

# Cryptography

*Corso di Laurea Magistrale in Informatica*

## Private-Key Encryption and Pseudorandomness

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# Computational Cryptography

- ▶ We know that perfect secrecy, though satisfactory in terms of the offered guarantees, has strong restrictions.
- ▶ In computational cryptography, which emerged in the late 70s, perfect secrecy is *weakened*, working toward a definition that offers **milder guarantees**.
- ▶ This weakening unfolds in two directions:
  1. The impossibility of breaking the scheme is guaranteed only for **efficient adversaries**.
  2. In addition, the adversary *can* in principle force the underlying scheme, but its probability of success is **very small**.

# Two Side Effects

## 1. Need to Work with Strings.

- ▶ How to formalize the notion of *efficient* adversary?
- ▶ Computational complexity provides the right tools, but it requires that we can at least measure *the length of the input*.
- ▶ For this reason, we assume that keys, messages and ciphertexts are strings, without loss of generality.

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## 1. Need to Work with Strings.

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## 2. Impossibility of Unconditional Proofs of Security.

- ▶ With the exception of very few cases, proofs of security for primitives and protocols in computational cryptography are based on assumptions.
- ▶ In most cases, proofs of security will be proofs by reduction.

# Two Approaches

## 1. The Concrete Approach

- ▶ In the concrete approach, an encryption scheme is defined  $(t, \varepsilon)$ -secure, if every adversary running for *time at most*  $t$  succeeds in breaking the scheme with *probability at most*  $\varepsilon$ .
- ▶ The main problem with the concrete approach is that the boundary  $t$ , whatever the unit of measure in which it is expressed, makes the security evaluation dependent on the underlying HW.

## 2. The Asymptotic Approach

- ▶ In the asymptotic approach, security evaluations depend on on a global parameter  $n$ , called *security parameter*.
- ▶ The notion of *efficiency* is defined by means of **PPT-algorithms**, while that of *low probability of success* is captured by the concept of **negligible function**.
- ▶ A scheme is secure if every PPT adversary succeeds in breaking the scheme with only negligible probability.
- ▶ In this way, we realize independency from the model.

# The Asymptotic Approach - PPT Algorithms

- ▶ We have already mentioned that adversaries and schemes, in modern cryptography, are probabilistic algorithms, that is, they can perform probabilistic choices.

## Definition

A probabilistic algorithm  $A$  is said to be **PPT** (probabilistic polynomial time) if there is a polynomial  $p$  that defines an upper bound on the computation time of  $A$  independently on the outcomes of the performed probabilistic choices.

- ▶ An alternative would be to say that  $p$  bounds *the average* execution time of  $A$ . However, cryptography did **not** follow this path.
- ▶ This definition of algorithm is the same as the one on which the complexity class **BPP** is based.

# The Asymptotic Approach - Negligible Functions

## Definition

A function  $f : \mathbb{N} \rightarrow \mathbb{R}$  is said to be *negligible* iff for every polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$  there exists  $N \in \mathbb{N}$  such that for every  $n > N$  it holds that  $f(n) < \frac{1}{p(n)}$ .

► **Examples:**  $n \mapsto 2^{-n}$ ,  $n \mapsto 2^{-\sqrt{n}}$ ,  $n \mapsto n^{-\log n}$ .

## Lemma

*The set of all negligible functions, called  $\mathcal{NGL}$ , is closed for sum, product, and product by an arbitrary polynomial.*

► The closure properties of  $\mathcal{NGL}$ , the last one in particular, allow us to make "robust" our security definitions.

## Limited Resources and Possible Attacks - Why?

- ▶ Let us assume that  $|\mathcal{K}| \ll |\mathcal{M}|$ , i.e., that the set of keys is *much smaller* than the set of messages.



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- ▶ Let us assume that  $|\mathcal{K}| \ll |\mathcal{M}|$ , i.e., that the set of keys is *much smaller* than the set of messages.
- ▶ We could construct the following attacks:
  1. In a ciphertext-only context, we could, given a ciphertext  $c$ , decrypt  $c$  **with all possible keys**. The set of messages potentially corresponding to  $c$  would be greatly reduced.
  2. We could also, in a known-plaintext context in which we observe  $(m_1, c_1), \dots, (m_\ell, c_\ell)$ , construct a key **completely randomly**, and then check if  $Dec_k(c_i) = m_i$  for every  $i$ . The probability of success is nonzero, although very low.
- ▶ It is therefore necessary to bound the computational power of the adversary, allowing at the same time that the latter can break the cipher, but with very low probability.

# The Role of Assumptions

- ▶ Proving the security of an encryption scheme in this new sense would require demonstrating the **impossibility** of constructing adversaries that satisfy certain criteria:

$$\forall A \in PPT. \neg \mathbf{BRK}(\Pi, A) \iff \neg \exists A \in PPT. \mathbf{BRK}(\Pi, A)$$

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- ▶ Theoretical computer science, and computational complexity, **are not currently able** to prove results like the previous ones, with the exception of very simple cases.
- ▶ The best we can do is nothing more than conditioning security to appropriate assumptions that have a similar form, namely to show that

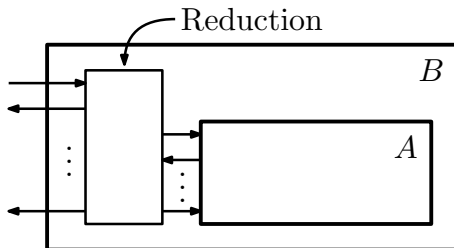
$$\forall B \in PPT. \neg \mathbf{BRK}(\Xi, B) \implies \forall A \in PPT. \neg \mathbf{BRK}(\Pi, A)$$

# Proofs by Reduction

- We observe that

$$\begin{aligned}\forall B \in PPT. \neg \mathbf{BRK}(\Xi, B) &\implies \forall A \in PPT. \neg \mathbf{BRK}(\Pi, A) \\ &\iff \\ \exists A \in PPT. \mathbf{BRK}(\Pi, A) &\implies \exists B \in PPT. \mathbf{BRK}(\Xi, B)\end{aligned}$$

- We will then proceed by constructing an adversary for  $\Xi$  starting from an adversary for  $\Pi$ :



## A New Definition of Encryption Scheme

- ▶ It is necessary to slightly modify the definition of the encryption scheme  $\Pi = (Gen, Enc, Dec)$  by requiring that the three algorithms involved are PPT and that furthermore:
  - ▶  $Gen$  takes as input a string of the form  $1^n$ , to be interpreted as the security parameter, and outputs a key  $k$ , such that  $|k| \geq n$ .
  - ▶ The  $Enc$  algorithm can be genuinely probabilistic, that is, produce different ciphertexts starting from the same couple  $(m, k)$ .
  - ▶ The algorithm  $Dec$  is deterministic (otherwise there is no chance of being correct).
- ▶ We assume, obviously, that the scheme is at least correct, i.e. that  $Dec_k(Enc_k(m)) = m$ .
- ▶ Often,  $Enc_k$  is defined only for messages of length equal to  $\ell(n)$ , where  $n$  is the security parameter that generated  $k$ . In this case, we say that encryption scheme is a **fixed-length** encryption scheme with length parameter  $\ell$ .

## Adapting the Experiment $\text{PrivK}^{eav}$

- ▶ There are basically two ways to define the security of an encryption scheme in the sense of computational cryptography:
  - ▶ The first one, called **semantic security**, formally traces the definition of perfect secrecy given by Shannon.
  - ▶ The second is based instead on the **indistinguishability** and is the one we will use in this course.
- ▶ It is necessary to adapt the notion of experiment that we saw talking about perfect secrecy:

$\text{PrivK}_{A,\Pi}^{eav}(n)$ :  
 $(m_0, m_1) \leftarrow A(1^n)$ ;  
**if**  $|m_0| \neq |m_1|$  **then**  
     $\perp$  **Result:** 0  
 $k \leftarrow \text{Gen}(1^n)$ ;  $b \leftarrow \{0, 1\}$ ;  
     $c \leftarrow \text{Enc}(k, m_b)$ ;  
 $b^* \leftarrow A(c)$ ;  
**Result:**  $\neg(b \oplus b^*)$

# The Definition

## Definition

An encryption scheme  $\Pi$  is said to be *secure against passive attacks* or *secure with respect to  $\text{PrivK}^{eav}$*  iff for every PPT adversary  $A$  there exists a function  $\varepsilon \in \mathcal{NGL}$  such that

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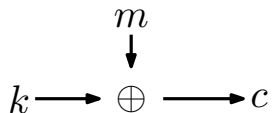
$$\Pr(\text{PrivK}_{\Pi,A}^{eav}(n) = 1) = \frac{1}{2} + \varepsilon(n)$$

- ▶ It can be observed that we indeed quantify over *all* adversaries, but restricting our attention to those with bounded complexity.
- ▶ Note that the adversary can win with probability strictly greater than  $\frac{1}{2}$ , but that his *advantage* must be negligible.



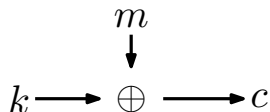
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From One-Time Pad...

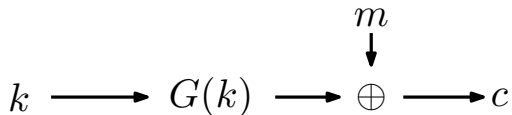


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...to a version with shorter keys.



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  - ▶ Next, we need to ensure that  $G$  is **efficiently computable**, otherwise the whole scheme we are building would become problematic from a computational point of view.
  - ▶ Then we would like  $G$  to allow us to **expand the input string**, making it longer and longer. If this were not the case, there would be no point to build a new scheme.

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  - ▶ Then we would like  $G$  to allow us to **expand the input string**, making it longer and longer. If this were not the case, there would be no point to build a new scheme.
  - ▶ **Is that all?** No! We need to ensure that the string  $G(k)$  has *all the properties* that we expect from a key.
    - ▶ What would happen, for example, if  $G$  did nothing more than return the concatenation of  $k$  with itself, that is, if  $G(k) = k \cdot k$ ?
    - ▶ What would happen, instead, if the first and last bits of  $G(k)$  were always the same bit?

# Pseudorandom Generators

- ▶ Informally, we want  $G(k)$  to be *pseudorandom*: even though it is not random, it should be indistinguishable from a random string for an *efficient* adversary.

## Definition (Pseudorandom Generator)

Let  $\ell : \mathbb{N} \rightarrow \mathbb{N}$  be a polynomial, called *expansion factor*, and let  $G$  be a deterministic algorithm that, for every input  $s \in \{0, 1\}^*$  outputs a string  $G(s) \in \{0, 1\}^{\ell(|s|)}$ . We say that  $G$  is a *pseudorandom generator* (PRG) iff:

- ▶ For every  $n \in \mathbb{N}$  it holds that  $\ell(n) > n$
- ▶  $G$  is polytime.
- ▶ For every PPT algorithm  $D$  there exists  $\varepsilon \in \mathcal{NGL}$  such that

$$|Pr(D(s) = 1) - Pr(D(G(r)) = 1)| \leq \varepsilon(n)$$

where  $s, r$  are random of length  $\ell(n)$  and  $n$ , respectively.

# On Pseudorandom Generators

- ▶ The output of pseudorandom generators **is not random** in a strict sense
  - ▶ If, for example,  $\ell(n) = 2n$ , there are only  $2^n$  strings (of length  $2n$ ) that  $G$  outputs, while the strings of length  $2n$  are  $2^{2n}$ . We observe that

$$\frac{2^n}{2^{2n}} = \frac{1}{2^n}$$

- ▶ There is therefore always a distinguisher  $D$  that wins against  $G$  with very high probability, but this distinguisher has exponential complexity.
- ▶ From a more concrete point of view, it must be ensured that brute-force attacks, in the form of a distinguisher, are not possible, i.e. that  $n$  is sufficiently large.
- ▶ Unfortunately, pseudorandom generators *cannot* be proved to exist unless under appropriate assumptions.



# The First Secure Encryption Scheme

## Definition (PRG-Induced Scheme)

Given a PRG  $G$  with expansion factor  $\ell$ , the scheme  $\Pi^G = (Gen, Enc, Dec)$  is defined as follows:

- ▶ The algorithm  $Gen$  on input  $1^n$  outputs each string of length  $n$  with same probability, i.e.  $\frac{1}{2^n}$ .
  - ▶  $Enc(m, k) = G(k) \oplus m$
  - ▶  $Dec(c, k) = G(k) \oplus c$ .
- 
- ▶ We observe how the scheme  $\Pi^G$  is for messages of fixed length equal to  $\ell$ .
  - ▶ Correctness is easy to prove:  
$$Dec(Enc(m, k), k) = G(k) \oplus (G(k) \oplus m) = m.$$

## Theorem

*If  $G$  is a pseudorandom generator, then  $\Pi^G$  is secure against passive attacks*

## Handling Variable-Length Messages

- ▶ The scheme  $\Pi^G$  allows to handle messages of fixed length, equal to the expansion factor of  $G$ .
- ▶ We would like, instead, to be able to handle variable-length messages. To do this, we need to generalize the notion of pseudorandom generator to the case of variable-length messages.

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## Definition (Variable Output-Length Generators)

A deterministic polytime algorithm  $G$  is said to be *Variable Output-Length Pseudorandom Generators* if from a seed  $s \in \{0, 1\}^n$  and a string in the form  $1^\ell$  outputs a binary string  $G(s, 1^\ell)$  such that

- ▶ If  $\ell < \ell'$ , the string  $G(s, 1^\ell)$  is a prefix of  $G(s, 1^{\ell'})$ .
- ▶ For any polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$ , the algorithm  $G_p$  defined by setting  $G_p(s) = G(s, 1^{p(|s|)})$  is a random (of fixed-length  $p$ ), generator.

## Handling Variable-Length Messages

- ▶ Given a variable output-length pseudorandom generator  $G$ , it is easy to generalize the construction  $\Pi^G$ :

$$\text{Enc}(m, k) = G(k, 1^{|m|}) \oplus m \quad \text{Dec}(c, k) = G(k, 1^{|c|}) \oplus c$$

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- ▶ In practice, so-called stream ciphers (such as, for example, RC4) are designed to satisfy the axioms of a variable output-length pseudorandom generator.
  - ▶ **It cannot be proved** that they satisfy these axioms.
  - ▶ **They are not** encryption schemes.

## Multiple Encryptions

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- ▶ To understand why this is the case, we need to **modify the experiment**  $\text{PrivK}^{eav}$  into an experiment that takes into account the possibility for the adversary to observe multiple encryptions and that we will call  $\text{PrivK}^{mult}$ .

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  - ▶  $\text{PrivK}^{mult}$  is quite similar to  $\text{PrivK}^{eav}$ .
  - ▶ The adversary, however, is allowed to produce two vectors of messages  $\mathbf{m}_0 = (m_0^1, \dots, m_0^t)$  and  $\mathbf{m}_1 = (m_1^1, \dots, m_1^t)$ .
  - ▶ The so-called *challenge ciphertext* will become a vector  $\mathbf{c} = (c^1, \dots, c^t)$
  - ▶ As usual, we will require that for every PPT  $A$  there exists negligible  $\varepsilon$  with

$$\Pr(\text{PrivK}_{\Pi, A}^{mult}(n) = 1) = \frac{1}{2} + \varepsilon(n)$$

obtaining the definition of **security with respect to**  $\text{PrivK}^{mult}$ .

# Multiple Encryptions

## Lemma

*The scheme  $\Pi^G$  is not secure with respect to  $\text{PrivK}^{\text{mult}}$ , not even if  $G$  is pseudorandom.*

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## Theorem

*If  $\text{Enc}$  is deterministic, then the scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  cannot be secure with respect to  $\text{PrivK}^{\text{mult}}$ .*

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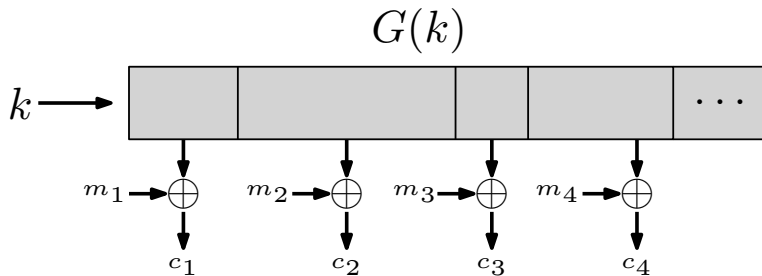
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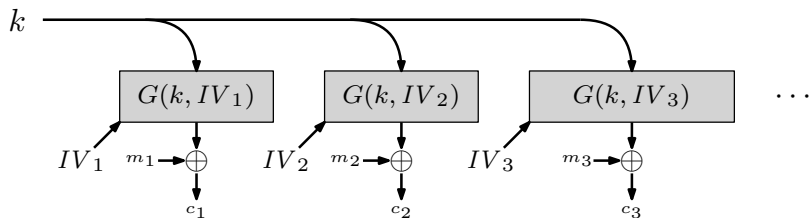
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- ▶ Does this mean that stream ciphers are *useless* in the context of multiple encryptions?
- ▶ No, but the penalty is the need of encryption schemes in which  $\text{Enc}$  has an *internal state*.

# Synchronized Mode



# Unsynchronized Mode



## Security Against CPA Attacks

- ▶ So far, our adversary model has assumed that the adversary could interact with the experiment in a restricted way.
  - ▶ Sure, he could build  $m_0$  and  $m_1$ , but having received  $c$ , he could do nothing more.



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- ▶ In the CPA context, we will instead make the assumption that the adversary has access to an *oracle* for  $Enc_k(\cdot)$ .
  - ▶ At any time, the adversary can **invoke the oracle** on a message  $m$  of his choice, obtaining the corresponding ciphertext  $c = Enc_k(m)$ .
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## Definition

An encryption scheme  $\Pi$  is said to be *secure against CPA attacks* (or *CPA-secure*) iff for every adversary  $A$  there exists a negligible function  $\varepsilon$  such that

$$\Pr(\text{PrivK}_{A,\Pi}^{CPA}(n) = 1) \leq \frac{1}{2} + \varepsilon(n).$$

# Necessary Conditions for CPA Security

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- Generalizing our notion of security against CPA attacks to the case of multiple encodings is a very simple exercise.

## Theorem

*Every encryption scheme that is CPA-secure is secure even in case of multiple encodings.*

# Constructing a CPA-Secure Cipher

- ▶ But do **exist** CPA-Secure encryption schemes?
- ▶ Again, as in the case of security against passive attacks, the theory of pseudorandomness comes to our aid.
- ▶ It is, however, clear that pseudorandom generators are not very useful in this context, at least in the way we have used them so far.
  - ▶ They are deterministic, let us remember that.
  - ▶ As a result,  $\Pi^G$  has no hope of being CPA-secure.

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  - ▶ They are deterministic, let us remember that.
  - ▶ As a result,  $\Pi^G$  has no hope of being CPA-secure.
- ▶ We must consider the notion of **pseudorandom function**, for which, however, we need some preliminary notions:
  - ▶ We will work with *binary partial functions*, i.e. partial functions from  $\{0, 1\}^* \times \{0, 1\}^*$  to  $\{0, 1\}^*$ .
  - ▶ A binary partial function  $F$  is *length-preserving* iff  $F(k, x)$  is defined iff  $|k| = |x|$  and in that case  $|F(k, x)| = |x|$ .
  - ▶ Given a length-preserving binary partial function  $F$ , we denote by  $F_k$  the function from  $\{0, 1\}^{|k|}$  to  $\{0, 1\}^{|k|}$  defined in the natural way.

# Pseudorandom Functions — The Definition

- ▶ A binary partial function is *efficient* iff there exists a polynomial time algorithm that computes it.
- ▶ We consider the space of functions from  $\{0,1\}^n$  to  $\{0,1\}^n$ .
  - ▶ This space is *finite* and has cardinality  $2^{n \cdot 2^n}$ , because any of its function can be seen as a table of binary values with  $2^n$  rows and  $n$  columns.
  - ▶ It therefore makes sense to consider **uniform distribution** on such a space, which assigns probability  $\frac{1}{2^{n \cdot 2^n}}$  to every function.

## Definition

Given a binary partial function  $F$ , which is length-preserving and efficient, we say that  $F$  is a *pseudorandom function* (PRF) iff for every distinguisher  $D$  that is PPT there exists a negligible function  $\varepsilon$  such that

$$|Pr(D^{F_k(\cdot)}(1^n) = 1) - Pr(D^{f(\cdot)}(1^n) = 1)| \leq \varepsilon(n)$$



# Pseudorandom Functions — The Definition

- ▶ Note carefully how, in the definition of a pseudorandom function:
  - ▶  $k$  is chosen among all strings of length  $n$  randomly.
  - ▶  $f(\cdot)$  is chosen among all functions from  $\{0, 1\}^n$  to  $\{0, 1\}^n$  randomly.
- ▶ About the existence of pseudorandom functions, we can say that:
  - ▶ As with pseudorandom generators, the existence of pseudorandom functions is not known in an absolute sense.
  - ▶ From a pseudorandom generator we can construct a pseudorandom function and viceversa.
  - ▶ So-called **block ciphers** (such as DES or AES) are constructed to satisfy the axiom of pseudorandom functions together with other axioms.

# A CPA-Secure Encryption Scheme

## Definition (PRF-Induced Scheme)

Given a pseudorandom function  $F$ , the scheme

$\Pi^F = (Gen, Enc, Dec)$  is defined as follows:

- ▶ The algorithm  $Gen$  on input  $1^n$  outputs each string of length  $n$  with same probability, i.e.  $\frac{1}{2^n}$ .
- ▶  $Enc(m, k)$  is defined as the (binary encoding of the) pair  $\langle r, F_k(r) \oplus m \rangle$ , where  $r$  is a random string  $|k|$  bits long.
- ▶  $Dec(c, k)$  returns  $F_k(r) \oplus s$  anytime  $c$  is the (binary encoding of the) pair  $\langle r, s \rangle$ .

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## Theorem

*If  $F$  is a PRF, then  $\Pi^F$  is secure against CPA attacks.*

## Handling Variable-Length Messages

- ▶ The  $\Pi^F$  cipher is CPA-secure anytime  $F$  is a PRF, but it can only handle messages of length equal to the length of the key.
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## Theorem

*If  $\Pi$  is CPA-secure, then  $\Pi^*$  is also CPA-secure.*

# Pseudorandom Permutations

- ▶ An *permutation* of a set  $X$  is nothing else than a bijective function from  $X$  to  $X$ .
- ▶ The number of permutations of the set  $\{0, 1\}^n$  is  $(2^n)!$ , thus a number which is smaller than the number of functions on the set.
- ▶ The notion of **pseudorandom permutation** is given in a very similar way to that of a pseudorandom function, requiring, however, that the inverse of  $F_k$  is also efficient.
- ▶ Sometimes, however, adversaries also have access to the inverse function (which always exists), and not only to the function itself. In that case it makes sense to require that

$$|Pr(D^{F_k(\cdot), F_k^{-1}(\cdot)}(1^n) = 1) - Pr(D^{f(\cdot), f^{-1}(\cdot)}(1^n) = 1)| \leq \varepsilon(n)$$

thus obtaining the notion of **strongly pseudorandom permutation**.

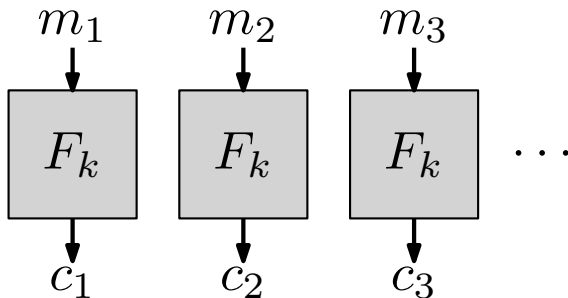
- ▶ Block ciphers are often thought to be strongly pseudorandom permutations.



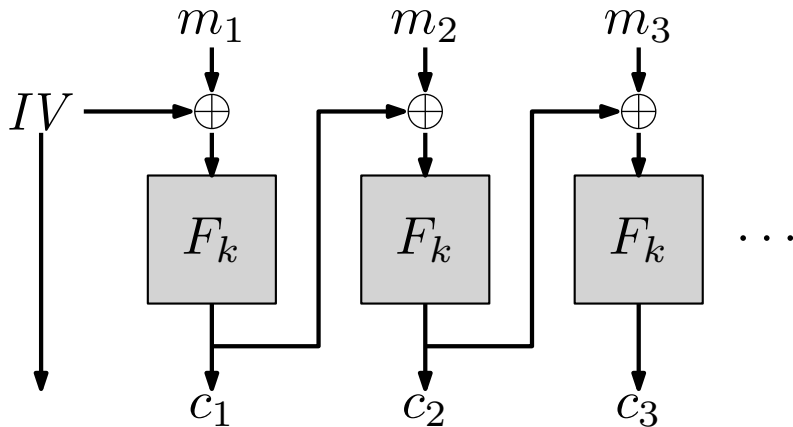
# Modes of Operation

- ▶ The construction  $\Pi$  is just one of the many ways in which a block cipher (i.e., a strongly pseudorandom *permutation*) can be used to construct an encryption scheme for messages of length greater than  $|k|$ .
- ▶ The term **mode of operation** refers to that, and in the literature there is an infinite number of modes of operation.
- ▶ In the mode of operation we always proceed by splitting the message  $m$  to be encrypted into a sequence of submessages  $m_1 \cdots m_\ell$  each of length equal to  $|k|$ , and so assuming that  $|m|$  is a multiple of  $|k|$ .

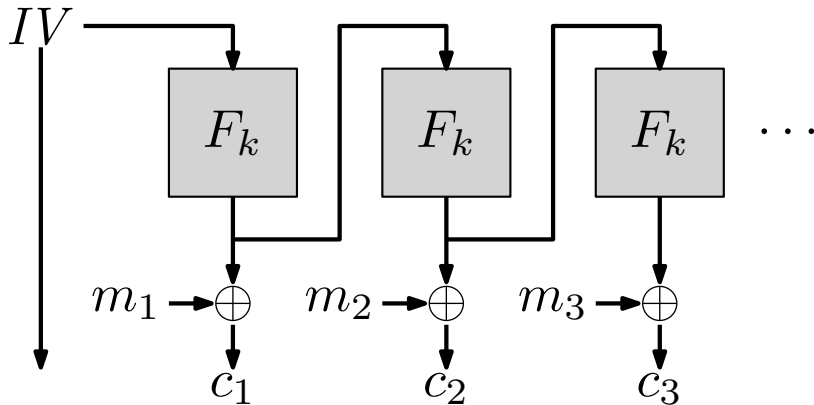
## Electronic Code Book (ECB) Mode



# Cipher Block Chaining (CBC) Mode



## Output Feedback (OFB) Mode



## Counter (CTR) Mode

