

GANs

GAN training algorithm

For each training iteration do

1 Train the Discriminator:

- a Take a random real example x from the training dataset.
- b Get a new random noise vector z and, using the Generator network, synthesize a fake example x^* .
- c Use the Discriminator network to classify x and x^* .
- d Compute the classification errors and backpropagate the total error to update the Discriminator's trainable parameters, seeking to *minimize* the classification errors.

2 Train the Generator:

- a Get a new random noise vector z and, using the Generator network, synthesize a fake example x^* .
- b Use the Discriminator network to classify x^* .
- c Compute the classification error and backpropagate the error to update the Generator's trainable parameters, seeking to *maximize* the Discriminator's error.

End for

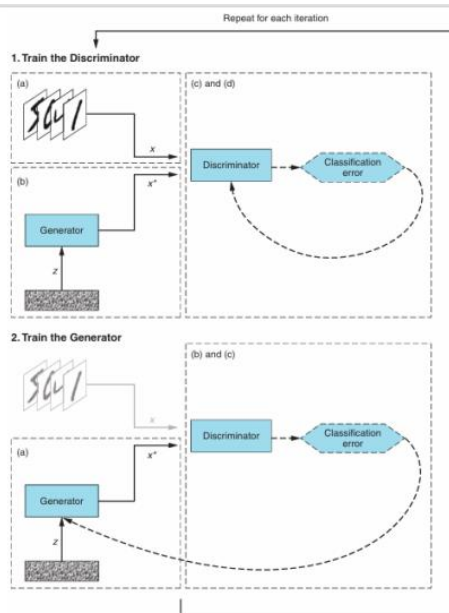


Figure 1.3 The GAN training algorithm has two main parts. These two parts, Discriminator training and Generator training, depict the same GAN network at different time snapshots in the corresponding stages of the training process.

- a Take a random real example x from the training dataset.
- b Get a new random noise vector z and, using the Generator network, synthesize a fake example x^* .
- c Use the Discriminator network to classify x and x^* .
- d Compute the classification errors and backpropagate the total error to update the Discriminator weights and biases, seeking to *minimize* the classification errors.

- a Get a new random noise vector z and, using the Generator network, synthesize a fake example x^* .
- b Use the Discriminator network to classify x^* .
- c Compute the classification error and backpropagate the error to update the Generator weights and biases, seeking to *maximize* the Discriminator's error.

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DCGANs

Generating handwritten digits using DCGAN

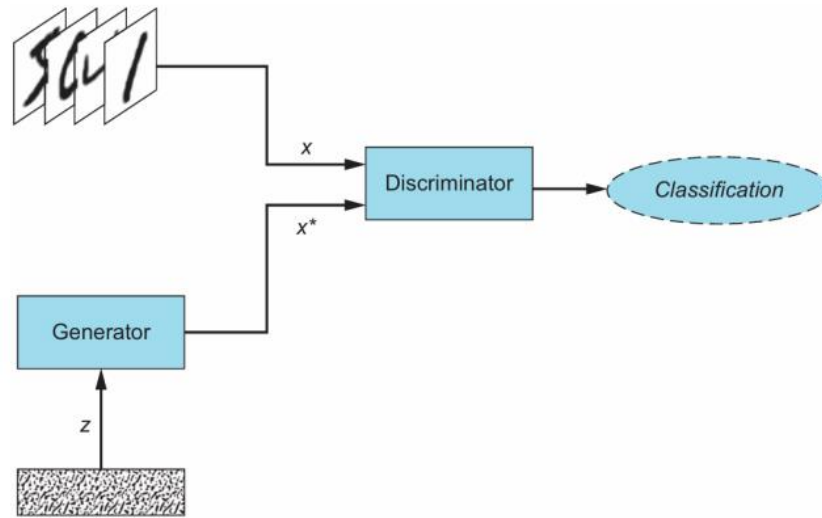


Figure 4.3 The overall model architecture for this chapter’s tutorial is the same as the GAN we implemented in chapter 3. The only differences (not visible on this high-level diagram) are the internal representations of the Generator and Discriminator networks (the insides of the Generator and Discriminator boxes). These networks are covered in detail later in this tutorial.

Listing 4.1 Import statements

```
%matplotlib inline

import matplotlib.pyplot as plt
import numpy as np

from keras.datasets import mnist
from keras.layers import (
    Activation, BatchNormalization, Dense, Dropout, Flatten, Reshape)
from keras.layers.advanced_activations import LeakyReLU
from keras.layers.convolutional import Conv2D, Conv2DTranspose
from keras.models import Sequential
from keras.optimizers import Adam
```

We also specify the model input dimensions: the image shape and the length of the noise vector z .

Listing 4.2 Model input dimensions

```
img_rows = 28
img_cols = 28
channels = 1

img_shape = (img_rows, img_cols, channels)  ← Input image dimensions

z_dim = 100  ← Size of the noise vector, used as input to the Generator
```

Implementing the Generator

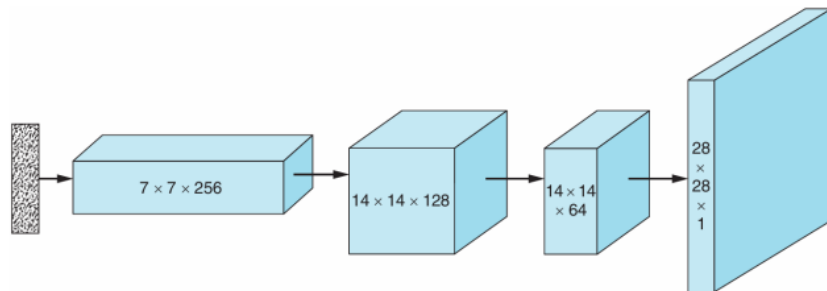


Figure 4.4 The Generator takes in a random noise vector as input and produces a $28 \times 28 \times 1$ image. It does so by multiple layers of transposed convolutions. Between the convolutional layers, we apply batch normalization to stabilize the training process. (Image is not to scale.)

- 1 Take a random noise vector and reshape it into a $7 \times 7 \times 256$ tensor through a fully connected layer.
- 2 Use transposed convolution, transforming the $7 \times 7 \times 256$ tensor into a $14 \times 14 \times 128$ tensor.
- 3 Apply batch normalization and the *Leaky ReLU* activation function.
- 4 Use transposed convolution, transforming the $14 \times 14 \times 128$ tensor into a $14 \times 14 \times 64$ tensor. Notice that the width and height dimensions remain unchanged; this is accomplished by setting the stride parameter in `Conv2DTranspose` to 1.
- 5 Apply batch normalization and the *Leaky ReLU* activation function.
- 6 Use transposed convolution, transforming the $14 \times 14 \times 64$ tensor into the output image size, $28 \times 28 \times 1$.
- 7 Apply the *tanh* activation function.

Listing 4.3 DCGAN Generator

```
def build_generator(z_dim):
    model = Sequential()
    model.add(Dense(256 * 7 * 7, input_dim=z_dim))
    model.add(Reshape((7, 7, 256)))
    model.add(Conv2DTranspose(128, kernel_size=3, strides=2, padding='same'))
    model.add(BatchNormalization())
    model.add(LeakyReLU(alpha=0.01))
    model.add(Conv2DTranspose(64, kernel_size=3, strides=1, padding='same'))
    model.add(BatchNormalization())
    model.add(LeakyReLU(alpha=0.01))
    model.add(Conv2DTranspose(1, kernel_size=3, strides=2, padding='same'))
    model.add(Activation('tanh'))
    return model
```

Annotations for Listing 4.3:

- Reshapes input into $7 \times 7 \times 256$ tensor via a fully connected layer**: Points to the `Dense` and `Reshape` layers.
- Transposed convolution layer, from $7 \times 7 \times 256$ into $14 \times 14 \times 128$ tensor**: Points to the first `Conv2DTranspose` layer.
- Batch normalization**: Points to the `BatchNormalization` layer after the first convolution.
- Leaky ReLU activation**: Points to the `LeakyReLU` layer after the first batch normalization.
- Transposed convolution layer, from $14 \times 14 \times 128$ to $4 \times 4 \times 64$ tensor**: Points to the second `Conv2DTranspose` layer.
- Batch normalization**: Points to the `BatchNormalization` layer after the second convolution.
- Leaky ReLU activation**: Points to the `LeakyReLU` layer after the second batch normalization.
- Output layer with tanh activation**: Points to the `Activation('tanh')` layer.
- Transposed convolution layer, from $4 \times 4 \times 64$ to $28 \times 28 \times 1$ tensor**: Points to the third `Conv2DTranspose` layer.

Implementing the Discriminator

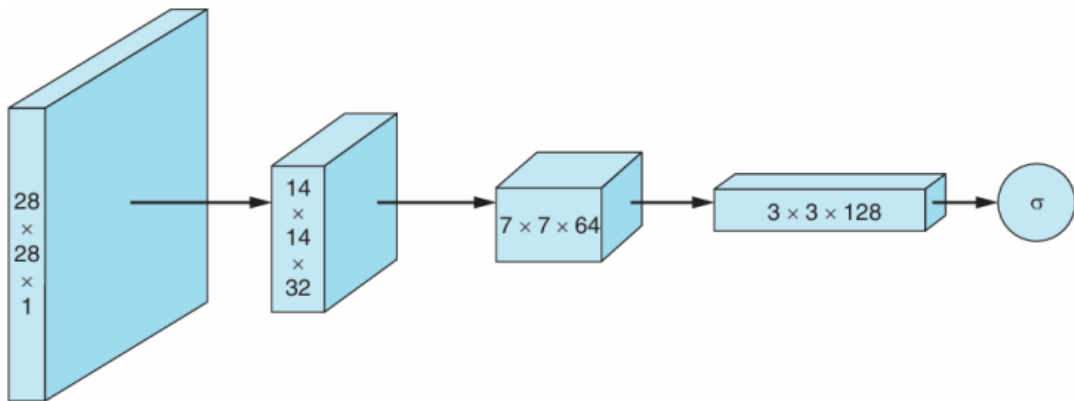


Figure 4.5 The Discriminator takes in a $28 \times 28 \times 1$ image as input, applies several convolutional layers, and—using the *sigmoid* activation function σ —outputs a probability that the input image is real rather than fake. Between the convolutional layers, we apply batch normalization to stabilize the training process. (Image is not to scale.)

- 1 Use a convolutional layer to transform a $28 \times 28 \times 1$ input image into a $14 \times 14 \times 32$ tensor.
- 2 Apply the *Leaky ReLU* activation function.
- 3 Use a convolutional layer, transforming the $14 \times 14 \times 32$ tensor into a $7 \times 7 \times 64$ tensor.
- 4 Apply batch normalization and the *Leaky ReLU* activation function.
- 5 Use a convolutional layer, transforming the $7 \times 7 \times 64$ tensor into a $3 \times 3 \times 128$ tensor.
- 6 Apply batch normalization and the *Leaky ReLU* activation function.
- 7 Flatten the $3 \times 3 \times 128$ tensor into a vector of size $3 \times 3 \times 128 = 1152$.

Listing 4.4 DCGAN Discriminator

```
def build_discriminator(img_shape):

    model = Sequential()

    model.add(
        Conv2D(32,
               kernel_size=3,
               strides=2,
               input_shape=img_shape,
               padding='same'))
    model.add(LeakyReLU(alpha=0.01))

    model.add(
        Conv2D(64,
               kernel_size=3,
               strides=2,
               input_shape=img_shape,
               padding='same'))
    model.add(BatchNormalization())

    model.add(LeakyReLU(alpha=0.01))

    model.add(
        Conv2D(128,
               kernel_size=3,
               strides=2,
               input_shape=img_shape,
               padding='same'))
    model.add(BatchNormalization())

    model.add(LeakyReLU(alpha=0.01))

    model.add(Flatten())
    model.add(Dense(1, activation='sigmoid'))
```

Annotations for Listing 4.4:

- Convolutional layer, from $28 \times 28 \times 1$ into $14 \times 14 \times 32$ tensor
- Leaky ReLU activation
- Convolutional layer, from $14 \times 14 \times 32$ into $7 \times 7 \times 64$ tensor
- Batch normalization
- Leaky ReLU activation
- Convolutional layer, from $7 \times 7 \times 64$ tensor into $3 \times 3 \times 128$ tensor
- Batch normalization
- Leaky ReLU activation
- Output layer with sigmoid activation

Building and running the DCGAN

Listing 4.5 Building and compiling the DCGAN

```
def build_gan(generator, discriminator):  
  
    model = Sequential()  
    model.add(generator)  
    model.add(discriminator)  
  
    return model  
  
discriminator = build_discriminator(img_shape)  
discriminator.compile(loss='binary_crossentropy',  
                    optimizer=Adam(),  
                    metrics=['accuracy'])  
  
generator = build_generator(z_dim)  
discriminator.trainable = False  
  
gan = build_gan(generator, discriminator)  
gan.compile(loss='binary_crossentropy', optimizer=Adam())
```

Builds the Generator →

← Combined Generator + Discriminator model

← Builds and compiles the Discriminator

← Keeps Discriminator's parameters constant for Generator training

← Builds and compiles GAN model with fixed Discriminator to train the Generator

Listing 4.6 DCGAN training loop

```
losses = []  
accuracies = []  
iteration_checkpoints = []  
  
def train(iterations, batch_size, sample_interval):  
  
    (X_train, _), (_, _) = mnist.load_data()  
  
    X_train = X_train / 127.5 - 1.0  
    X_train = np.expand_dims(X_train, axis=3)  
  
    real = np.ones((batch_size, 1))  
    fake = np.zeros((batch_size, 1))  
  
    for iteration in range(iterations):  
  
        idx = np.random.randint(0, X_train.shape[0], batch_size)  
        imgs = X_train[idx]  
  
        z = np.random.normal(0, 1, (batch_size, 100))  
        gen_imgs = generator.predict(z)  
  
        d_loss_real = discriminator.train_on_batch(imgs, real)  
        d_loss_fake = discriminator.train_on_batch(gen_imgs, fake)
```

← Loads the MNIST dataset

← Rescales [0, 255] grayscale pixel values to [-1, 1]

← Labels for real images: all 1s

← Labels for fake images: all 0s

Gets a random batch of real images →

← Generates a batch of fake images

Trains the Discriminator →


```

d_loss, accuracy = 0.5 * np.add(d_loss_real, d_loss_fake)

z = np.random.normal(0, 1, (batch_size, 100))
gen_imgs = generator.predict(z)

g_loss = gan.train_on_batch(z, real)

if (iteration + 1) % sample_interval == 0:

    losses.append((d_loss, g_loss))
    accuracies.append(100.0 * accuracy)
    iteration_checkpoints.append(iteration + 1)

    print("%d [D loss: %f, acc.: %.2f%%] [G loss: %f]" %
          (iteration + 1, d_loss, 100.0 * accuracy, g_loss))

    sample_images(generator)

```

Generates a batch of fake images

Trains the Generator

Saves losses and accuracies so they can be plotted after training

Outputs training progress

Outputs a sample generated image

Listing 4.7 Displaying generated images

```

def sample_images(generator, image_grid_rows=4, image_grid_columns=4):

    z = np.random.normal(0, 1, (image_grid_rows * image_grid_columns, z_dim))

    gen_imgs = generator.predict(z)

    gen_imgs = 0.5 * gen_imgs + 0.5

    fig, axs = plt.subplots(image_grid_rows,
                             image_grid_columns,
                             figsize=(4, 4),
                             sharey=True,
                             sharex=True)

    cnt = 0
    for i in range(image_grid_rows):
        for j in range(image_grid_columns):
            axs[i, j].imshow(gen_imgs[cnt, :, :, 0], cmap='gray')
            axs[i, j].axis('off')
            cnt += 1

```

Sample random noise

Generates images from random noise

Rescales image pixel values to [0, 1]

Sets image grid

Outputs a grid of images

Listing 4.8 Running the model

```
iterations = 20000
batch_size = 128
sample_interval = 1000

train(iterations, batch_size, sample_interval)
```

← Sets hyperparameters

← Trains the DCGAN for the specified number of iterations

Model Output



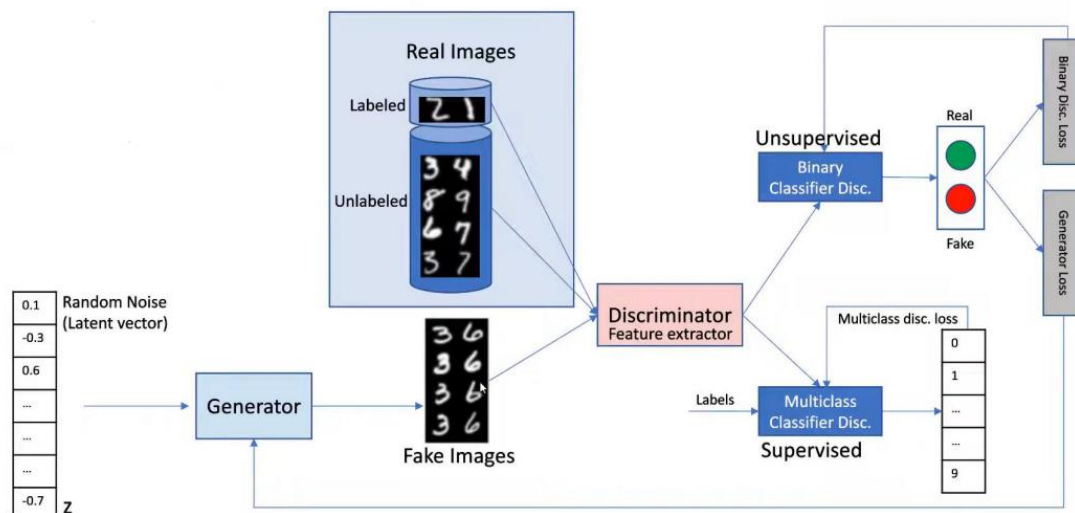
Figure 4.6 A sample of handwritten digits generated by a fully trained DCGAN



Figure 4.7 A sample of handwritten digits generated by the GAN implemented in chapter 3

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SGANS



Implementing a SGAN

Data set:

MNIST handwritten
digits

100 training examples

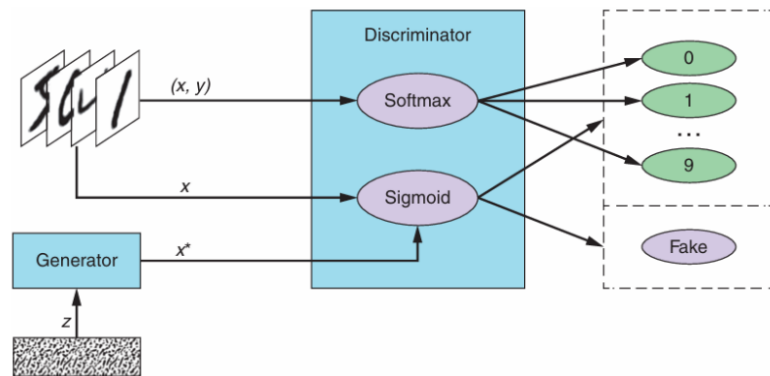


Figure 7.3 This SGAN diagram is a high-level illustration of the SGAN we implement in this chapter's tutorial. The Generator turns random noise into fake examples. The Discriminator receives real images with labels (x, y) , real images without labels (x) , and fake images produced by the Generator (x^*) . To distinguish real examples from fake ones, the Discriminator uses the *sigmoid* function. To distinguish between the real classes, the Discriminator uses the *softmax* function.

Training

SGAN training algorithm

For each training iteration **do**

- 1 Train the Discriminator (supervised):
 - a Take a random mini-batch of labeled real examples (x, y) .
 - b Compute $D((x, y))$ for the given mini-batch and backpropagate the multi-class classification loss to update $\theta^{(D)}$ to minimize the loss.
- 2 Train the Discriminator (unsupervised):
 - a Take a random mini-batch of unlabeled real examples x .
 - b Compute $D(x)$ for the given mini-batch and backpropagate the binary classification loss to update $\theta^{(D)}$ to minimize the loss.
 - c Take a mini-batch of random noise vectors z and generate a mini-batch of fake examples: $G(z) = x^*$.
 - d Compute $D(x^*)$ for the given mini-batch and backpropagate the binary classification loss to update $\theta^{(D)}$ to minimize the loss.
- 3 Train the Generator:
 - a Take a mini-batch of random noise vectors z and generate a mini-batch of fake examples: $G(z) = x^*$.
 - b Compute $D(x^*)$ for the given mini-batch and backpropagate the binary classification loss to update $\theta^{(G)}$ to maximize the loss.

End for

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CGANS

Architecture diagram:

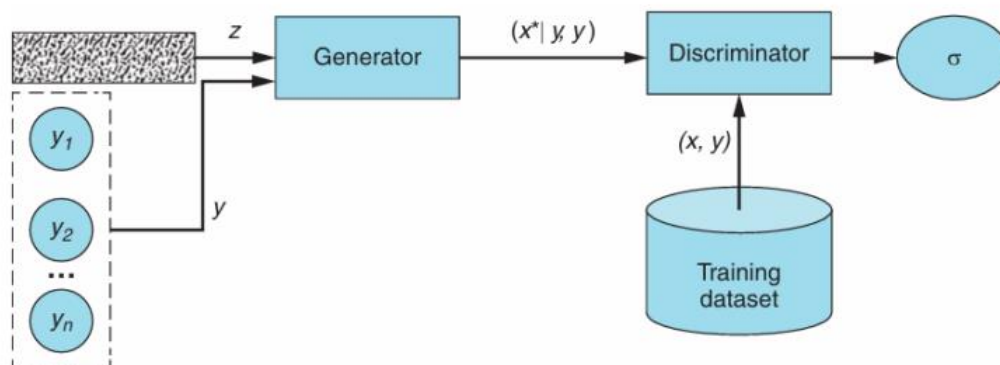


Figure 8.3 The CGAN Generator uses a random noise vector z and a label y (one of the n possible labels) as inputs and produces a fake example $x^*|y$ that strives to be both realistic looking and a convincing match for the label y .

CGAN Generator

1. Take label y (an integer from 0 to 9) and turn it into a dense vector of size z_dim (the length of the random noise vector) by using the **Keras Embedding layer**.
2. Combine the label embedding with the noise vector z into a joint representation by using the Keras Multiply layer. As its name suggests, this layer multiplies the corresponding entries of the two equal-length vectors and outputs a single vector of the resulting products.
3. Feed the resulting vector as input into the rest of the CGAN Generator network to synthesize an image.

The process for label “7”:

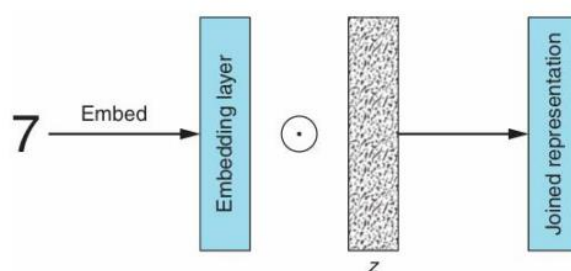


Figure 8.4 The steps used to combine the conditioning label (7 in this example) and the random noise vector z into a single joint representation

⊙ denotes element-wise multiplication

CGAN Discriminator

1. Take a label (an integer from 0 to 9) and—using the Keras Embedding layer—turn the label into a dense vector of size $28 \times 28 \times 1 = 784$ (the length of a flattened image).
2. Reshape the label embeddings into the image dimensions ($28 \times 28 \times 1$).
3. Concatenate the reshaped label embedding onto the corresponding image, creating a joint representation with the shape ($28 \times 28 \times 2$). You can think of it as an image with its embedded label “stamped” on top of it.
4. Feed the image-label joint representation as input into the CGAN Discriminator network. Note that in order for things to work, we have to adjust the model input dimensions to ($28 \times 28 \times 2$) to reflect the new input shape.

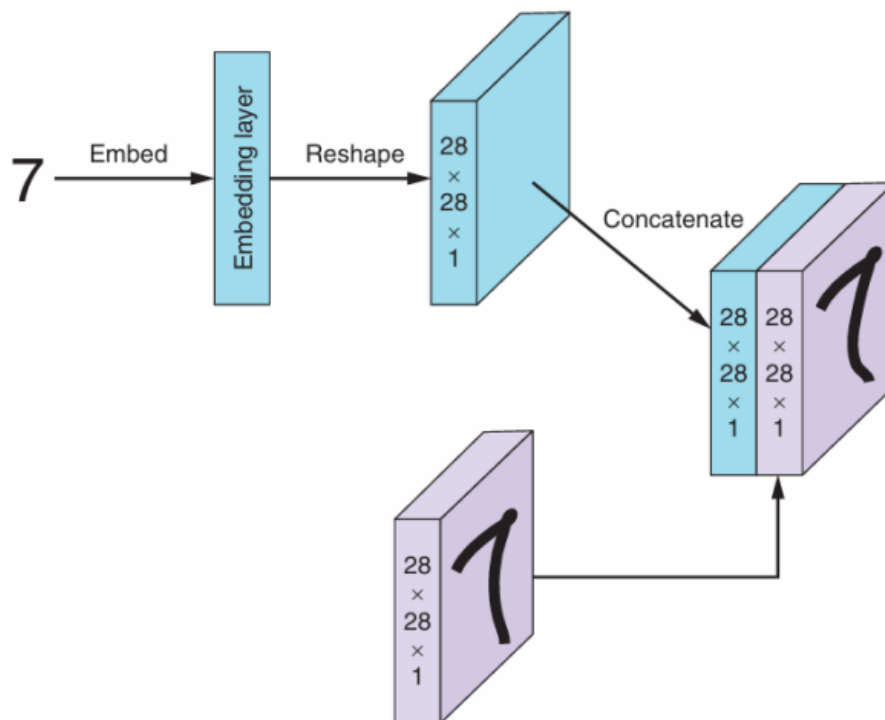


Figure 8.5 The steps used to combine the label (7 in this case) and the input image into a single joint representation

Training

CGAN training algorithm

For each training iteration **do**

1 Train the Discriminator:

- a** Take a random mini-batch of real examples and their labels (x, y) .
- b** Compute $D((x, y))$ for the mini-batch and backpropagate the binary classification loss to update $\theta^{(D)}$ to minimize the loss.
- c** Take a mini-batch of random noise vectors and class labels (z, y) and generate a mini-batch of fake examples: $G(z, y) = x^*|y$.
- d** Compute $D(x^*|y, y)$ for the mini-batch and backpropagate the binary classification loss to update $\theta^{(D)}$ to minimize the loss.

2 Train the Generator:

- a** Take a mini-batch of random noise vectors and class labels (z, y) and generate a mini-batch of fake examples: $G(z, y) = x^*|y$.
- b** Compute $D(x^*|y, y)$ for the given mini-batch and backpropagate the binary classification loss to update $\theta^{(G)}$ to maximize the loss.

End for

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CYCLEGANs

Building the network

1. Creating the two Discriminators DA and DB and compiling them
2. Creating the two Generators:
 - a. Instantiating GAB and GBA
 - b. Creating placeholders for the image input for both directions
 - c. Linking them both to an image in the other domain
 - d. Creating placeholders for the reconstructed images back in the original domain
 - e. Creating the identity loss constraint for both directions
 - f. Not making the parameters of the Discriminators trainable for now
 - g. Compiling the two Generators

Building the generator

1. Define the `conv2d()` function as follows:
 - a. Standard 2D convolutional layer
 - b. Leaky ReLU activation
 - c. Instance normalization⁸
2. Define the `deconv2d()` function as a transposed⁹ convolution (aka deconvolution) layer that does the following:
 - a. Upsamples the input_layer
 - b. Possibly applies dropout if we set the dropout rate
 - c. Always applies InstanceNormalization
 - d. This creates a skip connection between its output layer and the layer of corresponding dimensionality from the downsampling part from figure 9.4

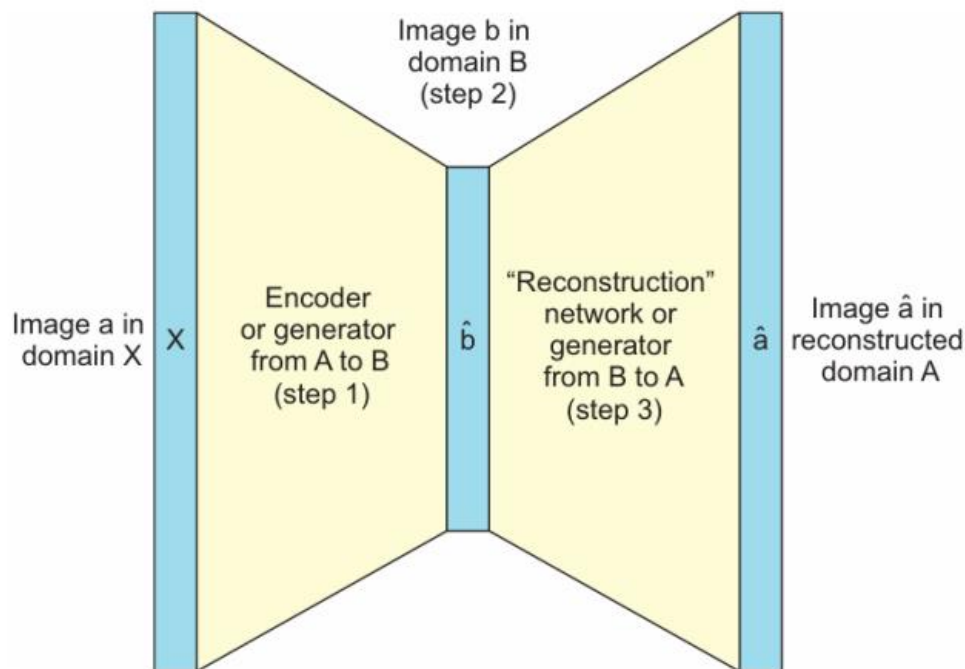


Figure 9.4 In this image of an autoencoder from chapter 2, we used the analogy of compressing (step 1) a human concept into a more compact written form in a letter (step 2) and then expanding this concept out to the (imperfect) idea of the same notion in someone else's head (step 3).

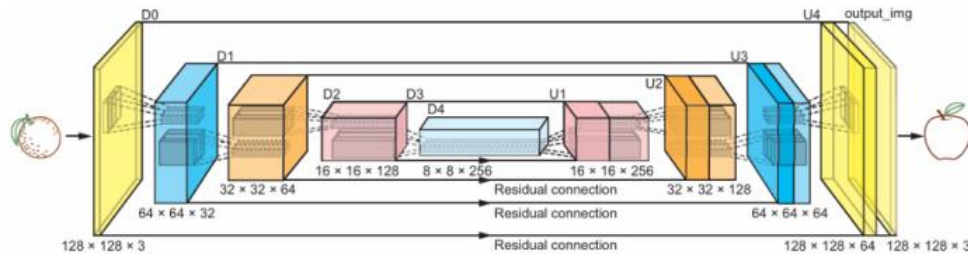


Figure 9.6 Architecture of the Generator. The generator itself has a *contraction path* (d0 to d3) and *expanding path* (u1 to u4). The contraction and expanding paths are sometimes referred to as *encoder* and *decoder*, respectively.

Creating the actual generator:

3. Take the input ($128 \times 128 \times 3$) and assign that to d0.
4. Run that through a convolutional layer d1, arriving at a $64 \times 64 \times 32$ layer.
5. Take d1 ($64 \times 64 \times 32$) and apply conv2d to get $32 \times 32 \times 64$ (d2).
6. Take d2 ($32 \times 32 \times 64$) and apply conv2d to get $16 \times 16 \times 128$ (d3).
7. Take d3 ($16 \times 16 \times 128$) and apply conv2d to get $8 \times 8 \times 256$ (d4).
8. u1: Upsample d4 and create a skip connection between d3 and u1.
9. u2: Upsample u1 and create a skip connection between d2 and u2.
10. u3: Upsample u2 and create a skip connection between d1 and u3.
11. u4: Use regular upsampling to arrive at a $128 \times 128 \times 64$ image.
12. Use a regular 2D convolution to get rid of the extra feature maps and get only $128 \times 128 \times 3$ (height \times width \times color_channels)

Building the Discriminator

Uses a helper function that creates layers formed of 2D convolutions, *LeakyReLU*, and optionally, *InstanceNormalization*.

1. Take the input image ($128 \times 128 \times 3$) and assign that to d1 ($64 \times 64 \times 64$).
2. Take d1 ($64 \times 64 \times 64$) and assign that to d2 ($32 \times 32 \times 128$).
3. Take d2 ($32 \times 32 \times 128$) and assign that to d3 ($16 \times 16 \times 256$).
4. Take d3 ($16 \times 16 \times 256$) and assign that to d4 ($8 \times 8 \times 512$).
5. Take d4 ($8 \times 8 \times 512$) and flatten by conv2d to $8 \times 8 \times 1$.

Training the CycleGAN:

CycleGAN training algorithm

For each training iteration **do**

1 Train the Discriminator:

- a** Take a mini-batch of random images from each domain ($imgs_A$ and $imgs_B$).
- b** Use the Generator G_{AB} to translate $imgs_A$ to domain B and vice versa with G_{BA} .
- c** Compute $D_A(imgs_A, 1)$ and $D_A(G_{BA}(imgs_B), 0)$ to get the losses for real images in A and translated images from B, respectively. Then add these two losses together. The 1 and 0 in D_A serve as labels.
- d** Compute $D_B(imgs_B, 1)$ and $D_B(G_{AB}(imgs_A), 0)$ to get the losses for real images in B and translated images from A, respectively. Then add these two losses together. The 1 and 0 in D_B serve as labels.
- e** Add the losses from steps c and d together to get a total Discriminator loss.

2 Train the Generator:

- a** We use the combined model to
 - Input the images from domain A ($imgs_A$) and B ($imgs_B$)
 - The outputs are
 - 1** Validity of A: $D_A(G_{BA}(imgs_B))$
 - 2** Validity of B: $D_B(G_{AB}(imgs_A))$
 - 3** Reconstructed A: $G_{BA}(G_{AB}(imgs_A))$
 - 4** Reconstructed B: $G_{AB}(G_{BA}(imgs_B))$
 - 5** Identity mapping of A: $G_{BA}(imgs_A)$
 - 6** Identity mapping of B: $G_{AB}(imgs_B)$
- b** We then update the parameters of both Generators inline with the cycle-consistency loss, identity loss, and adversarial loss with
 - Mean squared error (MSE) for the scalars (discriminator probabilities)
 - Mean absolute error (MAE) for images (either reconstructed or identity-mapped)

End for