

# 4. Access Control

Computer Security Courses @ POLIMI

## What is Access Control?

- A binary decision:
  - Access either allowed or denied
  - What could possibly go wrong?
- Scale goes wrong!
  - You cannot explicitly list the answers
  - Need to condense them in rules

#### Questions

- Our How do we design the access rules?
- How do we express the access rules in practice?
- How do we appropriately apply them?

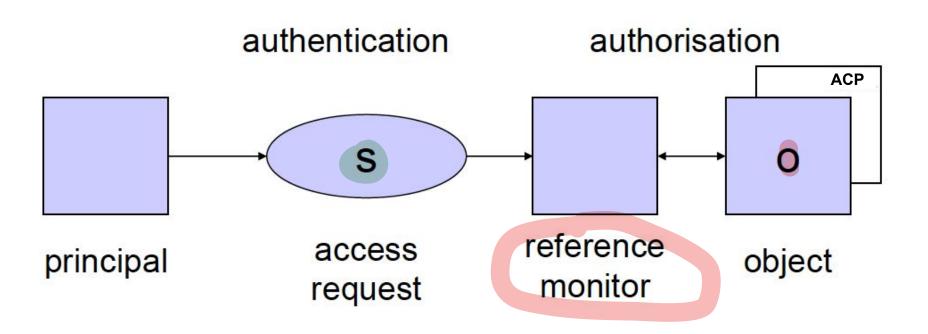
# Who Does it? The Reference Monitor

Enforces access control policies ("who does what on which resource"). All modern kernels have a reference monitor implementation.

## Requirements for the RM:

- Tamper proof
- Cannot be bypassed
- Small enough to be verified/tested

## **Authentication & Authorization**



## **Authentication & Authorization**

- Authentication: reference monitor verifies the identity of the principal (user) making the request:
  - User enters username and password.
  - If the values entered are correct, the user is "authenticated".
    - We could say: "The machine now runs on behalf of the user".
  - This might be intuitive, but it is imprecise.
    - Log on creates a **process** that runs with access rights assigned to the user.
    - Typically, the process runs under the user identity of the user who has logged on.

## **Authentication & Authorization**

- Authentication: reference monitor verifies the identity of the principal (user) making the request.
- Authorization: reference monitor decides whether access is granted or denied.
  - Reference monitor has to find and evaluate the security policy relevant for the given request.

"Easy" in centralized systems but in distributed systems,

- how to find all relevant policies?
- how to make decisions if policies may be missing?

## **Users & User Identities**

- Requests to reference monitor do not come directly from a user or a user identity, but from a process.
- The active entity making a request within the system is called the <u>subject</u>.
- You must distinguish between these concepts:
  - User: person;
  - Principal (User identity): name used in the system, possibly associated with a user; Active entity.
  - Subject: Process running under a given user identity.
  - Object: Passive entity file or resource.
  - Access operations: Vary from basic memory access (read, write) to method calls in object-oriented systems.

### Access control models

Can be roughly divided in



- Discretionary Access Control (DAC)
- Mandatory Access Control (MAC)
- Role-Based Access Control (RBAC)

Difference between DAC and MAC

who assigns privileges

RBAC abstracts roles from identities

# Discretionary Access Control =



- Resource <u>owner</u> discretionarily decides its access privileges
  - Stefano creates a file
    - Assigns Federico the privilege of reading it
- If this sounds "normal" it is because all off-the-shelf OSs implement DAC
  - Windows
  - Linux and other UNIX flavors
  - Mac OS X
  - Also applications, social networks...mostly DAC!

# **Examples of DAC systems**

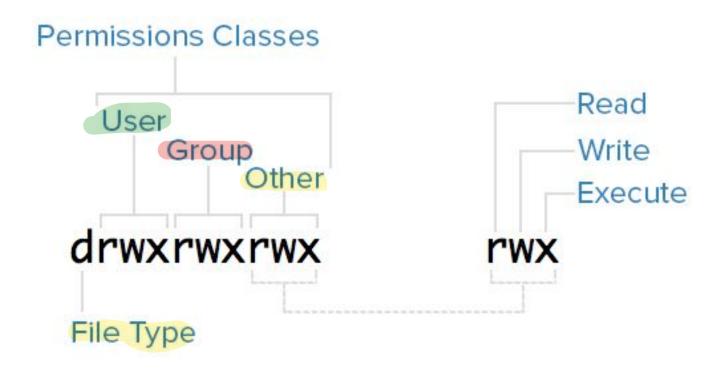
- UNIX
  - Subjects: users, groups
  - Objects: files (everything, really)
  - Actions: read, write, execute
- Windows (not the 95/98/ME branches)
  - Subjects: with roles instead of groups, multiple ownership of users and roles over files
  - Objects: files and "other" resources
  - Actions: delete, change permissions, change ownership.

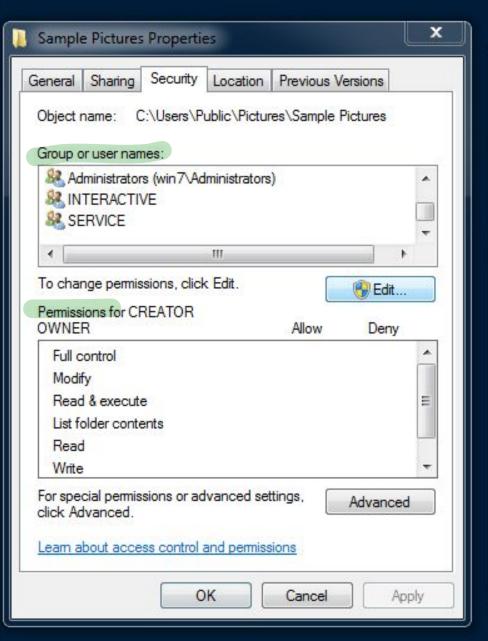
Permissions (r, w,	•	Subjects (us						Objects (files, dirs,)
lrwxr-xr-x		phretor	staff		Jul			.irssi -> /Users/ph
-rw-rr		phretor	staff		Dec	1		.jackdrc
drwxr-xr-x		phretor	staff		Feb			.jmf
-rwxrwxrwx@	1	phretor	staff		Dec	9		.khlbshcrc
-rw	1	root	staff	51	0ct	27	2008	.lesshst
drwxr-xr-x	4	phretor	staff	136	Jan	4	2008	.lftp
drwx		phretor	staff	136	Jul	22	2009	.links
drwxr-xr-x	3	phretor	staff	102	Apr	1	2013	.m2
lrwxr-xr-x	1	phretor	staff	32	Jul	3	2011	<pre>.mailcap -&gt; /Users/</pre>
drwxr-xr-x	3	phretor	staff	102	Jan	7	2008	<pre>.mldonkey</pre>
drwxr-xr-x	4	phretor	staff	136	Jan	25	16:29	.mono
lrwxr-xr-x	1	phretor	staff	31	Jul	3	2011	<pre>.mutt.d -&gt; /Users/p</pre>
lrwxr-xr-x	1	phretor	staff	36	Jul	3	2011	<pre>.muttprintrc -&gt; /Us</pre>
lrwxr-xr-x	1	phretor	staff	31	Jul	3	2011	<pre>.muttrc -&gt; /Users/p</pre>
drwxr-xr-x	11	phretor	staff	374	Jan	31	2008	.ncftp
drwxr-xr-x	8	phretor	staff	272	Dec	7	19:59	<pre>.neocomplcache</pre>
drwxr-xr-x	8	phretor	staff	272	0ct	21	2012	. neocon
drwxr-xr-x	11	phretor	staff	374	Feb	9	2013	.npm
lrwxr-xr-x	1	phretor	staff	38	Jul	3	2011	.offlineimaprc -> /
drwxr-xr-x	15	phretor	staff	510	Feb	4	22:23	.oh-my-zsh
drwxr-xr-x		phretor	staff	204	Apr	20	2013	.parentseye
drwxrwxr-x		phretor	staff	102	Dec	24		.pip
drwx		phretor	staff		Apr			.psi <sub>11</sub>
-rw		phretor	staff		Mar			.psql_history

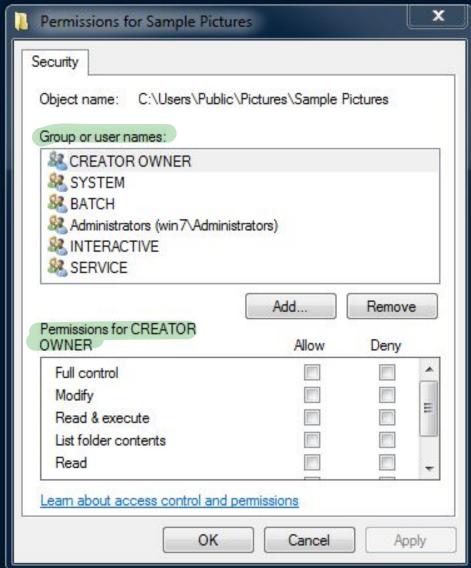
## **UNIX Permissions**

Mode				File Siz				
		Owner	Group		Las	t Mo	dified	Filename
drwxrwxrwx	2	sammy	sammy	4096	Nov	10	12:15	everyone_directory
drwxrwx	2	root	developers	4096	Nov	10	12:15	group_directory
-rw-rw	1	sammy	sammy	15	Nov	10	17:07	group_modifiable
drwx	2	sammy	sammy	4096	Nov	10	12:15	private_directory
-rw	1	sammy	sammy	269	Nov	10	16:57	private_file
-rwxr-xr-x	1	sammy	sammy	46357	Nov	10	17:07	public_executable
-rw-rw-rw-	1	sammy	sammy	2697	Nov	10	17:06	public_file
drwxr-xr-x	2	sammy	sammy	4096	Nov	10	16:49	publicly_accessible_directory
-rw-rr	1	sammy	sammy	7718	Nov	10	16:58	publicly_readable_file
drwx	2	root	root	4096	Nov	10	17:05	root_private_directory

## **Permissions "Triads"**







# General model of DAC systems

- We need to model the following entities:
  - Subjects who can exercise privileges (a.k.a., rights)
  - Objects on which privileges are exercised
  - Actions which can be exercised
- Protection state: a triple (S, O, A)
  - A: matrix with S rows and O columns
  - A[s,o]: privileges of subject s over object o

	file1	file2	directoryX
Alice	Read	Read, Write, Own	
Bob	Read, Write, Own	Read	Read, Write, Own
Charlie	Read, Write		Read

## Transitions in the HRU model

- Basic operations
  - create (or destroy) subject <s>
  - create (or destroy) object <o>
  - add (or remove) < permission > into [s,o] matrix
- Transitions: sequences of basic operations
  - "create file (subject u; file f)":
    - create object f
    - $\blacksquare$  add "own" into [u,f]
    - add "read" into [u,f]
  - Is this right?

## Transitions in the HRU model

## Basic operations

- create (or destroy) subject <s>
- create (or destroy) object <o>
- add (or remove) < permission > into [s,o] matrix

## Transitions: sequences of basic operations

- "create file (subject u; file f)":
  - create object f
  - **add** "own" into [u,f]
  - **add** "read" into [u,f]
- Is this right? No, we need to check if f existed before, otherwise u would be stealing it away!
- We need an "if" construct for modeling transitions

# Safety problems

- From an initial configuration, given a sequence of transitions, can s obtain a right r on f?
  - Obviously, yes if the owner o allows it!
  - But, if the owner does not?
    - If it happens, set of commands unsafe by design!

#### More formally

- Given an initial protection state and set of transitions, is there
   any sequence of transitions that leaks a certain right r (for
   which the owner is removed) into the access matrix?
- If not, then the system is safe with respect to right r
- In a generic HRU model (with infinite resources): undecidable problem
  - Decidable in mono-operational systems, (substantially useless, e.g., you cannot create a file and own it)
  - .. or <u>if subjects/objects are finite</u>.

# **Common DAC Implementations**



- Reproduction of HRU models
- Access matrix is a sparse matrix
- Alternative implementations:
  - Authorizations table: records non-null triples
     S-O-A, typically used in DBMS
  - Access Control Lists: records by column (i.e., for each object, the list of subjects and authorizations)
  - Capability Lists: records by row (i.e., for each subject, the list of objects and authorizations)



# **Access Control vs Capability Lists**

#### **Access Control Lists**

- Focus on the object
- ACLs ≡ columns of the access control matrix

fun.com Alice: {exec}	Bill: {exec,read,write}
-----------------------	-------------------------

## **Capability Lists**



- Focus on the subject
- Capabilities ≡ rows of the access control

Alice	edit.exe: {exec}	fun.com: {exec,read}
-------	------------------	----------------------

# **ACLs vs Capability Lists**

#### **ACLs**

- efficient with per object operations
- Most common case
- Some systems (e.g., POSIX) use abbreviated ACLs
- Cannot have multiple owners (partially achievable via groups).

## Capabilities

- efficient with per subject operations
- Usually objects change and subjects stay, so inefficient
- Capabilities are optional in POSIX (Linux and BSD).

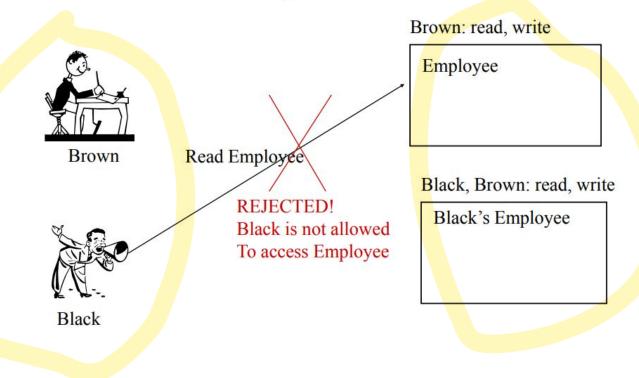


# **General DAC shortcomings**

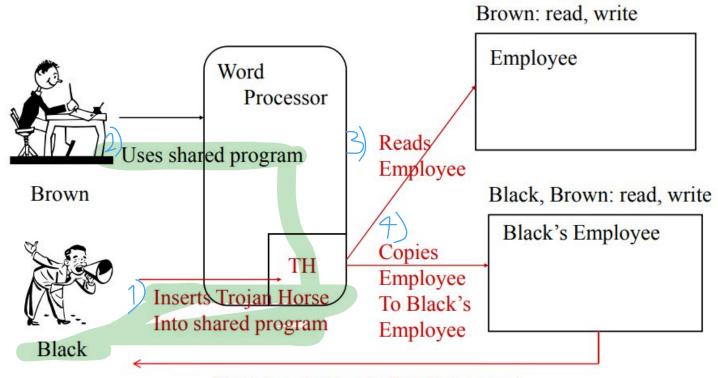


- Cannot prove safety
- Control access to objects but not to the data inside objects (granularity)
  - Susceptible to malicious user problem
  - Susceptible to trojan horse problem: malicious program running with privileges of the user
- Problems of <u>scalability and management</u>
  - each user-owner can potentially compromise security of the system with their own decisions

## DAC and Trojan Horse



# **DAC Trojan Horse Problem**



Black has access to Employee now!

# Mandatory Access Control (MAC)

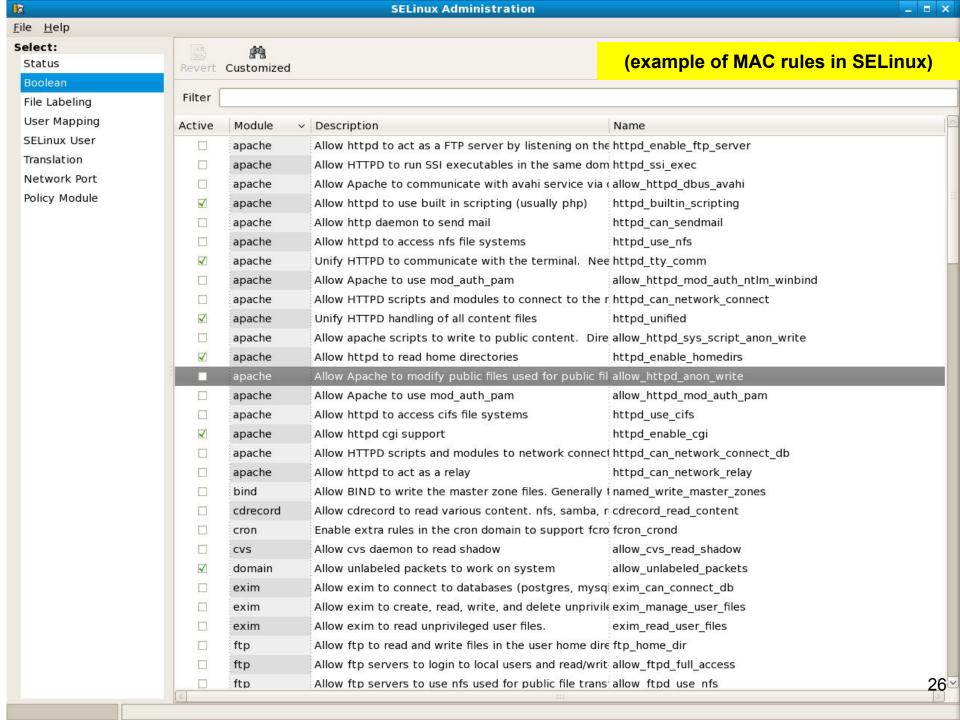
Idea: do not let owners assign privileges.

Privileges are set by a security **administrator**:

 E.g., defines a classification of subjects (or "clearance") and objects (or "sensitivity").

The **classification** is composed of:

- A strictly ordered set of secrecy levels.
- A set of labels.



# Secrecy Levels (US example)

```
Top Secret
           Secret
For Official Use Only (FOUO)
        Unclassified
```

# Secrecy Levels (NATO example)

**COSMIC Top Secret** 

>

**NATO Secret** 

>

**NATO Confidential** 

>

Unclassified

# Example (labels)

Policy

Energy

**Finance** 

**ATOMAL** 

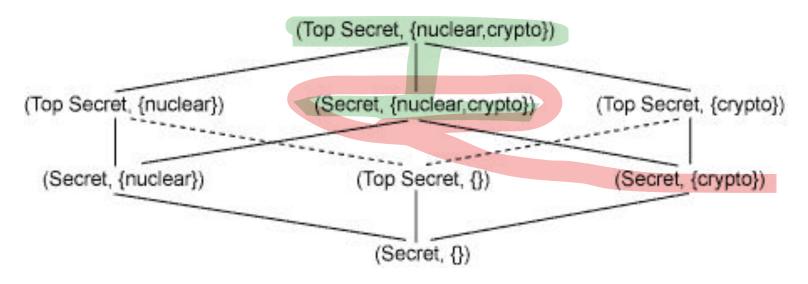
**NOFORN** 

# Secrecy Levels + Labels = Lattice (LBAC)

Classification = partial order relationship.

Dominance in a lattice is defined as:

$$\{C1,L1\} \ge \{C2,L2\} \Leftrightarrow C1 \ge C2 \text{ and } L2 \subseteq L1$$



(reflexive, transitive, antisymmetric property)

# Bell-LaPadula Model (BLP) - 1

#### Defines two MAC rules:

- 1. Rule 1 (no read up, "simple security property")
  A subject s at a given secrecy level cannot read an object o at a higher secrecy level.
- Rule 2 (no write down, "star property")
   A subject s at a given secrecy level cannot write an object o at a lower secrecy level.

#### Defines one DAC rule:

Rule 3 (Discretionary Security Property) states the use of an access matrix to specify the discretionary access control.

# Bell-LaPadula Model (BLP) - 2

Tranquility property: secrecy levels of objects cannot change dynamically.

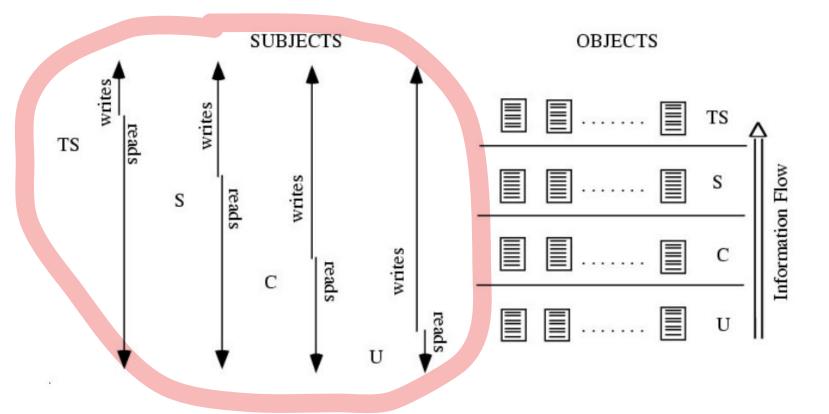
Result: monotonic flow of information toward higher secrecy levels

need of trusted subjects who can declassify or sanitize documents

Limitations: does not address integrity. There are other models for integrity, e.g.

http://en.wikipedia.org/wiki/Biba Model

## **MAC Information Flow**



## **Conclusions**

**Access control**, or authorization, defines subjects, objects, and actions in a system.

Access control **models** define how actions are (un)assigned to subjects and objects.

**DAC** are more common and "natural" than **MAC**, but can coexist.