

Homework (Simulation)-Tong Cui

This program, process-run.py, allows you to see how process states change as programs run and either use the CPU (e.g., perform an add instruction) or do I/O (e.g., send a request to a disk and wait for it to complete). See the README for details.

Questions

1. Run process-run.py with the following flags: -l 5:100,5:100. What should the CPU utilization be (e.g., the percent of time the CPU is in use?) Why do you know this? Use the -c and -p flags to see if you were right.

```
Produce a trace of what would happen when you run these processes:
Process 0
  cpu
  cpu
  cpu
  cpu
  cpu
Process 1
  cpu
  cpu
  cpu
  cpu
  cpu
Important behaviors:
System will switch when the current process is FINISHED or ISSUES AN IO
After IOs, the process issuing the IO will run LATER (when it is its turn)
```

The percent of the time the CPU is in use should be 100%. Because we passed at 5:100 and 5:100 when we run the program. According to the README file, the process we specified is "5:100" which means it should consist of 5 instructions, and the chances that each instruction is a CPU instruction is 100%.

```
1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 5:100,5:100 -c
Time   PID: 0   PID: 1   CPU   IOs
1      RUN:cpu  READY   1
2      RUN:cpu  READY   1
3      RUN:cpu  READY   1
4      RUN:cpu  READY   1
5      RUN:cpu  READY   1
6      DONE   RUN:cpu  1
7      DONE   RUN:cpu  1
8      DONE   RUN:cpu  1
9      DONE   RUN:cpu  1
10     DONE   RUN:cpu  1
```

```

1e18@Zuojuns-MacBook-Air file-devices % ./process-run.py -l 5:100,5:100 -p
Produce a trace of what would happen when you run these processes:
Process 0
  cpu
  cpu
  cpu
  cpu
  cpu

Process 1
  cpu
  cpu
  cpu
  cpu
  cpu

Important behaviors:
  System will switch when the current process is FINISHED or ISSUES AN IO
  After IOs, the process issuing the IO will run LATER (when it is its turn)

```

I think my answer is correct. Because from the above pictures, we can see that Process 0 runs first and is done, and then Process 1 runs and is done. There are no IOs. As a result, CPU utilization is 100%.

2. Now run with these flags: `./process-run.py -l 4:100,1:0`.

These flags specify one process with 4 instructions (all to use the CPU), and one that simply issues an I/O and waits for it to be done. How long does it take to complete both processes? Use `-c` and `-p` to find out if you were right.

```

1e18@Zuojuns-MacBook-Air file-devices % ./process-run.py -l 4:100,1:0
Produce a trace of what would happen when you run these processes:
Process 0
  cpu
  cpu
  cpu
  cpu

Process 1
  io

```

It takes 10 clock ticks to finish both processes.

```

1e18@Zuojuns-MacBook-Air file-devices % ./process-run.py -l 4:100,1:0 -c
Time   PID: 0   PID: 1   CPU   IOs
1      RUN:cpu  READY   1
2      RUN:cpu  READY   1
3      RUN:cpu  READY   1
4      RUN:cpu  READY   1
5      DONE    RUN:io   1
6      DONE    WAITING
7      DONE    WAITING
8      DONE    WAITING
9      DONE    WAITING
10*    DONE    DONE

```

```

1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 4:100,1:0 -p
Produce a trace of what would happen when you run these processes:
Process 0
  cpu
  cpu
  cpu
  cpu

Process 1
  io

```

CPU percentage: 50%.

I/Os percentage: 40%.

According to the above pictures, Process 0 ran for 4 clock ticks and was done. Then Process 1 posted an I/O request and the CPU received and blocked Process 1, which takes 1 clock tick. Then the CPU waited for the I/O request for 4 clock ticks. Finally, Process 1 received the I/O result and the CPU ran and did Process 1 in 1 clock tick.

3. Switch the order of the processes: -l 1:0,4:100. What happens now? Does switching the order matter? Why? (As always, use -c and -p to see if you were right)

```

1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 1:0,4:100
Produce a trace of what would happen when you run these processes:
Process 0
  io

Process 1
  cpu
  cpu
  cpu
  cpu

```

Yes, switching the order matters. The total time to complete the two processes is 6 clock ticks.

```

1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 1:0,4:100 -c
Time    PID: 0    PID: 1    CPU    I/Os
1       RUN:io    READY    1
2       WAITING  RUN:cpu   1
3       WAITING  RUN:cpu   1
4       WAITING  RUN:cpu   1
5       WAITING  RUN:cpu   1
6*      DONE    DONE

```

CPU percentage: 83.33%.

I/Os percentage: 66.67%.

According to the above picture, Process 0 posted an I/O request. The CPU received and blocked Process 0 and started to run Process 1, which takes 1 clock tick. In the next 4 clock ticks, Process 0's I/Os were waiting, while the CPU was running for Process 1. Finally, I/O

finished and the CPU unblocked Process 0 and Process 1 was done. There is a total of 6 clock ticks.

4. We'll now explore some of the other flags. One important flag is -S, which determines how the system reacts when a process issues an I/O. With the flag set to SWITCH ON END, the system will NOT switch to another process while one is doing I/O, instead waiting until the process is completely finished. What happens when you run the following two processes (-l 1:0,4:100 -c -S SWITCH ON END), one doing I/O and the other doing CPU Work?

```
1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 1:0,4:100 -c -S SWITCH_ON_END
Time  PID: 0  PID: 1  CPU  I/Os
1     RUN:io  READY    1
2     WAITING  READY    1
3     WAITING  READY    1
4     WAITING  READY    1
5     WAITING  READY    1
6*    DONE   RUN:cpu    1
7     DONE   RUN:cpu    1
8     DONE   RUN:cpu    1
9     DONE   RUN:cpu    1
```

CPU percentage: 55.56%.

I/Os percentage: 44.44%.

It would take 9 clock ticks to finish. Process 0 was doing I/O first, which took 1 clock tick. The CPU won't switch to Process 1 until Process 0 is finished. Once Process 0 completed I/O in 4 clock ticks, the CPU started to run for Process 1. After 4 clock ticks, Process 1 finished. It took a total of 9 clock ticks.

5. Now, run the same processes, but with the switching behavior set to switch to another process whenever one is WAITING for I/O (-l 1:0,4:100 -c -S SWITCH ON IO). What happens now? Use -c and -p to confirm that you are right.

Because we switch it on when I/O starts, the system would switch when Process 0 issues an I/O. It turns to the same situation in question 3.

```
1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 1:0,4:100 -c -S SWITCH_ON_IO
Time  PID: 0  PID: 1  CPU  I/Os
1     RUN:io  READY    1
2     WAITING  RUN:cpu    1
3     WAITING  RUN:cpu    1
4     WAITING  RUN:cpu    1
5     WAITING  RUN:cpu    1
6*    DONE   DONE
```

CPU percentage: 83.33%.

I/Os percentage: 66.67%.

The total time to complete the two processes is 6 clock ticks.

According to the above picture, Process 0 posted an I/O request. The CPU received and blocked Process 0 and switched to run Process 1, which takes 1 clock tick. In the next 4 clock ticks, Process 0's I/Os were waiting, while the CPU was running for Process 1. Finally, I/O

finished and the CPU unblocked Process 0 and Process 1 was done. There is a total of 6 clock ticks.

6. One other important behavior is what to do when an I/O completes. With -I IO RUN LATER, when an I/O completes, the process that issued it is not necessarily run right away; rather, whatever was running at the time keeps running. What happens when you run this combination of processes? (Run ./process-run.py -l 3:0,5:100,5:100,5:100 -S SWITCH_ON_IO -I IO_RUN_LATER -c -p) Are system resources being effectively utilized?

```
1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 3:0,5:100,5:100,5:100 -S SWITCH_ON_IO -I IO_RUN_LATER
Produce a trace of what would happen when you run these processes:
Process 0
  io
  io
  io

Process 1
  cpu
  cpu
  cpu
  cpu
  cpu

Process 2
  cpu
  cpu
  cpu
  cpu
  cpu

Process 3
  cpu
  cpu
  cpu
  cpu
  cpu
```

```
1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -l 3:0,5:100,5:100,5:100 -S SWITCH_ON_IO -I IO_RUN_LATER -c
Time  PID: 0    PID: 1    PID: 2    PID: 3    CPU    I/Os
1     RUN: io   READY   READY   READY     1
2     WAITING  RUN: cpu READY   READY     1    1
3     WAITING  RUN: cpu READY   READY     1    1
4     WAITING  RUN: cpu READY   READY     1    1
5     WAITING  RUN: cpu READY   READY     1    1
6*    READY    RUN: cpu READY   READY     1
7     READY    DONE    RUN: cpu READY   1
8     READY    DONE    RUN: cpu READY   1
9     READY    DONE    RUN: cpu READY   1
10    READY    DONE    RUN: cpu READY   1
11    READY    DONE    RUN: cpu READY   1
12    READY    DONE    DONE    RUN: cpu  1
13    READY    DONE    DONE    RUN: cpu  1
14    READY    DONE    DONE    RUN: cpu  1
15    READY    DONE    DONE    RUN: cpu  1
16    READY    DONE    DONE    RUN: cpu  1
17    RUN: io   DONE    DONE    DONE      1
18    WAITING  DONE    DONE    DONE      1
19    WAITING  DONE    DONE    DONE      1
20    WAITING  DONE    DONE    DONE      1
21    WAITING  DONE    DONE    DONE      1
22*   RUN: io   DONE    DONE    DONE     1
23    WAITING  DONE    DONE    DONE      1
24    WAITING  DONE    DONE    DONE      1
25    WAITING  DONE    DONE    DONE      1
26    WAITING  DONE    DONE    DONE      1
27*   DONE     DONE    DONE    DONE
```

CPU percentage: 66.67%.

I/Os percentage: 44.44%.

When we add IO_RUN_LATER, we can see the system resources are not utilized efficiently. There are 27 clock ticks. In the second diagram, when Process 0's first I/O and Process 1's first task was done, the second I/O for Process 0 should have been done immediately. However, it didn't. The same situation happened for the third I/O for Process 0. As a result, it took more clock ticks than the situation that I/O can run immediately.

7. Now run the same processes, but with -I IO RUN IMMEDIATE set, which immediately runs the process that issued the I/O. How does this behavior differ? Why might running a process that just completed an I/O again be a good idea?

```
le18@Zuojuans-MacBook-Air file-devices % ./process-run.py -l 3:0,5:100,5:100,5:100 -S SWITCH_ON_IO -I IO_RUN_IMMEDIATE -c
Time  PID: 0    PID: 1    PID: 2    PID: 3    CPU    I/Os
1     RUN:io   READY   READY   READY   1      1
2     WAITING RUN:cpu   READY   READY   1      1
3     WAITING RUN:cpu   READY   READY   1      1
4     WAITING RUN:cpu   READY   READY   1      1
5     WAITING RUN:cpu   READY   READY   1      1
6*    RUN:io   READY   READY   READY   1      1
7     WAITING RUN:cpu   READY   READY   1      1
8     WAITING DONE      RUN:cpu   READY   1      1
9     WAITING DONE      RUN:cpu   READY   1      1
10    WAITING DONE      RUN:cpu   READY   1      1
11*   RUN:io   DONE     READY   READY   1      1
12    WAITING DONE      RUN:cpu   READY   1      1
13    WAITING DONE      RUN:cpu   READY   1      1
14    WAITING DONE      DONE      RUN:cpu   1      1
15    WAITING DONE      DONE      RUN:cpu   1      1
16*   DONE     DONE     DONE     RUN:cpu   1      1
17    DONE     DONE     DONE     RUN:cpu   1      1
18    DONE     DONE     DONE     RUN:cpu   1      1
```

CPU percentage: 100%.

I/Os percentage: 66.67%.

There are only 18 clock ticks when adding IO_RUN_IMMEDIATE flag. Because I/O can be run immediately after the last I/O is finished. The CPU can accept the new I/O request or run the next process instruction after the last I/O is finished. Then I/O operations and CPU can run simultaneously. Also, because we turn the mode SWITCH_ON_IO on, the CPU starts to run instructions and I/O operations can be executed at the same time, which would save 1 clock tick.

8. Now run with some randomly generated processes: -s 1 -l 3:50,3:50 or -s 2 -l 3:50,3:50 or -s 3 -l 3:50,3:50. See if you can predict how the trace will turn out. What happens when you use the flag -I IO RUN IMMEDIATE vs. -I IO RUN LATER? What happens when you use -S SWITCH ON IO vs. -S SWITCH ON END?

```
1e18@Zuoajuns-MacBook-Air file-devices % ./process-run.py -s 1 -l 3:50,3:50 -I IO_RUN_IMMEDIATE -c
Time   PID: 0   PID: 1   CPU   IOs
1      RUN:cpu  READY    1
2      RUN:io  READY    1
3      WAITING RUN:cpu    1    1
4      WAITING RUN:cpu    1    1
5      WAITING RUN:cpu    1    1
6      WAITING DONE      1
7*     RUN:io  DONE     1
8      WAITING DONE      1    1
9      WAITING DONE      1    1
10     WAITING DONE      1    1
11     WAITING DONE      1    1
12*    DONE    DONE
```

Stats: Total Time 12

Stats: CPU Busy 6 (50.00%)

Stats: IO Busy 8 (66.67%)

```
1e18@Zuoajuns-MacBook-Air file-devices % ./process-run.py -s 1 -l 3:50,3:50 -I IO_RUN_LATER -c
Time   PID: 0   PID: 1   CPU   IOs
1      RUN:cpu  READY    1
2      RUN:io  READY    1
3      WAITING RUN:cpu    1    1
4      WAITING RUN:cpu    1    1
5      WAITING RUN:cpu    1    1
6      WAITING DONE      1
7*     RUN:io  DONE     1
8      WAITING DONE      1    1
9      WAITING DONE      1    1
10     WAITING DONE      1    1
11     WAITING DONE      1    1
12*    DONE    DONE
```

Stats: Total Time 12

Stats: CPU Busy 6 (50.00%)

Stats: IO Busy 8 (66.67%)

```
1e18@Zuoajuns-MacBook-Air file-devices % ./process-run.py -s 2 -l 3:50,3:50 -I IO_RUN_IMMEDIATE -c
Time   PID: 0   PID: 1   CPU   IOs
1      RUN:io  READY    1
2      WAITING RUN:cpu    1    1
3      WAITING RUN:io    1    1
4      WAITING WAITING    2
5      WAITING WAITING    2
6*     RUN:io  WAITING    1    1
7      WAITING WAITING    2
8*     WAITING RUN:io    1    1
9      WAITING WAITING    2
10     WAITING WAITING    2
11*    RUN:cpu  WAITING    1    1
12     DONE    WAITING    1
13*    DONE    DONE
```

Stats: Total Time 13

Stats: CPU Busy 6 (46.15%)

Stats: IO Busy 11 (84.62%)

```
1e18@Zuojuuns-MacBook-Air file-devices % ./process-run.py -s 2 -l 3:50,3:50 -I IO_RUN_LATER -c
Time   PID: 0    PID: 1    CPU    IOs
1      RUN:io    READY    1
2      WAITING  RUN:cpu   1      1
3      WAITING  RUN:io    1      1
4      WAITING  WAITING   2
5      WAITING  WAITING   2
6*     RUN:io    WAITING   1      1
7      WAITING  WAITING   2
8*     WAITING  RUN:io    1      1
9      WAITING  WAITING   2
10     WAITING  WAITING   2
11*    RUN:cpu    WAITING   1      1
12     DONE    WAITING   1
13*    DONE     DONE
```

Stats: Total Time 13

Stats: CPU Busy 6 (46.15%)

Stats: IO Busy 11 (84.62%)

```
1e18@Zuojuuns-MacBook-Air file-devices % ./process-run.py -s 3 -l 3:50,3:50 -I IO_RUN_IMMEDIATE -c
Time   PID: 0    PID: 1    CPU    IOs
1      RUN:cpu    READY    1
2      RUN:io    READY    1
3      WAITING  RUN:io    1      1
4      WAITING  WAITING   2
5      WAITING  WAITING   2
6      WAITING  WAITING   2
7*     RUN:cpu    WAITING   1      1
8*     DONE     RUN:io    1
9      DONE    WAITING   1
10     DONE    WAITING   1
11     DONE    WAITING   1
12     DONE    WAITING   1
13*    DONE     RUN:cpu    1
```

Stats: Total Time 13

Stats: CPU Busy 6 (46.15%)

Stats: IO Busy 9 (69.23%)

```
1e18@Zuojuuns-MacBook-Air file-devices % ./process-run.py -s 3 -l 3:50,3:50 -I IO_RUN_LATER -c
Time   PID: 0    PID: 1    CPU    IOs
1      RUN:cpu    READY    1
2      RUN:io    READY    1
3      WAITING  RUN:io    1      1
4      WAITING  WAITING   2
5      WAITING  WAITING   2
6      WAITING  WAITING   2
7*     RUN:cpu    WAITING   1      1
8*     DONE     RUN:io    1
9      DONE    WAITING   1
10     DONE    WAITING   1
11     DONE    WAITING   1
12     DONE    WAITING   1
13*    DONE     RUN:cpu    1
```

Stats: Total Time 13

Stats: CPU Busy 6 (46.15%)

Stats: IO Busy 9 (69.23%)

We can see there is no obvious difference between IO_RUN_IMMEDIATE and IO_RUN_END in the above cases. Because the default mode is SWITCH_ON_IO. Usually, the difference between IO_RUN_IMMEDIATE and IO_RUN_END is whether I/O can always grab the CPU once the CPU is idle. However, in these cases, I/Os are not conflicted with CPU executing instructions. Thus, there is no obvious difference in these cases.

```
1e18@Zuoajuns-MacBook-Air file-devices % ./process-run.py -s 1 -l 3:50,3:50 -S SWITCH_ON_IO -c -p
Time  PID: 0    PID: 1    CPU    IOs
1     RUN:cpu   READY    1
2     RUN:io   READY    1
3     WAITING  RUN:cpu   1      1
4     WAITING  RUN:cpu   1      1
5     WAITING  RUN:cpu   1      1
6     WAITING  DONE     1      1
7*    RUN:io   DONE     1
8     WAITING  DONE     1      1
9     WAITING  DONE     1      1
10    WAITING  DONE     1      1
11    WAITING  DONE     1      1
12*   DONE     DONE
```

Stats: Total Time 12

Stats: CPU Busy 6 (50.00%)

Stats: IO Busy 8 (66.67%)

```
1e18@Zuoajuns-MacBook-Air file-devices % ./process-run.py -s 1 -l 3:50,3:50 -S SWITCH_ON_END -c -p
Time  PID: 0    PID: 1    CPU    IOs
1     RUN:cpu   READY    1
2     RUN:io   READY    1
3     WAITING  READY    1      1
4     WAITING  READY    1      1
5     WAITING  READY    1      1
6     WAITING  READY    1      1
7*    RUN:io   READY    1      1
8     WAITING  READY    1      1
9     WAITING  READY    1      1
10    WAITING  READY    1      1
11    WAITING  READY    1      1
12*   DONE     RUN:cpu   1
13    DONE     RUN:cpu   1
14    DONE     RUN:cpu   1
```

Stats: Total Time 14

Stats: CPU Busy 6 (42.86%)

Stats: IO Busy 8 (57.14%)

```

1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -s 2 -l 3:50,3:50 -S SWITCH_ON_IO -c -p
Time   PID: 0    PID: 1    CPU    IOs
1      RUN:io    READY    1      1
2      WAITING  RUN:cpu   1      1
3      WAITING  RUN:io    1      1
4      WAITING  WAITING   1      2
5      WAITING  WAITING   1      2
6*     RUN:io    WAITING   1      1
7      WAITING  WAITING   1      2
8*     WAITING  RUN:io    1      1
9      WAITING  WAITING   1      2
10     WAITING  WAITING   1      2
11*    RUN:cpu   WAITING   1      1
12     DONE    WAITING   1      1
13*    DONE    DONE

```

Stats: Total Time 13

Stats: CPU Busy 6 (46.15%)

Stats: IO Busy 11 (84.62%)

```

1e18@ZuoJuns-MacBook-Air file-devices % ./process-run.py -s 2 -l 3:50,3:50 -S SWITCH_ON_END -c -p
Time   PID: 0    PID: 1    CPU    IOs
1      RUN:io    READY    1      1
2      WAITING  READY    1      1
3      WAITING  READY    1      1
4      WAITING  READY    1      1
5      WAITING  READY    1      1
6*     RUN:io    READY    1      1
7      WAITING  READY    1      1
8      WAITING  READY    1      1
9      WAITING  READY    1      1
10     WAITING  READY    1      1
11*    RUN:cpu   READY    1      1
12     DONE    RUN:cpu   1      1
13     DONE    RUN:io    1      1
14     DONE    WAITING   1      1
15     DONE    WAITING   1      1
16     DONE    WAITING   1      1
17     DONE    WAITING   1      1
18*    DONE    RUN:io    1      1
19     DONE    WAITING   1      1
20     DONE    WAITING   1      1
21     DONE    WAITING   1      1
22     DONE    WAITING   1      1
23*    DONE    DONE

```

Stats: Total Time 23

Stats: CPU Busy 6 (26.09%)

Stats: IO Busy 16 (69.57%)

```
1e18@Zuojuuns-MacBook-Air file-devices % ./process-run.py -s 3 -l 3:50,3:50 -S SWITCH_ON_IO -c -p
Time   PID: 0    PID: 1    CPU    IOs
1      RUN:cpu   READY    1
2      RUN:io   READY    1
3      WAITING  RUN:io    1
4      WAITING  WAITING   2
5      WAITING  WAITING   2
6      WAITING  WAITING   2
7*     RUN:cpu   WAITING   1
8*     DONE    RUN:io    1
9      DONE    WAITING   1
10     DONE    WAITING   1
11     DONE    WAITING   1
12     DONE    WAITING   1
13*    DONE    RUN:cpu    1
```

Stats: Total Time 13

Stats: CPU Busy 6 (46.15%)

Stats: IO Busy 9 (69.23%)

```
1e18@Zuojuuns-MacBook-Air file-devices % ./process-run.py -s 3 -l 3:50,3:50 -S SWITCH_ON_END -c -p
Time   PID: 0    PID: 1    CPU    IOs
1      RUN:cpu   READY    1
2      RUN:io   READY    1
3      WAITING  READY    1
4      WAITING  READY    1
5      WAITING  READY    1
6      WAITING  READY    1
7*     RUN:cpu   READY    1
8      DONE    RUN:io    1
9      DONE    WAITING   1
10     DONE    WAITING   1
11     DONE    WAITING   1
12     DONE    WAITING   1
13*    DONE    RUN:io    1
14     DONE    WAITING   1
15     DONE    WAITING   1
16     DONE    WAITING   1
17     DONE    WAITING   1
18*    DONE    RUN:cpu    1
```

Stats: Total Time 18

Stats: CPU Busy 6 (33.33%)

Stats: IO Busy 12 (66.67%)

The difference between SWITCH_ON_IO and SWITCH_ON_END is whether the system can switch when a process issues an I/O. When the mode is SWITCH_ON_END, if there is an I/O operation, there won't be other CPU executions or I/O operations. Thus, the time utilization of SWITCH_ON_END would be less efficient.