

5. The Logical Framework

- (a) Judgements.
- (b) Basic form of rules.
- (c) The non-dependent function type and product.
- (d) Structural rules. (Omitted 2008).
- (e) The dependent function set and \forall -quantification.
- (f) The dependent product and \exists -quantification.
- (g) Derivations vs. Agda code. (Omitted 2008).
- (h) Presuppositions (Omitted 2008).
- (i) The full logical framework

(a) Judgements

- In the λ -calculus, it is easy to determine the correctly formed types.
In dependent type theory the type structure is richer and more complicated.
- Proof steps are required to conclude that something is a type.

Judgements

- Therefore we have not only the judgement as in the λ -calculus

$$a : A$$

but as well a typing judgement A is a type, written (as we have already seen)

$$A : \text{Set}$$

- Before deriving $a : A$, we first have to show $A : \text{Set}$.
 - So any derivation of $a : A$ contains implicitly a derivation of $A : \text{Set}$.

Equality Judgements

- Agda will identify terms which have the same normal form.
E.g. $s := (\lambda x^A. x) r$ and r will be identified.
- If one needs at some place r , one can insert s instead of r and vice versa.
- In Agda this is done automatically, the user doesn't see such equalities.
 - There is not even a direct command available in Agda, which allows to check whether two terms are equal (this could probably be added easily).

Jump over example.

Example

postulate $A : \text{Set}$
postulate $a : A$
postulate $P : A \rightarrow \text{Set}$

$g : A \rightarrow A$

$g\ a = a$

$a' : A$

$a' = g\ a$

$p : P\ a \rightarrow P\ a'$

$p\ x = \{! \ !\}$

exampleSimpleEquality2.agda

Since $a' = g\ a = a$, we can solve the goal by using x .

Equality Judgements

- When using the simply typed λ -calculus, we could separate the derivation of λ -terms, from reductions.
- When using dependent type theory as in Agda, reductions and derivations have to be integrated.
- Traditionally, instead of introducing reductions, one introduces in dependent type theory equalities between terms.
- Written as

$$r = s : A$$

for r and s are equal elements of set A .

Example

- The rule expressing that $\pi_0(\langle a, b \rangle) \longrightarrow a$ reads in this style as follows:

$$\frac{a : A \quad b : B}{\pi_0(\langle a, b \rangle) = a : A} (\times\text{-Eq}_0)$$

- $=$ is not directed, so we have as well the rule

$$\frac{a = b : A}{b = a : A} (\text{Sym}_{\text{Elem}})$$

- We can therefore derive:

$$\frac{\frac{a : A \quad b : B}{\pi_0(\langle a, b \rangle) = a : A} (\times\text{-Eq}_0)}{a = \pi_0(\langle a, b \rangle) : A} (\text{Sym}_{\text{Elem}})$$

Equality of Types

- We will have as well equality between types, written as

$$A = B : \text{Set}$$

- This is something novel in dependent type theory.
 - In simple type theory, there is only one way of writing a type.

Examples (Equality of Types)

- Assume $f : A \rightarrow \text{Set}$.
If $a = a' : A$, then

$$f\ a = f\ a' : \text{Set} \ .$$

- We used this in the [example above](#):
 - There we had

$$x : f\ a$$

and could by $f\ a = f\ a'$ conclude

$$x : f\ a'$$

[Jump over next examples.](#)

Examples (Equality of Types)

- More precisely this follows by the following derivation (the equality rule used here will be introduced in Subsect. (d)).

$$\frac{x : f \ a \quad \frac{f : A \rightarrow A \quad a = a' : A}{f \ a = f \ a' : \text{Set}}}{x : f \ a'}$$

Examples (Equality of Types)

- Above we have defined $\text{o2} = \text{o} \rightarrow \text{o}$.
As a judgement this reads:

$$\text{o2} = \text{o} \rightarrow \text{o} : \text{Set} \quad .$$

Four Judgements

So we have the following **4 types of judgements**:

$A : \text{Set}$ “ A is a type”.

$A = B : \text{Set}$ “ A and B are equal types”.

$a : A$ “ a is of type A ”.

$a = b : A$ “ a and b are equal elements of type A ”.

In Agda, only $A : \text{Set}$ and $a : A$ are explicit.

Dependent Judgements

- As for the simply typed λ -calculus, in dependent type theory, judgements might depend on a **context**.
- So we obtain judgements of the form

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow A : \text{Set}$$

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow A = B : \text{Set}$$

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow a : A$$

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow a = b : A$$

Need for Context Judgements

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow A : \text{Set}$$
$$\dots$$

- To derive such judgements requires that we know

$$\begin{array}{rcl} & & A_1 : \text{Set} \\ & x_1 : A_1 & \Rightarrow A_2 : \text{Set} \\ x_1 : A_1, x_2 : A_2 & \Rightarrow & A_3 : \text{Set} \\ & & \dots \end{array}$$

$$x_1 : A_1, x_2 : A_2, \dots, x_{n-1} : A_{n-1} \Rightarrow A_n : \text{Set}$$

- (Later, when we introduce higher types, this requirement has to be replaced by $A_1 : \text{Type}$, $x_1 : A_1 \Rightarrow A_2 : \text{Type}$ etc.)

Jump over next slide

Context Judgement

- Note that we didn't require derivations as above in the simply typed λ -calculus, since it was easy to verify whether something is a valid type.
- In case of dependent types $A : \text{Set}$ requires a derivation.
- It can be as complicated to derive $A : \text{Set}$ as it is to derive a judgement $b : B$:
One can compute from a statement $a : A$ (of which we don't know whether it is type correct) an expression B s.t.

$a : A$ holds iff $B : \text{Set}$ holds.

Context Judgement

- In order to organise this in a better way we introduce an additional judgement $\Gamma \Rightarrow \text{Context}$ for “ Γ is a valid context”.
- That $x_1 : A_1, \dots, x_n : A_n \Rightarrow \text{Context}$ holds means exactly what we had above, i.e.:

$$\begin{array}{rcl} & & A_1 : \text{Set} \\ & & x_1 : A_1 \Rightarrow A_2 : \text{Set} \\ x_1 : A_1, x_2 : A_2 & \Rightarrow & A_3 : \text{Set} \\ & & \dots \\ x_1 : A_1, x_2 : A_2, \dots, x_{n-1} : A_{n-1} & \Rightarrow & A_n : \text{Set} \end{array}$$

Five Dependent Judgements

- We have therefore 5 dependent judgements:

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow A : \text{Set}$$

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow A = B : \text{Set}$$

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow a : A$$

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow a = b : A$$

$$x_1 : A_1, \dots, x_n : A_n \Rightarrow \text{Context}$$

Example

- The assumption rule, which in case of the simply typed λ -calculus read

$$\Gamma, x : \sigma, \Delta \Rightarrow x : \sigma \quad (\text{if } x : \tau \text{ does not occur in } \Delta \text{ for any } \tau)$$

reads in dependent type theory as follows (assuming that $x : B$ does not occur in Δ for any B):

$$\frac{\Gamma, x : A, \Delta \Rightarrow \text{Context}}{\Gamma, x : A, \Delta \Rightarrow x : A} \text{ (Ass)}$$

- Similarly we have to deal with the rule introducing constants.

Notations for Judgements, Contexts

- θ (pronounced “theta”) will in the following denote an arbitrary non-dep. judgement, i.e. one of the following :
 - $A : \text{Set}$,
 - $A = B : \text{Set}$,
 - $a : A$,
 - $a = b : A$.
- Γ, Δ will usually denote contexts.
- We have the same notations as before, i.e.
 - Γ, Δ is the result of concatenating contexts Γ, Δ ,
 - $\Gamma, x : A$ is the result of extending the context Γ by $x : A$,
 - \emptyset is the empty context.
 - We write for $\emptyset \Rightarrow \theta$ usually simply θ .

Contexts in Agda

- In Agda, we have no explicit judgements depending on contexts.
 - Not needed, since we don't derive judgements using rules directly.

However, if we have the open judgement

$$\begin{array}{lcl} f & : & B \rightarrow A \\ f\ x & = & \{! \ !\} \end{array}$$

- Then we can make use of $x : B$ for refining the goal.
- So we have to solve the goal in context $x : B$.
- This context can be shown using goal menu **Context (environment)**.
- See **[exampleShowContext.agda](#)**.

Contexts in Agda

- Jump over the next example.

Example: Derivation of double

(See [exampleDoubleString2.agda](#).)

- We derive
 $\text{double} := \lambda x^{\text{String}}. \text{concat } x \ x : ((x : \text{String}) \rightarrow \text{String})$ in Agda, assuming definitions of `String` and `concat`.
- We start with

$$\begin{aligned} \text{double} & : \text{String} \rightarrow \text{String} \\ \text{double } s & = \{! \ !\} \end{aligned}$$

- We can insert into the goal `concat`:

$$\begin{aligned} \text{double} & : \text{String} \rightarrow \text{String} \\ \text{double } s & = \{! \text{ concat } !\} \end{aligned}$$

Example: Derivation of double

- When using goal-menu **refine**, we obtain:

$$\begin{aligned} \text{double} & : \text{String} \rightarrow \text{String} \\ \text{double } s & = \text{concat } \{! \ !\} \{! \ !\} \end{aligned}$$

- We can check now using goal-menu **Goal Type** (or **Goal Type (normalised)**) that the two new goals require both type `String`.
- We can check using goal-menu **Context (environment)** that the context of both goals contain $x : \text{String}$.

Example: Derivation of double

- We insert x into the first goal and refine:

$$\begin{array}{ll} \text{double} & : \quad \text{String} \rightarrow \text{String} \\ \text{double } s & = \text{concat } x \{! \ !\} \end{array}$$

- Doing the same with the second goal gives:

$$\begin{array}{ll} \text{double} & : \quad \text{String} \rightarrow \text{String} \\ \text{double } s & = \text{concat } x \ x \end{array}$$

- We are done.

double in Type Theory

A derivation of

$$\text{double} := \lambda x^{\text{String}}. \text{double } x \ x$$

in Type Theory, assuming global constants

$$\begin{aligned} \text{String} &: \text{Set} , \\ \text{concat} &: \text{String} \rightarrow \text{String} \rightarrow \text{String} , \end{aligned}$$

is as follows:

We first derive $x : \text{String} \Rightarrow \text{Context}$:

$$\frac{\emptyset : \text{Context} \quad \text{String} : \text{Set}}{x : \text{String} \Rightarrow \text{Context}} (\text{Context}_1)$$

double in Type Theory

- We derive $x : \text{String} \Rightarrow x : \text{String}$ using the previous derivation:

$$\frac{x : \text{String} \Rightarrow \text{Context}}{x : \text{String} \Rightarrow x : \text{String}} \text{Ass}$$

- We derive

$$x : \text{String} \Rightarrow \text{concat} : \text{String} \rightarrow \text{String} \rightarrow \text{String}$$

using $x : \text{String} \Rightarrow \text{Context}$ as follows:

$$\frac{\text{concat} : \text{String} \rightarrow \text{String} \rightarrow \text{String} \quad x : \text{String} \Rightarrow \text{Context}}{x : \text{String} \Rightarrow \text{concat} : \text{String} \rightarrow \text{String} \rightarrow \text{String}} \text{(Weak)}$$

double in Type Theory

- We derive $x : \text{String} \Rightarrow \text{concat } x : \text{String} \rightarrow \text{String}$ using the previous derivations:

$$\frac{x:\text{String} \Rightarrow \text{concat}:\text{String} \rightarrow \text{String} \rightarrow \text{String} \quad x:\text{String} \Rightarrow x:\text{String}}{x:\text{String} \Rightarrow \text{concat } x:\text{String} \rightarrow \text{String}} \quad (\rightarrow\text{-El})$$

- The remaining derivation using the above derivations is as follows:

$$\frac{\frac{x:\text{String} \Rightarrow \text{concat } x:\text{String} \rightarrow \text{String} \quad x:\text{String} \Rightarrow x:\text{String}}{x:\text{String} \Rightarrow \text{concat } x \ x:\text{String}} \quad (\rightarrow\text{-El})}{\text{double} := \lambda x^{\text{String}}. \text{concat } x \ x:\text{String} \rightarrow \text{String}} \quad (\rightarrow\text{-I})$$

(b) Basic Form of Rules

Four Kinds of Rules

- For each set or type construction we have usually 4 kinds of rules:
 - (1) **Formation Rules.**
 - (2) **Introduction Rules.**
 - (3) **Elimination Rules.**
 - (4) **Equality Rules.**
- Additionally there are **equality versions of the formation, introduction and elimination rules.**

(1) Formation Rules

- The formation rules introduce new sets or types.
- Each set and type construction has one such rule.
- The **conclusion** of such a rule will have the form:

$$C\ a_1\ \cdots\ a_n : \text{Set} .$$

- where C is a set-constructor,
- a_1, \dots, a_n are its arguments.
- $n = 0$ is possible.
- Later, we will introduce higher levels Type, Kind,
Then we have formation rules with conclusion
 $C\ a_1\ \cdots\ a_n : \text{Type}$ (or $: \text{Kind}$, etc.) and C is called a
Type-constructor, Kind-constructor, etc.

Logical Framework

- Preliminarily, we will be using type theory without the full logical framework.
- For instance, below we will introduce

$\text{List } A : \text{Set}$

for any $A : \text{Set}$, the set of lists of elements of A .

Logical Framework

- Until we have introduced the full logical framework, it doesn't make sense to talk about `List` itself, which would have type

$$\text{List} : \text{Set} \rightarrow \text{Set} .$$

The problem is that $\text{Set} \rightarrow \text{Set}$ doesn't make sense without the logical framework.

- The full logical framework is conceptually more difficult, that's why we delay its introduction.
- When it is introduced, we can introduce

$$\text{List} : \text{Set} \rightarrow \text{Set}$$

similarly for all other set formation constructors.

Logical Framework

- Agda has the logical framework built in, so in Agda `List` will be a function $\text{Set} \rightarrow \text{Set}$, in Agda notation:

`List` : $\text{Set} \rightarrow \text{Set}$

`List A` = $\{! \ !\}$

Example 1: The Set of Lists

$$\frac{A : \text{Set}}{\text{List } A : \text{Set}} \text{ (List-F)}$$

- The **set-constructor** is **List**.
- $\text{List } A$ is the set of lists of elements of A .
- The F in the label (List-F) stands for **F**ormation rule.

Ex. 2: The Set of Natural Numbers

- Formation rule for the set of natural numbers:

$$\mathbb{N} : \text{Set} \quad (\mathbb{N}\text{-F})$$

- The **set-constructor** is **N**.
 - Note that the formation rule for \mathbb{N} has 0 premises (therefore the fraction bar is omitted).

Jump over next example and Agda

Ex. 3: The Non-Dependent Product

- Formation rule for the non-dependent product:

$$\frac{A : \text{Set} \quad B : \text{Set}}{A \times B : \text{Set}} (\times\text{-F})$$

- $A \times B$ stands for $(\times) A B$.
- The **set-constructor** is (\times) .

Formation Rules in Agda

- The formation of a set is usually done by introducing a constant of a certain set.
- **Example 1:**

$$\begin{aligned}\text{List} & : \text{Set} \rightarrow \text{Set} \\ \text{List } A & = \{! \ !\}\end{aligned}$$

Example 2: (×)

- Agda syntax for introducing the **non-dependent product**:

$$\begin{aligned} _ \times _ &: \text{Set} \rightarrow \text{Set} \rightarrow \text{Set} \\ A \times B &= \{! \ !\} \end{aligned}$$

(2) Introduction Rules

- The introduction rule introduces elements of a set.
- The **conclusion** of such a rule will have the form

$$C \ a_1 \ \cdots \ a_n : A$$

where

- A is a set introduced by the corresponding formation rule,
- C is a **constructor** or **term-constructor**,
- a_1, \dots, a_n are terms (can be elements of other sets, or sets or types themselves).

Introduction Rule, Example 1a

- The set `NatList` of lists of natural numbers with formation rule

$$\text{NatList} : \text{Set} \quad (\text{NatList-F})$$

has two introduction rules:

$$[] : \text{NatList} \quad (\text{NatList-I}[])$$

$$\frac{n : \mathbb{N} \quad l : \text{NatList}}{n :: l : \text{NatList}} \quad (\text{NatList-I}_{::})$$

- The `I` in the labels `(NatList-I[])`, `(NatList-I_{::})` stands for **I**ntroduction rule.
[Jump to Example 2](#)

Introduction Rule, Example 1b

- We generalise the previous example to lists of arbitrary set.
- **Lists** of elements in A have two introduction rules:

$$\frac{A : \text{Set}}{[]_A : \text{List } A} \text{ (List-I[])}$$

$$\frac{A : \text{Set} \quad a : A \quad l : \text{List } A}{a ::_A l : \text{List } A} \text{ (List-I_{::})}$$

- Note that we need the **premise** $A : \text{Set}$ in order to guarantee that we can form the set $\text{List } A$.

Conflicting Constructors

- We shouldn't use the same constructors for different sets. So if we want to use both `NatList` and `List A`, we have to choose a notation like `natnil` instead of `[] : NatList`, similarly for `_ :: _`.
- We will usually ignore this distinction, if it doesn't cause confusion.

Example 2: Natural Numbers.

- The **natural numbers** \mathbb{N} can be considered as being formed from two operations:
 - 0,
 - S where S n stands for $n + 1$.
- Using these two operations we can form 0, S 0 = 1, S 1 = 2, ... and therefore all natural numbers.
 - So the **constructors** of \mathbb{N} are 0 and S.
- The **introduction rules** of \mathbb{N} are:

$$0 : \mathbb{N} \quad (\mathbb{N}\text{-I}_0)$$

$$\frac{n : \mathbb{N}}{S\ n : \mathbb{N}} \quad (\mathbb{N}\text{-I}_S)$$

Canonical Elements

- Canonical elements of a set are those introduced by an introduction rule.
- Canonical elements therefore always start with a **constructor**.
- **Examples:**
 - $0, S(2 + 3)$ in case of \mathbb{N} .
 - Here 2 stands for $S(S\ 0)$ and 3 for $S(S(S\ 0))$.
 - $[], (1 + 1) :: (\text{concat } (0 :: []) [])$ in case of NatList .

Non-Canonical Elements

- Terms can usually be reduced further
 - Example:

$$2 + 3 = 2 + S\ 2 \longrightarrow S\ (2 + 2) \ .$$

- The underlying reduction system is essentially a term rewriting system combined with the λ -calculus.
 - Therefore we can apply reductions to subterms.
- A term is a non-canonical element of a set, if it **reduces to a canonical element** of that set.
 - Each element of a set (depending on the empty context) in dependent type theory will either be a canonical or a non-canonical element of that set.
 - Consequence of the normalisation theorem.

Non-Canonical Elements

- E.g. $2 + 3$ is a non-canonical element of \mathbb{N} , since $S(2 + 2)$ is a canonical element of \mathbb{N} .
- However, we have

$$x : \mathbb{N} \Rightarrow x : \mathbb{N}$$

and x doesn't reduce to a canonical element of \mathbb{N} .

- However, if we substitute for x any closed element of \mathbb{N} , we get a canonical or non-canonical element of \mathbb{N} .

(3) Elimination Rules

- **Elimination rules** allow to take an element of a set and **compute from it an element of another set**.
- Example 1: The introduction rule for the non-dependent product is

$$\frac{a : A \quad b : B}{\langle a, b \rangle : A \times B} (\times\text{-I})$$

The elimination rules (indicated by label El) are the first and second projections:

$$\frac{c : A \times B}{\pi_0(c) : A} (\times\text{-El}_0) \quad \frac{c : A \times B}{\pi_1(c) : B} (\times\text{-El}_1)$$

- The equality rules will express $\pi_0(\langle a, b \rangle) = a$,
 $\pi_1(\langle a, b \rangle) = b$.

Example 2: Addition in \mathbb{N}

$$\frac{n : \mathbb{N} \quad m : \mathbb{N}}{n + m : \mathbb{N}} \text{ (N-EI}_+\text{)}$$

- Equality rules will express
 - $n + 0 = n$.
 - $n + S\ m = S\ (n + m)$.
- The equality rules show that n is only a parameter, we are eliminating the second argument m .
- Proceeding like this would require **one elimination rule for each function** from \mathbb{N} we want to define.
- Instead we will later introduce one **generic elimination rule**, which will allow to **introduce all functions** we expect to be definable, including **all primitive-recursive** ones.

Elimination in Agda

- Elimination for builtin sets has special notation.
- For user defined sets, i.e. those introduced using `data`, elimination is realized by **pattern matching**.
- Example: Definition of addition in \mathbb{N} :

$$\begin{aligned} _ + _ & : \quad \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N} \\ n + Z & = n \\ n + S\ m & = S\ (n + m) \end{aligned}$$

(4) Equality Rules

- Equality rules will express what happens when we first introduce an element and then eliminate it.
- For instance if we first introduce $0 : \mathbb{N}$ and then eliminate it by using $(\mathbb{N}\text{-El}_+)$ we obtain $n + 0$.
 - Now $n + 0$ should reduce to n .
 - Since in dependent type theory we don't derive reductions but equalities, which is the transitive, symmetric and reflexive closure of \longrightarrow , we obtain $n + 0 = n : \mathbb{N}$ instead.
 - The equality rule (indicated by label Eq) expresses this:

$$\frac{n : \mathbb{N}}{n + 0 = n : \mathbb{N}} (\mathbb{N}\text{-Eq}_{+,0})$$

Equality Rules

- Similarly, if we introduce first $S\ m : \mathbb{N}$ and then eliminate it using $(\mathbb{N}\text{-El}_+)$ we obtain $n + S\ m$ which should reduce to $S\ (n + m)$.
- The corresponding equality rule is therefore:

$$\frac{n : \mathbb{N} \quad m : \mathbb{N}}{n + S\ m = S\ (n + m) : \mathbb{N}} (\mathbb{N}\text{-Eq}_{+,S})$$

Jump over next examples

Example (Equality Rule)

- A third example is if we first introduce an element $\langle a, b \rangle : A \times B$ and then eliminate it using $(\times\text{-El}_0)$ we obtain $\pi_0(\langle a, b \rangle)$ which reduces to a .
- The corresponding equality rule is therefore:

$$\frac{a : A \quad b : B}{\pi_0(\langle a, b \rangle) = a : A} (\times\text{-Eq}_0)$$

Example (Equality Rule)

- The first equality rule for $A \times B$ is as follows:

$$\frac{a : A \quad b : B}{\pi_0(\langle a, b \rangle) = a : A} (\times\text{-Eq}_0)$$

- In the first judgement we can derive $\pi_0(\langle a, b \rangle) : A$ as follows:

$$\frac{\frac{a : A \quad b : B}{\langle a, b \rangle : A \times B} (\times\text{-I})}{\pi_0(\langle a, b \rangle) : A} (\times\text{-El}_0)$$

- So it is derived by first introducing $\langle a, b \rangle$ and then eliminating it immediately.
- The equality rule explains how to reduce that element (namely to $a : A$).

Example (Equality Rule, Cont)

- The second equality rule for \times is similar:

$$\frac{a : A \quad b : B}{\pi_1(\langle a, b \rangle) = b : B} (\times\text{-Eq}_1)$$

Example 2 (Equality Rule)

- The first equality rule for $+$ is as follows:

$$\frac{n : \mathbb{N}}{n + 0 = n : \mathbb{N}} \text{ (N-Eq}_{+,0}\text{)}$$

- $n + 0 : \mathbb{N}$ can be derived by first introducing

$$0 : \mathbb{N}$$

(this is an introduction rule with no premises, i.e. an axiom)

and then by eliminating it using $+$, using the following derivation:

$$\frac{n : \mathbb{N} \quad 0 : \mathbb{N}}{n + 0 : \mathbb{N}} \text{ (N-El}_{+}\text{)}$$

- The equality rule explain how to reduce $n + 0$.

Example 3 (Equality Rule)

- The second equality rule for $+$ is as follows:

$$\frac{n : \mathbb{N} \quad m : \mathbb{N}}{n + S \, m = S \, (n + m) : \mathbb{N}} \text{ (N-Eq}_{+,S}\text{)}$$

- $n + S \, m : \mathbb{N}$ can be derived by first introducing $S \, m : \mathbb{N}$ and then by eliminating it using $+$:

$$\frac{n : \mathbb{N} \quad \frac{m : \mathbb{N}}{S \, m : \mathbb{N}} \text{ (N-IS)}}{n + S \, m : \mathbb{N}} \text{ (N-El}_+\text{)}$$

Equality Rules in Agda

- Equality Rules in Agda are **implicit**.
- The notation for elimination however indicates already how the reductions take place.

$$\begin{aligned} _ + _ & : \quad \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N} \\ n + Z & = n \\ n + S\ m & = S\ (n + m) \end{aligned}$$

- Functions corresponding to elimination are defined by telling **how elimination operates**.
Jump over Reduction Strategy

Reduction Strategy

- The canonical element for an element, which is the result of an elimination, can always be computed as follows:
 - Reduce the element to be eliminated to **canonical form**.
 - Then make one reduction step **(Red)**.
 - The result will be a **canonical or non-canonical element** of the target set.
Reduce it to canonical form.
- For instance in case of $A \times B$, (Red) are the reductions
 - $\pi_0(\langle a, b \rangle) \longrightarrow a.$
 - $\pi_1(\langle a, b \rangle) \longrightarrow b.$

Reduction Strategy

- In case of $(+)$, (Red) are the reductions
 - $n + 0 \longrightarrow n$.
 - $n + S\ m \longrightarrow S\ (n + m)$.
 - Note that the second argument is the argument which we are “eliminating”.

Example of the Reduction Strategy

- Consider for instance the term $(1 + 1) + (1 + 0)$, where $1 = S\ 0$.
- It is constructed by using the elimination constant $(+)$.
- The argument we are eliminating using $(+)$ is the second one $(1 + 0)$.
- So we first reduce this argument to canonical form:

$$1 + 0 \longrightarrow 1$$

and obtain

$$(1 + 1) + (1 + 0) \longrightarrow (1 + 1) + 1 \equiv (1 + 1) + S\ 0$$

Example of the Reduction Strategy

$$(1 + 1) + (1 + 0) \longrightarrow (1 + 1) + 1 \equiv (1 + 1) + S\ 0$$

- Now the argument we are eliminating in is in canonical form, and we can use the reduction rule $x + S\ y \longrightarrow S\ (x + y)$ in order to reduce this term:

$$(1 + 1) + S\ 0 \longrightarrow S\ ((1 + 1) + 0)$$

- The result is in this case already in canonical form.
- If it were not, we would continue with our reduction.
- However, even if our example is in canonical form, it can be further reduced:

$$S((1 + 1) + 0) \longrightarrow S\ (1 + 1) \equiv S\ (1 + S\ 0) \longrightarrow S\ (S\ 1) = 3$$

Equality Versions of the Rules

- We have equality versions of the formation, introduction, and elimination rules.
- These express: if we **replace the terms in the premises by equal ones, we obtain equal results**.
- Example: Equality version of the formation rule for List:

$$\frac{A = B : \text{Set}}{\text{List } A = \text{List } B : \text{Set}} \quad (\text{List-F}^=)$$

- Example: Equality version of the formation rule for \mathbb{N} (degenerated):

$$\mathbb{N} = \mathbb{N} : \text{Set} \quad (\mathbb{N}\text{-F}^=)$$

Equality Versions of Rules

- Example: Equality version of the introduction rules for List:

$$\frac{A = A' : \text{Set}}{[]_A = []_{A'} : \text{List } A} \text{ (List-I[]}^\text{=})$$

$$\frac{A = A' : \text{Set} \quad a = a' : A \quad l = l' : \text{List } A}{a ::_A l = a' ::_{A'} l' : \text{List } A} \text{ (List-I}^\text{=}_{::_})$$

- Example: Equality version of the elimination rule for $(+)$, \mathbb{N} :

$$\frac{n = n' : \mathbb{N} \quad m = m' : \mathbb{N}}{n + m = n' + m' : \mathbb{N}} \text{ (N-EI}^\text{=}_{+})$$

Equality Versions of Rules

- The equality versions of the rules in questions can be formed in a **straight-forward way**, once one knows the non-equality version.
 - We will often not mention them.
- In **Agda** they are **implicit** (part of the reduction machinery).

Jump over Weakening Rule

Common Contexts

- The convention is that all rules can as well be weakened by a common context.
- This means that when introducing a rule

$$\frac{\Gamma_1 \Rightarrow \theta_1 \quad \dots \quad \Gamma_n \Rightarrow \theta_n}{\Gamma \Rightarrow \theta}$$

we implicitly introduce as well the following rules

$$\frac{\Delta, \Gamma_1 \Rightarrow \theta_1 \quad \dots \quad \Delta, \Gamma_n \Rightarrow \theta_n}{\Delta, \Gamma \Rightarrow \theta}$$

- This convention will not apply to the context rules (Context₀) and (Context₁) (see later).

Example

- For instance, the formation rule of \times :

$$\frac{A : \text{Set} \quad B : \text{Set}}{A \times B : \text{Set}} (\times\text{-F})$$

can be weakened as follows:

$$\frac{\Gamma \Rightarrow A : \text{Set} \quad \Gamma \Rightarrow B : \text{Set}}{\Gamma \Rightarrow A \times B : \text{Set}} (\times\text{-F})$$

Example (Cont.)

- Consider the sample derivation (assuming $A : \text{Set}$):

$$\frac{\frac{x : A, y : A \Rightarrow y : A}{x : A \Rightarrow \lambda y^A. y : A \rightarrow A} (\rightarrow \text{-I})}{\lambda x^A. \lambda y^A. y : A \rightarrow A \rightarrow A} (\rightarrow \text{-I})$$

- The first rule used is the rule for λ -introduction, weakened by the context $x : A$.
- The second rule used is the rule for λ -introduction without any weakening.

Weakening of Axioms

- If we have an axiom

$$\theta$$

for any judgement θ

- e.g. $\theta \equiv N : \text{Set}$ or $\theta \equiv 0 : \mathbb{N}$

and we want to weaken it by context Γ , we need to make sure that $\Gamma \Rightarrow \text{Context}$ holds.

- So we need in the weakened form one additional premise:

$$\frac{\Gamma \Rightarrow \text{Context}}{\Gamma \Rightarrow \theta}$$

Example

- The formation rule for \mathbb{N}

$$\mathbb{N} : \text{Set} \quad (\mathbb{N}\text{-F})$$

will be weakened as follows:

$$\frac{\Gamma \Rightarrow \text{Context}}{\Gamma \Rightarrow \mathbb{N} : \text{Set}} \quad (\mathbb{N}\text{-F})$$

(c) Nondep. Funct. Type and Product

We introduce in the following non-dependent versions of the product and the function set.

The Non-Dependent Product

Formation Rule

$$\frac{A : \text{Set} \quad B : \text{Set}}{A \times B : \text{Set}} (\times\text{-F})$$

Introduction Rule

$$\frac{a : A \quad b : B}{\langle a, b \rangle : A \times B} (\times\text{-I})$$

Elimination Rules

$$\frac{c : A \times B}{\pi_0(c) : A} (\times\text{-El}_0) \quad \frac{c : A \times B}{\pi_1(c) : B} (\times\text{-El}_1)$$

Equality Rules

$$\frac{a : A \quad b : B}{\pi_0(\langle a, b \rangle) = a : A} (\times\text{-Eq}_0)$$

$$\frac{a : A \quad b : B}{\pi_1(\langle a, b \rangle) = b : B} (\times\text{-Eq}_1)$$

The η -Rule

The η -rule does not fit into the above schema:

$$\frac{c : A \times B}{c = \langle \pi_0(c), \pi_1(c) \rangle : A \times B} (\times\text{-}\eta)$$

Equality Versions of the \times -Rules

Equality Version of the Formation Rule

$$\frac{A = A' : \text{Set} \quad B = B' : \text{Set}}{A \times B = A' \times B' : \text{Set}} (\times\text{-F}^=)$$

Equality Version of the Introduction Rule

$$\frac{a = a' : A \quad b = b' : B}{\langle a, b \rangle = \langle a', b' \rangle : A \times B} (\times\text{-I}^=)$$

Equality Versions of the Elimination Rules

$$\frac{c = c' : A \times B}{\pi_0(c) = \pi_0(c') : A} (\times\text{-El}_0^=) \quad \frac{c = c' : A \times B}{\pi_1(c) = \pi_1(c') : B} (\times\text{-El}_1^=)$$

The Non-Dependent Function Type

Formation Rule
$$\frac{A : \text{Set} \quad B : \text{Set}}{A \rightarrow B : \text{Set}} \quad (\rightarrow \text{-F})$$

Introduction Rule
$$\frac{x : A \Rightarrow b : B}{(\lambda x : A. b) : A \rightarrow B} \quad (\rightarrow \text{-I})$$

Elimination Rule
$$\frac{f : A \rightarrow B \quad a : A}{f \ a : B} \quad (\rightarrow \text{-El})$$

Equality Rule
$$\frac{x : A \Rightarrow b : B \quad a : A}{(\lambda x : A. b) \ a = b[x := a] : B} \quad (\rightarrow \text{-Eq})$$

As for the typed λ -calculus, $\lambda x^A. b$ is an abbreviation for

$\lambda(x : A). b.$

β -Reduction

- $b[x := a]$ was as for the simply typed λ -calculus the result of substituting in b every occurrence of variable x by the term a (after renaming of bound variables as usual).
- The equality rule is a symmetric version of β -reduction

$$(\lambda x^A. b) a \longrightarrow b[x := a]$$

α -Equivalence

- As for the simply typed λ -calculus, terms which differ in the choice of bound variables (i.e. which are α -equivalent) are identified:
 - E.g. $\lambda x^A.x$ and $\lambda y^A.y$ are identified.
 - E.g. $\lambda x^{\mathbb{N}}.x + x$ and $\lambda y^{\mathbb{N}}.y + y$ are identified.
 - A similar rule applies to bound variables **in types** (see later).

The η -Rule

Again the η -rule does not fit into the above schema:

$$\frac{f : A \rightarrow B}{f = \lambda x^A. f \ x : A \rightarrow B} (\rightarrow \text{-}\eta)$$

Equality Versions of the \rightarrow -Rules

Equality Version of the Formation Rule

$$\frac{A = A' : \text{Set} \quad B = B' : \text{Set}}{A \rightarrow B = A' \rightarrow B' : \text{Set}} (\rightarrow \text{-F}^=)$$

Equality Version of the Introduction Rule

$$\frac{x : A \Rightarrow b = b' : B}{\lambda x^A. b = \lambda x^A. b' : A \rightarrow B} (\rightarrow \text{-I}^=)$$

Equality Version of the Elimination Rule

$$\frac{f = f' : A \rightarrow B \quad a = a' : A}{f \ a = f' \ a' : B} (\rightarrow \text{-El}^=)$$

Jump over subsection on structural rules

(d) Structural Rules

Context Rules

The empty context

$$\emptyset \Rightarrow \text{Context} \quad (\text{Context}_0)$$

Extending a context

$$\frac{\Gamma \Rightarrow A : \text{Set}}{\Gamma, x : A \Rightarrow \text{Context}} \quad (\text{Context}_1)$$

- The convention that rules can be weakened by a common context does not apply to the rules (Context_0) and (Context_1) .

Example Derivation (Context Rules)

- We assume the following formation rule for the set of natural numbers:

$$\mathbb{N} : \text{Set} \quad (\mathbb{N}\text{-F})$$

- With this rule, following the convention on the previous slide we have as well introduced the rules

$$\frac{\Gamma \Rightarrow \text{Context}}{\Gamma \Rightarrow \mathbb{N} : \text{Set}} \quad (\mathbb{N}\text{-F})$$

Example Derivation (Context Rules)

- The following derives $x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow \text{Context}$
(Note that $\mathbb{N} : \text{Set}$ is the same as $\emptyset \Rightarrow \mathbb{N} : \text{Set}$):

$$\frac{\frac{\frac{\mathbb{N} : \text{Set}}{x : \mathbb{N} \Rightarrow \text{Context}} (\text{Context}_1)}{x : \mathbb{N} \Rightarrow \mathbb{N} : \text{Set}} (\text{Context}_1)}{x : \mathbb{N}, y : \mathbb{N} \Rightarrow \text{Context}} (\text{N-F})} \frac{x : \mathbb{N}, y : \mathbb{N} \Rightarrow \mathbb{N} : \text{Set}}{x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow \text{Context}} (\text{Context}_1)$$

Assumption Rule

$$\frac{\Gamma, x : A, \Delta \Rightarrow \text{Context}}{\Gamma, x : A, \Delta \Rightarrow x : A} \text{ (Ass)}$$

- **Side condition Δ must not bind x again:**

Δ must not be of the form $\Delta', x : B, \Delta''$ for some Δ', B, Δ'' .

- Otherwise the assumption $x : B$ would override the assumption $x : A$.
- If $x : B$ occurs in Δ , we can only conclude

$$\Gamma, x : A, \Delta \Rightarrow x : B'$$

only for the last occurrence of $x : B'$ in Δ .

Example Deriv. (Assumpt. Rule)

- We extend the derivation of

$$x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow \text{Context}$$

above to a derivation of $x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow y : \mathbb{N}$:

$$\frac{x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow \text{Context}}{x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow y : \mathbb{N}} (\text{Ass})$$

- Similarly we can derive $x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow z : \mathbb{N}$:

$$\frac{x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow \text{Context}}{x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow z : \mathbb{N}} (\text{Ass})$$

Example Deriv. (Assumpt. Rule)

- The full derivation of first judgement on the previous slide is as follows:

$$\frac{\frac{\frac{\frac{\mathbb{N} : \text{Set}}{x : \mathbb{N} \Rightarrow \text{Context}} (\text{Context}_1)}{x : \mathbb{N} \Rightarrow \mathbb{N} : \text{Set}} (\text{N-F})}{x : \mathbb{N}, y : \mathbb{N} \Rightarrow \text{Context}} (\text{Context}_1)}{x : \mathbb{N}, y : \mathbb{N} \Rightarrow \mathbb{N} : \text{Set}} (\text{N-F})}{x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow \text{Context}} (\text{Context}_1)}{x : \mathbb{N}, y : \mathbb{N}, z : \mathbb{N} \Rightarrow y : \mathbb{N}} (\text{Ass})$$

Assumption Rule in Agda

- When we define a function:

$$\begin{aligned} f & : A \rightarrow B \\ f\ a & = \{! \ !\} \end{aligned}$$

we can make use of $a : A$ when solving the goal $\{! \ !\}$.

- This is an application of the assumption rule:
When solving $\{! \ !\}$ we essentially define
under the assumption $a : A$ an element $\{! \ !\} : B$.

Assumption Rule in Agda (Cont.)

- The above corresponds to a derivation

$$\frac{a : A \Rightarrow \{! \ !\} : B}{\lambda(a : A).\{! \ !\} : A \rightarrow B} (\rightarrow \text{-I})$$

- If B is equal to A we can use the assumption rule directly

$$\frac{a : A \Rightarrow a : A}{\lambda(a : A).a : A \rightarrow A} (\rightarrow \text{-I})$$

in order to solve this goal.

Assumption Rule in Agda (Cont.)

- More generally we might in the derivation of $a : A \Rightarrow \{! \ !\} : B$ make anywhere use of $a : A$, as long as this is in the context.

$$\frac{\displaystyle \frac{\dots}{a : A \Rightarrow a : A} \text{ (Ass)}}{\displaystyle \frac{a : A \Rightarrow s : B}{\lambda(a : A).s : A \rightarrow B} \text{ (} \rightarrow \text{-I)}}$$

Assumption Rule in Agda (Cont.)

- Similarly, when solving the goal

$f : A \rightarrow B$

$= \lambda(a : A) \rightarrow \{! \ !\}$

in $\{! \ !\}$ we can make use of $a : A$.

- In fact when solving the above, we implicitly use the rule

$$\frac{a : A \Rightarrow \{! \ !\} : B}{\lambda(a : A).\{! \ !\} : A \rightarrow B} (\rightarrow \text{-I})$$

So we have to solve $a : A \Rightarrow \{! \ !\} : B$ in order to derive

$$\lambda(a : A).\{! \ !\} : A \rightarrow B$$

Weakening Rule

$$\frac{\Gamma, \Gamma' \Rightarrow \theta \quad \Gamma, \Delta, \Gamma' \Rightarrow \text{Context}}{\Gamma, \Delta, \Gamma' \Rightarrow \theta} \text{ (Weak)}$$

- ℓ stands for an **arbitrary non-dependent judgement**.
- This rule allows to **add an additional context piece** (Δ) to the **context of a judgement**.
 - The judgement $\Gamma, \Gamma' \Rightarrow \theta$ is weakened by Δ .

Weakening Rule (Cont.)

- Remark: One can in fact show that the weakening rule can be **weakly derived**.
 - Weakly derived means: whenever the assumptions of the rule can be derived in the complete set of rules we provide, then as well the conclusion.
 - However, this can't be derived from the premise the conclusion directly.
- An exception is when we **additionally assume some judgements** for instance $A : \text{Set}$ (corresponding to “postulate” in Agda).
 - Then $\Gamma \Rightarrow A : \text{Set}$ doesn't follow without the weakening rule.

Example Deriv. (Weak. Rule)

- We derive $a : A, b : B \Rightarrow a : A$, under the global assumptions $A : \text{Set}, B : \text{Set}$:

$$\frac{\frac{\frac{A:\text{Set}}{a:A \Rightarrow \text{Context}} (\text{Ass})}{a:A \Rightarrow a:A} \quad \frac{\frac{\frac{B:\text{Set}}{a:A \Rightarrow B:\text{Set}} (\text{Context}_1) \quad \frac{A:\text{Set}}{a:A \Rightarrow \text{Context}} (\text{Weak})}{a:A, b:B \Rightarrow \text{Context}} (\text{Weak})}{a:A, b:B \Rightarrow a:A} (\text{Weak})$$

Example Deriv.2 (Weak. Rule)

- We derive $x : A \rightarrow (B \times C), y : A \Rightarrow x : A \rightarrow (B \times C)$, under the global assumptions $A : \text{Set}, B : \text{Set}, C : \text{Set}$:

$$\frac{\frac{\frac{A : \text{Set}}{A \rightarrow (B \times C) : \text{Set}} \quad \frac{\frac{B : \text{Set} \quad C : \text{Set}}{B \times C : \text{Set}} (\times\text{-F})}{A \rightarrow (B \times C) : \text{Set}} (\rightarrow\text{-F})}{A : \text{Set} \quad x : A \rightarrow (B \times C) \Rightarrow \text{Context}} (\text{Context}_1)}{x : A \rightarrow (B \times C) \Rightarrow A : \text{Set}} (\text{Weak})$$
$$\frac{x : A \rightarrow (B \times C) \Rightarrow A : \text{Set}}{x : A \rightarrow (B \times C), y : A \Rightarrow \text{Context}} (\text{Context}_1)$$
$$\frac{x : A \rightarrow (B \times C), y : A \Rightarrow \text{Context}}{x : A \rightarrow (B \times C), y : A \Rightarrow x : A \rightarrow (B \times C)} (\text{Ass})$$

General Equality Rules

Reflexivity

$$\frac{A : \text{Set}}{A = A : \text{Set}} (\text{Refl}_{\text{Set}})$$

$$\frac{a : A}{a = a : A} (\text{Refl}_{\text{Elem}})$$

(Reflexivity can be weakly derived, except for global assumptions).

Symmetry

$$\frac{A = B : \text{Set}}{B = A : \text{Set}} (\text{Sym}_{\text{Set}})$$

$$\frac{a = b : A}{b = a : A} (\text{Sym}_{\text{Elem}})$$

General Equality Rules (Cont.)

Transitivity

$$\frac{A = B : \text{Set} \quad B = C : \text{Set}}{A = C : \text{Set}} (\text{Trans}_{\text{Set}})$$

$$\frac{a = b : A \quad b = c : A}{a = c : A} (\text{Trans}_{\text{Elem}})$$

Transfer

$$\frac{a : A \quad A = B : \text{Set}}{a : B} (\text{Transfer}_0)$$

$$\frac{a = b : A \quad A = B : \text{Set}}{a = b : B} (\text{Transfer}_1)$$

Example Deriv. (Gen. Equal. Rules)

$$\begin{array}{c}
 \frac{\frac{\frac{\text{N:Set}}{y:\text{N} \Rightarrow \text{Context}} \text{ (Context}_1\text{)}}{y:\text{N} \Rightarrow y:\text{N}} \text{ (Ass)}}{y:\text{N} \Rightarrow y+0=y:\text{N}} \text{ (N-Eq}_{+,0}\text{)} \\
 \\
 \frac{\frac{\frac{\frac{\text{N:Set}}{y:\text{N} \Rightarrow \text{Context}} \text{ (Context}_1\text{)}}{y:\text{N}, x:\text{N} \Rightarrow \text{Context}} \text{ (Ass)}}{y:\text{N}, x:\text{N} \Rightarrow x:\text{N}} \text{ (Ass)}}{\frac{y:\text{N} \Rightarrow (\lambda x^{\text{N}}.x) \ y=y:\text{N}}{y:\text{N} \Rightarrow y=(\lambda x^{\text{N}}.x) \ y:\text{N}} \text{ (Sym}_{\text{Elem}}\text{)}} \\
 \\
 \frac{\frac{\frac{\frac{\text{N:Set}}{y:\text{N} \Rightarrow \text{Context}} \text{ (Context}_1\text{)}}{y:\text{N}, x:\text{N} \Rightarrow \text{Context}} \text{ (Ass)}}{y:\text{N}, x:\text{N} \Rightarrow x:\text{N}} \text{ (Ass)}}{\frac{y:\text{N} \Rightarrow (\lambda x^{\text{N}}.x) \ y=y:\text{N}}{y:\text{N} \Rightarrow y=(\lambda x^{\text{N}}.x) \ y:\text{N}} \text{ (Trans}_{\text{Elem}}\text{)}} \\
 \\
 \frac{\frac{\frac{\frac{\text{N:Set}}{y:\text{N} \Rightarrow \text{Context}} \text{ (Context}_1\text{)}}{y:\text{N}, x:\text{N} \Rightarrow \text{Context}} \text{ (Ass)}}{y:\text{N}, x:\text{N} \Rightarrow x:\text{N}} \text{ (Ass)}}{\frac{y:\text{N} \Rightarrow y+0=(\lambda x^{\text{N}}.x) \ y:\text{N}}{\lambda y^{\text{N}}.y+0=\lambda y^{\text{N}}.(\lambda x^{\text{N}}.x) \ y:\text{N} \rightarrow \text{N}} \text{ (}\rightarrow\text{-I}^=\text{)}}
 \end{array}$$

Example Deriv. (Gen. Equal. Rules)

- In the previous derivation, the most complicated step was:

$$\frac{y : \mathbb{N}, x : \mathbb{N} \Rightarrow x : \mathbb{N} \quad y : \mathbb{N} \Rightarrow y : \mathbb{N}}{y : \mathbb{N} \Rightarrow (\lambda x^{\mathbb{N}}.x) y = y : \mathbb{N}} (\rightarrow \text{-Eq})$$

- This is an example of the **equality rule for the non-dependent function set**:

$$\frac{x : A \Rightarrow b : B \quad a : A}{(\lambda x^A.b) a = b[x := a] : B} (\rightarrow \text{-Eq})$$

with $A := B := \mathbb{N}$, $b := x$, $a := y$.

Therefore $b[x := a] = y$.

- This instance of the rule was weakened by an additional context $y : \mathbb{N}$.

Example Deriv. (Gen. Equal. Rules)

- Note that from the premises of that rule

$$\frac{y : \mathbb{N}, x : \mathbb{N} \Rightarrow x : \mathbb{N} \quad y : \mathbb{N} \Rightarrow y : \mathbb{N}}{y : \mathbb{N} \Rightarrow (\lambda x^{\mathbb{N}}.x) y = y : \mathbb{N}} (\rightarrow \text{-Eq})$$

we can derive using the introduction and elimination rule

$$y : \mathbb{N} \Rightarrow (\lambda x^{\mathbb{N}}.x) y : \mathbb{N}$$

as follows:

$$\frac{\frac{y : \mathbb{N}, x : \mathbb{N} \Rightarrow x : \mathbb{N}}{y : \mathbb{N} \Rightarrow \lambda x^{\mathbb{N}}.x : \mathbb{N} \rightarrow \mathbb{N}} (\rightarrow \text{-I}) \quad y : \mathbb{N} \Rightarrow y : \mathbb{N}}{y : \mathbb{N} \Rightarrow (\lambda x^{\mathbb{N}}.x) y : \mathbb{N}} (\rightarrow \text{-El})$$

Example Deriv. (Gen. Equ. Rules)

- The equality rule expresses how the function $\lambda x^{\mathbb{N}}.x$ applied to y is evaluated as follows:
 - We evaluate the body of the function (x) by setting for x the argument of the function (y).
 - This is the same as substituting in the body for x the argument of the function, i.e. y .
- This explains how the detour above of first introducing and then eliminating an expression can be reduced (namely to y or in general to $b[x := a]$).

Substitution Rules

The following rules can be weakly derived:

Substitution 1

$$\frac{\Gamma, x : A, \Gamma' \Rightarrow \theta \quad \Gamma \Rightarrow a : A}{\Gamma, \Gamma'[x := a] \Rightarrow \theta[x := a]} \text{ (Subst}_1\text{)}$$

$(\Gamma'[x := a])$ is the result of substituting in Γ' all occurrences of x by a).

Substitution 2

$$\frac{\Gamma, x : A, \Gamma' \Rightarrow B : \text{Set} \quad \Gamma \Rightarrow a = a' : A}{\Gamma, \Gamma'[x := a] \Rightarrow B[x := a] = B[x := a'] : \text{Set}} \text{ (Subst}_2\text{)}$$

Substitution Rules

Substitution 3

$$\frac{\Gamma, x : A, \Gamma' \Rightarrow b : B \quad \Gamma \Rightarrow a = a' : A}{\Gamma, \Gamma'[x := a] \Rightarrow b[x := a] = b[x := a'] : B[x := a]} \text{ (Subst}_3\text{)}$$

Example Deriv. (Substitution)

$$\frac{\frac{\frac{\dots}{x:\mathbb{N}, y:\mathbb{N} \Rightarrow x:\mathbb{N}} \text{ (Ass)}}{\frac{x:\mathbb{N}, y:\mathbb{N} \Rightarrow y:\mathbb{N}} \text{ (Ass)}} \text{ (N-I}_{+}\text{)}}{\frac{x:\mathbb{N}, y:\mathbb{N} \Rightarrow x + y:\mathbb{N}}{0:\mathbb{N}} \text{ (Subst}_1\text{)}} \frac{y:\mathbb{N} \Rightarrow 0 + y:\mathbb{N}}{\lambda y^{\mathbb{N}}.0 + y:\mathbb{N} \rightarrow \mathbb{N}} \text{ (}\rightarrow\text{-I)}$$

Example Deriv. 2 (Substitution)

$$\begin{array}{c}
 \frac{\dots}{z:\mathbb{N}, x:\mathbb{N}, y:\mathbb{N} \Rightarrow x+y:\mathbb{N}} \quad \frac{\frac{\frac{\frac{\frac{\mathbb{N}:\text{Set}}{z:\mathbb{N} \Rightarrow \text{Context}} (\text{Context}_1)}{z:\mathbb{N} \Rightarrow \mathbb{N}:\text{Set}} (\text{Context}_1)}{z:\mathbb{N}, u:\mathbb{N} \Rightarrow \text{Context}} (\text{Ass})}{z:\mathbb{N}, u:\mathbb{N} \Rightarrow u:\mathbb{N}} (\text{N-I}_S)}{z:\mathbb{N}, u:\mathbb{N} \Rightarrow S \ u:\mathbb{N}} \\
 \frac{\frac{\frac{\frac{\mathbb{N}:\text{Set}}{z:\mathbb{N} \Rightarrow \text{Context}} (\text{Context}_1)}{z:\mathbb{N} \Rightarrow z:\mathbb{N}} (\text{Ass})}{z:\mathbb{N} \Rightarrow z+0=z:\mathbb{N}} (\text{N-Eq})}{z:\mathbb{N} \Rightarrow S \ (z+0)=S \ z:\mathbb{N}} (\text{Subst}_3) \\
 \frac{\frac{z:\mathbb{N}, y:\mathbb{N} \Rightarrow (S \ z+0)+y=S \ z+y:\mathbb{N}}{z:\mathbb{N} \Rightarrow \lambda y^{\mathbb{N}}. (S \ z+0)+y = \lambda y^{\mathbb{N}}. S \ z+y:\mathbb{N} \rightarrow \mathbb{N}} (\rightarrow\text{-I}^=)}{\lambda z^{\mathbb{N}}. \lambda y^{\mathbb{N}}. (S \ z+0)+y = \lambda z^{\mathbb{N}}. \lambda y^{\mathbb{N}}. S \ z+y:\mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}} (\rightarrow\text{-I}^=)
 \end{array}$$

(e) The Depend. Function Set and \forall

- The dependent function set is similar to the non-dependent function set (e.g. $A \rightarrow B$), except that we allow that the second set to depend on an element of the first set.
- Notation: $(x : A) \rightarrow B$, for the set of functions f which map an element $a : A$ to an element of $B[x := a]$.
- In set-theoretic notation this is:

$$\begin{aligned} \{f \mid & f \text{ function} \\ & \wedge \text{dom}(f) = A \\ & \wedge \forall a \in A. f(a) \in B[x := a]\} \end{aligned}$$

Example (Dep. Function Set)

- Let Gender be the set of **genders**, informally written

$$\text{Gender} = \{\text{female}, \text{male}\} .$$

- In Agda, Gender would be defined by

```
data Gender : Set where
  female  : Gender
  male    : Gender
```

Example (Dep. Function Set)

- Let for $g : \text{Gender}$ the set

$\text{Name } g$

be the collection of **names of that gender**, e.g.
informally written

- $\text{Name female} = \{\text{jill}, \text{sara}\},$
- $\text{Name male} = \{\text{tom}, \text{jim}\}.$

Example (Dep. Function Set)

- More formally, Name can be defined in Agda as follows:

```
data MaleName : Set where
```

```
  tom  : MaleName
```

```
  jim   : MaleName
```

```
data FemaleName : Set where
```

```
  jill   : FemaleName
```

```
  sara   : FemaleName
```

```
Name : Gender → Set
```

```
Name male    = MaleName
```

```
Name female  = FemaleName
```

Example (Dep. Function Set)

- Define

$\text{select} : (g : \text{Gender}) \rightarrow \text{Name } g$

$\text{select female} = \text{jill}$

$\text{select male} = \text{tom}$

- **select selects for every gender a name.**
- **select female will be an element of**
 $\text{Name female} = (\text{Name } g)[g := \text{female}]$.
- **It wouldn't make sense to say** $(\text{select female}) : \text{Name } g$,
without knowing what g **is.**

Example (Dep. Function Set)

- An attempt to define select s.t. select male is not in maleName, e.g.

select male = jill

or that select female is not in femaleName, e.g.

select female = tom

will result in a **type error**.

Example (Dep. Function Set)

- Note that for instance we **don't** have

$$\lambda g^{\text{Gender}}.\text{tom} : (g : \text{Gender}) \rightarrow \text{Name } g$$

since we **don't** have

$$(\lambda g^{\text{Gender}}.\text{tom}) \text{female} : \text{Name female}$$

Rules of the Dep. Funct. Set

Formation Rule

$$\frac{A : \text{Set} \quad x : A \Rightarrow B : \text{Set}}{(x : A) \rightarrow B : \text{Set}} (\rightarrow \text{-F})$$

Introduction Rule

$$\frac{x : A \Rightarrow b : B}{\lambda x^A. b : (x : A) \rightarrow B} (\rightarrow \text{-I})$$

Rules of the Dep. Funct. Set

Elimination Rule

$$\frac{f : (x : A) \rightarrow B \quad a : A}{f \ a : B[x := a]} (\rightarrow \text{-El})$$

Equality Rule

$$\frac{x : A \Rightarrow b : B \quad a : A}{(\lambda x^A. b) \ a = b[x := a] : B[x := a]} (\rightarrow \text{-Eq})$$

The η -Rule

The η -rule has a special status:

η -Rule

$$\frac{f : (x : A) \rightarrow B}{f = \lambda x^A. f \ x : (x : A) \rightarrow B} (\rightarrow \text{-}\eta)$$

- As before, the η -rule expresses that every element of $(x : A) \rightarrow B$ is of the form $\lambda x^A. \text{something}$.
- The η -rule cannot be derived, if the element in question is a variable.

Equality Versions of the above

Equality Version of the Formation Rule

$$\frac{A = A' : \text{Set} \quad x : A \Rightarrow B = B' : \text{Set}}{(x : A) \rightarrow B = (x : A') \rightarrow B' : \text{Set}} \quad (\rightarrow \text{-F}^=)$$

Equality Version of the Introduction Rule

$$\frac{x : A \Rightarrow b = b' : B}{\lambda x^A. b = \lambda x^A. b' : (x : A) \rightarrow B} \quad (\rightarrow \text{-I}^=)$$

Equality Version of the Elimination Rule

$$\frac{f = f' : (x : A) \rightarrow B \quad a = a' : A}{f \ a = f' \ a' : B[x := a]} \quad (\rightarrow \text{-El}^=)$$

Non-Dep. Funct. Set as an Abbrev.

- The **non-dependent function set**

$$A \rightarrow B$$

can be regarded as an **abbreviation** for the **dependent function set**

$$(x : A) \rightarrow B ,$$

where B does not depend on x .

- As for the product one can see that the rules for the non-dependent function set are special cases of the rules for the dependent function set.

The Dep. Function Set in Agda

- We have seen that the non-dependent function set is written as $A \rightarrow B$ in Agda.
- The notation for the **dependent function set** is $(x: A) \rightarrow B$.

The Dep. Function Set in Agda

- Elements of $(x : A) \rightarrow B$ are introduced as before by using
 - either λ -abstraction, i.e. we can define

$$\begin{aligned} f & : (x : A) \rightarrow B \\ f & = \lambda(x : A) \rightarrow b \end{aligned}$$

or shorter (if Agda – as in most cases – can work the type A of x)

$$\begin{aligned} f & : (x : A) \rightarrow B \\ f & = \lambda x \rightarrow b \end{aligned}$$

- Requires that $b : B$ depending on $x : A$.
- Note that the type B of b depends on $x : A$.

The Dep. Function Set in Agda

- or by writing

$$\begin{aligned} f & : (x : A) \rightarrow B \\ f\ x & = b \end{aligned}$$

depfunctionset.agda

The Dep. Function Set in Agda

- Elimination is application using the same notation as before.
 - E.g., if $f : (x : A) \rightarrow B$ and $a : A$, then $f\ a : B[x := a]$.

Abbreviations

- We can write

$$(n \ m : \mathbb{N}) \rightarrow A$$

instead of

$$(n : \mathbb{N}) \rightarrow (m : \mathbb{N}) \rightarrow A$$

$$(x : A) \rightarrow \dots \textbf{vs.} \lambda(x : A) \rightarrow \dots$$

- Sometimes users of Agda (including the lecturer himself) confuse $(x : A) \rightarrow \dots$ and $\lambda(x : A) \rightarrow \dots$.
- Happens probably because of the similarity of both notions.
 - $(x : A) \rightarrow B$ is a set (or type).
 - the set/type of functions, mapping $x : A$ to an element of type B .
 - Therefore it makes sense to talk about $s : ((x : A) \rightarrow B)$.

$$(x : A) \rightarrow \dots \textbf{vs.} \lambda(x : A) \rightarrow \dots$$

- $\lambda(x : A) \rightarrow t$ is a term.
 - the function, mapping an element $x : A$ to the element t .
 - It does not make sense to say s is an element of a function.
 - Correspondingly it does not make sense to talk about $s : (\lambda(x : A) \rightarrow t)$.
- $(\lambda(x : A) \rightarrow t)$ never occurs in a position where a set/type is required.
 - It therefore never occurs **on the right hand side of $:$** .
 - It does however make sense to talk about $(\lambda(x : A) \rightarrow t) : B$ for some set (or type) B .

Predicate Log. in Dep. Type Theo.

- We have already seen how to represent the propositional connectives and decidable atomic formulae in Agda and therefore as well in dependent type theory:

- Implication

$$A \rightarrow B$$

is represented as the nondependent function set

$$A \rightarrow B$$

- Conjunction

$$A \wedge B$$

is represented as one of the two versions of the product of A and B .

Predicate Log. in Dep. Type Theo.

- Disjunction will be introduced later (as the disjoint union).
- $\neg A$ has been introduced as $A \rightarrow \perp$.
- If $f : A_1 \rightarrow \dots \rightarrow A_n \rightarrow \text{Bool}$ is a function, we can represent the predicate “ $f\ a_1\ \dots\ a_n$ is true” as

$$\text{Atom}\ (f\ a_1\ \dots\ a_n)$$

Jump over next slide

Predicate Log. in Dep. Type Theo.

- The definitions of $\neg A$, Atom rely on the rules for \perp , \top , Bool and Atom .
- They have been only introduced in the λ -calculus (and the rules for Atom have not been introduced at all), but not yet in the context of dependent type theory.
- They will be introduced in detail later.
- In this Subsect. we will deal mainly with the predicate calculus in Agda.
- Therefore an understanding of the rules as they occur in the λ -calculus (or in case of Atom an understanding of how to use it in Agda) suffices.
 - The rules of the typed λ -calculus can easily be translated into type theory.

Predicate Log. in Dep. Type Theo.

- We will investigate, how to represent universal and (in the next section) existential quantification in dependent type theory.
- Since we have many types, we have to write when using quantifiers explicitly the type, the bound variable is ranging over:
We write therefore
 - $\forall x : A.B$ or $\forall x^A.B$ for
“for all x of type A , B holds”
(where B usually depends on x);
 - $\exists x : A.B$ or $\exists x^A.B$ for
“there exists an x of type A , s.t. B holds”
(again B usually depends on x).

Universal Quantification

- $\forall x^A.B$ is true iff, for all $x : A$ there exists a proof of B (with that x).
- Therefore a proof of $\forall x^A.B$ is a **function, which takes an $x:A$ and computes an element of B .**
- Therefore the set of proofs of $\forall x^A.B$ is the set of functions, mapping an element $x : A$ to an element of B .
- This set is just the **dependent function set** $(x : A) \rightarrow B$.
- Therefore we can **identify** $\forall x^A.B$ with $(x : A) \rightarrow B$.

\forall in Agda

- $\forall x^A.B$ is represented by $(x : A) \rightarrow B$ in Agda.
 - Remember that $\forall x : A.B$ is another notation for $\forall x^A.B$.
- As an example,
 - we define a $<$ -operation on `Bool` using `ff < tt` is true and `b < b'` is false, otherwise.
 - Then we show $\forall x^{\text{Bool}}.\neg(x < x)$.
- See [exampleLessBool.agda](#).

Example (\forall , Cont.)

- First we define a Boolean valued less-than relation on `Bool` as follows:

$$_ < \text{Bool} _ : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}$$

$$\text{ff} < \text{Bool} b = b$$

$$\text{tt} < \text{Bool} _ = \text{ff}$$

- This means that `<Bool` has the following truth table:

<code><Bool</code>	<code>ff</code>	<code>tt</code>
<code>ff</code>	<code>ff</code>	<code>tt</code>
<code>tt</code>	<code>ff</code>	<code>ff</code>

Example (\forall , Cont.)

- Explanation of this definition:
 - If we identify `ff` with the number 0, `tt` with 1, then $b <_{\text{Bool}} b'$ means that for the corresponding numbers we have $b < b'$.
 - Especially we have:
 - if a is false, then a is less than b iff b is true, so the truth value of $a <_{\text{Bool}} b$ is the same as b .
 - if a is true, then a is never less than b .

<Boollong

$_<\text{Bool}_ : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}$

$\text{ff } <\text{Bool } b = b$

$\text{tt } <\text{Bool } _ = \text{ff}$

- The above defines the same function as the following long version:

$_<\text{Boollong}_ : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}$

$\text{ff } <\text{Boollong } \text{ff} = \text{ff}$

$\text{ff } <\text{Boollong } \text{tt} = \text{tt}$

$\text{tt } <\text{Boollong } \text{ff} = \text{ff}$

$\text{tt } <\text{Boollong } \text{tt} = \text{ff}$

<Boollong

- Proving properties for <Boollong is more complicated since the proof usually requires the same more complicated splitting up into cases.
- It is usually easier to proof properties for versions of functions, in which the number of case distinctions is reduced to a minimum.

Example (\forall , Cont.)

- Now we define $<$ as follows

$$_ < _ : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Set}$$

$$b < b' = \text{Atom } (b <_{\text{Bool}} b')$$

Example (\forall , Cont.)

- We introduce \neg :

$$\neg : \text{Set} \rightarrow \text{Set}$$

$$\neg A = A \rightarrow \perp$$

- The statement that $<$ is antireflexive is

$$\forall a^{\text{Bool}}. \neg(a < a)$$

which is represented in Agda as follows:

$$\begin{aligned} \text{Lemma4} & : \text{Set} \\ & = (a : \text{Bool}) \rightarrow \neg (a < a) \end{aligned}$$

Example (\forall , Cont.)

Lemma4 : Set

$$= (a : \text{Bool}) \rightarrow \neg (a < a)$$

● Since $\neg (a < a) = (a < a) \rightarrow \perp$, we have

$$\begin{aligned} \text{Lemma4} &= (a : \text{Bool}) \rightarrow \neg (a < a) \\ &= (a : \text{Bool}) \rightarrow (a < a) \rightarrow \perp \end{aligned}$$

Example (\forall , Cont.)

$$\text{Lemma4} = (a : \text{Bool}) \rightarrow (a < a) \rightarrow \perp$$

- We want to prove Lemma4.
 - A proof of Lemma4 will be an element $\text{lemma4} : \text{Lemma4}$.
- So we have to solve the following goal:

$$\begin{aligned} \text{lemma4} & : \text{Lemma4} \\ \text{lemma4} & = \{! \ !\} \end{aligned}$$

- The type of the goal is

$$\text{Lemma4} = (a : \text{Bool}) \rightarrow (a < a) \rightarrow \perp$$

Example (\forall , Cont.)

lemma4 : Lemma4

lemma4 = {! !}

Type of goal is Lemma4 = $(a : \text{Bool}) \rightarrow (a < a) \rightarrow \perp$.

- An element lemma4 : $(a : \text{Bool}) \rightarrow (a < a) \rightarrow \perp$ can be introduced by applying it to $a : A$ and $aa : a < a$:

lemma4 : Lemma4

lemma4 a aa = {! !}

- The type of goal is now the conclusion of $(a : \text{Bool}) \rightarrow (a < a) \rightarrow \perp$, namely \perp .

Example (\forall , Cont.)

lemma4 : Lemma4

lemma4 a $aa = \{! \ !\}$

Type of goal is \perp .

- We need to make use of our assumptions, namely $a : \text{Bool}$ and $aa : a < a$.
 - $a < b$ is defined by case disjunction on a and b .
 - Unless we know that $a = \text{tt}$ or $a = \text{ff}$, we don't know much about $a < a$.
 - So it seems to be a good step to make pattern matching using the cases $a = \text{tt}$ and $a = \text{ff}$.

Example (\forall , Cont.)

lemma4 : Lemma4

lemma4 ff aa = {! !}

lemma4 tt aa = {! !}

- The type of both goals is the same as before, namely \perp , since it didn't depend on a .

Example (\forall , Cont.)

lemma4 : Lemma4

lemma4 ff aa = {! !}

lemma4 tt aa = {! !}

- However, we know now more about the assumptions

$aa : a < a$.

- In case of $a = \text{ff}$, we have $aa : (a < a) = (\text{ff} < \text{ff}) = \perp$

- So there is no case for $aa : \perp$, and we can solve this case by

lemma4 ff ()

Example (\forall , Cont.)

lemma4 : Lemma4

lemma4 ff ()

lemma4 tt aa = {! !}

- In case of $a = \text{tt}$, we have $aa : (a < a) = (\text{tt} < \text{tt}) = \perp$
- Again we can solve this case by

lemma4 tt ()

We obtain the code

lemma4 : Lemma4

lemma4 ff ()

lemma4 tt ()

Example (\forall , Cont.)

- In the previous example,
 - the type of goal was \perp ,
 - and $aa : \perp$.
- So, instead of using case distinction on aa we could have as well inserted aa in those goals:

lemma4 : Lemma4

lemma4 ff $aa = aa$

lemma4 tt $aa = aa$

(f) The Dependent Product and \exists

- The dependent product is similar as the non-dependent product (e.g. $A \times B$), except that we allow that the second set to depend on an element of the first set.
- The type theoretic notation is

$$(a : A) \times B$$

- Elements of $(a : A) \times B$ are pairs

$$\langle a', b' \rangle$$

s.t.

- $a' : A$
- $b' : B[a := a']$.

Example 1 (Dep. Products)

- One example for its use are the set of sorted lists:
 - Sorted l is a predicate on `NatList` expressing that l is sorted.
 - An element of

$$\text{SortedList} := (l : \text{NatList}) \times \text{Sorted } l$$

is a pair

$$\langle l, p \rangle$$

s.t.

- $l : \text{NatList}$,
- $p : \text{Sorted } l$, i.e. p is a **proof** that l is sorted.
- So elements of `SortedList` are lists l together with a proof that l is sorted.

Example 2 (Dep. Products)

- Remember the Gender-example as in the last section:

- Gender = {female, male} .

- For $g : \text{Gender}$

$\text{Name } g$

is a collection of **names of that gender**, e.g.
informally written

- $\text{Name female} = \{\text{jill}, \text{sara}\},$

- $\text{Name male} = \{\text{tom}, \text{jim}\}.$

- The **set of names with their gender** is the set of pairs $\langle g, n \rangle$ s.t. g is a Gender and $n : \text{Name } g$.
- This set is written as

$\text{NameWithGender} := (g : \text{Gender}) \times \text{Name } g$

Rules of the Dependent Product

Formation Rule

$$\frac{A : \text{Set} \quad x : A \Rightarrow B : \text{Set}}{(x : A) \times B : \text{Set}} \quad (\times\text{-F})$$

Introduction Rule

$$\frac{x : A \Rightarrow B : \text{Set} \quad a : A \quad b : B[x := a]}{\langle a, b \rangle : (x : A) \times B} \quad (\times\text{-I})$$

Extra Premise in the Introd. Rule

- In the last introduction rule, an **extra premise** $x : A \Rightarrow B : \text{Set}$ was required.
 - This is required in order to guarantee that we can **form the set** $(x : A) \times B$.
 - In case of the non-dependent product, this premise was not necessary:
 $a : A$ and $b : B$ indirectly implies that we know $A : \text{Set}$ and $B : \text{Set}$, from which it follows $A \times B : \text{Set}$.

Example

- Assuming we have defined the set of genders
 $\text{Gender} : \text{Set}$ and the set of names
 $g : \text{Gender} \Rightarrow \text{Name } g : \text{Set}$, we can introduce the set

$$\text{NameWithGender} := (g : \text{Gender}) \times \text{Name } g : \text{Set}$$

by using the formation rule:

$$\frac{\text{Gender} : \text{Set} \quad g : \text{Gender} \Rightarrow \text{Name } g : \text{Set}}{(g : \text{Gender}) \times \text{Name } g : \text{Set}} (\times\text{-I})$$

Example

- Furthermore we can introduce

$\langle \text{male}, \text{tom} \rangle : \text{NameWithGender}$

as follows:

$$\frac{g:\text{Gender} \Rightarrow \text{Name } g : \text{Set} \quad \text{male}:\text{Gender} \quad \text{tom}:\text{Name male}}{\langle \text{male}, \text{tom} \rangle : (g : \text{Gender}) \times \text{Name } g} \quad (\times\text{-I})$$

- Note that we need the premise

$$g : \text{Gender} \Rightarrow \text{Name } g : \text{Set}$$

Otherwise we only know that $\text{Name male} : \text{Set}$, but not that $\text{Name female} : \text{Set}$.

[Jump to the elimination rules for the product.](#)

Example

- Note that we **don't** have

$\langle \text{female}, \text{tom} \rangle : \text{NameWithGender}$

since we **don't** have

$\text{tom} : \text{Name female}$

So here dependent types prevent errors. In an ordinary programming language without dependent types, we can't define a corresponding type `NameWithGender` which allows at compile time to define

$\langle \text{male}, \text{tom} \rangle : \text{NameWithGender}$

but not

$\langle \text{female}, \text{tom} \rangle : \text{NameWithGender}$

Rules of the Dependent Product

Elimination Rules

$$\frac{c : (x : A) \times B}{\pi_0(c) : A} (\times\text{-El}_0)$$

$$\frac{c : (x : A) \times B}{\pi_1(c) : B[x := \pi_0(c)]} (\times\text{-El}_1)$$

Equality Rules

$$\frac{x : A \Rightarrow B : \text{Set} \quad a : A \quad b : B[x := a]}{\pi_0(\langle a, b \rangle) = a : A} (\times\text{-Eq}_0)$$

$$\frac{x : A \Rightarrow B : \text{Set} \quad a : A \quad b : B[x := a]}{\pi_1(\langle a, b \rangle) = b : B[x := a]} (\times\text{-Eq}_1)$$

Note that the last two rules require the extra premise $x : A \Rightarrow B : \text{Set}$ (which is not implied by the other premises).

Example

- In the “Name”-example we have that, if $a : \text{NameWithGender}$, then $\pi_0(a) : \text{Gender}$ and $\pi_1(a) : \text{Name } \pi_0(a)$:

$$\frac{a : (g : \text{Gender}) \times \text{Name } g}{\pi_0(a) : \text{Gender}} (\times\text{-El}_0)$$

$$\frac{a : (g : \text{Gender}) \times \text{Name } g}{\pi_1(a) : \text{Name } \pi_0(a)} (\times\text{-El}_1)$$

Example

● Furthermore

$$\pi_0(\langle \text{male}, \text{tom} \rangle) = \text{male} : \text{Gender}$$

therefore

$$\text{Name } \pi_0(\langle \text{male}, \text{tom} \rangle) = \text{Name male}$$

$$\pi_1(\langle \text{male}, \text{tom} \rangle) = \text{tom} : \text{Name } \pi_0(\langle \text{male}, \text{tom} \rangle)$$

therefore as well

$$\pi_1(\langle \text{male}, \text{tom} \rangle) = \text{tom} : \text{Name male}$$

Rules of the Dependent Product

We have the following η -rule:

$$\frac{c : (x : A) \times B}{c = \langle \pi_0(c), \pi_1(c) \rangle : (x : A) \times C} (\times\text{-}\eta)$$

- As before, the η -rule expresses that every element of $(x : A) \times B$ is of the form $\langle \text{something}_0, \text{something}_1 \rangle$.
- The η -rule cannot be derived, if the element in question is a variable.

Equality Versions of the above

Equality Version of the Formation Rule

$$\frac{A = A' : \text{Set} \quad x : A \Rightarrow B = B' : \text{Set}}{(x : A) \times B = (x : A') \times B' : \text{Set}} \quad (\times\text{-F}^=)$$

Equality Version of the Introduction Rule

$$\frac{x : A \Rightarrow B : \text{Set} \quad a = a' : A \quad b = b' : B[x := a]}{\langle a, b \rangle = \langle a', b' \rangle : (x : A) \times B} \quad (\times\text{-I}^=)$$

Equality Versions of the Elimination Rules

$$\frac{c = c' : (x : A) \times B}{\pi_0(c) = \pi_0(c') : A} \quad (\times\text{-El}_0^=) \quad \frac{c = c' : (x : A) \times B}{\pi_1(c) = \pi_1(c') : B[x := \pi_0(c)]} \quad (\times\text{-El}_1^=)$$

The Non-Dep. Product as an Abbrev

- The non-dependent product $A \times B$ can now be seen as an **abbreviation** for $(x : A) \times B$ for some fresh variable x .
- Taking $A \times B$ as an abbreviation, we can see that the **rules for the non-dependent product are special cases of the rules for the dependent product.**

Jump to the dependent product in Agda.

The Non-Dep. Product as an Abbrev

- More precisely this can be seen as follows:
 - From $A : \text{Set}$ and $B : \text{Set}$ we can derive $x : A \Rightarrow B : \text{Set}$ using the **weakening rule**.
 - Therefore the **premises of the formation rule for the non-dependent product imply those of the formation rule for the non-dependent product**.
 - From a derivation of $a : A$ we can derive $A : \text{Set}$ (we need the concept of presupposition for that, as introduced later).
 - Therefore the premises of the **introduction rule for the non-dependent product imply those of the dependent product**.
 - Similarly for the elimination, equality and η -rule.

The Dependent Product in Agda

- In Agda, the record type allows already dependencies of later sets on previous ones:
 - Assume $A : \text{Set}$, and $B : \text{Set}$, possibly depending on $a : A$.
 - Then we can form

record AB : Set where
field

$a : A$

$b : B$

The Dependent Product in Agda

record AB : Set where
field

$a : A$

$b : B$

- Elements of AB can be introduced in the same way as before, i.e. if $a' : A$ and $b' : B[a := a']$ then we can form

$\text{record } \{a : A = a'; b : B = b'\} : \text{AB} .$

- Note that $b' : B[a := a']$, so the type of b' depends on a' .
- Furthermore, if $ab : \text{AB}$, then
 $\text{AB}.a \ ab : A$,
 $\text{AB}.b \ ab : B[a := \text{AB}.a \ ab]$.

dependentProduct1.agda

The Dependent Product in Agda

- The same applies to the dependent product using `data`.
 - Assume $A : \text{Set}$, and $B : \text{Set}$, possibly depending on $a : A$.
 - Then we can form

`data AB : Set where`

`prod : (a' : A) → B[a := a'] → AB`

- Elements of this set can be introduced in the same way as before, i.e. if $a' : A$ and $b' : B[a := a']$ then we can form

`prod a' b' : AB .`

- Note that $b' : B[a := a']$, so the type of b' depends on a' .

The Dependent Product in Agda

- Furthermore, we can define the projections:

$$\pi_0 : AB \rightarrow A$$

$$\pi_0 (p\ a\ b) = a$$

$$\pi_1 : (ab : AB) \rightarrow B[a := \pi_0\ ab]$$

$$\pi_1 (p\ a\ b) = b$$

dependentProduct1.agda

The “Name”-Example in Agda

● Remember:

```
data Gender : Set where
  female  : Gender
  male    : Gender
```

```
data FemaleName : Set where
  jill   : FemaleName
  sara   : FemaleName
```

```
data MaleName : Set where
  tom    : MaleName
  jim    : MaleName
```


The “Name”-Example in Agda

data MaleName : Set where

tom : MaleName

jim : MaleName

data FemaleName : Set where

jill : FemaleName

sara : FemaleName

Name : Gender \rightarrow Set

Name male = MaleName

Name female = FemaleName

The “Name”-Example in Agda

• Now we define

```
record NameWithGender : Set where
  field
    gender  : Gender
    name    : Name gender
```

See [exampleAllNames.agda](#).

The “Name”-Example in Agda

- Note that we have

`record {gender = male; name = tom} : NameWithGender`

whereas we **don't** have

`record {gender = male; name = jill} : NameWithGender`

- This is different from the dependent record type which occurs for instance in Pascal or Ada, where the second example doesn't result in a type error.

Existential Quantification

- $\exists x^A.B$ is true iff there exists an $a : A$ such that $B[x := a]$ is true.
- Therefore a proof of $\exists x^A.B$ is a **pair $\langle a, p \rangle$ consisting of an element $a : A$ and a proof p of $B[x := a]$.**
- Therefore the set of proofs of $\exists x^A.B$ is the **dependent product $(x : A) \times B$.**
- We can **identify $\exists x^A.B$ with $(x : A) \times B$.**

\exists in Agda

- $\exists x^A.B$ is represented therefore in Agda by one of the two dependent products in Agda:

record Version1 : Set where
field

$a : A$

$b : B[x := a]$

data Version2 : Set where

$\text{exists} : (a : A) \rightarrow B[x := a] \rightarrow \text{Version2}$

- Here $B[x := a]$ is the result of substituting in B for x the variable a .

\exists in Agda

- A generic version, depending on $A : \text{Set}$ and $B : A \rightarrow \text{Set}$ can be defined as follows
(The symbol \exists can be obtained by typing in “\exists”):

record $\exists_r (A : \text{Set}) (B : A \rightarrow \text{Set}) : \text{Set}$ where
field

$a : A$

$b : B\ a$

data $\exists_d (A : \text{Set}) (B : A \rightarrow \text{Set}) : \text{Set}$ where
 $\text{exists} : (a : A) \rightarrow B\ a \rightarrow \exists_d\ A\ B$

existentialQuantification.agda

Example (\exists)

- As an example,
 - we define negation \neg_{Bool} on `Bool`,
 - define an equality `==` on `Bool`,
 - and show $\forall a^{\text{Bool}}. \exists b^{\text{Bool}}. a == \neg_{\text{Bool}} b$.
- See [exampleproofproplogic11.agda](#).

Example (\exists , Cont.)

- \neg Bool is defined as follows:

$$\neg \text{Bool} : \text{Bool} \rightarrow \text{Bool}$$

$$\neg \text{Bool} \text{ tt} = \text{ff}$$

$$\neg \text{Bool} \text{ ff} = \text{tt}$$

Example (\exists)

- A Boolean valued equality on `Bool` is defined as follows:

$$_ ==_{\text{Bool}} _ : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}$$
$$\text{tt} ==_{\text{Bool}} b = b$$
$$\text{ff} ==_{\text{Bool}} b = \neg \text{Bool } b$$

- This corresponds to the following truth table:

$==_{\text{Bool}}$	ff	tt
ff	tt	ff
tt	ff	tt

Example (\exists)

- Then we define

$$\begin{aligned} _ == _ &: \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Set} \\ b == b' &= \text{Atom } (b == \text{Bool } b') \end{aligned}$$

Example (\exists , Cont.)

- In order to introduce the statement mentioned above, we introduce first the formula $\exists b^{\text{Bool}}.a == \neg \text{Bool } b$ depending on $a : \text{Bool}$:

record Lemma5aux ($a : \text{Bool}$) : Set where
field
 b : Bool
 ab : $a == \neg \text{Bool } b$

- The statement $\forall a^{\text{Bool}}.\exists b^{\text{Bool}}.a == \neg \text{Bool } b$ is now as follows:

Lemma5 : Set
Lemma5 = ($a : \text{Bool}$) \rightarrow Lemma5aux a

Example (\exists , Cont.)

- A proof of Lemma5 is an element

lemma5 : Lemma5

and we get the goal

lemma5 : Lemma5

lemma5 = {! !}

- The type of goal is

Lemma5 = $(a : \text{Bool}) \rightarrow \text{Lemma5aux } a$

- This goal is solved by applying lemma5 to $a : \text{Bool}$.

Example (\exists , Cont.)

Lemma5 : Set

Lemma5 = $(a : \text{Bool}) \rightarrow \text{Lemma5aux } a$

- We get

lemma5 : Lemma5

lemma5 a = $\{! \ !\}$

- The type of the goal is (in pseudo Agda syntax)

Lemma5aux a = record $\{b : \text{Bool}; ab : a == \neg \text{Bool } b\}$

Example (\exists , Cont.)

lemma5 : Lemma5

lemma5 a = {! !}

Type of goal is

$\text{record } \{b : \text{Bool}; ab : a == \neg \text{Bool } b\}$

- We cannot show this goal universally for all a directly.
 - We have to provide a different b depending on whether $a = \text{tt}$ or $a = \text{ff}$.
 - So we introduce pattern matching on whether $a = \text{tt}$ or $a = \text{ff}$.

Example (\exists , Cont.)

• We get

lemma5 : Lemma5

lemma5 ff = {! !}

lemma5 tt = {! !}

Example (\exists , Cont.)

lemma5 : Lemma5

lemma5 ff = {! !}

lemma5 tt = {! !}

- In case of $a = \text{ff}$, the type of goal is

$$\begin{aligned} \text{Lemma5aux ff} = \text{record } \{ & b : \text{Bool}; \\ & ab : \text{ff} == \neg \text{Bool } b \} \end{aligned}$$

- This goal can be solved as follows

$$\text{lemma5 ff} = \text{record } \{ b = \text{tt}; ab = \text{true} \}$$

(Note that $(\text{ff} == \neg \text{Bool } \text{tt}) = \top$, so
 $\text{true} : (\text{ff} == \neg \text{Bool } \text{tt})$).

Example (\exists , Cont.)

lemma5 : Lemma5

lemma5 ff = record {b = tt; ab = true}

lemma5 tt = {! !}

- The second goal can be solved as follows

$$\text{lemma5 tt} = \text{record } \{b = \text{ff}; ab = \text{true}\}$$

- So we get the complete proof:

lemma5 : Lemma5

lemma5 ff = record {b = tt; ab = true}

lemma5 tt = record {b = ff; ab = true}

Complex Example

- We assume $A, B : \text{Set}$ and equality relations on A, B :

postulate A : Set

postulate $_ == A _$: $A \rightarrow A \rightarrow \text{Set}$

postulate B : Set

postulate $_ == B _$: $B \rightarrow B \rightarrow \text{Set}$

- We will introduce
 - the product AB of A and B
 - an equality $==AB$ on AB
 - and show that if $==A$ and $==B$ are symmetric, so is $==AB$.
- See [exampleProductEqual.agda](#).

Equality Sets

- $==A$ (and $==B$) could be decidable equalities,
 - i.e. $==A = \lambda(a, b : A) \rightarrow \text{Atom} (\text{eqboolA } a \ b)$,
where $\text{eqboolA} : A \rightarrow A \rightarrow \text{Bool}$,
- Or an undecidable equality.
 - E.g. the equality on $\mathbb{N} \rightarrow \mathbb{N}$ is in standard logic

$$f = g :\Leftrightarrow \forall n^{\mathbb{N}}. f(n) = g(n)$$

which reads in Agda as follows:

$$\begin{aligned} _ ==_{\mathbb{N} \rightarrow _} & : (f \ g : \mathbb{N} \rightarrow \mathbb{N}) \rightarrow \text{Set} \\ f ==_{\mathbb{N} \rightarrow _} g & = (n : \mathbb{N}) \rightarrow f \ n == g \ n \end{aligned}$$

where $==$ is the equality on \mathbb{N} .

Undecidable Equalities

- The last equality is undecidable, since in order to check whether $f \equiv_{\mathbb{N}} g$ holds we have to check **for all** $n : \mathbb{N}$ whether $f\ n = g\ n$ holds

Complex Example (Cont.)

- The formation of $AB = A \times B$ is straightforward:

$\text{data } _ \times _ (A \ B : \text{Set}) : \text{Set} \text{ where}$
 $\text{p} : A \rightarrow B \rightarrow A \times B$

$AB : \text{Set}$

$AB = A \times B$

Complex Example (Cont.)

- We define the equality $==_{AB}$ on $A \times B$ as follows:
 - Assume $ab, ab' : A \times B$.
 - ab and ab' are equal, if their first projections are equal w.r.t. $==_A$ and their second projections are equal w.r.t. $==_B$.
 - So we get

$_ ==_{AB} _ : AB \rightarrow AB \rightarrow \text{Set}$

$$(p\ a\ b)\ ==_{AB}\ (p\ a'\ b') = (a\ ==_A\ a') \wedge (b\ ==_B\ b')$$

Complex Example (Cont.)

- We introduce the formulae expressing that an equality on a set is symmetric.
- We define this generically depending on an arbitrary set A and an arbitrary equality $_==_$ on A .
- It is the formula

$$\forall a, a' : A. a == a' \rightarrow a' == a$$

- The Agda code is as follows:

$\text{Sym} : (A : \text{Set}) \rightarrow (A \rightarrow A \rightarrow \text{Set}) \rightarrow \text{Set}$

$\text{Sym } A _==_ = (a \ a' : A) \rightarrow a == a' \rightarrow a' == a$

Specialisation of Sym

- We create instances of Sym for symmetry on A, B, AB:

$$\begin{aligned}\text{SymA} &: \text{Set} \\ \text{SymA} &= \text{Sym } A \text{ } _ == A _ \end{aligned}$$
$$\begin{aligned}\text{SymB} &: \text{Set} \\ \text{SymB} &= \text{Sym } B \text{ } _ == B _ \end{aligned}$$
$$\begin{aligned}\text{SymAB} &: \text{Set} \\ \text{SymAB} &= \text{Sym } AB \text{ } _ == AB _ \end{aligned}$$

Formulae vs. Proofs

- Note that SymA is the **statement** expressing that $==A$ is symmetric.
 - It is not a proof that $==A$ is symmetric.
 - We can define SymA independently of whether $==A$ is symmetric or not.
 - A proof that $==A$ is symmetric is **an element of SymA** , i.e a term symA s.t.

$\text{symA} : \text{SymA}$

- Note that we don't have to show that SymA holds.
 - We have to show that if SymA and SymB hold, then SymAB holds as well.

Complex Example

- What we want to show is that SymA and SymB implies SymAB .
- So we need to solve

$$\begin{aligned}\text{symAB} &: \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB} \\ \text{symAB} &= \{! \ !\}\end{aligned}$$

- We apply symAB to elements $\text{symA} : \text{SymA}$, $\text{symB} : \text{SymB}$ and obtain

$$\begin{aligned}\text{symAB} &: \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB} \\ \text{symAB } \text{symA } \text{symB} &= \{! \ !\}\end{aligned}$$

Complex Example

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB} = \{! \}$

- The type of the goal is SymAB which is

$$(ab \ ab' : AB) \rightarrow ab == AB \ ab' \rightarrow ab' == AB \ ab$$

- In order to solve the goal we apply $\text{symAB } \text{symA } \text{symB}$ to ab, ab' and $abab' : ab == AB \ ab'$. We obtain

$$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$$
$$\text{symAB } \text{symA } \text{symB } ab \ ab' \ abab' = \{! \}$$

Complex Example

$$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$$
$$\text{symAB } \text{symA } \text{symB } ab \ ab' \ abab' = \{! \ !\}$$

- The type of the goal is now $ab' ==_{AB} ab$.
- $ab' ==_{AB} ab$ is defined by pattern matching on ab and ab' . In order to show it we use the same pattern matching:

$$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$$
$$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ abab' = \{! \ !\}$$

Complex Example

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ abab' = \{! \ !\}$

● $abab' : a ==_A a' \wedge b ==_B b'$.

In order to obtain the two components $aa' : a ==_A a'$ and $bb' : b ==_B b'$, we apply pattern matching to $abab'$ as well.

● We obtain

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ (\text{and } aa' \ bb') = \{! \ !\}$

Complex Example

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ (\text{and } aa' \ bb') = \{! \ !\}$

- The Type of the goal is

$$(a' ==_A a) \wedge (b' ==_B b)$$

- Elements of it are of the form $p \ ab \ ab'$ with $a'a : a' ==_A a$ and $b'b : b' ==_B b$.
- So we insert into the goal p and use intro. We obtain

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ (\text{and } aa' \ bb')$
 $= p \ \{! \ !\} \ \{! \ !\}$

Complex Example

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ (\text{and } aa' \ bb')$
 $= p \ \{! \ !\} \ \{! \ !\}$

- The type of the first goal is $a' ==A \ a$.
- We have $aa' : a ==A \ a'$ and
 $\text{symA} : (a \ a' : A) \rightarrow a ==A \ a' \rightarrow a' ==A \ a$.
- So

$\text{symA } a \ a' \ aa' : a' ==A \ a$

and this term can be used in order to solve the first goal:

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ (\text{and } aa' \ bb')$
 $= p \ (\text{symA } a \ a' \ aa') \ \{! \ !\}$

Complex Example

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ (\text{and } aa' \ bb')$
 $= p \ (\text{symA } a \ a' \ aa') \ \{! \ !\}$

- The type of the second goal is $b' ==_B b$ which can be solved by $\text{symB } b \ b' \ bb'$.
- We obtain

$\text{symAB} : \text{SymA} \rightarrow \text{SymB} \rightarrow \text{SymAB}$

$\text{symAB } \text{symA } \text{symB } (p \ a \ b) \ (p \ a' \ b') \ (\text{and } aa' \ bb')$
 $= p \ (\text{symA } a \ a' \ aa') \ (\text{symB } b \ b' \ bb')$

Jump over next 2 sections:

Derivations vs. Agda Code and Presuppositions

(g) Derivations vs. Agda Code

- In this subsection we look at the **relationship between Agda code and the corresponding derivations**.
 - We consider various examples.
 - **First** we will go through the development of the Agda code.
 - **Then** we will look at, how the corresponding derivations are developed, following each step in the development of the Agda code.

Example 1

- We want to derive in Agda

$$\lambda(a : A).a : A \rightarrow A$$

(See example file **exampleIdentity.agda**)

- **Step 1:**

- We need to introduce the type A first.
- Since we want to have the definition for an arbitrary type A , we postulate (i.e. assume) one type A :

postulate $A : \text{Set}$

Example 1 (Cont.)

- **Step 2:** We state our goal:

$$f : A \rightarrow A$$
$$f = \{! \ !\}$$

Example 1 (Cont.)

● Step 3:

- We want to derive an element of function type $A \rightarrow A$.
- Elements of the function type $A \rightarrow A$ are introduced by using **λ -terms**.
- If introduced as a λ -term, the term in question will be of the form **$\lambda(a : A) \rightarrow \text{something}$** .
- So we insert into the goal $\lambda(a : A) \rightarrow \{! \ !\}$, use **agda-give** and obtain

$$\begin{aligned} f &: A \rightarrow A \\ f &= \lambda(a : A) \rightarrow \{! \ !\} \end{aligned}$$

(The precise Agda code uses \backslash instead of λ , and \rightarrow instead of \rightarrow).

Example 1 (Cont.)

● Step 4:

- In order for $\lambda(a : A) \rightarrow \{! \ !\}$ to be of type $A \rightarrow A$, $\{! \ !\}$ must be of **type A**.
- Then this λ -term computes an element of type A depending on some a of type A , which means it is a function of type $A \rightarrow A$.
- So the type of the goal is A .
- This can be inspected by using the goal menu **Goal type** which shows the type of the current goal.
 - Has to be executed while the cursor is inside one goal.
- It shows **A**.

Example 1 (Cont.)

● Step 4 (Cont.)

- We can inspect the context.
- The context contains as only element $a : A$.
 - Since we are defining a an element of type A depending on $a : A$, we can use a .

Example 1 (Cont.)

● Step 4 (Cont.)

- Now everything with result type A (i.e. which has at the right side of the arrow A) can be used in order to solve the goal.
- f would result in black-hole recursion.
- So we take a .
- We type in a into the goal and then use the command **Refine**
- We obtain:

$$\begin{aligned} f &: A \rightarrow A \\ &= \lambda(a : A) \rightarrow a \end{aligned}$$

and are done.

derivationsagdacode1.agda

Example 1, Using Rules

- In **Agda step 1** we postulated $A : \text{Set}$.
This corresponds to having the global assumption $A : \text{Set}$.
- In **Agda step 2** we stated our goal:

$$\begin{aligned} f &: A \rightarrow A \\ &= \{! \ !\} \end{aligned}$$

In terms of rules this means that we want to derive something of type $A \rightarrow A$.

We write for this something d_0 and get as conclusion of our derivation:

$$d_0 : A \rightarrow A$$

Example 1, Using Rules (Cont.)

- In **Agda step 3** we replaced $\{! \ !\}$ by $\lambda(a : A) \rightarrow \{! \ !\}$:

$$\begin{aligned} f &: A \rightarrow A \\ &= \lambda(a : A) \rightarrow \{! \ !\} \end{aligned}$$

In terms of rules this means that we replace d_0 by $\lambda a^A.d_1$ which is derived by an introduction rule

$$\frac{a : A \Rightarrow d_1 : A}{\lambda a^A.d_1 : A \rightarrow A} (\rightarrow \text{-I})$$

Example 1, Using Rules (Cont.)

- In **Agda step 4** we replaced $\{! \ !\}$ in $\lambda(a : A) \rightarrow \{! \ !\}$ by a :

$$f : A \rightarrow A$$

$$f = \lambda(a : A) \rightarrow a$$

In terms of rules this means that we replace d_1 by a .
 $a : A \Rightarrow a : A$ follows by an assumption rule:

$$\frac{a : A \Rightarrow a : A}{\lambda a^A. a : A \rightarrow A} (\rightarrow \text{-I})$$

- The assumption rule will be discussed later.
 - Essentially it allows to derive if $x : B$ occurs in the context that $x : B$ holds.

Example 2

- We consider a derivation of

$$\begin{aligned} & \lambda(a \rightarrow a \rightarrow a : (A \rightarrow A) \rightarrow A). a \rightarrow a \rightarrow a \ (\lambda(a : A) \rightarrow a) \\ & : ((A \rightarrow A) \rightarrow A) \rightarrow A \end{aligned}$$

(See example [exampleSampleDerivation2.agda](#)).

- **Step 1:**

- We postulate A :

postulate $A : \text{Set}$

- We state our goal:

$$\begin{aligned} & f : ((A \rightarrow A) \rightarrow A) \rightarrow A \\ & f = \{! \ !\} \end{aligned}$$

Example 2 (Cont.)

● Step 2:

- The type of the goal is a function type.
We therefore insert into the goal
 $\lambda(a-a-a : (A \rightarrow A) \rightarrow A) \rightarrow \{! \ !\}$, use goal
command **Refine** and obtain
- We obtain

$$f : ((A \rightarrow A) \rightarrow A) \rightarrow A$$

$$f = \lambda(a-a-a : (A \rightarrow A) \rightarrow A) \rightarrow \{! \ !\}$$

Example 2 (Cont.)

● Step 3:

- The type of the new goal is A , which is the result type of the function we are defining.
- The context contains $a-a-a : (A \rightarrow A) \rightarrow A$.
- We can as well use f (for recursive definitions) and A for solving the goal.
- $a-a-a$ is a function of result type A . Applying it to its argument would have as result an element of the type of the goal in question.

Example 2 (Cont.)

● Step 3 (Cont):

- Therefore we type into the goal $a-a-a$ and use goal command **Refine**.
- Agda will then apply $a-a-a$ to as many goals as needed in order to obtain an element of the desired type.
In our case it is one (of type $A \rightarrow A$).
- We obtain

$$f : ((A \rightarrow A) \rightarrow A) \rightarrow A$$

$$f = \lambda(a-a-a : (A \rightarrow A) \rightarrow A) \rightarrow a-a-a \{! \ !\}$$

Example 2 (Cont.)

● Step 4:

- The type of the new goal is $A \rightarrow A$.
- This is since $a-a-a : (A \rightarrow A) \rightarrow A$ needs to be applied to an element of type $A \rightarrow A$ in order to obtain an element of type A .
- An element of type $A \rightarrow A$ can be introduced by a λ -expression $\lambda(a : A) \rightarrow \{! \ !\}$.
- We type this into the goal and use **Refine** and obtain:

$$f : ((A \rightarrow A) \rightarrow A) \rightarrow A$$

$$f = \lambda(a-a-a : (A \rightarrow A) \rightarrow A) \rightarrow a-a-a (\lambda(a : A) \rightarrow \{! \ !\})$$

Example 2 (Cont.)

● Step 5

- The new goal has type A .
- The complete expression $\lambda(a : A) \rightarrow \{! \ !\}$ should have type $A \rightarrow A$, so $\{! \ !\}$ must have type A .
- The context contains $a - a - a$ and a ; we can use as well f , A .
- Both $a - a - a$ and a have the correct result type A .
- There is usually more than one solution for proceeding in Agda.
This means that we sometimes have to backtrack and try a different solution.

Example 2 (Cont.)

● Step 5 (Cont.)

- We try $a : A$. After inserting it and using **Refine** we obtain the following and are done.

$$f : ((A \rightarrow A) \rightarrow A) \rightarrow A$$

$$f = \lambda(a-a-a : (A \rightarrow A) \rightarrow A) \rightarrow a-a-a (\lambda(a : A) \rightarrow a)$$

Example 2, Using Rules

- Postulating $A : \text{Set}$ corresponds to that we make a global assumption $A : \text{Set}$.
- Stating the goal means that we have as last line of the derivation:

$$d_0 : ((A \rightarrow A) \rightarrow A) \rightarrow A$$

- We will in the following use aaa instead of $a-a-a$ in order to save space in derivations.

Example 2, Using Rules

- The next step in the Agda-derivation was to replace the goal by
 $\lambda(aaa : (A \rightarrow A) \rightarrow A) \rightarrow \{! \ !\}.$
- This corresponds to replacing d_0 by
 $\lambda(aaa : (A \rightarrow A) \rightarrow A).d_1$ and having as last step an introduction rule:

$$\frac{aaa : (A \rightarrow A) \rightarrow A \Rightarrow d_1 : A}{\lambda aaa((A \rightarrow A) \rightarrow A).d_1 : ((A \rightarrow A) \rightarrow A) \rightarrow A} (\rightarrow \text{-I})$$

Example 2, Using Rules

- The next step in the Agda-derivation used `refine`. `{! !}` was replaced by `aaa {! !}`.
- This corresponds to replacing d_1 by $aaa\ d_2$, and using one elimination rule in order to derive it:

$$\frac{\frac{aaa:(A \rightarrow A) \rightarrow A \Rightarrow aaa:(A \rightarrow A) \rightarrow A \quad aaa:(A \rightarrow A) \rightarrow A \Rightarrow d_2:A \rightarrow A}{\quad} (\rightarrow\text{-El})}{\frac{aaa:(A \rightarrow A) \rightarrow A \Rightarrow aaa\ d_2:A}{\quad} (\rightarrow\text{-I})} \lambda aaa^{(A \rightarrow A) \rightarrow A}.aaa\ d_2:((A \rightarrow A) \rightarrow A) \rightarrow A$$

- The left top judgement can be derived by an **assumption rule** (more about this later).

Example 2, Using Rules

- We then used intro on the goal which was then replaced by $\lambda(a : A) \rightarrow \{! \ !\}$.
- This corresponds to replacing d_2 by $\lambda a^A.d_3$ which can be introduced by an introduction rule:

$$\begin{array}{c}
 \frac{aaa:(A \rightarrow A) \rightarrow A \Rightarrow aaa:(A \rightarrow A) \rightarrow A \quad \frac{aaa:(A \rightarrow A) \rightarrow A, a:A \Rightarrow d_3:A}{aaa:(A \rightarrow A) \rightarrow A \Rightarrow \lambda a^A.d_3:A \rightarrow A} (\rightarrow\text{-I})}{aaa:(A \rightarrow A) \rightarrow A \Rightarrow \lambda a^A.d_3:A \rightarrow A} (\rightarrow\text{-El}) \\
 \frac{aaa:(A \rightarrow A) \rightarrow A \Rightarrow aaa (\lambda a^A.d_3):A}{(\lambda aaa^{(A \rightarrow A) \rightarrow A}.aaa) (\lambda a^A.d_3):((A \rightarrow A) \rightarrow A) \rightarrow A} (\rightarrow\text{-I})
 \end{array}$$

Example 2, Using Rules

- Finally we used refine with a , which replaced the goal by a .
- This corresponds to replacing d_3 by a .

$$\begin{array}{c}
 \frac{aaa:(A \rightarrow A) \rightarrow A \Rightarrow aaa:(A \rightarrow A) \rightarrow A \quad \frac{aaa:(A \rightarrow A) \rightarrow A, a:A \Rightarrow a:A}{aaa:(A \rightarrow A) \rightarrow A \Rightarrow \lambda a^A. a:A \rightarrow A} (\rightarrow\text{-I})}{aaa:(A \rightarrow A) \rightarrow A \Rightarrow \lambda a^A. a:A \rightarrow A} (\rightarrow\text{-El}) \\
 \frac{aaa:(A \rightarrow A) \rightarrow A \Rightarrow aaa (\lambda a^A. a):A}{(\lambda aaa^{(A \rightarrow A) \rightarrow A}. aaa) (\lambda a^A. a):((A \rightarrow A) \rightarrow A) \rightarrow A} (\rightarrow\text{-I})
 \end{array}$$

The right hand derivation can again be derived by an **assumption rule** (more about this later).

Example 3

- We derive an element of type

$$A \rightarrow B \rightarrow A \times B$$

(See [exampleProductIntro.agda](#)).

Example 3 (Cont.)

• Step 1:

- We postulate types A , B :

postulate $A : \text{Set}$

postulate $B : \text{Set}$

- We introduce the product type:

record $_ \times _ (A\ B : \text{Set}) : \text{Set}$ where

field

first : A

second : B

Example 3 (Cont.)

- **Step 2:**

- Our goal is:

$$f : A \rightarrow B \rightarrow A \times B$$

$$f = \{! \ !\}$$

Example 3 (Cont.)

● Step 3:

- An element of $A \rightarrow B \rightarrow A \times B$ will be of the form

$$\lambda(a : A) \rightarrow \lambda(b : B) \rightarrow \{! \ !\}$$

- We insert this into our goal and use **Refine** and obtain

$$\begin{aligned} f &: A \rightarrow B \rightarrow A \times B \\ f &= \lambda(a : A) \rightarrow \lambda(b : B) \rightarrow \{! \ !\} \end{aligned}$$

Example 3 (Cont.)

● Step 4:

- The new goal is of type $A \times B$ which is a record type. An element of it can be introduced by an introduction rule.
- Elements of type $A \times B$ introduced by the introduction principle will have the form

$$\begin{array}{lcl} \text{record } \{\text{first} & = & \{! \ !\}; \\ & & \text{second} = \{! \ !\} \end{array}$$

Example 3 (Cont.)

● Step 4 (Cont):

- We insert this into the goal and obtain:

$$\begin{aligned} f: A \rightarrow B \rightarrow A \times B \\ = \lambda(a : A) \rightarrow \lambda(b : B) \rightarrow \text{record } \{ \text{first} &= \{! \ !\}; \\ &\text{second} = \{! \ !\} \} \end{aligned}$$

Example 3 (Cont.)

● Step 5:

- The first goal has as context:
 - $a : A,$
 - $b : B$
- We could use as well
 - $A, B : \text{Set},$
 - $A \times B : \text{Set},$
 - $f : A \rightarrow B \rightarrow A \times B.$

Example 3 (Cont.)

● Step 5 (Cont)

- We insert a , use refine and solve the first goal:

$$f : A \rightarrow B \rightarrow A \times B$$

$$f = \lambda(a : A) \rightarrow \lambda(b : B) \rightarrow \text{record } \begin{cases} \text{first} & = a; \\ \text{second} & = \{! \ !\} \end{cases}$$

Example 3 (Cont.)

• Step 6:

- Similarly we can solve the second one:

$$f : A \rightarrow B \rightarrow A \times B$$

$$f = \lambda(a : A) \rightarrow \lambda(b : B) \rightarrow \text{record } \begin{cases} \text{first} & = a; \\ \text{second} & = b \end{cases}$$

Example 3, Using Rules

- $A \times B$ is formed as follows (assuming the global assumptions $A : \text{Set}$, $B : \text{Set}$):

$$\frac{A : \text{Set} \quad B : \text{Set}}{A \times B : \text{Set}} (\times\text{-F})$$

- We won't use this however, since it is required for the assumption rules only, the treatment of which will be delayed until later.

Example 3, Using Rules (Cont.)

- Stating the goal corresponds to having as last line of the derivation:

$$d_0 : A \rightarrow B \rightarrow (A \times B)$$

- Using λ -abstraction means that we replace d_0 by $\lambda a^A. \lambda b^B. d_1$ which is introduced by two introduction rules:

$$\frac{\frac{a : A, b : B \Rightarrow d_1 : A \times B}{a : A \Rightarrow \lambda b^B. d_1 : B \rightarrow (A \times B)} (\rightarrow \text{-I})}{\lambda a^A. \lambda b^B. d_1 : A \rightarrow B \rightarrow (A \times B)} (\rightarrow \text{-I})$$

Example 3, Using Rules (Cont.)

- The use of `record` is reflected by replacing d_1 by $\langle d_2, d_3 \rangle$, which can be introduced by an introduction rule:

$$\frac{a : A, b : B \Rightarrow d_2 : A \quad a : A, b : B \Rightarrow d_3 : B}{a : A, b : B \Rightarrow \langle d_2, d_3 \rangle : A \times B} (\times\text{-I})$$
$$\frac{a : A \Rightarrow \lambda b^B. \langle d_2, d_3 \rangle : B \rightarrow (A \times B)}{\lambda a^A. \lambda b^B. \langle d_2, d_3 \rangle : A \rightarrow B \rightarrow (A \times B)} (\rightarrow\text{-I})$$

Example 3, Using Rules (Cont.)

- Solving the goals by refining them with a, b means that we replace d_2 by b , d_3 by c :

$$\frac{\frac{\frac{a : A, b : B \Rightarrow a : A \quad a : A, b : B \Rightarrow b : B}{a : A, b : B \Rightarrow \langle a, b \rangle : A \times B} (\times\text{-I})}{a : A \Rightarrow \lambda b^B. \langle a, b \rangle : B \rightarrow (A \times B)} (\rightarrow\text{-I})}{\lambda a^A. \lambda b : B. \langle a, b \rangle : A \rightarrow B \rightarrow (A \times B)} (\rightarrow\text{-I})$$

- The premises require an assumption rule (which will use the derivation of $A \times B$), see later for details.

Example 4

- We derive an element of type

$$(A \rightarrow B \times C) \rightarrow A \rightarrow B$$

(See **exampleProductElim.agda**).

Example 4 (Cont.)

• Step 1:

- We postulate types A, B, C :

postulate $A : \text{Set}$

postulate $B : \text{Set}$

postulate $C : \text{Set}$

- The product is introduced as before:

record $_ \times _ (A\ B : \text{Set}) : \text{Set}$ where
field

first : A

second : B

Example 4 (Cont.)

- **Step 2:**

- Our goal is:

$$f : (A \rightarrow B \times C) \rightarrow A \rightarrow B$$
$$f = \{! \ !\}$$

Example 4 (Cont.)

● Step 3:

- We insert a λ -expression into the goal, **refine**, and obtain:

$$f : (A \rightarrow B \times C) \rightarrow A \rightarrow B$$

$$f = \lambda(a-bc : A \rightarrow B \times C) \rightarrow \lambda(a : A) \rightarrow \{! \ !\}$$

Example 4 (Cont.)

● Step 4:

- The context has no element with result type B .
- However, $a-bc$ has function type with result type $B \times C$, which can be projected to B .
- We introduce first an element of type $B \times C$ by a let-expression, and then derive from it the desired element of type B :

Example 4 (Cont.)

● Step 4 (Cont):

- We insert before the goal a let-expression and obtain:

$$\begin{aligned} f &: (A \rightarrow B \times C) \rightarrow A \rightarrow B \\ f &= \lambda(a-bc : A \rightarrow B \times C) \\ &\rightarrow \lambda(a : A) \\ &\rightarrow \text{let } bc : B \times C \\ &\quad bc = \{! \ !\} \\ &\quad \text{in } \{! \ !\} \end{aligned}$$

Example 4 (Cont.)

● Step 5:

- For solving the first goal (definition of bc) we can refine $a-bc$, which has as result type $B \times C$.

$$\begin{aligned} f &: (A \rightarrow B \times C) \rightarrow A \rightarrow B \\ f &= \lambda(a-bc : A \rightarrow B \times C) \\ &\rightarrow \lambda(a : A) \\ &\rightarrow \text{let } bc : B \times C \\ &\quad bc = a-bc \{! \ !\} \\ &\quad \text{in } \{! \ !\} \end{aligned}$$

Example 4 (Cont.)

● Step 6:

- The new goal can be solved by refining it with variable a :

$$\begin{aligned} f &: (A \rightarrow B \times C) \rightarrow A \rightarrow B \\ f &= \lambda(a-bc : A \rightarrow B \times C) \\ &\rightarrow \lambda(a : A) \\ &\rightarrow \text{let } bc : B \times C \\ &\quad bc = a-bc\ a \\ &\quad \text{in } \{! \ !\} \end{aligned}$$

Example 4 (Cont.)

● Step 7:

- The type of the new goal is B .
- We obtain from bc an element of this type, by applying the first projection to it.
- This projection is $_ \times _.first$.
- We obtain

$$f : (A \rightarrow B \times C) \rightarrow A \rightarrow B$$

$$f = \lambda(a-bc : A \rightarrow B \times C)$$

$$\rightarrow \lambda(a : A)$$

$$\rightarrow \text{let } bc : B \times C$$

$$bc = a-bc\ a$$

$$\text{in } _ \times _.first\ bc$$

Example 4 (Cont.)

- In our rule calculus we don't introduce a let construction (we could add this).
- In order to get close to the derivations, we omit in the Agda derivation the let expression, and replace in the body of it bc by its definition $(a-bc\ a)$.
- We get

$$\begin{aligned} f &: (A \rightarrow B \times C) \rightarrow A \rightarrow B \\ f &= \lambda(a-bc : A \rightarrow B \times C) \\ &\quad \rightarrow \lambda(a : A) \\ &\quad \rightarrow _ \times _.first\ (a-bc\ a) \end{aligned}$$

Example 4, Using Rules

- Using rules we make the global assumptions
 $A : \text{Set}, B : \text{Set}, C : \text{Set}.$
- Then we start with our goal

$$d_0 : (A \rightarrow (B \times C)) \rightarrow A \rightarrow B$$

Example 4, Using Rules (Cont.)

- The use of a λ -expression amounts to replacing d_0 by

$$\lambda a - bc^{A \rightarrow (B \times C)}. \lambda a^A. d_1$$

introduced by two applications of an introduction rule:

$$\frac{\frac{a - bc : A \rightarrow (B \times C), a : A \Rightarrow d_1 : A}{a - bc : A \rightarrow (B \times C) \Rightarrow \lambda a^A. d_1 : A \rightarrow B} (\rightarrow -I)}{\lambda a - bc^{A \rightarrow (B \times C)}. \lambda a^A. d_1 : (A \rightarrow (B \times C)) \rightarrow A \rightarrow B} (\rightarrow -I)$$

Example 4, Using Rules (Cont.)

- In Agda, we then replace the goal corresponding to d_1 by $_ \times _.first (a - bc\ a)$.
- In our rule calculus, this reads $\pi_0(a - bc\ a)$.
- This can be introduced by two applications of elimination rules:

$$\begin{array}{c}
 \frac{a - bc : A \rightarrow (B \times C), a : A \Rightarrow a - bc : A \rightarrow (B \times C) \quad a - bc : A \rightarrow (B \times C), a : A \Rightarrow a : A}{\quad} (\rightarrow\text{-I}) \\
 \frac{\quad}{\frac{a - bc : A \rightarrow (B \times C), a : A \Rightarrow a - bc\ a : B \times C}{\quad} (\times\text{-El})} (\rightarrow\text{-I}) \\
 \frac{\quad}{\frac{a - bc : A \rightarrow (B \times C), a : A \Rightarrow \pi_0(a - bc\ a) : B}{\quad} (\rightarrow\text{-I})} (\rightarrow\text{-I}) \\
 \frac{\quad}{\lambda a - bc^{A \rightarrow (B \times C)}. \lambda a^A. \pi_0(a - bc\ a) : (A \rightarrow (B \times C)) \rightarrow A \rightarrow B} (\rightarrow\text{-I})
 \end{array}$$

- The two initial judgements can be introduced by assumption rules.

(h) Presuppositions

- In order to derive $x : A, y : B \Rightarrow C : \text{Set}$ we need to show:
 - $A : \text{Set}.$
 - $x : A \Rightarrow B : \text{Set}$
- So the judgement

$$x : A, y : B \Rightarrow C : \text{Set}$$

implicitly contains the judgements

$$A : \text{Set} ,$$

$$x : A \Rightarrow B : \text{Set} .$$

Presuppositions (Cont.)

- $A : \text{Set}$ and $x : A \Rightarrow B : \text{Set}$ are presuppositions of the judgement

$$x : A, y : B \Rightarrow C : \text{Set} .$$

Presuppositions (Cont.)

- $A : \text{Set}$ and $B : \text{Set}$ are presuppositions of the judgement

$$A \rightarrow B : \text{Set} .$$

and of the judgement

$$A \times B : \text{Set} .$$

- The next slide shows the presuppositions of judgements.

Presuppositions

Judgement	Presuppositions
$\Gamma, x : A \Rightarrow \text{Context}$	$\Gamma \Rightarrow A : \text{Set}.$
$\Gamma \Rightarrow A : \text{Set}$	$\Gamma \Rightarrow \text{Context}$
$\Gamma \Rightarrow A = B : \text{Set}$	$\Gamma \Rightarrow A : \text{Set},$ $\Gamma \Rightarrow B : \text{Set}.$

Presuppositions

Judgement	Presuppositions
$\Gamma \Rightarrow a : A$	$\Gamma \Rightarrow A : \text{Set.}$
$\Gamma \Rightarrow a = b : A$	$\Gamma \Rightarrow a : A,$ $\Gamma \Rightarrow b : A.$

Presuppositions

Judgement	Presuppositions
$\Gamma \Rightarrow (x : A) \rightarrow B : \text{Set}$	$\Gamma, x : A \Rightarrow B : \text{Set}.$
$\Gamma \Rightarrow (x : A) \times B : \text{Set}$	$\Gamma, x : A \Rightarrow B : \text{Set}.$

Presuppositions

- Furthermore, **presuppositions of presuppositions** of

$$\Gamma \Rightarrow \theta$$

are as well presuppositions of

$$\Gamma \Rightarrow \theta \text{ .}$$

Example of Presuppositions

- $x : A, y : B \Rightarrow a = b : (z : C) \times D$ **presupposes:**
 - $\emptyset \Rightarrow \text{Context},$
 - $A : \text{Set},$
 - $x : A \Rightarrow \text{Context},$
 - $x : A \Rightarrow B : \text{Set},$
 - $x : A, y : B \Rightarrow \text{Context},$
 - $x : A, y : B \Rightarrow C : \text{Set},$
 - $x : A, y : B, z : C \Rightarrow \text{Context},$
 - $x : A, y : B, z : C \Rightarrow D : \text{Set},$
 - $x : A, y : B \Rightarrow (z : C) \times D : \text{Set},$
 - $x : A, y : B \Rightarrow a : (z : C) \times D,$
 - $x : A, y : B \Rightarrow b : (z : C) \times D.$

Remark on $A \rightarrow B$, $A \times B$

- Note that $A \rightarrow B$ is an **abbreviation** for $(x : A) \rightarrow B$ for some fresh x .
- Similarly $A \times B$ is an **abbreviation** for $(x : A) \times B$ for some fresh x .
- Therefore the presupposition of $A \rightarrow B : \text{Set}$ (which abbreviates $\emptyset \Rightarrow A \rightarrow B : \text{Set}$) are:
 - $\emptyset \Rightarrow \text{Context}$,
 - $A : \text{Set}$,
 - $x : A \Rightarrow \text{Context}$,
 - $x : A \Rightarrow B : \text{Set}$.

(i) The Full Logical Framework

- We would like to **add operations on types**, such as

$$\text{prod} : \text{Set} \rightarrow \text{Set} \rightarrow \text{Set}$$

which should take two sets and form the product of it.

- The problem is that for this we need

$$\text{Set} \rightarrow \text{Set} \rightarrow \text{Set} : \text{Set}$$

and our rules allow this only if we had

Set: Set

Set

● Adding

$\text{Set} : \text{Set}$

as a rule results however in an **inconsistent theory**:

- using this rule **we can prove everything**, especially false formulas.

The corresponding paradox is called **Girard's paradox**.

Jean-Yves Girard



Set (Cont.)

- Instead we introduce a **new level on top of Set called Type.**

- So besides judgements $A : \text{Set}$ we have as well judgements of the form

$A : \text{Type}$

- One rule will especially express

$\text{Set} : \text{Type}$

- Elements of Type are **types**, elements of Set are **small types**.

Set (Cont.)

- We add rules asserting that **if $A : \text{Set}$ then $A : \text{Type}$** .
- Further we add rules asserting that Type is closed under the dependent function type and product.
- Since $\text{Set} : \text{Type}$ we get therefore (by closure under the function type)

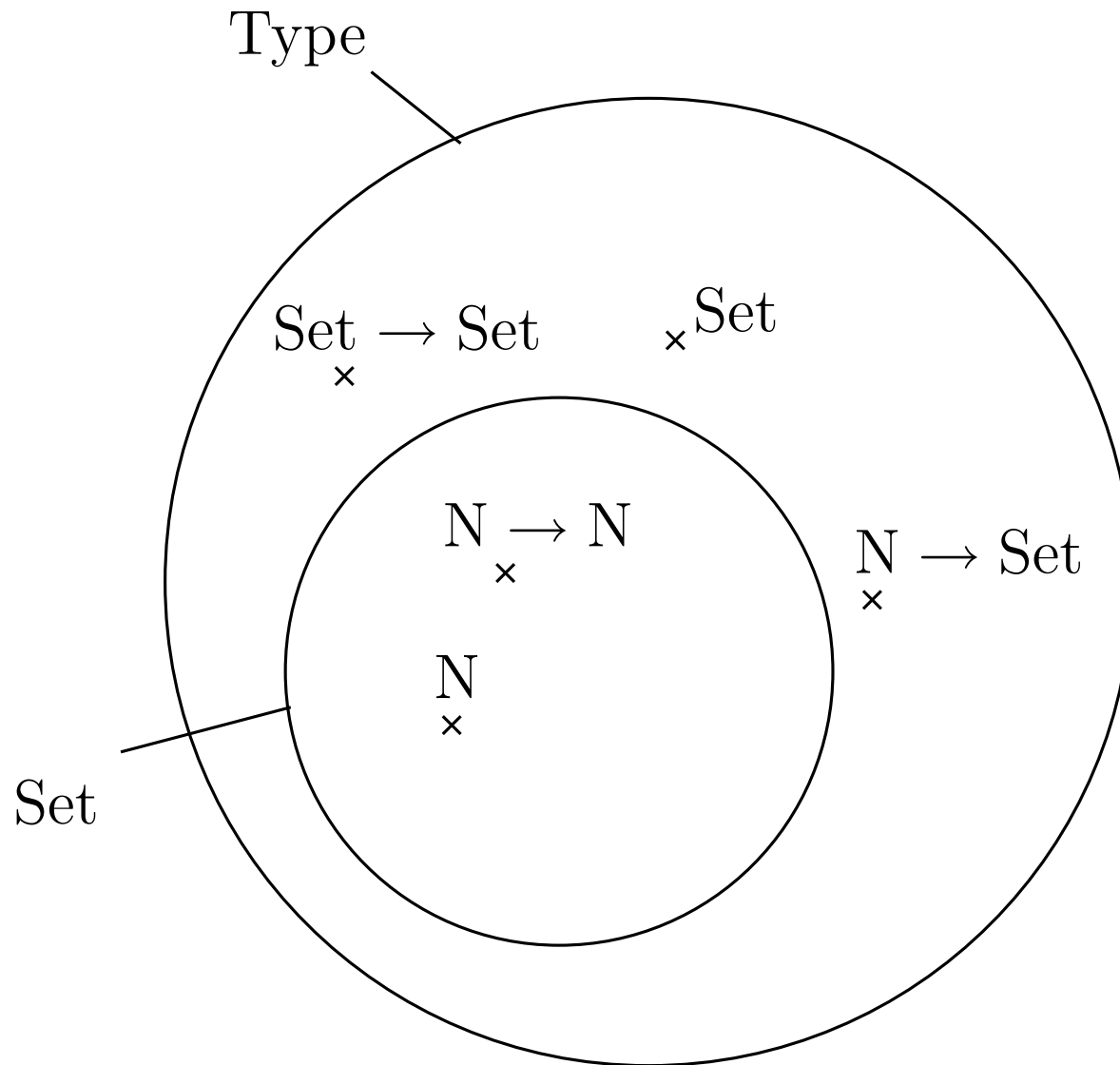
$$\text{Set} \rightarrow \text{Set} \rightarrow \text{Set} : \text{Type}$$

and we can **assign to prod above the type**

$$\text{prod} : \text{Set} \rightarrow \text{Set} \rightarrow \text{Set}$$

(The definition of prod will be given later.)

Set and Type



Set (Cont.)

- However, we **cannot use prod in order to form the product of two sets**, ie. we cannot introduce

$$\text{prod Set Set} : \text{Set} ,$$

since $\text{Set} : \text{Set}$ does not hold.

Rules for Set (as an El. of Type)

Formation Rule for Set

$\text{Set} : \text{Type} \quad (\text{SetIsType})$

Every Set is a Type

$$\frac{A : \text{Set}}{A : \text{Type}} \quad (\text{Set2Type})$$

Closure of Type

- Further we add rules stating that Type is closed under the dependent function type and the dependent product:

Closure of Type under the dependent product

$$\frac{A : \text{Type} \quad x : A \Rightarrow B : \text{Type}}{(x : A) \times B : \text{Type}} (\times\text{-F}^{\text{Type}})$$

Closure of Type under the dependent function type

$$\frac{A : \text{Type} \quad x : A \Rightarrow B : \text{Type}}{(x : A) \rightarrow B : \text{Type}} (\rightarrow\text{-F}^{\text{Type}})$$

Nondependent Case

- A special case of the above rule is the closure under the non-dependent function type and product.
This rule can be derived (e.g. from the premises one can derive using the other rules the conclusion).

Closure of Type under the non-dependent product

$$\frac{A : \text{Type} \quad B : \text{Type}}{A \times B : \text{Type}} (\times\text{-F}^{\text{Type}})$$

Closure of Type under the non-dependent function type

$$\frac{A : \text{Type} \quad B : \text{Type}}{A \rightarrow B : \text{Type}} (\rightarrow\text{-F}^{\text{Type}})$$

Equality Versions of the Rules

Formation Rule for Set

$$\text{Set} = \text{Set} : \text{Type} \quad (\text{SetIsType}^=)$$

Every Set is a Type

$$\frac{A = B : \text{Set}}{A = B : \text{Type}} \quad (\text{Set2Type}^=)$$

Equality Versions of the Rules

Closure of Type under the dependent product

$$\frac{A = A' : \text{Type} \quad x : A \Rightarrow B = B' : \text{Type}}{(x : A) \times B = (x : A') \times B' : \text{Type}} (\times\text{-F=,Type})$$

Closure of Type under the dependent function type

$$\frac{A = A' : \text{Type} \quad x : A \Rightarrow B = B' : \text{Type}}{(x : A) \rightarrow B = (x : A') \rightarrow B' : \text{Type}} (\rightarrow\text{-F=,Type})$$

Similarly for the non-dependent versions of the above.

Definition of prod

- Now $\text{Set} \rightarrow \text{Set} \rightarrow \text{Set} : \text{Type}$.

- And we can derive

$$\begin{aligned} \text{prod} &:= \lambda(X, Y : \text{Set}). X \times Y \\ &: \text{Set} \rightarrow \text{Set} \rightarrow \text{Set} \end{aligned}$$

- We jump over the details. [Jump over the details.](#)

Context Rules

- The types in the contexts, which were before only elements of Set , can now be as well elements of Type .
- Therefore we need an additional context rule

$$\frac{\Gamma \Rightarrow A : \text{Type}}{\Gamma, x : A \Rightarrow \text{Context}} (\text{Context}_1^{\text{Type}})$$

Example: prod

We can now introduce $\text{prod} : \text{Set} \rightarrow \text{Set} \rightarrow \text{Set}$:

First we derive $X : \text{Set}, Y : \text{Set} \Rightarrow X : \text{Set}$:

$$\frac{\frac{\frac{\text{Set} : \text{Type}}{X : \text{Set} \Rightarrow \text{Context}} (\text{Context}_1)}{X : \text{Set} \Rightarrow \text{Set} : \text{Type}} (\text{SetIsType})}{X : \text{Set}, Y : \text{Set} \Rightarrow \text{Context}} (\text{Context}_1) \\ \frac{}{X : \text{Set}, Y : \text{Set} \Rightarrow X : \text{Set}} (\text{Ass})$$

Similarly we derive $X : \text{Set}, Y : \text{Set} \Rightarrow Y : \text{Set}$.

Example: prod (Cont.)

Now we can derive our desired judgement:

$$\frac{\frac{\frac{X : \text{Set}, Y : \text{Set} \Rightarrow X : \text{Set} \quad X : \text{Set}, Y : \text{Set} \Rightarrow Y : \text{Set}}{X : \text{Set}, Y : \text{Set} \Rightarrow X \times Y : \text{Set}} (\times\text{-F})}{X : \text{Set} \Rightarrow \lambda Y^{\text{Set}}. X \times Y : \text{Set} \rightarrow \text{Set}} (\rightarrow\text{-I})$$
$$\frac{\lambda(X, Y : \text{Set}). X \times Y : \text{Set} \rightarrow \text{Set} \rightarrow \text{Set}}{\lambda(X, Y : \text{Set}). X \times Y : \text{Set} \rightarrow \text{Set} \rightarrow \text{Set}} (\rightarrow\text{-I})$$

and define

$$\begin{aligned} \text{prod} &:= \lambda(X, Y : \text{Set}). X \times Y \\ &: \text{Set} \rightarrow \text{Set} \rightarrow \text{Set} \end{aligned}$$

Set vs. Type in Agda

- In Agda Type will be written as Set1.
- Set can be written as well as Set0.
- In Agda, we don't have that if $A : \text{Set}$ then $A : \text{Set1}$.
 - Idea is that from A we can derive an (up to β -reduction) unique B s.t. $A : B$
- However we have in Agda.
 - Assume $A : \text{Set}$ or $A : \text{Set1}$.
 - Assume $x : A \Rightarrow B : \text{Set}$ or $x : A \Rightarrow B : \text{Set1}$.
 - Assume that we have at least one of $A : \text{Set1}$ or $x : A \Rightarrow B : \text{Set1}$.
 - Then $(x : A) \rightarrow B, (x : A) \times B : \text{Set1}$.
- So $(x : A) \rightarrow B$ and $(x : A) \times B$ belongs to the maximum type level of A and B .

Hierarchies of Types

- If one wants to form

$$\text{prod}' : \text{Type} \rightarrow \text{Type} \rightarrow \text{Type} ,$$

one needs to have a further level Kind above Type, s.t.

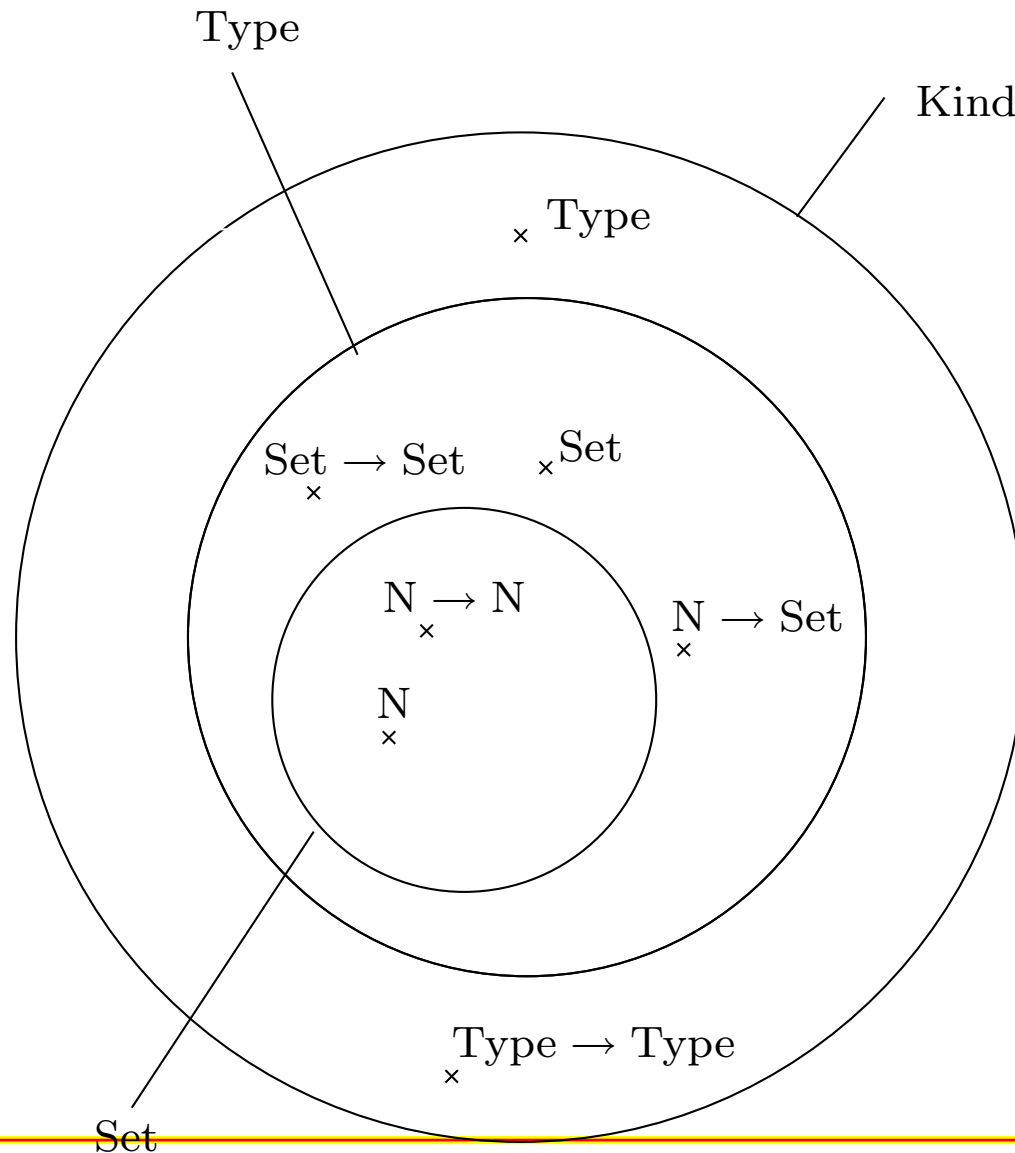
$$\text{Type} : \text{Kind} .$$

- Then

$$\text{Type} \rightarrow \text{Type} \rightarrow \text{Type} : \text{Kind} .$$

- In Agda Kind is written as Set2.

Hierarchy of Types (Set, Type, Kind)



Rules for Type as a Kind

Type is a Kind

$\text{Type} : \text{Kind}$

Every Type is a Kind

$$\frac{A : \text{Type}}{A : \text{Kind}} \text{ (Type2Kind)}$$

Closure of Kind

Closure of Kind under the dependent product

$$\frac{A : \text{Kind} \quad x : A \Rightarrow B : \text{Kind}}{(x : A) \times B : \text{Kind}} (\times\text{-F}^{\text{Kind}})$$

Closure of Kind under the dependent function type

$$\frac{A : \text{Kind} \quad x : A \Rightarrow B : \text{Kind}}{(x : A) \rightarrow B : \text{Kind}} (\rightarrow\text{-F}^{\text{Kind}})$$

Plus **equality versions** of the above rules.

Jump over Context Rule.

Context Rules

- Again, the context rules have to be expanded:

$$\frac{\Gamma \Rightarrow A : \text{Kind}}{\Gamma, x : A \Rightarrow \text{Context}} (\text{Context}_1^{\text{Kind}})$$

Definition of prod'

- Now we can define

$$\begin{aligned}\text{prod}' &:= \lambda(X, Y : \text{Type}). X \times Y \\ &: \text{Type} \rightarrow \text{Type} \rightarrow \text{Type}\end{aligned}$$

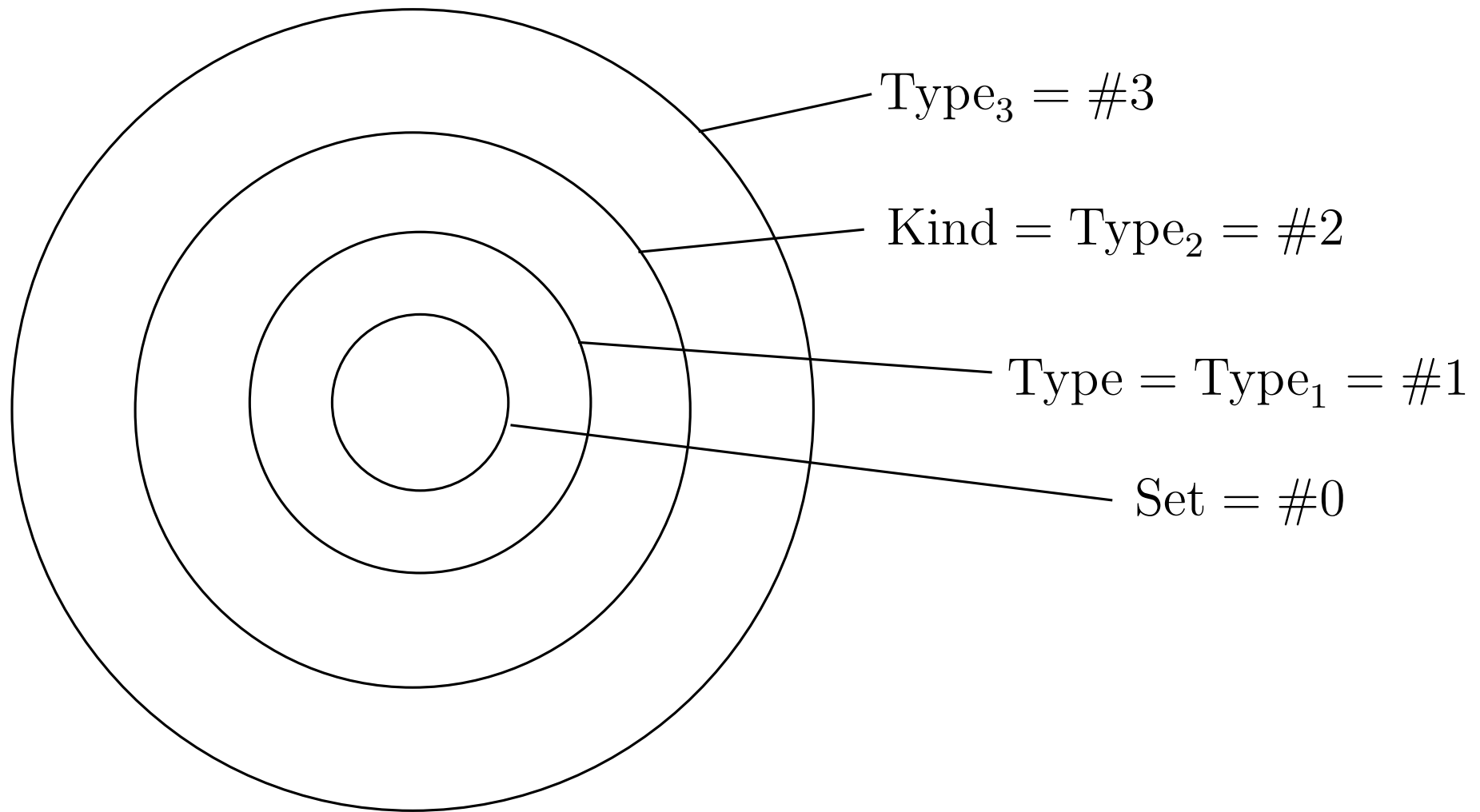
Hierarchies of Types (Cont.)

- This can be iterated further, forming
 $\text{Type} = \text{Type}_1, \text{Kind} = \text{Type}_2, \text{Type}_3, \text{Type}_4 \dots$
- So we have
 - $\text{Set} : \text{Type},$
 - $\text{Set} : \text{Type}_2, \text{Type} = \text{Type}_1 : \text{Type}_2,$
 - $\text{Set} : \text{Type}_3, \text{Type} = \text{Type}_1 : \text{Type}_3, \text{Type}_2 : \text{Type}_3,$
 - $\text{Set} : \text{Type}_4, \text{Type} = \text{Type}_1 : \text{Type}_4, \text{Type}_2 : \text{Type}_4,$
 $\text{Type}_3 : \text{Type}_4,$
 - etc.

Hierarchies of Types (Cont.)

- Agda has a hierarchy of types built in, written as `Set0` (which is `Set`), `Set1` (which is `Type`), `Set2` (in the rule calculus called `Kind`), `Set3` etc.
- Again we don't have for instance `Set : Set2`.
- But $(x : A) \rightarrow B$, $(x : A) \times B$ belong to the maximum type level of A and B .

Hierarchy of Types (Set0, Set1, Set2)



Changes To Presuppositions

- If we have the two type levels Set and Type , the presuppositions change.
- E.g. the presupposition of $\Gamma \Rightarrow a : A$ is no longer $A : \text{Set}$ but $A : \text{Type}$.
 - It might be that the derivation derives actually $A : \text{Set}$, but that implies $A : \text{Type}$.
 - But it might be that we can only derive $A : \text{Type}$.
- Therefore the presuppositions have to be changed as in the following table.

Presuppositions (with Set, Type)

Judgement	Presuppositions
$\Gamma, x : A \Rightarrow \text{Context}$	$\Gamma \Rightarrow A : \text{Type}.$
$\Gamma \Rightarrow A : \text{Set}$	$\Gamma \Rightarrow A : \text{Type}.$
$\Gamma \Rightarrow A : \text{Type}$	$\Gamma \Rightarrow \text{Context}.$

Presuppositions (with Set, Type)

Judgement	Presuppositions
$\Gamma \Rightarrow A = B : \text{Set}$	$\Gamma \Rightarrow A : \text{Set},$ $\Gamma \Rightarrow B : \text{Set},$ $\Gamma \Rightarrow A = B : \text{Type}.$
$\Gamma \Rightarrow A = B : \text{Type}$	$\Gamma \Rightarrow A : \text{Type},$ $\Gamma \Rightarrow B : \text{Type}.$
$\Gamma \Rightarrow a : A$	$\Gamma \Rightarrow A : \text{Type}.$

Presuppositions (with Set, Type)

Judgement	Presuppositions
$\Gamma \Rightarrow a = b : A$	$\Gamma \Rightarrow a : A,$ $\Gamma \Rightarrow b : A.$
$\Gamma \Rightarrow (x : A) \times B : \text{Set}$	$\Gamma \Rightarrow A : \text{Set},$ $\Gamma, x : A \Rightarrow B : \text{Set}.$
$\Gamma \Rightarrow (x : A) \times B : \text{Type}$	$\Gamma, x : A \Rightarrow B : \text{Type}.$

Presuppositions (with Set, Type)

Judgement	Presuppositions
$\Gamma \Rightarrow (x : A) \rightarrow B : \text{Set}$	$\Gamma \Rightarrow A : \text{Set},$ $\Gamma, x : A \Rightarrow B : \text{Set}.$
$\Gamma \Rightarrow (x : A) \rightarrow B : \text{Type}$	$\Gamma, x : A \Rightarrow B : \text{Type}.$

Changes To Presuppositions

- If we have more levels (Kind or Set i), then the presuppositions have to be changed again.
 - E.g., if we have levels Set, Type, Kind, the presupposition
 - of $\Gamma \Rightarrow A : \text{Set}$ is $\Gamma \Rightarrow A : \text{Type}$,
 - of $\Gamma \Rightarrow A : \text{Type}$ is $\Gamma \Rightarrow A : \text{Kind}$,
 - of $\Gamma \Rightarrow A : \text{Kind}$ is $\Gamma \Rightarrow \text{Context}$.