



Certified Financial Services Auditor CFSA ExamFOCUS Essential Study References (Paperback)

By Examreview

Createspace Independent Publishing Platform, United States, 2013. Paperback. Condition: New. Large Print. Language: English. Brand new Book. * We updated this book in March 2015 * The Certified Financial Services Auditor (CFSA) is a specialty certification which measures an individual's knowledge of, and proficiency in, audit principles and practices within the banking, insurance, and securities financial services industries. The one-part CFSA exam includes 125 multiple-choice questions, covering: 1. Financial Services Auditing 2. Auditing Financial Services Products 3. Auditing Financial Services Processes 4. The Regulatory Environment This is a highly condensed set of study reference material. Topics include: INTELLECTUAL PROPERTIES, TRADEMARKS AND COPYRIGHTS 1 CONTENTS UPDATE 2 PAGE FORMATTING AND TYPEFACE 2 THE EXAM 2 INTERNAL AUDIT OF FINANCIAL INSTITUTIONS 5 ORGANIZATION AND GOVERNANCE 7 GOVERNANCE 8 AGENCY THEORY 10 THE IIA'S INTERNATIONAL STANDARDS 11 THE IIA'S STANDARDS AND GUIDELINES 11 REGULATING THE PROFESSION 12 AUDITS 13 INTERNAL AUDIT 15 THE CHARTER 16 HOW TO PROCEED 17 AUDIT PLANNING 18 RESOURCES FOR PERFORMING THE AUDIT 19 ENGAGEMENT LETTER AND ENGAGEMENT PARTNER 19 PROFESSIONALISM 20 AUDIT EVIDENCE 20 SUPERVISION 22 FIELDWORKS 23 QUALIFIED OPINION VS ADVERSE OPINION VS DISCLAIMER OF OPINION 24 AUDIT FOLLOW-UP 25 ETHICS AND INDEPENDENCE 26 CLASSES OF IMPAIRMENTS...



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