

SCC.121 ALGORITHMS AND COMPLEXITY

Operation Counting

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Today's Lecture

Aim: Introduce some important cases for time complexity, considering operation counts.

Learning objectives:

- To be able to use logarithmic functions for algorithms with logarithmic time complexity
- To be able to perform operation counting for a given algorithm
- To know what the key different types of time complexity based on operation counting are

Today's Lecture

- Examples of operation counting and $T(N)$
 - Case 1: Constant $T(N) = \text{Constant}$
 - Case 2: Linear $T(N) = C_1 \times N + C_2$
 - Case 3: Logarithmic $C_1 \times \log_2 N + C_2$
 - Case 4: Quadratic $T(N) = C_1 \times N^2 + C_2 \times N + C_3$

Today's Lecture

- Examples of operation counting and $T(N)$
 - **Case 1: Constant $T(N) = \text{Constant}$**
 - Case 2: Linear **$T(N) = C_1 \times N + C_2$**
 - Case 3: Logarithmic **$C_1 \times \log_2 N + C_2$**
 - Case 4: Quadratic **$T(N) = C_1 \times N^2 + C_2 \times N + C_3$**

Case 1: Averaging Function

```
double avg5(int theArray[])
{
    int total = 0;
    for (int i=0; i<5; i++)
        total += theArray[i];

    double avg = ((double) total) / 5.0;
    return avg;
}
```

How many operations does this piece of code execute (assume theArray has at least 5 values)?

Case 1: Operation Counting

```
double avg5(int theArray[])
{
    int total = 0; o1
    for (int i=0; i<5; i++) o2 o3 o4
        total += theArray[i]; o5
    double avg = ((double) total) / 5.0; o6
    return avg; o7
}
```

Operations **o3, o4, o5**
executed every time around
for loop

Case 1: Operation Counting

-
- How many times each operation is executed?

	o3	o5	o4
all cases	6	5	5

- Which are the best and worst cases?
 - **Best case:** $6+5+5$
 - **Worst case:** $6+5+5$
- Which will be the average case?
 - **Average Case:** $6+5+5$

Case1: Working out T(N)

```
double avg5(int theArray[])
{
    int total = 0; o1 → 1
        o2 → 1 o3 → 6 o4 → 5
    for (int i=0; i<5; i++)

        total += theArray[i]; o5 → 5

    double avg = ((double) total) / 5.0; o6 → 1

    return avg; o7 → 1
}
```

What is the overall program time?

- $T(N) = 1+1+6+5+5+1+1 = 20$

Case1: $T(N)$ is Constant

-
- What is the overall program time?
 - **Best Case:** $T(N) = 20$
 - **$T(N) = \text{Constant}$**
 - **Worst Case:** $T(N) = 20$
 - **$T(N) = \text{Constant}$**
 - The time taken by this program is **independent** of the size of the input:
 - N is the size of array which can be more than 5, but since we only care about the first 5 values, increasing N does not change the time taken
 - Case 1 is a bit contrived (and we assumed that 'theArray' has at least 5 values)!

Today's Lecture

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 - **Case 2: Linear $T(N) = C_1 \times N + C_2$**
 - Case 3: Logarithmic **$C_1 \times \log_2 N + C_2$**
 - Case 4: Quadratic **$T(N) = C_1 \times N^2 + C_2 \times N + C_3$**

Case 2: Minimum Function

```
int findMin(int theArray[], int N)
{

    int smallest_i = 0; //Assume smallest value at index 0

    for (int i=1; i<N; i++)

        if (theArray[i] < theArray[smallest_i])

            smallest_i = i;

    return smallest_i;
}
```

- How many operations does this piece of code execute?

Case 2: Operation Counting

```
int findMin(int theArray[], int N)
{

    int smallest_i = 0; //Assume smallest value at index 0 o1
    for (int i=1; i<N; i++)
    {
        if (theArray[i] < theArray[smallest_i]) o5
        {
            smallest_i = i; o6
        }
    }
    return smallest_i; o7
}
```

- Operations o3, o4, o5 executed every time around for loop
- Operation o6 executed if o5 is true

Case 2: Operation Counting

- How many times is each operation executed?

	o3	o4	o5	o6
Best case	N	N-1	N-1	0
Worst case	N	N-1	N-1	N-1

```
      o2  o3  o4  
for (int i=1; i<N; i++)  
  
    if (theArray[i] < theArray[smallest_i]) o5  
  
        smallest_i = i; o6
```

Case 2: Working out T(N) Best Case

- What is the overall program time (Best case)?
 - **$T(N) = 1+1+N+(N-1)+(N-1)+0+1=3N+1$**

```
int findMin(int theArray[], int N)
{

    int smallest_i = 0; //Assume smallest value at index 0 o1 → 1
    o2 → 1 o3 → N o4 → N-1
    for (int i=1; i<N; i++)

        if (theArray[i] < theArray[smallest_i]) o5 → N-1

            smallest_i = i; o6 → 0

    return smallest_i; o7 → 1
}
```

Case 2: Working out T(N) Worst Case

- What is the overall program time (Worst case)?
 - $T(N) = 1+1+N+(N-1)+(N-1)+(N-1)+1=4N$

```
int findMin(int theArray[], int N)
{

    int smallest_i = 0; //Assume smallest value at index 0 o1 → 1
o2 → 1 o3 → N o4 → N-1
    for (int i=1; i<N; i++)

        if (theArray[i] < theArray[smallest_i]) o5 → N-1

            smallest_i = i; o6 → N-1

    return smallest_i; o7 → 1
}
```

Case 2: $T(N)$ is Linear

- What is the overall program time?
 - **Best Case:** $T(N) = 3N+1$
 - $T(N) = C_1 \times N + C_2$
 - $C_1 = 3$ and $C_2 = 1$ are constant
 - **Worst Case:** $T(N) = 4N$
 - $T(N) = C_1 \times N + C_2$
 - $C_1 = 4$ and $C_2 = 0$ are constant
- If we plot this, we get a line with slope C_1
- The time taken by this program is directly proportional to the size of the input 'N'
 - doubling N (approximately) doubles the time taken
 - tripling N (approximately) triples the time taken

Today's Lecture

- Examples of operation counting and $T(N)$
 - Case 1: Constant $T(N) = \text{Constant}$
 - Case 2: Linear $T(N) = C_1 \times N + C_2$
 - **Case 3: Logarithmic** $C_1 \times \log_2 N + C_2$
 - Case 4: Quadratic $T(N) = C_1 \times N^2 + C_2 \times N + C_3$

Logarithmic Functions

- Logarithmic Functions are the inverse of Exponential Functions
- We know $2^3 = 2 \times 2 \times 2 = 8$
- What power do we have to raise 2 by to get 8? $2^x = 8$
- Can express by using logarithms! $x = \log_2(8) = 3$
- $2^3 = 8$ is equivalent to $\log_2(8) = 3$

Exponential Form		Logarithmic Form
$2^4 = 16$	\iff	$\log_2(16) = 4$
$10^2 = 100$	\iff	$\log_{10}(100) = 2$
$4^3 = 64$	\iff	$\log_4(64) = 3$

Logarithmic Functions

In general

- $b^c = a$ is equivalent to $\log_b(a) = c$
for $a > 0$ and $b > 0$ and $b \neq 1$
- Both equations describe the same relationship between a , b , and c
 - b is the base
 - c is the exponent
 - a is the argument

Case 3: Function

```
int logBaseTwoN(int N)
{
    int count = 0;

    while (N > 1) {
        count++;

        N = N/2;
    }
    return count;
}
```

- How many operations does this piece of code execute?

Case 3: Operation Counting

```
int logBaseTwoN(int N)
{
    int count = 0; o1

    while (N > 1) { o2

        count++; o3

        N = N/2; o4

    }
    return count; o5
}
```

- Operations **o2**, **o3**, **o4** executed every time around *while* loop

Case 3: Operation Counting

- How many times each operation is executed?
 - Try different N
 - $N=2^1 = 2$
 - $N=2^2 = 4$
 - $N=2^3 = 8$
 - $N=2^4 = 16$
 - $N=2^5 = 32$
 - ...

```
int logBaseTwoN(int N)
{
    int count = 0;    o1

    while (N > 1) {    o2
        count++;      o3

        N = N/2;      o4
    }
    return count;     o5
}
```

Case 3: Operation Counting

- How many times each operation is executed?
 - Try $N=2^1 = 2$

```
int logBaseTwoN(int N)
{
    int count = 0;

    while (N > 1) {
        count++;
        N = N/2;
    }
    return count;
}
```

$o2 \rightarrow 2$

$o3 \rightarrow 1$

$o4 \rightarrow 1$

Case 3: Operation Counting

- How many times each operation is executed?
 - Try $N=2^2 = 4$

```
int logBaseTwoN(int N)
{
    int count = 0;

    while (N > 1) {
        count++;
        N = N/2;
    }
    return count;
}
```

o2 → 3

o3 → 2

o4 → 2

Case 3: Operation Counting

- How many times each operation is executed?
 - Try $N=2^3 = 8$

```
int logBaseTwoN(int N)
{
    int count = 0;

    while (N > 1) {
        count++;
        N = N/2;
    }
    return count;
}
```

o2 → 4

o3 → 3

o4 → 3

Case 3: Operation Counting

- How many times each operation is executed?
 - Try $N=2^4 = 16$

```
int logBaseTwoN(int N)
{
    int count = 0;

    while (N > 1) {
        count++;
        N = N/2;
    }
    return count;
}
```

o2 → 5

o3 → 4

o4 → 4

Case 3: Operation Counting

- How many times each operation is executed?

$b^c = a$ is equivalent to $\log_b a = c$ for $a > 0$ and $b > 0$ and $b \neq 1$

	Instruction	o2	o3	o4		Instruction	o2	o3	o4
$x=1$	$N=2^1 = 2$	2	1	1		$N=2^1 = 2$	$\log_2 2^1 + 1$	$\log_2 2^1$	$\log_2 2^1$
$x=2$	$N=2^2 = 4$	3	2	2		$N=2^2 = 4$	$\log_2 2^2 + 1$	$\log_2 2^2$	$\log_2 2^2$
$x=3$	$N=2^3 = 8$	4	3	3		$N=2^3 = 8$	$\log_2 2^3 + 1$	$\log_2 2^3$	$\log_2 2^3$
$x=4$	$N=2^4 = 16$	5	4	4		$N=2^4 = 16$	$\log_2 2^4 + 1$	$\log_2 2^4$	$\log_2 2^4$
$x=5$	$N=2^5 = 32$	6	5	5		$N=2^5 = 32$	$\log_2 2^5 + 1$	$\log_2 2^5$	$\log_2 2^5$
$x=6$	$N=2^6 = 64$	7	6	6		$N=2^6 = 64$	$\log_2 2^6 + 1$	$\log_2 2^6$	$\log_2 2^6$
	$N=2^x$	$x + 1$	x	x			$\log_2 N + 1$	$\log_2 N$	$\log_2 N$
	$\log_2(N) = x$								

Case 3: Operation Counting

- How many times each operation is executed?

	o2	o3	o4
all cases	$\log_2 N + 1$	$\log_2 N$	$\log_2 N$

- Which are the best and worst cases (for o2,o3, o4)?
 - **Best case:** $(\log_2 N + 1) + \log_2 N + \log_2 N = 3 \log_2 N + 1$
 - **Worst case:** $3 \log_2 N + 1$
 - **Average case:** $3 \log_2 N + 1$

Case 3: Working out $T(N)$

- What is the overall program time?
 - $T(N) = 1 + (3 \log_2 N + 1) + 1 = 3 \log_2 N + 3$

```
int logBaseTwoN(int N)
{
    int count = 0;  o1 → 1

    while (N > 1) { o2 → log2 N + 1

        count++; o3 → log2 N

        N = N/2; o4 → log2 N

    }
    return count; o5 → 1
}
```

Case 3: $T(N)$ is Logarithmic

-
- What is the overall program time?
 - **Best Case:** $T(N) = 3 \log_2 N + 3$
 - $T(N) = C_1 \times \log_2 N + C_2$
 - $C_1 = 3$ and $C_2 = 3$ are constant
 - **Worst Case:** $T(N) = 3 \log_2 N + 3$
 - $T(N) = C_1 \times \log_2 N + C_2$
 - $C_1 = 3$ and $C_2 = 3$ are constant
 - The time taken by this program is logarithmically proportional to the size of the input
 - Our code/function '`logBaseTwoN()`' is accurate for N that is 2^x such that x is a natural number. It is for demonstration purposes only.

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 - **Case 4: Quadratic $T(N) = C_1 \times N^2 + C_2 \times N + C_3$**

Case 4: Function

```
void quadratic(int N)
{
    int count = 0;

    for (int i=0; i<N; i++)
        for (int j=0; j<N; j++)
            count++;
}
```

- How many operations does this piece of code execute?

Case 4: Operation Counting

```
void quadratic(int N)
{
    int count = 0; o1
    for (int i=0; i<N; i++) o2 o3 o4
    {
        for (int j=0; j<N; j++) o5 o6 o7
        {
            count++; o8
        }
    }
}
```

- Let's start with the **inner** *for* loop

Case 4: Operation Counting

```
void quadratic(int N)
{
    int count = 0; o1
    for (int i=0; i<N; i++) o2 o3 o4
    {
        for (int j=0; j<N; j++) o5 o6 o7
        {
            count++; o8
        }
    }
}
```

- Operations **o6**, **o7**, **o8** executed every time around the **innermost** *for* loop

Case 4: Operation Counting the inner *for* loop

- How many times each operation is executed?

	o5	o6	o8	o7
All cases	1	N+1	N	N

- Which are the best and worst cases?
 - **Best case:** $3N+2$
 - **Worst case:** $3N+2$

Case 4: Operation Counting

```
void quadratic(int N)
{
    int count = 0; o1
    for (int i=0; i<N; i++) o2 o3 o4
    {
        // ...
    }
}
```

**o' which has
3N+2 instructions**

- Let's continue with the **outer** *for* loop

Case 4: Operation Counting

```
void quadratic(int N)
{
    int count = 0;

    for (int i=0; i<N; i++)
    {
        o' which has
        3N+2 instructions
    }
}
```

- Operations **o3**, **o4**, **o'** executed every time around the **outer** *for* loop

Case 4: Operation Counting the outer *for* loop

-
- How many times each operation is executed?

	O^3	O'	O^4
all cases	$N+1$	N	N

- Which are the best and worst cases?
 - Best case:** $(N+1) + N \times (3N+2) + N = 3N^2 + 4N + 1$
 - Worst case:** $3N^2 + 4N + 1$

Case 4: Working out $T(N)$

- What is the overall program time?
 - $T(N) = 1+1+(N+1)+N+N(3N+2) = \dots$
 - $T(N) = 3N^2+4N+3$

O^3 O' O^4
 $N+1$ N N

```
void quadratic(int N)
{
    int count = 0;  $O^1 \rightarrow 1$ 
     $O^2 \rightarrow 1$   $O^3 \rightarrow N+1$   $O^4 \rightarrow N$ 
    for (int i=0; i<N; i++)
```

O' which has
 $3N+2$ instructions

$\rightarrow N$

Case 4: $T(N)$ is Quadratic

-
- What is the overall program time?
 - **Best Case:** $T(N) = 3N^2 + 4N + 3$
 - $T(N) = C_1 \times N^2 + C_2 \times N + C_3$
 - $C_1 = 3$ and $C_2 = 4$ and $C_3 = 3$ are constant
 - **Worst Case:** $T(N) = 3N^2 + 4N + 3$
 - $T(N) = C_1 \times N^2 + C_2 \times N + C_3$
 - $C_1 = 3$ and $C_2 = 4$ and $C_3 = 3$ are constant
 - The time taken by this program is quadratic with respect to input size N
 - because the highest order term is N^2

Question

How many operations does this piece of code execute?

```
void cubic(int N)
{
    int count = 0;
    for (int i=0; i<N; i++)
        for (int j=0; j<N; j++)
            for (int k=0; k<N; k++)
                count++;
}
```



How many operations does this piece of code execute?

Solution

How many operations does this piece of code execute?

```
void cubic(int N)
{
    int count = 0; o1
    for (int i=0; i<N; i++) o2 o3 o4
        for (int j=0; j<N; j++) o5 o6 o7
            for (int k=0; k<N; k++) o8 o9 o10
                count++; o11
}
```

Start with the inner loop

```
o8 for (int k=0; k<N; k++) o9
count++; o10 o11
```

$o8=1, o9=N+1, o10=N, o11=N$

$o' = 3N + 2$

Solution

How many operations does this piece of code execute?

```
void cubic(int N)
{
    int count = 0; o1
    for (int o2i=0; o3i<N; o4i++)
        for (int o5j=0; o6j<N; o7j++)
            

$o' = 3N + 2$


}
```

Now consider the middle loop

```
o5for (o6int j=0; o7j<N; j++)
    o12o';
```

- $o5=1, o6=N+1, o7=N$
- o' has $3N+2$ operations and is executed N times
- $o12=N(2+3N)$
- $o''=1+N+1+N+2N+3N^2$
- $o''=3N^2+4N+2$

Solution

How many operations does this piece of code execute?

```
void cubic(int N)
{
    int count = 0; o1
    for (int i=0; i<N; i++) o2
    {
        o3
        o4
    }
}
```

$$o'' = 3N^2 + 4N + 2$$

Finally consider the outer loop

```
for (o2int i=0; o3i<N; o4i++)
    o13
```

- $o2=1, o3=N+1, o4=N$
- o'' has $3N^2 + 4N + 2$ operations and is executed N times
- $o13 = N(3N^2 + 4N + 2)$
- $o''' = 1 + N + 1 + N + 3N^3 + 4N^2 + 2N$
- $o''' = 3N^3 + 4N^2 + 4N + 2$
- Overall: add $o1$ to o''' giving

$$3N^3 + 4N^2 + 4N + 3$$

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Summary

Today's lecture: Introduce some important cases for time complexity, considering operation counts.

- **Next Lecture:** Linear search: Best, worst and **average case**