

# Data Structures and Algorithms

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Session: Running Time of a Program:  
Average and Worst Case Complexity, Asymptotic Analysis

## Search Algorithm A : $\text{LinearScan}(e, S)$

■ Viewing analysis as function identification.

■ Two main ways

- ▶ Average (or expected value)
- ▶ Maximum (or "worst case")

→ across all instances of  $S$  but with  $|S|=N$

■ To calculate average, compute probability distribution over inputs

■ Components of Probability distribution

- ▶ Probability of successful search →  $\text{pr}(e \text{ is found in } S)$
- ▶ Probability of position of element  $e$  →  $\text{pr}(e \text{ is found in } S \text{ at } i)$

## Search Algorithm A: Average case analysis

■ Success probability =  $p$

- ▶ Conditional probability of  $e$  being at index  $i = \frac{1}{N}$  ( $\forall i$ )

■ Average will be:

$$3N + 2.5$$

$$p \sum_{i=0}^{N-1} T_s(i) \cdot \frac{1}{N} + (1-p) T_u(N)$$

$$p \sum_{i=0}^{N-1} (4i + 5) \cdot \frac{1}{N} + (1-p)(4N + 2)$$

$$p \cdot \frac{1}{N} \cdot \left[ \frac{4(N-1)N}{2} \right] + 5N + (1-p)(4N + 2)$$

$$p \cdot \frac{1}{N} \cdot [2(N-1)N + 5N] + (1-p)(4N + 2)$$

$$p \cdot (2N + 3) + (1-p)(4N + 2)$$

$$\text{Assume } p = \frac{1}{2}$$

$$T_{avg}(N) = \frac{2N+3+4N+2}{2} = \underline{3N + 2.5}$$

## Search Algorithm A: Average vs. **Worst case** analysis

- Success probability =  $p$ 
  - ▶ Conditional probability of  $e$  being at index  $i = \frac{1}{N}$

- Average will be:

$$\underline{3N + 2.5}$$

- **Recall Worst case**

- ▶ **When element  $e$  is not found**

- **Worst case time:**

- ▶  $T_{worst}(N) = \underline{4N + 2}$

$$p \sum_{i=0}^{N-1} T_s(i) \cdot \frac{1}{N} + (1-p)T_u(N)$$

$$p \sum_{i=0}^{N-1} (4i + 5) \cdot \frac{1}{N} + (1-p)(4N + 2)$$

$$p \cdot \frac{1}{N} \cdot \left[ \frac{4(N-1)N}{2} \right] + 5N + (1-p)(4N + 2)$$

$$p \cdot \frac{1}{N} \cdot [2(N-1)N + 5N] + (1-p)(4N + 2)$$

$$p \cdot (2N + 3) + (1-p)(4N + 2)$$

$$\text{Assume } p = \frac{1}{2}$$

$$T_{avg}(N) = \frac{2N+3+4N+2}{2} = 3N + 2.5$$

# Alternative (Binary) Search Algorithm B

## Recursive binary search

```
bsearch(vector<int> &S, int num, int begin, int end){  
    int mid;  
    mid = (begin + end)/2;  
    if(begin > end)  
        return false;  
    else{  
        if(S[mid] == num)  
            found = true;  
        else if(num < S[mid])  
            bsearch(S, num, begin, mid - 1);  
        else  
            bsearch(S, num, mid + 1, end);  
    }  
}
```

$n \geq S[mid]$   
[ mid ]  
 $n < S[mid]$

# Worst case analysis for Search Algorithm B

## Recursive binary search

```
bsearch(vector<int> &S, int num, int begin, int end){  
    int mid;  
    mid = (begin + end)/2;  
    if(begin > end) *  
        return false;  
    else{  
        if(S[mid] == num) ② *  
            { found = true; }  
        else if(num < S[mid]) ②  
            bsearch(S, num, begin, mid - 1);  
        else  
            bsearch(S, num, mid + 1, end);  
    }  
}
```

## Time taken in one function call

- Assignment, math operations: 3
- Comparisons: 5 (3 for the final call)
- Function call is more expensive with an arbitrary invocation cost C

# Recursion vs Iterative

- Recursive calls can involve more overheads
- Need for saving, retrieving parent program state
- Uses stack to maintain states
- Recall factorial program implementations using recursion as against iterative calls

$fact(n) = n * fact(n-1)$

$for(i=1; i \leq n; i++) \{$   
     $fact = fact * i$   
 $\}$

## Algorithm B Analysis (Worst Case)

- Element  $e$  is not present in array
  - ▶ Amounts to scanning every position
- Time required (in each call except last)
  - ▶  $\sim C+8$
  - ▶ How many such calls?



## Algorithm B Worst Case Analysis

### ■ How many recursive calls?

- ▶ First call is with range  $(0, N - 1)$
- ▶ Recursive calls reduce search range by factor of half
- ▶ Termination when  $begin > end$
- ▶  $N \rightarrow \frac{N}{2} \rightarrow \frac{N}{4} \rightarrow \frac{N}{8} \rightarrow \dots \rightarrow \underline{1}$

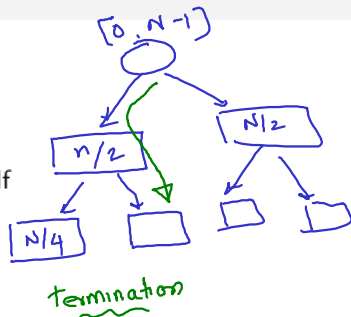
### ■ Number of calls for this to happen?

- ▶ Obviously  $\sim \log_2 N$

### ■ Time required $\sim (C + 8)\log_2 N + 6$ (for last call)

### ■ Recurrence is $T(N) = T(\frac{N}{2}) + (C + 8)$

Solved using Masters Theorem



## Algorithm A vs B worst case comparison

- Algorithm A:  $4N + 3$
- Algorithm B:  $\sim (C + 8) \log_2 N + 6$
- Which is faster? Assume  $C \approx 10$ 
  - ▶ For  $N = 2, 3, 4, \dots$ : Algorithm A seems faster
  - ▶ After  $N \geq 21$ : Algorithm B is faster, and remains so
- This analysis is consistent with experimental observations (for a different N)

Monotonicity

# Asymptotic Analysis and Order of Growth

- Asymptotic Analysis: Analyse and compare running times only when “input size” is large

- ▶ Focus on analysis for  $N \rightarrow \infty$  only
- ▶ For small inputs, even a bad algorithm will perform well

- We focus on Order of growth [ignore unnecessary details]

- ▶ Do not make a big distinction between  $40N + 400$  and  $2N + 1$
- ▶ Do make a big distinction between  $2N + 1$  and  $400 \log N + 1000$
- ▶ We want to say that  $2N + 1$  is slower

or  $N^2$

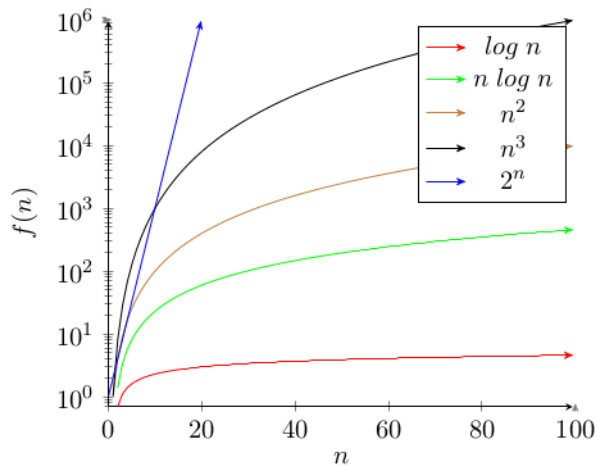
( $N^2$  grows much faster than  $40N$ )

## Algorithm A vs B worst case comparison, asymptotic

$$\frac{\partial N}{\partial N} = 1 \quad \frac{\partial \log N}{\partial N} = \frac{1}{N}$$

- The function  $N$  “grows faster” than  $\log N$  (Rate of Growth)
- Intuition: Irrespective of the constants involved in the analysis, we know that eventually (after some large value of  $n$ ), *Algorithm A* time will become slower than *Algorithm B*
  - ▶ Recall that for *Algorithm A*,  $T(N)$  is proportional to  $N$   $\Rightarrow$  “LINEAR”
  - ▶ Recall that for *Algorithm B*,  $T(N)$  is proportional to  $\log N$   $\Rightarrow$  “LOGARITHMIC”
- Constants don't matter; what matters is one was linear, other was logarithmic

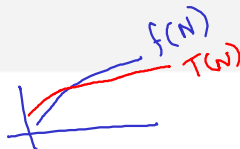
# Growth rate of important functions



# Running time formalisms

- The “fundamental” running time of an algorithm is called its “time complexity”
- Time complexity is expressed only in terms of the dominating terms, or “orders”
- “Order of complexity” of an algorithm is the most important aspect of an algorithm

## Formalizing ... definitions



- $T(N) = \mathcal{O}(f(N))$  if there are positive constants  $c$  and  $n_0$ , such that  $T(N) \leq c f(N)$  whenever  $N \geq n_0$   *$c = \text{independent of } N$*
- We say that  $T(N)$  is of the “order of  $f(N)$ ” or Big-Oh  $f(N)$  or just  $\mathcal{O}(f(N))$
- This means  $T(N)$  is of the order of  $f(N)$  if you can find a point  $n_0$  after which  $T(N)$  is smaller than a linearly scaled version of  $f(N)$ 
  - ▶ The point  $n_0$  helps ignore the additive constants
  - ▶ The factor  $c$  helps ignore the multiplicative constants
  - ▶ Focus is only on the dominating “ $N$ ” term

Thank you