

# Data Structures and Algorithms

Prof. Ganesh Ramakrishnan,  
Prof. Ajit Diwan,  
Prof. D.B. Phatak

Department of Computer Science and Engineering  
IIT Bombay

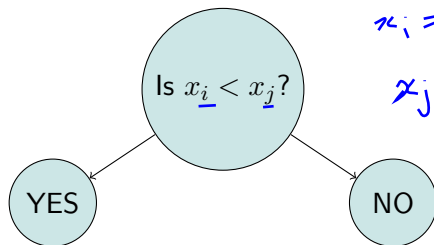
Session: Comparison Based Sorting

# Outline

- Comparison based Sorting
- Abstract Data Types for Sorting
  - ▶ Selection, Insertion and Heap Sort
  - ▶ In-place sorting versions
  - ▶ Analysis
- Divide and Conquer Approach to Sorting
  - ▶ Merge-Sort and Quick-Sort
  - ▶ Analysis

# Comparison based Sorting

- Sort by making comparisons between pairs of objects
- Result of each comparison  $\Rightarrow$  Yes/No
- A bit later: Lower bound analysis for Comparison based Sorting



$x_i = \text{person 1 (age)}$   
 $x_j = \text{person 2 (age)}$

# Abstract Data Types for Sorting

- Array-based in-place versions
- Loop Invariants
- Complexity Analysis
- Lower bounds on running time

# Abstract Data Types for Sorting

- Priority Queue (PQ) is a Natural Choice
- Different implementations of the PQ lead to different sorting algos

# Sorting using Priority Queue

**Algorithm** Sort-PQ( $S$ )

**Input:** Sequence  $S$

**Output:** Sequence  $S$  sorted in increasing order

**Priority Queue:**  $P$

**repeat**

1.  $e = \text{front}(S)$

2.  $\text{insert}(e, P)$

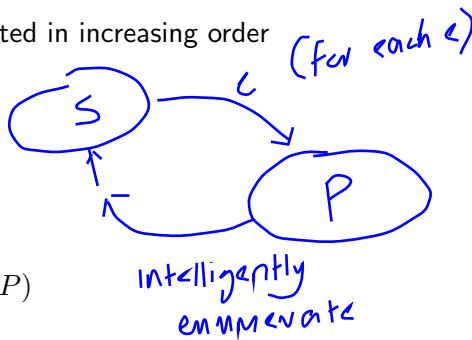
**until**  $\text{empty}(S)$

**repeat**

1.  $m = \text{indexofMin}(P)$

2.  $\text{delete}(P, m)$

**until**  $\text{empty}(P)$



**Figure:** Sorting using  $n$  invocations of Priority Queue

# Abstract Data Types for Sorting

- Priority Queue (PQ) is a Natural Choice
- Different implementations of the PQ lead to different sorting algos
  - ▶ Unsorted List-based  $\Rightarrow$  Selection Sort
  - ▶ Sorted List-based  $\Rightarrow$  Insertion Sort
  - ▶ Heap-based  $\Rightarrow$  Heap Sort

# Unsorted List-based (Selection Sort)

- $insert(e, P)$ : Insert either at the beginning or at the end of the list  $P$ 
  - ▶  $O(1)$
- $indexOfMin(P)$ : Scan list  $P$ , find the min element and returns the index  $m$
- $delete(P, m)$ : Deletes element at position  $m$  from list  $P$ 
  - ▶  $1 + 2 + \dots n = O(n^2)$
- $\Rightarrow O(n^2)$  overall



# Unsorted List-based (Selection Sort)

- $insert(e, P)$ : Insert either at the beginning or at the end of the list  $P$ 
  - ▶  $O(1)$
- $indexOfMin(P)$ : Scan list  $P$ , find the min element and returns the index  $m$
- $delete(P, m)$ : Deletes element at position  $m$  from list  $P$ 
  - ▶  $1 + 2 + \dots n = O(n^2)$
- $\Rightarrow O(n^2)$  overall
- **Claim:** Best and worst case running times are  $\Theta(n^2)$

$$f(n) = O(g(n)) \quad \forall n \geq n_0 \quad f(n) \leq M g(n)$$
$$f(n) = \Theta(g(n)) \quad \text{if} \quad f(n) = O(g(n)) \quad \& \quad g(n) = O(f(n))$$

## Definition for List P: $\text{indexOfMin}(P) = \text{indexOfMin}(P, 0)$

**Algorithm**  $\text{indexOfMin}(P, i)$  *starting offset*

**Input:** Sequence of numbers in list P

**Output:** Return the index of the minimum element in list P

1. *position*  $m = i$ ,  $q = i$
2.  $\text{min} = \text{element\_at}(P, i)$
3.  $\text{next}(q)$

**repeat**

**if**  $\text{element\_at}(P, q) < \text{min}$  **then**

1.  $\text{min} = \text{element\_at}(P, q)$
2.  $m = q$

**end if**

$\text{next}(q)$

**until**  $\text{element\_at}(P, q) \neq \text{undefined}$

4. *return*  $m$

# Array-based in-place version of Selection Sort

**Algorithm** Selection-Sort( $S$ )

**Input:** Sequence  $S$

**Output:** Sequence  $S$  sorted in increasing order

$i = 1, n = \text{length}(S);$

**repeat**

1.  $m = \text{indexOfMin}(S, i)$

//  $m$  stores index of min element of  $S$

// between positions  $i$  and  $n$

2.  $\text{swap}(i, m)$

3.  $i = i + 1$

**until**  $i = n - 1$

// Question: Why does it suffice to execute until  $i = n - 1$ ?

Figure: In-place Selection Sort

# Selection Sort and Loop Invariant

- At start of each iteration of  $i^{th}$  **repeat** loop, the subarray  $S[1 \dots i - 1]$  consists of  $i - 1$  smallest elements of  $S$  in ascending order

$S[1 \dots i-1]$   $S[i \dots n]$   
~~~~~  
scan

# Loop Invariant & Algorithm Correctness

Three properties of loop invariant condition:

1. **Initialization:** It holds prior to the first iteration of the loop.
2. **Maintenance:** If it holds before an iteration of the loop, it continues to hold before the next iteration.
3. **Termination:** On termination, the invariant helps show that the algorithm is correct

# Selection Sort and Loop Invariant

- At start of each iteration of  $i^{th}$  **repeat** loop, the subarray  $S[1 \dots i - 1]$  consists of  $i - 1$  smallest elements of  $S$  in ascending order
- Claim: The above is a Loop Invariant for in-place Selection Sort

■ Next Session: Sorted List Based  $\Rightarrow$  Insertion Sort

**Thank you**