# **Dequeue-Side Semantics**

**Disclaimer**: we assume familiarity with [MLF<sup>+</sup>23], adopt its notational conventions, and steal its definitions! Further, we work with a specific subset of *Rio*, denoted **Rio**, namely

$$\frac{c \in \mathsf{Class}}{\mathsf{edf}[c], \mathsf{fifo}[c] \in \mathsf{Rio}} \ \mathsf{set2stream} \\ \frac{n \in \mathbb{N} \qquad rs \in \mathsf{Rio}^n}{\mathsf{strict}[rs], \mathsf{rr}[rs] \in \mathsf{Rio}} \ \mathsf{stream2stream}$$

where **Class** is an opaque collection of *classes*.

## 1 Structure and Semantics of Rio Trees

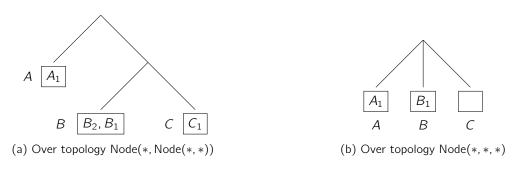


Figure 1. Rio trees decorated by classes A, B, and C

**Definition 1.1.** For topology  $t \in \textbf{Topo}$ , the set **RioTree**(t) of *Rio trees* over t is defined by

$$\frac{\rho \in \mathsf{PIFO}(\mathsf{Pkt}) \qquad c \in \mathsf{Class}}{\mathsf{Leaf}(p,c) \in \mathsf{RioTree}(*)} \qquad \frac{ts \in \mathsf{Topo}^n \qquad \forall 1 \leq i \leq n. \ qs[i] \in \mathsf{RioTree}(ts[i])}{\mathsf{Internal}(qs) \in \mathsf{RioTree}(\mathsf{Node}(ts))}$$

These are trees with leaves decorated by both classes and PIFOs.

**Definition 1.2.** For topology  $t \in \textbf{Topo}$ , the set OrdTree(t) of ordered trees over t is defined by

$$ts \in \mathbf{Topo}^n \qquad rs \in \mathbf{Rk}^n$$

$$\forall 1 \leq i < j \leq n. \ rs[i] \neq rs[j] \qquad \forall 1 \leq i \leq n. \ os[i] \in \mathbf{OrdTree}(ts[i])$$

$$\mathsf{Internal}(rs, os) \in \mathbf{OrdTree}(\mathsf{Node}(ts))$$

These are trees with each internal node's child given a *unique* rank, thereby inducing a total ordering of children.

Let **flow**:  $Pkt \rightarrow Class$  be an opaque mapping from packets to the class they belong to (flow inference).

**Definition 1.3.** For  $t \in \textbf{Topo}$ , define push :  $\textbf{RioTree}(t) \times \textbf{Pkt} \times \textbf{Rk} \rightarrow \textbf{RioTree}(t)$  such that

$$\frac{\textbf{flow}(\mathsf{pkt}) = c \quad \mathsf{push}(p, \mathsf{pkt}, r) = p'}{\mathsf{push}(\mathsf{Leaf}(p, c), \mathsf{pkt}, r) = \mathsf{Leaf}(p', c)} \quad \frac{\forall 1 \leq i \leq |qs|. \; \mathsf{push}(qs[i], \mathsf{pkt}, r) = qs'[i]}{\mathsf{push}(\mathsf{Internal}(qs), \mathsf{pkt}, r) = \mathsf{Internal}(qs')} \quad \frac{\mathsf{flow}(\mathsf{pkt}) \neq c}{\mathsf{push}(\mathsf{Leaf}(p, c), \mathsf{pkt}, r) = \mathsf{Leaf}(p, c)}$$

Informally, we recursively push to all subtrees but only the PIFOs on leaves with the packet's flow are updated.

**Definition 1.4.** For  $t \in \mathsf{Topo}$ , define pop :  $\mathsf{RioTree}(t) \times \mathsf{OrdTree}(t) \rightharpoonup \mathsf{Pkt} \times \mathsf{RioTree}(t)$  such that

$$\begin{aligned} & \operatorname{pop}(qs[i], os[i]) = (\operatorname{pkt}, q) \\ & \operatorname{pop}(\operatorname{pop}(\operatorname{pop}(p) = (\operatorname{pkt}, p')) \\ & \operatorname{pop}(\operatorname{Leaf}(p, c), \operatorname{Leaf}) = (\operatorname{pkt}, \operatorname{Leaf}(p', c)) \end{aligned} \qquad \begin{aligned} & \operatorname{pop}(qs[i], os[i]) = (\operatorname{pkt}', q') \implies rs[i] < rs[j] \\ & \operatorname{pop}(\operatorname{Internal}(qs), \operatorname{Internal}(rs, os)) = (\operatorname{pkt}, \operatorname{Internal}(qs[q/i])) \end{aligned}$$

Informally, we recursively pop the smallest ranked, poppable subtree.

## 2 Modelling Scheduling Algorithms

Like [MLF<sup>+</sup>23], we model scheduling algorithms through a *control*, a thin-layer over the tree policies run on. They keep track of

- (1) a state from a fixed collection St
- (2) an underlying tree of buffered packets
- (3) scheduling transactions that update state and construct auxillary structures to push or pop the tree

They come in two flavors: Rio & PIFO Controls. The former determines the order to forward packets at dequeue while latter does so at engueue.

#### 2.1 Controls

$$\frac{\mathsf{z}_{\mathsf{pre-push}}(s,\mathsf{pkt}) = (r,s') \quad \mathsf{push}(q,\mathsf{pkt},r) = q'}{\mathsf{push}((s,q,\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{pre-pop}},\mathsf{z}_{\mathsf{post-pop}}),\mathsf{pkt}) = (s',q',\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{pre-pop}},\mathsf{z}_{\mathsf{post-pop}})} \, \mathsf{RioCtrl-Push}$$
 
$$\frac{\mathsf{z}_{\mathsf{pre-pop}}(s) = (o,s') \quad \mathsf{pop}(q,o) = (\mathsf{pkt},q') \quad \mathsf{z}_{\mathsf{post-pop}}(s',\mathsf{pkt}) = s''}{\mathsf{pop}((s,q,\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{pre-pop}},\mathsf{z}_{\mathsf{post-pop}})) = (\mathsf{pkt},(s'',q',\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{pre-pop}},\mathsf{z}_{\mathsf{post-pop}}))} \, \mathsf{RioCtrl-Pop}$$
 
$$\frac{\mathsf{z}_{\mathsf{pre-push}}(s,\mathsf{pkt}) = (pt,s') \quad \mathsf{push}(q,\mathsf{pkt},pt) = q'}{\mathsf{push}((s,q,\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{post-pop}}),\mathsf{pkt}) = (s',q',\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{post-pop}})} \, \mathsf{PIFOCtrl-Push}$$
 
$$\frac{\mathsf{pop}(q) = (\mathsf{pkt},q') \quad \mathsf{z}_{\mathsf{post-pop}}(s,\mathsf{pkt}) = s'}{\mathsf{pop}((s,q,\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{post-pop}})) = (\mathsf{pkt},(s',q',\mathsf{z}_{\mathsf{pre-push}},\mathsf{z}_{\mathsf{post-pop}}))} \, \mathsf{PIFOCtrl-Pop}}$$

Figure 2. Pushing and Popping Controls

**Definition 2.1.** Let  $t \in \textbf{Topo}$  and scheduling transactions  $z_{pre-push}$  and  $z_{post-pop}$  be partial functions

$$z_{\text{pre-push}}: \mathbf{St} \times \mathbf{Pkt} 
ightharpoonup \mathbf{Path}(t) \times \mathbf{St}$$
 $z_{\text{post-pop}}: \mathbf{St} \times \mathbf{Pkt} 
ightharpoonup \mathbf{St}$ 

Define  $PIFOControl(t, z_{pre-push}, z_{post-pop})$  to be the set of quadruples

$$(s, q, z_{pre-push}, z_{post-pop})$$

where  $s \in \mathbf{St}$  and well-formed  $q \in \mathbf{PIFOTree}(t)$ .

**Definition 2.2.** Let  $t \in \textbf{Topo}$  and scheduling transactions  $z_{pre-push}$ ,  $z_{pre-pop}$ ,  $z_{post-pop}$  be partial functions

$$\begin{split} & z_{\text{pre-push}} : \textbf{St} \times \textbf{Pkt} \, \rightharpoonup \, \textbf{Rk} \times \textbf{St} \\ & z_{\text{pre-pop}} : \textbf{St} \, \rightharpoonup \, \textbf{OrdTree}(t) \times \textbf{St} \\ & z_{\text{post-pop}} : \textbf{St} \times \textbf{Pkt} \, \rightharpoonup \, \textbf{St} \end{split}$$

Define **RioControl**(t,  $z_{pre-push}$ ,  $z_{pre-pop}$ ,  $z_{post-pop}$ ) to be the set of quintuples

$$(s, q, Z_{pre-push}, Z_{pre-pop}, Z_{post-pop})$$

where  $s \in \mathbf{St}$  and  $q \in \mathbf{RioTree}(t)$ .

Both controls admit push and pop operations:

```
push : PIFOControl(t, z_{pre-push}, z_{post-pop}) \times Pkt \rightharpoonup PIFOControl(t, z_{pre-push}, z_{post-pop})
pop : PIFOControl(t, z_{pre-push}, z_{post-pop}) \rightharpoonup Pkt \times PIFOControl(t, z_{pre-push}, z_{post-pop})
push : RioControl(t, z_{pre-push}, z_{pre-pop}, z_{post-pop}) \times Pkt \rightharpoonup RioControl(t, z_{pre-push}, z_{pre-pop}, z_{post-pop})
pop : RioControl(t, z_{pre-push}, z_{pre-pop}, z_{post-pop}) \rightharpoonup Pkt \times RioControl(t, z_{pre-push}, z_{pre-pop}, z_{post-pop})
```

Their semantics are written out in full in Figure 2.

### 2.2 Simulations

**Definition 2.3.** For  $t \in \textbf{Topo}$ , define  $empty_t \in \textbf{PIFOTree}(t)$  such that

$$\frac{p \in \mathsf{PIFO}(\mathsf{Pkt}) \quad \mathsf{pop}(p) \text{ is undefined}}{\mathsf{empty}_* = \mathsf{Leaf}(p)} \qquad \frac{ts \in \mathsf{Topo}^n \quad p \in \mathsf{PIFO}(\{1, \dots, n\})}{\mathsf{pop}(p) \text{ is undefined}} \qquad \frac{\mathsf{pop}(p) \quad \mathsf{pop}(p)}{\mathsf{pop}(p) \quad \mathsf{pop}(p)} \qquad \forall 1 \leq i \leq n. \quad qs[i] = \mathsf{empty}_{ts[i]}}{\mathsf{empty}_{\mathsf{Node}(ts)} = \mathsf{Internal}(p, qs)}$$

Informally, empty<sub>t</sub> is a PIFO tree of topology t, with empty PIFOs at all nodes.

**Definition 2.4.** Define relation  $\rightarrow \subseteq \mathsf{PIFOControl}(t, \mathsf{z}_{\mathsf{pre-push}}, \mathsf{z}_{\mathsf{post-pop}}) \times \mathsf{PIFOControl}(t, \mathsf{z}_{\mathsf{pre-push}}, \mathsf{z}_{\mathsf{post-pop}})$  by

$$\frac{\mathsf{pkt} \in \mathbf{Pkt} \qquad \mathsf{push}(c, \mathsf{pkt}) = c'}{c \to c'} \, \mathsf{Step-Push} \qquad \qquad \frac{\mathsf{pop}(c) = (\mathsf{pkt}, c')}{c \to c'} \, \mathsf{Step-Pop}$$

i.e.  $c \to c'$  if c' is a push or pop from c. We write  $\to^*$  for the reflexive transitive closure of  $\to$ .

**Definition 2.5.** A partial function

$$f: \mathbf{PIFOControl}(t, \mathsf{z}_{\mathsf{pre-push}}, \mathsf{z}_{\mathsf{post-pop}}) \@ifnextchar`{=}{\@ifnextchar`{\mathsf{RioControl}}}(t', \mathsf{z}'_{\mathsf{pre-push}}, \mathsf{z}'_{\mathsf{pre-pop}}, \mathsf{z}'_{\mathsf{post-pop}})$$

and control  $c_{init} \in dom f$  form a simulation if the following conditions are satisfied:

- (1) The PIFO tree in  $c_{init}$  is empty, i.e.  $c_{init} = (s, empty_t, z_{pre-push}, z_{post-pop})$ .
- (2) When  $c_{\text{init}} \rightarrow^* c_1$  and  $f(c_1) = c_2$ , we can guarantee the following:

(a) 
$$pop(c_1)$$
 is undefined  $\implies pop(c_2)$  is undefined

(b) 
$$pop(c_1) = (pkt, c'_1) \implies pop(c_2) = (pkt, c'_2) \land f(c'_1) = c'_2$$

(c) 
$$\operatorname{push}(c_1,\operatorname{pkt}) = c_1' \implies \operatorname{push}(c_2,\operatorname{pkt}) = c_2' \wedge f(c_1') = c_2'$$

**Abuse of Notation 2.6.** For simulation f and  $c_{init}$ , suppose

$$f(s, q, z_{\text{pre-push}}, z_{\text{post-pop}}) = (s', q', z_{\text{pre-push}}, z_{\text{pre-pop}}, z_{\text{post-pop}})$$

We'll use f(s) and f(g) to mean s' and g' respectively.

**Definition 2.7.** For  $t \in \mathsf{Topo}$ , define the set  $\mathsf{CTopo}(t)$  such that

These are topologies decorated with classes at the leaves.

As we usually use t to denote elements of **Topo**, we'll use  $\tau$  for elements of **CTopo**.

**Definition 2.8.** For  $t \in \mathsf{Topo}$ , define  $\mathsf{proj} : \mathsf{CTopo}(t) \times \mathsf{PIFOTree}(t) \to \mathsf{RioTree}(t)$  such that

$$\frac{\forall 1 \leq i \leq |qs|. \ \operatorname{proj}(\tau s[i], qs[i]) = qs'[i]}{\operatorname{proj}(\operatorname{Node}(\tau s), \operatorname{Internal}(p, qs)) = \operatorname{Internal}(qs')}$$

## 3 Example Controls & Simulations

For all further discussion, we make transparent our previously opaque collections:

 $\mathbf{St} = \{s \mid s \text{ is dictionary mapping string} \to \mathbf{float}\}$   $\mathbf{Rk} = \mathbf{Class} = \mathbb{N}$   $\mathrm{Im}(\mathbf{flow}) = [0, n-1]$  for some  $n \in \mathbb{N}$ .

#### 3.1 Round-Robin

```
1 def z_pre-push(st, pkt):
                                            1 def z_pre-push(st, pkt):
   r = arrival_time(pkt)
                                            2 return arrival_time(pkt)
    f = flow(pkt)
                                            1 def z_pre-pop(st):
    rank_ptr = "r_" + str(f)
                                                turn = int(s["turn"])
    r_i = int(st[rank_ptr])
                                                 rs = []
     st["rank_ptr"] += float(n)
                                                for i in range(n):
                                            4
     return ((f, r_i) :: r, st)
                                                 # Python %, e.g. -2 % 3 == 1, not -2
                                            5
                                                    rs.append((i - turn) % n)
1 def z_post-pop(st, pkt):
    f = flow(pkt)
                                            7    return Internal(rs, [Leaf] * n)
    turn = int(s["turn"])
3
                                            1 def z_post-pop(st, pkt):
    i = turn
4
                                            f = flow(pkt)
     while i != f:
5
                                                st["turn"] = float((f + 1) % n)
       st["r_" + str(i)] += n
6
                                                return st
         i = (i + 1) \% n
   st["turn"] = float((f + 1) % n)
                                                         (b) Round-Robin Rio Control
   if turn >= st["turn"]:
9
10
     st["cycle"] += 1
11 return st
```

(a) Round-Robin PIFO Control

Figure 3. Scheduling Transactions

Let's put our theory to use by constructing PIFO and Rio controls for  $\mathbf{rr}[(\mathbf{FIFO}[0], \mathbf{FIFO}[1], \dots, \mathbf{FIFO}[n-1])]$ . Both controls use the same underlying topologies, namely

$$t = \mathsf{Node}(\underbrace{*,*,\ldots,*}_{n \text{ times}}) \in \mathbf{Topo}$$
  $\tau = \mathsf{Node}((*,0),(*,1),\ldots,(*,n-1)) \in \mathbf{CTopo}$ 

Figure 3 describes their scheduling transactions in pseudocode. Therefore, we have the materials to define

$$PIFOControl(t, z_{pre-push}, z_{post-pop})$$
 and  $RioControl(t, z'_{pre-push}, z'_{pre-pop}, z'_{post-pop})$ 

I.e. the collection of PIFO and Rio controls for our program. Let's find a simulation between them!

**Definition 3.1.** Let 
$$c_{RR} = (s, empty_t, z_{pre-push}, z_{post-pop}) \in \textbf{PIFOControl}(t, z_{pre-push}, z_{post-pop})$$
, where  $s["turn"] = 0$   $s["cycle"] = 1$   $s["r\_" + str(i)] = i$ 

for all 0 < i < n - 1

**Definition 3.2.** For set S, define ranks :  $PIFO(S) \times S \rightarrow \mathcal{M}(Rk)^1$  such that

$$\frac{\mathsf{pop}(p') = (j, p) \quad m = \mathsf{min}(\mathsf{ranks}(p', j)) \quad i = j}{\mathsf{ranks}(p, i) = \mathsf{ranks}(p', i) - \{m\}} \qquad \frac{\mathsf{pop}(p') = (j, p) \quad i \neq j}{\mathsf{ranks}(p) = \mathsf{ranks}(p', i)}$$

$$\frac{\mathsf{push}(p', j, r) = p \quad i = j}{\mathsf{ranks}(p, i) = \mathsf{ranks}(p, i) + \{r\}} \qquad \frac{\mathsf{pop}(p) \text{ is undefined}}{\mathsf{ranks}(p, i) = \{\}} \qquad \frac{\mathsf{push}(p', j, r) = p \quad i \neq j}{\mathsf{ranks}(p, i) = \mathsf{ranks}(p', i)}$$

Informally, ranks(p, i) is the multiset of ranks with which i lives in PIFO p.

<sup>&</sup>lt;sup>1</sup>We use  $\mathcal{M}(X)$  to denote the collection of multisets with entries in set X.

**Lemma 3.3.** If  $c_{RR} \to^* c = (s, q, z_{pre-push}, z_{post-pop})$ , then both  $z_{pre-push}$  and  $z_{post-pop}$  are defined on  $\{pkt \in \mathbf{Pkt} \mid (s, pkt)\}$ 

*Proof Sketch.* This follows by induction on  $\rightarrow^*$  and the following observations:

- z<sub>pre-push</sub> and z<sub>post-pop</sub> are only undefined if they access unbound keys in the state.
- ullet All keys in s accessed by  $z_{pre-push}$  and  $z_{post-pop}$  are defined in  $c_{RR}$ 's state.
- Neither z<sub>pre-push</sub> nor z<sub>post-pop</sub> unbind keys in the state, and, therefore, if

$$(s, q, Z_{\text{pre-push}}, Z_{\text{post-pop}}) \rightarrow (s', q', Z_{\text{pre-push}}, Z_{\text{post-pop}})$$

all keys bound in s are bound s'.

**Lemma 3.4.** If  $c_{RR} \rightarrow^* c = (s, q, z_{pre-push}, z_{post-pop})$  and p is q's root PIFO, i.e. q = Internal(p, qs), then

$$\operatorname{ranks}(p,i) = \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s[\text{``cycle''}] \le x < s[\text{``r\_''} + \operatorname{str}(i)] \right\} & i < s[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot (s[\text{``cycle''}] - 1) \le x < s[\text{``r\_''} + \operatorname{str}(i)] \right\} & i \ge s[\text{``turn''}] \end{cases}$$

for all 0 < i < n - 1.

*Proof Sketch.* This follows by induction on  $\rightarrow^*$  and careful analysis of lines 5-7 of  $z_{post-pop}$  in Figure 3a.  $\Box$  See Section A for a complete proofs of these lemmas.

**Theorem 3.5.** Consider the function

 $f: \mathsf{PIFOControl}(t, \mathsf{z}_{\mathsf{pre-push}}, \mathsf{z}_{\mathsf{post-pop}}) \to \mathsf{RioControl}(t, \mathsf{z}'_{\mathsf{pre-push}}, \mathsf{z}'_{\mathsf{pre-pop}}, \mathsf{z}'_{\mathsf{post-pop}})$ 

such that  $f(s, q, z_{\text{pre-push}}, z_{\text{post-pop}}) = (s', \text{proj}(\tau, q), z'_{\text{pre-push}}, z'_{\text{pre-pop}}, z'_{\text{post-pop}})$ , where

$$s'[x] = \begin{cases} s[x] & x = \text{"turn"} \\ undefined & otherwise \end{cases}$$

Together f and  $c_{RR}$  form a simulation.

Proof. We'll verify each condition of Definition 2.5 one by one.

- (1) By Definition 3.1, the PIFO tree in c<sub>RR</sub> is empty.
- (2) Let  $c_{RR} \rightarrow^* c_1 = (s_1, q_1, z_{pre-push}, z_{pre-pop})$  and  $f(c_1) = c_2 = (s_2, q_2, z_{pre-push}, z_{pre-pop}, z_{post-pop})$ .
  - (a) Suppose  $pop(c_1)$  is undefined. By Lemma 3.3,  $z_{post-pop}$  is defined on  $(s_1, pkt)$  for all  $pkt \in \mathbf{Pkt}$ . Therefore, by rule PIFOCtrl-Pop in Figure 2,  $pop(q_1)$  must be undefined. Since  $q_1$  is well-formed, all PIFOs on it are empty. Similarly, all PIFOs on  $q_2 = proj(\tau, q_1)$  are empty. Hence,  $pop(q_2, o)$  is undefined for all  $o \in \mathbf{OrdTree}(t)$ , i.e.  $pop(c_2)$  is undefined per rule RioCtrl-Pop in Figure 2.
  - (b)
  - (c) Suppose  $\operatorname{push}(c_1,\operatorname{pkt})=c_1'$ . From Figure 2, notice  $z_{\operatorname{pre-push}}'$  doesn't read state; therefore,  $z_{\operatorname{pre-push}}'$  is defined on  $(s_2,\operatorname{pkt})$  for all  $\operatorname{pkt}\in\operatorname{Pkt}$ . From inspecting Definition 1.3,  $\operatorname{push}(q,\operatorname{pkt},r)$  is defined for all  $q\in\operatorname{RioTree}(t)$ ,  $\operatorname{pkt}\in\operatorname{Pkt}$ , and  $r\in\operatorname{Rk}$ . Therefore, by rule RioCtrl-Push in Figure 2,  $\operatorname{push}(c_2,\operatorname{pkt})=c_2'$  is defined.

# 3.2 Strict

# References

[MLF<sup>+</sup>23] Anshuman Mohan, Yunhe Liu, Nate Foster, Tobias Kappé, and Dexter Kozen. Formal abstractions for packet scheduling,

### A Proofs for Section 3

**Lemma 3.4.** If  $c_{RR} \rightarrow^* c = (s, q, z_{pre-push}, z_{post-pop})$  and p is q's root PIFO, i.e. q = Internal(p, qs), then

$$\mathsf{ranks}(p,i) = \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s[\text{``cycle''}] \leq x < s[\text{``r\_''} + \mathsf{str}(i)] \right\} & i < s[\text{``turn''}] \\ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot (s[\text{``cycle''}] - 1) \leq x < s[\text{``r\_''} + \mathsf{str}(i)] \right\} & i \geq s[\text{``turn''}] \end{cases}$$

for all  $0 \le i \le n-1$ .

*Proof.* We'll proceed by induction on  $\rightarrow^*$ .

(Base Case) Let  $c = c_{RR}$  and fix arbitrary  $i \in [0, n-1]$ .

Recall  $q = \text{empty}_t$  by Definition 3.1. Therefore, pop(p) is undefined by Definition 2.3. Hence,

$$ranks(p, i) = \{\}$$

by Definition 3.2. Definition 3.1 also insists

$$s["turn"] = 0$$
  $s["cycle"] = 1$   $s["r_-" + str(i)] = i$ 

Hence,  $i \ge s[$  "turn"] and

$$= \begin{cases} \left\{x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s[\text{``cycle''}] \leq x < s[\text{``r_-''} + \operatorname{str}(i)] \right\} & i < s[\text{``turn''}] \\ \left\{x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot \left(s[\text{``cycle''}] - 1\right) \leq x < s[\text{``r_-''} + \operatorname{str}(i)] \right\} & i \geq s[\text{``turn''}] \end{cases}$$

$$= \left\{x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot \left(s[\text{``cycle''}] - 1\right) \leq x < s[\text{``r_-''} + \operatorname{str}(i)] \right\}$$

$$= \left\{x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land 0 \leq x < i\right\} = \{\}$$

Both sides of the desired equality are therefore the empty multiset {}.

(Inductive Step) Let  $c' = (s', q', z_{\text{pre-push}}, z_{\text{post-pop}}) \rightarrow c$  and q' = Internal(p', qs'). We have two cases. (Step-Push) Suppose c = push(c', pkt) and f = flow(pkt). From inspecting  $z_{\text{pre-push}}$  in Figure 3a,

$$\operatorname{push}\left(p',f,s'["r\_"+\operatorname{str}(f)]\right)=p \qquad \qquad s[x]=\begin{cases} s'[x]+n & x="r\_"+\operatorname{str}(f)\\ s'[x] & \text{otherwise} \end{cases}$$

Hence, by Definition 3.2 and the IH, for  $i \neq f$ ,

 $= \operatorname{ranks}(p, i) = \operatorname{ranks}(p', i)$ 

$$= \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot s'[\text{``cycle''}] \leq x < s'[\text{``r_-''} + \operatorname{str}(i)] \right\} & i < s'[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot (s'[\text{``cycle''}] - 1) \leq x < s'[\text{``r_-''} + \operatorname{str}(i)] \right\} & i \geq s'[\text{``turn''}] \end{cases}$$

$$= \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot s[\text{``cycle''}] \leq x < s[\text{``r_-''} + \operatorname{str}(i)] \right\} & i < s[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot (s[\text{``cycle''}] - 1) \leq x < s[\text{``r_-''} + \operatorname{str}(i)] \right\} & i \geq s[\text{``turn''}] \end{cases}$$

Instead, for i = f, let  $r = s'["r\_" + str(i)]$ . Definition 3.2 and the IH then once again show  $= ranks(p, i) = ranks(p', i) + \{r\}$ 

$$= \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s'[\text{``cycle''}] \le x < s'[\text{``r_-''} + \operatorname{str}(i)] \right\} + \{r\} & i < s'[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot (s'[\text{``cycle''}] - 1) \le x < s'[\text{``r_-''} + \operatorname{str}(i)] \right\} + \{r\} & i \ge s'[\text{``turn''}] \end{cases}$$

$$= \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s'[\text{``cycle''}] \le x < s'[\text{``r_-''} + \operatorname{str}(i)] + n \right\} & i < s'[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot (s'[\text{``cycle''}] - 1) \le x < s'[\text{``r_-''} + \operatorname{str}(i)] + n \right\} & i \ge s'[\text{``turn''}] \end{cases}$$

$$= \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s[\text{``cycle''}] \le x < s[\text{``r_-''} + \operatorname{str}(i)] \right\} & i < s[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot (s[\text{``cycle''}] - 1) \le x < s[\text{``r_-''} + \operatorname{str}(i)] \right\} & i \ge s[\text{``turn''}] \end{cases}$$

(Step-Pop) Suppose (pkt, c) = pop(c') and f = **flow**(pkt). From inspecting  $z_{post-pop}$  in Figure 3a, pop(p') = (f, p)  $s["cycle"] \in \{s'["cycle"], s'["cycle"] + 1\}$  Consider the case where s["cycle"] = s'["cycle"], i.e.  $s'["turn"] \le f < f + 1 = s["turn"]$ .

• For i < s' ["turn"] or  $i \ge s$  ["turn"], lines 5-7 of  $z_{post-pop}$  in Figure 3a show

$$s["r\_" + str(i)] = s'["r\_" + str(i)]$$

Therefore, by Definition 3.2 and the IH,

$$= \operatorname{ranks}(p, i) = \operatorname{ranks}(p', i)$$

$$= \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot s'[\text{``cycle''}] \leq x < s'[\text{``r\_''} + \operatorname{str}(i)] \right\} & i < s'[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot (s'[\text{``cycle''}] - 1) \leq x < s'[\text{``r\_''} + \operatorname{str}(i)] \right\} & i \geq s'[\text{``turn''}] \end{cases}$$

$$= \begin{cases} \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot s[\text{``cycle''}] \leq x < s[\text{``r\_''} + \operatorname{str}(i)] \right\} & i < s[\text{``turn''}] \\ \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \wedge n \cdot (s[\text{``cycle''}] - 1) \leq x < s[\text{``r\_''} + \operatorname{str}(i)] \right\} & i \geq s[\text{``turn''}] \end{cases}$$

• Suppose  $s'["turn"] \le i < f$ . By the IH,

$$\mathsf{ranks}(p',j) = \Big\{ x \in \mathbf{Rk} \mid x \equiv j \pmod{n} \land n \cdot (s'[\text{``cycle''}] - 1) \le x < s'[\text{``r\_''} + \mathsf{str}(j)] \Big\}$$

Since popping p' returned f, it must be a minimally ranked element. However,

$$n \cdot (s'["cycle"] - 1) + i < n \cdot (s'["cycle"] - 1) + f$$

Therefore, ranks $(p', i) = \{\}$ : i.e. there are no numbers congruent to  $i \mod n$  in

$$n \cdot (s'["cycle"] - 1), s'["r_-" + str(i)]$$

The same is therefore true of

$$\left[n \cdot s'[\text{"cycle"}], s'[\text{"r\_"} + \text{str}(i)] + n\right)$$

Since lines 5-7 of  $z_{post-pop}$  in Figure 3a show  $s["r\_" + str(i)] = s'["r\_" + str(i)] + n$ ,

(By Definition 3.2) 
$$= \operatorname{ranks}(p, i) = \operatorname{ranks}(p', i)$$

$$= \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot (s'["cycle"] - 1) \le x < s'["r\_" + \operatorname{str}(i)] \right\}$$

$$= \left\{ \}$$

$$= \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s'["cycle"] \le x < s'["r\_" + \operatorname{str}(i)] + n \right\}$$

$$= \left\{ x \in \mathbf{Rk} \mid x \equiv i \pmod{n} \land n \cdot s["cycle"] \le x < s["r\_" + \operatorname{str}(i)] \right\}$$

• For i = f, lines 5-7 of  $z_{post-pop}$  in Figure 3a yet again show

$$s["r_{-}" + str(i)] = s'["r_{-}" + str(i)]$$

Therefore, by Definition 3.2 and the IH,

$$= \operatorname{ranks}(p,i) = \operatorname{ranks}(p') - \left\{ n \cdot (s'[\text{"cycle"}] - 1) \right\}$$

$$= \left\{ x \in \operatorname{\mathbf{Rk}} \mid x \equiv i \pmod{n} \land n \cdot (s'[\text{"cycle"}] - 1) \le x < s'[\text{"}r\_\text{"} + \operatorname{str}(i)] \right\} - \left\{ n \cdot (s'[\text{"cycle"}] - 1) \right\}$$

$$= \left\{ x \in \operatorname{\mathbf{Rk}} \mid x \equiv i \pmod{n} \land n \cdot s'[\text{"cycle"}] \le x < s'[\text{"}r\_\text{"} + \operatorname{str}(i)] \right\}$$

$$= \left\{ x \in \operatorname{\mathbf{Rk}} \mid x \equiv i \pmod{n} \land n \cdot s[\text{"cycle"}] \le x < s[\text{"}r\_\text{"} + \operatorname{str}(i)] \right\}$$

We leave the cases where s["cycle"] = s'["cycle"] + 1 as an exercise as they're much of the same:

- (i)  $i \in [s["turn"], s'["turn"])$  (" $r_-$ " + str(i) is unchanged)
- (ii)  $i \in [s'["turn"], n] \cup [0, f)$  ("r\_" + str(i) is incremented by n)

(iii) 
$$i = f$$