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# SOLID: Principles of OOD

CS356 Object-Oriented Design and Programming

<http://cs356.yusun.io>

October 17, 2014

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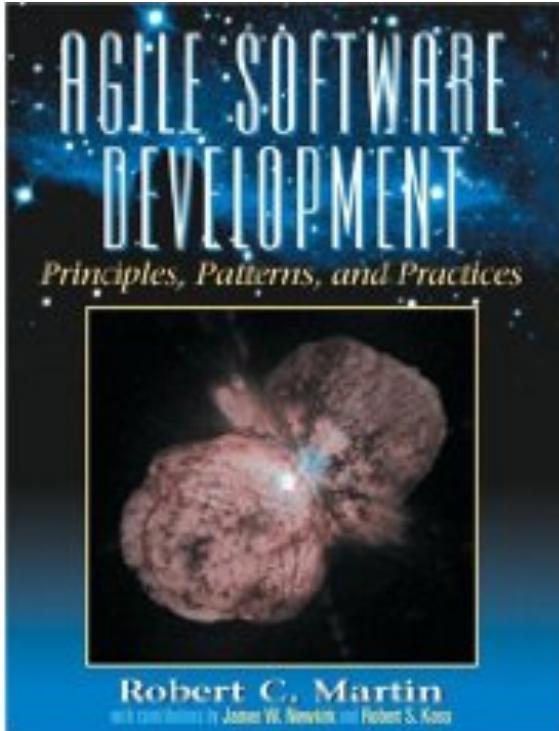
CAL POLY POMONA

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# Part of the presentation comes from

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- ◆ Martin, Robert Cecil. Agile software development: principles, patterns, and practices. Prentice Hall PTR, 2003. APA



Author: Robert C. Martin  
Nick Name: Uncle Bob

# What is Software Design?

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- ◆ The source code is the design
- ◆ UML diagram represents part of a design, but it is not the design
- ◆ Because the design can only be verified through source code
- ◆ The software design process includes coding, testing, refactoring...
- ◆ The programmer is real software designer

# Software Nature – Software Entropy

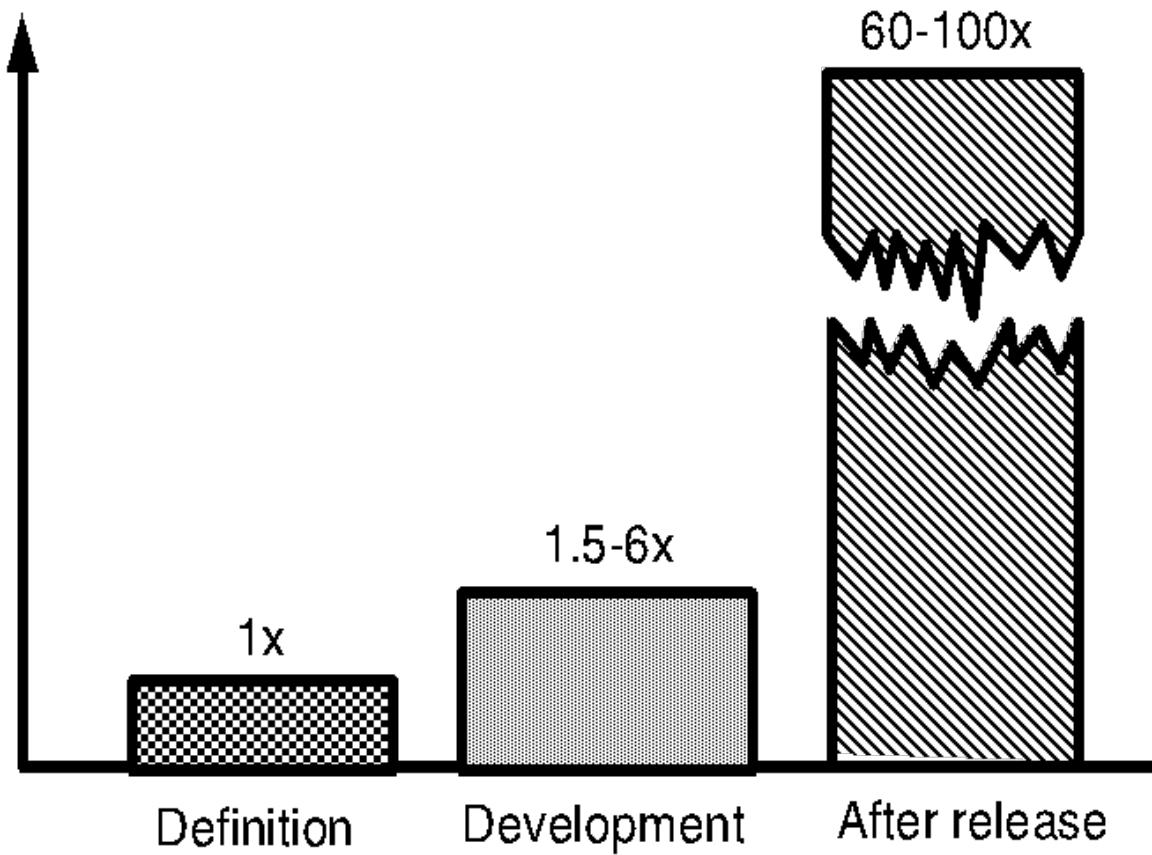
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- ◆ Software tends to degrade / decay
- ◆ Software rot – like a piece of bad meat



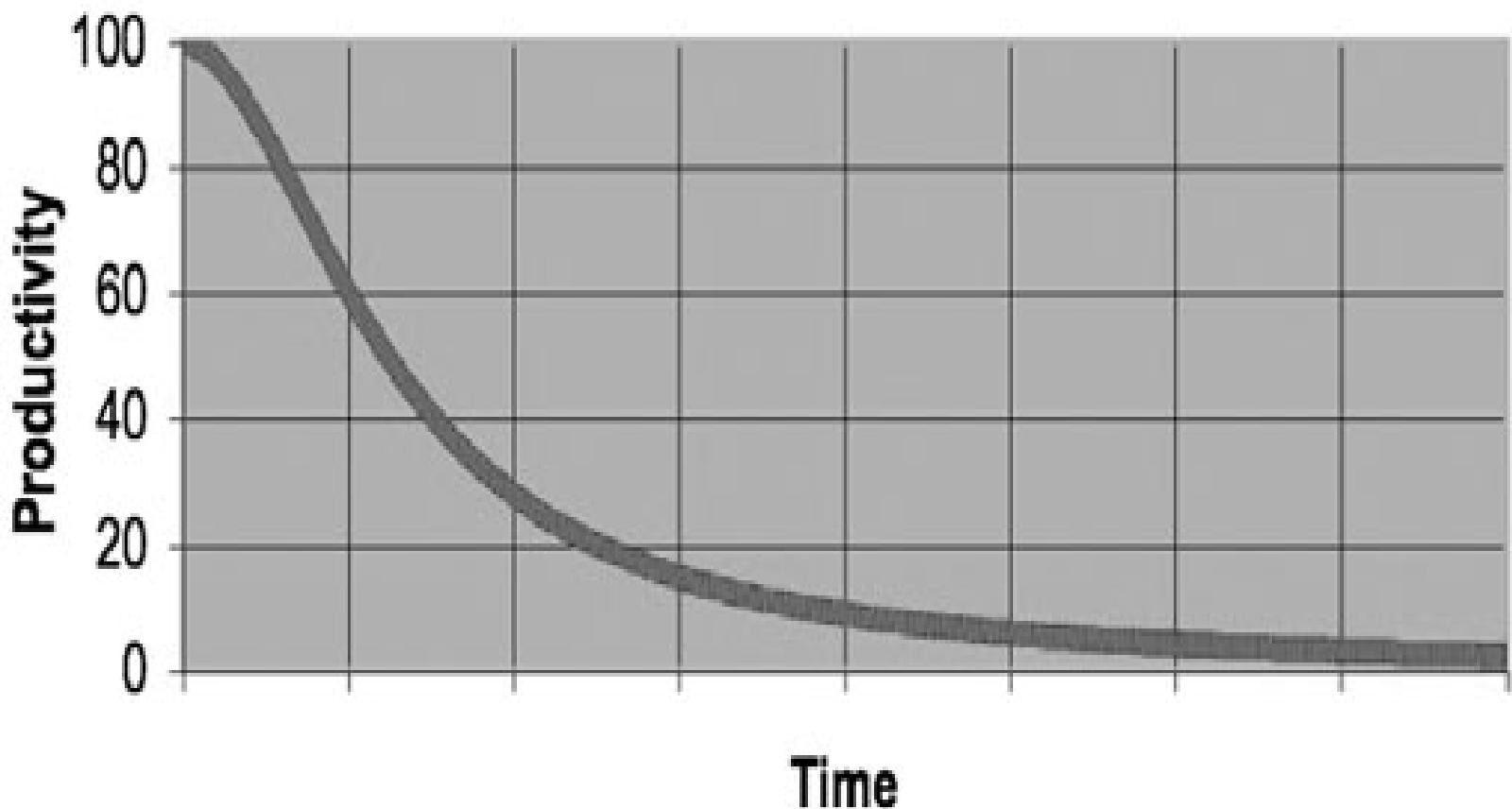
# The Cost of Change

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# Developers Productivity vs. Time

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# Design Smells – The Odors of Rotting Software

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- ◆ Rigidity – The design is hard to change
- ◆ Fragility – The design is easy to break
- ◆ Immobility – The design is hard to reuse
- ◆ Viscosity – It is hard to do the right thing
- ◆ Needless complexity – Overdesign
- ◆ Needless Repetition – Mouse abuse
- ◆ Opacity – Disorganized expression

# What Stimulates the Software to Rot?

- ◆ Requirements keep change – design degradation
- ◆ People change – violate the original design
- ◆ Tight schedule pressure



# Psychology Reason: Broken Window Theory

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- Came from city crime researcher
- A broken window will trigger a building into a smashed and abandoned derelict
- So does the software
- Don't live with the Broken window



# How to Prevent Software from Rotting?

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- ◆ Applies OO design principles
  - ◆ Bad design usually violates design principles
- ◆ Uses design patterns
- ◆ Follows agile practices
- ◆ Refactoring will reduce the software entropy

# S.O.L.I.D Design Principles

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# SOLID

Software Development is not a Jenga game

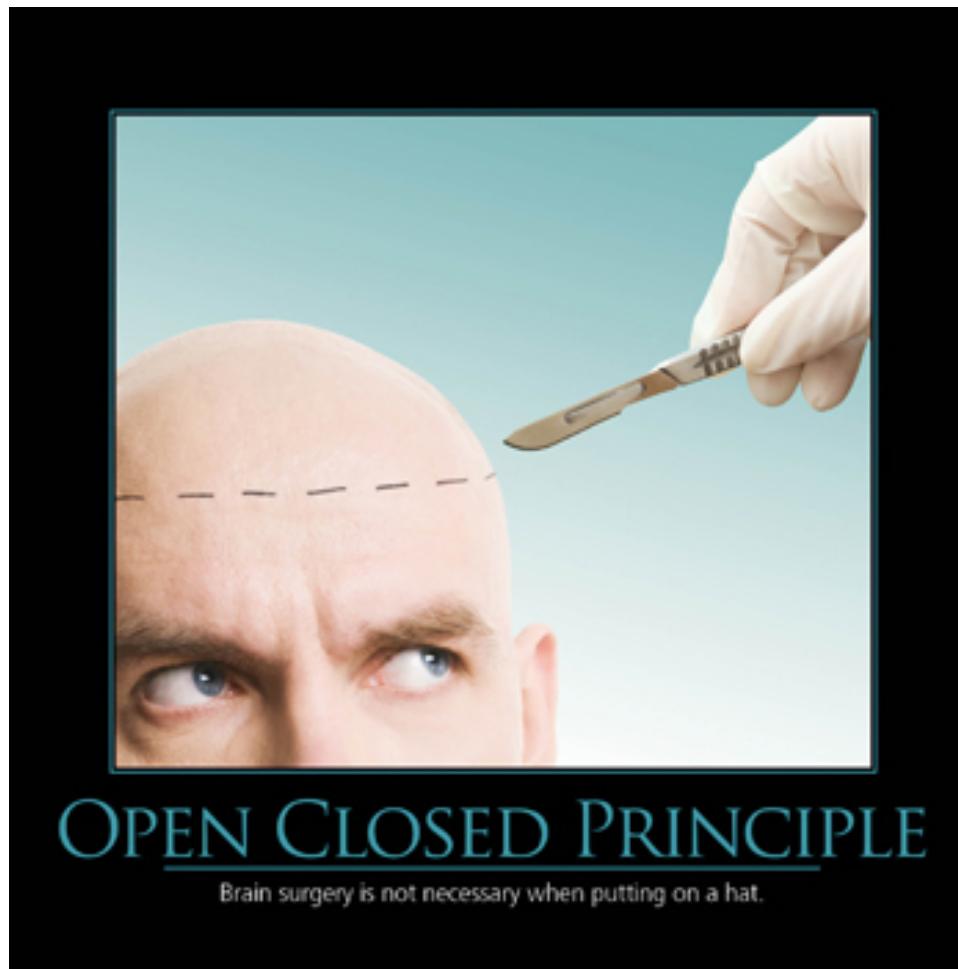
# S.O.L.I.D Design Principles

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- ◆ SRP – The **S**ingle Responsibility Principle
- ◆ OCP – The **O**pen-Closed Principle
- ◆ LSP – The **L**iskov Substitution Principle
- ◆ ISP – The **I**nterface Segregation Principle
- ◆ DIP – The **D**ependency Inversion Principle

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# I. Open Close Principle



OPEN CLOSED PRINCIPLE

*Brain surgery is not necessary when putting on a hat.*

# Open-Closed Principle (OCP)

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*Software entities should be open for extension,  
but closed for modification*

B. Meyer, 1988 / quoted by R. Martin, 1996

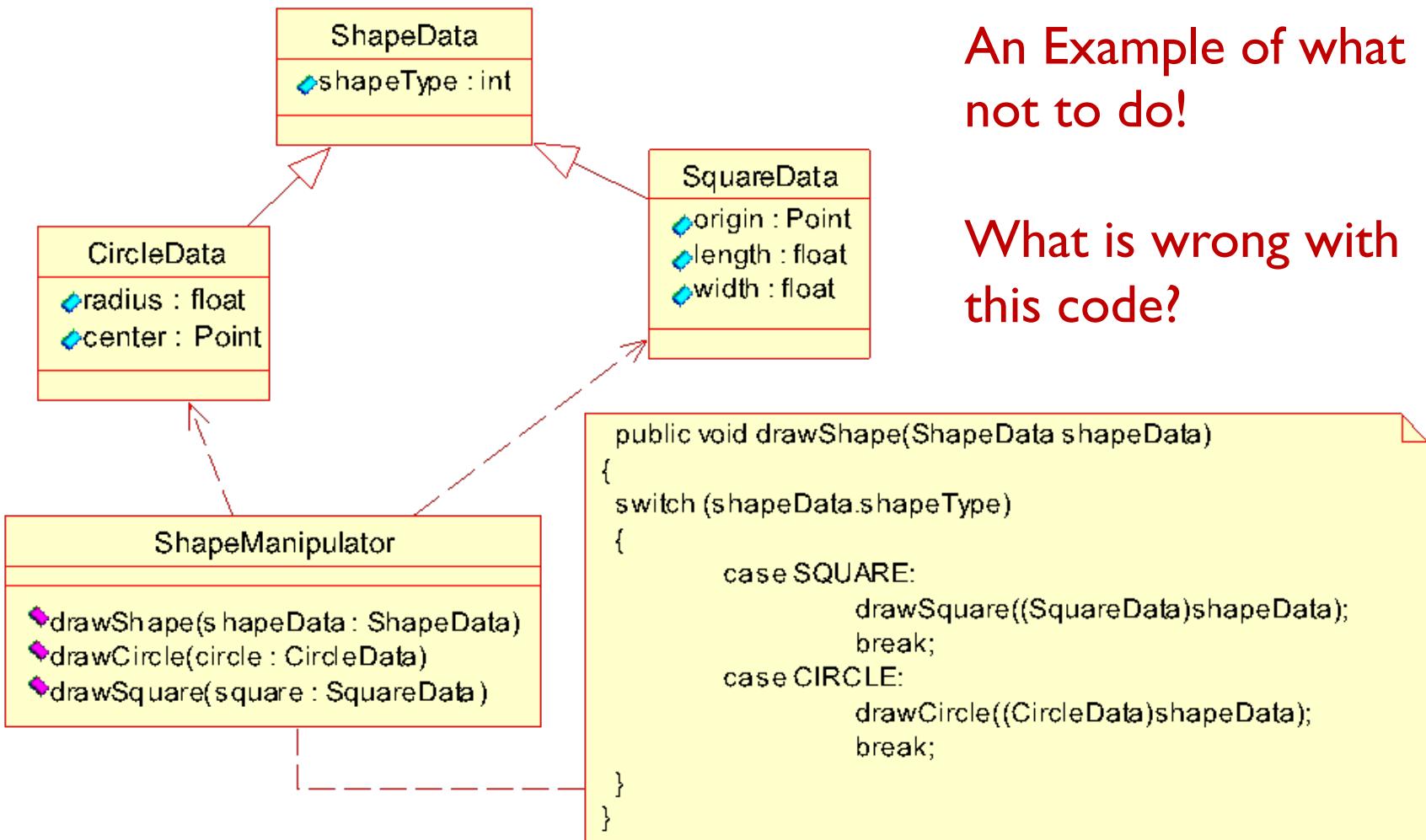
- ◆ “*Software Systems change during their life time*”
  - ◆ Both better designs and poor designs have to face the changes;
  - ◆ Good designs are stable
- ◆ Be open for extension
  - ◆ Module's behavior can be extended
- ◆ Be closed for modification
  - ◆ Source code for the module must not be changed
- ◆ *Modules should be written so they can be extended without requiring them to be modified*

# The Open-Closed Principle (OCP)

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- ◆ We should write our modules so that they can be extended, without requiring them to be modified
- ◆ We want to **change what the modules do, without changing the source code** of the modules
- ◆ Why is it bad to change source code?
- ◆ How is OCP implemented?

# The Open/Closed Principle (OCP) Example

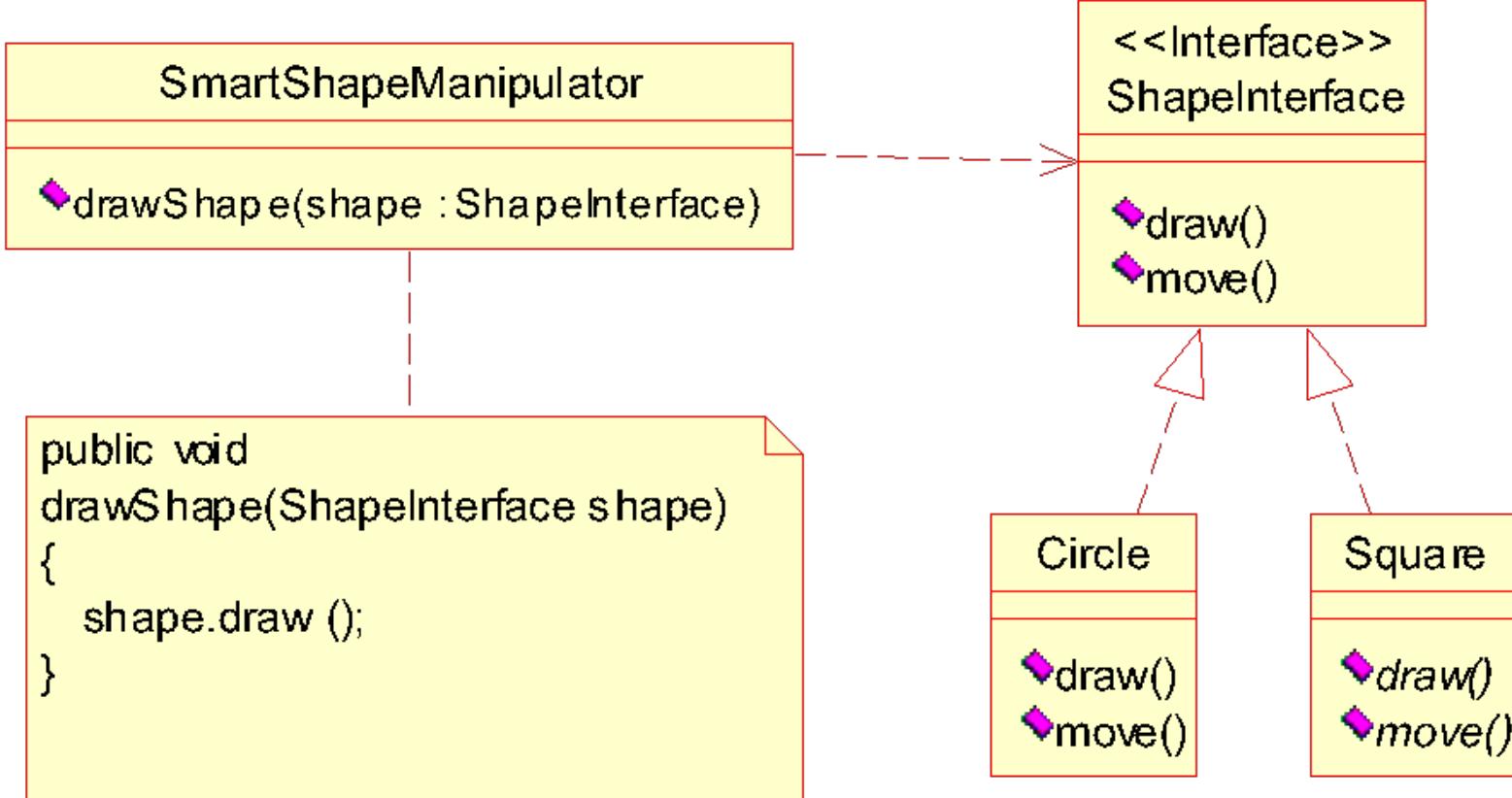


# The Open/Closed Principle (OCP) Example

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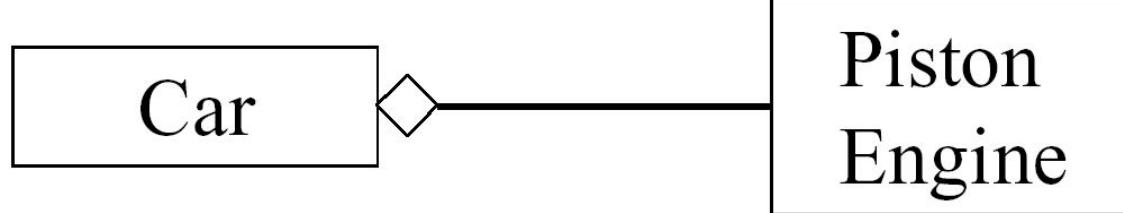
- ◆ The Problem: Changeability...
  - ◆ If I need to create a new shape, such as a Triangle, I must modify the 'drawShape()' function
  - ◆ In a complex application the switch/case statement above is repeated over and over again for every kind of operation that can be performed on a shape
  - ◆ Worse, every module that contains such a switch/case statement retains a dependency upon every possible shape that can be drawn, thus, whenever one of the shapes is modified in any way, the modules **all** need recompilation, and possibly modification
- ◆ However, when the majority of modules in an application conform to the open/closed principle, then new features can be added to the application by **adding new code** rather than by **changing working code**. Thus, the working code is not exposed to breakage

# The Open/Closed Principle (OCP) Example



# Open the Door...

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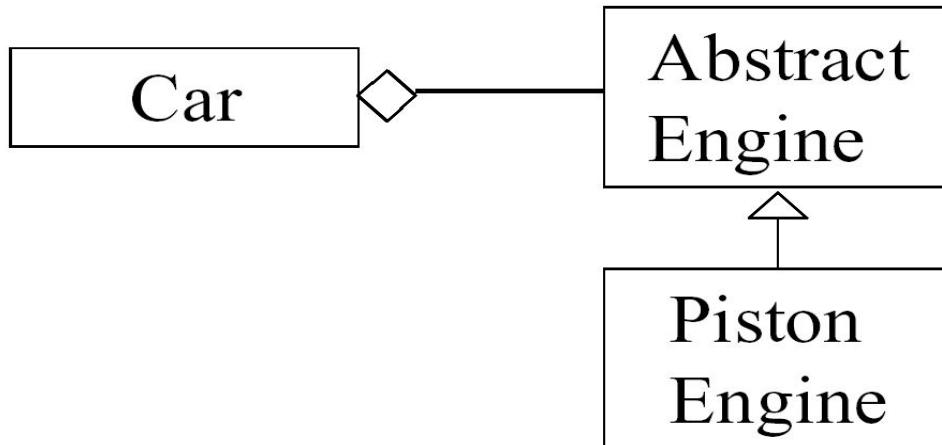


- ◆ How to make the **Car** run efficiently with a **TurboEngine**?
- ◆ Only by changing the **Car**!
  - ◆ ...in the given design



# ...But Keep It Closed

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- ◆ A class must not depend on a concrete class!
- ◆ It must depend on an abstract class...
- ◆ ...using polymorphic dependencies (calls)

# Another Example about the Car



- ◆ Different CD/Radio/MP3 players can be plugin to the car dashboard.
- ◆ ...using polymorphic dependencies



# OCP Heuristics

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Make all object-data private  
No Global Variables!

- ◆ Changes to public data are always at risk to “open” the module
  - ◆ They may have a rippling effect requiring changes at many unexpected locations;
  - ◆ Errors can be difficult to completely find and fix. Fixes may cause errors elsewhere
- ◆ Non-private members are modifiable
  - ◆ Case 1: "I swear it will not change"
    - ◆ May change the status of the class
  - ◆ Case 2: a Time class with open members
    - ◆ May result in inconsistent times

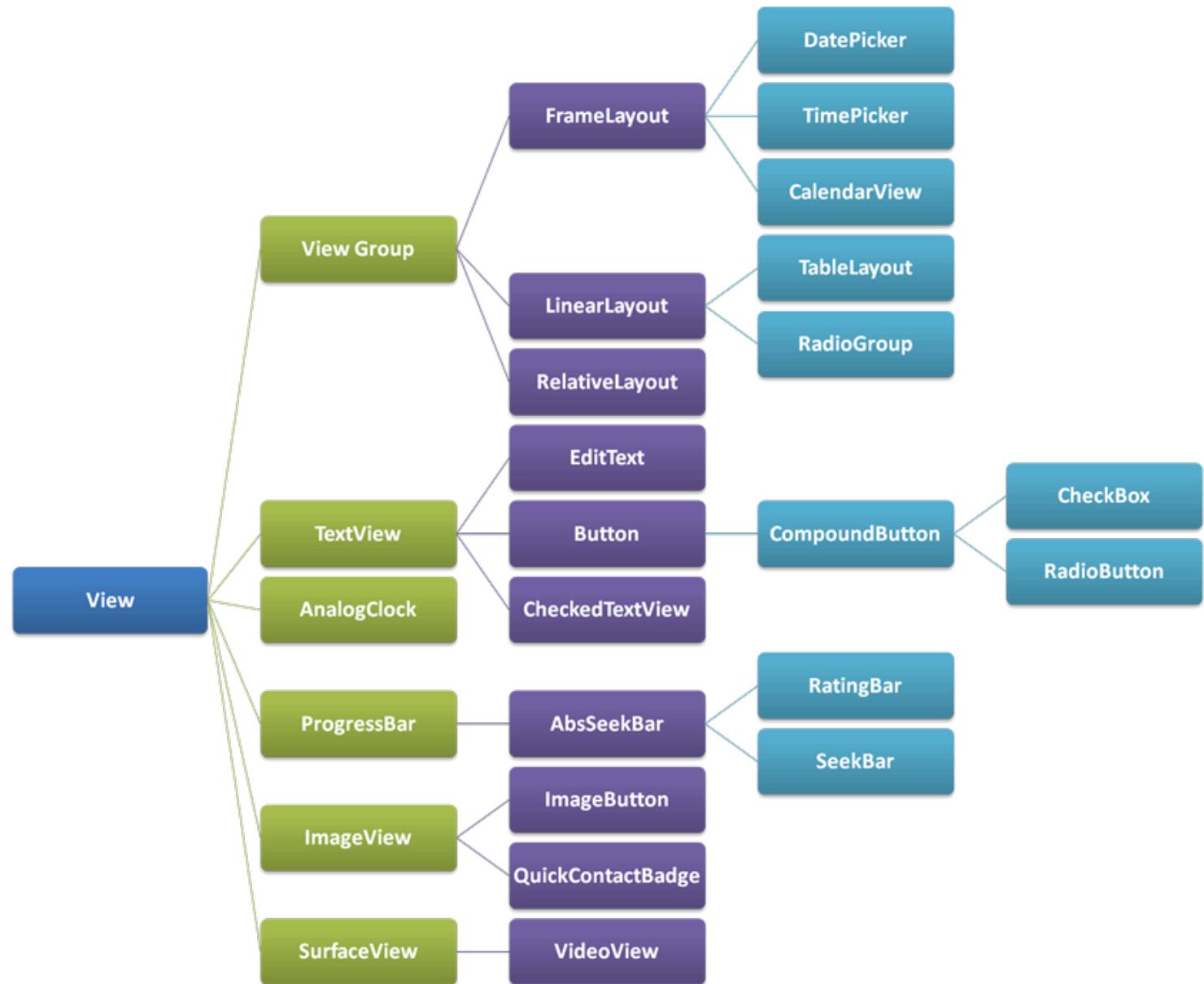
# Importance of OCP

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- ◆ This principle is at the heart of object oriented design. Conformance to this principle is what yields the greatest benefits claimed for object oriented technology (i.e. reusability and maintainability)
- ◆ Conformance to this principle is not achieved simply by using an object oriented programming language. Rather, it requires a dedication on the part of the designer to apply abstraction to those parts of the program that the designer feels are going to be subject to change

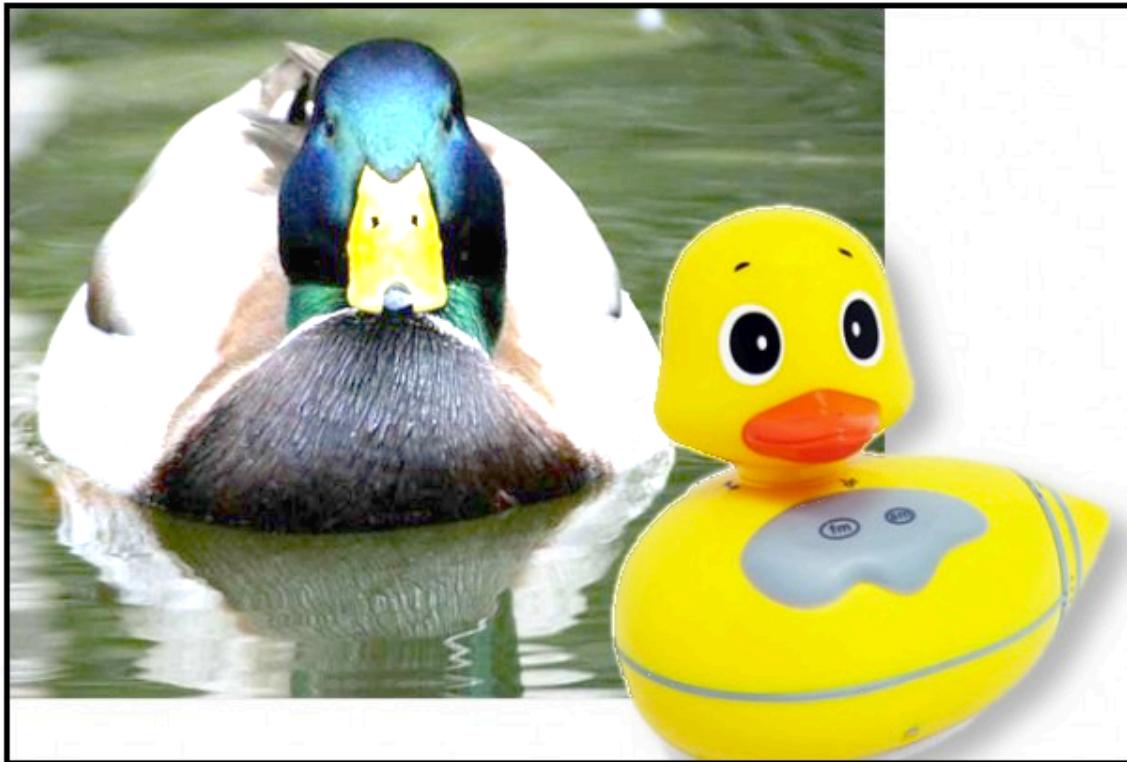
# Example: Android Widgets

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## 2. Liskov Substitution Principle



### LISKOV SUBSTITUTION PRINCIPLE

If It Looks Like A Duck, Quacks Like A Duck, But Needs Batteries - You  
Probably Have The Wrong Abstraction

# Liskov Substitution Principle (LSP)

- ◆ The key of OCP: Abstraction and Polymorphism
  - ◆ Implemented by inheritance
  - ◆ How do we measure the quality of inheritance?

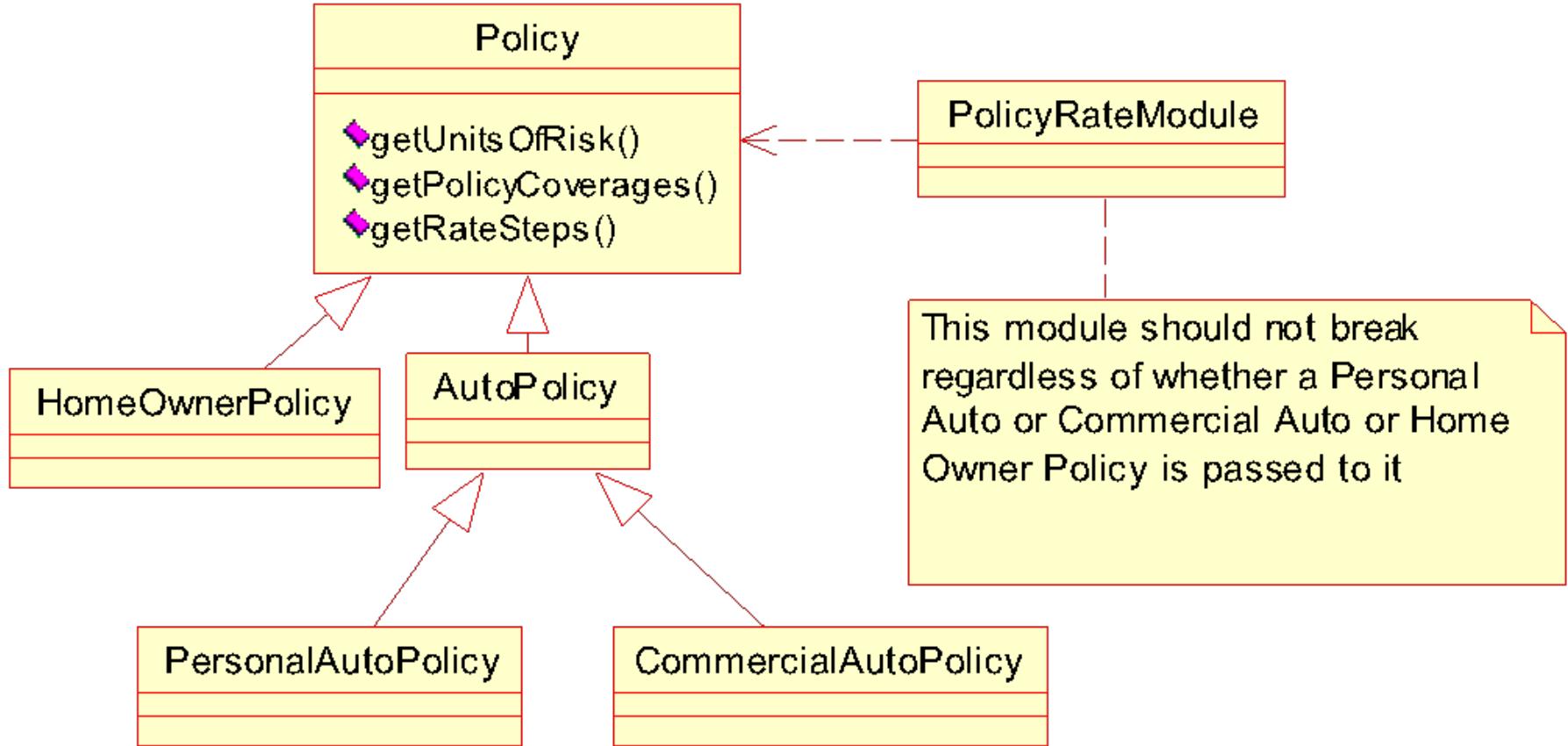
*Inheritance should ensure that any property proved about supertype objects also holds for subtype objects*

**B. Liskov, 1987**

*Functions that use pointers or references to base classes must be able to use objects of derived classes without knowing it*

**R. Martin, 1996**

# The Liskov Substitution Principle (LCP) Example



# Inheritance Appears Simple

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```
interface Bird {                                // has beak, wings, ...
    public void fly();                         // Bird can fly
}

class Parrot implements Bird {    // Parrot is a bird
    public void fly() { ... }      // Parrot can fly
    public void mimic() { ... }; // Can Repeat words...
}

// ...
Parrot mypet;
mypet.mimic();    // my pet being a parrot can Mimic()
mypet.fly();      // my pet “is-a” bird, can fly
```

# Penguins Fail to Fly!

```
class Penguin implements Bird {  
    public void fly() {  
        error ("Penguins don't fly!"); }  
}
```

```
void PlaywithBird (Bird abird) {  
    abird.fly(); // OK if Parrot.  
    // if bird happens to be Penguin...OOOPS!!  
}
```

- ◆ Does not model: “Penguins can’t fly”
- ◆ It models “Penguins may fly, but if they try it is an error”
- ◆ Run-time error if attempt to fly → not desirable
- ◆ Think about Substitutability – Fails LSP



# Design by Contract

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- ◆ Advertised Behavior of an object:
  - ◆ Advertised Requirements (**Preconditions**)
  - ◆ Advertised Promises (**Postconditions**)

*When redefining a method in a deriveate class, you may only  
replace its precondition by a weaker one, and  
its postcondition by a stronger one*

**B. Meyer, 1988**

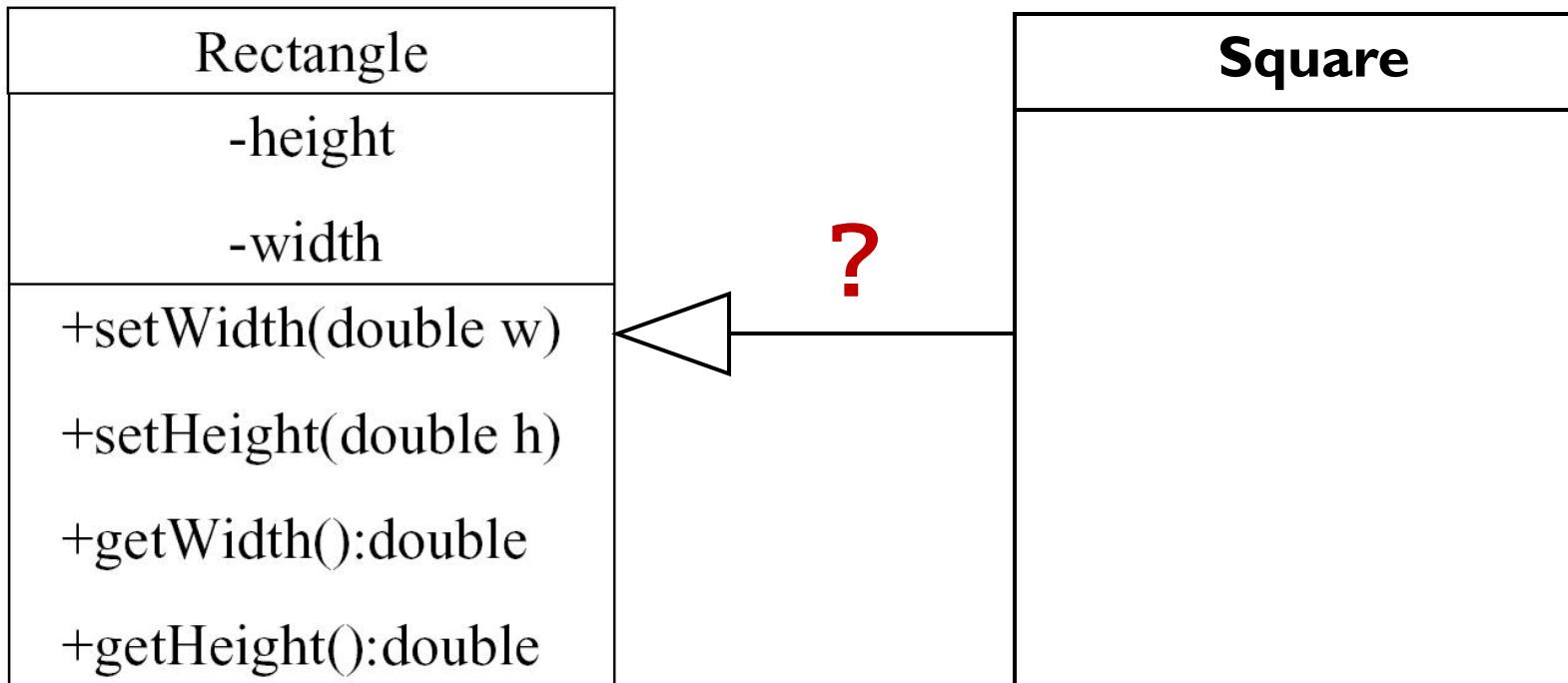
Derived class services should **require no more** and **promise no less**

```
int Base::f(int x);  
// REQUIRE: x is odd  
// PROMISE: return even int
```

```
int Derived::f(int x);  
// REQUIRE: x is int  
// PROMISE: return 8
```

# Square IS-A Rectangle?

- ◆ Should I inherit **Square** from **Rectangle**



# The Answer is...

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- ◆ Override setHeight and setWidth
  - ◆ Duplicated code...
- ◆ The real problem

```
public void g(Rectangle r) {  
    r.setWidth(5); r.setHeight(4);  
    // How large is the area?  
}
```

- ◆ 20! ... Are you sure? ;-)

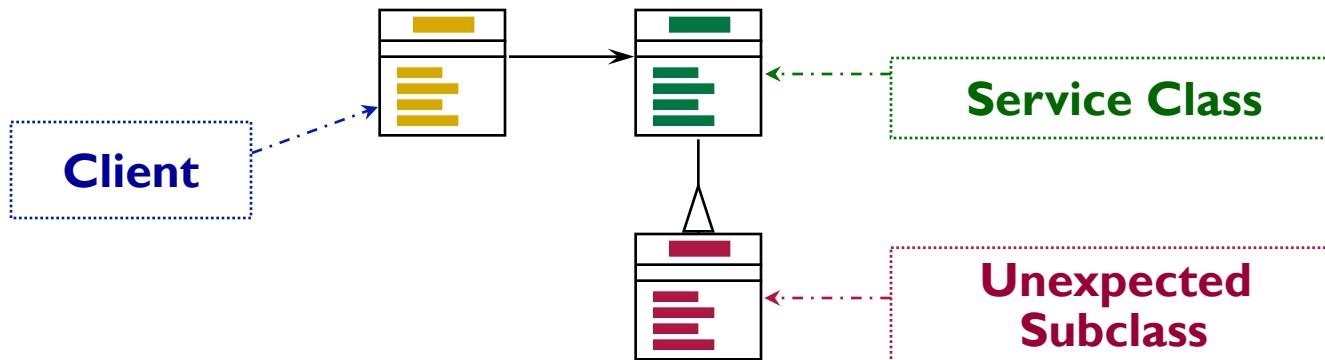
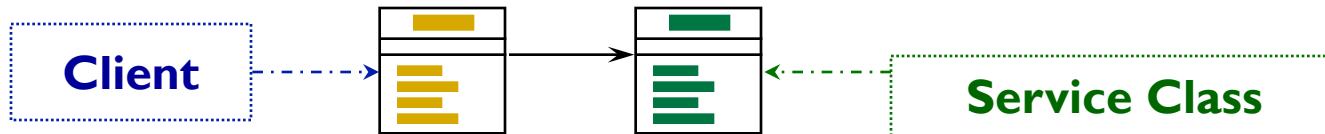
# LSP is about Semantics and Replacement

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- ◆ The meaning and purpose of every method and class must be **clearly documented**
  - ◆ Lack of user understanding will induce violations of LSP
  - ◆ In previous example, we have intuition about squares/rectangles, but this is not the case in most other domains
- ◆ Replaceability is crucial
  - ◆ Whenever any class is referenced by any code in any system, any future or existing subclasses of that class must be 100% replaceable
  - ◆ Because, sooner or later, someone will substitute a subclass; it's almost inevitable
- ◆ **Violations of LSP are latent violations of OCP**

# LSP and Replaceability

- ◆ Any code which can legally call another class' s methods
  - ◆ Must be able to substitute any subclass of that class without modification:



# LSP Related Heuristic

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It is illegal for a derived class, to override  
a base-class method with a NOP method

- ◆ NOP = a method that does nothing
- ◆ **Solution:** Extract Common Base-Class
  - ◆ If both initial and derived classes have different behaviors
  - ◆ For Penguins →
    - ◆ Birds, FlyingBirds, Penguins

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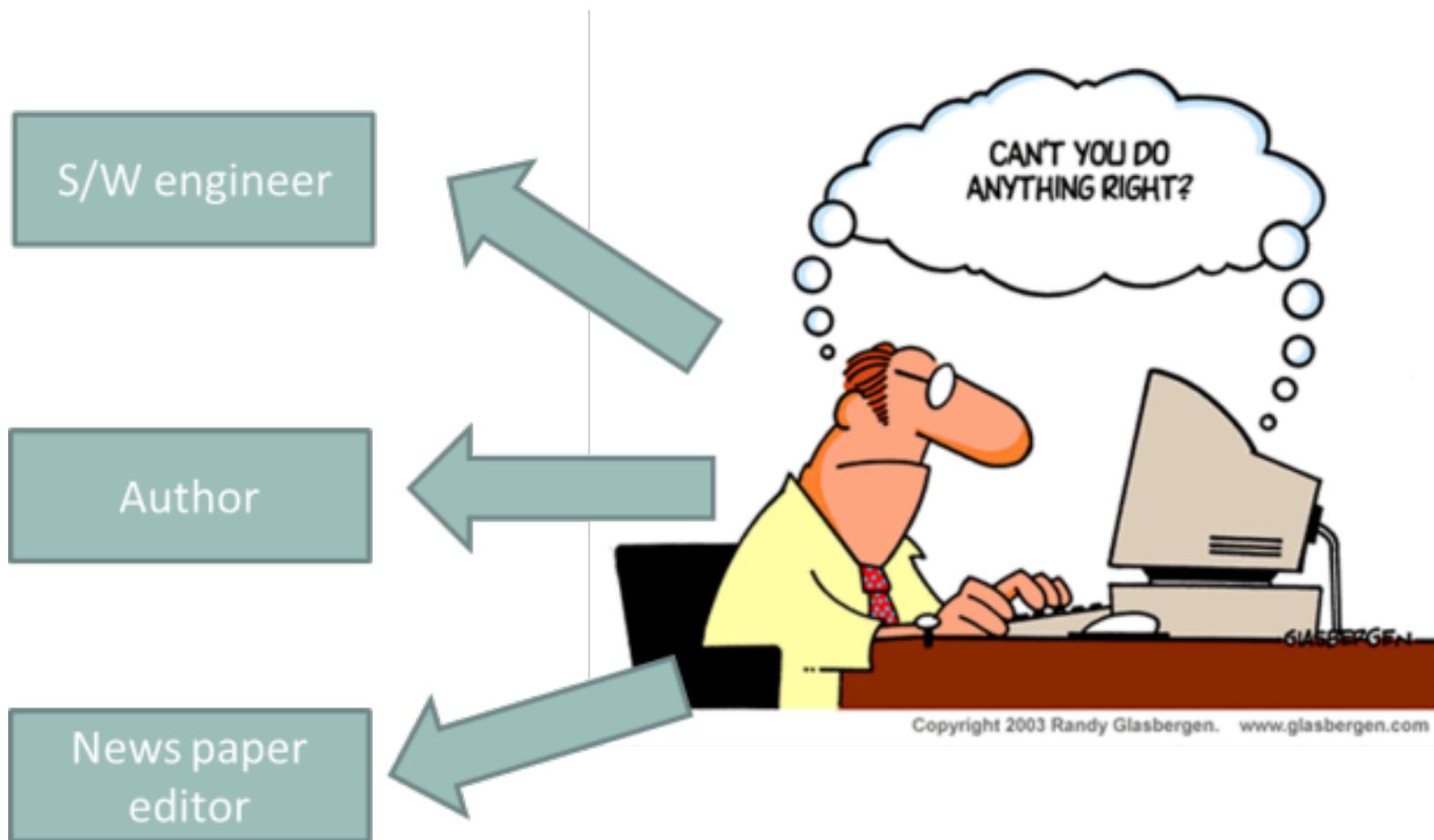
# 3. Single Responsibility Principle



## SINGLE RESPONSIBILITY PRINCIPLE

Just Because You Can, Doesn't Mean You Should

# Can't you do anything right?



# What's the Issue?

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```
Public class Customer {  
    private String name;  
    private String address;  
  
    public void addCustomer(Customer c) {  
        // database code goes here  
    }  
  
    public void generateReport(Customer c) {  
        // set report formatting  
    }  
}
```

# What does the following code do?

```
Public class Customer {  
    private String name;  
    private String address;  
  
    public void addCustomer(Customer c) {  
        // database code goes here  
    }  
  
    public void generateReport(Customer c) {  
        // set report formatting  
    }  
}
```

Responsibility  
1

Responsibility  
2

Every time one gets changed there is a chance that the other also gets changed because both are staying in the same home and both have same parent. We can't control everything. So a single change leads to double testing (or maybe more).

# OVERLOAD Kills

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It is not the load but  
the OVERLOAD that  
kills :- Spanish Proverb

# What is SRP?

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Every software module should have only one  
reason to change

R. Martin

- ◆ Software Module – Class, Function, etc.
- ◆ Reason to Change – Responsibility

# Solution which will not violate SRP

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```
Public class Customer {  
    private String name;  
    private String address;  
    // setter and getter methods  
}  
  
public class CustomerDB {  
    public void addCustomer(Customer c) {  
        // database login goes here  
    }  
}  
  
public class CustomerReport {  
    public void generateReport(Customer c) {  
        // set report formatting  
    }  
}
```

# Can a single class has multiple methods?

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- ◆ YES!
- ◆ The class responsibility is described at a higher level, or is related to the context

```
public class CustomerDB {  
    public void addCustomer(Customer c) {  
        // database logic goes here  
    }  
    public Customer getCustomer(String name) {  
        // database logic goes here  
    }  
}  
  
public class CustomerReport {  
    public void generateReport(Customer c) {  
        // set report formatting  
    }  
    public void persistReport(Custerom c) {  
        // save report in disk  
    }  
}
```

# Methods should follow SRP, too

```
//Method with multiple responsibilities - violating SRP
public void Insert(Employee e)
{
    string StrConnectionString = "";
    SqlConnection objCon = new SqlConnection(StrConnectionString);
    SqlParameter[] SomeParameters=null;//Create Parameter array from values
    SqlCommand objCommand = new SqlCommand("InertQuery", objCon);
    objCommand.Parameters.AddRange(SomeParameters);
    ObjCommand.ExecuteNonQuery();
}
```

It does too many things:

1. Build database connection
2. Form parameters
3. Generate command

# Methods should follow SRP, too

```
//Method with single responsibility - follow SRP
public void Insert(Employee e)
{
    SqlConnection objCon = GetConnection();
    SqlParameter[] SomeParameters=GetParameters();
    SqlCommand ObjCommand = GetCommand(objCon,"InertQuery",SomeParameters);
    ObjCommand.ExecuteNonQuery();
}

private SqlCommand GetCommand(SqlConnection objCon, string InsertQuery, SqlParameter[] SomeParameters)
{
    SqlCommand objCommand = new SqlCommand(InsertQuery, objCon);
    objCommand.Parameters.AddRange(SomeParameters);
    return objCommand;
}

private SqlParameter[] GetParaeters()
{
    //Create Paramter array from values
}

private SqlConnection GetConnection()
{
    string StrConnectionString = "";
    return new SqlConnection(StrConnectionString);
}
```

# Rule: Keep It Simple Stupid

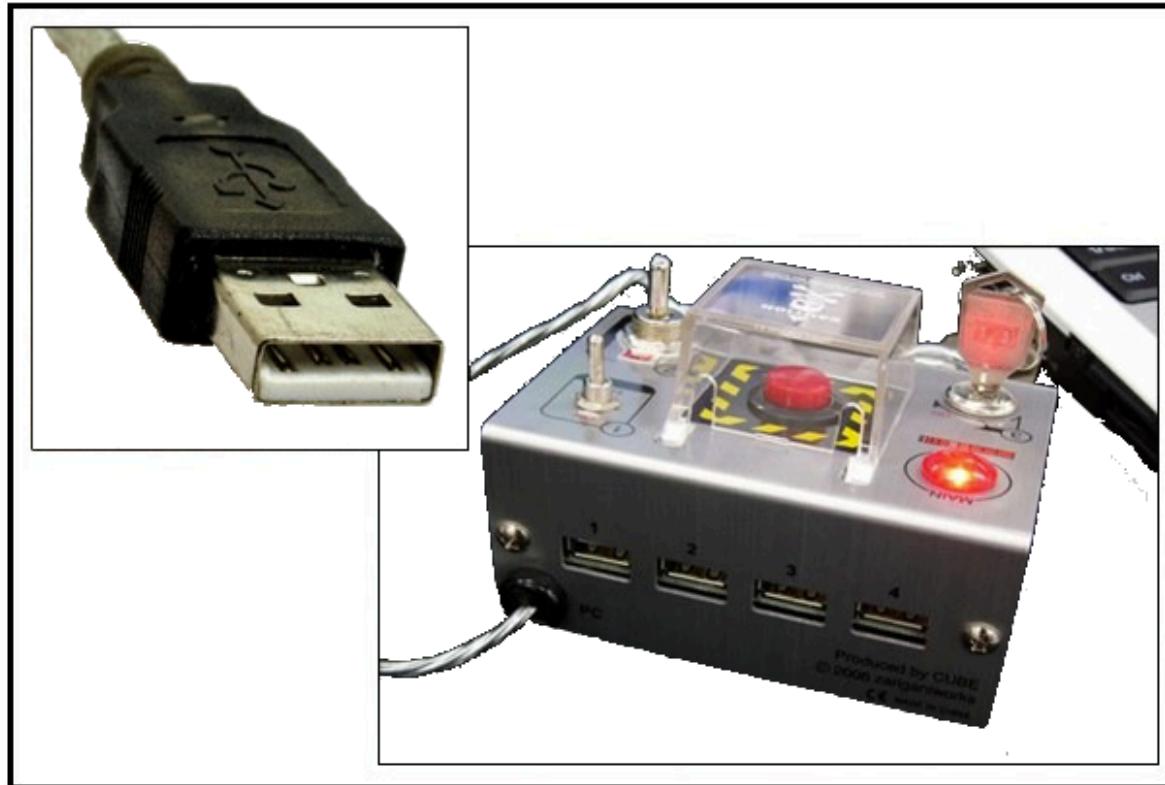
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**KISS: Keep It Simple Stupid**



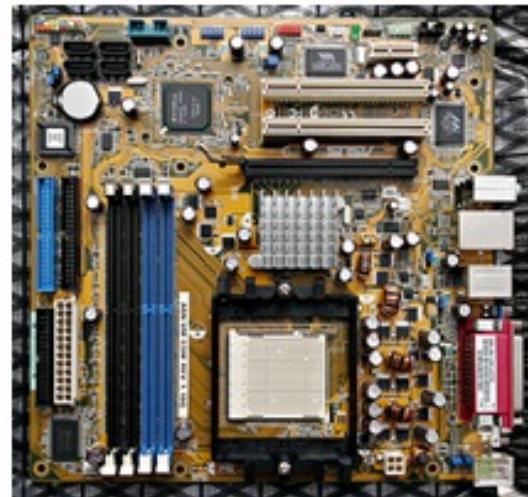
# 4. Interface Segregation Principle



INTERFACE SEGREGATION PRINCIPLE  
You Want Me To Plug This In, Where?

# Really World Comparison

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# Report Management System

```
public class EmployeeUI
{
    public void DisplayUI()
    {
        IReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
    }
}

public class ManagerUI
{
    public void DisplayUI()
    {
        IReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
        objBal.GenerateResourcePerformanceReport ();
        objBal.GenerateProjectSchedule ();
    }
}

public class AdminUI
{
    public void DisplayUI()
    {
        IReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
        objBal.GenerateResourcePerformanceReport();
        objBal.GenerateProjectSchedule();
        objBal.GenerateProfitReport();
    }
}
```

```
public interface IReportBAL
{
    void GeneratePFReport();
    void GenerateESICReport();

    void GenerateResourcePerformanceReport();
    void GenerateProjectSchedule();

    void GenerateProfitReport();
}
```

IReportBAL is used by all the 3 components:

1. EmployeeUI
2. ManagerUI
3. AdminUI

# The Problem

```
public class EmployeeUI
{
    public void DisplayUI()
    {
        IReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
    }
}
public class ManagerUI
{
    public void DisplayUI()
    {
        IReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
        objBal.GenerateResourcePerformanceReport();
        objBal.GenerateProjectSchedule ();
    }
}
public class AdminUI
{
    public void DisplayUI()
    {
        IReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
        objBal.GenerateResourcePerformanceReport();
        objBal.GenerateProjectSchedule();
        objBal.GenerateProfitReport();
    }
}
```

```
public interface IReportBAL
{
    void GeneratePFReport();
    void GenerateESICReport();

    void GenerateResourcePerformanceReport();
    void GenerateProjectSchedule();

    void GenerateProfitReport();
}
```

Everytime “objBal” is typed, all the methods will be shown, which is not always necessary:

- ⊕ Equals
- ⊕ GenerateESICReport
- ⊕ GeneratePFReport
- ⊕ **GenerateProfitReport**
- ⊕ GenerateProjectSchedule
- ⊕ GenerateResourcePerformanceReport
- ⊕ GetHashCode
- ⊕ GetType
- ⊕ ToString

# What is ISP?

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Clients should not be forced to depend upon interfaces that they do not use.

**R. Martin**

- ◆ Keep the interfaces concise and small

# Interface Segregation

---

```
public interface IEmployeeReportBAL
{
    void GeneratePFReport();
    void GenerateESICReport();
}
public interface IManagerReportBAL : IEmployeeReportBAL
{
    void GenerateResourcePerformanceReport();
    void GenerateProjectSchedule();
}
public interface IAdminReportBAL : IManagerReportBAL
{
    void GenerateProfitReport();
}
public class ReportBAL : IAdminReportBAL
{
    public void GeneratePFReport()
    {/*.....*/}

    public void GenerateESICReport()
    {/*.....*/}

    public void GenerateResourcePerformanceReport()
    {/*.....*/}

    public void GenerateProjectSchedule()
    {/*.....*/}

    public void GenerateProfitReport()
    {/*.....*/}
}
```

# Interface Segregation

- ▢ Equals
- ▢ **GenerateESICReport**
- ▢ GeneratePFReport
- ▢ GetHashCode
- ▢ GetType
- ▢ ToString

- ▢ Equals
- ▢ GenerateESICReport
- ▢ GeneratePFReport
- ▢ GenerateProjectSchedule
- ▢ GenerateResourcePerformanceReport
- ▢ GetHashCode
- ▢ GetType
- ▢ ToString

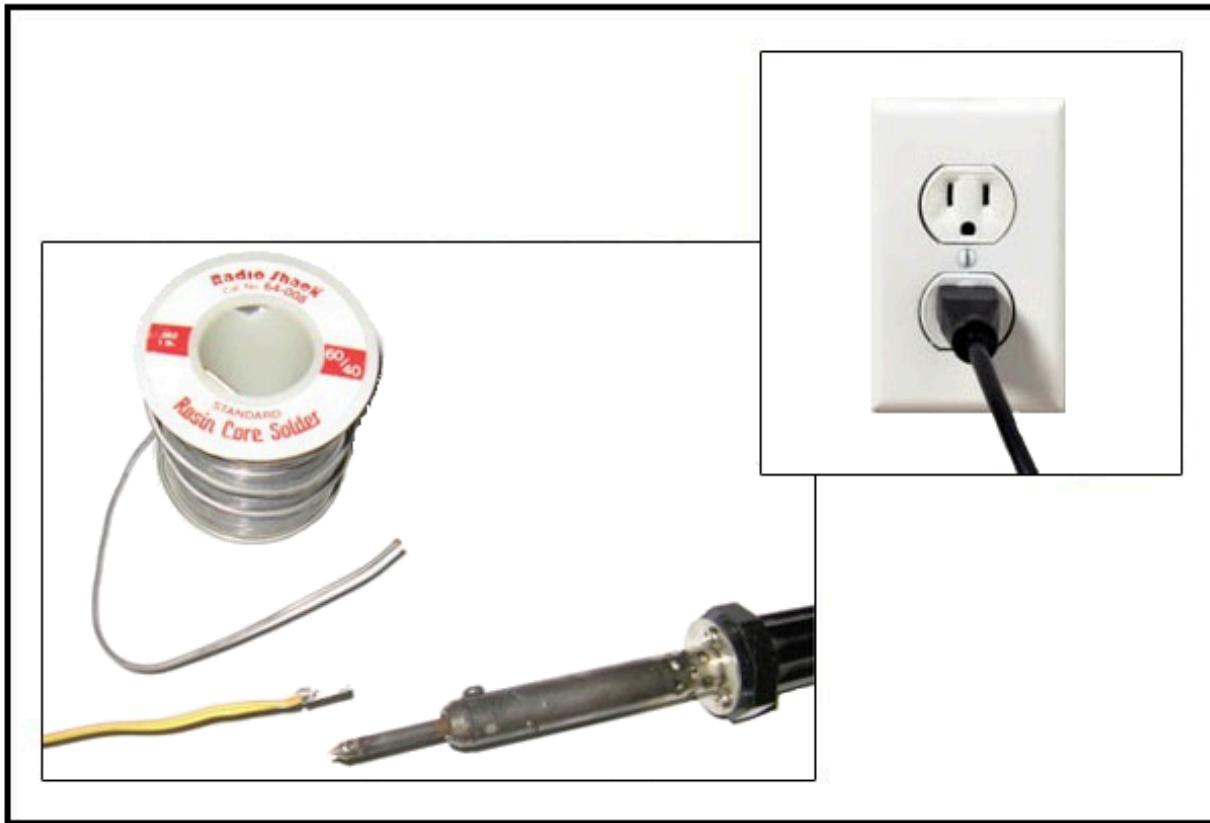
- ▢ Equals
- ▢ GenerateESICReport
- ▢ GeneratePFReport
- ▢ GenerateProfitReport
- ▢ GenerateProjectSchedule
- ▢ GenerateResourcePerformanceReport
- ▢ GetHashCode
- ▢ GetType
- ▢ ToString

```
public class EmployeeUI
{
    public void DisplayUI()
    {
        IEmployeeReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
    }
}
```

```
public class ManagerUI
{
    public void DisplayUI()
    {
        IManagerReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
        objBal.GenerateResourcePerformanceReport ();
        objBal.GenerateProjectSchedule ();
    }
}
```

```
public class AdminUI
{
    public void DisplayUI()
    {
        IAdminReportBAL objBal = new ReportBAL();
        objBal.GenerateESICReport();
        objBal.GeneratePFReport();
        objBal.GenerateResourcePerformanceReport();
        objBal.GenerateProjectSchedule();
        objBal.GenerateProfitReport();
    }
}
```

# 5. Dependency Inversion Principle



**DEPENDENCY INVERSION PRINCIPLE**  
Would You Solder A Lamp Directly To The Electrical Wiring In A Wall?

# Dependency Inversion Principle

I. High-level modules should **not** depend on low-level module implementations. Both levels should depend on abstractions

II. Abstractions should not depend on details  
Details should depend on abstractions

R. Martin, 1996

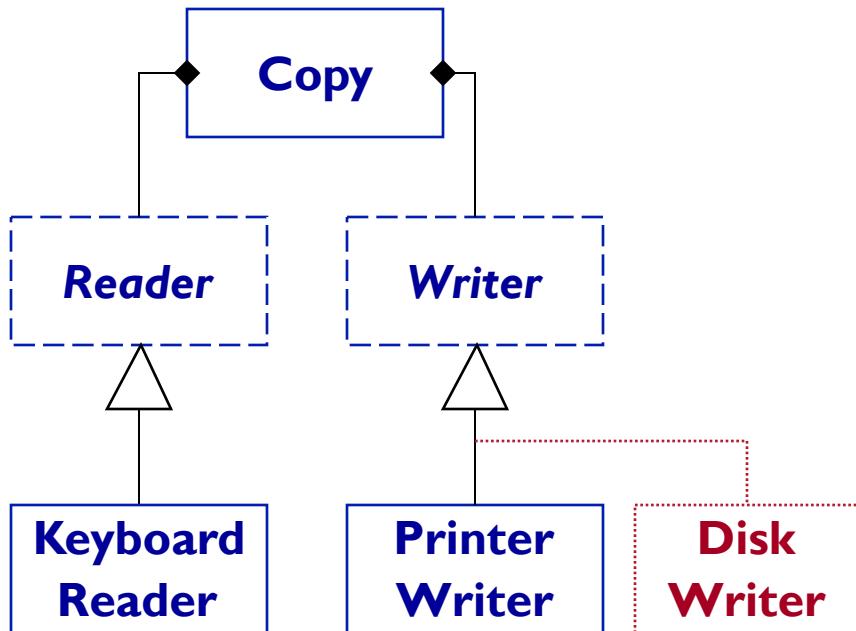
- ◆ OCP states the **goal**; DIP states the **mechanism**
- ◆ A base class in an inheritance hierarchy should not know any of its subclasses
- ◆ Modules with detailed implementations are not depended upon, but depend themselves upon higher abstractions

# Dependency Inversion Principle

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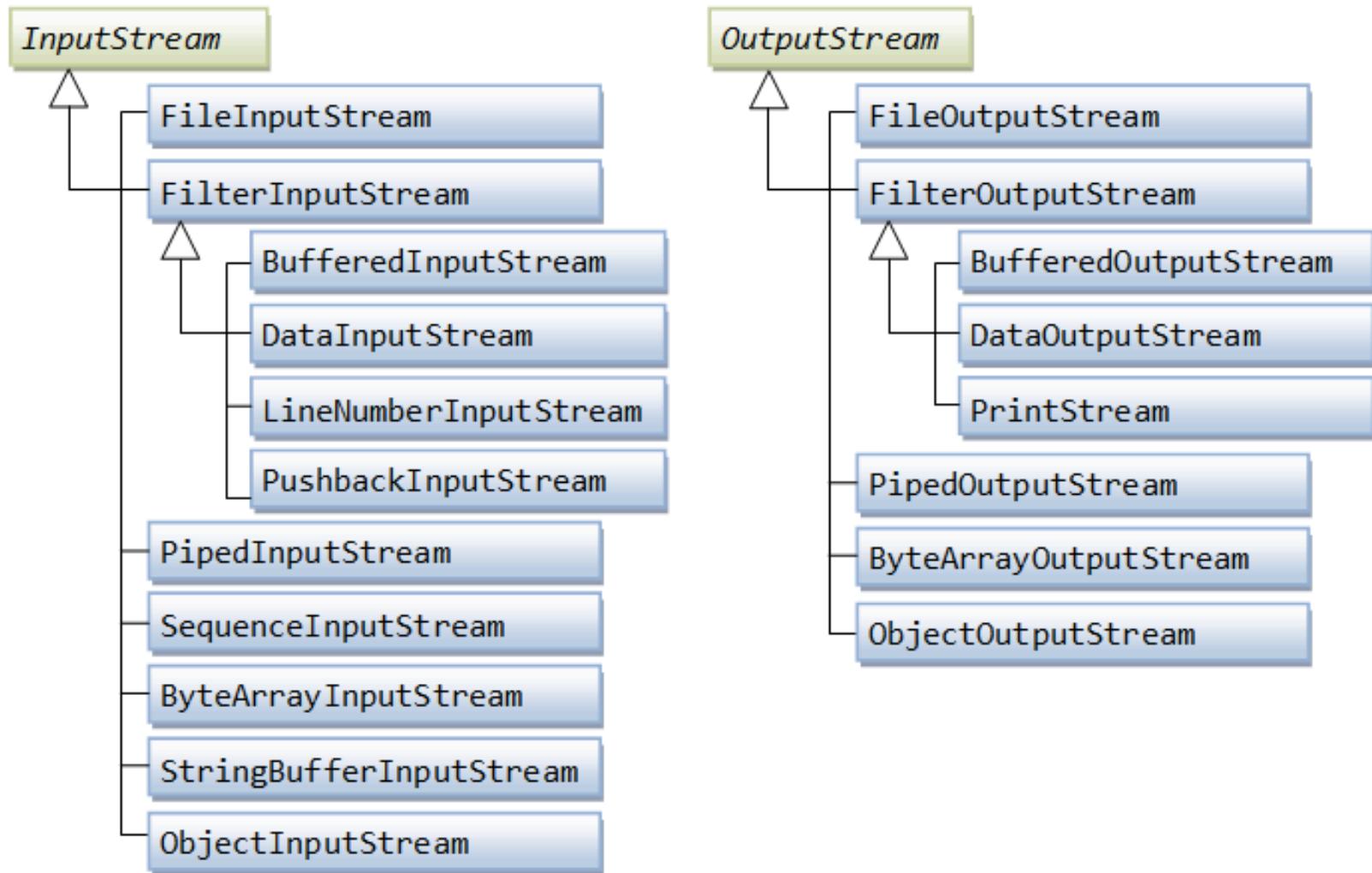
- ◆ Dependency Inversion is the strategy of depending upon interfaces or abstract functions and classes, rather than upon concrete functions and classes
- ◆ Every dependency in the design should target an interface, or an abstract class. No dependency should target a concrete class

# DIP Applied on Example



```
class Reader {  
public:  
    virtual int read()=0;  
};  
  
class Writer {  
public:  
    virtual void write(int)=0;  
};  
  
void Copy(Reader& r, Writer& w){  
    int c;  
    while((c = r.read()) != EOF)  
        w.write(c);  
}
```

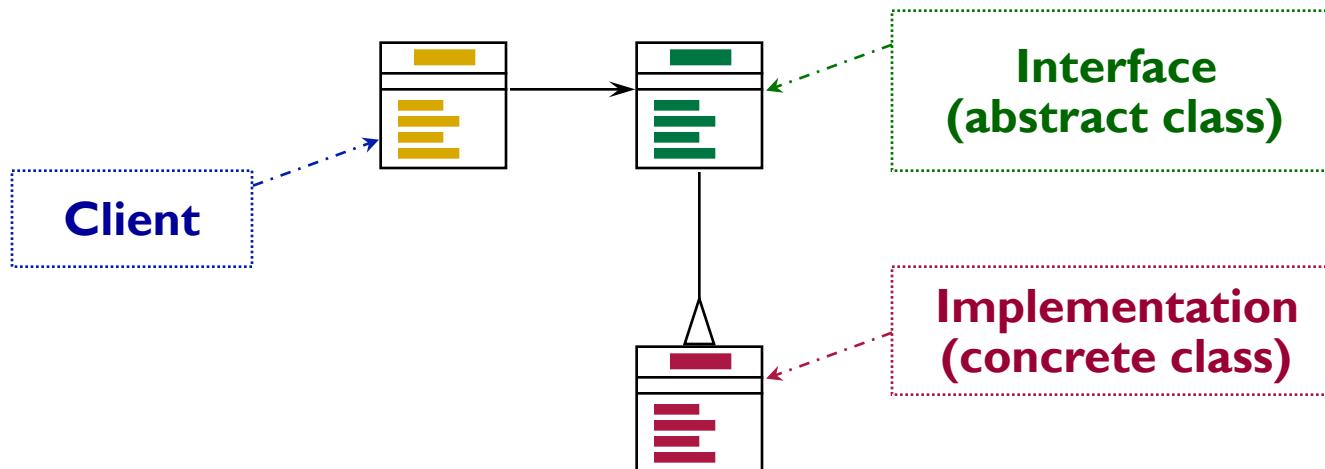
# Java I/O



# DIP Related Heuristic

Program to interface,  
Not implementation!

- ◆ Use inheritance to avoid direct bindings to classes:



# Design to an Interface

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- ◆ Abstract classes/interfaces:
  - ◆ Tend to change much less frequently
- ◆ Exceptions
  - ◆ Some classes are very unlikely to change;
    - ◆ Therefore, little benefit to inserting abstraction layer
    - ◆ e.g., String class
  - ◆ In cases like this you can use concrete class directly
    - ◆ As in Java or C++

# DIP Related Heuristic

## Avoid Transitive Dependencies

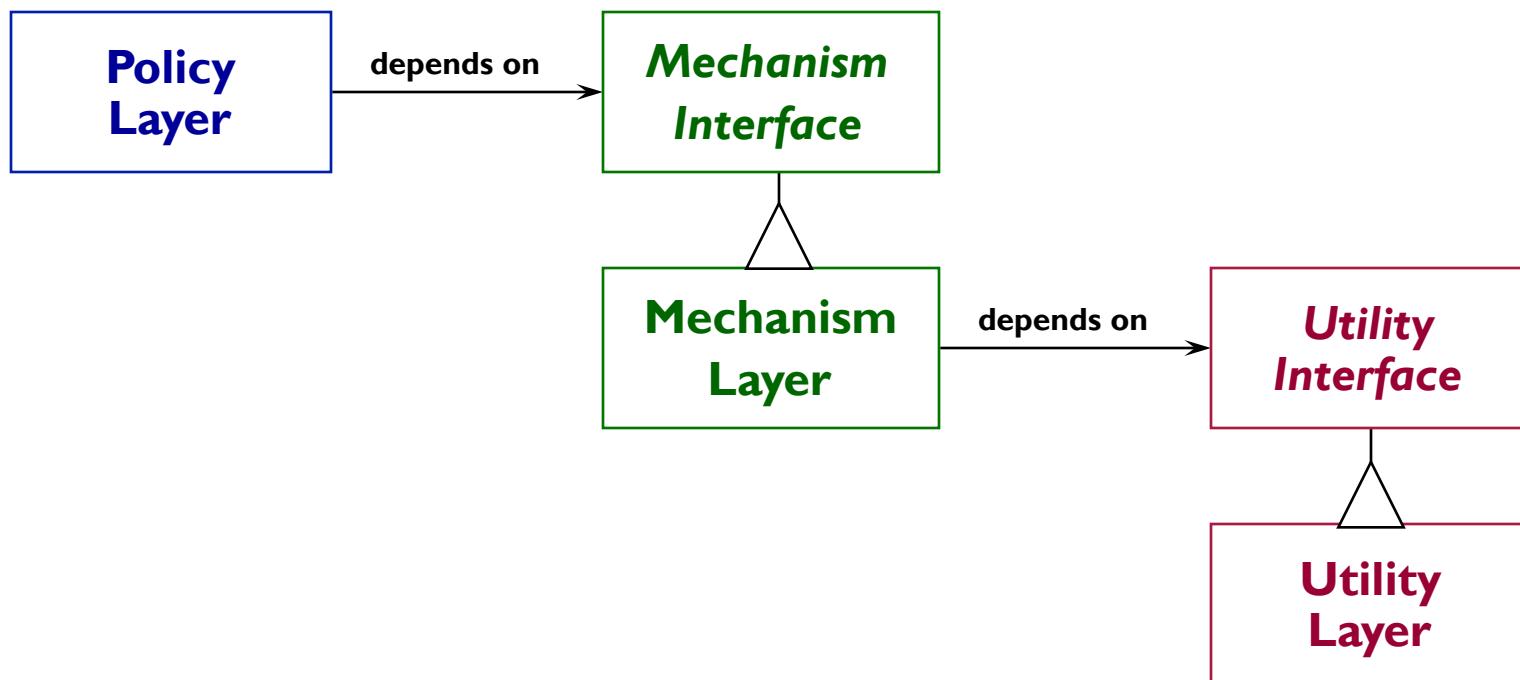
- ◆ Avoid structures in which higher-level layers depend on lower-level abstractions:
  - ◆ In example below, Policy layer is ultimately dependant on Utility layer



# Solution to Transitive Dependencies

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- ◆ Use inheritance and abstract ancestor classes to effectively eliminate transitive dependencies:



# DIP in Action – Google Guice

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- ◆ Google Guice Demo
- ◆ <https://github.com/google/guice>



# My Social App

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- ◆ Integrate different social apps
- ◆ Send messages
- ◆ Get news feed



# DIP in Action – Android Dagger

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- ◆ Square Dagger Demo
- ◆ <http://square.github.io/dagger/>

