

# Algorithmic performance, Dictionaries and Sets

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## Performance of programs: basic complexity i

Complexity is the area of computer science that studies the resources employed in computations: memory, time, disk accesses, energy or others

Typically time is measured in terms of the number of steps that have to be taken to do a task.

### **Example:**

Find an element in a list. Worst case it is necessary to go through all the elements in a list:  $N$  steps are necessary, where  $N$  is the list size.

## Performance of programs: basic complexity ii

Generally, we usually use the major asymptotes (the fastest growing) of the performance functions, that we call  $O(\text{function})$ .

Functions of interest for this context:

- *Constant time*,  $O(1)$  the time does not depend in the size of input/data to be explored;
- *Logarithmic time*,  $O(\log N)$ , the time is exponentially smaller than the size of the input;
- *Linear time*,  $O(N)$  the time necessary is exactly dependent
- *Linearithmic time*,  $O(N \log N)$ . The time is linear times logarithmic in terms of the size of the input.
- *Quadratic time*,  $O(N^2)$ . The time is quadratic in terms of the input;

## Performance of programs: basic complexity iii

- *Cubic time*,  $O(N^3)$ , the time is cubic in terms of the input.

The functions form a hierarchy:

$$O(1) < O(\log N) < O(N) < O(N \log N) < O(N^2) < O(N^2 \log N) < O(N^3)$$

Informally, the functions that have polynomial performance are considered efficient (class P), as opposed to those who have exponential performance. In practice: from  $N^2$  we are already entering the realm of inefficiency.

### Some algebra:

The complexity of a sequence of instructions is given by:

$$O(\text{instruction}_1) + O(\text{instruction}_2)$$

However only the largest one is to be considered. Example:

$$O(N \log N) + O(1) = O(N \log N)$$

# Complexity of list operations i

A list in python is actually an array, i.e. a batch of positions in memory

Element 0	Element 1	Element 3	...	Element N
Element 0+N	Element 1+N	Element 2+N	...	Element N+N
...	...	...	...	...
Element 0+ (N.(N-1))	Element 1+ (N.(N-1))	Element 2+ (N.(N-1))	...	Element N.N

What is the complexity of operations such as append, pop last, get item (elem = l [index]), set item (l [index] = elem), get length?

Operation	Average Case	Amortized Worst Case
Append	$O(1)$	$O(1)$
Pop last	$O(1)$	$O(1)$
Get Item	$O(1)$	$O(1)$
Set Item	$O(1)$	$O(1)$
Get Length	$O(1)$	$O(1)$

## Complexity of list operations ii

What about insert in the middle of a list, delete an item from the middle?

Operation	Average Case	Amortized Worst Case
x in s	$O(n)$	
Insert	$O(n)$	$O(n)$
Delete Item	$O(n)$	$O(n)$
Pop intermediate	$O(n)$	$O(n)$
Iterate	$O(n)$	$O(n)$

As a final conclusion lists are fast in some operations, but very slow in several other ones.

### Eliminate repeated elements (elegant solution)

```
l = [9, 5, 4, 6, 7, 8, 8, 9, 7, 7, 1, 2, 3]
```

```
# Elegant solution
```

```
lr = []
```

```
for i in l:
```

```
    if i not in lr:
```

```
        lr.append(i)
```



## Complexity of algorithms using lists ii

What about when using the sort to put the repeated elements together?

```
l.sort ()# This has a cost of  $O(N\log N)$   
i = 0  
j = 0  
while j < len (l):  
    i = j  
    while i + 1 < len (l) and l [i] == l [i+1]:  
        l.pop (i+1)  
    j = j + 1
```

Can we do better, say  $N$  for instance?

# Dictionaries

A dictionary is a fundamental data structure in python that is able to associate keys and values, i.e. values are indexed by keys.

## **Lists vs Dictionaries**

Imagine that we need to store elements of the type (key, value).

### **With a list:**

#### **# To store an element**

```
» l = []  
» key_value = (key, value)  
» l.append(key_value)
```

#### **# To retrieve an element by key**

```
» for i in l:  
»     if i[0] == key:  
»         return i
```

## With a dictionary:

### # To store an element

```
» key_value = (key, value)
» d = dict ()
» d [key] = (key, value)
```

### # To retrieve an element

```
» ...
» return d [key]
```

Besides more simple programmatically, dictionaries have much better performance.: **constant** time for both **store** and **retrieval**, in dictionaries while **store** is **constant** for lists, but **linear (N)** for **retrieval**.

## How is this possible?

## ... by the use of an hash function to index elements

N = 20

dict = list (range (N))

```
def hash (name):
```

```
    hash = 0
```

```
    for i in name:
```

```
        hash += ord (i)
```

```
    return hash % N
```

```
def save (key, value):
```

```
    dict [hash (key)] = value
```

```
def retrieve (key):
```

```
    return dict (hash (key))
```

Actually this implementation has the problem of not admitting more than one element whose key originates the same hash. A better implementation is supplied.

**A small exercise:** study the behavior of a dictionary according to the different values involved, namely, size of base list and hash function.



## Python dictionaries

### Create an empty dictionary

```
» dict = {}
```

### Change or create a key

```
» dict[key] = value
```

Keys can be any immutable object in python: tuples or strings, numbers.

### Example:

```
» dict = {}
```

```
» tuple = ("Wonder", 1, 2, 3)
```

```
» dict[tuple] = "Some statement" # This will work
```

```
» l = ["Wonder", 1, 2,3]
```

```
» dict[l] = "Another statement"# This will fail
```

## **Other things that can be done with dictionaries**

`dict.keys()` - Access all keys in a dictionary

`dict.values ()` - Access all values in a dictionary

Both the keys and values cannot be indexed, but can be iterated.

## Complexity of dictionary actions

Operation	Average Case	Amortized Worst Case
<code>k in d</code>	$O(1)$	$O(n)$
<code>Copy[3]</code>	$O(n)$	$O(n)$
<code>Get Item</code>	$O(1)$	$O(n)$
<code>Set Item[1]</code>	$O(1)$	$O(n)$
<code>Delete Item</code>	$O(1)$	$O(n)$
<code>Iteration[3]</code>	$O(n)$	$O(n)$

# Sets

**Definition from maths:** a collection of distinct, well-defined objects forming a group (Zermelo-Frankel set theory ((**ZFC**)))

**Definition from python:** an ordered collection of non-repeated elements.

Designed to be spetially effective in set operations:

- Existence;
- Intersection;
- Union;
- Difference;
- Symmetric difference.

# Set creation i

## Empty set

```
» s = set()
```

```
» s
```

```
{}
```

```
» s = {}
```

```
» s
```

```
{}
```

## Set with elements

```
» s = {12, 13, 14, 15}
```

```
» s
```

```
{12, 13, 14, 15}
```

## Set creation ii

A set can contain any type of **hashable** elements

```
» s = {"R", "C", "A"}
```

```
» s = {"R", 13, "D", 12}
```

Cannot have **non-hashable** elements:

```
» s = {{1,2,3}, {4,5,6}}
```

```
(...)
```

```
TypeError: unhashable type: 'set'
```

## Set creation iii

One can also build a set from a list or tuple:

```
» S = set(("A", 12, 13))
```

```
» S
```

```
{"A", 12, 13}
```

```
» S = set([12, 13, 14, 14])
```

```
» S
```

```
{12, 13, 14}
```

A set does not have repeated elements! Repeated elements are automatically ignored.



## Basic manipulation of sets i

Know the size of the set: function **len**

» `len ({12, 13, 14})`

3

See an element is part of a set: the operator **in**

» `12 in {12, 13, 14}`

True

## Basic manipulation of sets ii

Add elements to a set

» **s.add("razin")**

Remove an element from a set

» **s.remove("razin")**

## Basic manipulation of sets iii

A set does not have an order: it is not a sequence. So it cannot be iterated by a while statement:

```
» s
{12, 13, 14, 15, 16}
» i = 0
» while i < 4:
»     print (s [i])
....
TypeError: 'set' object is not subscriptable
```

However this can be done with a for instruction

```
» for i in s:  
»     print (i)
```

16

12

13

14

15

## Intersection

» **s.intersection (d)**

{13}

» **s & d**

{13}

## Union

» **s.union (d)**

{10, 11, 12, 13, 14, 15, 16}

» **s | d**

{10, 11, 12, 13, 14, 15, 16}

## Difference

» **s.difference (d)**

{10, 11, 12}

» **s - d**

{10, 11, 12}

This operator is not symmetric!  $s - d \neq d - s$

**Symmetric difference** ( $\equiv s - d \mid d - s$ )

» **s.symmetric\_difference (d)**

{10, 11, 12, 14, 15, 16}

## Set operations iii

Operation	Average Case	Amortized Case	Worst Case
$x \in s$	$O(1)$	$O(n)$	
<b>Union</b> ( $s t$ )	$O(\text{len}(s)+\text{len}(t))$		
<b>Intersection</b> ( $s \cap t$ )	$O(\min(\text{len}(s), \text{len}(t)))$	$O(\text{len}(s) * \text{len}(t))$	
<b>Difference</b> ( $s-t$ )	$O(\text{len}(s))$	$O(\text{len}(t))$	
<b>Symmetric difference</b> ( $s - t$ )	$O(\text{len}(s))$	$O(\text{len}(s) * \text{len}(t))$	

## Exercises

**Exercise 1.** Make a program to eliminate repeated elements from a list, using sets. What's the complexity of the solution?

**Exercise 2.** Given a list of entities and friendships, identify communities.



**Questions?**