

Data Structures and Algorithms

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Session: Rabin-Karp Algorithm

Introduction: Rabin-Karp Algorithm¹

- Assume that $\Sigma = \{0, 1, 2, \dots, 9\}$, where each character is a decimal digit in radix-d i.e $d = |\Sigma|$
- A string of k consecutive characters represents a length-k decimal number
- To find all occurrences of the pattern $P[1..m]$ in the text $T[1..n]$
 - ▶ Compute hash ($number \bmod q$, where q is a prime) of the number P of size m and compare it with m consecutive digits of T
 - ▶ If the two hash numbers match, check for each digits of T and P of size m
 - ▶ Report the occurrence of pattern P in text T
 - ▶ Can be generalized to any set of characters

¹Chapter 32, CLRS, Third Edition

Illustration

$$P = \underbrace{8 \ 4 \ 7 \ 2 \ 6}_{\text{mod } 17 = 15}$$

Text = 3 8 4 7 2 6 3 9 5 1 7

Illustration

$\underbrace{3 \ 8 \ 4 \ 7 \ 2}_{\text{mod } 17 = 1} \ 6 \ 3 \ 9 \ 5 \ 1 \ 7$ **Invalid Match**

Illustration

$\underbrace{3 \ 8 \ 4 \ 7 \ 2}_{\text{mod } 17 = 1} \ 6 \ 3 \ 9 \ 5 \ 1 \ 7$ **Invalid Match**

3 8 4 7 2 6 3 9 5 1 7 **Valid Match**
 mod 17 = 15

• • •

Illustration

3 8 4 7 2 6 3 9 5 1 7 **Invalid Match**
 $\underbrace{\hspace{1.5cm}}_{\text{mod } 17 = 1}$

3 8 4 7 2 6 3 9 5 1 7 **Valid Match**
 $\underbrace{\hspace{1.5cm}}_{\text{mod } 17 = 15}$

...

3 8 4 7 2 6 3 9 5 1 7 **Spurious Hit**
 $\underbrace{\hspace{1.5cm}}_{\text{mod } 17 = 15}$

...

Illustration

3 8 4 7 2 6 3 9 5 1 7 **Invalid Match**
 $\underbrace{\hspace{1.5cm}}_{\text{mod } 17 = 1}$

3 8 4 7 2 6 3 9 5 1 7 **Valid Match**
 $\underbrace{\hspace{1.5cm}}_{\text{mod } 17 = 15}$

...

3 8 4 7 2 6 3 9 5 1 7 **Spurious Hit**
 $\underbrace{\hspace{1.5cm}}_{\text{mod } 17 = 15}$

...

3 8 4 7 2 6 3 9 5 1 7 **Invalid Match**
 $\underbrace{\hspace{1.5cm}}_{\text{mod } 17 = 9}$

Computing rolling hash value

$$\underbrace{3 \ 8 \ 4 \ 7 \ 2}_{\text{mod } 17 = 1} \ 6$$

$$3 \ \underbrace{8 \ 4 \ 7 \ 2 \ 6}_{\text{mod } 17 = 15}$$

■ To compute hash value of 84726, for radix $d = 10$, and prime $q = 17$

- ▶ Old high order digit = 3
- ▶ New low-order digit = 6

$$\begin{aligned} 84726 &= (38472 - 3 * 10000) * 10 + 6 \pmod{17} \\ &= 15 \pmod{17} \end{aligned}$$

Rabin-Karp Algorithm

Algorithm Rabin-KarpAlgorithm(T, P, d, q)

Input Text T of size n , Pattern P of size m , the radix d , and prime q

Define s as the shift index to T

$h = d^{m-1} \bmod q$

$p = 0, t_0 = 0$

for $i \in (0 \dots m - 1)$ **do**

$p = (d * p + P[i]) \bmod q$

$t_0 = (d * t_0 + T[i]) \bmod q$

end for

...

... Continued on next slide

Figure: Rabin-Karp Algorithm

Rabin-Karp Algorithm

```
...  
...  
for  $s \in (0 \dots n - m)$  do  
  if  $p = t_s$  then  
     $j = 0$   
    while  $j < m$  &  $T[s + j] = P[j]$  do  
       $j = j + 1$   
    end while  
    if  $j = m$  then  
      print 'Valid at shift  $s$ '  
    end if  
  end if  
  if  $s < n - m$  then  
     $t_{s+1} = (d(t_s - T[s]h) + T[s + m + 1]) \bmod q$   
  end if  
end for
```

Figure: Rabin-Karp Algorithm

Analysis of Rabin-Karp Algorithm

Algorithm Rabin-KarpAlgorithm(T, P, d, q)

Input Text T of size n , Pattern P of size m , the radix d , and prime q

Define s as the shift index to T

$h = d^{m-1} \bmod q$

$p = 0, t_0 = 0$

for $i \in (0 \dots m - 1)$ **do**

$p = (d * p + P[i]) \bmod q$

$t_0 = (d * t_0 + T[i]) \bmod q$

end for $\implies c_1 \times m$ times (preprocessing, computing hash)

...

... Continued on next slide

Figure: Analysis of Rabin-Karp Algorithm

Analysis of Rabin-Karp Algorithm

```
...
...
for  $s \in (0 \dots n - m)$  do
  if  $p = t_s$  then
     $j = 0$ 
    while  $j < m \ \& \ T[s + j] = P[j]$  do
       $j = j + 1$ 
    end while  $\implies c_2 \times m$  times
    if  $j = m$  then
      print 'Valid at shift  $s$ '
    end if
  end if
  if  $s < n - m$  then
     $t_{s+1} = (d(t_s - T[s]h) + T[s + m + 1]) \bmod q$ 
  end if
end for  $\implies c_3 \times (n - m + 1)$  times (matching)
```

Figure: Analysis of Rabin-Karp Algorithm

$$T(n) = c_1 m + c_2 c_3 (n - m + 1) m = \Theta((n - m + 1) m)$$

Thank you