# **CNN-Layers**

February 22, 2025

## 0.1 Convolutional neural network layers

In this notebook, we will build the convolutional neural network layers. This will be followed by a spatial batchnorm, and then in the final notebook of this assignment, we will train a CNN to further improve the validation accuracy on CIFAR-10.

CS231n has built a solid API for building these modular frameworks and training them, and we will use their very well implemented framework as opposed to "reinventing the wheel." This includes using their Solver, various utility functions, their layer structure, and their implementation of fast CNN layers. This also includes nndl.fc\_net, nndl.layers, and nndl.layer\_utils. As in prior assignments, we thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu).

```
[7]: ## Import and setups
     import time
     import numpy as np
     import matplotlib.pyplot as plt
     from nndl.conv_layers import *
     from cs231n.data utils import get CIFAR10 data
     from cs231n.gradient_check import eval_numerical_gradient,_
      →eval_numerical_gradient_array
     from cs231n.solver import Solver
     %matplotlib inline
     plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
     plt.rcParams['image.interpolation'] = 'nearest'
     plt.rcParams['image.cmap'] = 'gray'
     # for auto-reloading external modules
     # see http://stackoverflow.com/questions/1907993/
      \Rightarrow autoreload-of-modules-in-ipython
     %load ext autoreload
     %autoreload 2
     def rel_error(x, y):
       """ returns relative error """
       return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
```

## 0.2 Implementing CNN layers

Just as we implemented modular layers for fully connected networks, batch normalization, and dropout, we'll want to implement modular layers for convolutional neural networks. These layers are in nndl/conv\_layers.py.

#### 0.2.1 Convolutional forward pass

Begin by implementing a naive version of the forward pass of the CNN that uses for loops. This function is conv\_forward\_naive in nndl/conv\_layers.py. Don't worry about efficiency of implementation. Later on, we provide a fast implementation of these layers. This version ought to test your understanding of convolution. In our implementation, there is a triple for loop.

After you implement conv\_forward\_naive, test your implementation by running the cell below.

```
[11]: x_{shape} = (2, 3, 4, 4)
      w_{shape} = (3, 3, 4, 4)
      x = np.linspace(-0.1, 0.5, num=np.prod(x_shape)).reshape(x_shape)
      w = np.linspace(-0.2, 0.3, num=np.prod(w_shape)).reshape(w_shape)
      b = np.linspace(-0.1, 0.2, num=3)
      conv_param = {'stride': 2, 'pad': 1}
      out, _ = conv_forward_naive(x, w, b, conv_param)
      correct_out = np.array([[[[-0.08759809, -0.10987781],
                                 [-0.18387192, -0.2109216]],
                                [[ 0.21027089, 0.21661097],
                                 [ 0.22847626, 0.23004637]],
                                [[ 0.50813986, 0.54309974],
                                 [ 0.64082444, 0.67101435]]],
                               [[[-0.98053589, -1.03143541],
                                 [-1.19128892, -1.24695841]],
                                [[ 0.69108355, 0.66880383],
                                 [ 0.59480972, 0.56776003]],
                                [[ 2.36270298, 2.36904306],
                                 [ 2.38090835, 2.38247847]]]])
      # Compare your output to ours; difference should be around 1e-8
      print('Testing conv_forward_naive')
      print('difference: ', rel_error(out, correct_out))
```

Testing conv\_forward\_naive difference: 2.2121476417505994e-08

# 0.2.2 Convolutional backward pass

Now, implement a naive version of the backward pass of the CNN. The function is conv\_backward\_naive in nndl/conv\_layers.py. Don't worry about efficiency of implementation. Later on, we provide a fast implementation of these layers. This version ought to test your understanding of convolution. In our implementation, there is a quadruple for loop.

After you implement conv\_backward\_naive, test your implementation by running the cell below.

```
[13]: x = np.random.randn(4, 3, 5, 5)
      w = np.random.randn(2, 3, 3, 3)
      b = np.random.randn(2,)
      dout = np.random.randn(4, 2, 5, 5)
      conv_param = {'stride': 1, 'pad': 1}
      out, cache = conv_forward_naive(x,w,b,conv_param)
      dx num = eval numerical gradient array(lambda x: conv forward naive(x, w, b, ...

¬conv_param)[0], x, dout)
      dw num = eval_numerical_gradient_array(lambda w: conv_forward_naive(x, w, b,_

¬conv_param)[0], w, dout)

      db_num = eval_numerical_gradient_array(lambda b: conv_forward_naive(x, w, b,_
       ⇔conv_param)[0], b, dout)
      out, cache = conv_forward_naive(x, w, b, conv_param)
      dx, dw, db = conv_backward_naive(dout, cache)
      # Your errors should be around 1e-9'
      print('Testing conv_backward_naive function')
      print('dx error: ', rel_error(dx, dx_num))
      print('dw error: ', rel_error(dw, dw_num))
      print('db error: ', rel error(db, db num))
```

 ${\tt Testing\ conv\_backward\_naive\ function}$ 

dx error: 8.355816981267655e-10
dw error: 8.123878038245589e-10
db error: 2.306418550147359e-11

#### 0.2.3 Max pool forward pass

In this section, we will implement the forward pass of the max pool. The function is max\_pool\_forward\_naive in nndl/conv\_layers.py. Do not worry about the efficiency of implementation.

After you implement max\_pool\_forward\_naive, test your implementation by running the cell below

Testing max\_pool\_forward\_naive function: difference: 4.1666665157267834e-08

## 0.2.4 Max pool backward pass

In this section, you will implement the backward pass of the max pool. The function is max\_pool\_backward\_naive in nndl/conv\_layers.py. Do not worry about the efficiency of implementation.

After you implement max\_pool\_backward\_naive, test your implementation by running the cell below.

```
[19]: x = np.random.randn(3, 2, 8, 8)
dout = np.random.randn(3, 2, 4, 4)
pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}

dx_num = eval_numerical_gradient_array(lambda x: max_pool_forward_naive(x, pool_param)[0], x, dout)

out, cache = max_pool_forward_naive(x, pool_param)
dx = max_pool_backward_naive(dout, cache)

# Your error should be around 1e-12
print('Testing max_pool_backward_naive function:')
print('dx error: ', rel_error(dx, dx_num))
```

Testing max\_pool\_backward\_naive function: dx error: 3.275629593175273e-12

# 0.3 Fast implementation of the CNN layers

Implementing fast versions of the CNN layers can be difficult. We will provide you with the fast layers implemented by cs231n. They are provided in cs231n/fast\_layers.py.

The fast convolution implementation depends on a Cython extension; to compile it you need to run the following from the cs231n directory:

```
python setup.py build_ext --inplace
```

**NOTE:** The fast implementation for pooling will only perform optimally if the pooling regions are non-overlapping and tile the input. If these conditions are not met then the fast pooling implementation will not be much faster than the naive implementation.

You can compare the performance of the naive and fast versions of these layers by running the cell below.

You should see pretty drastic speedups in the implementation of these layers. On our machine, the forward pass speeds up by 17x and the backward pass speeds up by 840x. Of course, these numbers will vary from machine to machine, as well as on your precise implementation of the naive layers.

NOTE: I had to add #### from im2col\_cython import col2im\_6d\_cython to the fast\_layers.py file to manually import

```
[36]: from cs231n.fast_layers import conv forward fast, conv backward fast
      from time import time
      x = np.random.randn(100, 3, 31, 31)
      w = np.random.randn(25, 3, 3, 3)
      b = np.random.randn(25,)
      dout = np.random.randn(100, 25, 16, 16)
      conv_param = {'stride': 2, 'pad': 1}
      t0 = time()
      out_naive, cache_naive = conv_forward_naive(x, w, b, conv_param)
      t1 = time()
      out fast, cache fast = conv forward fast(x, w, b, conv param)
      t2 = time()
      print('Testing conv_forward_fast:')
      print('Naive: %fs' % (t1 - t0))
      print('Fast: %fs' % (t2 - t1))
      print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
      print('Difference: ', rel_error(out_naive, out_fast))
      t0 = time()
      dx naive, dw_naive, db_naive = conv_backward_naive(dout, cache_naive)
      t1 = time()
      dx_fast, dw_fast, db_fast = conv_backward_fast(dout, cache_fast)
      t2 = time()
      print('\nTesting conv_backward_fast:')
      print('Naive: %fs' % (t1 - t0))
      print('Fast: %fs' % (t2 - t1))
      print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
      print('dx difference: ', rel_error(dx_naive, dx_fast))
      print('dw difference: ', rel_error(dw_naive, dw_fast))
```

```
print('db difference: ', rel_error(db_naive, db_fast))
     Testing conv forward fast:
     Naive: 1.578271s
     Fast: 0.004506s
     Speedup: 350.269803x
     Difference: 2.3595434930110773e-11
     Testing conv_backward_fast:
     Naive: 2.673719s
     Fast: 0.003083s
     Speedup: 867.248550x
     dx difference: 1.766990009976018e-11
     dw difference: 4.659914426279771e-13
     db difference: 7.385586901438423e-14
[38]: from cs231n.fast_layers import max_pool_forward_fast, max_pool_backward_fast
      x = np.random.randn(100, 3, 32, 32)
      dout = np.random.randn(100, 3, 16, 16)
      pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
      t0 = time()
      out_naive, cache_naive = max_pool_forward_naive(x, pool_param)
      t1 = time()
      out_fast, cache_fast = max_pool_forward_fast(x, pool_param)
      t2 = time()
      print('Testing pool_forward_fast:')
      print('Naive: %fs' % (t1 - t0))
      print('fast: %fs' % (t2 - t1))
      print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
      print('difference: ', rel_error(out_naive, out_fast))
      t0 = time()
      dx_naive = max_pool_backward_naive(dout, cache_naive)
      t1 = time()
      dx_fast = max_pool_backward_fast(dout, cache_fast)
      t2 = time()
      print('\nTesting pool_backward_fast:')
      print('Naive: %fs' % (t1 - t0))
      print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
      print('dx difference: ', rel_error(dx_naive, dx_fast))
     Testing pool_forward_fast:
```

Naive: 0.143386s

fast: 0.001656s

```
speedup: 86.582781x
difference: 0.0
Testing pool_backward_fast:
Naive: 0.354107s
speedup: 79.786892x
dx difference: 0.0
```

## 0.4 Implementation of cascaded layers

We've provided the following functions in nndl/conv\_layer\_utils.py: - conv\_relu\_forward - conv\_relu\_backward - conv\_relu\_pool\_forward - conv\_relu\_pool\_backward

These use the fast implementations of the conv net layers. You can test them below:

```
[41]: from nndl.conv_layer_utils import conv_relu_pool_forward,_
       ⇔conv_relu_pool_backward
      x = np.random.randn(2, 3, 16, 16)
      w = np.random.randn(3, 3, 3, 3)
      b = np.random.randn(3,)
      dout = np.random.randn(2, 3, 8, 8)
      conv_param = {'stride': 1, 'pad': 1}
      pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
      out, cache = conv_relu_pool_forward(x, w, b, conv_param, pool_param)
      dx, dw, db = conv_relu_pool_backward(dout, cache)
      dx num = eval numerical gradient array(lambda x: conv_relu_pool_forward(x, w,_
       →b, conv_param, pool_param)[0], x, dout)
      dw_num = eval_numerical_gradient_array(lambda w: conv_relu_pool_forward(x, w,_

→b, conv param, pool param)[0], w, dout)
      db_num = eval_numerical_gradient_array(lambda b: conv_relu_pool_forward(x, w,_u
       ⇒b, conv_param, pool_param)[0], b, dout)
      print('Testing conv relu pool')
      print('dx error: ', rel_error(dx_num, dx))
      print('dw error: ', rel_error(dw_num, dw))
      print('db error: ', rel_error(db_num, db))
     Testing conv_relu_pool
     dx error: 9.882390256487472e-09
     dw error: 2.663698368367585e-08
     db error: 6.65388282638145e-11
[43]: from nndl.conv_layer_utils import conv_relu_forward, conv_relu_backward
      x = np.random.randn(2, 3, 8, 8)
      w = np.random.randn(3, 3, 3, 3)
```

```
b = np.random.randn(3,)
dout = np.random.randn(2, 3, 8, 8)
conv_param = {'stride': 1, 'pad': 1}

out, cache = conv_relu_forward(x, w, b, conv_param)
dx, dw, db = conv_relu_backward(dout, cache)

dx_num = eval_numerical_gradient_array(lambda x: conv_relu_forward(x, w, b, conv_param)[0], x, dout)
dw_num = eval_numerical_gradient_array(lambda w: conv_relu_forward(x, w, b, conv_param)[0], w, dout)
db_num = eval_numerical_gradient_array(lambda b: conv_relu_forward(x, w, b, conv_param)[0], b, dout)

print('Testing conv_relu:')
print('dx error: ', rel_error(dx_num, dx))
print('dw error: ', rel_error(dw_num, dw))
print('db error: ', rel_error(db_num, db))
```

Testing conv\_relu:

dx error: 3.4657015676911663e-09
dw error: 6.055527507435172e-10
db error: 9.560799348488284e-11

## 0.5 What next?

We saw how helpful batch normalization was for training FC nets. In the next notebook, we'll implement a batch normalization for convolutional neural networks, and then finish off by implementing a CNN to improve our validation accuracy on CIFAR-10.