar estimates. Despite these uncertainties, by using the same
 473 algorithm and radar wavelength to estimate N_W and D_0 in Harvey and Florence, we can
 474 quantitatively compare the drop size characteristics of the two TCs, while qualitatively
 475 comparing with previous studies that use disdrometer measurements or different radar re-
 476 trieval techniques.

477 Figure 9a shows that numerous small drop DSDs were common in Harvey, which
 478 is broadly consistent with previous studies on TC DSDs (*Tokay et al.* [2008]; *Chang et al.*
 479 [2009]; *Wolff et al.* [2019]). The retrieval suggests Harvey's dominant DSD type lies in
 480 between small drop DSDs from maritime convection (*Bringi et al.* [2003]; *Thompson et al.*
 481 [2015]) and large drop DSDs that can occur in continental, wintertime precipitation (*Za-*
 482 *grodnik et al.* [2018]), but the spread differs from other polarimetric estimates of DSD
 483 parameters in TCs. The retrieved DSDs exhibit lower concentrations than *Wang et al.*
 484 [2016] by an order of magnitude ($\Delta 1$ in $\log_{10}(N_W)$ space) and the median drop diameters
 485 are approximately 0.5 mm smaller than the values retrieved by *Chang et al.* [2009]. That

495 being said, we emphasize that these two studies use a different technique (*Zhang et al.*
 496 [2001]) that estimates N_0 and Λ in Eq. 1 rather than the parameters estimated here in Eq.
 497 2. To test the sensitivity to the retrieval algorithm, we calculated the DSD parameters us-
 498 ing the relationship obtained by *Brandes et al.* [2004]. The resulting N_W - D_0 distributions,
 499 the temporal evolution of each storm, and the differences between Harvey and Florence
 500 were quite similar using either the *Bringi et al.* [2015] or *Brandes et al.* [2004] retrievals
 501 (not shown). As a result, we feel confident that our comparisons between Harvey and Flo-
 502 rence using the same retrieval technique reveal differences in rainfall characteristics and
 503 microphysical processes between the two storms. Further comparisons with other storms
 504 may reflect retrieval technique uncertainty in addition to differences in microphysical pro-
 505 cesses.

506 The derived DSD parameters in Florence span similar values to Harvey, and show a
 507 qualitatively similar joint probability distribution (Fig. 9b). Some distinct differences are
 508 apparent however, with a reduction in the spread of the observed DSD variability and a
 509 shift towards smaller drops (Fig. 9c). The most common DSDs have an estimated D_0 at
 510 or below 1.0 mm, with relatively high number concentration. The overall similarity be-
 511 tween the Harvey and Florence probability distributions suggest comparable microphysical
 512 processes in general in both events but with more stronger convection in Harvey.

513 To provide more insight into the variability of the observed DSDs, we divide the
 514 joint N_W - D_0 distribution into four quadrants using boundaries of $3.5 \text{ m}^{-3} \text{ mm}^{-1}$ and 1.2
 515 mm (Fig. 9). These thresholds are arbitrary, but approximate the midpoint of each distri-
 516 bution. Not only does each quadrant have a different combination of median drop size and
 517 number concentration, but the thresholds separate the distributions by theoretical LWC val-
 518 ues. In particular, the difference in the theoretical LWC between the lower-left (low LWC)
 519 and upper-right (high LWC) quadrants is substantial. The following quadrant descriptions
 520 are defined for the purposes of comparison: low-concentration small drops (quadrant SL),
 521 low-concentration medium drops (quadrant ML), high-concentration small drops (quadrant
 522 SH), and high-concentration medium drops (quadrant MH).

525 In Harvey, all four DSD quadrants coexisted over the radar domain at all times but
 526 their relative coverage varied in time (Fig. 10). The fractional coverage of larger D_0 val-
 527 ues achieved temporary maxima midday on 26 and 27 August and temporary minima mid-
 528 day on 28 August (Fig. 10a). N_W variability over time was smaller than the variability

529 in D_0 (Fig. 10b). Although variable in time, the ML and SH quadrants are slightly more
 530 frequent throughout Harvey, while the SH quadrant is the most common. (Fig. 10c).

531 Overall, two notable regime shifts in the DSDs occurred during Harvey's evolution.
 532 First, a shift to larger drops occurred on 27 August, characterized by a maximum in D_0
 533 values, increased quadrant MH coverage, and a maximum in quadrant ML coverage (Fig.
 534 10). At the same time, there is a shift to lower N_W values. These characteristics are con-
 535 sistent with the conclusions of *Wolff et al.* [2019] and *Brauer et al.* [2020]. This regime
 536 was short-lived, lasting only from 0400-01700 UTC on 27 August. The surge in medium
 537 drop coverage is similar to observations of a convective cell in an outer rainband in Ty-
 538phoon Nida (2016) where bigger drops were prominent (*Wu et al.* [2018]). In *Wu et al.*
 539 [2018], increased Z_H , Z_{DR} , and K_{DP} values were found beneath strong, deep ascent and
 540 high concentrations of graupel and aggregates, which indicated the importance of melt-
 541 ing ice aloft to bigger raindrops below. Due to differences in methodology, it is unclear
 542 whether the drops in Nida (2016) would fall into our ML or MH quadrants. In the study
 543 by *Wang et al.* [2016] of Typhoon Matmo (2014), they did not observe a noticeable in-
 544 crease in low-concentration medium drops (our ML quadrant) but did report a shift to-
 545 wards higher number concentration (our MH quadrant) within a convective rainband. We
 546 note that the increase in medium drops observed by KHGX radar occurred around the
 547 same time that KIAH airport rain gauge was receiving its peak rain rates and the radar
 548 gates closest to the gauge showed a greater occurrence of the high-concentration drop
 549 quadrants (not shown). The fine scale spatial and temporal variability confirms the chal-
 550 lenge of TC precipitation forecasts to accurately predict local maxima embedded within a
 551 broad envelope of long duration light to moderate rain.

552 The second regime shift exhibited greater coverage by the high-concentration DSDs
 553 (SH and MH) during two periods: 1800 UTC on 27 August through 1200 UTC on 28
 554 August and 0000-0600 UTC 30 August. In particular, the numerous, small drop DSDs
 555 (the SH quadrant) reached peak coverage. This smaller drop regime was noted by *Wolff*
 556 *et al.* [2019] on 28 August, although they did not analyze data from 30 August as the rain
 557 had exited Harris County. The prevalence of higher concentration of small to medium
 558 size drops is likely associated with an enhanced warm rain process. The extended pe-
 559 riod that rain was within range of the radar is a likely contributor to the large variety of
 560 near-surface microphysical characteristics compared to the *Wang et al.* [2016] and *Wu et al.*
 561 [2018] studies (4.5 days in the current study compared to less than 12 hours in the latter).

562 The lengthy duration enabled multiple regions of the TC with different precipitation char-
 563 acteristics to pass over the radar.

564 The derived DSDs in Florence evolved more gradually than in Harvey, with reduced
 565 temporal variability but an increase in the medium drops (the ML and MH quadrants) that
 566 became more prominent after 0600 UTC on 15 September. The high number concentra-
 567 tion DSDs (the SH and MH quadrants) have the greatest coverage, but the MH quadrant
 568 is less frequent than was seen for Harvey. The reduced frequency of the MH quadrant and
 569 increased frequency in the SH quadrant is due to generally weaker Z_H and Z_{DR} (cf. Fig.
 570 7a,b) and indicates a general shift to smaller drop sizes over time.

571 Comparing the available data from Harvey and Florence reveals broad commonali-
 572 ties in microphysics of TC rainfall but important differences in the event length and local
 573 rain intensity. Harvey lingered over Texas for a longer amount of time and radar data indi-
 574 cate that the heaviest rain rates were more frequent and long-lasting than in Florence. Po-
 575 larimetric data suggest both storms had a prevalence of small-to-medium sized raindrops
 576 (≤ 2 mm) in moderate to high concentrations, but there was more temporal variability of
 577 the DSDs in Harvey than in Florence. We next examine some of the environmental and
 578 structural features that may have led to these differences.

581 5 Discussion

582 We hypothesize that several key factors were influential in producing the differences
 583 in observed rainfall in Harvey and Florence. First, Harvey and Florence had different in-
 584 tensities during their prolonged rain events. Although intensity does not directly correlate
 585 with storm structure, Harvey weakened more rapidly than Florence and spent more time as
 586 a tropical storm (Fig. 12a). At the same time, the vertical wind shear surrounding Harvey
 587 was stronger than for Florence, which is known to produce azimuthal variations in precip-
 588 itation. Deep-layer shear impacts vertical motion, and thus rainfall, in part by tilting the
 589 vortex. Vortex tilt induces a wavenumber-1 asymmetry of potential temperature, leading
 590 to persistent anomalies of isentropic ascent and descent (Jones [1995]). In addition, deep-
 591 layer shear imposes a wavenumber-1 pattern of radial flow and vorticity advection, which
 592 is roughly balanced by vortex compression or stretching (Bender [1997]).

593 As a weak storm under strong shear, Harvey was more asymmetric and disorganized.
 594 Initially, the strongest precipitation was found within convective outer rainbands that im-

601 pacted the Houston metropolitan region (Fig. 13a). The intense rainbands in Harvey share
 602 some similarities with the typhoon rainbands studied by *Wang et al.* [2016] and *Wu et al.*
 603 [2018] that indicated a prevalence of larger drops associated with stronger, deeper convec-
 604 tive precipitation associated with 'outer' rainbands (*Yu and Tsai* [2013]; *Tang et al.* [2014];
 605 *Tang et al.* [2018]). One day later, hours after the center of Harvey reentered the Gulf of
 606 Mexico, precipitation weakened and became more scattered (Fig. 13b). As Harvey moved
 607 northeast, the precipitation strengthened and became more uniform (Fig. 13c). Finally,
 608 as the center of Harvey moved into Louisiana, the echo area was restricted to the region
 609 surrounding Beaumont, TX; the reduced echo area and higher reflectivity (Fig. 13d) were
 610 associated with the resurgence of the heavy rain PID category and stronger polarimetric
 611 variables on 30 August (cf. Figs. 2 and 3). Another notable feature in Harvey was the
 612 relative lack of precipitation offshore. This structure suggests a strong influence of both
 613 onshore flow and persistent southwesterly shear. Under this shear orientation, southeast
 614 Texas spent a great deal of time in the downshear quadrants of Harvey, which are often
 615 characterized by enhanced overall rainfall, convective precipitation near the eyewall, and
 616 a transition from convective to stratiform precipitation in outer rainbands (*Corbosiero and*
 617 *Molinari* [2002]; *DeHart et al.* [2014]; *Hence and Houze* [2011]; *Hence and Houze* [2012];
 618 *Reasor et al.* [2013]).

622 In contrast to Harvey's more asymmetric structure, we hypothesize that a slower de-
 623 cay rate and weaker shear favored a more resilient and axisymmetric structure for Flo-
 624 rence. Increased rainfall axisymmetry is expected for stronger storms experiencing weaker
 625 deep-layer shear (*Chen et al.* [2006]). The eyewall and rainbands remained well-defined as
 626 Florence pushed through the KMHX domain (Fig. 14). The heaviest rain in Florence was
 627 mostly restricted to the eyewall and inner rainbands, with larger areas of weaker reflectiv-
 628 ity values and non-convective precipitation similar to the inner rainband in Fig. 8b. Unlike
 629 Harvey, heavy rainfall in Florence did not preferentially occur onshore. Although hints
 630 of heavier rainfall onshore exist in the eyewall and outer rainband on 14 September (Fig.
 631 14b), a band of heavier rainfall is present offshore on 15 September (Fig. 14c). Determin-
 632 ing which structures are due to processes associated with the coastal effects is challenging
 633 without numerical simulations, and should be a focus of further study.

634 During Florence's landfall, the shear direction veered from southerly to westerly
 635 through 0000 UTC on 14 September (Fig. 12c), but the shear magnitude remained steady
 636 around 10 kts through 1800 UTC on 14 September. The concurrent axisymmetric struc-

ture suggests that Florence was more resilient to the influence of deep-layer shear. The relative axisymmetry of Florence during the slow decay was reflected in the persistent fractions of DSD types. The slow increase of large-sized DSDs coincided with Florence's departure from the radar domain as a solitary rainband dominated the remaining precipitating area (Fig. 14c). Since different TC features exhibit different types of DSDs (*Yu and Tsai [2013]; Tang et al. [2014]; Wang et al. [2016]; Tang et al. [2018]; Wu et al. [2018]*), a gradual transition from a regime that includes eyewall, inner rainband, and outer rainband rainfall (e.g., 14 September in Fig. 14b) to predominantly outer rainband rainfall likely contributes to the changing DSD type fractions. Overall, the polarimetric data suggest that strong convection was less widespread in Florence than in Harvey. The stronger TC intensity and resilience to deep-layer shear are believed to limit the intense convection to smaller areas in the eyewall and rainbands compared to the stronger vertical motion forcing downshear in Harvey.

Vertical wind shear and intensity are not the only possible factors that could explain the difference in precipitation between the two storms. Environmental conditions such as dry air, thermodynamic stability, and sea surface temperatures also affect cloud morphology and the resulting precipitation. Offshore sea surface temperatures in the Gulf of Mexico prior to Harvey were approximately 1°C warmer than offshore temperatures for Florence (not shown) and could have increased low-level moisture in Harvey. While these factors may also play a role, the contrasting storm structures and consistency with expected patterns of precipitation due to vertical wind shear suggest that the combined effects of shear and intensity played an important role in the rainfall differences. Further analysis with high-resolution thermodynamic observations and numerical simulations would be required to evaluate their relative contributions and are beyond the scope of this study.

6 Conclusions

In this study, rain gauge and polarimetric radar data were analyzed to better understand the characteristics of the record-setting rainfall from Hurricanes Harvey (2017) and Florence (2018) and to identify microphysical similarities and differences between these two storms. From our analysis, we draw the following conclusions:

- 667 1. Polarimetric data indicated that the microphysical characteristics of both storms
 668 were not drastically different from the observations in previous tropical cyclones.
 669 On average, both Harvey and Florence exhibited ‘typical’ tropical cyclone DSDs
 670 with high concentrations of small-to-medium sized raindrops.
- 671 2. High-concentration, midsize DSDs were more common in Harvey and indicate
 672 stronger rain rates over a larger area for a longer time period. The dominant DSD
 673 type (e.g., large N_W or D_0 DSDs) in Harvey showed substantial variability over
 674 time. In contrast, inferred DSDs from Florence generally had smaller median diam-
 675 eters than the DSDs from Harvey and had less variability.
- 676 3. Both the long event duration and strong rain rates were contributing factors to the
 677 record-breaking rainfall in Harvey, but their relative importance varied spatially.
 678 The slowly moving center determined the amount of time any one location spent
 679 in onshore flow and was one of the primary contributors to the frequency of heavy
 680 rain rates and the record-breaking total accumulated rainfall. In contrast, Florence’s
 681 more direct track and shorter duration did not produce as distinct a difference in on
 682 and offshore precipitation or the spatial or temporal variability in intense rain rates.
- 683 4. Stronger vertical wind shear and a more rapid decay in intensity contributed to
 684 a more asymmetric and disorganized Harvey, whereas weaker wind shear and a
 685 slower decay in intensity contributed to a more resilient and axisymmetric Florence.
 686 These factors contribute to overall weaker convection in Florence associated with
 687 ‘inner’ rainbands, while Harvey’s stronger convection more resembled ‘outer’ rain-
 688 bands.

689 It is well-known that slow-moving tropical cyclones are capable of producing ex-
 690 treme rainfall, but this study further underscores the complexity inherent in tropical cy-
 691 clone rainfall. Rain rates and the dominant microphysical processes vary sharply over
 692 short distances, depend on the details of the TC structure, and are influenced by the en-
 693 vironment. The changing DSDs in Harvey and Florence suggests changes in the relative
 694 contributions of rain and ice phase processes, consistent with previous TC studies (*Wang*
 695 *et al.* [2016]; *Didlake and Kumjian* [2017]; *Kalina et al.* [2017]; *Wu et al.* [2018]). Abun-
 696 dant small drops suggest the dominance of warm rain processes, while the presence of
 697 larger drops suggest more vigorous or deeper convection with more contributions from
 698 melting graupel to the overall DSD (*Wu et al.* [2018]). The current results suggest that
 699 multiple microphysical pathways to heavy rainfall exist within the same TC, and additional

700 research is needed to determine which pathways will dominate in different regions and
 701 landfall scenarios.

702 Understanding the key factors in specific extreme rainfall events can help identify
 703 commonalities and differences in future extreme events. Recent studies suggest that trop-
 704 ical cyclone rainfall is expected to increase in a warmer climate, and that climate change
 705 may have influenced Harvey's rainfall through increased water vapor, higher ocean heat
 706 content, or slower storm motion (*Emanuel* [2017]; *van Oldenborgh et al.* [2017]; *Risser*
 707 and *Wehner* [2017]; *Trenberth et al.* [2018]). While the role of climate change in the two
 708 events presented here is outside the scope of this study, documenting the characteristics
 709 of their rainfall is crucial to understanding how such characteristics might change in the
 710 future. In particular, the relative spatial and temporal contributions of various microphysi-
 711 cal processes to the total rainfall are not fully understood. A specific process might be an
 712 efficient producer of strong precipitation, but may have a limited impact in the context of
 713 the full event and area of impact. Concurrent surface, in situ, and remote observations of
 714 microphysical processes will improve our understanding of and ability to forecast tropical
 715 cyclone rainfall in the current and future climate.

716 Acknowledgments

717 This study was supported by National Science Foundation awards AGS-1701225, AGS-
 718 1854607, and OAC-1661663. The authors would like to thank Dr. Ya-Chien Feng for
 719 her comments on the manuscript. The authors would also like to thank the three anonym-
 720 ous reviewers, whose comments helped improve this manuscript. The WSR-88D Level
 721 II radar data can be obtained from the National Climatic Data Center (<https://www.ncdc.noaa.gov/nexradinv>). The hourly rain gauge data can be obtained from the ASOS archive
 722 maintained by the Iowa Environmental Mesonet (<https://mesonet.agron.iastate.edu/request/download.phtml>). The LROSE software is available for download online (<http://lrose.net>).

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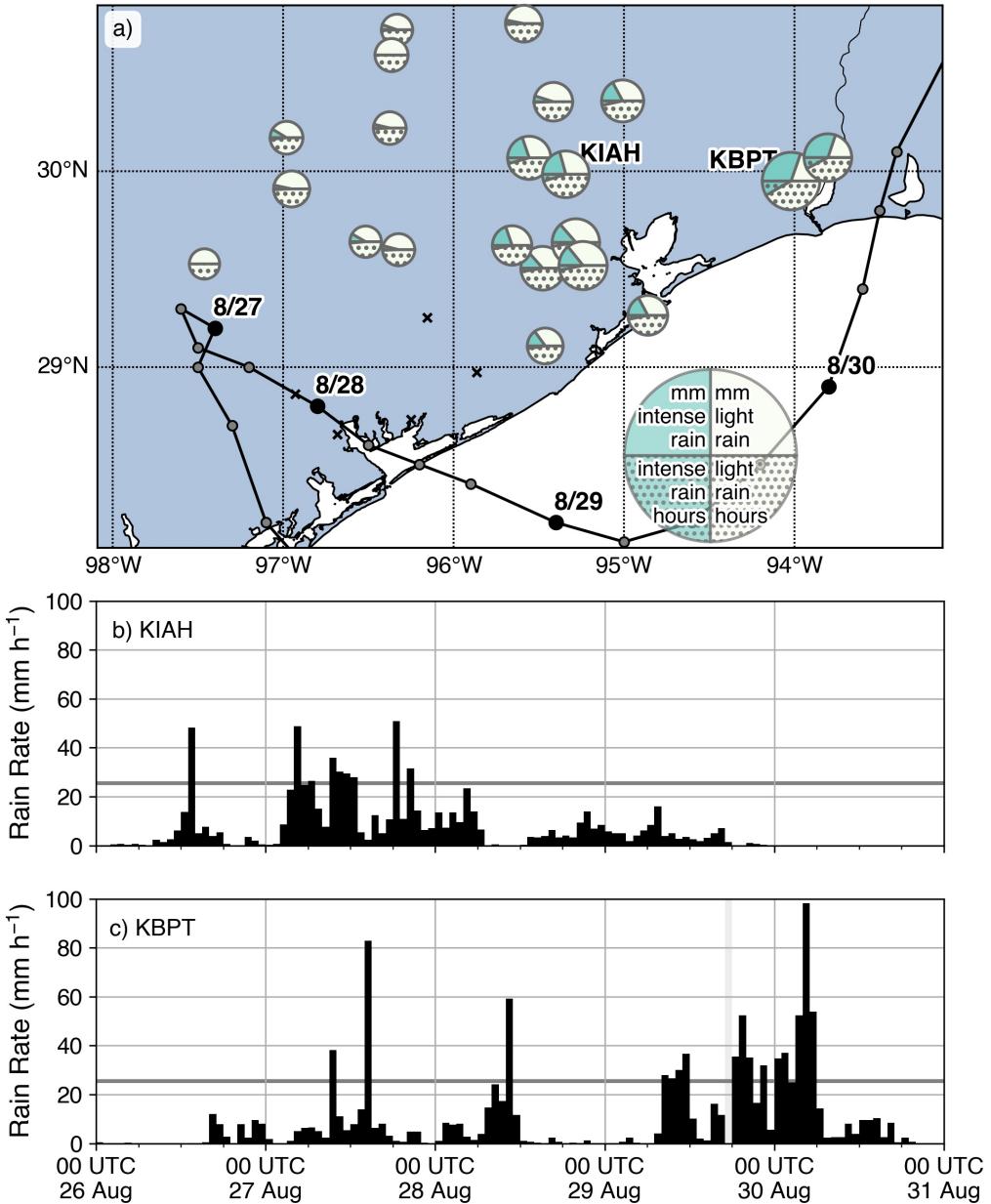
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216 **Figure 1.** a) Map of Hurricane Harvey rainfall from 0000 UTC on 25 August to 0000 UTC on 31 August,
 217 2017. Circle size is proportional to the square root of the total rainfall, to limit the overlap of station plots.
 218 Within each circle, the lower semicircle (hashed) displays the frequencies and the upper semicircle (solid)
 219 displays the contribution to the total rainfall by intense (green) and light (white) rainfall. Only hours with
 220 measurable rainfall are included. Locations marked by an 'x' indicate rain gauges missing more than an av-
 erage of four observations per day. b) Hourly rainfall time series at KIAH. c) Hourly rainfall time series at
 222 KBPT. Black horizontal line indicates an hourly rain rate of 25 mm h⁻¹, which is used to identify intense rain.
 223 Vertical gray bars indicate missing data.

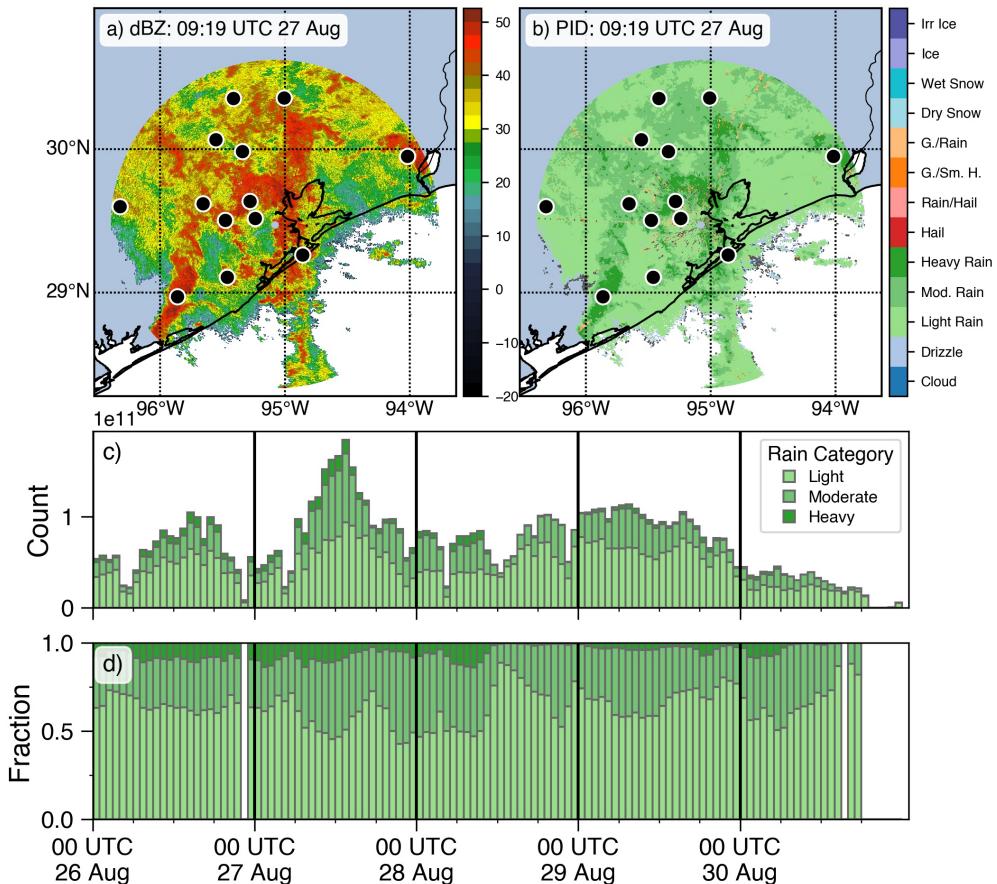


Figure 2. a) Map of reflectivity from the 0.5° plan position indicator (PPI) scan from KHXG at 0919 UTC on 27 August, 2017. Only data within 127 km of KHXG are displayed. The black circles represent the location of ASOS rain gauges within 127 km of KHXG. b) As in a), but for the PID categories. c) Hourly time series of range-weighted counts of rain PID categories. d) As in c), but for the range-weighted fraction.

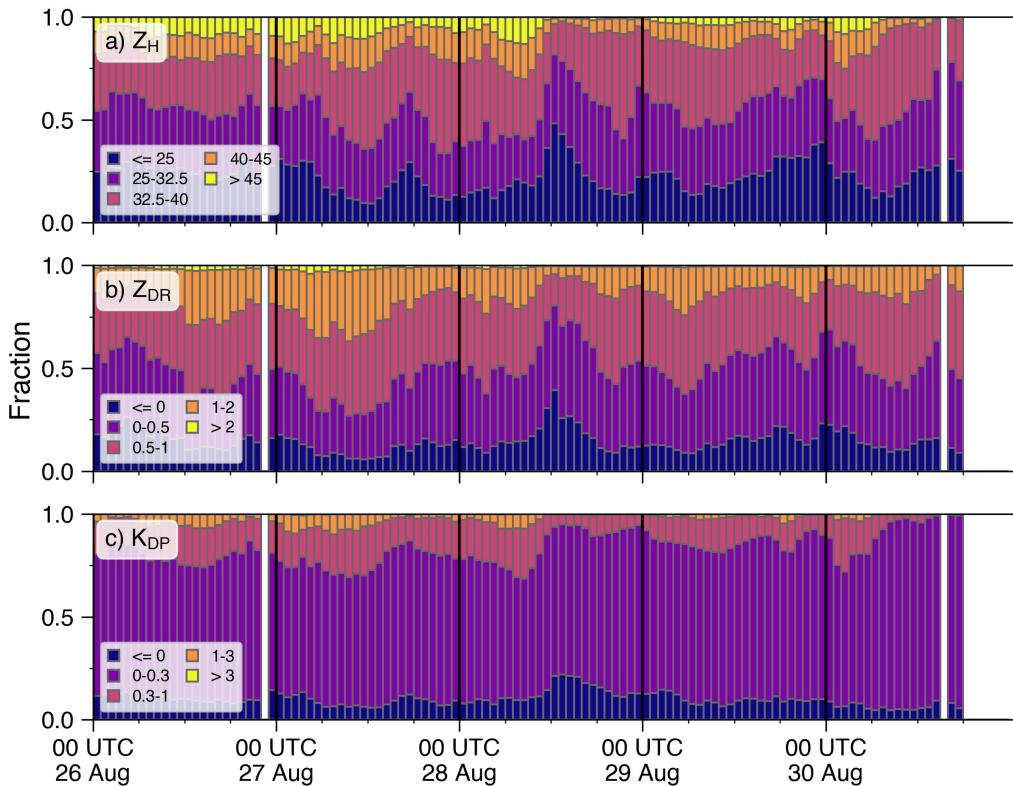
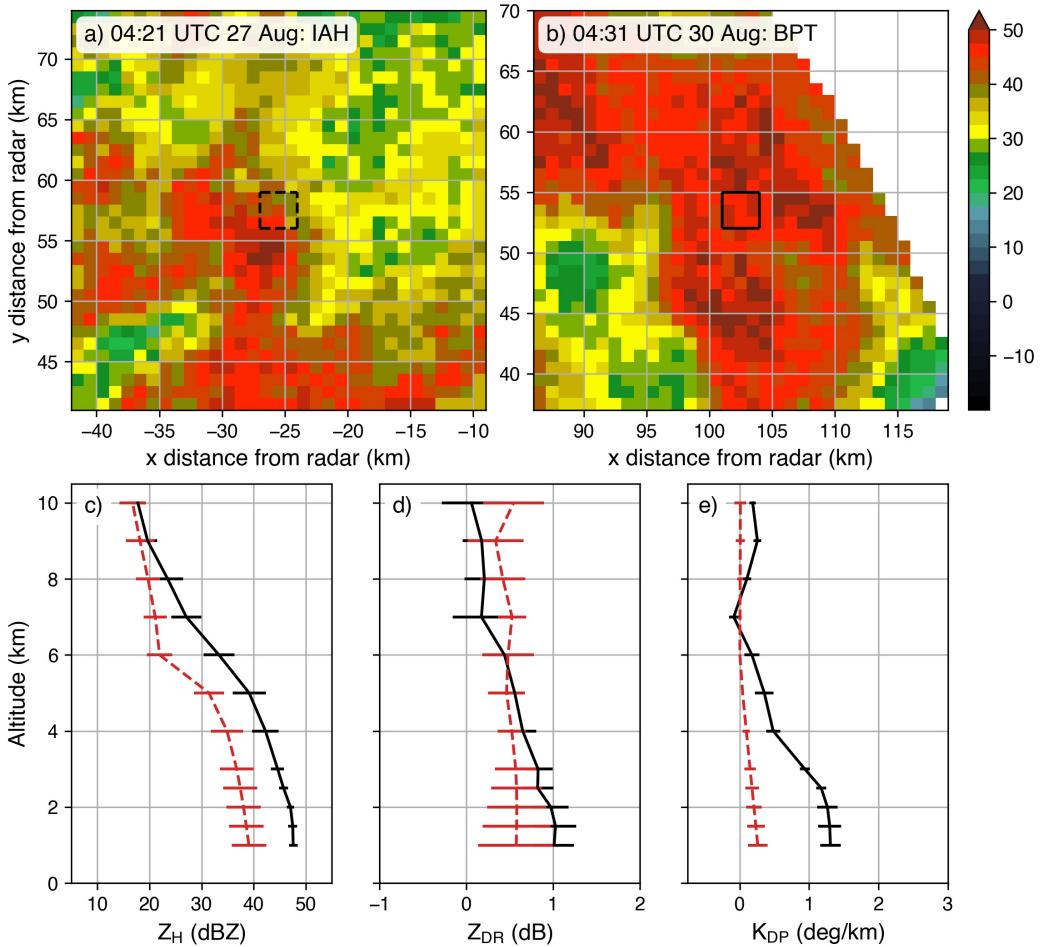


Figure 3. Hourly time series of range-weighted fraction of polarimetric variables in specified bins at KHGX of a) reflectivity (dBZ), b) differential reflectivity (dB), and c) specific differential phase ($^{\circ}$ km $^{-1}$).



343 **Figure 4.** a) Map of gridded radar reflectivity data at 1 km altitude within a 32x32 km box surrounding
 344 KIAH at 0421 UTC on 27 August 2017 during Hurricane Harvey. Black dashed box indicates the area over
 345 which the vertical profiles are calculated. b) As in a), but for KBPT at 0431 UTC on 30 August 2017. Black
 346 solid box indicates the area over which the vertical profiles are calculated. c) Vertical profiles of Z_H near
 347 KIAH (dashed red line) and KBPT (solid black line). Error bars denote the standard deviation at each altitude.
 348 d) As in c), but for Z_{DR} . e) As in c), but for K_{DP}

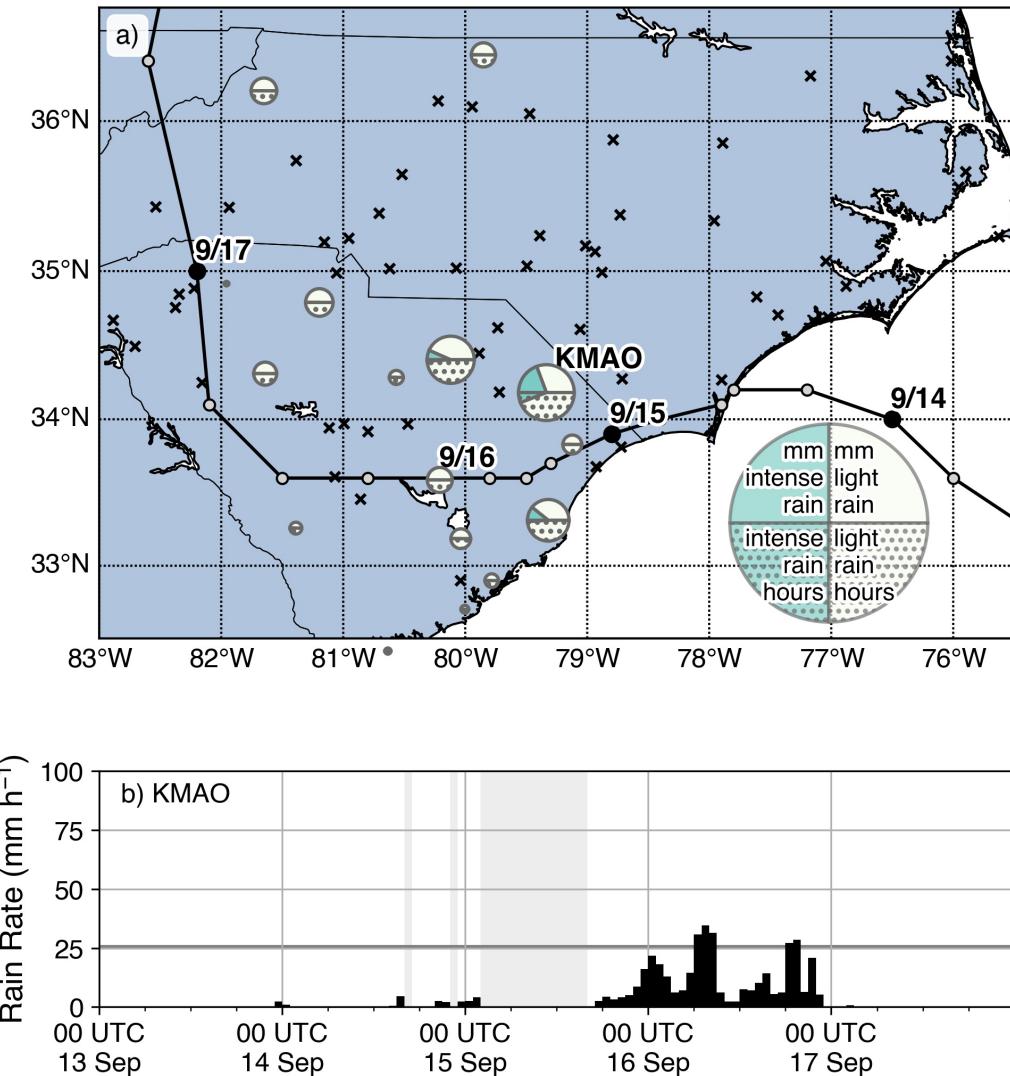
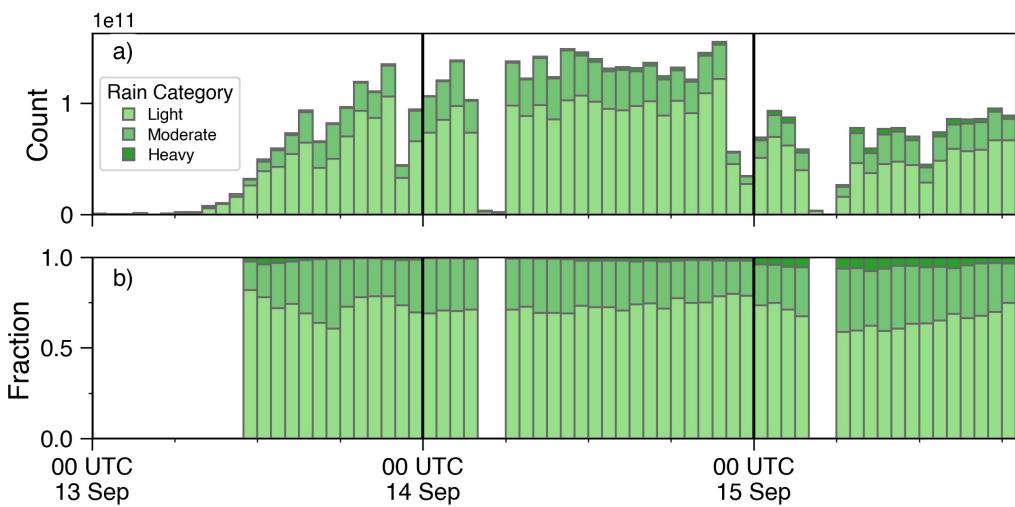
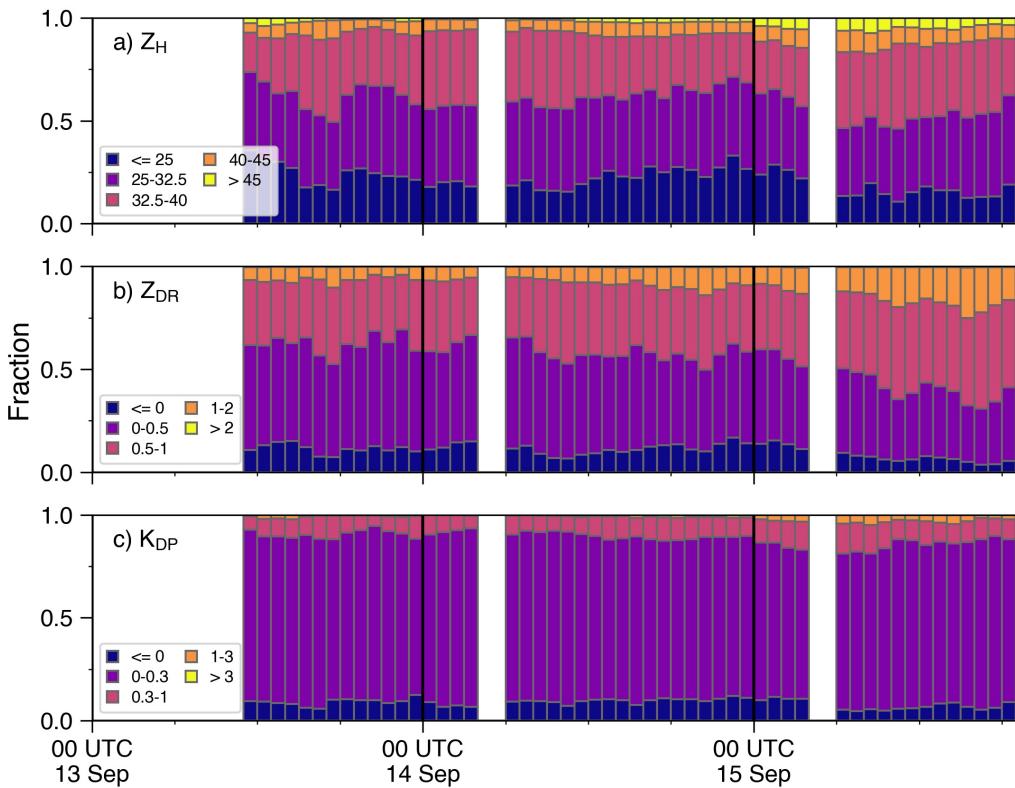


Figure 5. a) As in Fig. 1, but of Hurricane Florence rainfall from 0000 UTC on 13 September to 0000 UTC on 18 September, 2018. b) Hourly rainfall time series at KMAO. Black horizontal line indicates an hourly rain rate of 25 mm h^{-1} , which is used to identify intense rain. Vertical gray bars indicate missing data.

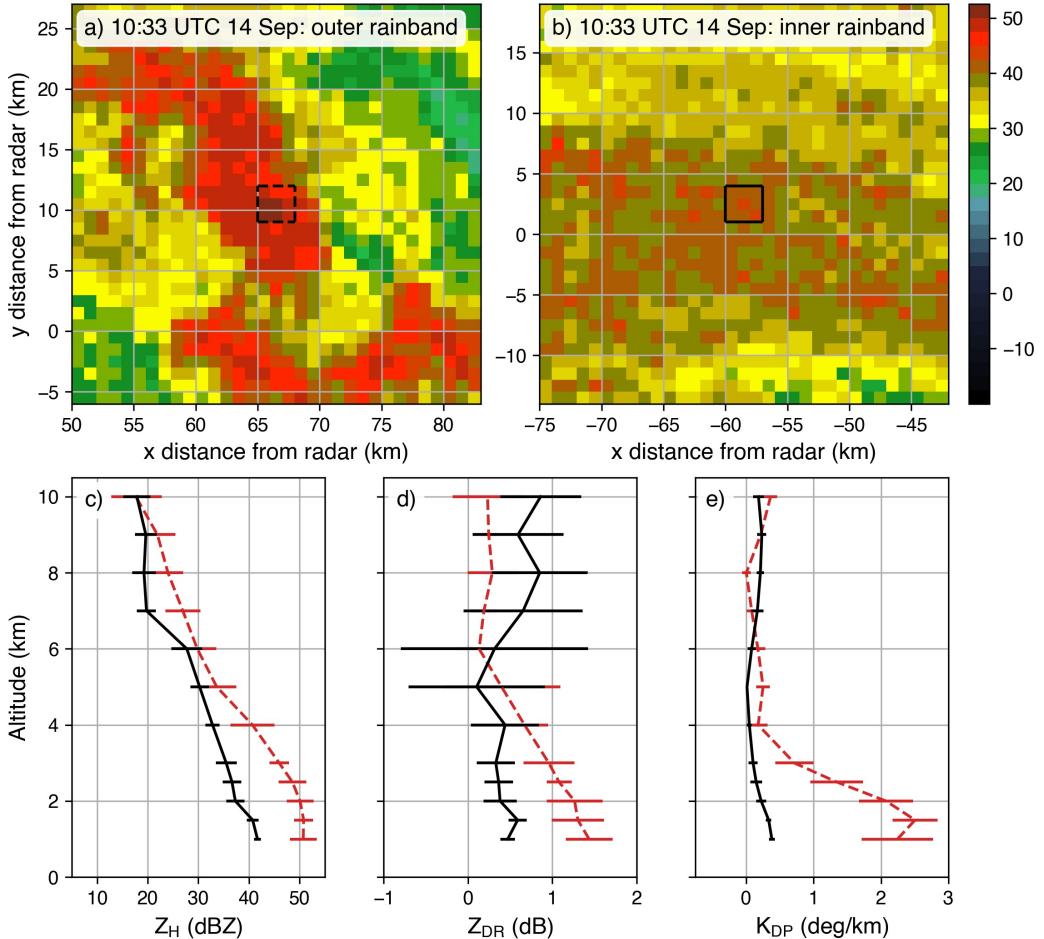


394 **Figure 6.** Hourly time series of range-weighted PID categories from KMHX by a) total count, b) fraction.

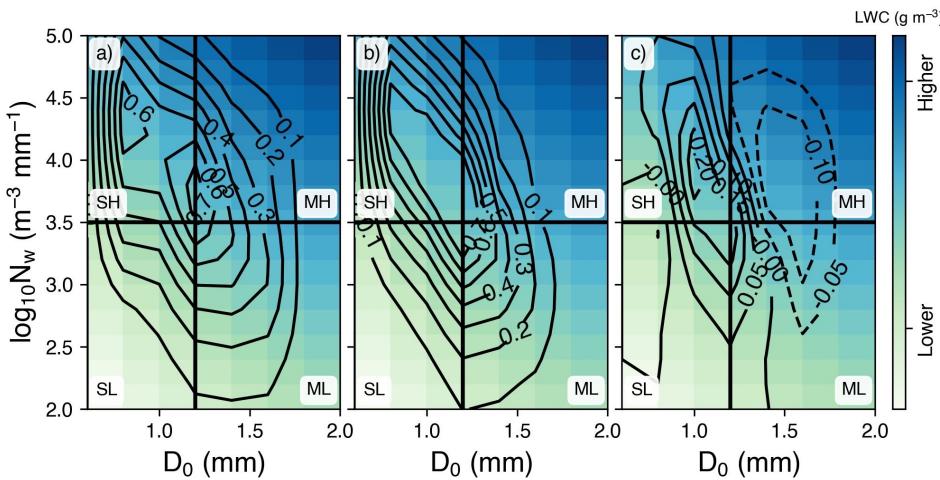


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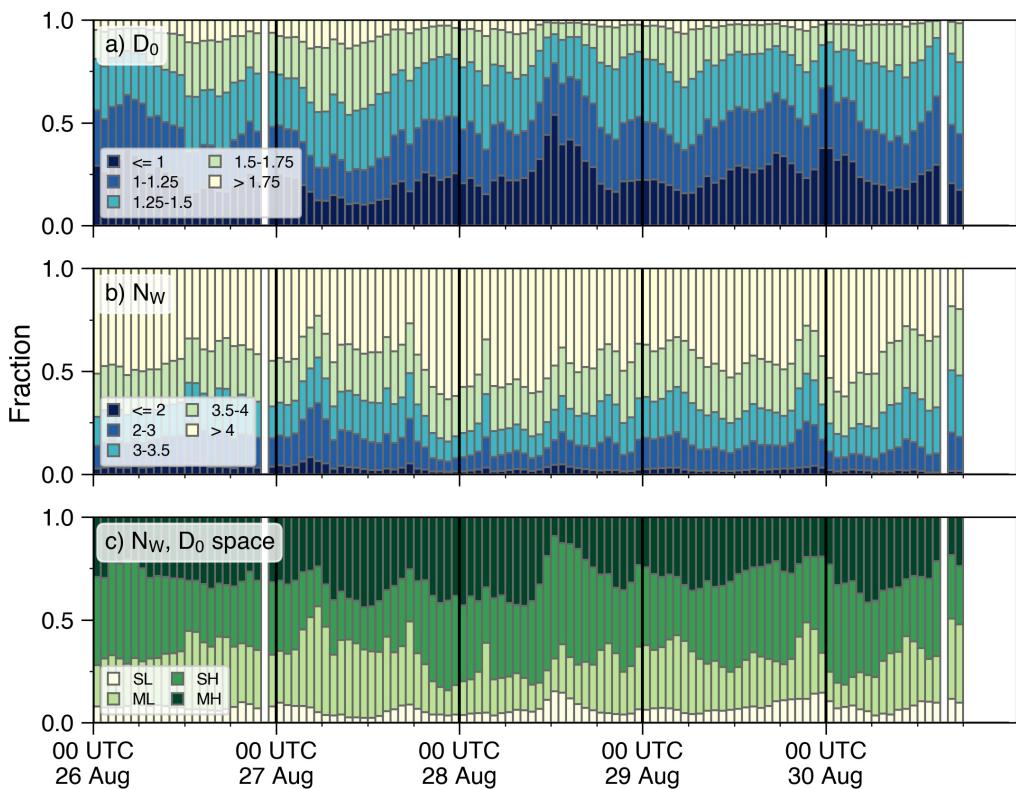
Figure 7. As in Fig. 3, but for data from KMHX.



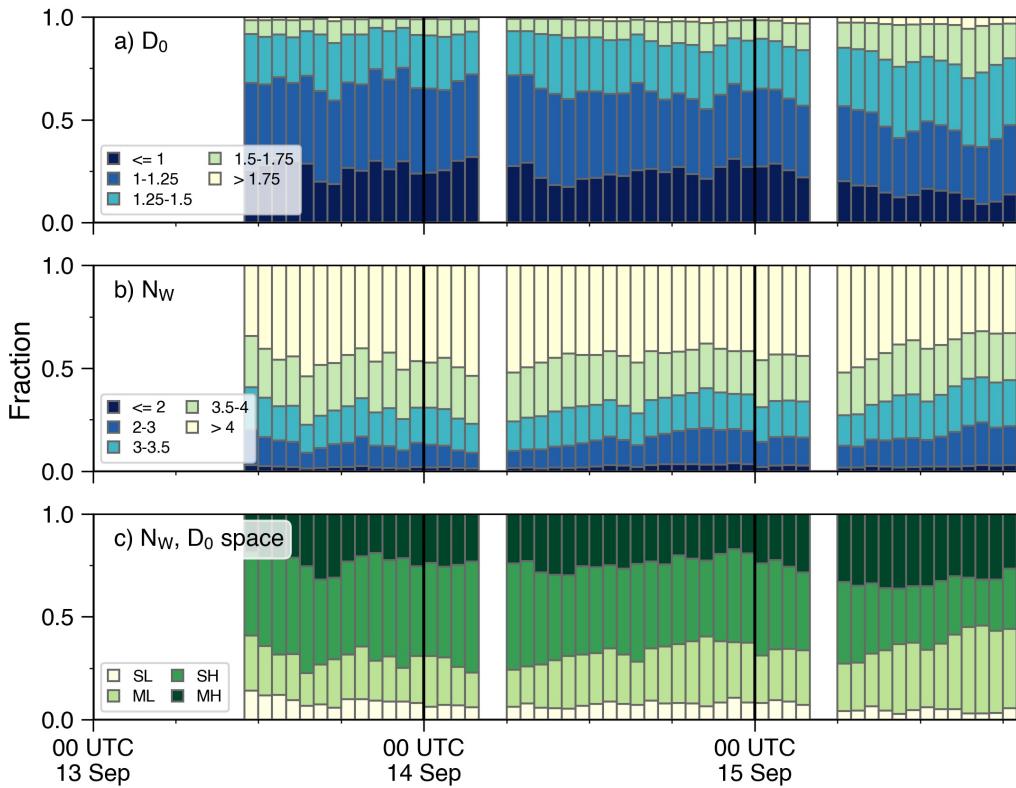
418 **Figure 8.** a) Map of gridded radar reflectivity data at 1 km altitude within a 32x32 km box surrounding an
 419 outer rainband at 1033 UTC on 14 September 2018 during Hurricane Florence. Black dashed box indicates
 420 the area over which the vertical profiles are calculated. b) As in a), but surrounding an inner rainband. Black
 421 solid box indicates the area over which the vertical profiles are calculated. c) Vertical profiles of Z_H near the
 422 outer rainband (dashed red line) and inner rainband (solid black line). Error bars denote the standard deviation
 423 at each altitude. d) As in c), but for Z_{DR} . e) As in c), but for K_{DP}



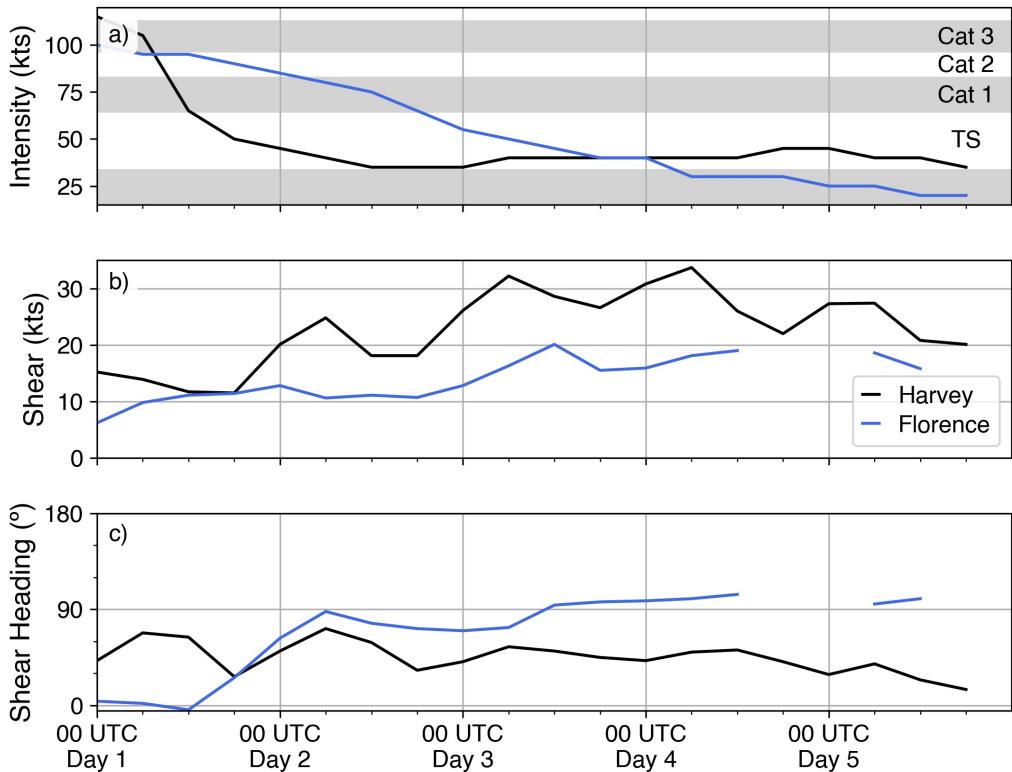
478 **Figure 9.** a) Joint probability distribution (contours) of range-weighted N_W and D_0 values calculated
 479 from the KHGX polarimetric data for Harvey between 0000 UTC on 26 August and 0000 UTC on 31 Au-
 480 gust. Theoretical LWC values (colors) are calculated from Equation 2. Labels indicate the different DSD
 481 quadrants (SL: low-concentration small drops, ML: low-concentration medium drops, SH: high-concen-
 482 tration small drops, and MH: high-concentration medium drops). b) As in a), but for data from KMHX for Florence
 483 between 0000 UTC on 13 September and 1922 UTC on 15 September, when the radar went offline and c)
 484 the difference joint probability distribution between Florence/KMHX and Harvey/KHGX (dashed contours
 485 indicate frequencies were greater for KHGX).



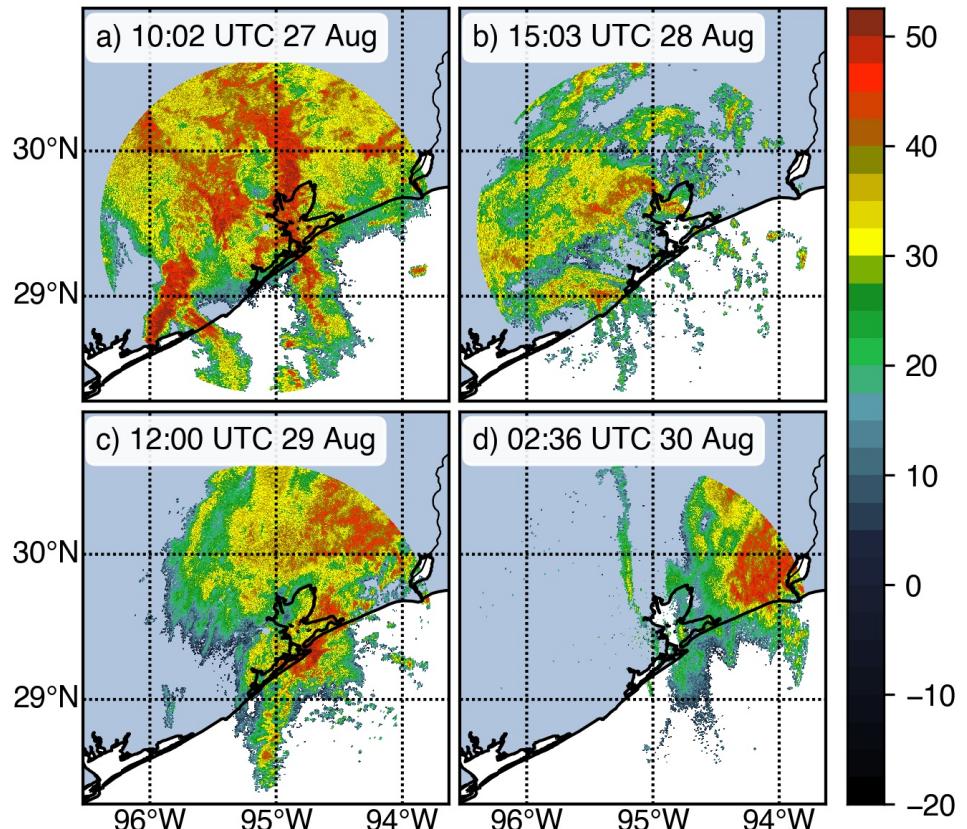
523 **Figure 10.** As in Fig. 3, but for the estimated a) D_0 (mm), b) N_W ($m^{-3} \text{ mm}^{-1}$), and c) N_W, D_0 quadrants
 524 defined in Fig. 9 for data from Harvey (2017).



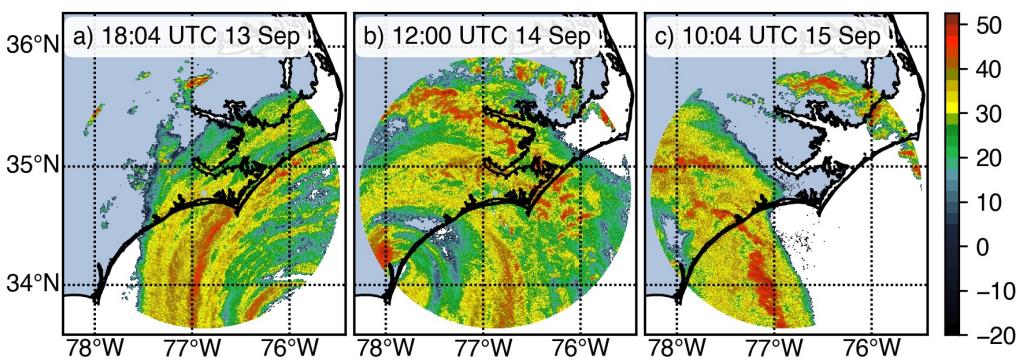
579 **Figure 11.** As in Fig. 7, but for the estimated a) D_0 (mm), b) N_W ($\text{m}^{-3} \text{ mm}^{-1}$), and c) N_W, D_0 quadrants
 580 defined in Fig. 9 for data from Florence (2018).



582 **Figure 12.** Time series of a) storm intensity (kts), b) deep-layer wind shear magnitude (kts), and c) deep
 583 layer wind shear heading ($^{\circ}$) for Harvey (black) and Florence (blue). Label of Day 1 corresponds to 26 August
 584 and 13 September for Harvey and Florence, respectively.



596 **Figure 13.** Map of reflectivity from the 0.5° PPI scan from KHXG at a) 1002 UTC on 27 August, b) 1503
597 UTC on 28 August, c) 1200 UTC on 29 August, and d) 0236 UTC on 30 August. Only data within 127 km of
598 KHXG are displayed.



619 **Figure 14.** Map of reflectivity from the 0.5°PPI scan from KMHX at a) 1804 UTC on 13 September, b)
620 1200 UTC on 14 September, and c) 1004 UTC on 15 September. Only data within 127 km of KMHX are
621 displayed. displayed.