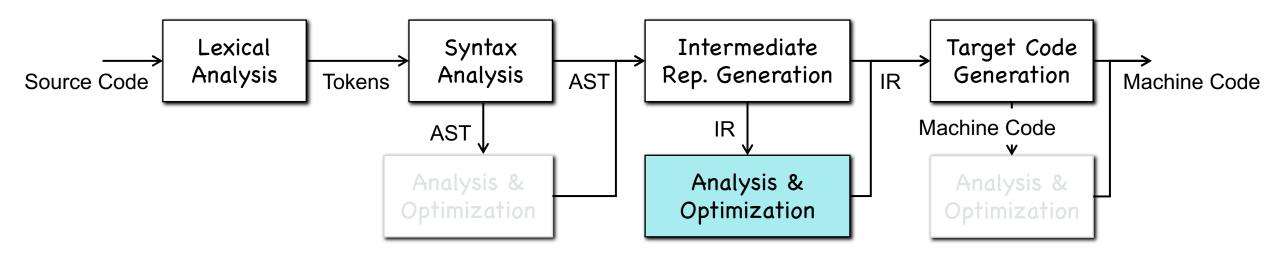
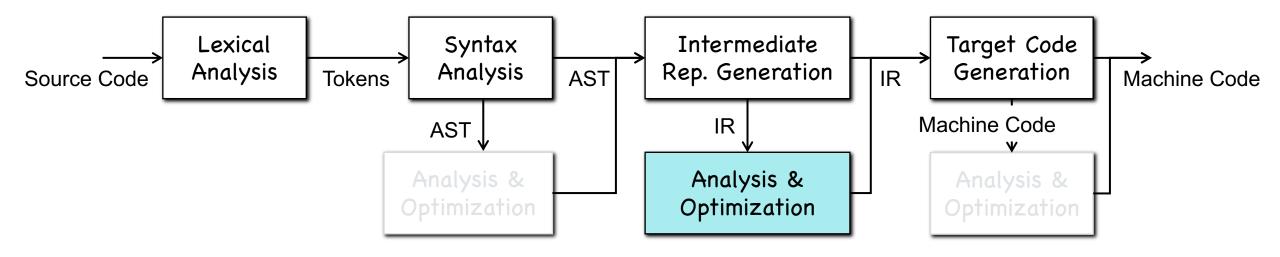
# Chapter 12-2 Pointer Alias Analysis





Why pointer analysis?

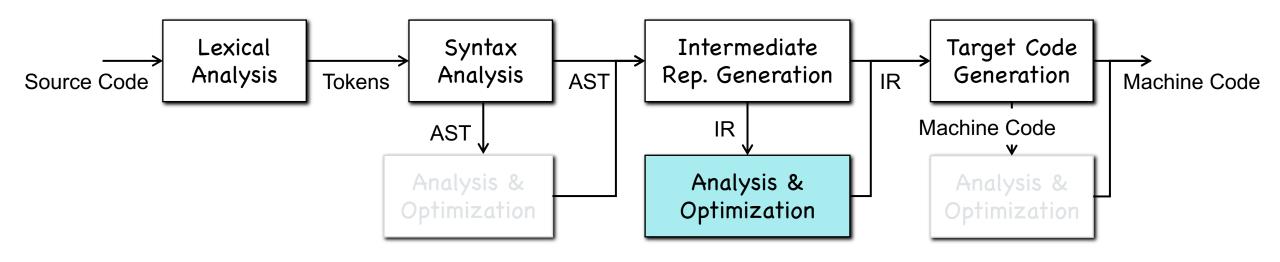




Why pointer analysis?







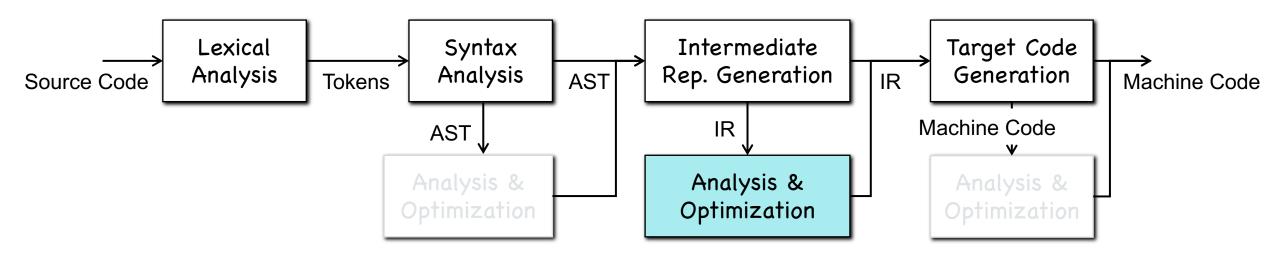
Why pointer analysis?

$$*p = a; ...; b = *q; // does b data-depend on a?$$

Pointer analysis is the most fundamental program analysis!







Why pointer analysis?

$$*p = a; ...; b = *q; // does b data-depend on a?$$

Pointer analysis is the most fundamental program analysis!

- Flow-sensitivity
- Context-sensitivity
- Path-sensitivity
- Field-sensitivity



- Aliases: two expressions that denote the same memory location
- Aliases are introduced by
  - references/pointers
  - function call
  - array indexing
  - C unions
  - ...



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int x,y;
int *p = &x;
int *q = &y;
int *r = p;
int *rs = &q;
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• Improving the precision of <u>compiler optimizations</u> that require knowing what is modified or referenced



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```
x = 3;
*p = 4;
y = x; // can constant 3 propagate here
```

**Constant Propagation** 



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x = 3;
*p = 4;
y = x; // can constant 3 propagate here
```

**Constant Propagation** 

```
t = a + b;
*p = t
y = a + b; // is a + b available?
```

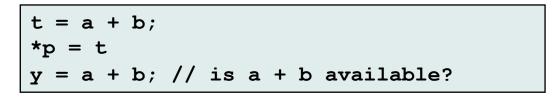
**Available Expression** 



 Improving the precision of <u>compiler optimizations</u> that require knowing what is modified or referenced

```
x = 3;
*p = 4;
y = x; // can constant 3 propagate here
```

**Constant Propagation** 



**Available Expression** 

Error detection

```
x.lock();
...
y.unlock(); // same object as x?
```



# **PART I: Pointer Alias Analysis**



May- (Must-) analysis checks if two expressions may (must) denote the same memory location.



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- May analysis Must-not analysis
  - If a *may-analysis* says 'no', it means two expressions must not denote the same memory location.
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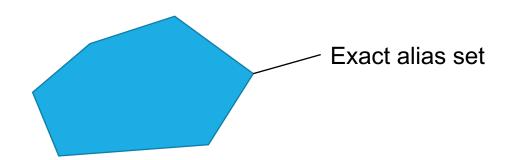
```
t = a + b;
*p = t
y = a + b; // a + b is available?
```



- Sound/Complete or Over-approximation/Under-approximation
- May analysis provides a sound (or over-approximated) alias set

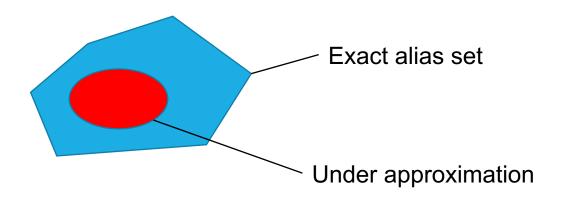


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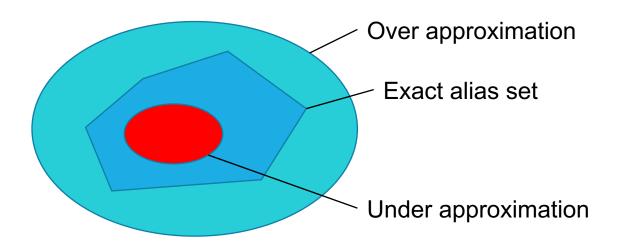


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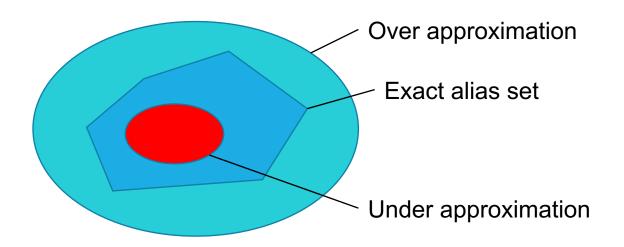


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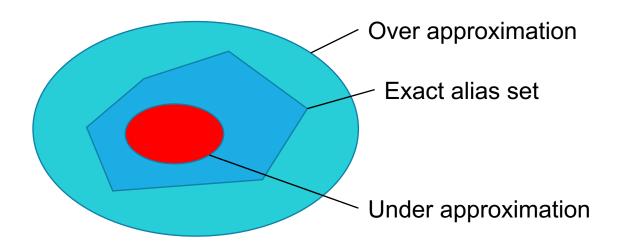
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The simplest sound alias analysis is to return true for all may-alias queries



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- May analysis provides a sound (or over-approximated) alias set



The simplest sound alias analysis is to return true for all may-alias queries

Tradeoff between <u>soundness</u> and <u>precision</u> (i.e., completeness)



Flow-sensitive pointer analysis computes for each program
 point what memory locations a pointer expression may refer to



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  - [1] Falcon: A Fused Approach to Path-Sensitive Data Dependence Analysis
    Peisen Yao, Jinguo Zhou, Xiao Xiao, Qingkai Shi, Rongxin Wu, and Charles Zhang, PLDI 2024.
  - [2] Value-Flow-Based Demand-Driven Pointer Analysis for C and C++ Yulei Sui and Jingling Xue, IEEE Transactions on Software Engineering 2018.



Flow-insensitive pointer analyses for whole program analyses

#### **Andersen's Algorithm**

Presented by Andersen in 1994 Nearly cubic complexity

#### **Steensgaard's Algorithm**

Published in POPL 1996 Almost linear,  $O(n\alpha(n))$ 



## **A Small Pointer Language**

- y = &x (address-taken)
- y = x (assignment)
- \*y = x (store statement)
- y = \*x (load statement)



# A Small Pointer Language

- y = &x (address-taken)
- y = x (assignment)
- \*y = x (store statement)
- y = \*x (load statement)
- pts(x) --- the points-to set of x
  - pts(x) = { y, z } --- x may point to either y or z



Statement	Constraint	Shorthand
y = &x		
y = x		
*y = x		
y = *x		



Statement	Constraint	Shorthand
y = &x	$pts(y) \supseteq \{x\}$	
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y = &x	$pts(y) \supseteq \{x\}$	
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y = &x	$pts(y) \supseteq \{x\}$	
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```
p = &a;
q = p;
p = &b;
r = p;
```



Statement	Constraint	Shorthand
y = &x	$pts(y) \supseteq \{x\}$	
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p = &a;
q = p;
p = &b;
r = p;
```



```
pts(p) ⊇ { a };
pts(q) ⊇ pts(p);
pts(p) ⊇ { b };
pts(r) ⊇ pts(p);
```



Statement	Constraint	Shorthand
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q = p;
p = &b;
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```
pts(p) ⊇ { a };
pts(q) ⊇ pts(p);
pts(p) ⊇ { b };
pts(r) ⊇ pts(p);
```

```
pts(p) = { a, b };
```



Statement	Constraint	Shorthand
y = &x	$pts(y) \supseteq \{x\}$	
y = x	$pts(y) \supseteq pts(x)$	
*y = x	$\forall v \in pts(y): pts(v) \supseteq pts(x)$	$pts(*y) \supseteq pts(x)$
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pts(p) = { a, b };
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```



```
pts(p) = { a, b };
pts(q) = { a, b };
pts(r) = { a, b };
pts(a) = pts(b) = {};
```



#### **Inclusion-based pointer analysis**

Statement	Constraint	Shorthand
y = &x	$pts(y) \supseteq \{x\}$	
y = x	$pts(y) \supseteq pts(x)$	
*y = x	$\forall v \in pts(y): pts(v) \supseteq pts(x)$	$pts(*y) \supseteq pts(x)$
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pts(p) ⊇ { a };
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Over-approximation! Sound result!



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- Each graph node x denotes the points-to set pts(x)
- Solving the set constraints via a dynamic transitive closure



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```
p = &a;
q = &b;
*p = q;
r = &c;
s = p;
t = *p;
*s = r;
```



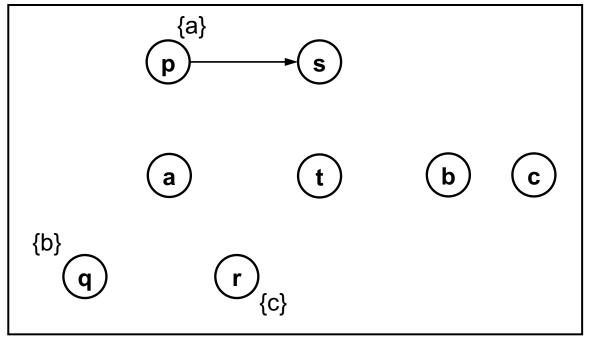
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```
p = &a;
q = &b;
type = q;
r = &c;
s = p;
type = q;
```



- Each graph node x denotes the points-to set pts(x)
- Solving the set constraints via a dynamic transitive closure

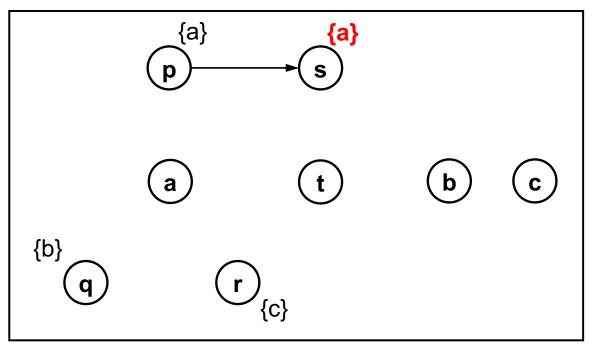
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p = &a;
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type = q;
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- Solving the set constraints via a dynamic transitive closure

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p = &a;
q = &b;
type = q;
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s = p;
type = q;
```





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- Solving the set constraints via a dynamic transitive closure

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p = &a;

q = &b;

*p = q;

r = &c;

s = p;

t = *p;

*s = r;

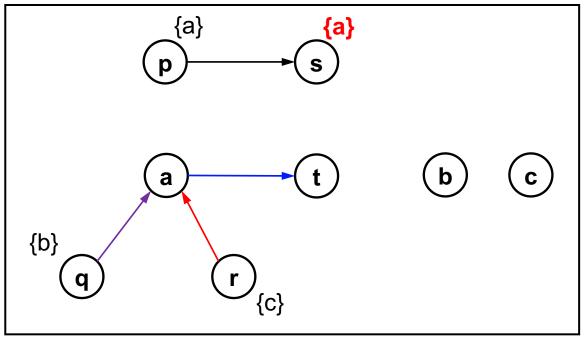
pts(*p) ⊇ pts(q)

...

pts(t) ⊇ pts(*p)

pts(*p)

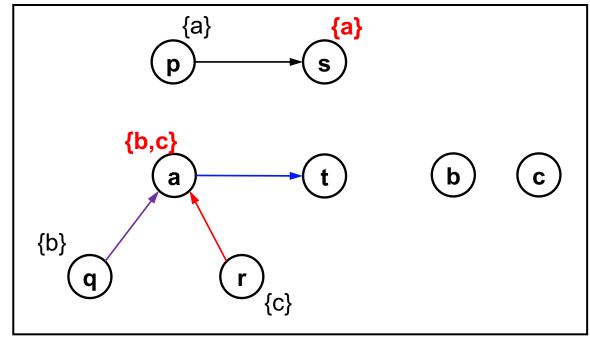
pts(*p) ≥ pts(*p)
```





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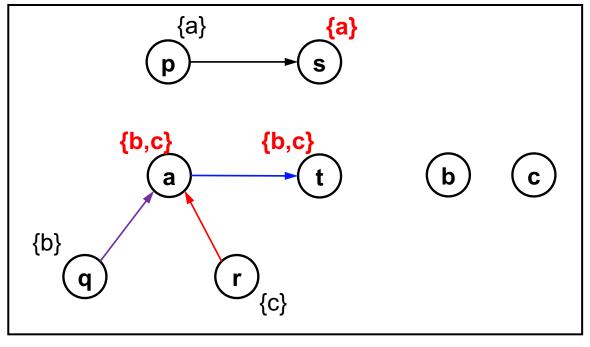
```
p = &a;
q = &b;
*p = q;
r = &c;
s = p;
t = *p;
*s = r;
...
pts(*p) ⊇ pts(q)
...
pts(t) ⊇ pts(*p)
pts(*s) ⊇ pts(*p)
pts(*s) ⊇ pts(r)
```





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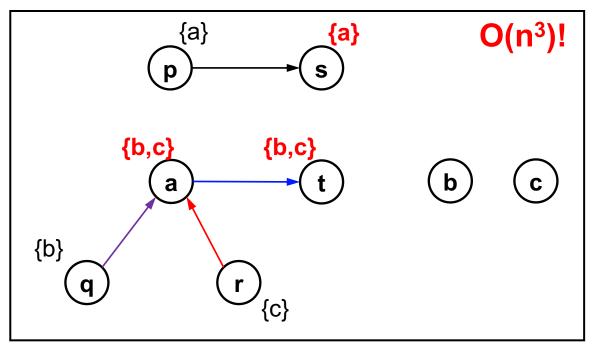
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p = &a;
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r = &c;
s = p;
t = *p;
*s = r;
...
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pts(*s) ⊇ pts(*p)
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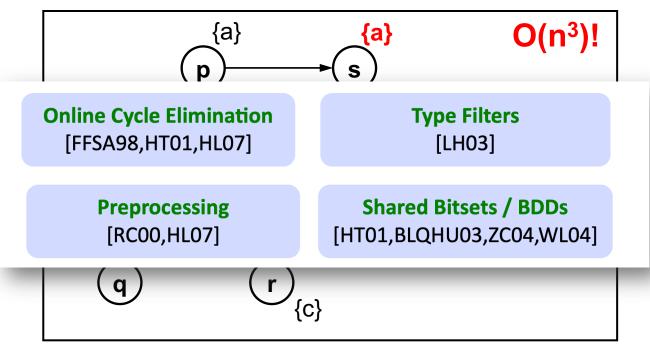
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```





#### Inclusion-based pointer analysis (Andersen's Algorithm)

Statement	Constraint	Shorthand
y = &x	$pts(y) \supseteq \{x\}$	
y = x	$pts(y) \supseteq pts(x)$	
*y = x	$\forall v \in pts(y): pts(v) \supseteq pts(x)$	pts(*y) ⊇ pts(x)
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#### Unification-based pointer analysis (Steensgaard's Algorithm)

Statement	Constraint	Shorthand
y = &x	$pts(y) \supseteq \{x\}$	
y = x	pts(y) = pts(x)	
*y = x	$\forall v \in pts(y): pts(v) = pts(x)$	pts(*y) = pts(x)
y = *x	$\forall v \in pts(x)$ : $pts(y) = pts(v)$	pts(y) = pts(*x)



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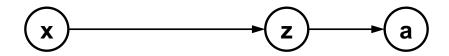
• Points-to graph:  $x \rightarrow y$  means  $y \in pts(x)$ .

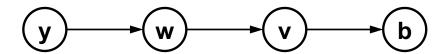


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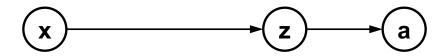
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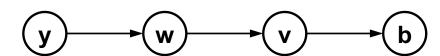






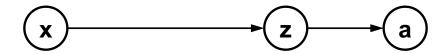
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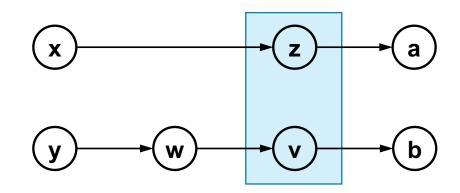


$$x = *y$$

$$pts(x) = pts(*y)$$



• Points-to graph:  $x \rightarrow y$  means  $y \in pts(x)$ .

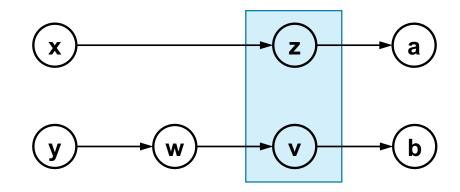


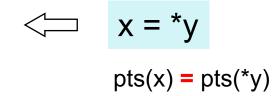
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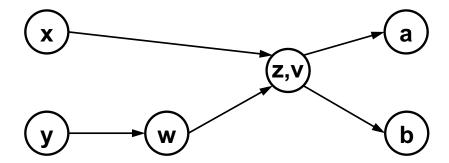
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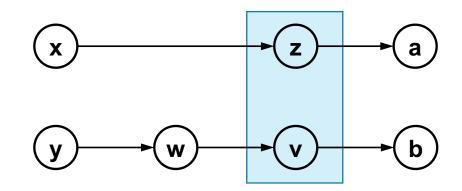


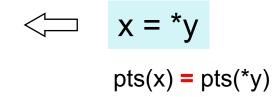


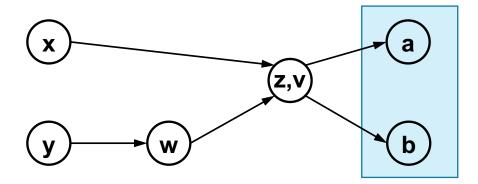




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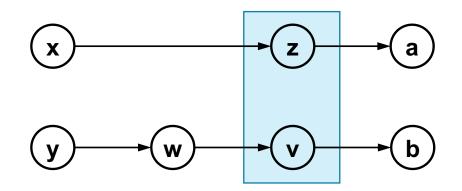


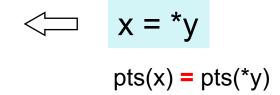


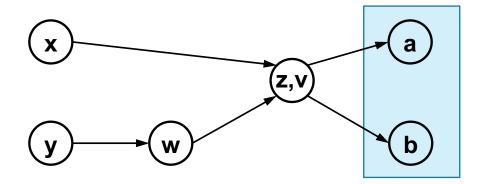


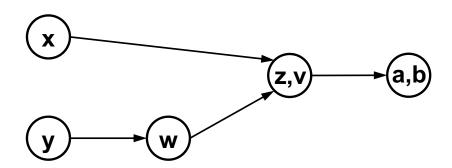


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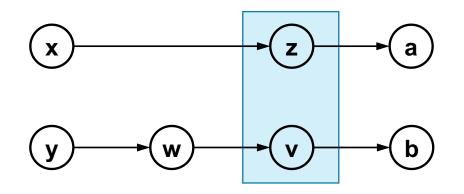


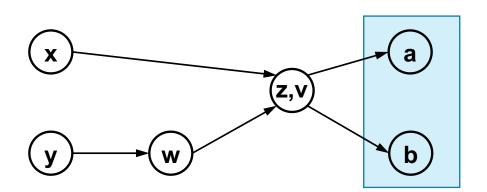


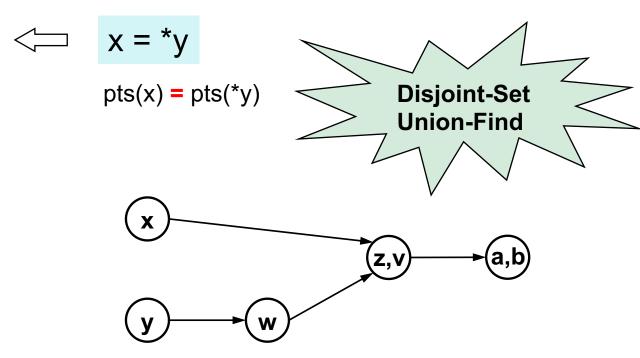




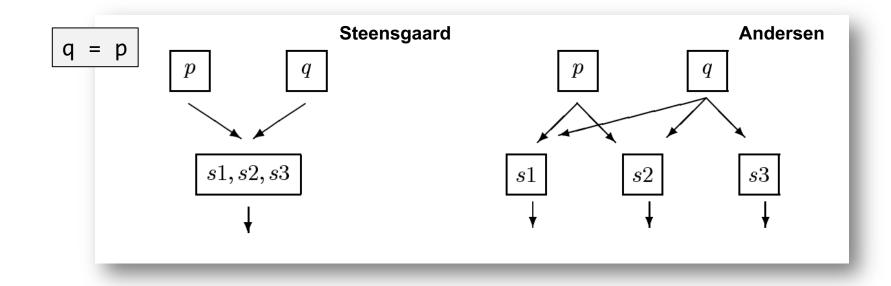
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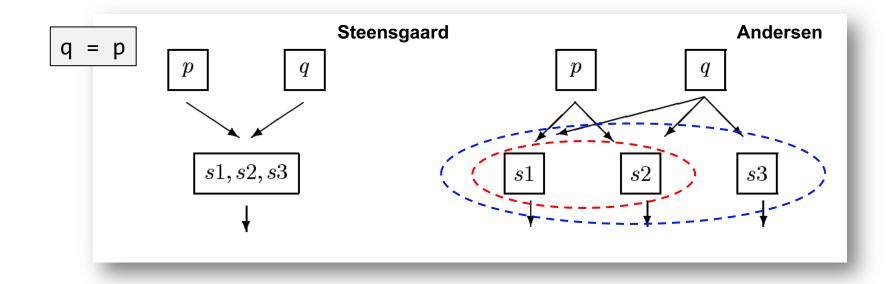




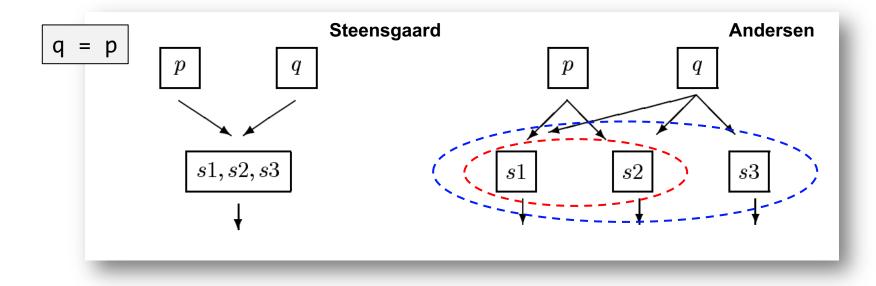












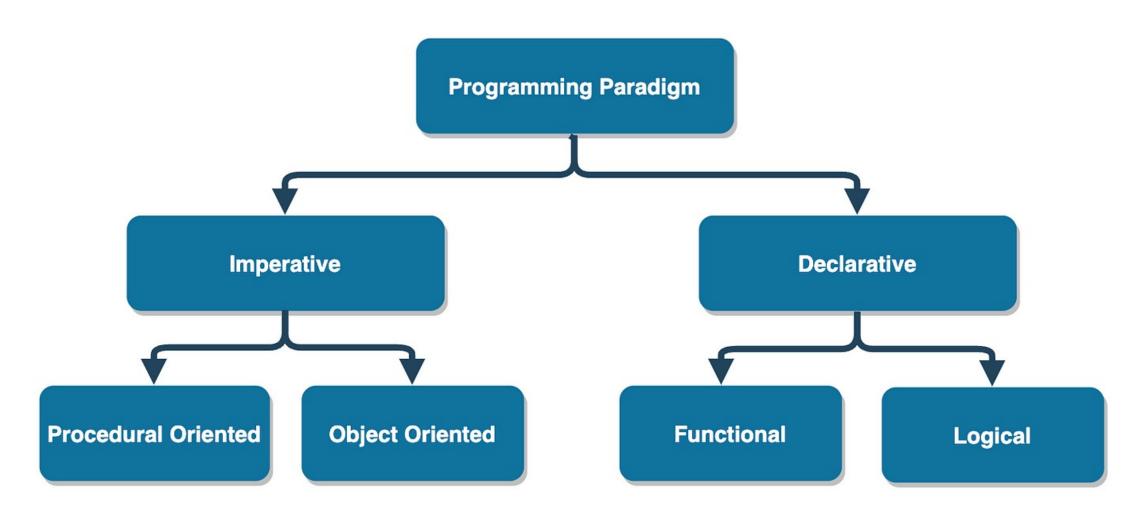
Steensgaard	Andersen
Sound	Sound
Almost linear (more efficient)	Nearly cubic
Unification-based (less precise)	Inclusion-based



#### PART IV: Datalog-Based DFA

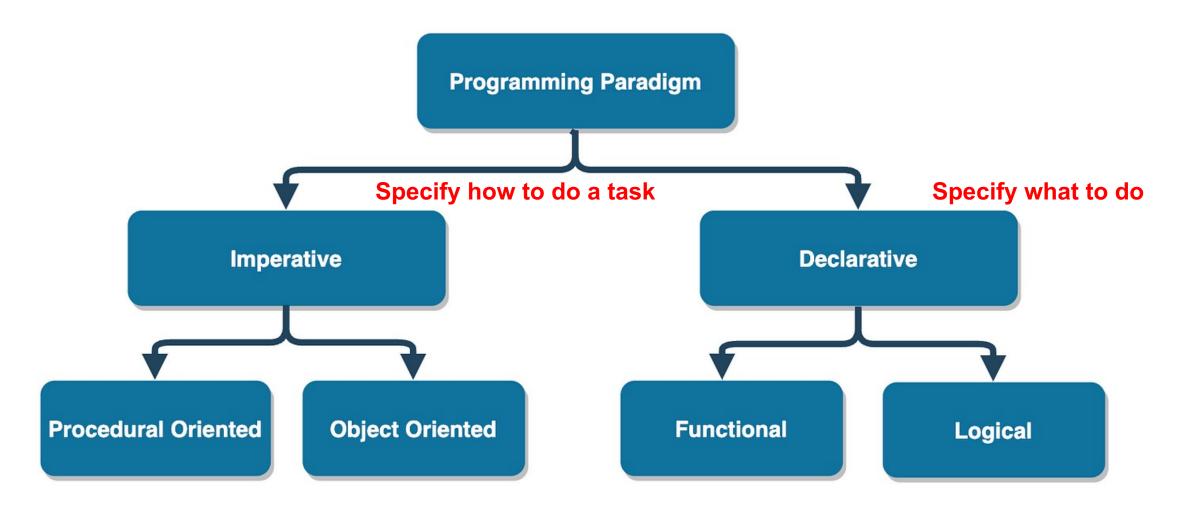


# Recap: Programming Paradigm



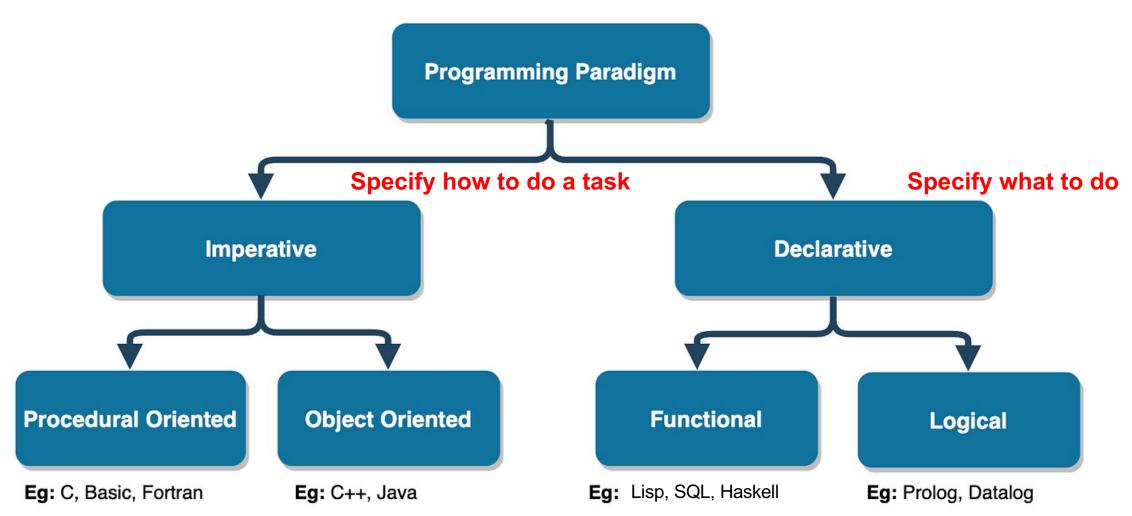


#### Recap: Programming Paradigm





## Recap: Programming Paradigm





- Logic programming
  - In a broad sense: the use of mathematical logic for programming



- Logic programming
  - In a broad sense: the use of mathematical logic for programming
- Prolog (1972)
  - Use logical rules to specify how mathematical relations are computed
  - A prolog program is a database of logical rules



- Example:
  - Nanjing is rainy
  - Beijing is rainy
  - Beijing is cold
  - If a city is both rainy and cold, then it is snowy



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Query: which city is snowy



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**Facts** 



- Query: which city is snowy
- Search for solutions based on facts and rules



- Expert systems
- Natural language processing
- Theorem provers
- Reasoning about safety a security
- Program analysis/Compiler optimization



#### What is Datalog?

- Datalog is a subset of Prolog
  - All Datalog programs terminate
  - Ordering of rules does not matter
  - Not Turing complete



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  - .decl rainy (c:symbol)
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  - .decl snowy(c:symbol)
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- rainy("Nanjing")
- rainy("Beijing")
- cold("Beijing")
- snowy(c):-rainy(c), cold(c)



#### Predicate/Atom

- Predicates are N-ary relations
  - predicate(x, y, z)
- Examples
  - rainy("Nanjing")
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- Predicates are N-ary relations
  - predicate(x, y, z)
- Examples
  - rainy("Nanjing")
  - rainy("Beijing")
  - cold("Beijing")
  - brother(x, y) -- x is y's brother
  - speaks(x, a) -- x speaks language a



- A Datalog program is a database of Horn clauses
  - h is true if the assumptions  $l_1 l_2 \dots l_n$  are simultaneously true
  - if --- a sufficient but not necessary condition

$$h : - 1_1 1_2 ... 1_n$$



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- Anything not declared is not true
- Ordering of rules does not matter for results (Prolog vs. Datalog)
- Rules may be recursive
  - reachable(a, b) :- edge(a, b);
  - reachable(a, c):-edge(a, b), reachable(b, c)



- Negation is allowed in assumptions
  - more\_than\_one\_hop(a, b) :- reachable(a, b), ¬edge(a, b)



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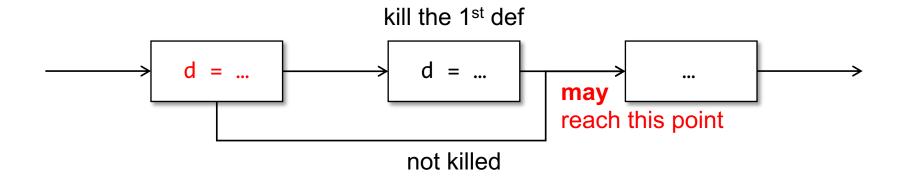
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- All rules must be well-formed. Example of bad rules:
  - more\_than\_one\_hop(a, b) :- ¬edge(a, b)
  - Bad: a, b on the left do not appear in the positive predicate on the right
  - Goal: to ensure termination





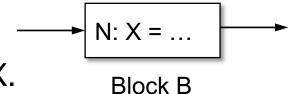
#### **Recap: Reaching Definition**

 A definition d may reach a program point, if there is a path from d to the program point such that d is not killed along the path.



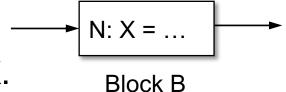


- def(B, N, X)
  - the Nth statement in Block B may define the variable X.





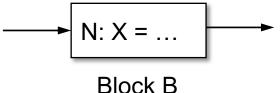
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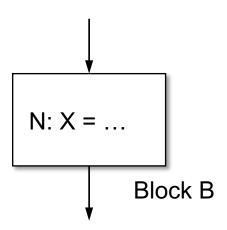
- succ(B, N, C)
  - block C is a successor of block B, and B has N statements.
- rd(B, N, C, M, X)
  - the definition of variable X at the Mth statement of block C reaches the Nth statement in B.



- rd(B, N, B, N, X) :- def(B, N, X)
- rd(B, N, C, M, X) :- rd(B, N-1, C, M, X), def(B, N, Y), X≠Y
- rd(B, 0, C, M, X) :- rd(D, N, C, M, X), succ(D, N, B)

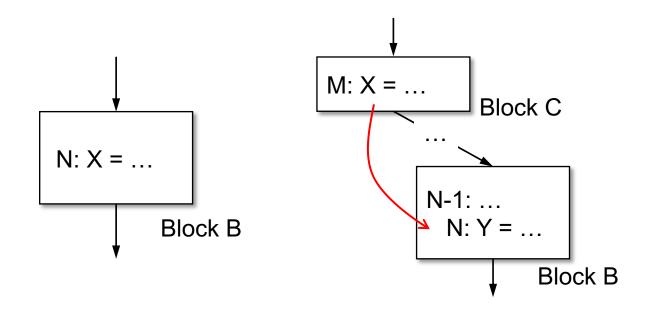


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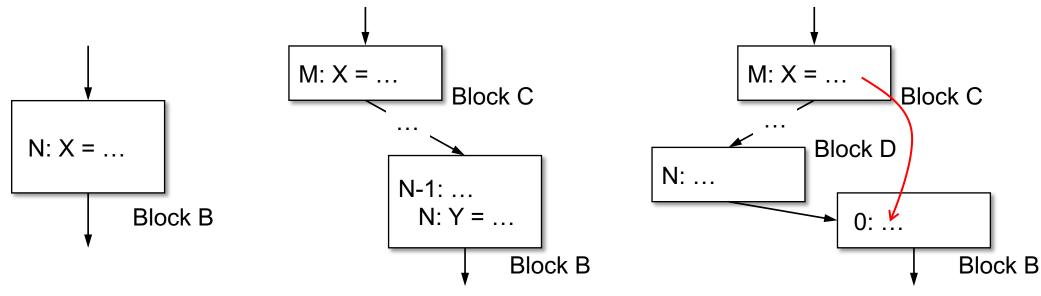


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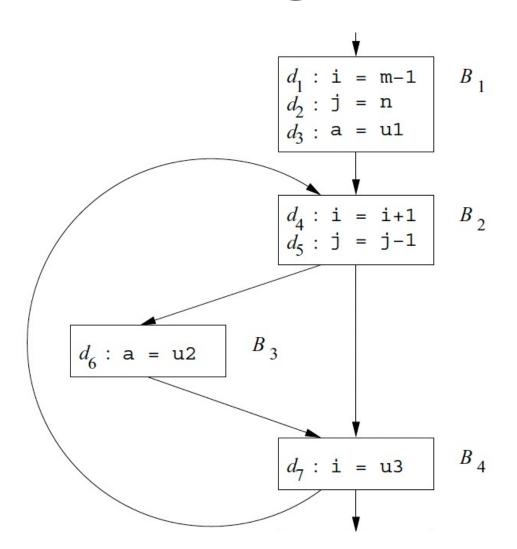




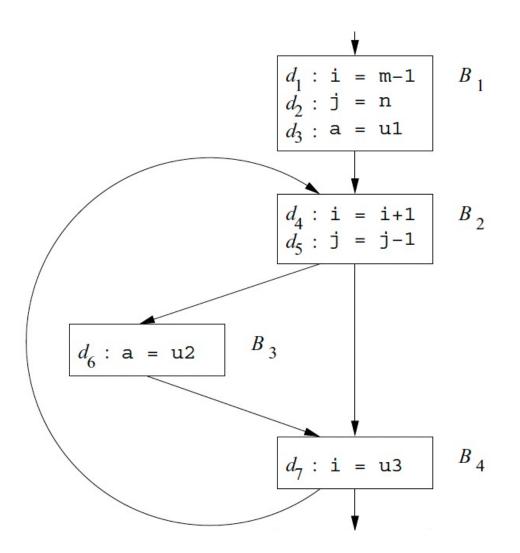
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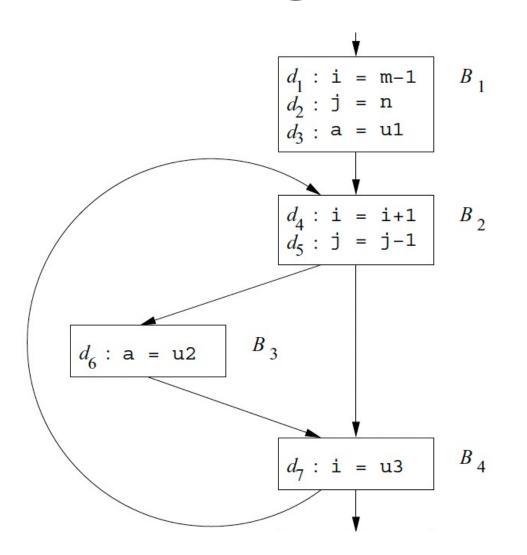






- def(B<sub>1</sub>, 1, i)
- $def(B_1, 2, j)$
- def(B<sub>1</sub>, 3, a)
- def(B<sub>2</sub>, 1, i)
- def(B<sub>2</sub>, 2, j)
- def(B<sub>3</sub>, 1, a)
- def(B<sub>4</sub>, 1, i)





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- def(B<sub>3</sub>, 1, a)
- def(B<sub>4</sub>, 1, i)

- succ(B<sub>1</sub>, 3, B<sub>2</sub>)
- succ(B<sub>2</sub>, 2, B<sub>3</sub>)
- succ(B<sub>2</sub>, 2, B<sub>4</sub>)
- succ(B<sub>3</sub>, 1, B<sub>4</sub>)
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# **Reaching Definitions by Datalog**

- rd(B, N, B, N, X) :- def(B, N, X)
- We just define facts and rules.
- Analysis is automatically done by Datalog engines!

• 
$$def(B_1, 2, j)$$
 •  $def(B_3, 1, a)$  •  $succ(B_2, 2, B_4)$ 

• 
$$def(B_1, 3, a)$$
 •  $def(B_4, 1, i)$  •  $succ(B_3, 1, B_4)$ 

$$def(B_2, 1, i)$$
 •  $succ(B_1, 3, B_2)$  •  $succ(B_4, 1, B_2)$ 



#### Reaching Definitions by Datalog

- We just define facts and rules.
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```
Query Example: rd(B<sub>4</sub>, 1, B<sub>1</sub>, 1, i)
```

- def(B<sub>3</sub>, 1, a)





- Four kinds of Java statements and ignore procedural call
- Object creation. h: T v = new T();
- **Copy.** V = W;
- Field store. v.f = w;
- Field load. v = w.f;



- Four kinds of Java statements and ignore procedural call
- Object creation. h: T v = new T();
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- pts(V, H) variable V can point to a heap object H
- hpts(H, F, G) field F of heap object H can point to heap obj G



1) 
$$pts(V,H)$$
 :- " $H: T V = \text{new } T$ "

2) 
$$pts(V, H)$$
 :- " $V = W$ " &  $pts(W, H)$ 



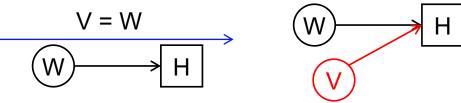
$$1) \hspace{1cm} pts(V,H) \hspace{0.2cm} :- \hspace{0.2cm} "H: \hspace{0.1cm} T \hspace{0.1cm} V \hspace{0.1cm} = \hspace{0.1cm} \text{new} \hspace{0.1cm} T"$$





1) 
$$pts(V,H)$$
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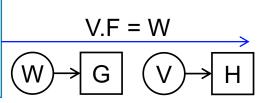
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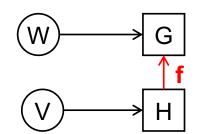


- 3) hpts(H, F, G) :- "V.F = W" & pts(W, G) & pts(V, H)



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- 3) hpts(H, F, G) :- "V.F = W" & pts(W, G) & pts(V, H)
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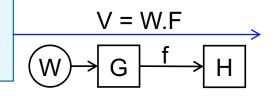


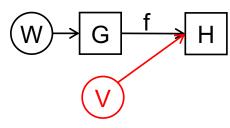


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- Dealing with method invocation in Java programs
- x = y.n(z)



- Dealing with method invocation in Java programs
- x = y.n(z)
- actual(S, I, V): V is the I<sup>th</sup> argument in call site S
- formal(M, I, V): V is the I<sup>th</sup> parameter in method M



- Dealing with method invocation in Java programs
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1) invokes(S, N) :- "S: V.N(...)"
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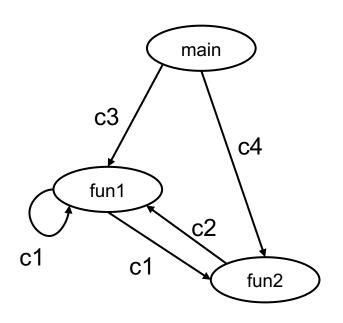
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Just follow the rule for assignment V = W

invokes(S, M) & formal(M, I, V) & actual(S, I, W) & pts(W, H)

It is **context-insensitive** as we always do the same thing when calling a function, i.e., do not distinguish different call sites of the same function



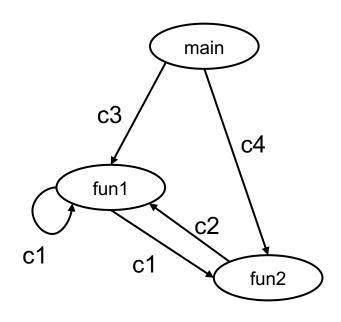


- Assume we already have the call graph.
- Calling context:
  - call chains, paths in the graph

#### Call chains:

- C<sub>3</sub>-C<sub>1</sub>-...
- $C_4$ - $C_2$ - $C_1$ -...
- ...



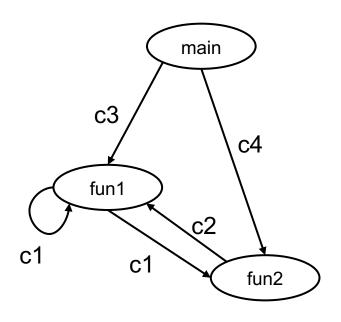


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- Context-sensitive analysis:
  - analyze functions in different calling context





Call chains:

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- ...

- Assume we already have the call graph.
- Calling context:
  - call chains, paths in the graph
- Context-sensitive analysis:
  - analyze functions in different calling context
- Difficulty: Infinite # calling contexts
- Solution: restrict the length of call chains



- invokes(S, **C**, M, **D**)
- call site S in context C, invokes the method M of context D



- invokes(S, C, M, D)
- call site S in context C, invokes the method M of context D

call chains of length ≤ a predefined value



- invokes(S, <u>C</u>, M, <u>D</u>)
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call chains of length ≤ a predefined value

- pts(V, <u>C</u>, H)
- V points to H in context C



- invokes(S, C, M, D)
- call site S in context C, invokes the method M of context D

call chains of length ≤ a predefined value

- pts(V, <u>C</u>, H)
- V points to H in context C
- hpts(H, F, G)
- same as before, field F of H points to G



	Context-Insensitive	Context-Sensitive
1	pts(V, H) :- "H : T V = new T()"	pts(V, C, H) :- "H:TV = new T()", invoke(H, C, _, _)



	Context-Insensitive	Context-Sensitive
1	pts(V, H) :- "H : T V = new T()"	pts(V, C, H) :- "H : T V = new T()", invoke(H, C, _, _)
2	pts(V, H) :- "V = W", pts(W, H)	pts(V, <b>C</b> , H) :- "V = W", pts(W, <b>C</b> , H)



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2	pts(V, H) :- "V = W", pts(W, H)	pts(V, <b>C</b> , H) :- "V = W", pts(W, <b>C</b> , H)
3	hpts(H, F, G) :- "V.F = W", pts(W, G), pts(V, H)	hpts(H, F, G) :- "V.F = W", pts(W, C, G), pts(V, C, H)
4	pts(V, H) :- "V = W.F", pts(W, G), hpts(G, F, H)	pts(V, C, H) :- "V = W.F", pts(W, C, G), hpts(G, F, H)



	Context-Insensitive	Context-Sensitive
1	pts(V, H) :- "H : T V = new T()"	pts(V, C, H) :- "H : T V = new T()", invoke(H, C, _, _)
2	pts(V, H) :- "V = W", pts(W, H)	pts(V, <b>C</b> , H) :- "V = W", pts(W, <b>C</b> , H)
3	hpts(H, F, G) :- "V.F = W", pts(W, G), pts(V, H)	hpts(H, F, G) :- "V.F = W", pts(W, C, G), pts(V, C, H)
4	pts(V, H) :- "V = W.F", pts(W, G), hpts(G, F, H)	pts(V, C, H) :- "V = W.F", pts(W, C, G), hpts(G, F, H)
5	pts(V, H) :- invokes(S, M), formal(M, I, V), actual(S, I, W), pts(W, H)	pts(V, <b>D</b> , H) :- invokes(S, <b>C</b> , M, <b>D</b> ),



## **PART V: Object Sensitivity**



#### **Recap: Context Sensitivity**

```
class List {
        int x;
        void foo() {
            print(x);
    class A {
       void bar(List a) {
           a.foo();
c2
c3
           a.foo();
```



#### **Recap: Context Sensitivity**

```
class List {
        int x;
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            print(x);
    class A {
       void bar(List a) {
           a.foo();
c2
           a.foo();
c3
```

A context-sensitive analysis analyzes the call chains  $\mathbf{c_2c_1}$ ;  $\mathbf{c_3c_1}$  separately to distinguish the calling context.



#### **Object Sensitivity**

```
class List {
        int x;
        void foo() {
            print(x);
c1
    class A {
       void bar(List a) {
           a.foo();
c2
           a.foo();
c3
```

A new form of context-sensitivity, especially for OO programs. It does not distinguish contexts based on call sites.



#### **Object Sensitivity**

```
class List {
        int x;
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    class A {
       void bar(List a) {
            a.foo();
c2
            a.foo();
c3
```

A new form of context-sensitivity, especially for OO programs. It does not distinguish contexts based on call sites.

An object-sensitive analysis will not separately analyze  $\mathbf{c_2}\mathbf{c_1}$  and  $\mathbf{c_3}\mathbf{c_1}$ , because they use the same object a.



#### **No Silver Bullet**

Object-sensitivity is a tradeoff between precision and efficiency.



Ana Milanova, Atanas Rountev, Barbara Ryder.

Parameterized object sensitivity for points-to analysis for Java.

ACM Transactions on Software Engineering and Methodology, 14(1), 2005.

https://doi.org/10.1145/1044834.1044835



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**Rice Theorem**: It is impossible to decide a property of programs, which depends only on the semantics and not on the syntax, unless the property is trivial (true of all programs, or false of all programs).





#### **THANKS!**