

# Cryptography

*Corso di Laurea Magistrale in Informatica*

## Private-Key Authentication Schemes

Ugo Dal Lago

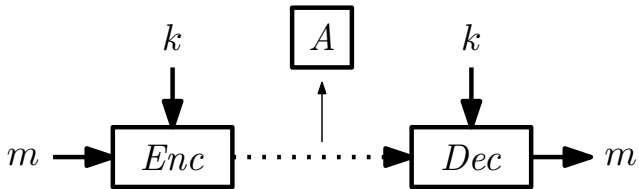


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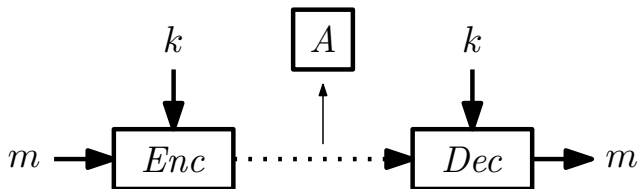


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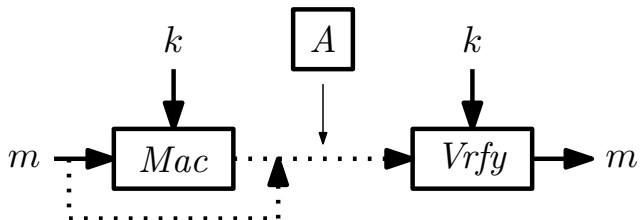
# Secrecy



# Secrecy



# Authentication



# Authentication

- ▶ We want to guarantee that the receiver is sure of the **integrity** and **authenticity** of the received message.
- ▶ Authentication and secrecy are distinct properties: solving the problem of secrecy does not necessarily automatically solve the problem of authentication.
  - ▶ If we use  $\Pi^G$ , where  $G$  is a pseudorandom generator, a hypothetical adversary could easily construct, from  $Enc(k, m) = G(k) \oplus m$ , the ciphertext for a message exactly similar to  $m$ .
  - ▶ Attacks similar to the previous one also affect CBC (where a modification of  $IV$  affects the first block) and also CTR (where a change to block  $i$  only affects block  $i$ ).

# Message Authentication Codes

- ▶ The same way ciphers are the tool to guarantee secrecy, *message authentication codes* (or *MAC*) are the tool to solve the authentication problem.
- ▶ A MAC is a triple  $\Pi = (Gen, Mac, Vrfy)$  of PPT algorithms such that
  - ▶ *Gen* takes as input a string in the form  $1^n$ , to be interpreted as the security parameter, and outputs a key  $k$ , which can be such that  $|k| \geq n$ .
  - ▶ The *Mac* algorithm takes as input a key  $k$  and a message  $m$ , and outputs a tag  $t$ .
  - ▶ The *Vrfy* algorithm takes as input a key  $k$ , a message  $m$  and a tag  $t$ , and outputs a boolean  $b$ .
- ▶ A MAC  $\Pi = (Gen, Mac, Vrfy)$  is *correct* when  $Vrfy(k, m, Mac(k, m)) = m$ .

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# Defining Security of a MAC

- ▶ The question, as in the case of secrecy, is: what do we want an adversary **not to be able** to do?
- ▶ The answer here is quite simple: we don't want the adversary to be able to *forge* (i.e. to produce a valid tag for) a message of his choice  $m$ , without knowing the key  $k$ .
- ▶ In this case, we are very pessimistic from the beginning and assume that:
  - ▶ The adversary has access to an oracle for  $Mac_k(\cdot)$ .
  - ▶ Instead, a pair  $(m, t)$  obtained through access to the oracle is not to be considered a correct forging.
  - ▶ The adversary has to be, as usual, a PPT algorithm.

# Defining Security of a MAC

- ▶ Let us proceed, as usual, by giving a suitable notion of experiment:

**MacForge** <sub>$A, \Pi$</sub> ( $n$ ):

$k \leftarrow \text{Gen}(1^n);$

$(m, t) \leftarrow A(1^n, \text{Mac}_k(\cdot));$

$\mathbb{Q} \leftarrow \{m \mid A \text{ queries } \text{Mac}_k(\cdot) \text{ on } m\};$

**Result:**  $(m \notin \mathbb{Q} \wedge \text{Vrfy}(k, m, t) = 1)$



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## Definition

A MAC  $\Pi$  is *secure* iff for every PPT adversary  $A$  there exists a negligible function  $\varepsilon \in \mathcal{NGL}$  such that

$$\Pr(\text{MacForge}_{\Pi,A}(n) = 1) = \varepsilon(n)$$

## Some Comments on the Definition

- ▶ From a certain point of view, the definition is **very strong**:
  - ▶ Concretely, an adversary is interested in forging *meaningful* messages.
  - ▶ Here we consider it problematical that the adversary forges any message.
- ▶ From another point of view, the definition would seem a bit **weak**:
  - ▶ There is a class of attacks that our definition does not take into account, namely the *replay attacks*.
  - ▶ In such attacks, the same pair  $(m, t)$  is sent multiple times over the channel, the first time by a legitimate user, the others by the adversary.
  - ▶ In practice, replay attacks are handled at a higher level in the stack, through mechanisms such as *sequence numbers* or *timestamps*.

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## Definition (PRF induced MAC)

Given a PRF  $F$ , the MAC  $\Pi^F = (Gen, Mac, Vrfy)$  is defined as follows:

- ▶ The algorithm  $Gen$  for input  $1^n$  outputs every string long  $n$  with same probability, i.e.  $\frac{1}{2^n}$ .
- ▶  $Mac(k, m) = F_k(m)$ .
- ▶  $Vrfy(k, m, t) = (F_k(m) \stackrel{?}{=} t)$ .

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## Theorem

*If  $F$  is pseudorandom, then the MAC  $\Pi^F$  is secure.*

# Handling Variable-Length Messages

- ▶ The  $\Pi^F$  construction allows messages as long as the keys to be handled.
  - ▶ Once again, therefore, we have a scheme with great security properties, but not very useful.
- ▶ Given a message  $m = m_1 || \cdots || m_n$ , we could try to proceed as follows:
  1. Authenticate  $\oplus_{i=1}^n m_i$ . In this case, however, an adversary would easily succeed in forging a message  $p = p_1 || \cdots || p_n$  where  $\oplus_{i=1}^n m_i = \oplus_{i=1}^n p_i$ .

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  2. Authenticate *each block  $m_i$  separately*, and then take the xor:  $Mac(k, m) = \oplus_{i=1}^n Mac(k, m_i)$ . In this case, however, an adversary could easily forge the message  $p = m_{\pi(1)} \cdots m_{\pi(n)}$ , where  $\pi$  is a permutation.

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  3. Authenticate each block  $m_i$  separately *with the sequence number  $i$* , i.e.  $Mac(k, m) = \oplus_{i=1}^n Mac(k, m_i || i)$ . Even in this case, however, the adversary could easily forge other messages.



## Handling Variable-Length Messages

- ▶ Different ideas need to be put together, i.e block division, sequence numbers and randomization.
- ▶ Given a MAC  $\Pi = (Gen, Mac, Vrfy)$  for fixed-length messages, we can construct a new MAC  $\Pi^* = (Gen, Mac^*, Vrfy^*)$  for variable-length messages as follows:

```
Mac*(k, m):  
  m1 || ... || md ← m;  
  /* such that |mi| =  $\frac{n}{4}$   
    */  
  ℓ ← |m|;  
  r ← {0, 1} $\frac{n}{4}$ ;  
  for i ← 1 to d do  
    | ti ←  
    |   Mac(k, r || ℓ || i || mi)  
Result: (r, t1, ..., td)
```

```
Vrfy*(k, m, (r, t1, ..., td)):  
  m1 || ... || md ← m;  
  /* such that |mi| =  $\frac{n}{4}$   
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  ℓ ← |m|;  
  for i ← 1 to d do  
    if  
      | Vrfy(k, r || ℓ || i || mi) =  
      |   0 then  
      |   | Result: 0  
  |  
Result: 1
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## Theorem

If  $\Pi$  is secure, then  $\Pi^*$  is also secure.

# CBC-MAC Construction

- ▶ The construction we have just seen, when applied to a PRF  $F$ , calls the latter  $4d$  times, while the tag is  $4dn$  long.
- ▶ This can be avoided by the CBC-MAC construction, also based on a PRF  $F$ , but defined differently and parameterized on  $\ell$ .

$Mac^{CBC}(k, m):$

$\ell \leftarrow \ell(|k|);$

$m_1 || \dots || m_\ell \leftarrow m;$

**/\* such that  $|m_i| = |k|$**

**\*/**

$t_0 \leftarrow 0^n;$

**for**  $i \leftarrow 1$  **to**  $\ell$  **do**

$t_i \leftarrow F_k(t_{i-1} \oplus m_i)$

**Result:**  $t_\ell$

$Vrfy^{CBC}(k, m, t):$

**if**  $\ell(|k|) \cdot |k| \neq |m|$  **then**

**Result:** 0

**Result:**  $t \stackrel{?}{=}$

$Mac^{CBC}(k, m)$

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**Result:**  $t \stackrel{?}{=}$

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## Theorem

*If  $\ell$  is a polynomial and  $F$  is a PRF, then  $\Pi^{CBC}$  is a secure MAC.*

# Hash Functions

- ▶ *Hash functions* are functions that compress long strings into shorter ones, so that there are as few collisions as possible.
  - ▶ A collision for a hash function  $H$  is a pair  $(x, y)$  such that  $H(x) = H(y)$  with  $x \neq y$ .
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- ▶ In cryptography, we require something more from hash functions, namely that:
  1. Collisions are not only *as few as possible*, but in some way *impossible* to determine.
  2. The impossibility of determining collisions must also be valid for adversaries built specifically to find them.
- ▶ More specifically, a hash function is seen as a function  $H : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that:
  - ▶ The first parameter is a key  $s$ , which however is made public.
  - ▶ The second is a string  $x$ , which the function  $H$  tries to compress.

# Hash Functions

## Definition

A *hash function* is a pair of PPT algorithms  $(Gen, H)$  such that:

- ▶  $Gen$  is an algorithm that takes as input a security parameter  $1^n$  and returns a key  $s$  (from which  $n$  can be efficiently calculated).
- ▶ There exists a polynomial  $\ell$  such that  $H(s, x)$  returns a string of length  $\ell(n)$  (where  $n$  is the implicit parameter in  $s$ ).
- ▶ If there exists a polynomial  $p$  such that  $p(n) > \ell(n)$ , for every  $n$ , and  $H(s, x)$  is defined only when  $|x| = p(n)$  (and  $s$  is the parameter implicit in  $s$ ), then  $H$  is called a *fixed-length* hash function.
- ▶ As usual, we denote  $H^s$  the function that, for input  $x$ , returns  $H(s, x)$

# Collision-Resistant Hash Functions

- Hash functions can be defined to be secure in different ways. The strongest, most restrictive notion is based on the following experiment:

$\text{HashColl}_{A,\Pi}(n)$ :

$s \leftarrow \text{Gen}(1^n)$ ;

$(x, y) \leftarrow A(s)$ ;

**Result:**  $(x \neq y) \wedge (H(x) = H(y))$

## Definition

A hash function  $\Pi = (\text{Gen}, H)$  is *collision-resistant* iff for every adversary PPT  $A$  there exists a negligible function  $\varepsilon$  such that

$$\Pr(\text{HashColl}_{A,\Pi}(n) = 1) \leq \varepsilon(n)$$



# Weaker Notions of Security

- ▶ **Second Preimage Resistance**

- ▶ Given  $s$  and  $x$ , it must be impossible for  $A$  to construct  $y \neq x$  such that  $H^s(x) = H^s(y)$ .

- ▶ **Preimage Resistance**

- ▶ Given  $s$  and  $z = H^s(x)$ , it must be impossible for  $A$  to construct  $y$  such that  $H^s(y) = z$ .

- ▶ The three notions of security we have just seen are progressively weaker.

- ▶ A few proofs by reduction are sufficient to realize this.

# Birthday Attacks

- ▶ The question is how to attack “brute force” a hash function, and what is the complexity of this attack.
- ▶ Let us consider a situation in which the key  $s$  is already fixed and in which the adversary  $A$  wants to find a collision in  $H^s : \{0, 1\}^* \rightarrow \{0, 1\}^\ell$ .

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- ▶ The adversary could simply randomly choose  $q$  strings in  $\{0, 1\}^*$  and test  $H^s$  on each of them, hoping to find two for which  $H^s$  returns the same value.
  - ▶ If  $q > 2^\ell$ , a collision is assured.
  - ▶ What if, on the other hand,  $q$  is much smaller  $2^\ell$ ?

## Theorem (Birthday Theorem)

*Given  $q$  uniformly chosen random values in a finite set of cardinality  $N$ , the probability that two of them are identical is  $\Theta(\frac{q^2}{N})$ .*

# Birthday Attacks

- ▶ If we assume that the behaviour of  $H^s$  is as close as possible to that of a random function (i.e. if we are in the worst case), we can then conclude that a birthday attack where  $q = \Theta(2^{\frac{\ell}{2}})$  will have probability of success

$$\Theta\left(\frac{(2^{\frac{\ell}{2}})^2}{2^\ell}\right) = \Theta\left(\frac{2^\ell}{2^\ell}\right) = \Theta(1)$$

i.e. constant probability, independent of  $q$  and  $\ell$ .

- ▶ Birthday attacks can be improved in two ways:
  - ▶ **Reducing Complexity in Space**
  - ▶ **Making the Attack Context-Dependent**

# The Merkle-Damgård Transform

- ▶ Is it possible for a fixed-length hash function to handle arbitrary-length messages?
  - ▶ ...while remaining collision-resistant.
- ▶ The answer is yes, and is called **Merkle-Damgård transformation**.
- ▶ Suppose that  $(Gen, H)$  is a hash function for messages of length  $p(n) = 2n$  (and the output is  $n$  long) and construct from it  $(Gen, H^{MD})$  as follows

$H^{MD}(s, x):$	$x_{B+1} \leftarrow  x ;$
$B \leftarrow \lceil  x /n \rceil;$	$z_0 \leftarrow 0^n;$
$x_1    \dots    x_B \leftarrow x;$	<b>for</b> $i \leftarrow 1$ <b>to</b> $B + 1$ <b>do</b>
<b>/* such that</b> $ x_i  = n$ <b>*/</b>	$z_i \leftarrow H(s, z_{i-1}    x_i)$
	<b>Result:</b> $z_{B+1}$

## Theorem

*If  $(Gen, H)$  is collision-resistant, then so is  $(Gen, H^{MD})$ .*

## Hash-and-Mac

- ▶ How can hash functions be useful in the construction of MACs?
- ▶ A very interesting way of using (collision-resistant) hash functions is to construct a MAC that is secure for variable-length messages from one which is only secure for fixed-length messages.

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  - ▶  $Gen^H$  on input  $1^n$  outputs (the encoding of) a pair  $(s, k)$  where  $s$  is the result of  $Gen'(1^n)$  and  $k$  is the result of  $Gen(1^n)$ .
  - ▶  $Mac^H((s, k), m)$  outputs  $Mac_k(H^s(m))$ .
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### Theorem

*If  $\Pi$  is a secure MAC and  $(Gen', H)$  is collision-resistant, then  $\Pi^H$  is secure.*