

# Interval Data Types

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# First: get a good text editor!

Desired features:

1. syntax highlighting
2. line numbering
3. ability to view **white** space

Recommendation **Komodo Edit** – cross platform editor  
(download the Editor not IDE)

Countless other options: Sublime Text, TextMate,

# Biological file formats

Each file format represents

1. **Information** – type of “knowledge” that is stored in the file
2. **Optimization** – the types of operations that are easy and efficient to perform

The above implies that some information may not be present or it cannot be easily extracted from a certain file format.

# Successive Transformation

- Data analysis is not about the tools!
- It is about performing a succession of transformations on the data
- You will need to understand what each format contains

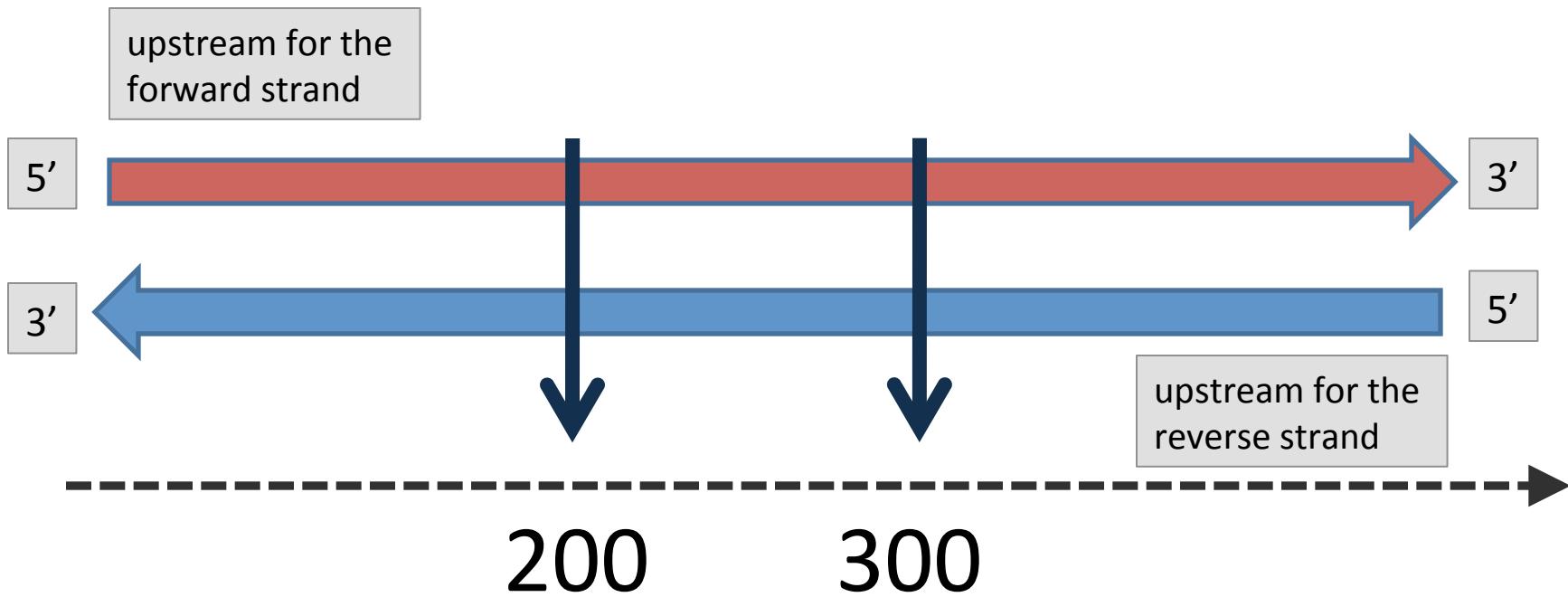
# Genome representation concepts

- At the simplest level of abstraction the genome is represented by a one dimensional “space” (lines)
- Genome is two stranded → a line corresponds to each strand
- Each strand has a polarity → each line has a direction
- Strands may be paired → lines are ‘paired’
- The smallest unit is one base → one integer on the number line
- Annotations (features) are segments (coordinates) on each line

# Genomic coordinates – brief overview

DNA two stranded and directional

But there is only one coordinate system



Standard formats use **start < end** even for the reverse strand

The **upstream region** – before the 5' end relative to the direction of transcription

# Coordinate systems

- 0 based  $\rightarrow 0, 1, 2, \dots 9$
- 1 based  $\rightarrow 1, 2, 3, \dots 10$

Typically

- 0 based are non-inclusive **10:20**  $\rightarrow [ 10, 20 )$
- 1 based include both ends **10:20**  $\rightarrow [ 10, 20 ]$

# Comparing coordinate systems

## 1 based indexing

Third element : 3

First ten : 1, 10

Second ten : 11, 20

Third ten : 21, 30

One base long : start, start

Size of interval : end - start + 1

Empty interval : ?

Five elements  
starting at 1000

1000, 1000 + 4

## 0 based indexing

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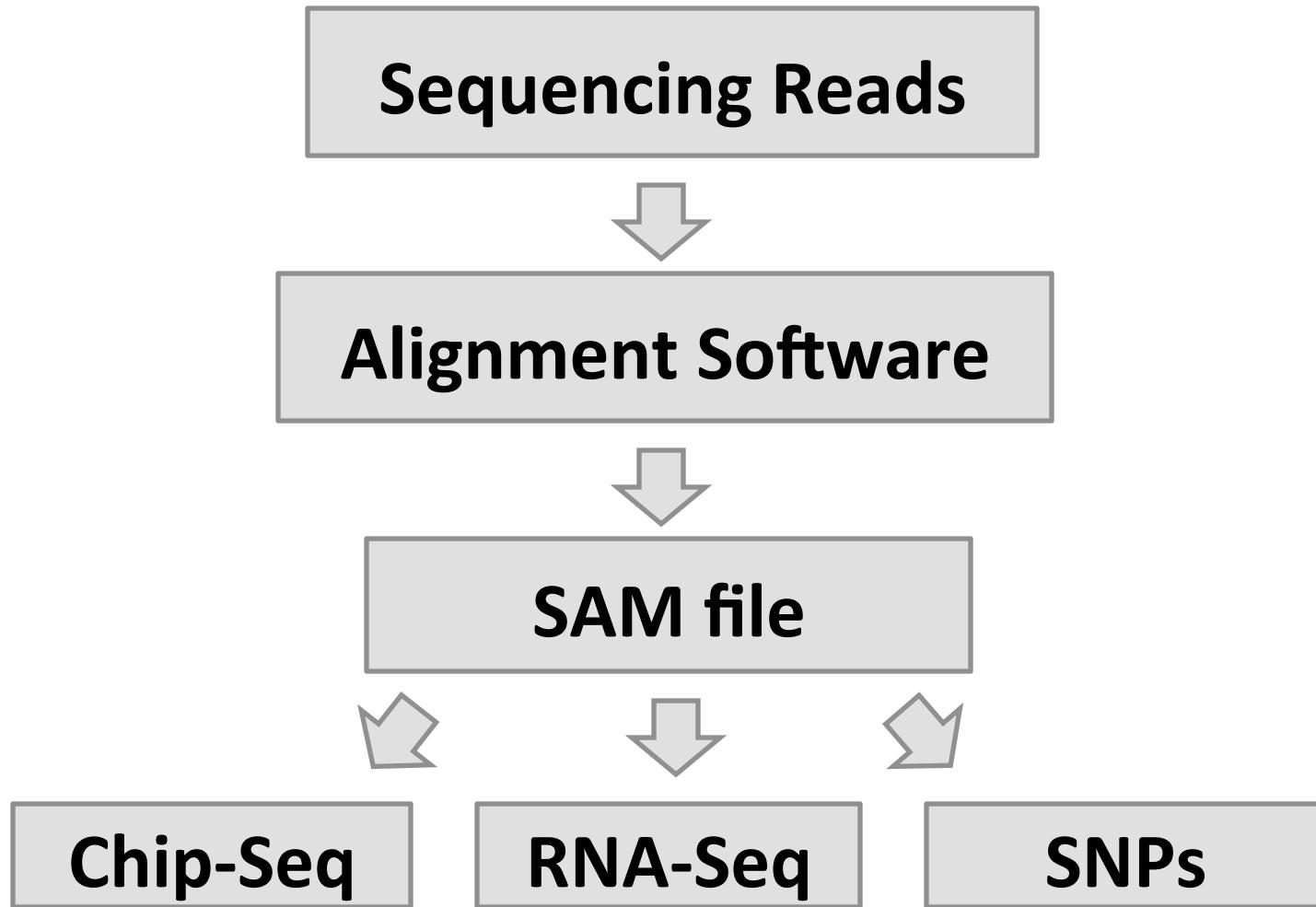
Vote for what you think is better



# Fundamental interval formats

- **SAM/BAM** – Sequence Alignment Map
- **BED/GFF** → Gene Annotation representation
- **VCF/BCF** → for variant calls

The vast majority of data analyses split off after generating a SAM file!

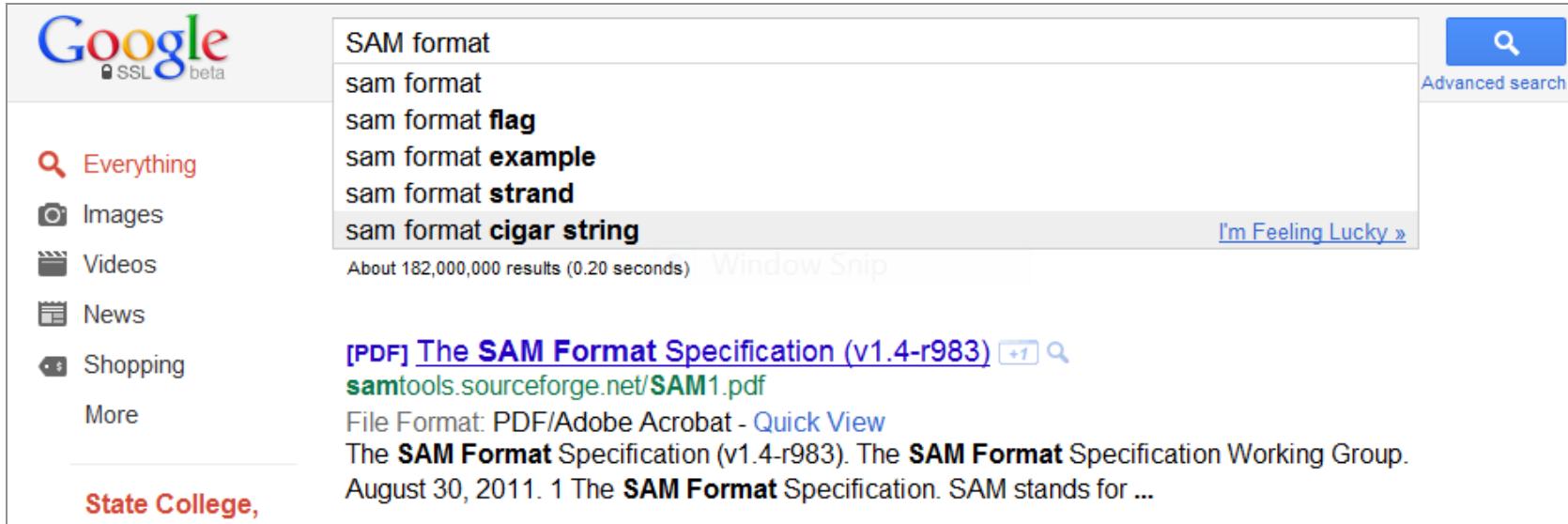


# SAM format: tabular text format

Published as

**The Sequence Alignment/Map format and SAMtools** by Heng Li et al  
Bioinformatics 25, Volume 25, Issue 16, 2009

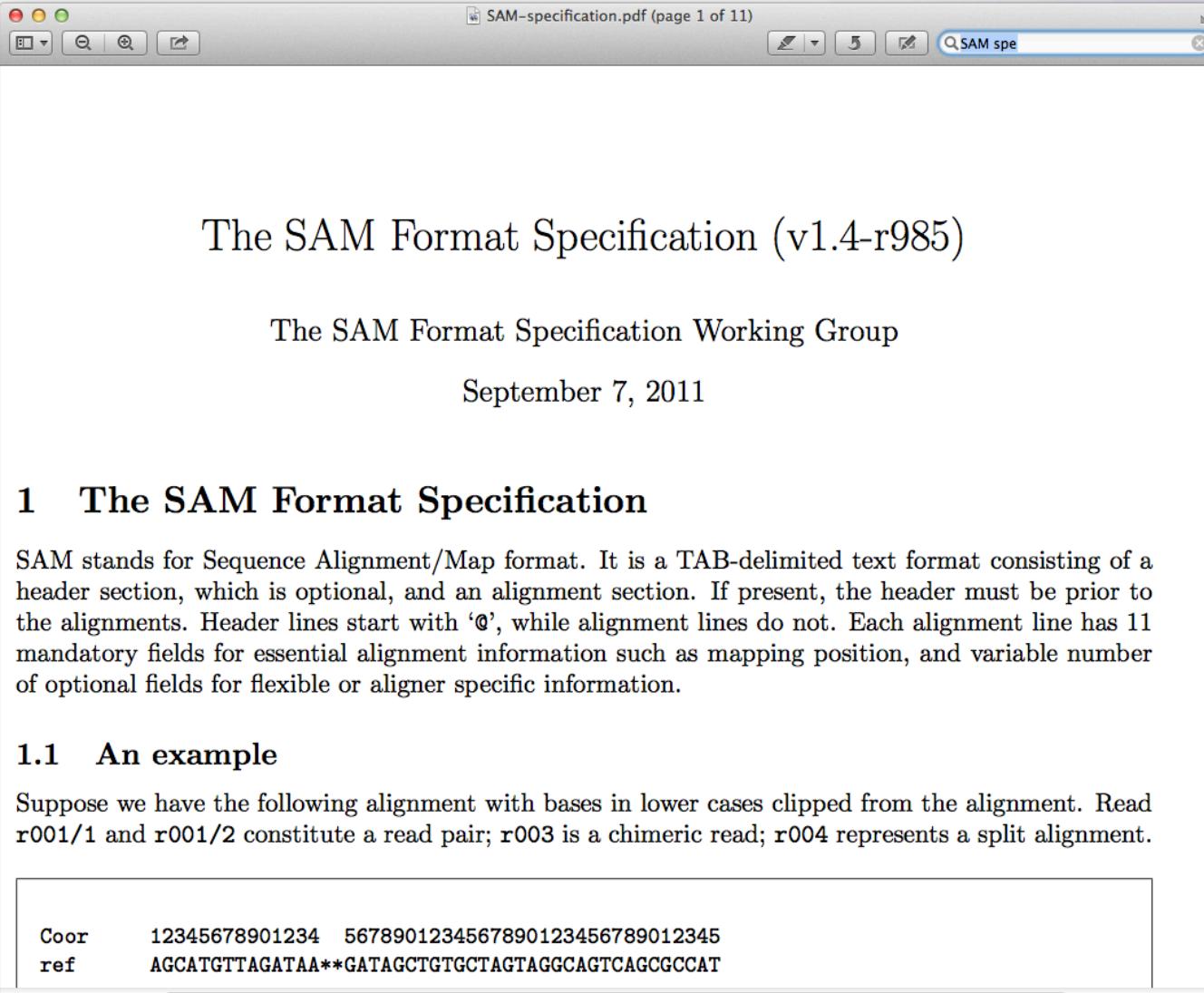
“A TAB-delimited text format consisting of a header section, which is optional, and an alignment section.”



A screenshot of a Google search results page. The search query "SAM format" is entered in the search bar. Below the search bar, there are several search filters: "Everything", "Images", "Videos", "News", "Shopping", and "More". To the right of the search bar, there is an "Advanced search" link and a blue search button with a magnifying glass icon. The search results show a list of links, with the top result being a PDF file titled "[PDF] The SAM Format Specification (v1.4-r983)". The URL for this document is samtools.sourceforge.net/SAM1.pdf. Below the link, it says "File Format: PDF/Adobe Acrobat - Quick View". The text "The SAM Format Specification (v1.4-r983). The SAM Format Specification Working Group." is also visible. At the bottom of the search results, it says "About 182,000,000 results (0.20 seconds)" and "I'm Feeling Lucky »".

# Resource: SAM specification

## 11 required column + optional fields



The SAM Format Specification (v1.4-r985)

The SAM Format Specification Working Group

September 7, 2011

## 1 The SAM Format Specification

SAM stands for Sequence Alignment/Map format. It is a TAB-delimited text format consisting of a header section, which is optional, and an alignment section. If present, the header must be prior to the alignments. Header lines start with ‘@’, while alignment lines do not. Each alignment line has 11 mandatory fields for essential alignment information such as mapping position, and variable number of optional fields for flexible or aligner specific information.

### 1.1 An example

Suppose we have the following alignment with bases in lower cases clipped from the alignment. Read **r001/1** and **r001/2** constitute a read pair; **r003** is a chimeric read; **r004** represents a split alignment.

Coor	12345678901234	5678901234567890123456789012345
ref	AGCATGTTAGATAA**GATAGCTGTGCTAGTAGGCAGTCAGCGCCAT	



# Run the tutorial example

# The structure of the SAM file

Ready

Mac-Roman Ln: 18 Col: 54

## Text

# A SAM alignment consist of 11 tab delimited columns + one optional column that in turn can contain lots of other elements

## 1.4 The alignment section: mandatory fields

Each alignment line has 11 mandatory fields. These fields always appear in the same order and must be present, but their values can be ‘0’ or ‘\*’ (depending on the field) if the corresponding information is unavailable. The following table gives an overview of the mandatory fields in the SAM format:

Col	Field	Type	Regexp/Range	Brief description
1	QNAME	String	[!-?A-~]{1,255}	Query template NAME
2	FLAG	Int	[0,2 <sup>16</sup> -1]	bitwise FLAG
3	RNAME	String	\*  [!-()+-<>-~] [!-~]*	Reference sequence NAME
4	POS	Int	[0,2 <sup>29</sup> -1]	1-based leftmost mapping POSition
5	MAPQ	Int	[0,2 <sup>8</sup> -1]	MAPping Quality
6	CIGAR	String	\*  ([0-9]+[MIDNSHPX=])+	CIGAR string
7	RNEXT	String	\* =  [!-()+-<>-~] [!-~]*	Ref. name of the mate/next segment
8	PNEXT	Int	[0,2 <sup>29</sup> -1]	Position of the mate/next segment
9	TLEN	Int	[-2 <sup>29</sup> +1,2 <sup>29</sup> -1]	observed Template LENgth
10	SEQ	String	\*  [A-Za-z.=.]+	segment SEQuence
11	QUAL	String	[!-~]+	ASCII of Phred-scaled base QUALity+33

# Column 1 and 2: QNAME and FLAG (Query name and bitwise flags)

QNAME: the name of the query sequence

2. FLAG: bitwise FLAG. Each bit is explained in the following table:

Bit	Description
0x1	template having multiple segments in sequencing
0x2	each segment properly aligned according to the aligner
0x4	segment unmapped
0x8	next segment in the template unmapped
0x10	SEQ being reverse complemented
0x20	SEQ of the next segment in the template being reversed
0x40	the first segment in the template
0x80	the last segment in the template
0x100	secondary alignment
0x200	not passing quality controls
0x400	PCR or optical duplicate

- Bit 0x4 is the only reliable place to tell whether the segment is unmapped. If 0x4 is set, no assumptions can be made about RNAME, POS, CIGAR, MAPQ, bits 0x2, 0x10 and 0x100 and the bit 0x20 of the next segment in the template.

# Column 2: FLAG

## the bitwise representation

1 = 00000001 → paired end read

2 = 00000010 → mapped as proper pair

4 = 00000100 → unmappable read

8 = 00001000 → read mate unmapped

16 = 00010000 → read mapped on reverse strand

The flag **11** → **1 + 2 + 8 = 0001011** (conditions 1, 2 and 8 satisfied)

It is used to save space – but it does make things a bit more difficult.

Usually very few flags are needed in practice – 0, 4, 16 are the most generic ones

If you need to construct a more complex flag search for explain SAM flags:

# Columns 3, 4: RNAME and POS Reference and Position

Column 4 POS: **1-based leftmost mapping POSition of the first matching base.**

Very important to remember later when we need to find the 5' end (the actual start)

# Column 5: MAPQ - Mapping Quality

- Phred score, identical to the quality measure in the fastq file. quality **Q**, probability **P**:

$$P = 10^{-Q / 10.0}$$

If **Q=30, P=1/1000** → on average, one of out 1000 alignments will be wrong

As good as this sounds it is not easy to compute such a quality.

# Details of the mapping quality computation – not easy to find good answers

- Tool specific – there is no standard of what it should be
- The repeat structure of the reference. Reads falling in repetitive regions usually get very low mapping quality.
- The base quality of the read. Low quality means the observed read sequence is possibly wrong, and wrong sequence may lead to a wrong alignment.
- The sensitivity of the alignment algorithm. The true hit is more likely to be missed by an algorithm with low sensitivity, which also causes mapping errors.
- Paired end or not. Reads mapped in pairs are more likely to be correct.

*(from the MAQ manual)*

# BWA specific high scores

A read alignment with a mapping quality 30 or above usually implies

- The overall base quality of the read is good.
- The best alignment has few mismatches.
- The read has few or just one 'good' hit on the reference, which means the current alignment is still the best even if one or two bases are actually mutations or sequencing errors.

# BWA specific low scores

Surprisingly difficult to track down the exact behavior

- $Q=0 \rightarrow$  if a read can be aligned equally well to multiple positions, BWA will randomly pick one position and give it a mapping quality zero.
- $Q=25 \rightarrow$  the edit distance equals mismatches and is greater than zero

# Column 6: CIGAR

- CIGAR = Compact Idiosyncratic Gapped Alignment Report

6. CIGAR: CIGAR string. The CIGAR operations are given in the following table (set '\*' if unavailable):

Op	BAM	Description
M	0	alignment match (can be a sequence match or mismatch)
I	1	insertion to the reference
D	2	deletion from the reference
N	3	skipped region from the reference
S	4	soft clipping (clipped sequences present in SEQ)
H	5	hard clipping (clipped sequences NOT present in SEQ)
P	6	padding (silent deletion from padded reference)
=	7	sequence match
X	8	sequence mismatch

- H can only be present as the first and/or last operation.
- S may only have H operations between them and the ends of the CIGAR string.
- For mRNA-to-genome alignment, an N operation represents an intron. For other types of alignments, the interpretation of N is not defined.

## Columns 7, 8, 9: RNEXT, PNEXT, TLEN (used in paired end read sequencing)

- **RNEXT**: the name of the pair
- **PNEXT**: the position of the pair
- **TLEN**: the distance between the leftmost positions of the pairs

These can show the position of reads that are distant – allow us to infer genomic variations

## Column 10, 11

- SEQ: the query sequence
- QUAL: the phred encoded quality sequence

SEQ may contain the original sequence or the segment it was aligned to. Not all tools do the same thing.

# Column 12 and beyond

Optional information about the alignment process that the tool was able to establish.

TAG\_NAME : TYPE : VALUE

For example: NM : i : 1

NM (number of mismatches) are an integer (i) and there was 1 mismatch in this alignment

# Session 2

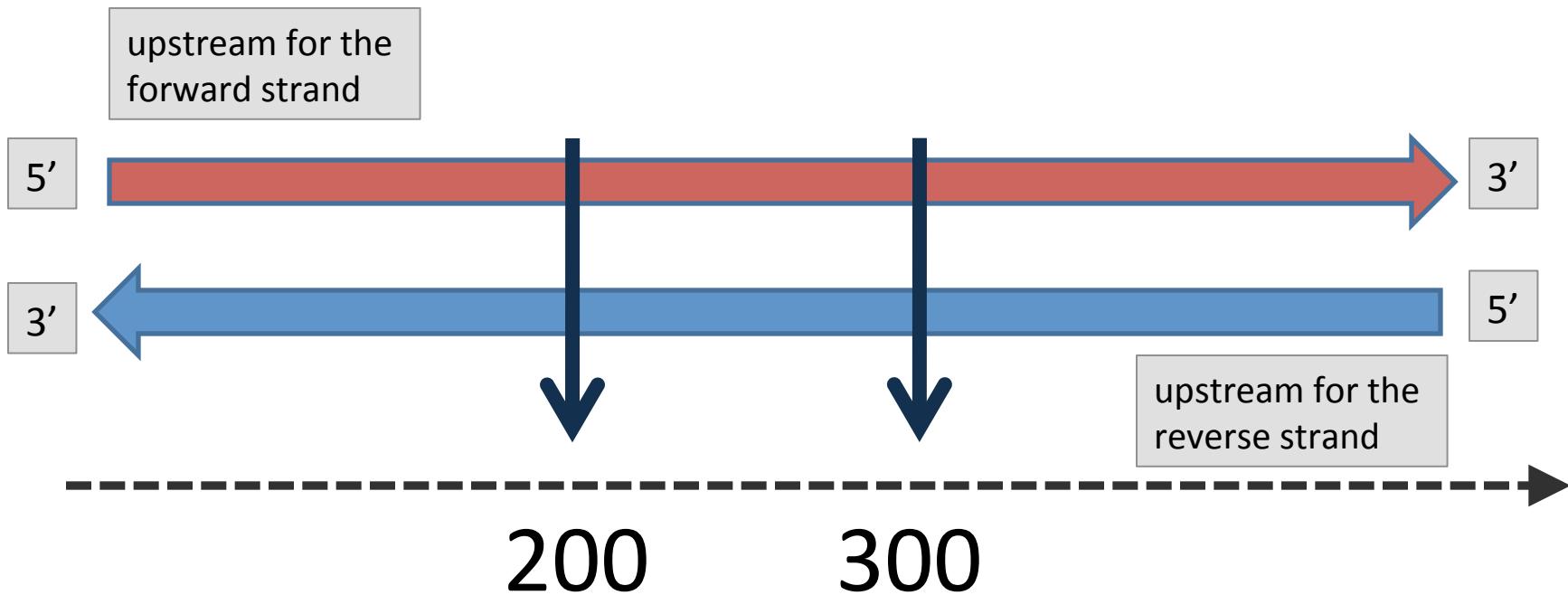
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Vote for what you think is better



# Pick one coordinate system and stick with it!

[Show All](#)[My Tags](#)[News](#)[Questions](#)[Unanswered](#)[Tutorials](#)[Tools](#)[Videos](#)[Jobs](#)

## Question: What are the most common stupid mistakes in bioinformatics?

34

While I of course never have stupid mistakes...ahem...I have many "friends" who:

1. forget to check both strands
2. generate random genomic sites without avoiding masked (NNN) gaps
3. confuse genome freezes **and even species**

but I'm  
favorite

42

I truncated many fasta files this way when trying to see which headers it contained:

```
grep > some.fasta
```

your

I also see a lot of off-by-one errors due to switching between formats

- Bed is 0 based
- GFF/GTF are 1-based

and switching between languages:

- Python and nearly every other modern language are 0-based indexing
- R is 1-based (as is Lua)

1. Pick the standard your group is using and convert every new data to this standard!
2. If you have a choice of what to use pick the one based system! (GFF).

# What is a genomic feature?

- Feature: a genomic region (interval) associated with a certain annotation (description).

Typical attributes to describe a feature

1. chromosome
2. start
3. end
4. strand
5. name

# Values on intervals

- A single value characterizes an entire interval  
→ score (value) for the interval
- Continuous values → different value for each base of the interval → analogous to a series of 1bp long intervals

Different data representation formats

<http://genome.ucsc.edu/FAQ/FAQformat.html>

The screenshot shows a Mozilla Firefox window with the title bar "UCSC Genome Bioinformatics: FAQ - Mozilla Firefox". The address bar contains the URL "http://genome.ucsc.edu/FAQ/FAQformat.html". The page content is titled "Frequently Asked Questions: Data File Formats" and lists various file formats:

- [BED format](#)
- [bigBed format](#)
- [BED detail format](#)
- [PSL format](#)
- [GFF format](#)
- [GTF format](#)
- [MAF format](#)
- [BAM format](#)
- [WIG format](#)
- [bigWig format](#)
- [Microarray format](#)
- [Chain format](#)
- [Net format](#)
- [Axt format](#)
- [.2bit format](#)
- [.nib format](#)
- [GenePred table format](#)
- [Personal Genome SNP format](#)

The browser interface includes a menu bar (File, Edit, View, History, Bookmarks, Tools, Help), a toolbar with icons for back, forward, search, and refresh, and a tab bar showing multiple open tabs.

# Two commonly used formats

- **BED** – UCSC genome browser → 0 based non inclusive → also used to display tracks in the genome browser (US “standard”)  
(variants: **bigBed**, **bedgraph**)
- **GFF** – Sanger institute in Great Britain → 1 based inclusive indexing system (“European standard”),  
(variants: **GTF**, **GFF 2.0**)

# BED format

Search for BED format

Tab separated 3 required and 9 optional columns. Lower numbered field must be filled.

- 1. chrom** (name of the chromosome, sequence id)
- 2. chromStart** (starting position on the chromosome)
- 3. chromEnd** (end position of the chromosome, **note** this base is not included!)
- 4. name** (feature name)
- 5. score** (between 0 and 1000)
- 6. strand** (+ or -)
- 7. thickStart** (the starting position at which the feature is drawn thickly)
- 8. thickEnd** (the ending position at which the feature is drawn thickly)
- 9. itemRGB** (RGB color → 255, 0, 0 display color of the data contained)
- 10. blockCount** (the number of blocks (exons) in the BED line.)
- 11. blockSizes** (a comma-separated list of the block sizes)
- 12. blockStarts** (a comma-separated list of the block starts)

# GFF format

Search for GFF3 → <http://www.sequenceontology.org/gff3.shtml>

Tab separated with 9 columns. Missing attributes may be replaced with a dot → .

- 1. Seqid** (usually chromosome)
- 2. Source** (where is the data coming from)
- 3. Type** (usually a term from the sequence ontology)
- 4. Start** (interval start relative to the seqid)
- 5. End** (interval end relative to the seqid)
- 6. Score** (the score of the feature, a floating point number)
- 7. Strand** (+ or -)
- 8. Phase** (used to indicate reading frame for coding sequences)
- 9. Attributes** (semicolon separated attributes → Name=ABC;ID=1)

# Wiggle format

- two versions → fixed step, variable step each trying to optimize the amount of data storage

```
fixedStep chrom=chr1 start=100 step=1
```

```
10
```

```
15
```

```
11
```

```
22
```

```
... ... ...
```

```
variableStep chrom=chr1
```

```
100 10
```

```
101 15
```

```
102 11
```

```
103 22
```

```
variableStep chrom=chr2
```

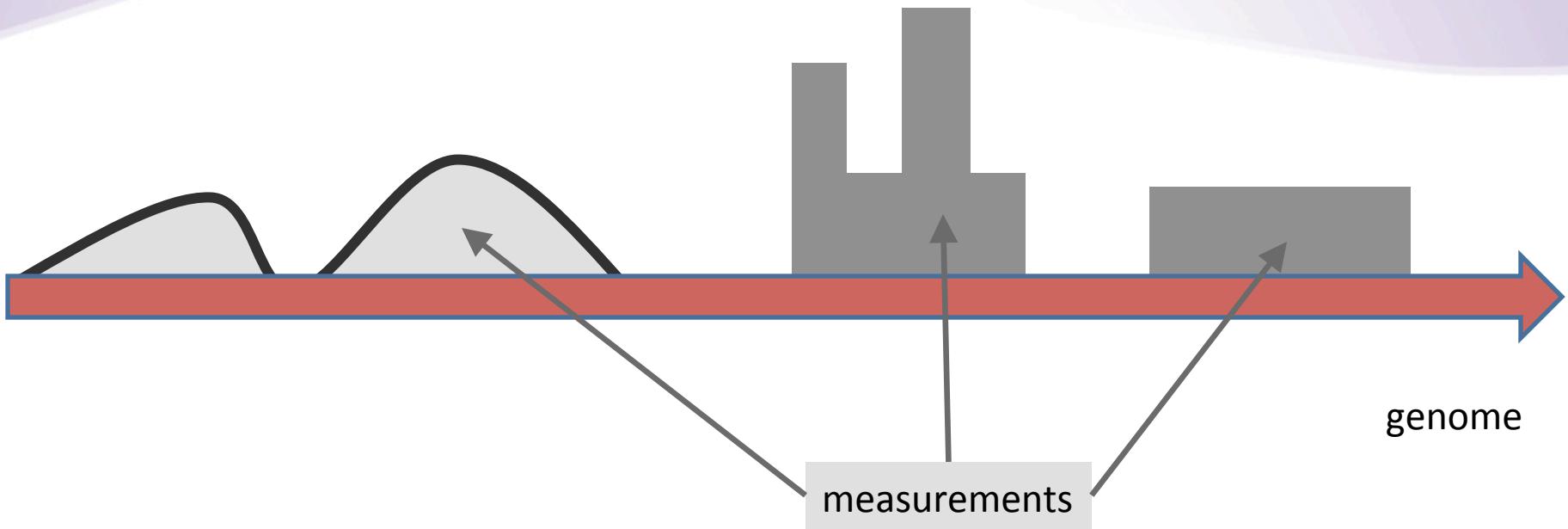
```
2000 23
```

```
2005 40
```

```
... ... ...
```

it has other parameters → can be deceiving (looks simpler than it is)

# Picking a representation depends on the data



- 1) variable step wiggle
- 2) fixed step wiggle
- 3) multiple intervals as GFF

# We may have data in different coordinate systems!

Being “**one off**” is one of the most common errors in bioinformatics.

Conversion from GFF to BED

$$(\text{start}, \text{end}) \rightarrow (\text{start} - 1, \text{end})$$

Conversion from BED to GFF

$$(\text{start}, \text{end}) \rightarrow (\text{start} + 1, \text{end})$$

**Not that there will be differences when selecting positions that depend on the END coordinate!**

# Handling coordinates relative to intervals

What are the coordinate of the base preceding  
and following the interval

GFF [start, end] → preceding base is at **start - 1**

BED [start, end) → preceding base is at **start - 1**

GFF [start, end] → next base is at **end + 1**

BED [start, end) → next base is at **end**

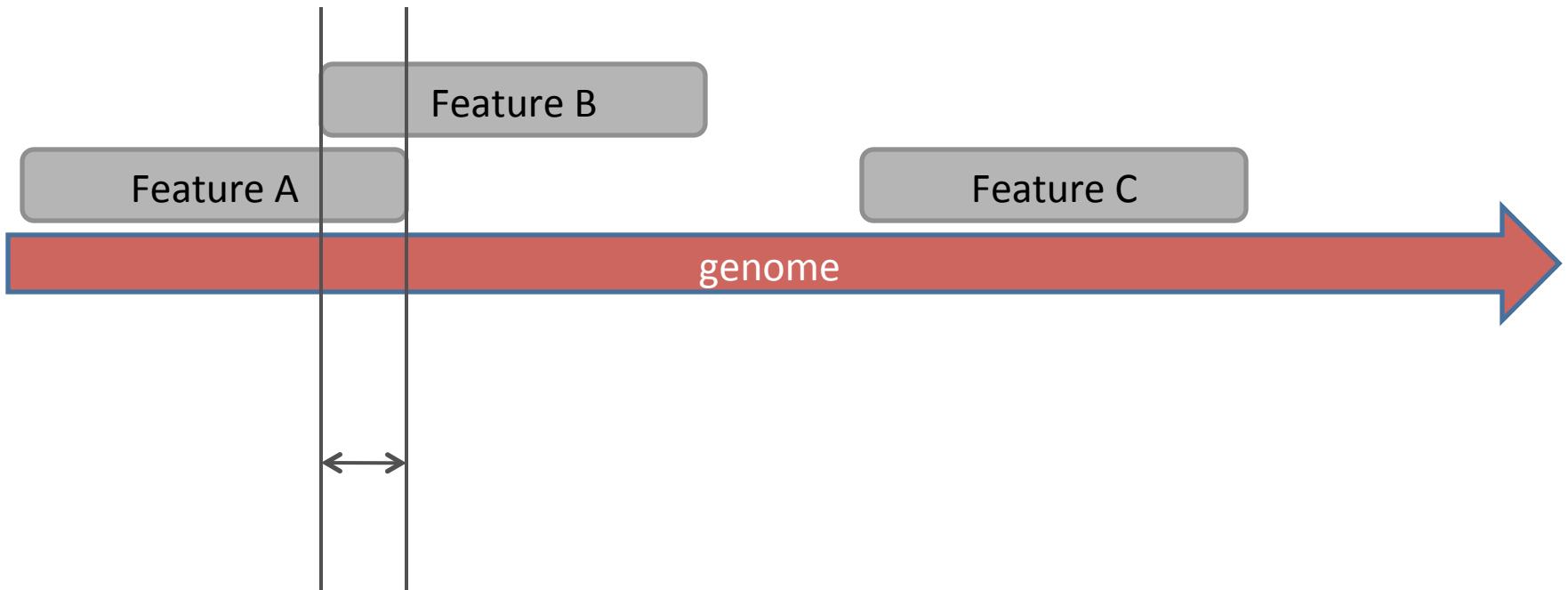
# Optimized representation formats

- **BED, GFF** → intervals with a single score, binary version **BED** → **bigBed** (binary, indexed **BED** file)
- **WIG (wiggle)** → value for each base over the genome → binary version of wiggle **bigWig** (binary indexed **WIG** file)
- **binary** → smaller file
- **indexed** → searchable (querying enabled)

bigBed/bigWig converters available from UCSC

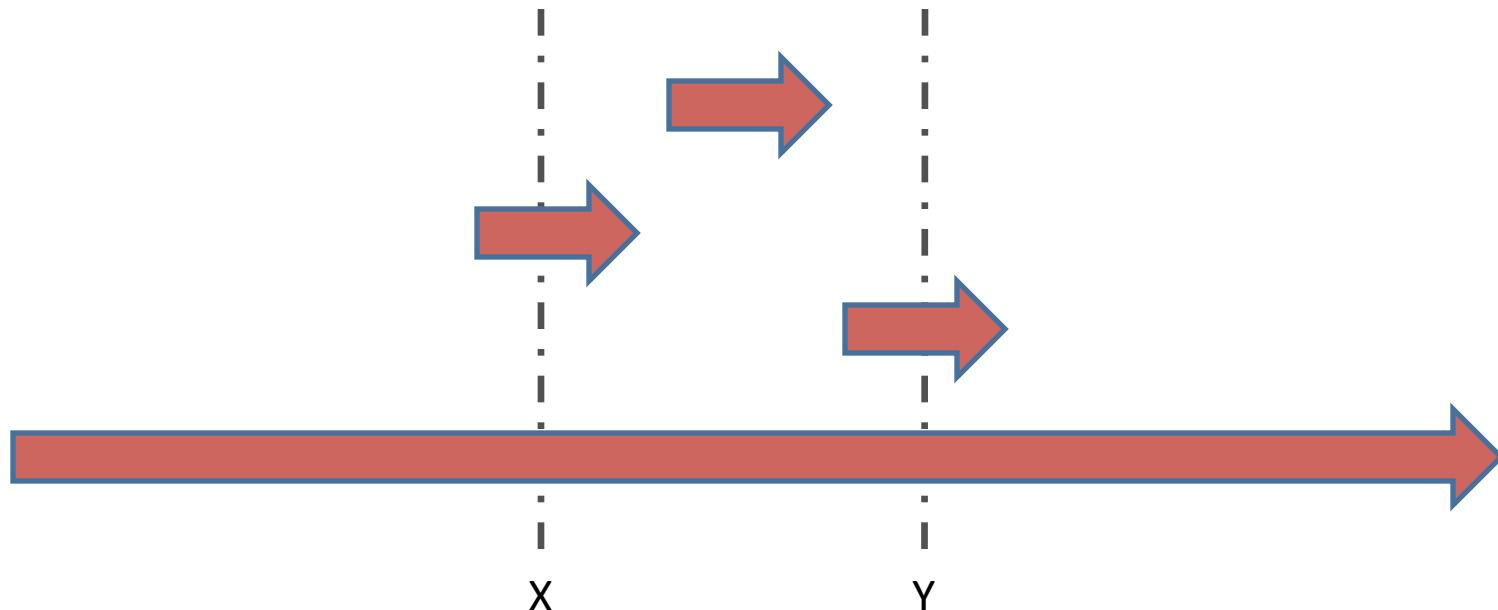
# Overlap/intersect

- Two features are said to overlap or intersect if they share at least one base in common.



# Interval overlap concepts

- Unexpectedly complex tasks as it needs to account for various types of positioning: full containment of either interval or partial overlaps



useful formulas (X,Y is the query interval):

- midpoint = (start + end) / 2**
- overlap condition: (start < Y ) and (end > X)**

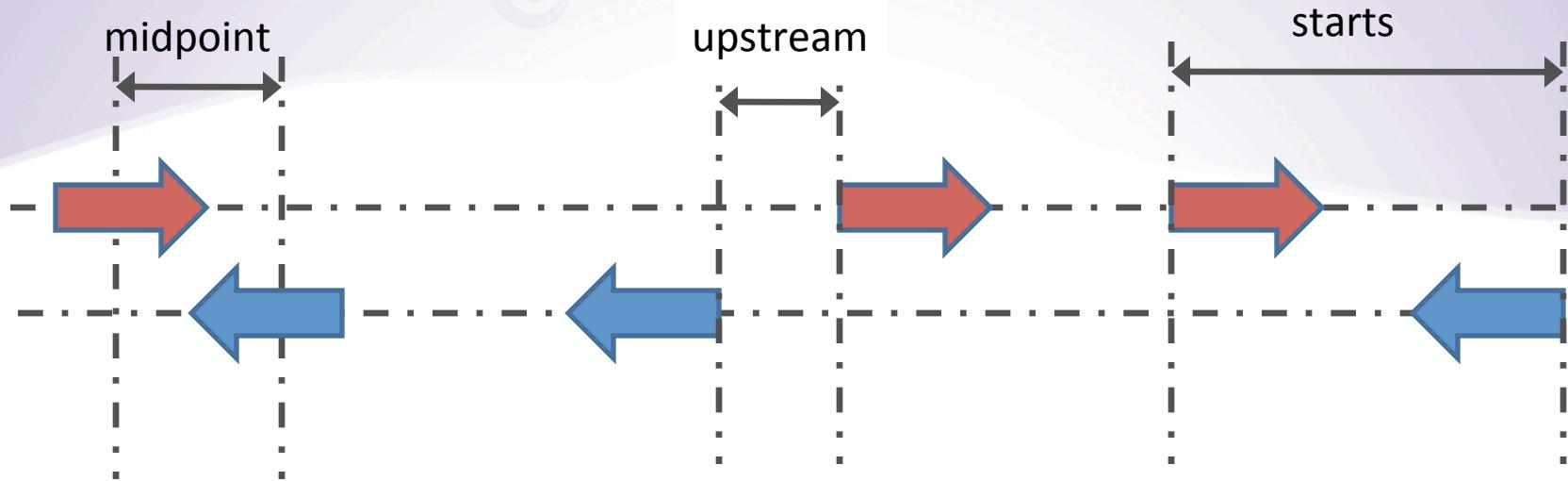
# Examples of interval related tasks

Finding intervals relative to one another

- for each feature find the intervals from another dataset that are close/overlapping with it
- for each interval on one strand find the closest on the other strand

An interval is not a point! - the question needs to be specified more precisely

# Other important details



- What are the anchor points (the locations that represent the intervals)
- Which direction does the comparison proceed – upstream, downstream?
- Often (always?) we need to create another transformed interval data that conforms to what we actually need

# Interval representation

- binning → redundantly storing data at different zoom levels - originally implemented in UCSC genome browser (also used in BAM and BedTools)
- A different option → interval tree, usually supported by programming languages
- For intervals that are very similar in size or have other constraints: a sort + binary search may also work well

# BedTools

- High performance software package that operates on multiple interval oriented data formats: BED, GFF, SAM, BAM and VCF
- Download and install bedtools

**<http://code.google.com/p/bedtools/>**

Quinlan AR and Hall IM,

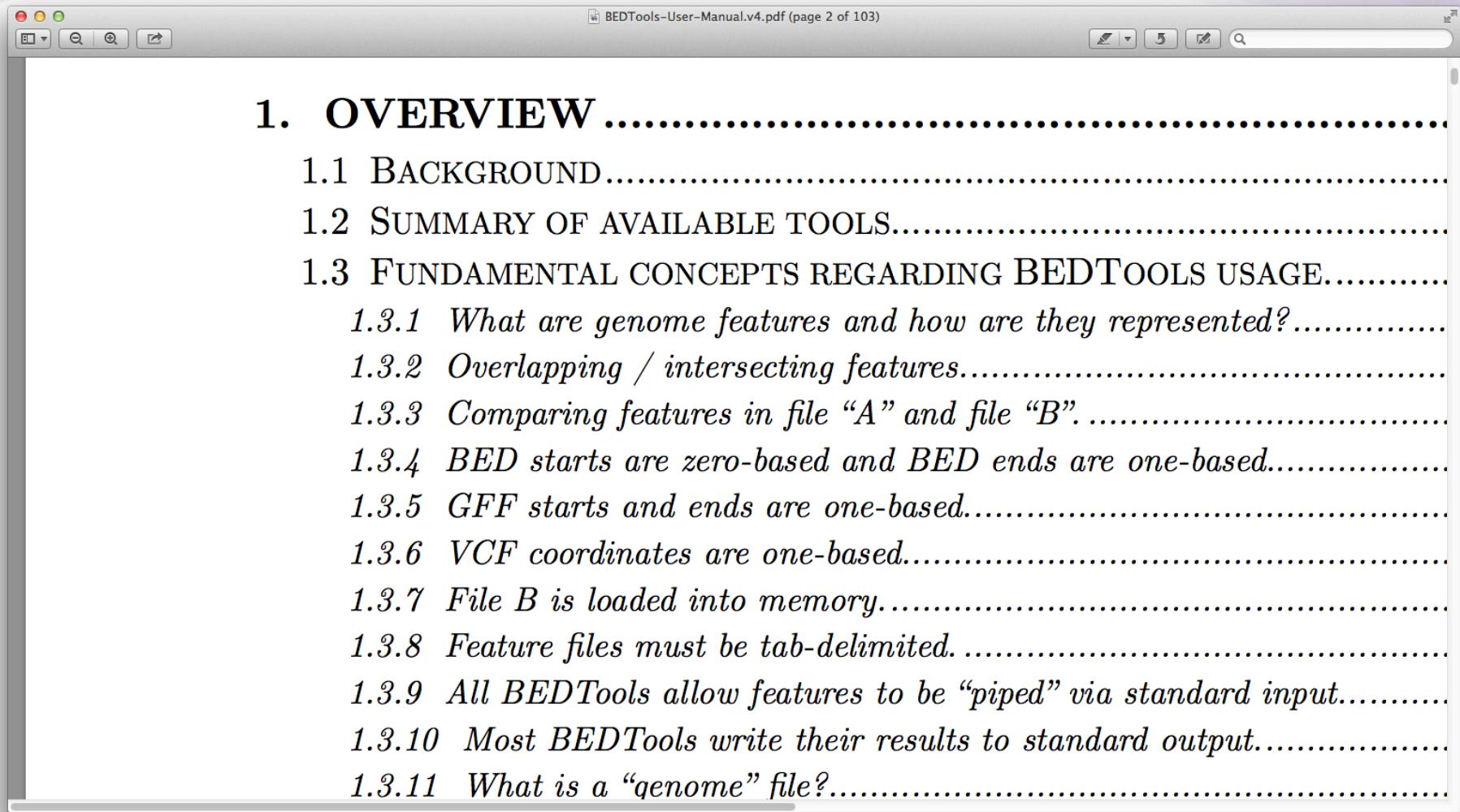
*BEDTools: a flexible suite of utilities for comparing genomic features.*

**Bioinformatics.** 26, 6, (2010)

# BedTools concepts

- There are many (25 and growing) tools with different names
- Most tools write to the standard output
- The – (minus) character specifies the standard input
- Can be chained with *pipes* like all UNIX commands
- Most tools write their help when invoked, others need –h flag
- Flag options can substantially change the output format

# BedTools has an excellent user manual



The screenshot shows a Mac OS X window titled "BEDTools-User-Manual.v4.pdf (page 2 of 103)". The window contains a table of contents for the user manual, with sections and subsections listed in a hierarchical structure.

<b>1. OVERVIEW .....</b>
1.1 BACKGROUND .....
1.2 SUMMARY OF AVAILABLE TOOLS.....
1.3 FUNDAMENTAL CONCEPTS REGARDING BEDTOOLS USAGE.....
1.3.1 <i>What are genome features and how are they represented?</i> .....
1.3.2 <i>Overlapping / intersecting features.....</i>
1.3.3 <i>Comparing features in file “A” and file “B” .....</i>
1.3.4 <i>BED starts are zero-based and BED ends are one-based.....</i>
1.3.5 <i>GFF starts and ends are one-based.....</i>
1.3.6 <i>VCF coordinates are one-based.....</i>
1.3.7 <i>File B is loaded into memory.....</i>
1.3.8 <i>Feature files must be tab-delimited. ....</i>
1.3.9 <i>All BEDTools allow features to be “piped” via standard input.....</i>
1.3.10 <i>Most BEDTools write their results to standard output.....</i>
1.3.11 <i>What is a “genome” file?.....</i>

# Basic concepts

- For any operation that requires **two files** the tools asks for file **A** and file **B**
- Each element in file **A** is matched against each element in file **B**
- File **B** is loaded into memory – try to make that the **smaller** file

# Two identical ways to invoke

- The old style mode contains a different tool for each task (the manual covers these tools):
  - **intersectBed**
  - **windowBed**
  - **closestBed**
- A new style mode that contains only one tool that takes commands like **samtools**:
  - **bedtools intersect**
  - **bedtools window**
  - **bedtools closest**

# BedTools operators

– slop (extend)



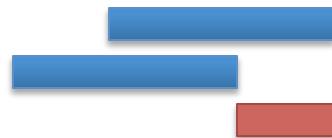
– flank



– merge



– subtract



– complement



← before  
← after

# Essential feature: Strand Awareness

- Some tools take a -l (left), -r (right) parameter that will have a different effect if the “stranded” mode is turned on
1. **default mode:** left, right are interpreted on the default coordinate system (screen)
  2. **stranded mode:** left, right are interpreted in the transcriptional direction 5' to 3'