# softmax

### February 24, 2023

### 1 Softmax exercise

Complete and hand in this completed worksheet (including its outputs and any supporting code outside of the worksheet) with your assignment submission. For more details see the assignments page on the course website.

This exercise is analogous to the SVM exercise. You will:

- implement a fully-vectorized loss function for the Softmax classifier
- implement the fully-vectorized expression for its analytic gradient
- check your implementation with numerical gradient
- use a validation set to tune the learning rate and regularization strength
- optimize the loss function with SGD
- visualize the final learned weights

```
[2]: def get_CIFAR10_data(num_training=49000, num_validation=1000, num_test=1000, unum_dev=500):

"""

Load the CIFAR-10 dataset from disk and perform preprocessing to prepare it for the linear classifier. These are the same steps as we used for the SVM, but condensed to a single function.

"""

# Load the raw CIFAR-10 data
```

```
cifar10_dir = 'cs231n/datasets/cifar-10-batches-py'
  # Cleaning up variables to prevent loading data multiple times (which may !!
⇔cause memory issue)
  try:
     del X train, y train
     del X_test, y_test
     print('Clear previously loaded data.')
  except:
     pass
  X_train, y_train, X_test, y_test = load_CIFAR10(cifar10_dir)
  # subsample the data
  mask = list(range(num_training, num_training + num_validation))
  X_val = X_train[mask]
  y_val = y_train[mask]
  mask = list(range(num_training))
  X_train = X_train[mask]
  y_train = y_train[mask]
  mask = list(range(num test))
  X_test = X_test[mask]
  y_test = y_test[mask]
  mask = np.random.choice(num_training, num_dev, replace=False)
  X_dev = X_train[mask]
  y_dev = y_train[mask]
  # Preprocessing: reshape the image data into rows
  X_train = np.reshape(X_train, (X_train.shape[0], -1))
  X_val = np.reshape(X_val, (X_val.shape[0], -1))
  X_test = np.reshape(X_test, (X_test.shape[0], -1))
  X_dev = np.reshape(X_dev, (X_dev.shape[0], -1))
  # Normalize the data: subtract the mean image
  mean_image = np.mean(X_train, axis = 0)
  X_train -= mean_image
  X_val -= mean_image
  X_test -= mean_image
  X_dev -= mean_image
  # add bias dimension and transform into columns
  X_train = np.hstack([X_train, np.ones((X_train.shape[0], 1))])
  X_val = np.hstack([X_val, np.ones((X_val.shape[0], 1))])
  X_test = np.hstack([X_test, np.ones((X_test.shape[0], 1))])
  X_dev = np.hstack([X_dev, np.ones((X_dev.shape[0], 1))])
  return X_train, y_train, X_val, y_val, X_test, y_test, X_dev, y_dev
```

```
# Invoke the above function to get our data.
X_train, y_train, X_val, y_val, X_test, y_test, X_dev, y_dev =
Get_CIFAR10_data()
print('Train data shape: ', X_train.shape)
print('Train labels shape: ', y_train.shape)
print('Validation data shape: ', X_val.shape)
print('Validation labels shape: ', y_val.shape)
print('Test data shape: ', X_test.shape)
print('Test labels shape: ', y_test.shape)
print('dev data shape: ', X_dev.shape)
print('dev labels shape: ', y_dev.shape)
```

Train data shape: (49000, 3073)
Train labels shape: (49000,)
Validation data shape: (1000, 3073)
Validation labels shape: (1000,)
Test data shape: (1000, 3073)
Test labels shape: (1000,)
dev data shape: (500, 3073)
dev labels shape: (500,)

### 1.1 Softmax Classifier

Your code for this section will all be written inside cs231n/classifiers/softmax.py.

```
[3]: # First implement the naive softmax loss function with nested loops.

# Open the file cs231n/classifiers/softmax.py and implement the

# softmax_loss_naive function.

from cs231n.classifiers.softmax import softmax_loss_naive
import time

# Generate a random softmax weight matrix and use it to compute the loss.

W = np.random.randn(3073, 10) * 0.0001
loss, grad = softmax_loss_naive(W, X_dev, y_dev, 0.0)

# As a rough sanity check, our loss should be something close to -log(0.1).
print('loss: %f' % loss)
print('sanity check: %f' % (-np.log(0.1)))
```

loss: 2.370673

sanity check: 2.302585

#### Inline Question 1

Why do we expect our loss to be close to  $-\log(0.1)$ ? Explain briefly.\*\*

#### Your Answer:

Since the weight W is randomly initialized, then the probability  $p_i$  should be close to  $1/\{\text{number of class}\}=0.1$  for each class i. Thus, the value of loss function can be approximated as follows:

$$L = \frac{1}{n} \sum_{i=1}^{n} -\log p_{i} = -\log (\prod_{i=1}^{n} p_{i})^{\frac{1}{n}} \approx -\log ((0.1)^{n})^{(1/n)} = -log 0.1$$

```
[4]: # Complete the implementation of softmax_loss_naive and implement a (naive)
# version of the gradient that uses nested loops.
loss, grad = softmax_loss_naive(W, X_dev, y_dev, 0.0)

# As we did for the SVM, use numeric gradient checking as a debugging tool.
# The numeric gradient should be close to the analytic gradient.
from cs231n.gradient_check import grad_check_sparse
f = lambda w: softmax_loss_naive(w, X_dev, y_dev, 0.0)[0]
grad_numerical = grad_check_sparse(f, W, grad, 10)

# similar to SVM case, do another gradient check with regularization
loss, grad = softmax_loss_naive(W, X_dev, y_dev, 5e1)
f = lambda w: softmax_loss_naive(w, X_dev, y_dev, 5e1)[0]
grad_numerical = grad_check_sparse(f, W, grad, 10)
```

```
numerical: -1.549930 analytic: -1.549930, relative error: 1.647477e-08
numerical: 0.119256 analytic: 0.119256, relative error: 3.456103e-07
numerical: -0.219556 analytic: -0.219556, relative error: 8.991791e-08
numerical: 0.083568 analytic: 0.083568, relative error: 5.460927e-07
numerical: 1.443529 analytic: 1.443529, relative error: 8.874940e-09
numerical: 0.796921 analytic: 0.796921, relative error: 4.137497e-08
numerical: -4.262104 analytic: -4.262104, relative error: 4.176475e-09
numerical: -1.373423 analytic: -1.373423, relative error: 8.834602e-10
numerical: 0.489926 analytic: 0.489926, relative error: 2.588278e-09
numerical: 1.725037 analytic: 1.725037, relative error: 1.961117e-08
numerical: -0.927769 analytic: -0.927769, relative error: 1.667269e-08
numerical: -0.186378 analytic: -0.186378, relative error: 1.390873e-07
numerical: 1.496357 analytic: 1.496357, relative error: 5.722065e-08
numerical: 0.658420 analytic: 0.658420, relative error: 6.588213e-08
numerical: 0.621139 analytic: 0.621139, relative error: 7.778886e-08
numerical: 1.582019 analytic: 1.582019, relative error: 4.703156e-08
numerical: -0.340721 analytic: -0.340721, relative error: 1.002202e-07
numerical: 0.169129 analytic: 0.169129, relative error: 5.742597e-08
numerical: -0.260551 analytic: -0.260551, relative error: 2.454967e-07
```

numerical: 1.052860 analytic: 1.052860, relative error: 2.201441e-08

[5]: # Now that we have a naive implementation of the softmax loss function and its

→ gradient,

# implement a vectorized version in softmax\_loss\_vectorized.

```
# The two versions should compute the same results, but the vectorized version
 ⇔should be
# much faster.
tic = time.time()
loss_naive, grad_naive = softmax_loss_naive(W, X_dev, y_dev, 0.000005)
toc = time.time()
print('naive loss: %e computed in %fs' % (loss_naive, toc - tic))
from cs231n.classifiers.softmax import softmax_loss_vectorized
tic = time.time()
loss_vectorized, grad_vectorized = softmax_loss_vectorized(W, X_dev, y_dev, 0.
 →000005)
toc = time.time()
print('vectorized loss: %e computed in %fs' % (loss_vectorized, toc - tic))
# As we did for the SVM, we use the Frobenius norm to compare the two versions
# of the gradient.
grad_difference = np.linalg.norm(grad_naive - grad_vectorized, ord='fro')
print('Loss difference: %f' % np.abs(loss_naive - loss_vectorized))
print('Gradient difference: %f' % grad_difference)
naive loss: 2.370673e+00 computed in 0.037366s
vectorized loss: 2.370673e+00 computed in 0.005350s
```

Loss difference: 0.000000 Gradient difference: 0.000000

```
[6]: # Use the validation set to tune hyperparameters (regularization strength and
    # learning rate). You should experiment with different ranges for the learning
    # rates and regularization strengths; if you are careful you should be able to
    # get a classification accuracy of over 0.35 on the validation set.
    from cs231n.classifiers import Softmax
    results = {}
    best val = -1
    best softmax = None
    # TODO:
    # Use the validation set to set the learning rate and regularization strength. #
    # This should be identical to the validation that you did for the SVM; save
    # the best trained softmax classifer in best_softmax.
    # Provided as a reference. You may or may not want to change these
    \rightarrowhyperparameters
    learning rates = [1e-7, 5e-7]
    regularization_strengths = [2.5e4, 5e4]
```

```
# *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)*****
     for lr in learning_rates:
         for r in regularization_strengths:
             softmax = Softmax()
             loss_hist = softmax.train(X_train, y_train, learning_rate=lr, reg=r,
                           num_iters=1500, verbose=False)
             y train pred = softmax.predict(X train)
             y_val_pred = softmax.predict(X_val)
             train_accuracy = np.mean(y_train == y_train_pred)
             val_accuracy = np.mean(y_val == y_val_pred)
             results[(lr, r)] = (train_accuracy, val_accuracy)
             if val_accuracy > best_val:
                 best_val = val_accuracy
                 best_softmax = softmax
     # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
     # Print out results.
     for lr, reg in sorted(results):
         train_accuracy, val_accuracy = results[(lr, reg)]
         print('lr %e reg %e train accuracy: %f val accuracy: %f' % (
                     lr, reg, train_accuracy, val_accuracy))
     print('best validation accuracy achieved during cross-validation: %f' %11
      ⇒best_val)
    lr 1.000000e-07 reg 2.500000e+04 train accuracy: 0.328388 val accuracy: 0.340000
    lr 1.000000e-07 reg 5.000000e+04 train accuracy: 0.303184 val accuracy: 0.318000
    lr 5.000000e-07 reg 2.500000e+04 train accuracy: 0.326327 val accuracy: 0.331000
    lr 5.000000e-07 reg 5.000000e+04 train accuracy: 0.298510 val accuracy: 0.311000
    best validation accuracy achieved during cross-validation: 0.340000
[7]: # evaluate on test set
     # Evaluate the best softmax on test set
     y_test_pred = best_softmax.predict(X_test)
     test_accuracy = np.mean(y_test == y_test_pred)
     print('softmax on raw pixels final test set accuracy: %f' % (test_accuracy, ))
```

softmax on raw pixels final test set accuracy: 0.344000

### Inline Question 2 - True or False

Suppose the overall training loss is defined as the sum of the per-datapoint loss over all training examples. It is possible to add a new datapoint to a training set that would leave the SVM loss unchanged, but this is not the case with the Softmax classifier loss.

#### Your Answer:

Yes, it's possible.

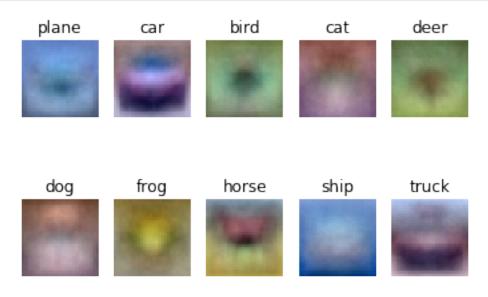
## Your Explanation:

Given that the loss function of SVM is as follows:

$$L = \frac{1}{n}\sum_{i=1}^n\sum_{j\neq y_i}\max(0,1-(w_j-w_{y_i})x_i)$$

if the new added point  $x_i$  has the property that  $(w_j - w_{y_i})x_i < 1$  for all j then the loss value will not change

However, for softmax, the loss function takes every training points into consideration. Thus, the value will change if we add new point  $x_i$  into training set.



[8]: