# Roles and the compositional semantics of role-denoting relational adjectives

Curt Anderson and Sebastian Löbner SFB 991, Heinrich-Heine-Universität Düsseldorf

XII<sup>th</sup> International Tbilisi Symposium on Language, Logic and Computation Lagodekhi, 18-22 September 2017





Anderson & Löbner: Roles and relational adjectives 0. Introduction

# 0. Introduction

# 0.1 The project

• Some nominals such as president show an ambiguity between readings related to an official role, and to readings on a personal level.

The president visited his mother. (personal visit preferred) The president visited Netanyahu. (official visit preferred)

- These readings are driven in large part by our understanding of social roles in the world: heads are state are visited in the course of official duties of leading a country, while parents are not.
- Puzzle: these same nominals admit for only a role-related reading when used as adjectives.

The president visited his mother.

Does not entail: There was a presidential visit to the president's mother.

• Find that distinction arises not just with verbal predication, but possessives as well.

the president's desk the president's advisor (personal or official)

a presidential advisor (only official) the presidential desk

Anderson & Löbner: Roles and relational adjectives

0. Introduction

Anderson & Löbner: Roles and relational adjectives

0. Introduction

### 0.1 The project

• Presidential (in this example) is a relational adjective (RAs). Other examples:

Ukrainian crisis, technical architect, nuclear war, dental care, semantics conference

• In this talk, we call presidential (and other like adjectives) role-denoting relational adjectives. Examples:

president :: presidential mayor :: mayoral senator :: senatorial pope :: papal

- These role-denoting relational adjectives form a (semi-)productive subclass of RAs in English.
- Often derived from a noun, but in some cases this is only apparent diachronically (e.g., royal from Lat. rex 'king')

0.1 The project

### Questions addressed today

- 1. How are relational adjectives, especially those of the *presidential*-type, represented?
- 2. How are roles semantically represented and distinguished from ordinary individuals?

# 0.1 The project

#### Our answer

- Enrich ontology with levels of action (official and personal).
- Lexically decompose role-denoting nouns. They encode an event at an official level of action.

0. Introduction

- Role-denoting RAs relate meaning of modified nominal to the official actions encoded in the adjective.
- Roles are derived from thematic roles of events at an official level of action.

### Big picture

- How adjectives compose with the nouns that they modify
- How world knowledge and context interact with lexical meaning
- · How our natural language ontology is organized, and what kinds of things we find in it (e.g. the project of natural language metaphysics)

# 0.2 Roadmap for this talk

- Section 1: Basic data on relational adjectives
- Section 2: Previous accounts of RAs and some critiques
- Section 3: Ontological background for roles
- Section 4: Analysis of presidency, president, presidential
- Section 5: Expanding on the analysis
- Section 6: Discussion and conclusion

#### Note:

- We focus on *presidential* as the best and clearest example case in our analysis.
- But the basic analysis can be extended to other examples of these role-denoting RAs.

Anderson & Löbner: Roles and relational adjectives

1. Relational adjectives

# 1. Relational adjectives

### 1.1 Variants of adnominal non-property adjective use

# Classificatory use

Semantics of A+N: Modifying a noun with a classificatory adjective yields an expression for a subclass of the class denoted by the modified noun alone.

- Examples nuclear war musical instrument dental care pediatric conference public university
- Most classificatory adjectives are denominal, or there is a semantically related noun musical – music pediatric – pediatrics public – public dental – teeth
- Classificatory adjectives are not gradable.
- Property adjectives can usually not be used predicatively, but exceptions are possible #the care was dental #this instrument is musical ??this conference is pediatric this university is public
- Out of context, classificatory adjectives do not define a class in their own right.

Anderson & Löbner: Roles and relational adjectives

1. Relational adjectives

### 1.1 Variants of adnominal adjective use

# Thematic use

The adjective is denominal or otherwise lexically corresponding to a noun N<sub>A</sub>.

Semantics of A+N: Modifying a noun with a thematic adjective amounts to the characterization of an event argument of the head noun as a case of N<sub>A</sub>.

- Examples presidential visit French policy semantics workshop
- · Thematic adjectives are not gradable.
- Thematic adjectives can usually not be used predicatively #this visit is presidential #this policy is French ??this workshop is semantics
- Out of context, thematic adjectives do not define a class in their own right.

# 1.2 Relational adjectives proper

Relational A+N compositionally result in a sense that relates the sense of N to N<sub>A</sub>.

There are three near-equivalent ways of semantically joining two N senses:

	English	German	Russian
deNA + N	presidential visit	-	prezident <sub>N</sub> -skij <sub>A</sub> visit
NN compound	-	Präsidentenbesuch	_
possessive N N	president's visit	Besuch des Präsidenten	visit prezident <sub>N</sub> -a <sub>GEN</sub>
deNA + N	Ukrainian crisis	-	-
NN compound	-	Ukraine <sub>N</sub> krise	-
possessive N N	-	_	_

1. Relational adjectives

# 2. Previous accounts

# 2.1 Previous accounts I: Recoverable predicates, thematic and classificatory adjectives

• Levi (1978) analyses compounds and RAs as being transformationally derived from predications that make use of a set of abstract "Recoverably Deletable Predicates."

### CAUSE, HAVE, MAKE, USE, BE, IN, FOR, FROM, ABOUT

stress caused by heat -> heat stress -> thermal stress

- · Raises questions of where these predicates come from, and why only these predicates.
- Previous syntactic accounts (Bosque and Picallo 1996, Alexiadou and Stavrou 2011, a.o.) assume a distinction between thematic and classificatory uses of RAs.
- In these accounts, thematic RAs syntactically saturate an argument position. Classificatory RAs are true adjectives (in many accounts) and not argument-saturating.
- Arsenijevic et al. (2014) provide arguments against this view and for a view that RAs are always true adjectives without syntactic argument-saturating behavior.
- · Argument-saturating behavior is only apparent. Product of semantics.

Anderson and Löbner: Relational Adjectives 2. Previous accounts

11

Anderson and Löbner: Relational Adjectives 2. Previous accounts

### 2.2 Previous accounts II

McNally and Boleda (2004) argue that relational adjectives are properties of kinds and not individuals.

- · Propose an intersective analysis of RAs, adapting Larson's (1998) analysis of certain event-related adjectives (e.g. beautiful dancer, skillful surgeon)
- Assume that common nouns have an argument for a Carlsonian kind  $x_k$  in addition to an argument for an ordinary individual  $y_0$ . Ordinary individual and kind related via Carlson's R(ealization) relation.

$$[architect] = \lambda x_k \lambda y_o [R(x_k, y_o) \land architect(x_k)]$$

· RAs are properties of kinds.

$$[technical] = \lambda x_k [technical(x_k)]$$

• Intersective modification via the kind argument.

[technical architect] = 
$$\lambda y_0 \exists x_k [R(x_k, y_0) \land \operatorname{architect}(x_k) \land \operatorname{technical}(x_k)]$$

# 2.3 Objection: Paraphrases with kind

- Given a kind-based analyses, we might expect paraphrases with kind to be possible with role adjectives (e.g. an A kind of N).
- Generally, paraphrases of this sort are **not possible** with role adjectives or **don't capture the role-related** meaning.

presidential election	election of the president (THEME)	#presidential kind of election
presidential office	office [position] of being president	#presidential kind of office
presidential office	office [room] used by the president	#presidential kind of office
presidential desk	desk used by the president	#presidential kind of desk
presidential advisor	advisor of the president (GOAL)	#presidential kind of advisor
presidential visit (1)	visit by the president	#presidential kind of visit
presidential visit (2)	visit to the president	#presidential kind of visit
presidential order	order issued by the president (AGENT)	#presidential kind of order
presidential motorcade	motorcade escorting the president	#presidential kind of motorcade

• This suggests that kinds are not the ontological sort relevant for an analysis of adjectives like presidential.

12

Anderson and Löbner: Relational Adjectives 2. Previous accounts

Anderson and Löbner: Relational Adjectives 2. Previous accounts

13

15

# 2.4 Objection: Relations encoded in the adjective

Arsenijević et al. (2014) propose that certain adjectives (French, Danish) do more than simple intersective modification, but also encode an **Origin** relation, relating a kind and a location. There are, however, many possible relations involved with presidential.

presidential election	election with the aim of determining the next president incumbent	("thematic")
presidential office (1)	the office of president <sub>role</sub>	
presidential office (2)	office for certain types of official action by the president	
presidential advisor	advisor to the president for official action	
presidential visit (1)	visit by the president as the president	("thematic")
presidential visit (2)	visit to the president as the president	("thematic")
presidential order	order by the president as part of executing presidential rights	("thematic")
presidential motorcade	motorcade [for] escorting the president on official travel	

- Encoding the relation within the adjective is too strong. Not a general strategy for role-denoting RAs.
- The relation must come from the modified noun and/or a bridging relation provided by context.

# 2.5 Objection: Predications with relational adjectives

• The account of relational adjectives as properties of kinds predicts that they should be able to take kind-denoting DPs as arguments (such as BPs or kind-denoting indefinites) when used predicatively.

This is possible, though not always so.

For women concerned about their future fertility for reasons that are medical, social or financial...

\*Doctors/\*A doctor can be medical.

· Additionally, RAs used predicatively can sometimes predicate of non-kind-denoting DPs, which should result in a type mismatch.

This university is **public**, but private universities and colleges are also on the island.

An early goal of diagnosis is to determine whether the condition is viral or bacterial.

• This distribution isn't straightforwardly predicted by RAs as predicates of kinds; other pragmatic and semantic properties must be involved.

Anderson & Löbner: Roles and relational adjectives

3. Ontological background

Anderson & Löbner: Roles and relational adjectives

3. Ontological background

# 16

# 3. Ontological background

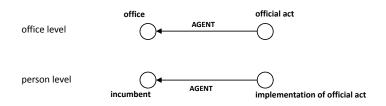
### 3.1 Social ontology

- A social ontology provides for social entities such as persons and institutions, roles, offices, functions, and actions by social agents (e.g. voters, politicians, police, parents, spouses, teachers, etc.).
- · Essential to the social ontology are social acts performed by social agents that produce social facts by acting, implement social roles etc.
- Entities in the social ontology are (ultimately) implemented by entities in a physical ontology: persons are implemented by human animals, and social acts are implemented by doings that under appropriate circumstances count as particular social acts (Searle 1995).
- The social ontology of our world is in itself multi-level. For example, persons are social entities that may take in social roles (a higher level).
- The social ontology is grounded by and dependent on the physical ontology.

### 3.2 Office and person levels of action

- · A social office, like 'president of the US', is defined at a non-basic, abstract level of social ontology. There is an incumbent of the office, a person.
- Certain types of acts are considered acts by the office (rather than the individual).
- · Being an abstract institution, the office cannot execute the act.
- · Official acts have to be implemented by the person in office.
- What office-holders do when they implement an official act is not the official act because the official act is an act by the office, not by its incumbent.

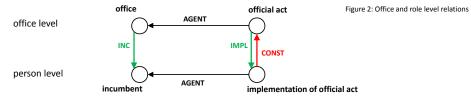
Figure 1: Office and role level



19

### 3.3 Connections between levels

- There is a function INC that returns the incumbent for the office.
- There is a function IMPL that returns the implementing act AJ for the official act A.
   A and AJ have the same temporal extension τ.
- There is a (partial function) CONST that returns the implemented act A1 for the implementary act.
  This relation is Goldman's (1970) "level-generation".



3.3 A note on notation

- Analysis uses a version of frame semantics formalized by Petersen (2007) and Löbner (2014).
- Frame is a recursive attribute-value structure.
- Model lexical and world-knowledge within the same representation.
- · Attributes in this theory are functions.
- We move back and forth between using first-order formalizations of frames and graph-theoretic frame diagrams. But, nothing crucial is gained or lost with either formalization.
- · Arcs represent attributes, nodes represent values.
- Distinguished node (in yellow) represents the referential argument of the frame.



 $\lambda a. \mathbf{A}(a) \wedge \text{ATTRIBUTE}(a) = b$ 

Anderson & Löbner: Roles and relational adjectives

4. Presidency – president - presidential

Anderson & Löbner: Roles and relational adjectives

4. Presidency - president - presidential

•

# 4. Presidency - president - presidential

# 4.1 \*Preside and presidency

- The notions of 'president' and 'presidency' are defined (by social regulation) at the office level.
- We assume that the basic notion is the one of 'presidency'.
- A presidency is an event with two arguments, an ORG[ANIZATION] and a HEAD. We introduce a hypothetical verb
  \*preside for this type of event.
- ullet Like for any event, there is a temporal extension au for every presidency. We assume that presidencies are temporally uninterrupted.

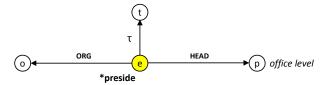


Figure 3: presidency and \*preside

 $\lambda e$  (\*preside(e)  $\wedge$  HEAD(e)=p  $\wedge$  ORG(e)=o  $\wedge$   $\tau$ (e)=t)

# 4.1 \*Preside and presidency

- Evidence for an event \*preside from derivational morphology.
- president -> presidency. Shift from president individual at the office or personal level to the \*preside event at the office level.

Barack Obama's presidency lasted eight years.

Because his presidency occurred between those of Grover Cleveland and Theodore Roosevelt, McKinley's accomplishments have often been overlooked.

- Note: Not crucial for our analysis that *presidency* itself denote an event.
- But, we do need an event incorporated into the meaning of *president*.
- Similar move is made by Larson (1998) for nouns like king that also do not obviously have an event.

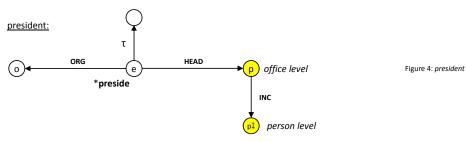
20

#### 4.2 President

- The noun *president* is indiscriminately used at the office and the person level.
- We derive its meaning from the \*preside frame, as the head or incumbent.

$$\begin{split} & \text{president}_{\text{office}}(t,\,o) &=_{\text{def}} & \text{HEAD (ie (*preside(e) $\land$ t in $\tau(e) $\land$ ORG(e)=o)$} \\ & \text{president}_{\text{person}}(t,\,o) &=_{\text{def}} & \text{INC (HEAD (ie (*preside(e) $\land$ t in $\tau(e) $\land$ ORG(e)=o)$} \end{split}$$

• Assumption: For every time t, every organization o, there is at most one presidency obtaining.



### 4.3 Presidential

21

23

- The adjective presidential, in the meaning underlying its RA use, relates to the office level.
- It is also based on the concept \*preside.
- It appears to lack the ORG and the  $\tau$  arguments.

### presidential:



office level

Figure 5: presidential

Anderson & Löbner: Roles and relational adjectives

5. Compositional analysis

Anderson & Löbner: Roles and relational adjectives

5. Compositional analysis

# 5.1 Possible readings for vising-presidents constructions

#### lote

- Only the N<sub>poss</sub> N variant allows for both the person reading and the office reading.
- The N<sub>poss</sub> N variant is the only one where the first N refers.
- N roots of denominal words do not refer.

• (	Com	pare
-----	-----	------

presidential advisor

regular advisor for official presidential matters

VS.

president's advisor

advisor of the president in arbitrary matters, including such unrelated to president's office

presidential desk desk for the president for his official use

VS

president's desk desk used by the president for any purpose,

possibly unrelated to president's office

# 5. Compositional analysis

# 5.1 Reminder: Possible readings for visiting-president constructions

	official reading	personal reading
The US president visits the Russian president.	available*	available
Trump visits Putin.	world knowledge: available	available
Trump visits his son.	world knowledge: not available	available
presidential visit	available	not available
the president's visit	available	available
	Trump visits Putin. Trump visits his son. presidential visit	The US president visits the Russian president.  Trump visits Putin.  trump visits his son.  presidential visit  available*  world knowledge: available  world knowledge: not available  available

#### Note

The "official" reading is also possible with arbitrary denotations of the office-holders if supported by world knowledge (cf. (2) and (3)).

27

# 5.2 General assumptions on composition

### We assume that...

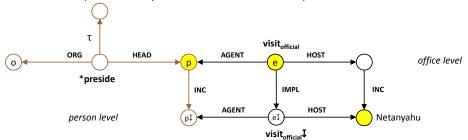
- The basic mechanism of composition is **unification**, rather than function application.
- When two meanings are unified, there may be more than on possibility for unification. Composition is not necessarily deterministic.
- Expressions with multi-level denotation lend themselves for unification at all levels involved.
- Semantic concepts are based on, and embedded in, our general ontology and knowledge of the world.
- Contextual knowledge may enable or prevent particular choices for unification.

### 5.3 Official visit

# The president visited Netanyahu.

Reading 1: Official visit<sub>official</sub> by visitor in office to host in some office.

- visit<sub>official</sub> requires agent and host at the office level
- The agent node unifies with the office-level node of the 'president' frame.
- The office of corresponding to Netanyahu comes from world knowledge.
- Reference to the person of Netanyahu necessitates elaboration of the personal level.



Anderson & Löbner: Roles and relational adjectives

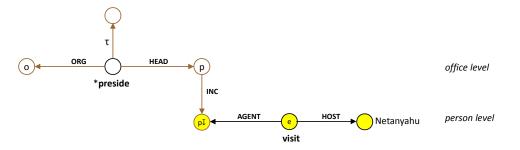
5. Compositional analysis

### 5.4 Personal visit

The president visits Netanyahu.

Reading 2: Personal visit.

- visit as a verb of non-institutional personal action requires agent and host at the personal level
- The agent node unifies with the incumbent node of the president frame.



Anderson & Löbner: Roles and relational adjectives

5. Compositional analysis

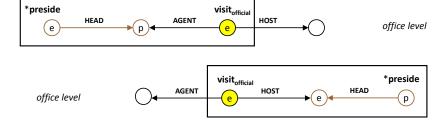
28

### 5.5 Presidential visit

### presidential visit

- The frame for *presidential* does not provide nodes at the person level.
- The only target for unification is the office-level president node.

Possible unifications: The 'president' node can unify with either the agent or the host node of 'visit'.



31

# 6. Conclusions

# 6.1 Social ontology

- The analysis of role-denoting adnominal adjectives requires a **rich ontology** that includes a social ontology and is able to distinguish between levels that constitute, or implement each others.
- Roles, at least some, can be derived from events of role-incumbency at an appropriate level in the social
  ontology. They are thematic roles in this type of event.
- Roles as abstract entities in the social ontology are linked by the incumbent relation to entities at the level of
  persons in the social ontology.
- The ontology level of roles and offices provides for role and office acts by agents at this level.
   These acts are level-generated (Goldman 1970) by doings of agents at lower levels.

6. Conclusion

- Reference to acts at office level necessarily requires lower level implementary action by the incumbent of the office.
- There is no commitment to 'kinds' or 'roles' as primitive ontological types.
   Kinds are not involved. Roles are thematic roles of incumbency events.

# 6.2 Compositional analysis

- A frame-based lexical semantics allows the application of unification as the basic mechanism of composition.
- Composition allows for **multiple readings** from the same lexical input, if unification is possible in more than one way. Thus composition is not necessarily deterministic.
- The ontology connects lexical concepts to world knowledge.
- Some lexical concepts involve more than one ontological level.
- Composition requires level-selection for unification.

Anderson & Löbner: Roles and relational adjectives

Acknowledgments

Anderson & Löbner: Roles and relational adjectives

References

32

# Thank you!

# Acknowledgments

The research reported in this presentation was supported by the German Science Foundation (DFG), grant CRC 991 "The Structure of Representations in Language, Cognition, and Science".

The speakers are obliged to their colleagues Henk Zeevat, Willi Geuder, Wiebke Petersen, Katja Gabrovska, Gottfried Vosgerau, Gerhard Schurz, and Markus Schrenk for extended discussion of the semantic and ontological issues involved.

### Contact

Curt Anderson email: andersc@hhu.de

Sebastian Löbner email: loebner@phil.uni-duesseldorf.de

CRC 991 Project C10 http://www.sfb991.uni-duesseldorf.de/en/c10/

### Selected References

Alexiadou, Artemis & Melita Stavrou. (2011). Ethnic adjectives as pseudo- adjectives: a case study on syntax—morphology interaction and the structure of DP. Studia Linguistica 65(2). 117–146.

Arsenijević, Boban, Gemma Boleda, Berit Gehrke & Louise McNally. (2014). Ethnic adjectives are proper adjectives. In *Proceedings of the Chicago Linguistics Society* 46. 17–30.

Bosque, Ignacio and Carme Picallo. (1996). Postnominal adjectives in Spanish DPs. Journal of Linguistics 32. 349-386.

Goldman, Alvin I. (1970). A Theory of Human Action. Prentice Hall. London.

Kawaletz, Lea & Ingo Plag. (2015). Predicting the semantics of English nominalizations: A frame-based analysis of *-ment* suffixation. In Laurie Bauer, Lívia Körtvélyessy & Pavol Stekauer (eds.), Semantics of complex words, 289–319. Springer.

Larson, Richard K. (1998). Events and modification in nominals. In Devon Strolovitch & Aaron Lawson (eds.), Proceedings of Semantics and Linguistic Theory, vol. 8, 145–168.

Löbner, Sebastian. 2014. Evidence for frames from human language. In Thomas Gamerschlag, Doris Gerland, Rainer Osswald & Wiebke Petersen (eds.), Frames and concept types, 23–67. Springer.

McNally, Louise & Gemma Boleda. (2004). Relational adjectives as properties of kinds. In O. Bonami & P. Cabredo Hofherr (eds.), Empirical issues in formal syntax and semantics 5. 179–196.

Moltmann, Friederike (2017). Natural Language Ontology. Oxford Research Encyclopedia of Linguistics, http://linguistics.oxfordre.com/.

Petersen, Wiebke. 2007. Representation of concepts as frames. The Baltic International Yearbook of Cognition, Logic and Communication 2. 151–170.

Sassoon, Galit W. (2013). Vagueness, gradability, and typicality: The interpretation of adjec- tives and nouns. Brill.

Searle, John R. (1995). The Construction of Social Reality. Penguin. London.