

# Preservation Of Well-Formedness In PIFO Trees

July 28, 2024

## 1 Relevant Definitions and Lemmas

### 1.1 Definition 1

*Defining  $|\cdot|$  on PIFOs With Regards to Pushes*

**Definition 1A:** *Pushing an element to a PIFO increments how many times it appears*

$$\frac{p \in \text{PIFO} \quad |p|_i = n \quad n \in \mathbb{N} \quad \text{PUSH}(p, i, r) = p' \quad n' = n + 1}{|p'|_i = n'}$$

**Definition 1B:** *Pushing an element to a PIFO does not change how much others appear*

$$\frac{p \in \text{PIFO} \quad |p|_i = n \quad n \in \mathbb{N} \quad \text{PUSH}(p, j, r) = p' \quad i \neq j}{|p'|_i = n}$$

**Definition 1C:** *Pushing an element to a PIFO increments its size*

$$\frac{p \in \text{PIFO} \quad |p| = n \quad n \in \mathbb{N} \quad \text{PUSH}(p, i, r) = p' \quad n' = n + 1}{|p'| = n'}$$

### 1.2 Definition 2

*Defining  $|\cdot|$  on PIFOs With Regards to Pops*

**Definition 2A:** *Popping an element from a PIFO decrements how many times it appears*

$$\frac{p \in \text{PIFO} \quad |p|_i = n \quad n \in \mathbb{N} \quad \text{POP}(p) = (i, p') \quad n' = n - 1}{|p'|_i = n'}$$

**Definition 2B:** *Popping an element from a PIFO does not change how much others appear*

$$\frac{p \in \text{PIFO} \quad |p|_i = n \quad n \in \mathbb{N} \quad \text{POP}(p) = (j, p') \quad i \neq j}{|p'|_i = n}$$

**Definition 2C:** *Popping an element from a PIFO decrements its size*

$$\frac{p \in \text{PIFO} \quad |p| = n \quad n \in \mathbb{N} \quad \text{POP}(p) = (i, p') \quad n' = n - 1}{|p'| = n'}$$

## 2 Lemmas 1 and 2

*Defining  $|\cdot|$  on PIFO Trees With Regards to Pushes and Pops*

### 2.1 Lemma 1: Pushing to a PIFO Tree increments its size

$$\frac{q \in \text{PIFOTree} \quad |q| = n \quad n \in \mathbb{N} \quad \text{push}(q, pkt, p) = q' \quad n' = n + 1}{|q'| = n'}$$

**Proof.** We proceed upon this proof by structural induction over the definition of  $|\cdot|$  as follows.

**Base Case:**  $q = \text{Leaf}(p)$  for some packet  $p$ .

By definition 3.8, we have that  $|\text{Leaf}(p)|_i = |p|_i$ , where  $|p|_i$  is the number of occurrences of  $i$  in PIFO  $p$ . The definition of push in 3.6 gives the following:

$$\frac{\text{PUSH}(p, pkt, r) = p'}{\text{push}(\text{Leaf}(p), pkt, r) = \text{Leaf}(p')}$$

Where  $\text{Leaf}(p')$  is the result of pushing into  $\text{Leaf}(p)$ .

By definition 1A, we now know that  $|p'|_i = |p|_i + 1$ .

By definition 3.8, we know that  $|\text{Leaf}(p')|_i = |p'|_i$  and  $|\text{Leaf}(p)|_i = |p|_i$ .

Combining these together, we obtain the following:

$$|\text{Leaf}(p')| = |\text{Leaf}(p)| + 1$$

Thus, we have proven our base case.

**Inductive Case:**

Assume an arbitrary PIFO  $p$ , Node  $q = \text{Internal}(qs, p)$ , packet  $pkt$ , path  $pt$ , index  $i$  and rank  $r$ .

**Inductive Hypothesis:**  $|qs[i]| = n \implies |\text{push}(qs[i], pkt, pt)| = n + 1$

**Show:**  $|\text{Internal}(qs, p)| = m \implies |\text{push}(\text{Internal}(qs, p), pkt, (i, r) :: pt)| = m + 1$

Note that push is defined for internal nodes as follows:

$$\frac{\text{push}(qs[i], pkt, pt) = q' \quad \text{PUSH}(p, i, r) = p'}{\text{push}(\text{Internal}(qs, p), pkt, (i, r) :: pt) = \text{Internal}(qs[q'/i], p')}$$

Since  $q$  is a valid PIFO, we know from Definition 3.8 the following:

$$m = |q| = \sum_{j=1}^{|qs|} |qs[j]|$$

Thus, we can further conclude the following:

$$|\text{push}(\text{Internal}(qs, p), pkt, (i, r) :: pt)| = \sum_{j=1}^{|qs|} |qs[q'/i][j]|$$

From our Inductive Hypothesis, we know that  $|q'| = |\text{push}(qs[i], pkt, pt)| = n + 1$ .

From the definition of push, pushing changes only  $qs[i]$ , while the remaining subtrees remain the same. Subsequently, we can assert that  $\forall 1 \leq j \leq |qs|, i \neq j \implies |qs[j]| = |qs[q'/i][j]|$ . With this in mind, we make the following claim:

$$|\text{push}(\text{Internal}(qs, p), pkt, (i, r) :: pt)| = \sum_{j=1}^{|qs|} |qs[j]| - |qs[i]| + |qs[q'/i][i]|$$

Substituting what we know, this gives us the following:

$$\begin{aligned} |\text{push}(\text{Internal}(qs, p), pkt, (i, r) :: pt)| &= m - n + n + 1 \\ \implies |\text{push}(\text{Internal}(qs, p), pkt, (i, r) :: pt)| &= m + 1 \end{aligned}$$

With this, we have shown the desired equality, and proven our Inductive case.

Thus, it follows that Lemma 1 holds.

## 2.2 Lemma 2: Popping from a PIFO Tree decrements its size

$$\frac{q \in \text{PIFOTree} \quad |q| = n \quad n \in \mathbb{N} \quad (pkt, q') = \text{pop}(q) \quad n' = n - 1}{|q'| = n'}$$

**Proof.** We proceed upon this proof by structural induction over the definition of  $|\cdot|$  as follows.

**Base Case:**  $q = \text{Leaf}(p)$  for some packet  $p$ .

By definition 3.8, we have that  $|\text{Leaf}(p)|_i = |p|_i$ , where  $|p|_i$  is the number of occurrences of  $i$  in PIFO  $p$ . The definition of pop in 3.6 gives the following:

$$\frac{\text{POP}(p) = p'}{\text{pop}(\text{Leaf}(p)) = \text{Leaf}(pkt, p')}$$

Where  $\text{Leaf}(p')$  is the result of popping from  $\text{Leaf}(p)$ .

By definition 2A, we now know that  $|p'|_i = |p|_i - 1$ .

By definition 3.8, we know that  $|\text{Leaf}(p')|_i = |p'|_i$  and  $|\text{Leaf}(p)|_i = |p|_i$ .

Combining these together, we obtain the following:

$$|\text{Leaf}(p')| = |\text{Leaf}(p)| - 1$$

Thus, we have proven our base case.

**Inductive Case:**

Assume an arbitrary PIFO  $p$ , Node  $q = \text{Internal}(qs, p)$  and index  $i$ .

**Inductive Hypothesis:**  $|qs[i]| = n \implies |q'| = n - 1$  where  $(pkt, q') = \text{pop}(qs[i])$ .

**Show:**  $|\text{Internal}(qs, p)| = m \implies |q''| = m - 1$  where  $(pkt, q'') = \text{pop}(\text{Internal}(qs, p))$ .

Note that pop is defined for internal nodes as follows:

$$\frac{\text{POP}(p) = (i, p') \quad \text{pop}(qs[i]) = (pkt, q')}{\text{pop}(\text{Internal}(qs, p)) = (pkt, \text{Internal}(qs[q'/i], p'))}$$

Since  $q$  is a valid PIFO, we know from Definition 3.8 the following:

$$m = |q| = \sum_{j=1}^{|qs|} |qs[j]|$$

Thus, we can further conclude the following:

$$(pkt, q'') = \text{pop}(\text{Internal}(qs, p)) \implies |q''| = \sum_{j=1}^{|qs|} |qs[q'/i][j]|$$

From our Inductive Hypothesis, we know that  $|q'| = n - 1$  where  $pkt, q' = \text{pop}(qs[i])$ .

From the definition of pop, popping changes only  $qs[i]$ , while the remaining subtrees remain the same. Subsequently, we can assert that  $\forall 1 \leq j \leq |qs|, i \neq j \implies |qs[j]| = |qs[q'/i][j]|$ . With this in mind, we make the following claim:

$$(pkt, q'') = \text{pop}(\text{Internal}(qs, p)) \implies |q''| = \sum_{j=1}^{|qs|} |qs[j]| - |qs[i]| + |qs[q'/i][i]|$$

Substituting what we know, this gives us the following:

$$\begin{aligned} (pkt, qs'') = \text{pop}(\text{Internal}(qs, p)) &\implies |q''| = m - n + n - 1 \\ \implies (pkt, qs'') = \text{pop}(\text{Internal}(qs, p)) &\implies |qs''| = m - 1 \end{aligned}$$

With this, we have shown the desired equality, and proven our Inductive case.

Thus, it follows that Lemma 2 holds.

### 3 Proofs For Lemma 3.9 (Well-Formedness)

#### 3.1 Proof of Lemma 3.9.1

##### *Well-Formedness Is Preserved in PIFO Trees Upon Pushes*

We proceed with this proof by inducting upon the definition of push for PIFO Trees.

**Base Case :**  $\text{Leaf}(p)$

By definition 3.6 of push, we have the following for Leaf nodes:

$$\frac{\text{PUSH}(p, pkt, r) = p'}{\text{push}(\text{Leaf}(p), pkt, r) = \text{Leaf}(p')}$$

It follows that after pushing, the resultant tree is expressed as  $\text{Leaf}(p')$  for some packet  $p'$ . By definition 3.8 (cited above), we know the following holds for any arbitrary PIFO  $p$ :

$$\overline{\vdash \text{Leaf}(p)}$$

We now have:  $\forall p, pkt, r, \vdash \text{push}(\text{Leaf}(p), pkt, r)$

With this, our Base Case is proven.

**Inductive Case:**  $q = \text{Internal}(qs, p), \vdash q$

**Inductive Hypothesis:**  $\forall 1 \leq i \leq |qs|. \vdash \text{push}(qs[i], pkt, pt)$

Recall Definition 3.8 for  $\vdash$  on Internal Nodes:

$$\frac{\forall 1 \leq i \leq |qs|. \vdash qs[i] \wedge |p|_i = |qs[i]|}{\vdash \text{Internal}(qs, p)}$$

Recall Definition 3.6 for push on Internal Nodes:

$$\frac{\text{push}(qs[i], pkt, pt) = q' \quad \text{PUSH}(p, i, r) = p'}{\text{push}(\text{Internal}(qs, p), pkt, (i, r) :: pt) = \text{Internal}(qs[q'/i], p')}$$

Now, let  $q' = \text{push}(q, pkt, (i, r) :: pt)$ , and let  $p' = \text{PUSH}(p, i, r)$ .

**Show:**  $\vdash q \implies \vdash \text{Internal}(qs[q'/i], p')$ .

Using definition 3.6 and our Inductive Hypothesis, we can conclude that  $\vdash q'$ . Furthermore, note that the only subtree to be modified in  $qs$  is in  $qs[i]$ , per the definition of push.

**Now we make the following claim:**

- |  |   |
|--|---|
| (1) $\vdash \text{Internal}(qs, p)$  | <i>By definition</i>                        |
| (2) $\implies \forall 1 \leq j \leq  qs . \vdash qs[j] \wedge  p _j =  qs[j] $               | <i>Inversion Lemma (Definition 3.8)</i>     |
| (3) $\implies  p _i =  qs[i] $   | <i>Instance of universal quantifier (2)</i> |
| (4) $ p' _i =  p _i + 1$   | <i>Definition 1A</i>                        |
| (5) $ q'  =  qs[i]  + 1$   | <i>Lemma 1</i>                              |
| (6) $\implies  p _i + 1 =  qs[i]  + 1$   | <i>Addition Property of Equality</i>        |
| (7) $\implies  p' _i =  q' $   | <i>Substitution (4, 5, 6)</i>               |
| (8) $\forall 1 \leq j \leq  p' , i \neq j \implies  p' _j =  p _j$                           | <i>Definition 1B</i>                        |
| (9) $\implies \forall 1 \leq j \leq  p' , i \neq j \implies  p' _j =  qs[j] $                | <i>By (2) and (8)</i>                       |
| (10) $\implies \forall 1 \leq j \leq  p' ,  p' _j =  qs[q'/i][j] $                           | <i>By (7) and (9)</i>                       |
| (11) $\forall 1 \leq j \leq  qs . \vdash qs[q'/i][j]$  | <i>Inductive Hypothesis</i>                 |
| (12) $\implies \forall 1 \leq j \leq  qs . \vdash qs[q'/i][j] \wedge  p' _j =  qs[q'/i][j] $ | <i>By (10) and (11)</i>                     |
| (13) $\implies \vdash \text{Internal}(qs[q'/i], p')$   | <i>Definition of <math>\vdash</math></i>    |

With this, we have proven our Inductive Statement and completed the proof. We have shown that pushing to an arbitrary PIFO Tree preserves its well-formedness.

### 3.2 Proof of Lemma 3.9.2

#### *Well-Formedness Is Preserved in PIFO Trees Upon Pops*

We proceed with this proof by inducting upon the definition of pop for PIFO Trees.

**Base Case :** *Leaf*( $p$ )

By definition 3.4 of pop, the following holds for Leaf nodes:

$$\frac{\text{POP}(p) = (pkt, p')}{\text{pop}(\text{Leaf}(p)) = (pkt, \text{Leaf}(p'))}$$

It follows that after popping, the resultant tree is of form  $\text{Leaf}(p')$  for some packet  $p'$ . By definition 3.8 (cited above), we know the following holds for any arbitrary PIFO  $p$ :

$$\overline{\vdash \text{Leaf}(p)}$$

We now have:  $\forall p, \vdash p'$  where  $(pkt, p') = \text{pop}(\text{Leaf}(p))$ .

With this, our Base Case is proven.