# Lecture 3: Evaluation and Operational Semantics v1.1 23rd January 2025

Programming Languages (H)

Simon Fowler & Michele Sevegnani

Semester 2, 2024/2025







### BCSWomen Lovelace Colloquium at



- Great speakers from diverse sectors
- Poster competition meet your peers, win prizes
- Experience talking about technical topics
- Network with the Women in Computing community
- Careers fair with major companies recruiting
- Freebies and goody bags
- Students from all levels (undergrad and postgrad) welcome



**Event: Wed 16 April** 

Poster abstract deadline: Mon 3 Feb



more info here, or email Matthew.Barr@glasgow.ac.uk

### Reflection reflection

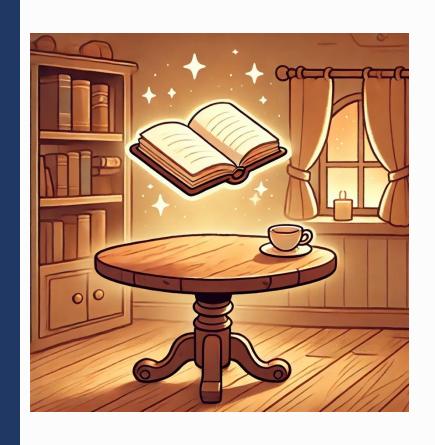
- Overall people seemed to enjoy, and understand most of, the first couple of lectures
- Several people found BNF grammars a bit tricky. They're a bit weird the first time you see them, but we'll be using them (for abstract syntax) throughout the course and will soon become familiar I hope – but if not, let me know
- One person stated "[...] it's interesting to know just how much the CPU and BIOS helps out, providing all the basic text output services, storing details of PCI devices in memory" I assume this was for OS? I'll tell Paul!

### Dad Jokes (1)



- "What do you call it when Batman doesn't go to church? Christian Bale."
- "Why did the scarecrow win the award? Because he was outstanding in his field"
- "I used to hate the hokey pokey, but I really turned myself around."
- "Why do programmers prefer dark mode? Because light attracts bugs."
- "What do you call a cow with no legs? Ground beef."

### Dad Jokes (2)



- "I've been reading this book about anti-gravity and it's so good I can't put it down."
- "I was going to try an all almond diet, but that's just nuts."
- "What do you call a fake spaghetti? An imPasta!"
- "What do you call a man standing between two buildings? Ali!"
- My contribution: "My family wanted me to go to flamingo lessons, but I put my foot down!"

#### Overview

#### Last time

- Introduction to the course and programming paradigms
- Concrete and abstract syntax, grammars, ambiguity
- This lecture: Evaluation and Operational Semantics
  - Interpreters vs. Compilers
  - L<sub>If</sub>: Extending L<sub>Arith</sub> with Booleans and Conditionals
  - Introduction to big-step operational semantics

#### Labs start today!

• 15:00 – 17:00 in BO720 (what a way to start the weekend)

# Part 1: Interpreters and Compilers

### Compilers

- We cannot run a program written in a high-level language directly on hardware!
  - (Mostly. There are some efforts to design specialised hardware for certain programming styles – see the HAFLANG project at Heriot-Watt <a href="https://haflang.github.io/">https://haflang.github.io/</a>)
- But for general-purpose hardware, a **compiler** translates code into (often a series of) lower-level languages, such that eventually they can be executed on hardware
- Often, even compiled code needs to be supported by a runtime system (that provides things like garbage collection)

sli.do 1238123

## Example: OCaml Compiler Pipeline

Parse text into an AST

Simplify more complex constructs (e.g. optional arguments)

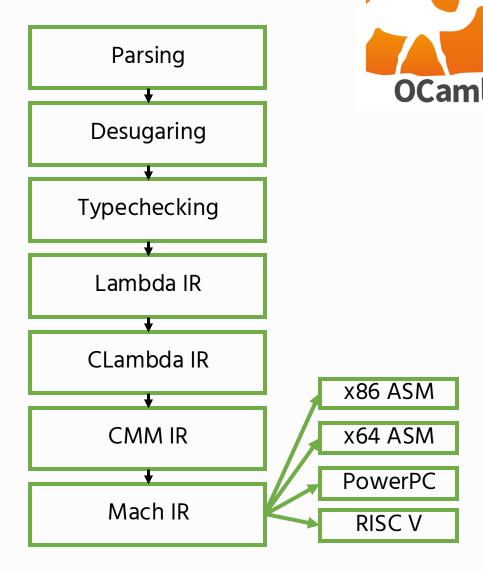
Ensure program is well typed, report type errors

Convert to first intermediate representation (IR) – small functional language based on the lambda calculus

Convert to second IR: make function environments explicit (closure conversion)

Convert to third IR: hoist all functions, small expression language

Convert to final IR: perform register allocation, explicit memory loads / stores



### Interpreters

- An **interpreter** is a program that accepts a program written in a given programming language, and executes it directly (without generating a separate executable).
- For example, Perl is fully interpreted: the interpreter is a separate program written in C
- Interpreters work by:
  - Fetching, analysing, and executing instructions (for imperative languages)
  - Evaluating subexpressions (for expression-based / functional languages)
- Generally, interpreters are easier to write but slower than compiled code
- The first lab will involve writing an interpreter for L<sub>If</sub>

### Virtual Machines

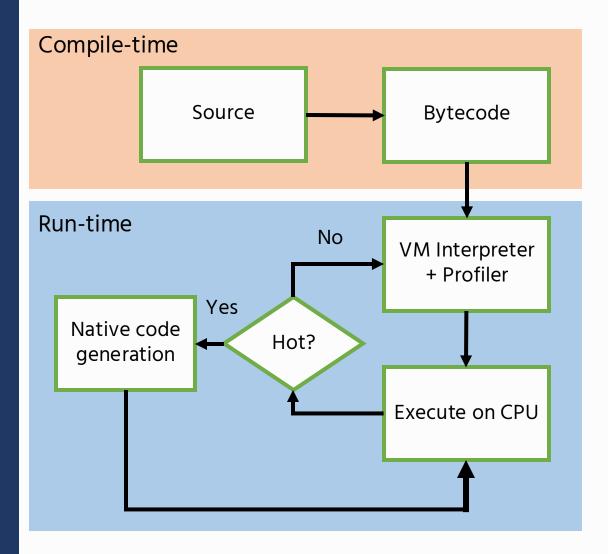
- A physical machine runs machine code (e.g. x86 assembly) directly
- In contrast, a **virtual machine** evaluates instructions (usually encoded in some sort of bytecode) by an interpreter





- Advantages of VMs:
  - Platform independence: Can run compiled code on multiple platforms
  - Common backend: multiple (quite different) languages can target the same backend for example the .NET suite of languages target the .NET CLR, and all of { Java, Scala, Kotlin, Clojure } target JVM bytecode

### Just-in-time (JIT) Compilers



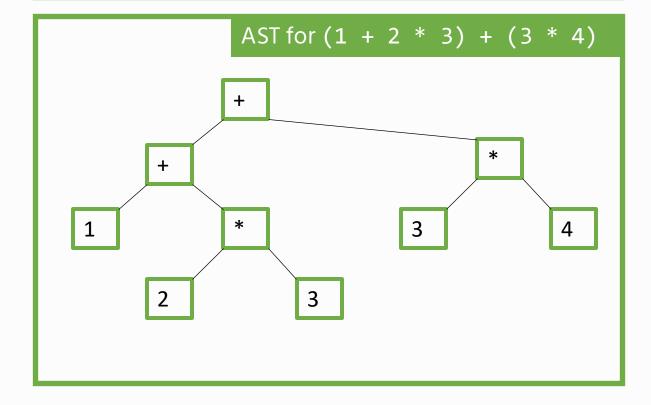
- A JIT compiler is a middleground between compilers and interpreters, where code is compiled to native code at run-time
- JIT compilers operate selectively: they profile code and compile "hot" (i.e., frequently called) code
- An example is Java's HotSpot JIT compiler for Java



# Part 2: Operational Semantics

## Recap: L<sub>Arith</sub>

- In Lecture 2 we introduced L<sub>Arith</sub>, a minimal programming language for representing arithmetic expressions
- L<sub>Arith</sub> consists of integer literals *n*, and the four basic arithmetic operations
- We discussed the concrete syntax, but more importantly the abstract syntax that directly represents the expression structure and does not include syntactic noise like brackets



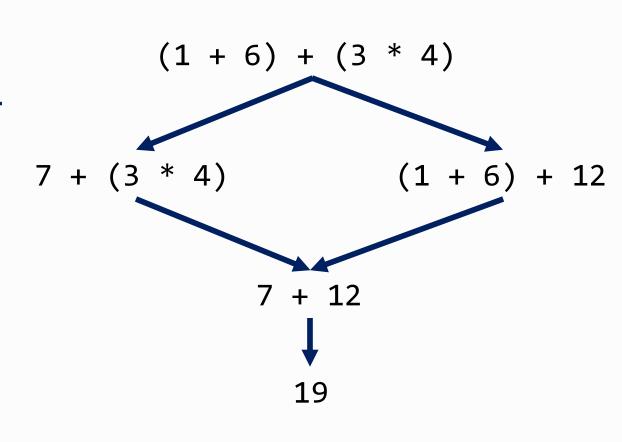
### How do we say what an expression means?

- All we have so far is syntax: how an expression looks
- Intuitively we can work out how to 'run' an arithmetic expression
  - we perform the numeric computations and return the result
- Taking our running example of (1 + (2 \* 3)) + (3 \* 4).
  - We start by multiplying 2 and 3
  - Once we've multiplied 2 and 3, we'll get 6, and then we can add 1 to get 7
  - Then we can multiply 3 and 4 to get 12
  - Finally we can add 7 and 12 to get 19
- For arithmetic, this is all straightforward but not all languages will be this simple!

sli.do 1238123

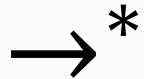
# Aside: Determinism and the Church-Rosse Theorem

- We can reduce summands in either order and arrive at the same result: we say that evaluation is deterministic or satisfies the Church-Rosser property
- This is not the case for all PLs –
   especially those that have side effects (e.g. sending packets,
   printing to the console)
- The Theory of Computation course (running again next year, hopefully!) treats this in much more depth



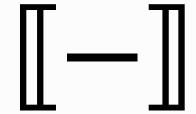
# Approaches to Programming Language Semantics

### **Operational**



Details **reduction** of an expression either to another expression (small-step) or a value (big-step)

### **Denotational**



Maps expressions to **semantic** (mathematical) objects.

### **Axiomatic**

$${P} M {Q}$$

Describes evaluation in terms of pre- and post-conditions on the program state

sli.do 1238123

# Approaches to Programming Language Semantics

- We will only consider operational semantics in this course.
- Operational semantics are useful for seeing how the **state of a system evolves**, especially for systems with side-effects or concurrency.
  - However, they can sometimes be **verbose**, and reasoning about more intricate properties can be difficult
- Denotational semantics are extremely **powerful**, being particularly useful for **proving complex properties** such as program equivalence
  - However, modelling realistic language features (e.g. recursion or polymorphism) often requires advanced mathematics (e.g. domain theory / category theory)
- Axiomatic semantics are mostly used for verification of imperative programs, or describing the semantics of shared-memory concurrency in real-world processors (e.g. the specification of Arm processors)

### Textual descriptions

- Let's first write out textual descriptions for how to evaluate L<sub>Arith</sub> expressions.
- We have two types of expression: integer literals *n*, and arithmetic operations *L*  $\odot$  *n*.

n

An integer *n* is a **value**: it is already in its simplest form

L



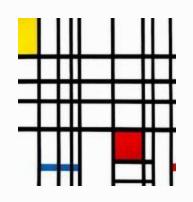
M

To evaluate an arithmetic operation, evaluate L to a value, evaluate M to a value, and then perform the operation on the results

### Pitfalls of Textual Descriptions

- Textual descriptions can be imprecise: the language designer might mean something different to what is understood by the language implementer
- We can't prove properties about textual descriptions: they are not mathematically defined
- Textual descriptions don't scale: more complex language features require lots of (confusing) text to describe
- Textual descriptions leave room for edge cases due to ambiguity

### Example convoluted textual description



"Pops the top two values off the stack and "rolls" the remaining stack entries to a depth equal to the second value popped, by a number of rolls equal to the first value popped.

A single roll to depth *n* is defined as burying the top value on the stack *n* deep and bringing all values above it up by 1 place.

A negative number of rolls rolls in the opposite direction.

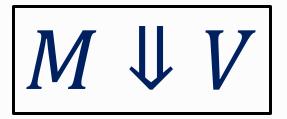
A negative depth is an error and the command is ignored.

If a roll is greater than an implementation-dependent maximum stack depth, it is handled as an implementationdependent error, though simply ignoring the command is recommended."

### **Expressions and Values**

- A **value** is data, and is the final result of a computation. It cannot evaluate further.
- **Expressions** in L<sub>Arith</sub> include binary operations, which may need to evaluate further. In our language, every expression should eventually evaluate to a value.

### (Big-step) Operational Semantics



Big-step operational semantics involves showing how an **expression M evaluates to a value V**. You can think of the judgement on the left being like a function signature Expression -> Value.

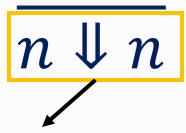
We then need **inference rules** to show how expressions evaluate: remember that an inference rule says that if the **premises** ( $P_1$ ,  $P_2$ , ...,  $P_n$  on the top of the rule) hold, then the **conclusion** (Q, on the bottom of the rule) holds.

$$\frac{P_1 \quad P_2 \quad \dots \quad P_n}{Q}$$

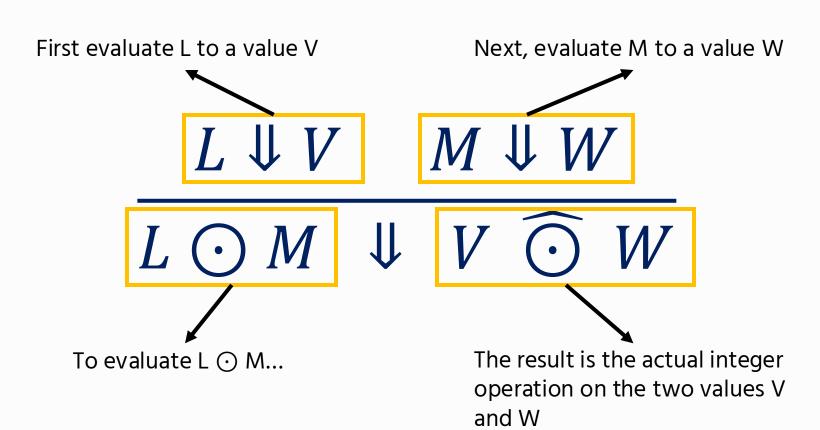
Often, it is useful to read PL inference rules **bottom-up** 

# L<sub>Arith</sub> Semantics





All integers are values and cannot reduce further, so we are done



### Showing how an expression evaluates

- Given the inference rules, we can now use the semantics to show how any expression evaluates down to a value by constructing a derivation tree
- We start with our expression at the bottom of the tree, and match the outermost subexpression to an inference rule
- We can then work upwards, until we reach an axiom (a rule without any premises), and finally fill in the values on the way down

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow V \widehat{\odot} W}$$

$$(1+(2*3))+(3*4)$$
  $\Downarrow$  ?

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow V \widehat{\odot} W}$$

$$\frac{1 + (2 * 3) \Downarrow ?}{(1 + (2 * 3)) + (3 * 4) \Downarrow ?}$$

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow V \widehat{\odot} W}$$

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow V \widehat{\odot} W}$$

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow V \widehat{\odot} W}$$

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow \qquad V \widehat{\odot} W}$$

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow \qquad V \widehat{\odot} W}$$

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \odot M \qquad \Downarrow V \widehat{\odot} W}$$

## Example 2 (on the visualiser)



# Part 3: Extending LArith with Booleans and Conditionals

### Additional features, informally

- L<sub>Arith</sub> is still quite far from a programming language!
- We'll now extend L<sub>Arith</sub> with Booleans and conditional expressions, to show our first instance of branching control flow
- We'll need some extra constructs:
  - true and false, which are our Boolean values
  - Additional operators that work on integers (<, >) and Booleans (&&, | |)
    and both (==)
  - A conditional expression if L then M else N that evaluates M if L evaluates to true, and N if L evaluates to false

# L<sub>If</sub> Abstract Syntax

```
L<sub>If</sub> Abstract Syntax
Integers n
           ::= true
Booleans b
                          false
Operators ⊙ ::= +
                     > &&
Values V, W ::= n | b
Constants c ::= n | b
Terms L, M, N ::= C
                    \odot
                  if L then M else N
```

- The syntax of  $L_{lf}$  is on the left (new constructs highlighted).
- Note that there are some design decisions
  - We could include values V directly in terms L, M, N (rather than having the syntactic class for constants) but it's often good to have a syntactic separation of static and runtime terms
  - We could just include true and false directly in values / terms, rather than having a separate syntactic category

## L<sub>If</sub> reduction rules

The rules from L<sub>Arith</sub> are very similar. We generalise the value rule to arbitrary constants rather than just numbers. We don't need to change the binary operator rule.

$$\overline{c \Downarrow c}$$

$$\frac{L \Downarrow V \qquad M \Downarrow W}{L \bigodot M \qquad \Downarrow \qquad V \bigcirc W}$$

We need **two** rules for conditional statements: one for if the predicate returns true (which evaluates the first branch), and another for if the predicate returns false (which evaluates the second branch).

$$\frac{L \Downarrow \mathsf{true} \quad M \Downarrow V}{\mathsf{if} \, L \, \mathsf{then} \, M \, \mathsf{else} \, N \, \Downarrow V}$$

$$\frac{L \Downarrow \mathsf{false} \quad N \Downarrow V}{\mathsf{if} L \mathsf{then} \, M \, \mathsf{else} \, N \Downarrow V}$$

Finally we need **two** rules for equality: one if both expressions evaluate to two identical values, and one if not.

$$\frac{M \Downarrow V \qquad N \Downarrow V}{M == N \Downarrow \mathsf{true}}$$

$$\frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \mathsf{false}}$$

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M}$$

$$\frac{L \Downarrow \text{true} \quad M \Downarrow V}{L \text{true} \quad M \Downarrow V} \qquad \frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V}$$

$$\frac{M \Downarrow V \quad N \Downarrow V}{M == N \Downarrow \text{true}} \qquad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{false}}$$

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M \Downarrow V \odot W}$$

$$\frac{L \Downarrow \text{true} \quad M \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V}$$

$$\frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V}$$

$$\frac{M \Downarrow V \quad N \Downarrow V}{M == N \Downarrow \text{true}} \quad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{false}}$$

 $5 > 6 \Downarrow ?$ 

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M} \qquad \frac{L \Downarrow V \quad \bigcap W}{L \odot M} \qquad \frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V} \qquad \frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V} \qquad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{true}} \qquad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{false}}$$

$$\frac{5 \sqrt[3]{5}}{5 > 6 \sqrt[3]{6}}$$
if  $5 > 6$  then  $3$  else  $(4 * 5) + 6 \sqrt[3]{2}$ ?

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M \Downarrow V \odot W}$$

$$\frac{L \Downarrow \text{true} \quad M \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V} \quad \frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V}$$

$$\frac{M \Downarrow V \quad N \Downarrow V}{M == N \Downarrow \text{true}} \quad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{false}}$$

$$\frac{5 \sqrt{5}}{5 > 6 \sqrt{6}}$$
5 > 6 \( \psi \) false

$$(4*5)+6$$
  $\$$  ?

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M \Downarrow V \odot W}$$

$$\frac{L \Downarrow \text{true} \quad M \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V}$$

$$\frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V}$$

$$\frac{M \Downarrow V \quad N \Downarrow V}{M == N \Downarrow \text{true}} \quad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{false}}$$

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M \Downarrow V \odot W}$$

$$\frac{L \Downarrow \mathsf{true} \quad M \Downarrow V}{\mathsf{if} \, L \, \mathsf{then} \, M \, \mathsf{else} \, N \Downarrow V} \quad \frac{L \Downarrow \mathsf{false} \quad N \Downarrow V}{\mathsf{if} \, L \, \mathsf{then} \, M \, \mathsf{else} \, N \Downarrow V}$$

$$\frac{M \Downarrow V \quad N \Downarrow V}{M == N \Downarrow \mathsf{true}} \quad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \mathsf{false}}$$

$$\frac{5 \downarrow 5}{5 \downarrow 6} \stackrel{4 \downarrow 4}{6 \downarrow 6} \stackrel{5 \downarrow 5}{4 * 5 \downarrow 20} \stackrel{6 \downarrow 6}{6 \downarrow 6}$$

$$\frac{5 \downarrow 5}{6 \downarrow 6} \stackrel{4 * 5 \downarrow 20}{6 \downarrow 6} \stackrel{6 \downarrow 6}{4 * 5} \stackrel{1}{+} 6 \downarrow ?$$
if  $5 > 6$  then  $3$  else  $(4 * 5) + 6 \downarrow ?$ 

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M} \qquad \frac{L \Downarrow V \quad M \Downarrow W}{L \odot M} \qquad \frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V} \qquad \frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V} \qquad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{true}} \qquad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{false}}$$

$$\frac{5 \Downarrow 5}{5 \geqslant 6 \Downarrow 6} \qquad \frac{4 \Downarrow 4}{4 * 5 \Downarrow 5} \qquad \frac{6 \Downarrow 6}{6 \Downarrow 6}$$

$$5 \geqslant 6 \Downarrow \text{ false} \qquad (4 * 5) + 6 \Downarrow 26$$
if  $5 \geqslant 6$  then  $3$  else  $(4 * 5) + 6 \Downarrow ?$ 

$$\frac{L \Downarrow V \quad M \Downarrow W}{L \odot M \Downarrow V \odot W}$$

$$\frac{L \Downarrow \text{true} \quad M \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V} \quad \frac{L \Downarrow \text{false} \quad N \Downarrow V}{\text{if } L \text{ then } M \text{ else } N \Downarrow V}$$

$$\frac{M \Downarrow V \quad N \Downarrow V}{M == N \Downarrow \text{true}} \quad \frac{M \Downarrow V \quad N \Downarrow W \quad V \neq W}{M == N \Downarrow \text{false}}$$

$$\frac{5 \downarrow 5}{5 \downarrow 6} \frac{6 \downarrow 6}{4 * 5 \downarrow 20} = \frac{4 \downarrow 4}{6 \downarrow 6} \frac{5 \downarrow 5}{6 \downarrow 6}$$

$$\frac{5 > 6 \downarrow 6}{5 > 6 \downarrow 6} = \frac{4 * 5 \downarrow 20}{(4 * 5) + 6 \downarrow 26}$$
if  $5 > 6$  then  $3$  else  $(4 * 5) + 6 \downarrow 26$ 

# Example L<sub>If</sub> derivation 2 (On visualiser)

#### Conclusion

- In this lecture, we've begun to see:
  - (at a high level) how programs are run
  - how we can specify the meaning of a program using big-step semantics
- The next lecture will be more practical than theoretical (to prepare you for the labs): we will talk about the ANTLR toolkit and the SVM virtual machine
- The labs will show you how to use operational semantics to guide an implementation
- See you in 10 minutes!