# **PIEO Trees for Fun and Profit**

We assume familiarity with [?], adopt its notational conventions, and borrow many of its definitions!

#### 1 Structure & Semantics

**Definition 1.1.** For sets S, D, and predicates F over D, let **PIEO**(S, D, F) denote the set of *PIEO*s that

- (1) hold entries in S, decorated with meta-data in D
- (2) are ordered by Rk
- (3) support predicates in F
- (4) admit partial functions

pop : 
$$PIEO(S, D, F) \times F \rightarrow S \times PIEO(S, D, F)$$
  
push :  $PIEO(S, D, F) \times S \times D \times Rk \rightarrow PIEO(S, D, F)$   
proj :  $PIEO(S, D, F) \times F \rightarrow PIFO(S)$ 

Maps push and pop are as usual. The *projection* proj(p, f) is the PIFO of entries in p with data satisfying f. These three maps play nicely together:

$$pop(p, f)$$
 is undefined  $\iff pop(proj(p, f))$  is undefined (1)

$$pop(p, f) = (pkt, p') \iff pop(proj(p, f)) = (pkt, proj(p', f))$$
(2)

$$proj(push(p, s, d, r), f) = \begin{cases} push(proj(p, f), s, r) & f(d) \text{ holds true} \\ proj(p, f) & \text{otherwise} \end{cases}$$
(3)

We consider PIEOs p, p' equal if, for all  $f \in F$ , proj(p, f) = proj(p', f), i.e. their projections are always equal. For PIEO p, entry  $s \in S$ , and predicate  $f \in F$ , we write

- (1) |p| for the number of entries in p
- (2)  $|p|_f$  for the number of entries in p satisfying f
- (3)  $|p|_{s,f}$  for the number of times s occurs in p with associated  $d \in D$  such that f(d) holds

We fix an opaque set **Data** and a collection  $\mathcal{F}$  of predicates defined on it. These predicates come with a total order  $\leq$  and the property that,  $\forall d \in \mathbf{Data}$  and  $f, f' \in \mathcal{F}, f \leq f' \land f(d) \implies f'(d)$ .

**Definition 1.2.** The set of *PIEO trees* over  $t \in \textbf{Topo}$ , denoted **PIEOTree**(t), is defined inductively by

$$\begin{array}{ll} p \in \mathsf{PIEO}(\mathsf{Pkt},\mathsf{Data},\mathcal{F}) \\ \text{Leaf}(p) \in \mathsf{PIEOTree}(*) \end{array} \qquad \begin{array}{ll} n \in \mathbb{N} & ts \in \mathsf{Topo}^n & p \in \mathsf{PIEO}(\{1,\ldots,n\},\mathsf{Data},\mathcal{F}) \\ \forall i \in [1,n]. \ qs[i] \in \mathsf{PIEOTree}(ts[i]) \\ & \text{Internal}(qs,p) \in \mathsf{PIEO}(\mathsf{Node}(ts)) \end{array}$$

**Definition 1.3.** Define pop :  $PIEOTree(t) \times \mathcal{F} \rightarrow Pkt \times PIEOTree(t)$  by

$$\frac{\operatorname{pop}(p,f) = (\operatorname{pkt},p')}{\operatorname{pop}(\operatorname{Leaf}(p),f) = (\operatorname{pkt},\operatorname{Leaf}(p'))} \frac{\operatorname{pop}(p,f) = (i,p') \quad \operatorname{pop}(qs[i],f) = (\operatorname{pkt},q')}{\operatorname{pop}(\operatorname{Internal}(qs,p),f) = (\operatorname{pkt},\operatorname{Internal}(qs[q'/i],p'))}$$

**Definition 1.4.** Define push :  $PIEOTree(t) \times Pkt \times Data \times Path(t) \rightarrow PIEOTree(t)$  by

$$\frac{\operatorname{push}(p,\operatorname{pkt},d,r)=p'}{\operatorname{push}(\operatorname{Leaf}(p),\operatorname{pkt},d,r)=\operatorname{Leaf}(p')} \frac{\operatorname{push}(p,i,d,r)=p'}{\operatorname{push}(\operatorname{Internal}(qs,p),\operatorname{pkt},d,(i,r)::pt)=\operatorname{Internal}(qs[q'/i],p')}$$

**Definition 1.5.** Let  $t \in \textbf{Topo}$ . A *control* over t is a triple (s, q, z), where  $s \in St$  is the *current state*, q is a PIEO tree of topology t, and

$$z: \mathsf{St} \times \mathsf{Pkt} \to \mathsf{Data} \times \mathsf{Path}(t) \times \mathsf{St}$$

is a function called the *scheduling transaction*.

### 2 Well-Formedness

**Definition 2.1.** Fix  $f \in \mathcal{F}$ . Define  $|\cdot|_f : \mathsf{PIEOTree}(t) \to \mathbb{N}$  by

$$|\operatorname{Leaf}(p)|_f = |p|_f$$
  $|\operatorname{Internal}(qs, p)|_f = \sum_{i=1}^{|qs|} |qs[i]|_f$ 

We say that  $q \in \mathbf{PIEOTree}(t)$  is well-formed w.r.t f, denoted  $\vdash_f q$ , if it adheres to the following rules.

$$\frac{\forall i \in [1, |qs|], \; \vdash_f qs[i] \land |p|_{i,f} = |qs[i]|_f}{\vdash_f \mathsf{Internal}(qs, p)}$$

We say q is well-formed, denoted  $\vdash q$ , if there exists  $f \in \mathcal{F}$  such that, for all  $f' \geq f$ ,  $\vdash_{f'} q$ .

**Theorem 2.2.** Let  $t \in \mathsf{Topo}$ ,  $\mathsf{pkt} \in \mathsf{Pkt}$ ,  $d \in \mathsf{Data}$ ,  $f \in \mathcal{F}$ , and  $q \in \mathsf{PIEOTree}(t)$  such that  $\vdash q$ .

- (1) If  $pt \in \mathbf{Path}(t)$ , then push(q, pkt, d, pt) is well-defined and  $\vdash push(q, pkt, d, pt)$ .
- (2) If  $|q|_f > 0$ , then pop(q, f) is well-defined and  $\vdash q'$ , where pop(q, f) = (pkt, q').

Proof. TBD

## 3 Projection

**Definition 3.1.** For  $f \in \mathcal{F}$ , define  $\text{proj}_f : \text{PIEOTree}(t) \to \text{PIFOTree}(t)$  by

$$\frac{p' = \operatorname{proj}(p, f)}{\operatorname{proj}_f(\operatorname{Leaf}(p)) = \operatorname{Leaf}(p')} \qquad \frac{p' = \operatorname{proj}(p, f) \qquad \forall i \in [1, |qs|], \ qs'[i] = \operatorname{proj}_f(qs[i])}{\operatorname{proj}_f(\operatorname{Internal}(qs, p)) = \operatorname{Internal}(qs', p')}$$

**Lemma 3.2.** For  $q, q' \in \mathsf{PIEOTree}(t)$ ,

$$\forall f \in \mathcal{F}, \operatorname{proj}_f(q) = \operatorname{proj}_f(q') \implies q = q'$$

*Proof.* Suppose  $\operatorname{proj}_f(q) = \operatorname{proj}_f(q')$  for all  $f \in \mathcal{F}$ . We'll proceed by induction on t to show q = q'.

(Leaf) For t = \*, let q = Leaf(p) and q' = Leaf(p'). Since

$$\operatorname{proj}_f(q) = \operatorname{Leaf}(\operatorname{proj}(p, f)) = \operatorname{Leaf}(\operatorname{proj}(p', f)) = \operatorname{proj}_f(q')$$

we know  $\operatorname{proj}(p, f) = \operatorname{proj}(p', f)$  for all  $f \in \mathcal{F}$ . By  $\ref{eq:projection}$ , p = p' and hence q = q'.

(Node) For t = Node(ts) and n = |ts|, let q = Internal(qs, p) and q' = Internal(qs', p'). Notice

$$proj(p, f) = proj(p', f)$$

$$proj_f(qs[i]) = proj_f(qs'[i])$$

$$(i = 1, ..., n)$$

for all  $f \in \mathcal{F}$ . Hence, p = p' via ?? and qs = qs' by the inductive hypothesis, i.e. q = q'.

**Lemma 3.3.** For  $q \in \mathsf{PIEOTree}(t)$  and  $f \in \mathcal{F}$ ,  $\mathsf{pop}(q, f)$  is undefined if and only if  $\mathsf{pop}(\mathsf{proj}_f(q))$  is undefined.

*Proof.* We'll do induction on t.

(Leaf) For 
$$t = *$$
, let  $q = \text{Leaf}(p)$  and  $\text{proj}_f(q) = \text{Leaf}(p')$ . By **??** in **??**,

$$pop(q, f)$$
 is undefined  $\iff pop(p, f)$  is undefined

$$\iff$$
 pop $(p')$  is undefined

$$\iff$$
 pop(proj<sub>f</sub>(q)) is undefined

(Node) For t = Node(ts), let q = Internal(qs, p) and  $\text{proj}_f(q) = \text{Internal}(qs', p')$ . As before,

$$pop(p, f)$$
 is undefined  $\iff pop(p')$  is undefined

by ?? in ?? and

$$pop(qs[i], f)$$
 is undefined  $\iff pop(qs'[i])$  is undefined  $\forall i \in [1, |ts|]$ 

by the inductive hypothesis. Hence, using ?? in ??,

$$pop(q, f)$$
 is undefined  $\iff pop(p, f)$  is undefined  $\lor (pop(p, f) = (i, \_) \land pop(qs[i], f)$  is undefined)

$$\iff$$
 pop $(p')$  is undefined  $\lor$  (pop $(p') = (i, \_) \land pop(qs'[i])$  is undefined)

$$\iff$$
 pop(proj<sub>f</sub>(q)) is undefined

**Lemma 3.4.** For  $q \in \mathsf{PIEOTree}(t)$  and  $f \in \mathcal{F}$ ,

$$pop(q, f) = (pkt, q') \implies pop(proj_f(q)) = (pkt, proj_f(q'))$$

*Proof.* More induction on t!

(Leaf) For 
$$t = *$$
, let

$$q = \text{Leaf}(p_1)$$
  $\text{proj}_f(q) = \text{Leaf}(p_2)$ 

$$q' = \text{Leaf}(p'_1)$$
  $\text{proj}_f(q') = \text{Leaf}(p'_2)$ 

$$pop(q, f) = (pkt, q') \implies pop(p_1, f) = (pkt, p'_1)$$

$$\implies pop(p_2) = pop(proj(p_1, f)) = (pkt, proj(p'_1, f)) = (pkt, p'_2)$$

$$\implies pop(proj_f(q)) = (pkt, proj_f(q'))$$

(Node) For t = Node(ts), Let

$$q = \operatorname{Internal}(qs_1, p_1)$$
  $\operatorname{proj}_f(q) = \operatorname{Internal}(qs_2, p_2)$   
 $q' = \operatorname{Internal}(qs'_1, p'_1)$   $\operatorname{proj}_f(q') = \operatorname{Internal}(qs'_2, p'_2)$ 

Using ?? in ?? again and the inductive hypothesis,

$$\begin{aligned} \mathsf{pop}(q,f) &= (\mathsf{pkt},q') \implies \mathsf{pop}(p_1,f) = (i,p_1') \land \mathsf{pop}(qs_1[i],f) = (\mathsf{pkt},qs_1'[i]) \\ &\implies \mathsf{pop}(\mathsf{proj}(p_1,f)) = (i,\mathsf{proj}(p_1',f)) \land \mathsf{pop}(\mathsf{proj}_f(qs_1[i])) = (\mathsf{pkt},\mathsf{proj}_f(qs_1'[i])) \\ &\implies \mathsf{pop}(p_2) = (i,p_2') \land \mathsf{pop}(qs_2[i]) = (\mathsf{pkt},qs_2'[i]) \\ &\implies \mathsf{pop}(\mathsf{proj}_f(q)) = (\mathsf{pkt},\mathsf{proj}_f(q')) \end{aligned}$$

**Lemma 3.5.** For  $q \in \mathsf{PIEOTree}(t)$ ,  $\mathsf{pkt} \in \mathsf{Pkt}$ ,  $d \in \mathsf{Data}$ ,  $pt \in \mathsf{Path}(t)$ , and  $f \in \mathcal{F}$ ,

$$\operatorname{proj}_f(\operatorname{push}(q,\operatorname{pkt},d,\operatorname{pt})) = \begin{cases} \operatorname{push}(\operatorname{proj}_f(q),\operatorname{pkt},\operatorname{pt}) & f(d) \text{ holds true} \\ \operatorname{proj}_f(q) & \text{otherwise} \end{cases}$$

*Proof.* Even more induction on t!

(Leaf) For 
$$t = *$$
, let  $q = \text{Leaf}(p)$  and  $pt = r$ . By **??** in **??**.

$$\begin{split} \operatorname{proj}_f(\operatorname{push}(q,\operatorname{pkt},d,pt)) &= \operatorname{Leaf}(\operatorname{proj}(\operatorname{push}(p,\operatorname{pkt},d,r),f)) \\ &= \begin{cases} \operatorname{Leaf}(\operatorname{push}(\operatorname{proj}(p,f),\operatorname{pkt},r)) & f(d) \text{ holds true} \\ \operatorname{Leaf}(\operatorname{proj}(p,f)) & \text{otherwise} \end{cases} \\ &= \begin{cases} \operatorname{push}(\operatorname{proj}_f(q),\operatorname{pkt},pt) & f(d) \text{ holds true} \\ \operatorname{proj}_f(q) & \text{otherwise} \end{cases} \end{split}$$

(Node) For t = Node(ts), let pt = (i, r) :: pt' and

$$q = \mathsf{Internal}(qs, p)$$
 
$$\mathsf{proj}_f(q) = \mathsf{Internal}(qs', p')$$
 
$$\mathsf{push}(\mathsf{proj}_f(q), \mathsf{pkt}, pt) = \mathsf{Internal}(qs'', p'')$$
 
$$\mathsf{proj}_f(\mathsf{push}(q, \mathsf{pkt}, d, pt)) = \mathsf{Internal}(qs''', p''')$$

By ?? in ?? and the inductive hypothesis

$$p''' = \begin{cases} \operatorname{push}(\operatorname{proj}(p,f),i,r) & f(d) \text{ holds true} \\ \operatorname{proj}(p,f) & \text{otherwise} \end{cases}$$

$$= \begin{cases} \operatorname{push}(p',i,r) & f(d) \text{ holds true} \\ p' & \text{otherwise} \end{cases} = \begin{cases} p'' & f(d) \text{ holds true} \\ p' & \text{otherwise} \end{cases}$$

$$qs'''[i] = \begin{cases} \operatorname{push}(\operatorname{proj}_f(qs[i]), \operatorname{pkt}, pt') & f(d) \text{ holds true} \\ \operatorname{proj}_f(qs[i]) & \text{otherwise} \end{cases}$$

$$= \begin{cases} \operatorname{push}(qs'[i], \operatorname{pkt}, pt') & f(d) \text{ holds true} \\ qs'[i] & \text{otherwise} \end{cases}$$

$$= \begin{cases} \operatorname{qs''}[i] & f(d) \text{ holds true} \\ qs'[i] & \text{otherwise} \end{cases}$$

By inspection of ?? and ??,

$$qs'[j] = qs''[j] = qs'''[j] = proj_f(qs[j])$$

for all  $j \in [1, |ts|]$  such that  $j \neq i$ . Hence,

$$qs''' = \begin{cases} qs'' & f(d) \text{ holds true} \\ qs' & \text{otherwise} \end{cases}$$

Putting everything together,

$$\begin{split} \operatorname{proj}_f(\operatorname{push}(q,\operatorname{pkt},d,pt)) &= \operatorname{Internal}(qs'',p''') \\ &= \begin{cases} \operatorname{Internal}(qs'',p'') & f(d) \text{ holds true} \\ \operatorname{Internal}(qs',p') & \text{otherwise} \end{cases} \\ &= \begin{cases} \operatorname{push}(\operatorname{proj}_f(q),\operatorname{pkt},pt) & f(d) \text{ holds true} \\ \operatorname{proj}_f(q) & \text{otherwise} \end{cases} \end{split}$$

## 4 Embedding & Simulation

**Definition 4.1.** Let  $t_1, t_2 \in \textbf{Topo}$ . We call a relation  $R \subseteq \textbf{PIEOTree}(t_1) \times \textbf{PIEOTree}(t_2)$  a *simulation* if, for all pkt  $\in \textbf{Pkt}$ ,  $f \in \mathcal{F}$ , and  $g_1 R g_2$ ,

- (1) If  $pop(q_1, f)$  is undefined, then so is  $pop(q_2, f)$
- (2) If  $pop(q_1, f) = (pkt, q'_1)$ , then  $pop(q_2, f) = (pkt, q'_2)$  such that  $q'_1 R q'_2$ .
- (3) For all  $pt_1 \in \mathbf{Path}(t_1)$  and  $d \in \mathbf{Data}$ , there exists  $pt_2 \in \mathbf{Path}(t_2)$  such that

$$push(q_1, pkt, d, pt_1) R push(q_2, pkt, d, pt_2)$$

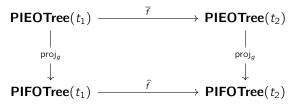
If such a simulation exists, we say that  $q_1$  is *simulated* by  $q_2$ , and we write  $q_1 \leq q_2$ .

**Remark 4.2.** For all further discussion, we assume our embeddings are injective.

**Definition 4.3.** For  $t_1$ ,  $t_2 \in \textbf{Topo}$ , let f be an embedding from  $t_1$  to  $t_2$ . We lift f to a map  $\overline{f}$  from **PIEOTree** $(t_1)$  to **PIEOTree** $(t_2)$  inductively.

- For  $t_1 = *$ , define  $\overline{f}(q) = q$ . This is well-defined by [?, Lemma 5.2].
- For  $t_1 = \text{Node}(ts_1)$ ,  $n = |ts_1|$ , q = Internal(qs, p), construct  $\overline{f}_{\alpha}(q) \in \text{PIEOTree}(t_2/\alpha)$  for each prefix  $\alpha$  of f(i) for some  $i \in [1, n]$ . Inductively, we'll build up from f(i)'s to  $\epsilon$  and set  $\overline{f}(q) = \overline{f}_{\epsilon}(q)$ .
  - Let  $\alpha = f(i)$  for some  $i \in [1, n]$ . We'll set  $\overline{f}_{\alpha}(q) = \overline{f}_i(qs[i])$ , where  $f_i$  embeds  $t_1/i$  into  $t_2/f(i)$  as per [?, Lemma 5.2]. This well-defined by the injectivity of f.
  - Let  $\alpha$  point to a transient node, say with m children. For  $1 \leq j \leq m$  such that  $\alpha \cdot j$  is not a prefix of some f(i), define  $\overline{f}(q)_{\alpha \cdot j}$  to be the PIEO tree with empty PIEOs on all leaves and internal nodes. With this and recursion, we know  $\overline{f}(q)_{\alpha \cdot j} \in \mathbf{PIEOTree}(t_2/(\alpha \cdot j))$  for all  $j \in [1, m]$ . We create a new PIEO  $p_{\alpha}$  as follows:
    - (1) Start with  $p_{\alpha}$  empty
    - (2) For each i in p such that  $\alpha \cdot j$  is a prefix of f(i), push j into  $p_{\alpha}$  with i's data and rank Finally, for all  $j \in [1, m]$ , set  $qs_{\alpha}[j] = \overline{f}(q)_{\alpha \cdot j}$  and  $\overline{f}(q)_{\alpha} = \operatorname{Internal}(qs_{\alpha}, p_{\alpha})$ .

**Theorem 4.4.** The following diagram commutes



In other words, for  $q \in \mathbf{PIEOTree}(t_1)$  and  $g \in \mathcal{F}$ ,  $\operatorname{proj}_g(\overline{f}(q)) = \widehat{f}(\operatorname{proj}_g(q))$ .

*Proof.* We'll proceed by induction on  $t_1$ . Suppose  $t_1 = *$  and q = Leaf(p). By [?, Lemma 5.3],  $t_2 = *$  as well. By ?? and [?, Definition 5.4], both  $\overline{f}$  and  $\widehat{f}$  are the identity. Hence,

$$\operatorname{proj}_g(\overline{f}(q)) = \operatorname{proj}_g(q) = \widehat{f}(\operatorname{proj}_g(q))$$

Suppose  $t_1 = \text{Node}(ts)$  and q = Internal(qs, p). For any prefix  $\alpha$  of f(i) for  $i \in [1, |ts|]$ , we'll show

$$\operatorname{proj}_{a}(\overline{f}(q)_{\alpha}) = \widehat{f}(\operatorname{proj}_{a}(q))_{\alpha} \tag{*}$$

by inverse induction on  $\alpha$ . Instantiating **??** with  $\alpha = \epsilon$  yields the desired result.

• For  $\alpha = f(i)$ , ?? holds by the outer inductive hypothesis because

$$\operatorname{proj}_{g}(\overline{f}(q)_{\alpha}) = \operatorname{proj}_{g}(\overline{f}_{i}(q)) = \widehat{f}_{i}(\operatorname{proj}_{g}(q)) = \widehat{f}(\operatorname{proj}_{g}(q))_{\alpha}$$

• Suppose  $\alpha$  is some strict prefix of f(i), pointing to a node with m children. Let

$$\operatorname{proj}_g(\overline{f}(q)) = \operatorname{Internal}(qs', p')$$
 and  $\widehat{f}(\operatorname{proj}_g(q)) = \operatorname{Internal}(qs'', p'')$ 

There's two parts to showing ??, namely qs' = qs'' and p' = p''.

- For all  $i \in [1, m]$ ,

$$\operatorname{proj}_q(\overline{f}(q)_{\alpha \cdot j}) = \widehat{f}(\operatorname{proj}_q(q))_{\alpha \cdot j}$$

For j such that  $\alpha \cdot j$  is a prefix of some f(i), this follows from the inner inductive hypothesis. For all other j, notice the LHS and RHS are both PIFO trees of topology  $t_2$ , with empty PIFOs on all leaves and internal nodes. Hence, qs'[j] = qs''[j] for all  $j \in [1, m]$ , i.e. qs' = qs''.

- By inspection, it's clear following the construction for  $p_{\alpha}$  from ?? and then computing the projection  $\operatorname{proj}(p_{\alpha},g)$  yields the same result as following the recipe for  $p_{\alpha}$  from [?, Definition 5.4] on the projection  $\operatorname{proj}_g(q)$ : that is, exactly when we filter out elements not satisfying g does not matter. Hence, p' = p''.

**Lemma 4.5.** Let  $t_1, t_2 \in \textbf{Topo}$  and f be an embedding of  $t_1$  inside  $t_2$ . For  $g \in \mathcal{F}$ ,

$$pop(q, g)$$
 is undefined  $\implies pop(\overline{f}(q), g)$  is undefined

*Proof.* Suppose pop(q,g) is undefined. Applying both  $\ref{proj}_g(q)$  and  $[\ref{q}]$ , Lemma 5.6], notice  $pop(\widehat{f}(proj_g(q)))$  is undefined. By  $\ref{q}$ ,  $\widehat{f}(proj_g(q)) = proj_g(\overline{f}(q))$ . Hence,  $pop(proj_g(\overline{f}(q)))$  is undefined. Applying  $\ref{q}$ ? once more,  $pop(\overline{f}(q),g)$  is undefined.

**Lemma 4.6.** Let  $t_1$ ,  $t_2 \in \textbf{Topo}$  and f be an embedding of  $t_1$  inside  $t_2$ . For  $g \in \mathcal{F}$ ,

$$pop(q, g) = (pkt, q') \implies pop(\overline{f}(q), g) = (pkt, \overline{f}(q'))$$

Almost a clone of the proof [?, Lemma 5.7].

*Proof.* We'll proceed by induction on  $t_1$ . Suppose  $t_1 = *$ . By [?, Lemma 5.3],  $t_2 = *$  as well. By ??,  $\overline{f}$  is the identity. Hence,

$$pop(q, g) = (pkt, q') \implies pop(\overline{f}(q), g) = pop(q, g) = (pkt, q') = (pkt, \overline{f}(q'))$$

Suppose  $t_1 = Node(ts)$ . Let

$$q = Internal(qs, p)$$
  $q' = Internal(qs', p')$   $pop(p, g) = (j, p')$ 

For any prefix  $\alpha$  of some f(i) (where  $i \in [1, |ts|]$ ), we'll show

$$pop(\overline{f}(q)_{\alpha}, g) = (pkt, \overline{f}(q')_{\alpha}) \qquad \text{if } \alpha \text{ is a prefix of } f(j)$$

$$\overline{f}(q)_{\alpha} = \overline{f}(q')_{\alpha} \qquad \text{otherwise}$$

by inverse induction on  $\alpha$ . Instantiating ?? with  $\alpha = \epsilon$  yields the desired result.

• Suppose  $\alpha = f(i)$ . If  $\alpha$  is a prefix of f(j), i = j by injectivity and [?, Definition 5.2, Equation (3)]. Recall pop(qs[j], g) = (pkt, qs'[j]). Hence, by the outer inductive hypothesis,

$$pop(\overline{f}(q)_{\alpha}, g) = pop(\overline{f}_{j}(qs[j]), g) = (pkt, \overline{f}_{j}(qs'[j])) = (pkt, \overline{f}(q')_{\alpha})$$

• Once more, suppose  $\alpha = f(i)$ . If  $\alpha$  is not a prefix of f(j), then  $i \neq j$ . Since qs[i] = qs'[i],

$$\overline{f}(q)_{\alpha} = \overline{f_i}(qs[i]) = \overline{f_i}(qs'[i]) = \overline{f}(q')_{\alpha}$$

• Suppose  $\alpha$  is some strict prefix of f(j), pointing to a node with m children. Let

$$\overline{f}(q)_{\alpha} = \operatorname{Internal}(qs_{\alpha}, p_{\alpha})$$
  $\overline{f}(q')_{\alpha} = \operatorname{Internal}(qs'_{\alpha}, p'_{\alpha})$ 

There exists  $k \in [1, m]$  such that  $\alpha \cdot k$  is a prefix of f(j). By the inner inductive hypothesis,

$$qs_{\alpha}[i] = \overline{f}(q)_{\alpha \cdot i} = \overline{f}(q')_{\alpha \cdot i} = qs_{\alpha}[i] \text{ for } i \in [1, m] \text{ with } i \neq k$$

$$pop(qs_{\alpha}[k], q) = pop(\overline{f}(q)_{\alpha \cdot k}, q) = (pkt, \overline{f}(q')_{\alpha \cdot k}) = (pkt, qs'[k])$$
(!)

Via the construction in **??** and since pop(p, g) = p',  $pop(p_{\alpha}, g) = (k, p'_{\alpha})$ . Putting everything together,  $pop(\overline{f}(q)_{\alpha}, g) = (pkt, \overline{f}(q')_{\alpha})$ , as desired.

• Suppose  $\alpha$  is some strict prefix of some f(i) but not f(i), pointing to a node with m children. Let

$$\overline{f}(q)_{\alpha} = \operatorname{Internal}(qs_{\alpha}, p_{\alpha})$$
  $\overline{f}(q')_{\alpha} = \operatorname{Internal}(qs'_{\alpha}, p'_{\alpha})$ 

Since p and p' agree on all indices i such that f(i) is a child of  $\alpha$ ,  $p_{\alpha} = p'_{\alpha}$ . For  $i \in [1, m]$ , since  $\alpha \cdot i$  is not a prefix of f(j), the inner inductive hypothesis yields

$$qs_{\alpha}[i] = \overline{f}(q)_{\alpha \cdot i} = \overline{f}(q')_{\alpha \cdot i} = qs'_{\alpha}[i]$$
(!!)

Putting everything together,  $\overline{f}(q)_{\alpha} = \overline{f}(q')_{\alpha}$ , as desired.

**NOTE:** even when  $\alpha \cdot i$  is not a prefix of any f(i), ?? and ?? hold! Both  $\overline{f}(q)_{\alpha \cdot i}$  and  $\overline{f}(q')_{\alpha \cdot i}$  would be PIEO trees with empty PIEOs on all leaf and internal nodes.

**Lemma 4.7.** Let  $t_1, t_2 \in \textbf{Topo}$  and f be an embedding of  $t_1$  inside  $t_2$ . For  $pkt \in \textbf{Pkt}$ ,  $d \in \textbf{Data}$ , and  $pt \in \textbf{Path}(t_1)$ ,

$$\overline{f}(\operatorname{push}(q,\operatorname{pkt},d,pt)) = \operatorname{push}(\overline{f}(q),\operatorname{pkt},d,\widetilde{f}(pt))$$

*Proof.* Let  $q_2 = \overline{f}(q_1)$ . For  $g \in \mathcal{F}$  such that g(d) holds true,

$$(\text{by } \ref{eq:proj}_g(\overline{f}(\text{push}(q_1, \text{pkt}, d, pt))) = \widehat{f}(\text{proj}_g(\text{push}(q_1, \text{pkt}, d, pt)))$$

$$= \widehat{f}(\operatorname{push}(\operatorname{proj}_g(q_1),\operatorname{pkt},pt))$$

(by [?, Lemma 5.9]) 
$$= \operatorname{push}(\widehat{f}(\operatorname{proj}_g(q_1)), \operatorname{pkt}, \widetilde{f}(pt))$$

$$= \operatorname{push}(\operatorname{proj}_g(q_2),\operatorname{pkt},\widetilde{f}(pt))$$

$$= \operatorname{proj}_{g}(\operatorname{push}(q_{2}, \operatorname{pkt}, d, \widetilde{f}(pt)))$$

For  $g \in \mathcal{F}$  such that g(d) does not hold true,

(by 
$$??$$
)  $\operatorname{proj}_{a}(\overline{f}(\operatorname{push}(q_{1},\operatorname{pkt},d,pt))) = \widehat{f}(\operatorname{proj}_{a}(\operatorname{push}(q_{1},\operatorname{pkt},d,pt)))$ 

$$=\widehat{f}(\operatorname{proj}_g(q_1))$$

$$(\text{by } \ref{eq:condition}) = \operatorname{proj}_g(q_2)$$

$$= \operatorname{proj}_{g}(\operatorname{push}(q_{2}, \operatorname{pkt}, d, \widetilde{f}(pt)))$$

Overall,  $\operatorname{proj}_g(\overline{f}(\operatorname{push}(q_1,\operatorname{pkt},d,pt))) = \operatorname{proj}_g(\operatorname{push}(q_2,\operatorname{pkt},d,\widetilde{f}(pt)))$  for all  $g \in \mathcal{F}$ . Hence,

$$\overline{f}(\operatorname{push}(q_1,\operatorname{pkt},d,pt)) = \operatorname{push}(q_2,\operatorname{pkt},d,\widetilde{f}(pt))$$

**Theorem 4.8.** Let  $t_1, t_2 \in \textbf{Topo}$ . If f embeds  $t_1$  into  $t_2$ , then

$$R = \{(q, \overline{f}(q)) \mid q \in \mathsf{PIEOTree}(t_1)\}$$

is a simulation.

by ??, as desired.

Proof. By ??, ??, and ??, the conditions from ?? hold.