

# Cryptography

*Corso di Laurea Magistrale in Informatica*

**Algebra, Number Theory and Related Assumptions**

Ugo Dal Lago

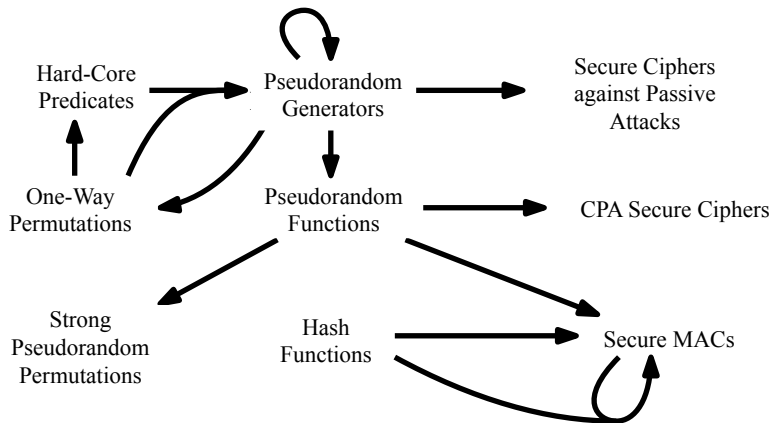


ALMA MATER STUDIORUM  
UNIVERSITÀ DI BOLOGNA



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# Where Were We?



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- ▶ The most interesting one-way functions are undoubtedly those of “**mathematical**” kind.
  - ▶ In particular, those coming from number theory and algebra.
- ▶ It's time to see what it is all about.
  - ▶ We will quickly recall the necessary notions of algebra and number theory, as we need them.
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- ▶ The most interesting one-way functions are undoubtedly those of “**mathematical**” kind.
  - ▶ In particular, those coming from number theory and algebra.
- ▶ It’s time to see what it is all about.
  - ▶ We will quickly recall the necessary notions of algebra and number theory, as we need them.
  - ▶ A cryptography course designed for maths students, by the way, would start right here.
- ▶ The assumptions we will see will find *direct* application in public key cryptography, which we will discuss later.

## Divisors, Primes, etc.

- ▶  $\mathbb{Z}$  is the set of the integers, while  $\mathbb{N}$  is the set of natural numbers.
- ▶ Given two elements  $a, b \in \mathbb{Z}$ , we write  $a|b$  when there exists  $c \in \mathbb{Z}$  such that  $ac = b$ .
- ▶ When  $a|b$  and  $a$  is positive, we say that  $a$  is *divisor* of  $b$ , and, when  $a \notin \{1, b\}$ ,  $a$  is said to be *factor* of  $b$ .
- ▶ A number  $p \in \mathbb{N}$  with  $p > 1$  is called *prime* if it has no factors, otherwise is called *composite*.
- ▶ Given  $n, m \in \mathbb{N}$  with  $m > 1$ , let us denote by  $n \bmod m$  the remainder of  $n$  upon division by  $m$ .

### Lemma

If  $n, m \in \mathbb{N}$  and  $m > 1$ , then  $n$  is invertible modulo  $m$  (there exists  $p$  such that  $np \bmod m = 1$ ) whenever  $\gcd(n, m) = 1$ , i. e. when  $n, m$  are coprime.

# Primes and Factoring

- ▶ Given a natural number  $N \in \mathbb{N}$ , we ask ourselves whether it is difficult to determine two integers  $p, q \in \mathbb{N}$  such that  $N = pq$ .
  - ▶ There are algorithms that take time  $O(\sqrt{N}(\lg(N))^k)$ , i.e. exponential over the length of  $N$ .
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  - ▶ This is a problem that has nothing to do with cryptography, but which mathematicians have been studying for centuries.
- ▶ We can therefore define the following experiment:

**wFactor**<sub>A</sub>( $n$ ):

$(x, y) \leftarrow \mathbb{N} \times \mathbb{N}$  with  $|x| = |y| = n$ ;

$N \leftarrow x \cdot y$ ;

$(z, w) \leftarrow A(N)$ ;

**Result:**  $z \cdot w = N$

- ▶ As usual, our assumption could be that for every PPT  $A$  there exists  $\varepsilon \in \mathcal{NGL}$  such that

$$Pr(\text{wFactor}_A(n) = 1) = \varepsilon(n)$$



# Primes and Factoring

- ▶ However, the assumption we have just made *does not hold*.
  - ▶ With probability equal to  $\frac{3}{4}$  the number  $N$  will be even, because it is enough that one between  $x$  and  $y$  is even for  $N$  to be even.
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  - ▶ Factoring an even number is very simple.
- ▶ It must be guaranteed that  $N$  is not (on average) trivially factorable.
  - ▶ An interesting idea is to modify `wFactor` so that  $x$  and  $y$  are always and only prime numbers (representable in  $n$  bits).
  - ▶ How do we generate prime numbers randomly?
  - ▶ What is the probability that a certain prime number  $p$  will be generated?

## Generating Primes Efficiently

- ▶ A possible way to generate prime numbers, that can be represented in exactly  $n$  bits, is to proceed by trial and error:

```
for  $i \leftarrow 1$  to  $t$  do  
   $r \leftarrow \{0, 1\}^{n-1};$   
   $p \leftarrow 1 || r;$   
  if  $p$  is prime then  
    Result:  $p$   
Result: fail
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- ▶ However, there are two questions that need to be answered.

## 1. How to value the parameter $t$ ?

- ▶ We would like to define  $t$  as a polynomial in  $n$ , for efficiency reasons.
- ▶ We would like that for such a value of  $t$  the probability of obtaining **fail** is negligible (in  $n$ ).

## 2. How to test the primality of a number?

- ▶ We would like to be able to test whether  $p$  is prime in polynomial time.

# How to Value $t$ ?

## Theorem

*There exists a constant  $c$  such that for every  $n > 1$  the number of primes that can be represented in exactly  $n$  bits is at least equal to  $\frac{c \cdot 2^{n-1}}{n}$ .*

- ▶ At each iteration, therefore, the probability that  $p$  is actually prime will be at least equal to:

$$\frac{c \cdot 2^{n-1}/n}{2^{n-1}} = \frac{c \cdot 2^{n-1}}{n \cdot 2^{n-1}} = \frac{c}{n}$$

- ▶ Therefore, if  $t = \frac{n^2}{c}$ , the probability of getting **fail** will be at most equal to

$$\left(1 - \frac{c}{n}\right)^t = \left(\left(1 - \frac{c}{n}\right)^{\frac{n}{c}}\right)^n \leq (e^{-1})^n = e^{-n}$$

whenever  $n \geq c$

# How to Test the Primality of a Number in Polynomial Time?

- ▶ There are **deterministic** algorithms for primality testing that take polynomial time.
  - ▶ The first one, the so-called *AKS algorithm*, has been developed by two Master's students of IIT-Kanpur, India.
  - ▶ The degree of the polynomial is *too high* to consider these algorithms interesting from a practical point of view.
- ▶ Instead, the so-called **Miller-Rabin test** is probabilistic, but it is PPT.
  - ▶ If the input is a prime number, the Miller-Rabin test returns **prime** with probability 1.
  - ▶ If the input  $p$  is a composite number, the Miller-Rabin test returns **composite** with probability  $1 - \varepsilon(|p|)$ , where  $\varepsilon \in \mathcal{NGL}$ .
  - ▶ The degree of the polynomial that upper bounds the complexity of the test is relatively low.

## The Assumption, Properly Formalised

- ▶ The assumption we are trying to define will be parameterised on an algorithm, called **GenModulus** which, on input  $1^n$ , outputs a triple  $(N, p, q)$  where  $N = pq$  and  $p, q$  are primes with  $n$  bits.
- ▶ The experiment **wFactor** becomes the following one:

**Factor** <sub>$A, \text{GenModulus}$</sub> ( $n$ ):

$(N, p, q) \leftarrow \text{GenModulus}(1^n);$

$(r, s) \leftarrow A(N);$

**Result:**  $r \cdot s = N$

- ▶ We say that *factoring is hard relative to GenModulus* iff for every algorithm  $A$  which is PPT there exists a negligible function  $\varepsilon \in \mathcal{NGL}$  such that

$$\Pr(\text{Factor}_{A, \text{GenModulus}}(n) = 1) = \varepsilon(n)$$

- ▶ This assumption is sufficient to obtain a one-way function, but not to prove the security of public-key schemes.

# Group Theory

- ▶ A **group** is an algebraic structure  $(\mathbb{G}, \circ)$  where  $\circ$  is a binary operation that is associative, with identity  $e$  and where every  $g \in \mathbb{G}$  has an inverse  $g^{-1}$ .
- ▶ A finite group  $(\mathbb{G}, \circ)$  is said to have **order** equal to  $|\mathbb{G}|$ .
- ▶ A group is said to be **abelian** if  $\circ$  is a commutative operation.
- ▶ The binary operation is often denoted:
  - ▶ With the addition symbol  $+$ , in this case the group is called **additive** and if  $m \in \mathbb{N}$  we can use the notation

$$mg = \underbrace{g + \cdots + g}_{m \text{ times}}$$

- ▶ With the multiplication symbol  $\cdot$ , in this case the group is called **multiplicative**, and if  $m \in \mathbb{N}$  we can write

$$g^m = \underbrace{g \cdot \cdots \cdot g}_{m \text{ times}}$$



# Exponentiation

- ▶ The computation of  $mg$  or  $g^m$  can be performed in a number of operations which is polynomial in  $|m|$ , i.e. logarithmic in  $m$ .
  - ▶ Just proceed considering the binary representation of  $m$ .  
For example:

$$g^{11} = g^8 \cdot g^2 \cdot g^1 = g^{2^3} \cdot g^{2^1} \cdot g^{2^0}$$

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## Theorem

*If  $(\mathbb{G}, \cdot)$  has order  $m$ , then for each  $g \in \mathbb{G}$ , it is true that  $g^m = 1_{\mathbb{G}}$ .*

## Corollary

*If  $(\mathbb{G}, \cdot)$  has order  $m > 1$ , then for every  $g \in \mathbb{G}$  and for every  $i$ ,  $g^i = g^{[i \bmod m]}$ .*

# Finite Groups Examples

- ▶ The set  $\mathbb{Z}_N = \{0, \dots, N-1\}$  is a group if the underlying operation is addition modulo  $N$ , i.e. the map matching  $(a, b)$  with  $a + b \bmod N$ .
  - ▶ The identity is 0;
  - ▶ The inverse of  $n \in \mathbb{Z}_N$  is  $N - n$ .

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- ▶ The set  $\mathbb{Z}_N$  becomes a group with multiplication modulo  $N$  when:
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- ▶ If we consider  $\mathbb{Z}_N$  with composite  $N$ , is there a way to make this set a group with respect to the multiplication modulo  $N$ :
  - ▶ Just consider  $\mathbb{Z}_N^* \subseteq \mathbb{Z}_N$  defined as  $\{n \in \mathbb{Z}_N \mid \gcd(n, N) = 1\}$ .

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- ▶ All groups considered are *abelian* groups.

## On the Cardinality of $\mathbb{Z}_N^*$

- ▶ The function that associates to every natural  $N > 1$  the cardinality of  $\mathbb{Z}_N^*$  is called *Euler function* and is denoted by  $\Phi$ :

$$\Phi(N) = |\mathbb{Z}_N^*|$$

- ▶ Of course,  $1 \leq \Phi(N) < N$ . But can we say something more?
  - ▶ If  $N$  is a prime number  $p$ , then  $\Phi(N) = p - 1$  because every  $n$  between 1 and  $p - 1$  is coprime with  $p$ , i.e.  $\gcd(n, p) = 1$ .
  - ▶ If  $N$  is the product of two primes  $p$  and  $q$ , then  $\gcd(a, N) \neq 1$  precisely when  $p|a$  or  $q|a$ . Therefore:

$$\begin{aligned}\Phi(N) &= N - 1 - (p - 1) - (q - 1) = pq - p - q + 1 \\ &= p(q - 1) - 1(q - 1) = (p - 1)(q - 1)\end{aligned}$$

# Residue Classes and Exponentiation

## Theorem

*Let  $N > 1$ . For every natural  $e > 0$ , we define  $f_e : \mathbb{Z}_N^* \rightarrow \mathbb{Z}_N^*$  assuming  $f_e(x) = x^e \pmod N$ . If  $\gcd(e, \Phi(N)) = 1$ , then  $f_e$  is a permutation. Moreover, if  $d$  is the inverse of  $e$  (modulo  $\Phi(N)$ ), then  $f_d$  is the inverse of  $f_e$ .*



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- ▶ Let us observe that, given  $e$  and  $N$ , the value of  $f_e(x)$  is efficiently computable from  $x$ .
  - ▶ Just apply the exponentiation algorithm.

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- ▶ Let us observe that, given  $e$  and  $N$ , the value of  $f_e(x)$  is efficiently computable from  $x$ .
  - ▶ Just apply the exponentiation algorithm.
- ▶ We also observe that, given  $e$  and  $\Phi(N)$ , the inverse  $d$  of  $e$  modulo  $\Phi(N)$  is efficiently computable.
  - ▶ The inversion modulo any integer is a problem that can be handled.

# Residue Classes and Exponentiation

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  - ▶ Just apply the exponentiation algorithm.
- ▶ We also observe that, given  $e$  and  $\Phi(N)$ , the inverse  $d$  of  $e$  modulo  $\Phi(N)$  is efficiently computable.
  - ▶ The inversion modulo any integer is a problem that can be handled.
- ▶ Finally, we observe that, given  $N$  as the product of two primes  $p$  and  $q$ , the value of  $\Phi(N)$  is *not easily* computable.
  - ▶ It would be if we could (efficiently) factorise  $N$ .

# RSA Assumption

- ▶ In the so-called RSA Assumption we state that *it is hard to invert*  $f_e : \mathbb{Z}_N^* \rightarrow \mathbb{Z}_N^*$  when  $N$  is the product of two primes  $p$  and  $q$ , and  $\gcd(e, \Phi(N)) = 1$ .
- ▶ Unlike the assumption on factoring, however, the problem we are assuming to be hard *becomes easy* if
  - ▶ we know not only  $N$  but also  $p$  and  $q$ ;
  - ▶ or if we know an inverse  $d$  of  $e$  (modulo  $\Phi(N)$ ).
- ▶ The RSA assumption is parametrized on a routine **GenRSA** which, given an input  $1^n$  outputs:
  - ▶ A natural  $N$  which is the product of two primes  $p$  and  $q$  with  $|p| = |q| = n$ .
  - ▶ A natural  $e$  such that  $\gcd(e, \Phi(N)) = 1$ .
  - ▶ A natural  $d$  such that  $ed \bmod \Phi(N) = 1$ .

## RSA Assumption

- ▶ The experiment  $\text{RSAINV}$  is parameterized on an adversary and on the routine  $\text{GenRSA}$ :

$\text{RSAINV}_{A, \text{GenRSA}}(n)$ :  
 $(N, e, d) \leftarrow \text{GenRSA}(1^n)$ ;  
 $y \leftarrow \mathbb{Z}_N^*$ ;  
 $x \leftarrow A(N, e, y)$ ;  
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- ▶ We say that *the RSA problem is hard relative to*  $\text{GenRSA}$  iff for every adversary  $A$  that is PPT there exists  $\varepsilon \in \mathcal{NGL}$  such that

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- ▶ As we have already argued, if  $A$  could factorize  $N$ , it would be able to compute  $\Phi(N)$  and therefore compute  $d$ , thus inverting  $f_e$ .

## How to Construct GenRSA?

- ▶ How can we construct the GenRSA algorithm so that it is efficiently computable?
- ▶ Of course, GenModulus helps, but obviously is not enough.
- ▶ For example, we could proceed as follows:

$(N, p, q) \leftarrow \text{GenModulus}(1^n);$

$M \leftarrow (p - 1)(q - 1);$

$e \leftarrow \{1, \dots, M\}$  such that  $\gcd(e, M) = 1;$

$d \leftarrow e^{-1} \bmod M;$

**Result:**  $(N, e, d)$

- ▶ If GenRSA is constructed in this way from GenModulus, it is possible to prove that from the Assumption RSA *follows* the Factoring Assumption.



RSA  
Assumption



Factoring  
Assumption

# Cyclic Groups

- ▶ We consider a finite multiplicative group  $(G, \cdot)$ , one of its elements  $g \in \mathbb{G}$  and we construct

$$\langle g \rangle = \{g^0, g^1, g^2, \dots\} \subseteq \mathbb{G}$$

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- ▶ We know that  $g^m = 1_G$ , so we can certainly write that  $\langle g \rangle = \{g^1, \dots, g^m\}$ .
- ▶ However, there might be an  $i < m$  such that  $g^i = 1_G$ . For obvious reasons  $\langle g \rangle = \{g^1, \dots, g^i\}$ , and therefore  $\langle g \rangle$  contains at most  $i$  elements.
  - ▶ Actually, it has *exactly*  $i$  elements; if  $1 \leq k < j < i$ , then

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$$g^j = g^k \implies g^j \cdot g^{-k} = 1_G \implies g^{j-k} = 1_G.$$

- ▶ The **order** of  $g \in G$  is the smallest natural  $i$  such that  $g^i = 1$ , i.e. the cardinality of  $\langle g \rangle$ .
- ▶ A finite group  $(G, \cdot)$  is said to be **cyclic** if there exists  $g \in G$  with  $\langle g \rangle = G$ . Such a  $g$  is said **generator** of  $G$ .

# Cyclic Groups and Order

## Lemma

*If  $\mathbb{G}$  has order  $m$  and  $g \in \mathbb{G}$  has order  $i$ , then  $i|m$*

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$$g^j = g^{j+ik-ik} = g^{m-ik} = g^m (g^i)^{-k} = 1_{\mathbb{G}} (1_{\mathbb{G}})^{-k} = 1_{\mathbb{G}}$$

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## Theorem

*If  $\mathbb{G}$  has prime order then  $\mathbb{G}$  is cyclic and every  $g \in \mathbb{G}$  with  $g \neq 1_{\mathbb{G}}$  generates  $\mathbb{G}$ .*



# Discrete Logarithm Assumption

- ▶ If  $\mathbb{G}$  is a cyclic multiplicative group, then there exists a “natural” biunivocal correspondence between  $\mathbb{G}$  and  $\mathbb{Z}_{|\mathbb{G}|}$ .
  - ▶ Every  $h \in \mathbb{G}$  can be matched with a *unique*  $x \in \mathbb{Z}_{|\mathbb{G}|}$  that is the one such that  $g^x = h$ . Let us call such  $x$  the **discrete logarithm** of  $h$  with respect to  $g$ , which we write  $\log_g h$ .
- ▶ The *discrete logarithm problem* is simply the problem of computing  $\log_g h$  given a cyclic group  $\mathbb{G}$ , a generator  $g$  for  $\mathbb{G}$  and a random element  $h$ .
- ▶ The experiment by which we will formalise the assumption of the discrete logarithm is parametrized, as usual, by a routine **GenCG** which, given  $1^n$ , constructs a group  $\mathbb{G}$ , of order  $q$ , with  $|q| = n$ , and a generator  $g \in \mathbb{G}$ .

# Discrete Logarithm Assumption

- ▶ The experiment  $\text{DLog}$  is defined as follows:

$\text{DLog}_{A, \text{GenCG}}(n)$ :

$(\mathbb{G}, q, g) \leftarrow \text{GenCG}(1^n)$ ;

$h \leftarrow \mathbb{G}$ ;

$x \leftarrow A(\mathbb{G}, q, g, h)$ ;

**Result:**  $g^x = h$

- ▶ As usual, let us say that the *discrete logarithm assumption* is valid with respect to  $\text{GenCG}$  iff for every PPT adversary  $A$  there exists  $\varepsilon \in \mathcal{NGL}$  such that that

$$\Pr(\text{DLog}_{A, \text{GenCG}}(n) = 1) = \varepsilon(n)$$

# Computational Diffie-Hellman Assumption

- ▶ Given a cyclic group  $\mathbb{G}$  and a generator  $g \in \mathbb{G}$  for it, let us define the function  $DH_g : \mathbb{G} \times \mathbb{G} \rightarrow \mathbb{G}$  as follows:

$$DH_g(h, j) = g^{(\log_g h) \cdot (\log_g j)}$$

- ▶ We note how:

$$DH_g(g^x, g^y) = g^{x \cdot y} = (g^x)^y = (g^y)^x.$$

- ▶ The CDH problem consists in efficiently computing  $DH_g$ , given a group  $\mathbb{G}$  and a generator  $g$  for it (produced by **GenCG**).
- ▶ The assumption CDH (with respect to **GenCG**) holds when the problem CDH is hard (relative to **GenCG**).
- ▶ Every efficient algorithm for the discrete logarithm induces an efficient algorithm for CDH.
  - ▶ Just compute the logarithms of  $h$  and  $j$ , multiply the results and raise  $g$  to the product.

# Decisional Diffie-Hellman Assumption

- ▶ Informally, the problem DDH consists in distinguishing  $DH_g(h, j)$  from an arbitrary element of the group  $\mathbb{G}$ , given obviously  $h$  and  $j$ .

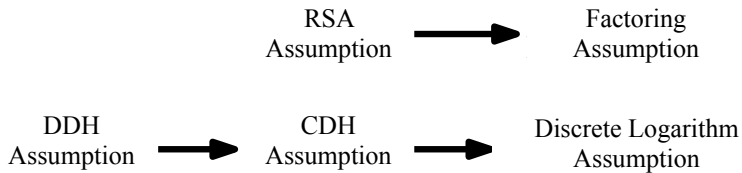
# Decisional Diffie-Hellman Assumption

- ▶ Informally, the problem DDH consists in distinguishing  $DH_g(h, j)$  from an arbitrary element of the group  $\mathbb{G}$ , given obviously  $h$  and  $j$ .
- ▶ Formally, we say that the problem DDH is hard (or that the assumption DDH is valid with respect to **GenCG**) iff for every PPT  $A$  there exists  $\varepsilon$  negligible such that

$$|Pr(A(\mathbb{G}, q, g, g^x, g^y, g^z) = 1) - Pr(A(\mathbb{G}, q, g, g^x, g^y, g^{xy}))| \leq \varepsilon(n)$$

where  $(\mathbb{G}, q, g)$  is the result of **GenCG**( $1^n$ ) and  $x, y, z \in \mathbb{Z}_q$  are random.

- ▶ Every efficient algorithm for CDH trivially induces an efficient algorithm for DDH.
  - ▶  $A$  needs only to compute  $DH_g(h, j)$  where  $h$  and  $j$  are the fourth and fifth parameter. The result must then be compared with the sixth parameter.



## DH Assumptions on Specific Groups

- ▶ First of all it should be noted that the use of groups with a prime number of elements is to be preferred, this is because:
  - ▶ Testing whether an element is or is not a generator is trivial.
  - ▶ It is possible to show that if  $\mathbb{G}$  is a group of prime order  $q$  with  $|q| = \Theta(2^n)$  then

$$\Pr(DH_g(h, j) = y) = \frac{1}{q} + \varepsilon(n)$$

- ▶ We then consider the groups  $\mathbb{Z}_p^*$  where  $p$  is a *prime*.
  - ▶ An algorithm **GenCG** that generates groups of this type exists and it is efficient. The discrete logarithm assumption applies to this groups.
  - ▶ DDH is *not* believed to be hard for these groups.
  - ▶ However, there is a different algorithm **GenCG'** which returns a subset of  $\mathbb{Z}_p^*$  and for which DDH is also believed to be hard.

## From Factoring to One-Way Functions

- ▶ Consider a function **GenModulus** that takes as input at most  $p(n)$  random bits of length  $n$ , where  $p$  is a polynomial.
- ▶ We construct an algorithm that computes a function  $f_{\text{GenModulus}}$  as follows:
  - ▶ The input is a string  $x$ ;
  - ▶ Compute an integer  $n$  such that  $p(n) \leq |x| \leq p(n+1)$ ;
  - ▶ Compute  $(N, p, q)$  as the result of **GenModulus**( $1^n$ ) using as random bits those in  $x$ , that are enough.
  - ▶ Return  $N$ .



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- ▶ We observe now how the following distributions are identical for each  $m \in \mathbb{N}$ 
  - ▶ The result  $N$  of  $f_{\text{GenModulus}}(x)$  where  $x \in \{0, 1\}^m$  is randomly chosen.
  - ▶ The result  $N$  of **GenModulus**( $1^n$ ) where  $p(n) \leq m \leq p(n+1)$ .

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### Theorem

*If factoring is hard relative to **GenModulus**, then  $f_{\text{GenModulus}}$  is a one-way function.*

# Summing Up

