

# SCC.121: ALGORITHMS AND COMPLEXITY

## Big-O Notation

---

Emma Wilson [e.d.wilson1@lancaster.ac.uk](mailto:e.d.wilson1@lancaster.ac.uk)

# Today's Lecture

---

**Aim:** To introduce big-O notation

## Learning objectives:

- To know how to calculate the complexity of example algorithms in big-O notation
- To be able to calculate the complexity of algorithms using big-O notation without operation counting (more next lecture)

# Outline

---

- Formal definition of big-O
- Big-O notation in general

# Outline

---

- **Formal definition of big-O**
- Big-O notation in general

# Recap: Growth rate of functions

---

Listed from slowest to fastest growth:

- $1 \rightarrow$  Constant growth
- $\log n \rightarrow$  Logarithmic growth
- $n^c \rightarrow$  where  $0 < c < 1$
- $n \rightarrow$  Linear growth
- $n \log n$
- $n^2 \rightarrow$  Quadratic growth
- $n^2 \log n$
- $n^3 \rightarrow$  Cubic growth
- $n^c \rightarrow$  Polynomial growth ( $c$  is a constant number)
- $2^n \rightarrow$  Exponential growth
- $3^n \rightarrow$  Exponential growth
- $c^n \rightarrow$  Exponential growth ( $c$  is a constant number)
- $n! \rightarrow$  Factorial growth

# The Big-O Notation

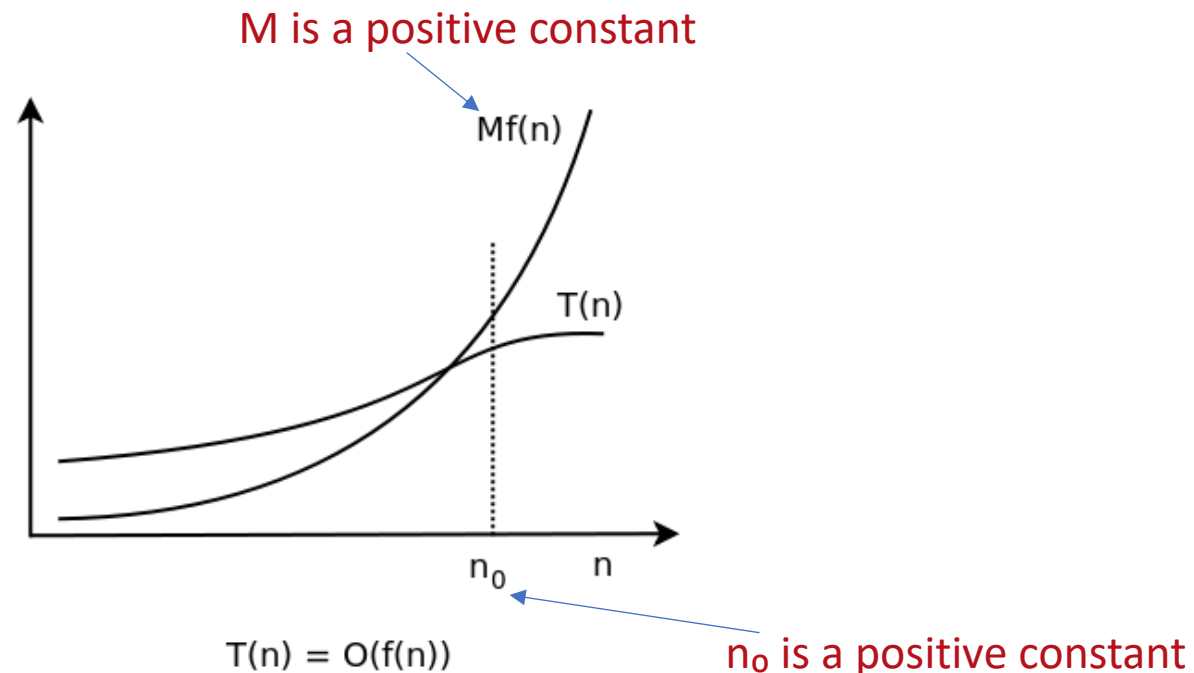
---

The growth of functions is usually described using the **big-O notation**

- **The formal mathematical definition of Big O:**
  - Let  $T(n)$  and  $f(n)$  be two positive functions from the integers or the real numbers to the real numbers
  - We write  $T(n) \in O(f(n))$ , and say that  $T(n)$  has order of  $f(n)$ , if there are positive constants  $M$  and  $n_0$  such that
    - $T(n) \leq M \times f(n)$  for all  $n \geq n_0$

# The Big-O Notation

This graph shows a situation where all of the conditions in the definition are met



- $T(n)$  is  $O(f(n))$  if even as  $n$  becomes arbitrarily large,  $T(n)$ 's growth is bounded from **above** by  $f(n)$ , meaning it grows no faster than  $f(n)$

# The Big-O Notation

---

The idea behind the big-O notation is to establish an **upper boundary** for the growth of the function  **$T(n)$**  for large  **$n$**

This boundary is specified by the function  **$f(n)$**  that is usually much **simpler** than  **$T(n)$**

- For example,  $f(n) = 1, f(n) = \log_2 n, f(n) = n, f(n) = n \log_2 n, f(n) = n^2, f(n) = n^3, \dots, f(n) = 2^n, f(n) = 3^n, \dots, f(n) = n!$



# The Big-O Notation Example#1

---

## Example#1:

- $T(n) = 3n + 4$
- $f(n) = n$
- Show that  $T(n)$  is  $O(f(n))$  which means  $T(n)$  is  $O(n)$

We need to find an  $M$  and  $n_0$  such that

$$T(n) \leq M \times f(n) \text{ for all } n \geq n_0$$

# The Big-O Notation

## Example#1

---

### Example#1:

- $T(n) = 3n + 4$
- $f(n) = n$
- Show that  $T(n)$  is  $O(f(n))$  which means  $T(n)$  is  $O(n)$
- We need to find an  $M$  and  $n_0$  such that:  $T(n) \leq M \times n$  for all  $n \geq n_0$

### Solution:

- For  $n \geq 1$  we have:  $T(n) = 3n + 4 \leq 3n + 4n$
- So,  $T(n) = 3n + 4 \leq 7n$
- Therefore, for  $M=7$  and  $n_0=1 \rightarrow T(n) \leq 7n$  for all  $n \geq 1$
- $T(n) \in O(n)$

# The Big-O Notation

## Example#2

---

### Example#2:

- $T(n) = n^2 + 2n + 1$
- $f(n) = n^2$
- Show that  $T(n)$  is  $O(f(n))$  which means  $T(n)$  is  $O(n^2)$

We need to find an  $M$  and  $n_0$  such that

$$T(n) \leq M \times f(n) \text{ for all } n \geq n_0$$

# The Big-O Notation

## Example#2

---

- **Example#2:**

- $T(n) = n^2 + 2n + 1$
- $f(n) = n^2$
- Show that  $T(n)$  is  $O(f(n))$  which means  $T(n)$  is  $O(n^2)$
- We need to find an  $M$  and  $n_0$  such that:  $T(n) \leq M \times n^2$  for all  $n \geq n_0$

- **Solution:**

- For  $n \geq 1$  we have:  $T(n) = n^2 + 2n + 1 \leq n^2 + 2n^2 + n^2$
- So,  $T(n) = n^2 + 2n + 1 \leq 4n^2$
- Therefore, for  $M=4$  and  $n_0=1 \rightarrow T(n) \leq 4 \times n^2$  for all  $n \geq 1$
- $T(n) \in O(n^2)$

# The Big-O Notation

## Example#3

### Example#3:

- $T(n) = 3 \log_2 n + 3$
- $f(n) = \log_2 n$
- Show that  $T(n)$  is  $O(f(n))$  which means  $T(n)$  is  $O(\log_2 n)$
- We need to find an **M** and  **$n_0$**  such that:  $T(n) \leq \mathbf{M} \times \log_2 n$  for all  $n \geq \mathbf{n_0}$

### Solution:

- For  $n \geq 2$  we have:  $T(n) = 3 \log_2 n + 3 \leq 3 \log_2 n + 3 \log_2 n$
- So,  $T(n) = 3 \log_2 n + 3 \leq 6 \log_2 n$
- Therefore, for  **$M=6$**  and  **$n_0=2$**   $\rightarrow T(n) \leq 6 \log_2 n$  for all  $n \geq \mathbf{2}$
- $T(n) \in O(\log_2 n)$

# The Big-O Notation

## Example#3

---

We can follow as similar approach to also show that  $\log_2 n$  is  $O(\log_b n)$  : lab exercise

- So, in the **Example#3:**
- $T(n) = 3 \log_2 n + 3$
- $f(n) = \log_2 n$
- We can say  $T(n)$  is  $O(\log_b n)$  or in general  **$O(\log n)$**

# The Big-O Notation

## Example#4

---

### Example#4:

- $T(n) = 30$
- $f(n) = 1$
- Show that  $T(n)$  is  $O(f(n))$  which means  $T(n)$  is  $O(1)$
- We need to find an  $M$  and  $n_0$  such that:  $T(n) \leq M$  for all  $n \geq n_0$

### Solution:

- We have:  $T(n) = 30 \leq 31$
- So,  $T(n) = 30 \leq 31 \times 1$
- Therefore, for  $M=31 \rightarrow T(n) \leq 31 f(n)$  for all  $n$
- $T(n) \in O(1)$

# Outline

---

- Formal definition of big-O
- Big-O notation in general



# Outline

---

- Formal definition of big-O
- **Big-O notation in general**

# The big O notation in general

Constant <  $\log n$  <  $n^c$  ( $0 < c < 1$ ) <  $n$  <  $n \log n$  <  $n^2$  <  $n^3$  ... <  $2^n$  <  $3^n$  < ... <  $n!$

- $T(N) = C_1 \times N + C_0$   $O(N)$

- $T(N) = C_2 \times N^2 + C_1 \times N + C_0$   $O(N^2)$

- $T(N) = C_3 \times N^3 + C_2 \times N^2 + C_1 \times N + C_0$   $O(N^3)$

- $T(N) = C_k \times N^k + C_{k-1} \times N^{k-1} + \dots + C_1 \times N + C_0$   $O(N^k)$

More examples:

- $T(N) = C_2 \times N + C_1 \log N + C_0 \rightarrow O(N)$   $N$  is dominant term

- $T(N) = C_2 \times N^{1000} + C_1 2^N + C_0 \rightarrow O(2^N)$   $2^N$  is dominant term

# Big-O: Example Notation

---

- Constant :  **$O(1)$**
- Logarithmic:  **$O(\log n)$**
- Linear:  **$O(n)$**
- Quadratic  **$O(n^2)$**
- Polynomial (c a constant number):  **$O(n^c)$**
- Exponential (c a constant number):  **$O(c^n)$**
- Factorial:  **$O(n!)$**

# The Growth of Functions Questions

---

- Which function grows faster?
  - $T_1(n) = 1000n^2$
  - $T_2(n) = n \log n + 5000n$
- Which function grows faster?
  - $T_1(n) = 1000 \times 2^n$
  - $T_2(n) = n!$
- Which function grows faster?
  - $T_1(n) = n^{0.1}$
  - $T_2(n) = \log n + 10$

slido




## Growth of functions

ⓘ Start presenting to display the poll results on this slide.

# The Growth of Functions

Constant  $< \log n < n^c$  (where  $0 < c < 1$ )  $< n < n \log n < n^2 < n^3 \dots < 2^n < 3^n < \dots < n!$

- Which function grows faster?

–  $T_1(n) = 1000n^2 \rightarrow O(n^2)$  


–  $T_2(n) = n \log n + 5000n \rightarrow O(n \log n)$

- Which function grows faster?

–  $T_1(n) = 1000 \times 2^n \rightarrow O(2^n)$

–  $T_2(n) = n! \rightarrow O(n!)$  

- Which function grows faster?

–  $T_1(n) = n^{0.1} \rightarrow O(n^{0.1})$  

–  $T_2(n) = \log n + 10 \rightarrow O(\log n)$

# The Big-O notation

## General Question

---

- If  $T(n)$  is  $O(n^2)$ , is it also  $O(n^3)$ ?



If  $T(n)$  is  $O(n^2)$ , is it also  $O(n^3)$ ?



# The Big-O Notation Note

**Question:** If  $T(n)$  is  $O(n^2)$ , is it also  $O(n^3)$ ?

**Yes.** Why?

- $T(n)$  is  $O(n^2)$  means  $T(n) \leq M \times n^2$  for all  $n \geq n_0$
- We now need to prove  $T(n)$  is  $O(n^3)$  which means  $T(n) \leq M \times n^3$  for all  $n \geq n_0$
- Since  $n^3$  grows faster than  $n^2 \rightarrow n^2 \leq n^3$  for  $n \geq 1$
- Thus  $T(n) \leq M \times n^2 \leq M \times n^3 \rightarrow T(n)$  is  $O(n^3)$

In practice, we always use **smallest** simple function  $f(n)$  for which  $T(n)$  is  $O(f(n))$

# The Growth of Functions (Questions)

- Which function grows faster?
  - $T_1(n) = 1000n^2 \rightarrow O(n^2), O(n^3), O(n^4), \dots, O(2^n), \dots, O(n!)$
  - $T_2(n) = n \log n + 5000n \rightarrow O(n \log n), O(n^2), \dots, O(2^n), \dots, O(n!)$
- Which function grows faster?
  - $T_1(n) = 10002^n \rightarrow O(2^n), O(3^n), \dots, O(n!)$
  - $T_2(n) = n! \rightarrow O(n!), O(n^n)$
- Which function grows faster?
  - $T_1(n) = n^{0.1} \rightarrow O(n^{0.1}), O(n^2), O(n^3), O(n^4), \dots, O(2^n), \dots, O(n!)$
  - $T_2(n) = \log n + 10 \rightarrow O(\log n), O(n), \dots, O(2^n), \dots, O(n!)$

The **smallest** simple functions are shown in **RED**

# Dominant Terms: More examples

Given the processing time  $T(n)$  spent by an algorithm for solving a problem of size  $n$

- Find the dominant term(s) and specify the **lowest** Big-O complexity

Expression	Dominant term(s)	$O(\dots)$
$5 + 0.001n^3 + 0.025n$	$0.001n^3$	$O(n^3)$
$500n + 100n^{1.5} + 50n \log_{10} n$	$100n^{1.5}$	$O(n^{1.5})$
$0.3n + 5n^{1.5} + 2.5n^{1.75}$	$2.5n^{1.75}$	$O(n^{1.75})$
$n^2 \log_2 n + n(\log_2 n)^2$	$n^2 \log_2 n$	$O(n^2 \log n)$
$0.01n \log_2 n + n(\log_2 n)^2$	$n(\log_2 n)^2$	$O(n(\log n)^2)$
$\log_2 n + \log_2 \log_2 n$	$\log_2 n$	$O(\log n)$

constant  $< \log n < n^c$  (where  $0 < c < 1$ )  $< n < n \log n < n^2$   
 $< n^3 \dots < 2^n < 3^n < \dots < n!$

# Single loops with $O(1)$ instructions

## Loop running constant times: $O(1)$

- Loop runs constant times, performing  $O(1)$  operations at each iteration
- Time complexity =  $c * O(1) = O(1)$

```
// c is a constant
for (int i = 0; i <= c; i++) {
    //O(1) instructions
}
```

## Loop incrementing/ decrementing by constant $c$ : $O(n)$

- Loop runs  $n/c$  times, performing  $O(1)$  operations at each iteration
- Time complexity =  $1/c * O(n) * O(1) = O(n)$

```
// c is a constant
for (int i = 0; i <= n; i+=c)
{
    //O(1) instructions
}
```

## Loop divided/ multiplied by constant $c$ : $O(\log n)$

- Loop runs  $\log_c(n)$  times, performing  $O(1)$  operations at each iteration
- Time complexity =  $\log_c(n) * O(1) = O(\log n)$

```
// c is a constant
for (int i = 1; i <= n; i*=c)
{
    //O(1) instructions
}
```

# Single loops with $O(f(n))$ instructions

## Loop running constant times:

- Loop runs constant times, performing  $O(1)$  operations at each iteration
- Time complexity =  $c * O(f(n)) = \mathbf{O(f(n))}$

```
// c is a constant
for (int i = 0; i <= c; i++) {
    //O(f(n)) instructions
}
```

## Loop incrementing/ decrementing by some constant c:

- Loop runs  $n/c$  times, performing  $O(f(n))$  operations at each iteration
- Time complexity =  $1/c * O(n) * O(f(n)) = \mathbf{O(n*f(n))}$

```
// c is a constant
for (int i = 0; i <= n; i+=c)
{
    //O(f(n)) instructions
}
```

## Loop divided/ multiplied by some constant c:

- Loop runs  $\log_c(n)$  times, performing  $O(f(n))$  operations at each iteration
- Time complexity =  $\log_c(n) * O(f(n)) = \mathbf{O(\log n*f(n))}$

```
// c is a constant
for (int i = 1; i <= n; i*=c)
{
    //O(f(n)) instructions
}
```

# Nested Loops

- Complexity of nested loops equal to the number of times innermost statement executed\*complexity of statement
- Complexity of inner loop\*complexity of outer loop
- Care needed if loops are not independent

```
for (int i = 0; i < n; i = i+1 )\\
{
    for (int j = 0; j < n; j = j + 1)
    {
        \\some O(f(n)) expressions
    }
}
```

Example: Inner loop runs n times for every iteration of outer loop

- Total number of nested loop iterations:  
 $O(n) * O(n) = O(n^2)$
- At each iteration nested loop doing an  $O(f(n))$  operation
- Overall time complexity =  $O(f(n)) * O(n^2)$   
**=  $O(n^2 * f(n))$**

# Care with general rules – check code!

---

```
// c is a constant
for (int i = 0; i <= n; i*=c)
{
    //O(f(n)) instructions
}
```

- Rules are simple, but **care** needed!

```
// c is a constant
for (int i = n; i > -1; i/=2) {
    //O(f(n)) instructions
}
```

# Summary

---

**Today's lecture:** looked at using big O notation

- The growth of functions is usually described using the big-O notation
  - Can calculate big-O from the term that grows the fastest (dominant term) in  $T(n)$
  - In practice, we always use the ***smallest*** simple function  $f(n)$  for which  $T(n)$  is  $O(f(n))$
- 
- **Next:** big-O examples and big-omega and big-theta.