

# Opal Semantics: Technical Report

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## 1 Description

Tiny turing-incomplete language based on IMP with sexps:

## 2 Grammar

$\langle Com \rangle ::= \text{skip}$   $\langle Com \rangle; \langle Com \rangle$   $\langle Node \rangle. \langle Var \rangle := \langle Sexp \rangle$   <b>if</b> $\langle Bool \rangle$ <b>then</b> $\{ \langle Com \rangle \}$ <b>else</b> $\{ \langle Com \rangle \}$   <b>with</b> $\langle Node \rangle$ <b>do</b> $\{ \langle Com \rangle \}$   <b>at</b> $\langle Node \rangle$ <b>do</b> $\{ \langle Com \rangle \}$   $\langle WorldVar \rangle := \langle World \rangle$   <b>commit</b> $\langle World \rangle$	$\langle Bool \rangle ::= \langle Sexp \rangle = \langle Sexp \rangle$   $\langle Sexp \rangle \in \langle Sexp \rangle$   $\langle Bool \rangle \wedge \langle Bool \rangle$   $\langle Bool \rangle \vee \langle Bool \rangle$   <b>true</b>   <b>false</b>
$\langle Sexp \rangle ::= \emptyset$   $\langle Node \rangle. \langle Var \rangle$   $\langle World \rangle. \langle Node \rangle. \langle Var \rangle$   $( \langle Sexp \rangle . \langle Sexp \rangle )$	$\langle World \rangle ::= \langle WorldVar \rangle$   <b>hyp</b> $\{ \langle Com \rangle \}$

### 2.1 Values

$\langle SexpValue \rangle ::= \emptyset$   $( \langle SexpValue \rangle . \langle SexpValue \rangle )$	$\langle BoolValue \rangle ::= \text{true}$   <b>false</b>
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### 3 Typing Judgement

Well-formedness conditions:

- All node accesses are permissioned via a **with** block
- All variable accesses are defined (if they access a world, that world is defined and not committed)
- All committed worlds are defined
- Worlds are committed at most once

#### 3.1 Terminology

$\Omega :$	$2^{\langle World \rangle}$	Set of worlds in scope
$\Pi :$	$2^{\langle Node \rangle}$	Set of nodes giving permission to access variables
$\Sigma :$	$2^{\langle Node \rangle \times \langle Var \rangle}$	Set of variables in scope

#### 3.2 Commands

Commands follow an affine typing scheme for worlds, wherein worlds can be used *at most* once. This is achieved by a conservative judgement over commands: declaring a hypothetical world puts it into scope, committing it takes it out of scope, and if statements take the intersection of available worlds after each branch.

The typing judgement for commands is a relation  $R \subseteq \Omega \times \Pi \times \Sigma \times \langle Com \rangle \times \Omega$ , where intuitively the first three members are the state before the command is executed, and the final  $\Omega$  is the conservative judgement of the set of available worlds after the command is executed.

$$\begin{array}{c}
\frac{}{\Omega, \Pi, \Sigma \vdash \mathbf{skip} : \Omega} \text{T-SKIP} \\
\\
\frac{\Omega, \Pi, \Sigma \vdash c_1 : \Omega' \quad \Omega', \Pi, \Sigma \vdash c_2 : \Omega''}{\Omega, \Pi, \Sigma \vdash c_1; c_2 : \Omega''} \text{T-SEQ} \\
\\
\frac{\Omega, \Pi, \Sigma \vdash b : \text{BOOL} \quad \Omega, \Pi, \Sigma \vdash c_1 : \Omega' \quad \Omega, \Pi, \Sigma \vdash c_2 : \Omega''}{\Omega, \Pi, \Sigma \vdash \mathbf{if } b \mathbf{ then } \{c_1\} \mathbf{ else } \{c_2\} : \Omega' \cap \Omega''} \text{T-IF} \\
\\
\frac{\Omega, \Pi, \Sigma \vdash w : \text{WORLD}}{\Omega, \Pi, \Sigma \vdash u := w : \Omega \cup \{u\}} \text{T-ASSIGNWORLDLIT}
\end{array}$$

$$\frac{\Omega, \Pi, \Sigma \vdash w : \text{WORLD}}{\Omega, \Pi, \Sigma \vdash u := w : \Omega \cup \{u\}} \text{T-ASSIGNWORLDVAR}$$

$$\frac{\Omega, \Pi, \Sigma \vdash \text{hyp } \{c\} : \text{WORLD}}{\Omega, \Pi, \Sigma \vdash \text{commit hyp } \{c\} : \Omega} \text{T-COMMITWORLDLIT}$$

$$\frac{\Omega, \Pi, \Sigma \vdash u : \text{WORLD}}{\Omega, \Pi, \Sigma \vdash \text{commit } u : \Omega \setminus \{u\}} \text{T-COMMITWORLDVAR}$$

$$\frac{\Omega, \Pi \cup \{n\}, \Sigma \vdash c : \Omega'}{\Omega, \Pi, \Sigma \vdash \text{with } n \text{ do } \{c\} : \Omega'} \text{T-WITH}$$

$$\frac{\Omega, \Pi, \Sigma \vdash c : \Omega'}{\Omega, \Pi, \Sigma \vdash \text{at } n \text{ do } \{c\} : \Omega'} \text{T-AT}$$

### 3.3 Booleans

$$\frac{}{\Omega, \Pi, \Sigma \vdash \text{true} : \text{BOOL}} \text{T-TRUE}$$

$$\frac{}{\Omega, \Pi, \Sigma \vdash \text{false} : \text{BOOL}} \text{T-FALSE}$$

$$\frac{\Omega, \Pi, \Sigma \vdash b_1 : \text{BOOL} \quad \Omega, \Pi, \Sigma \vdash b_2 : \text{BOOL}}{\Omega, \Pi, \Sigma \vdash b_1 \wedge b_2 : \text{BOOL}} \text{T-CONJ}$$

$$\frac{\Omega, \Pi, \Sigma \vdash b_1 : \text{BOOL} \quad \Omega, \Pi, \Sigma \vdash b_2 : \text{BOOL}}{\Omega, \Pi, \Sigma \vdash b_1 \vee b_2 : \text{BOOL}} \text{T-DISJ}$$

$$\frac{\Omega, \Pi, \Sigma \vdash s_1 : \text{SEXP} \quad \Omega, \Pi, \Sigma \vdash s_2 : \text{SEXP}}{\Omega, \Pi, \Sigma \vdash s_1 = s_2 : \text{BOOL}} \text{T-EQ}$$

$$\frac{\Omega, \Pi, \Sigma \vdash s_1 : \text{SEXP} \quad \Omega, \Pi, \Sigma \vdash s_2 : \text{SEXP}}{\Omega, \Pi, \Sigma \vdash s_1 \in s_2 : \text{BOOL}} \text{T-MEM}$$

### 3.4 Sexps

$$\begin{array}{c}
\frac{}{\Omega, \Pi, \Sigma \vdash \emptyset : \text{SEXP}} \text{T-EMPTYSET} \\
\\
\frac{n \in \Pi \quad (n, v) \in \Sigma}{\Omega, \Pi, \Sigma \vdash n.v : \text{SEXP}} \text{T-VARIABLE} \\
\\
\frac{n \in \Pi \quad (n, v) \in \Sigma \quad \Omega, \Pi, \Sigma \vdash w : \text{WORLD}}{\Omega, \Pi, \Sigma \vdash w.n.v : \text{SEXP}} \text{T-WEIGHT} \\
\\
\frac{\Omega, \Pi, \Sigma \vdash s_1 : \text{SEXP} \quad \Omega, \Pi, \Sigma \vdash s_2 : \text{SEXP}}{\Omega, \Pi, \Sigma \vdash (s_1.s_2) : \text{SEXP}} \text{T-CONS}
\end{array}$$

### 3.5 Worlds

$$\begin{array}{c}
\frac{\emptyset, \Pi, \Sigma \vdash c : \Omega'}{\Omega, \Pi, \Sigma \vdash \text{hyp } \{c\} : \text{WORLD}} \\
\\
\frac{u \in \Omega}{\Omega, \Pi, \Sigma \vdash u : \text{WORLD}}
\end{array}$$

## 4 Big Step Semantics

### 4.1 Terminology

$\sigma :$	$\langle Node \rangle \times \langle Var \rangle \rightarrow \langle Sexp Value \rangle$	function representing each node's store
$\omega :$	$\langle World \rangle \rightarrow \sigma$	function representing each world's initial stack and final store
$\pi :$	$2^{\langle Node \rangle}$	current authorized set of principals
$\rho :$	$\langle Node \rangle$	current execution location
$\mu :$	$(\langle Sexp \rangle \times \langle Sexp \rangle) \rightarrow \langle Sexp \rangle$	function which merges two divergent data structures, or fails
$\Downarrow_{\langle Com \rangle} :$	$(\langle Com \rangle \times \sigma \times \omega \times \pi \times \rho \times \mu) \rightarrow (\sigma \times \omega)$	Commands step to a new top-level store and new set of hypothetical worlds
$\Downarrow_{\langle Sexp \rangle} :$	$(\langle Sexp \rangle \times \sigma \times \pi) \rightarrow \langle Sexp Value \rangle$	Sexps step to a value, or nothing if it cannot be evaluated due to undefined variables or lack of permission
$\Downarrow_{\langle Bool \rangle} :$	$(\langle Bool \rangle \times \sigma \times \pi) \rightarrow \langle Bool Value \rangle$	Bools step to a value, or nothing if it cannot be evaluated due to undefined variables or lack of permission

### 4.2 Basic commands

$$\begin{array}{c}
\frac{}{\langle \mathbf{skip}, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma, \omega \rangle} \text{E-SKIP} \\
\frac{\langle c_1, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle \quad \langle c_2, \sigma', \omega', \pi, \rho, \mu \rangle \Downarrow \langle \sigma'', \omega'' \rangle}{\langle c_1; c_2, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma'', \omega'' \rangle} \text{E-SEQ} \\
\frac{\langle b, \sigma, \pi \rangle \Downarrow \mathbf{true} \quad \langle c_1, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle}{\langle \mathbf{if } b \mathbf{ then } \{c_1\} \mathbf{ else } \{c_2\}, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle} \text{E-IF-TRUE}
\end{array}$$

$$\frac{\langle b, \sigma, \pi \rangle \Downarrow \text{false} \quad \langle c_2, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle}{\langle \text{if } b \text{ then } \{c_1\} \text{ else } \{c_2\}, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle} \text{E-IF-FALSE}$$

### 4.3 Distribution commands

In this semantics, AT is effectively a noop/passthrough, resulting in behavior that would be identical with or without it (modulo endorsement taking location into account).

WITH is also a passthrough in a similar regard: its runtime effects consist of asking the specified user for permission to run the specified command on the current machine (represented by  $\langle n, \rho, c \rangle \checkmark$  in the semantics – the details of this function are implementation dependent).

$$\frac{\langle c, \sigma, \omega, \pi, n, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle}{\langle \text{at } n \text{ do } \{c\}, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle} \text{E-AT}$$

$$\frac{\langle n, \rho, c \rangle \checkmark \quad \langle c, \sigma, \omega, \pi \cup \{n\}, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle}{\langle \text{with } n \text{ do } \{c\}, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma', \omega' \rangle} \text{E-WITH}$$

### 4.4 Hypothetical commands

$$\frac{\langle w, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \sigma_{\text{hyp}}}{\langle u := w, \sigma, \omega, \pi, \rho, \mu \rangle \Downarrow \langle \sigma, \omega[u \mapsto \sigma_{\text{hyp}}] \rangle} \text{E-HYP}$$

$$\frac{\omega[u] = \sigma_{\text{hyp}} \quad \forall v \in \sigma_{\text{hyp}}. \sigma_{\text{merge}}[v] = \mu(\sigma_{\text{curr}}[v], \sigma_{\text{hyp}}[v]) \quad \forall v \notin \sigma_{\text{hyp}}. \not\exists s. \sigma_{\text{merge}}[v] = s}{\langle \text{commit } u, \sigma_{\text{curr}}, \omega, \pi, \rho, \eta, \mu \rangle \Downarrow \langle \sigma_{\text{merge}}, \sigma_{\text{curr}}, \omega \rangle} \text{E-COMMIT}$$

### 4.5 Sexps

$$\frac{}{\langle \emptyset, \sigma, \omega, \pi, \rho, \eta, \mu \rangle \Downarrow \emptyset} \text{EMPTYSET}$$

$$\frac{\langle s_1, \sigma, \omega, \pi, \rho, \eta, \mu \rangle \Downarrow s'_1 \quad \langle s_2, \sigma, \omega, \pi, \rho, \eta, \mu \rangle \Downarrow s'_2}{\langle (s_1.s_2), \sigma, \omega, \pi, \rho, \eta, \mu \rangle \Downarrow (s'_1.s'_2)} \text{CONS}$$

$$\frac{\sigma(n, v) = s}{\langle n.v, \sigma, \omega, \pi, \rho, \eta, \mu \rangle \Downarrow s} \text{VAR}$$

## 4.6 Bools

$$\begin{array}{c}
\frac{}{\langle \mathbf{true}, \sigma, \pi \rangle \Downarrow \mathbf{true}} \text{TRUE} \\
\\
\frac{}{\langle \mathbf{false}, \sigma, \pi \rangle \Downarrow \mathbf{false}} \text{FALSE} \\
\\
\text{w} \quad \frac{\langle b_1, \sigma, \pi \rangle \Downarrow \mathbf{true} \quad \langle b_2, \sigma, \pi \rangle \Downarrow \mathbf{true}}{\langle b_1 \wedge b_2, \sigma, \pi \rangle \Downarrow \mathbf{true}} \text{ANDTRUE} \\
\\
\frac{\langle b_1, \sigma, \pi \rangle \Downarrow \mathbf{false}}{\langle b_1 \wedge b_2, \sigma, \pi \rangle \Downarrow \mathbf{false}} \text{ANDFALSEL} \\
\\
\frac{\langle b_2, \sigma, \pi \rangle \Downarrow \mathbf{false}}{\langle b_1 \wedge b_2, \sigma, \pi \rangle \Downarrow \mathbf{false}} \text{ANDFALSER} \\
\\
\frac{\langle b_1, \sigma, \pi \rangle \Downarrow \mathbf{false} \quad \langle b_2, \sigma, \pi \rangle \Downarrow \mathbf{false}}{\langle b_1 \vee b_2, \sigma, \pi \rangle \Downarrow \mathbf{false}} \text{ORFALSE} \\
\\
\frac{\langle b_1, \sigma, \pi \rangle \Downarrow \mathbf{true}}{\langle b_1 \vee b_2, \sigma, \pi \rangle \Downarrow \mathbf{true}} \text{ORTRUEL} \\
\\
\frac{\langle b_2, \sigma, \pi \rangle \Downarrow \mathbf{true}}{\langle b_1 \vee b_2, \sigma, \pi \rangle \Downarrow \mathbf{true}} \text{ORTRUER} \\
\\
\frac{\langle s_1, \sigma, \pi \rangle \Downarrow \emptyset \quad \langle s_2, \sigma, \pi \rangle \Downarrow \emptyset}{\langle s_1 = s_2, \sigma, \pi \rangle \Downarrow \mathbf{true}} \text{EQTRUE} \\
\\
\frac{\langle s_1, \sigma, \pi \rangle \Downarrow (s_{v11} \cdot s_{v12}) \quad \langle s_2, \sigma, \pi \rangle \Downarrow \emptyset}{\langle s_1 = s_2, \sigma, \pi \rangle \Downarrow \mathbf{false}} \text{EQFALSEL} \\
\\
\frac{\langle s_1, \sigma, \pi \rangle \Downarrow \emptyset \quad \langle s_2, \sigma, \pi \rangle \Downarrow (s_{v21} \cdot s_{v22})}{\langle s_1 = s_2, \sigma, \pi \rangle \Downarrow \mathbf{false}} \text{EQFALSER}
\end{array}$$

$$\begin{array}{c}
\frac{\langle s_1, \sigma, \pi \rangle \Downarrow (s_{v11}.s_{v12}) \quad \langle s_2, \sigma, \pi \rangle \Downarrow (s_{v21}.s_{v22}) \quad \langle s_{v11} = s_{v21} \wedge s_{v12} = s_{v22}, \sigma, \pi \rangle \Downarrow b}{\langle s_1 = s_2, \sigma, \pi \rangle \Downarrow b} \text{EQPROP} \\
\\
\frac{\langle s_1, \sigma, \pi \rangle \Downarrow s_{v1} \quad \langle s_2, \sigma, \pi \rangle \Downarrow \emptyset}{\langle s_1 \in s_2, \sigma, \pi \rangle \Downarrow \mathbf{false}} \text{MEMFALSE} \\
\\
\frac{\langle s_1, \sigma, \pi \rangle \Downarrow s_{v1} \quad \langle s_2, \sigma, \pi \rangle \Downarrow (s_{v21}.s_{v22}) \quad \langle s_{v1} = s_{v21} \vee s_{v1} \in s_{v22}, \sigma, \pi \rangle \Downarrow b}{\langle s_1 \in s_2, \sigma, \pi \rangle \Downarrow b} \text{MEMPROP}
\end{array}$$

EQPROP and MEMPROP are well-founded proof trees since the sexp step is idempotent, and the two props decrease on size of sexp, so the maximum depth of the proof tree is proportional to the maximum depth of the sexp values