

50 YEARS OF ADVANCING
FINANCIAL MARKETS.

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SETTLEMENT & ASSET SERVICES

The Depository Trust Company (DTC), DTCC's central securities depository subsidiary, provides settlement services for virtually all equity, corporate and municipal debt trades and Money Market Instruments in the U.S. In 2021, approximately 643 million securities valued at \$152 trillion were settled at DTCC in an efficient and risk controlled process.

The payment and transfer of securities ownership occurs at DTC, which provides custody and asset servicing for securities issues from more than 170 countries and territories valued at US\$87.1 trillion as of 2021. Asset services include: underwriting, corporate actions processing, securities processing, global tax services and issuer services.

SETTLEMENT

Settlement, a consolidated end-of-day process and the final step of a securities trade, completes the transfer between trading parties of securities ownership and cash. DTC, the central securities depository subsidiary of DTCC, provides settlement services for virtually all broker-to-broker equity and listed corporate and municipal debt securities transactions in the U.S., as well as institutional trades, money market instruments and other financial obligations.

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End of Day Settlement →

Equity, Corporate and Muni Debt Transaction Processing →

Inventory Management System →

ID Net →

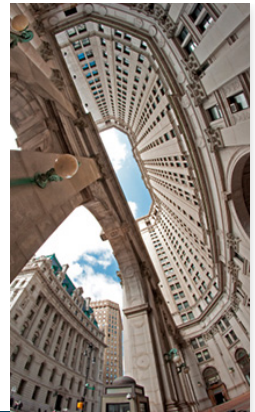
Money Market Instrument Transaction Processing →

Commercial Paper and Institutional Certificates of Deposit Data →

Pre-Issuance Messaging (PIM) Service →

UNDERWRITING

Underwriting is the entry point for depository and book-entry transfer services at The Depository Trust Company (DTC). Services offered through the Underwriting group offer efficiencies in the capital markets and reduce risk to participants by automating and facilitating the distribution and settlement of new and secondary issues.

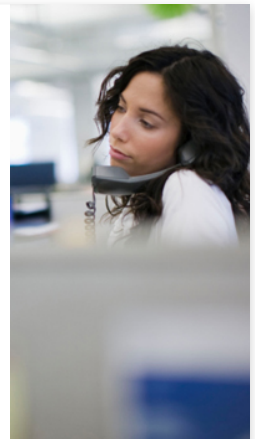
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| New Issue Eligibility →

ISSUER SERVICES

DTC's Issuer Services provide an array of central communication and information resources for depository-eligible securities that facilitate outreach by issuers to shareholders. Through its efficient, cost-effective and risk-mitigating offerings, Issuer Services help achieve timely and accurate communication among interested parties with respect to securities held and serviced at DTC.

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— HIDE PRODUCTS AND SERVICES

| Proxy Services →

| Security Position Reports →

| Legal Notice System →

CORPORATE ACTIONS PROCESSING

The Depository Trust Company (DTC) offers a wide array of services for processing corporate action events for the approximately 1.3 million active securities eligible at the depository. These services include announcing details of upcoming events and providing participants with information about their entitlements, accepting and acting on their instructions, and collecting, allocating, and reporting payments across various corporate action event types, including distributions, redemptions, and reorganizations.

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| Distributions →

[Redemptions →](#)[Reorganizations →](#)

SECURITIES PROCESSING

Through its suite of Securities Processing services The Depository Trust Company (DTC) provides its participant firms a range of safekeeping and processing services for various types of securities. Securities Processing services deliver efficient and cost-effective solutions for deposits, withdrawals, electronic direct registration and custody.

[VISIT ALL PRODUCTS & SERVICES](#)[— HIDE PRODUCTS AND SERVICES](#)[Custody Service →](#)[Direct Registration System →](#)[Withdrawals Service →](#)[Deposit/Withdrawal At Custodian →](#)[Deposits Service →](#)

AGENT SERVICES

This web page is designed to facilitate communication with the agent community by providing information and reference documentation that is necessary to interact with The Depository Trust Company (DTC).

Agent Services is the entry point for transfer agents at DTC. In order to establish a relationship with DTC, agents must first become DTC-eligible. The links below provide information and documentation that agents must complete in order to become DTC-eligible agents, FAST agents, and eligible for DTC's Direct Registration System (DRS). The Issuer Services link provides access to an array of central communication and information resources for depository-eligible securities that facilitate outreach by issuers to shareholders.

[VISIT ALL PRODUCTS & SERVICES](#)[— HIDE PRODUCTS AND SERVICES](#)[Becoming a DTC-Eligible Agent →](#)[The FAST Program →](#)[Direct Registration System →](#)[Corporate Action Information for Agents →](#)[Issuer Services →](#)

GLOBAL TAX SERVICES

Global Tax Services offers a variety of services for both domestic and international clients including services to provide tax relief on non-U.S. securities, withholding tax services on U.S.-sourced income paid to non-U.S. institutions and a tax reporting data service. The offerings are as follows:

VISIT ALL PRODUCTS & SERVICES



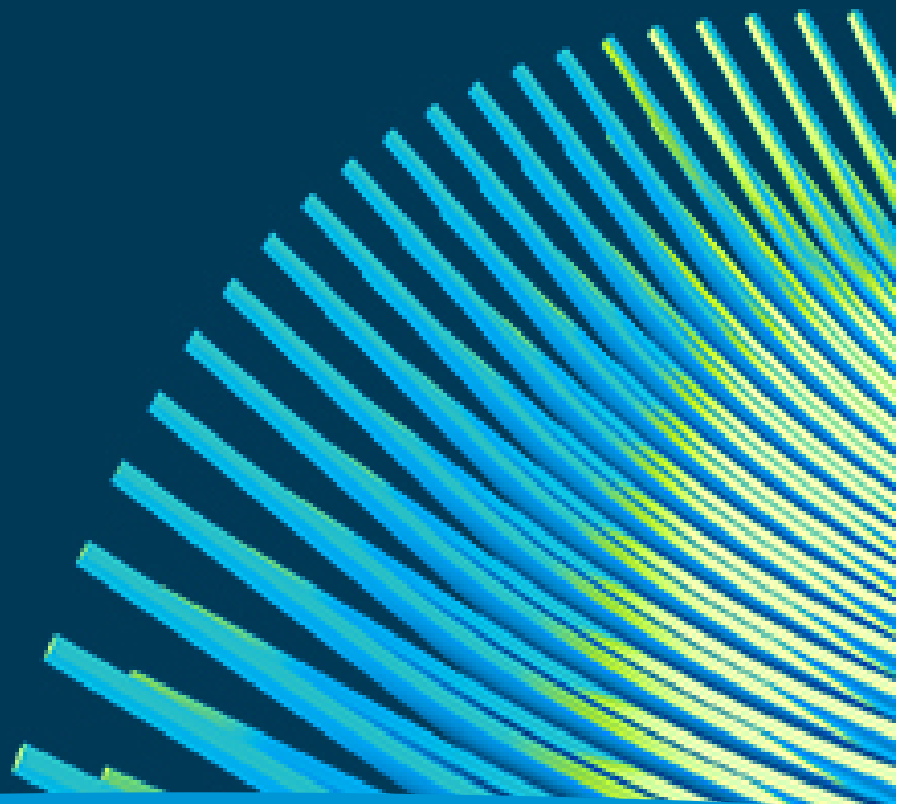
— HIDE PRODUCTS AND SERVICES

- DTC TaxRelief →
- 305(c) Announcements →
- U.S. Tax Withholding Services →
- Domestic Tax Reporting Service (DTax) →



ISO 20022 MESSAGING SPECIFICATIONS

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UPDATED GUIDELINES FOR UNSCHEDULED CLOSE

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NEWS & INSIGHTS

DTCC CONNECTION



SEPTEMBER 08, 2023

T+1: How the Shortening of the USA Settlement Cycle May Impact APAC →

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SEPTEMBER 06, 2023

Early Indicators Point to T+1 Testing Success →

INDUSTRY CONNECTION



AUGUST 22, 2023

Heard at Ops: Transitioning to T+1 With Walley Deloitte →

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DTCC LEARNING

HELPING CLIENTS OPTIMIZE DTCC SERVICES EVERY DAY

DTCC Learning offers comprehensive, fast-track training for DTCC customers of financial services organizations who are looking to expand their expertise and abilities in using the post-trade processing products and services provided by DTCC's subsidiaries.

[MY LEARNING](#)

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- Repository & Derivatives Services
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18951-23 Primary Account Activation: SAL Equity Trading, GP,... →

DerivSERV



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