

SCC.121: Fundamentals of Computer Science

Sorting, Trees and Graphs

Algorithmic Paradigms: Dynamic Programming

Today's Lecture

Aim:

- Introduce the concept of:
 - Exhaustive search
 - Backtracking
 - Problem substructure
- Describe the dynamic programming approach.

Algorithmic paradigms

Generic framework that underlies a class of algorithms:

- Recursion
- Divide and conquer
- (Sweep-line algorithms)
- Greedy algorithms

- **Exhaustive search,**
- **Backtracking,**
- **Dynamic programming**

Computational problems

- Problem:
 - Domain of inputs In
 - Integers,
 - Reals,
 - Binary strings,
 - Black and White Image (2D matrix of 0s and 1s),

Computational problems

- Problem:
 - Domain of inputs In
 - Domain of outputs Out
 - Integers,
 - Reals,
 - Binary strings,
 - Classification: $\{cat, dog\}$

Computational problems

- Problem:
 - Domain of inputs In
 - Domain of outputs Out
 - For each input $x \in In$, you have a set of valid outputs: $valid(x)$
 - Sorting: x is a binary string and $valid(x)$ is the set that contains the sorted version of x

Computational problems

- Problem:
 - Domain of inputs In
 - Domain of outputs Out
 - For each input $x \in In$, you have a set of valid outputs: $valid(x)$
- Some algorithm A solves a problem P if for a given input $x \in In$ of size n , $A(x)$ gives one of the valid outputs for x , or in other words, $A(x) \in valid(x)$.
- Most algorithms you've seen until now compute $A(x)$ in a clever way.
- Because time and memory are important resources

Algorithmic Paradigm: Exhaustive Search

But in fact, one way to solve problems is to use “brute-force”:

- This algorithmic paradigm is sometimes called **brute-force search**, sometimes called **exhaustive search**, and **generate and test**.
- What does solving a problem by “brute-force” entail?
 - Given an input x ,
 - Test for all possible output y , one by one, whether y is a valid output i.e., whether $y \in \text{valid}(x)$
- Another way to look at it:
 - Possible outputs = **candidate solutions**
 - Try all candidate solutions

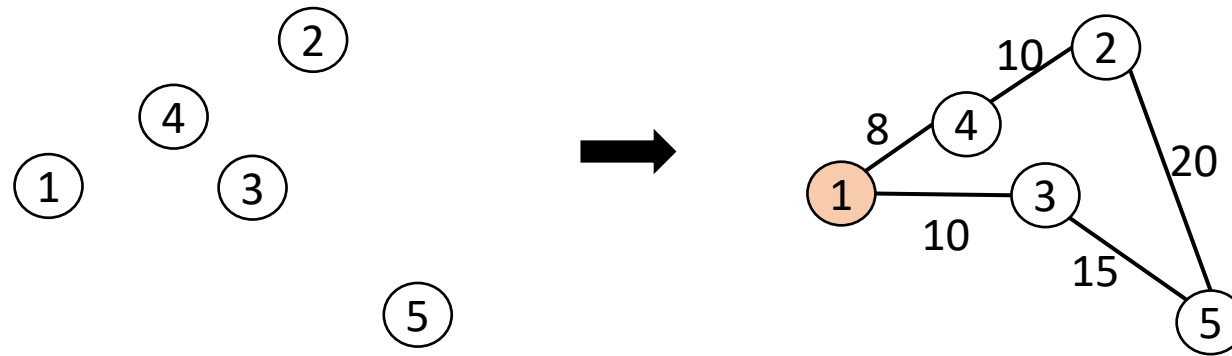
Exhaustive Search: Example 1

- Greatest common divisor of a and b :
 - $\text{Gcd}(2,4) = 2$
 - $\text{Gcd}(5,7) = 1$
 - $\text{Gcd}(32,48) = 16$
- Brute-force:
 - For all integers in $1, \dots, \min\{a, b\}$, check if they divide a and b ,
 - Keep the greatest such integer.

Exhaustive Search: Example 2

- (Euclidean) Travelling Salesman Problem:

Given n nodes, distances between each pair and an origin city (node), compute smallest route starting at the origin city, going through all other city exactly once and coming back.



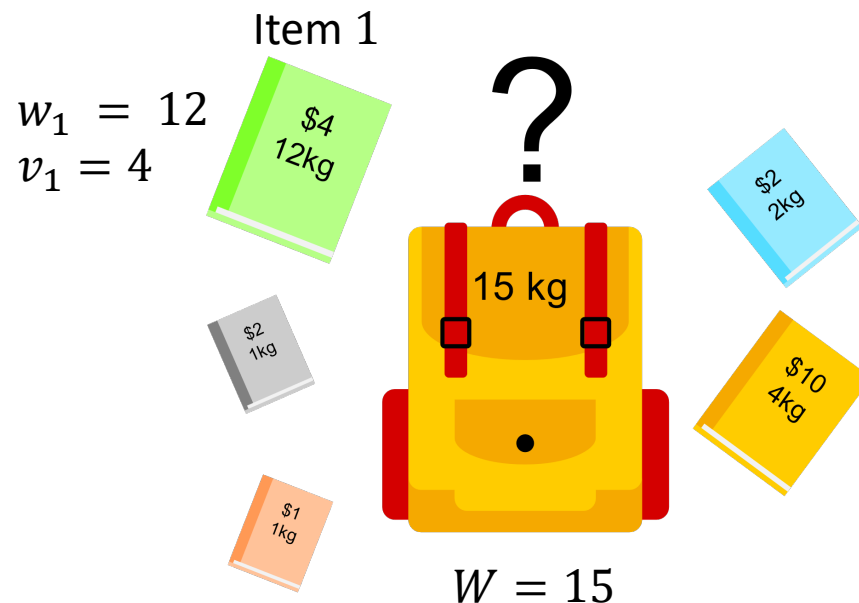
- Brute-force:

For all possible orderings of the other $n - 1$ nodes for the route, compute the route's distance and keep the route with smallest total distance.

- Time complexity: $O((n - 1)!)$ possible routes (candidates)

Exhaustive Search: Example 3

- Knapsack: Given a set of items numbered 1 to n , such that item i has weight w_i and value v_i , and a total weight W , determine which items to put in the collection so that their total weight is $< W$ and their total value is as large as possible.



Exhaustive Search: Example 3

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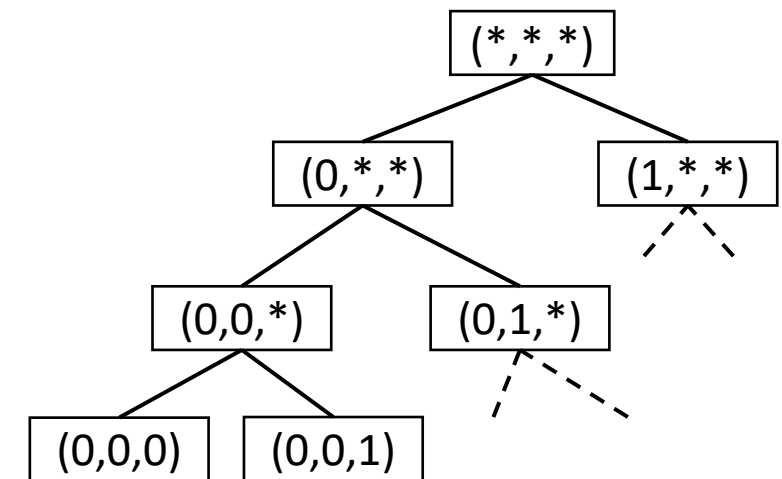


- Brute-force:
For all subsets of these n items, compute the total weight and total value and keep the subset with largest total value, as long as its total weight is smaller than W .
- Time complexity: $O(2^n)$ possible subsets (candidates)

Candidate Space

- For a given problem, consider the set of all candidates:
 - That is a **candidate space**
 - Exhaustive search iterates through all candidates one by one and checks whether they are valid solutions.
 - Another possibility is to consider a **potential search tree** over the candidate space:
 - Tree root represents the candidate space (or also a generic candidate),
 - Its children represent disjoint subsets of the candidate space, obtained by **extending** the generic candidate,
 - And so on, until the leaves that represent the candidates are reached.

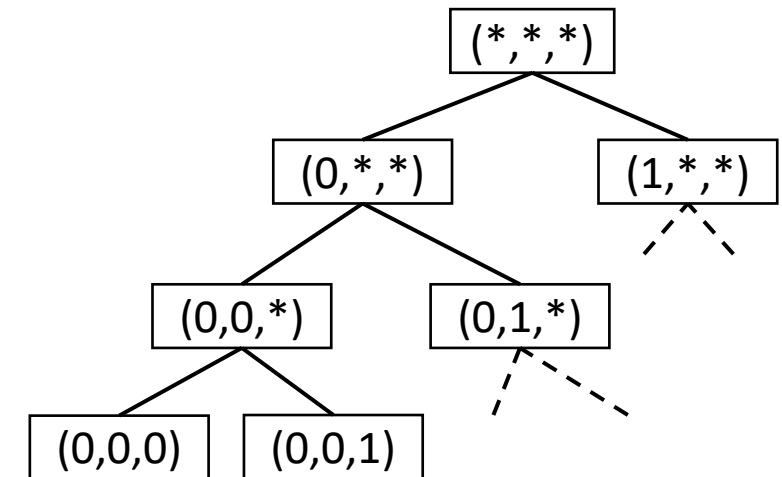
Search tree for Gcd(4,6):
captures all possible
solutions (binary
encoded)



Algorithmic Paradigm: Backtracking

- Given a potential search tree approach:
 - Internal nodes allow to test multiple candidates at once, based on their common part,
 - There are many different ways to use this capability to test multiple candidates at once.
- In particular, you can use a depth-first search on this tree, and whenever you reach a (internal or leaf) node representing invalid candidates, you stop traversing this branch.

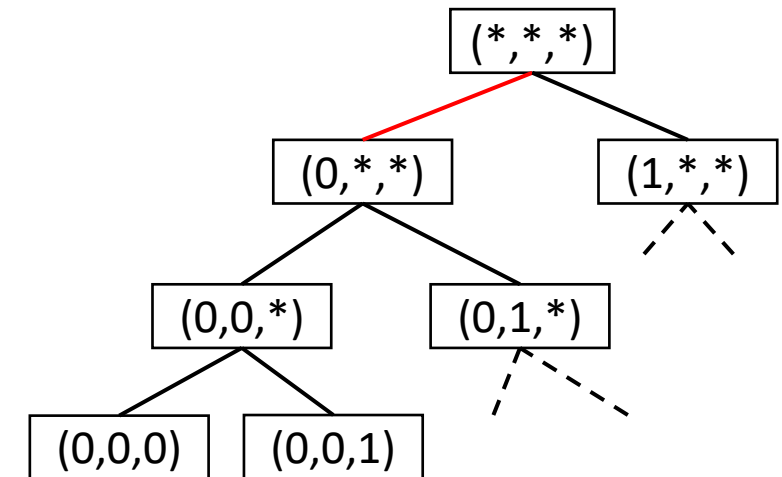
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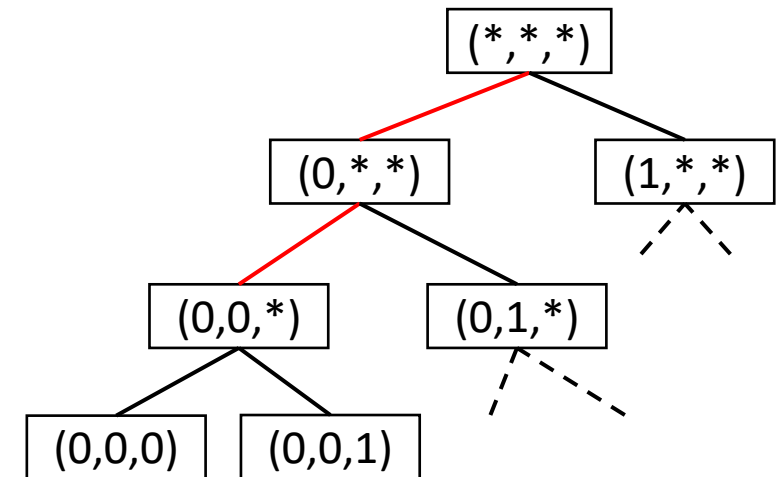
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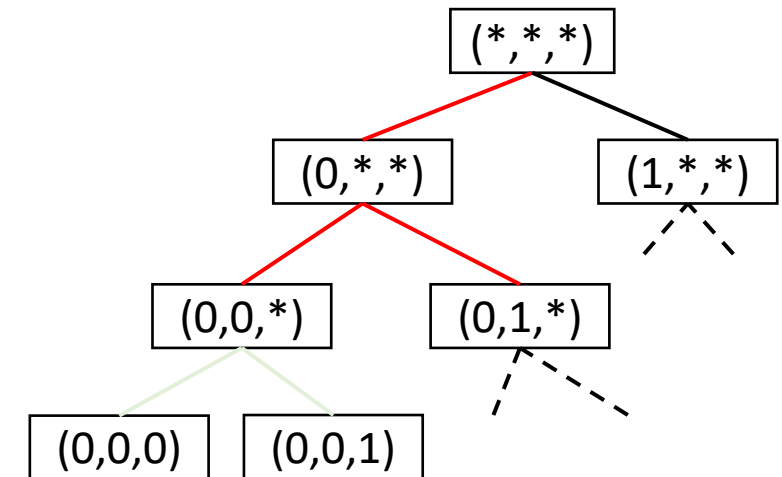
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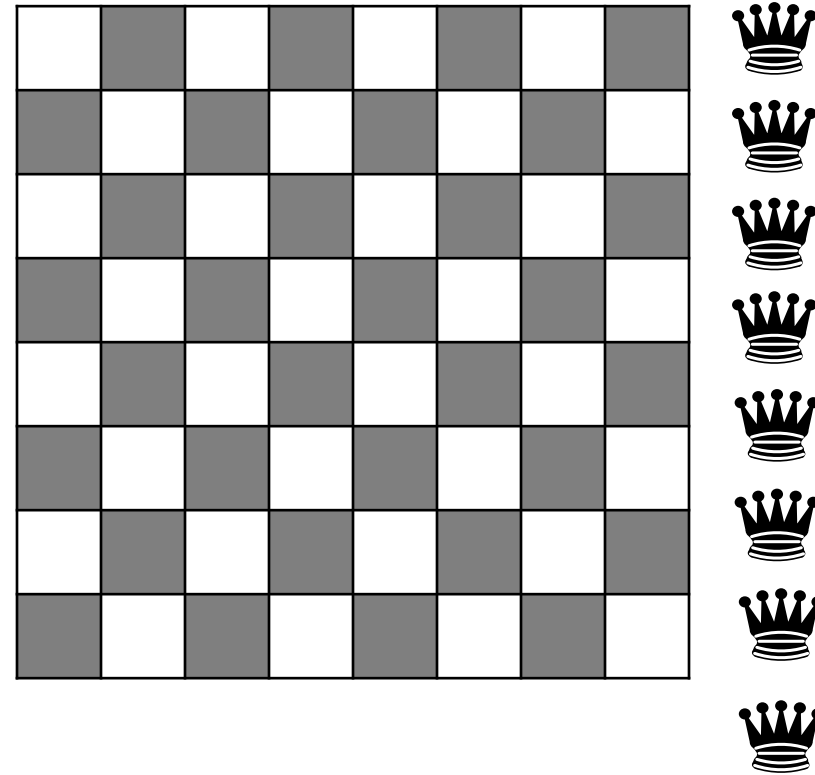


Beyond Backtracking

- In problems with additional constraints (e.g., knapsack), one can focus on eliminating subtrees of the search tree as early as possible.
 - These additional constraints, make even more subtrees unlikely to have a valid candidate.
 - Or one can compute some upper/lower bound on how good the candidates of a given subtree are -> **Branch and Bound paradigm**
 - One can use heuristics.
- Related concepts:
 - **Pruning,**
 - **Minimax principle** for the remaining possible moves in a chess game
 - ...

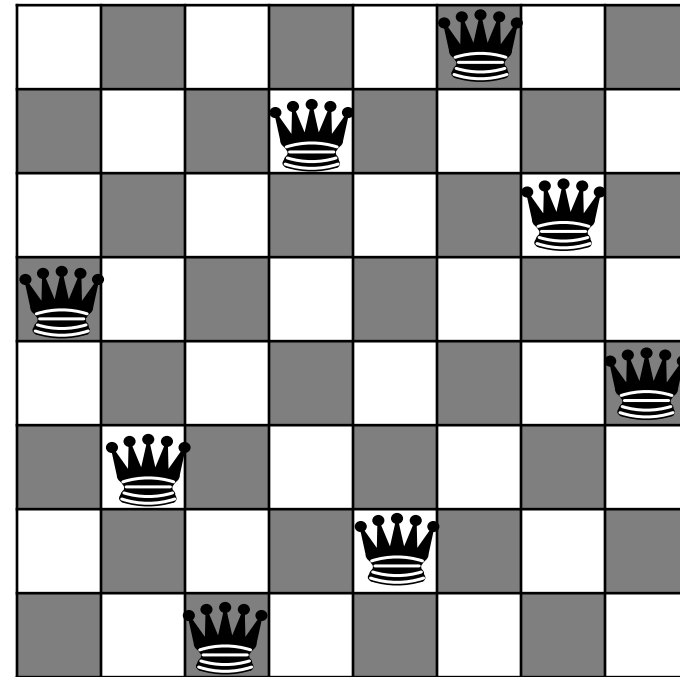
Usages of Backtracking

- Puzzle solving:
 - Eight queens puzzle



Usages of Backtracking

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Usages of Backtracking

- Puzzle solving:
 - Eight queens puzzle,
 - Crosswords,
 - Sudoku,...
- Combinatorial optimization problems:
 - Knapsack,
 - Parsing, ...
- Boolean satisfiability problem (SAT), constraint satisfaction problem, ...

Beyond candidate space

- Previous paradigms focus on the candidate space.
- Other paradigms focus on decomposing a “complicated” problem into “simpler” sub-problems:
 - **Recursion:**
Decompose some problem P on input of size n into one or more instances of same problem P on smaller inputs (of size $< n$)
 - **Divide-and-conquer:**
Decompose some problem P on input of size n into two or more **disjoint** instances of same or related type.

Problem decomposition: First Aspect

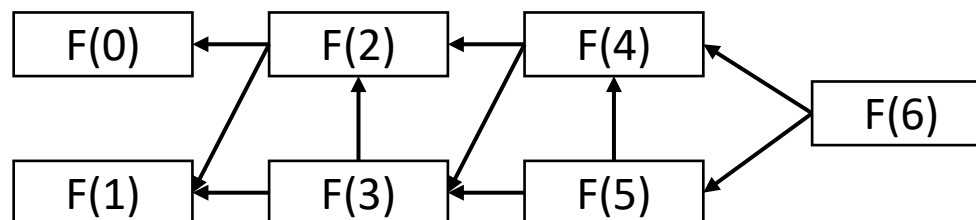
-
- Optimal substructure (of problem P):
 - A solution to problem P can be obtained by the **combination of optimal solutions to its sub-problems**.
 - Describes/gives a recursive algorithm (and definition) for problem P .
 - Example of optimal substructure for the shortest path from node u to node v on some graph G :
 - Consider the shortest path from u to v .
 - Take any intermediate node w on that path.
 - Then the “shortest path from u to v ” = “shortest path from u to w ” + “shortest path from w to v ”

Problem decomposition: Second Aspect

- Overlapping/disjoint subproblems (of problem P):
 - If a solution to problem P can be obtained by the combination of optimal solutions to non-overlapping sub-problems -> **divide-and-conquer**
 - If a solution to problem P can be obtained by the combination of optimal solutions to overlapping sub-problems
 - Then the same subproblems will be solved over and over...
 - Example: Compute the Fibonacci numbers
 - $F(0) = 0$
 - $F(1) = 1$
 - $F(n) = F(n - 1) + F(n - 2)$ for $n \geq 2$
 - That is, $F(2) = 1, F(3) = 2, F(4) = 3, F(5) = 5, F(6) = 8, F(7) = 13, F(8) = 21, \dots$

Problem decomposition: Second Aspect

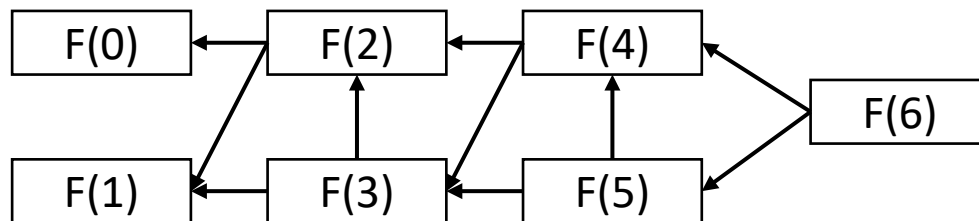
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 - Example: Compute the Fibonacci numbers, $F(n) = F(n - 1) + F(n - 2)$



When computing $F(6)$, $F(4)$ is computed twice in the naïve recursive algorithm!

Problem decomposition: Second Aspect

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 - Example: Compute the Fibonacci numbers, $F(n) = F(n - 1) + F(n - 2)$



$$F(6) = F(5) + F(4)$$

$$F(6) = (F(4) + F(3)) + (F(3) + F(2))$$

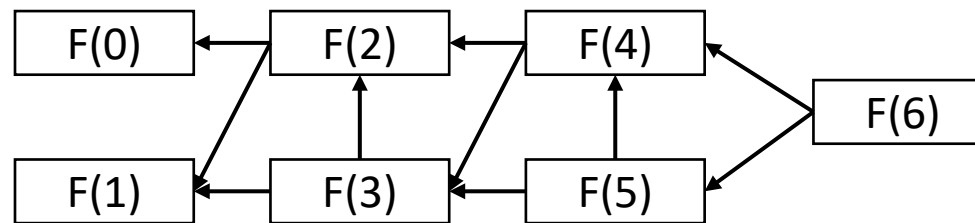
$$F(6) = (F(3) + F(2) + F(2) + F(1)) \\ + (F(2) + F(1) + F(1) + F(0))$$

Algorithmic paradigm: Top-down dynamic programming

- Consider a problem with optimal substructure and overlapping subproblems.
- The **top-down dynamic programming approach** is:
 - Whenever a subproblem is solved, store the result in memory (e.g., in some array),
 - For every subsequent call to the subproblem, return the stored result.
- Storing computed values to avoid recomputing them is called **memoization**.
- **Computation vs memory tradeoff**

Top-down dynamic programming: Example

Computing the n th Fibonacci number



Algorithm:

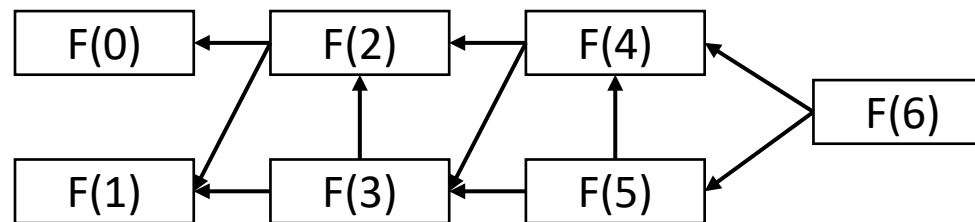
- Keep an array *Fib* for the 0^{th} to $(n - 1)^{\text{th}}$ Fibonacci numbers, with all entries null initially,
- Then, in algorithm, when $F(i)$ is called,
 - If $Fib[i]$ is null, compute $F(i)$ and store the result in *Fib*
 - Else, return $Fib[i]$

Algorithmic paradigm: Bottom-up dynamic programming

- Consider a problem with optimal substructure and overlapping subproblems.
- The **bottom-up dynamic programming approach** is:
 - Solve the smallest subproblems first, and store the result in memory,
 - Solve larger and larger subproblems by using the stored results
- **Can have better memory usage than top-down.**

Bottom-up dynamic programming: Example

Computing the n th Fibonacci number

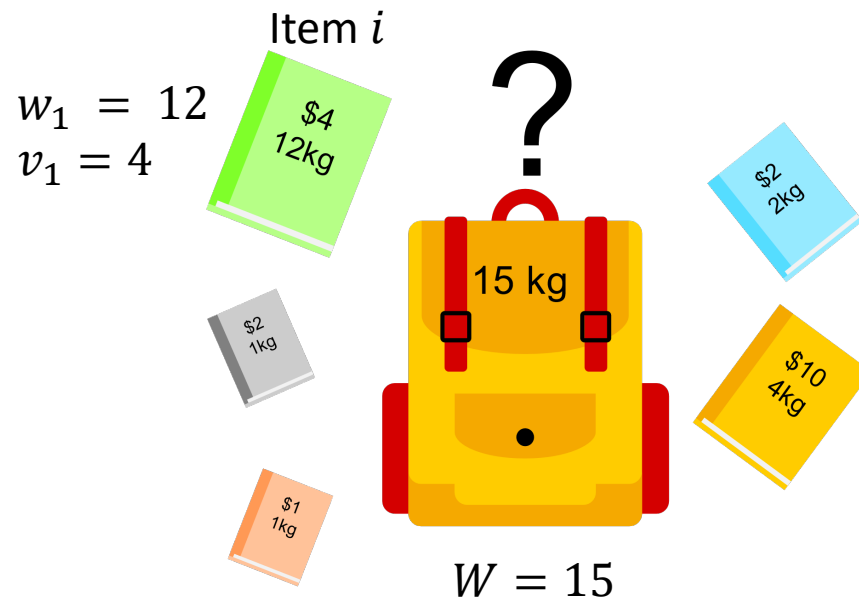


Algorithm:

- Have two variables `currentFib` and `previousFib`, storing respectively the current Fibonacci number and the previous one, and initially set to 1 and 0.
- For $n - 1$ iterations,
 - Compute the next Fibonacci number (`nextFib`) by summing the two variables together,
 - Set `previousFib = currentFib`, `currentFib = nextFib`.

Dynamic programming: Knapsack

- Given a set of items numbered 1 to n , such that item i has weight w_i and value v_i , and a total weight W , determine which items to put in the collection so that their total weight is $< W$ and their total value is as large as possible.



Dynamic programming: Knapsack

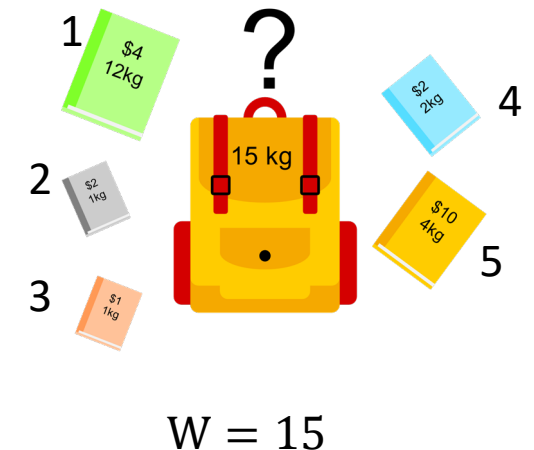
- Given a set of items numbered 1 to n , such that item i has weight w_i and value v_i , and a total weight W , determine which items to put in the collection so that their total weight is $< W$ and their total value is as large as possible.
- Variable $x_i \in \{0,1\}$ denotes whether item i is in the collection
- **(Formal) Goal:** choose x_1, \dots, x_n to maximise $\sum_{i=1}^n v_i x_i$, while keeping $\sum_{i=1}^n w_i x_i \leq W$
- What are the subproblems of (0-1) knapsack?
 - Computing $m[j, w]$: the max value one can get over the first j items with total weight at most w
 - $m[0, w] = 0$ for any w
 - $m[j, w] = m[j - 1, w]$ if $w_j > w$
 - $m[j, w] = \max\{m[j - 1, w], m[j - 1, w - w_j] + v_j\}$ if $w_j \leq w$



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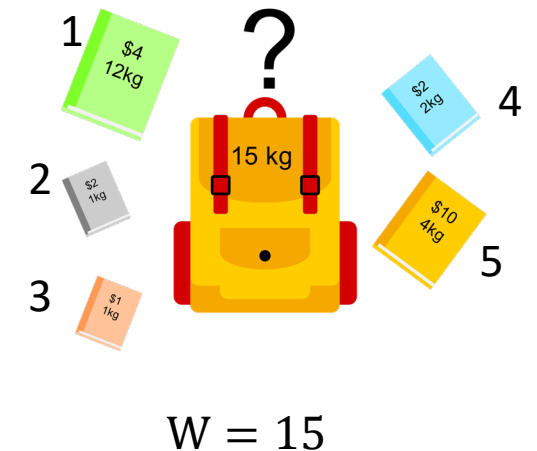
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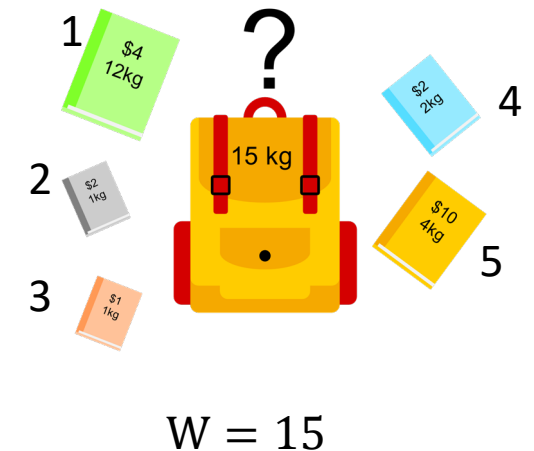
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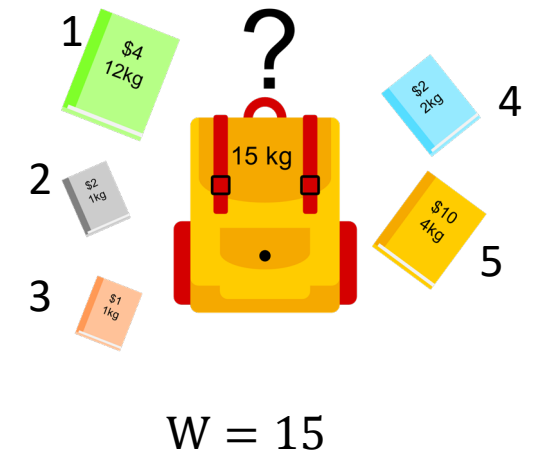
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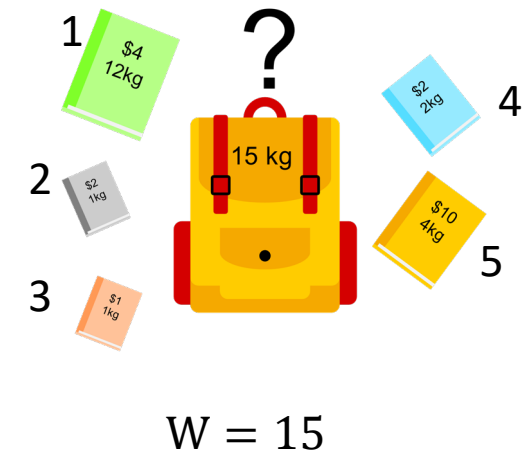
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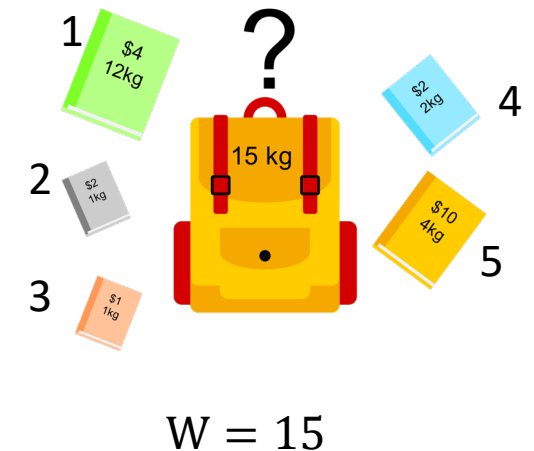
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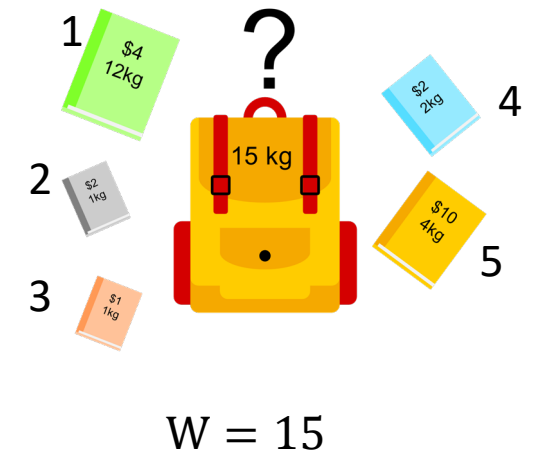
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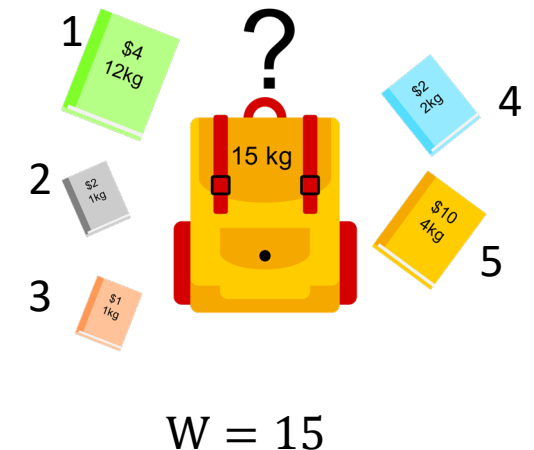
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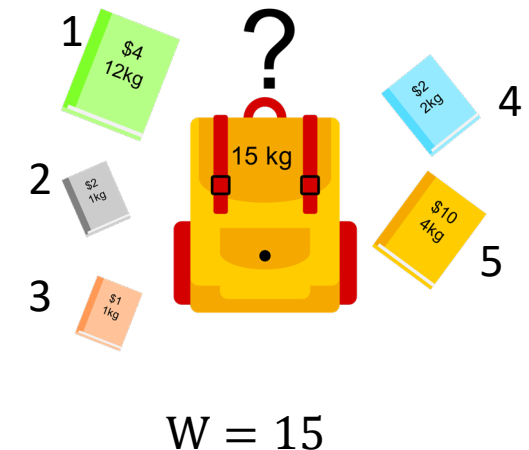
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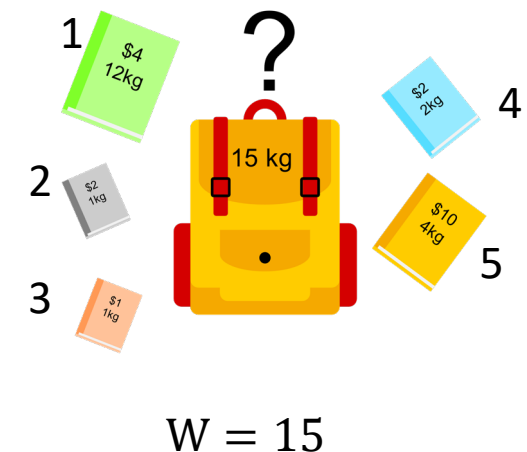
		w															
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	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4																
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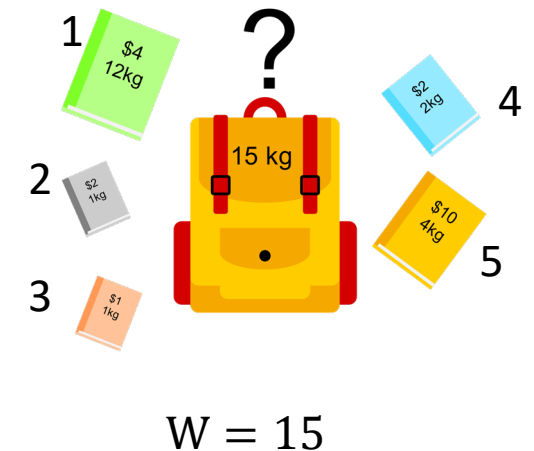
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	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
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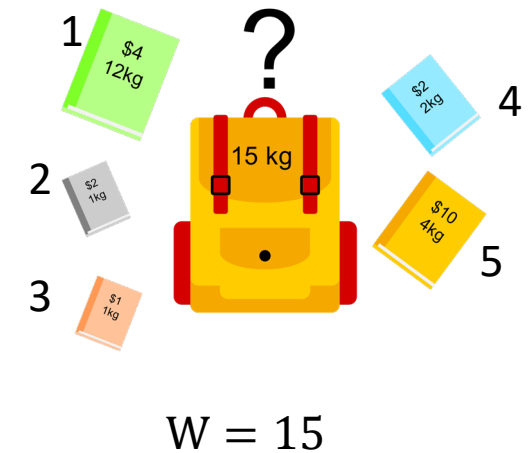
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	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
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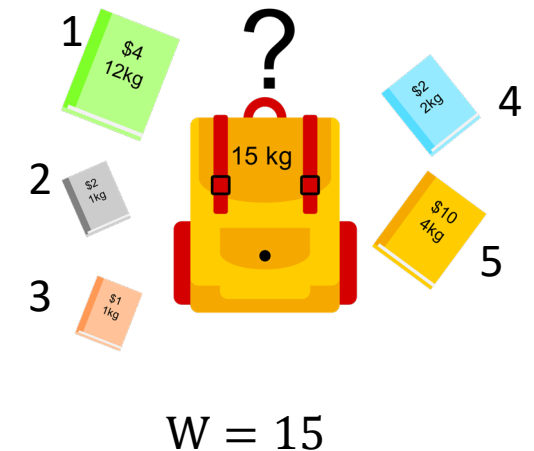
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j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
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	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
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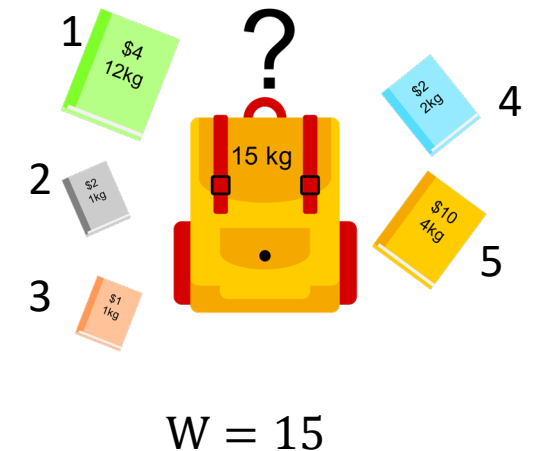
		w															
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j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
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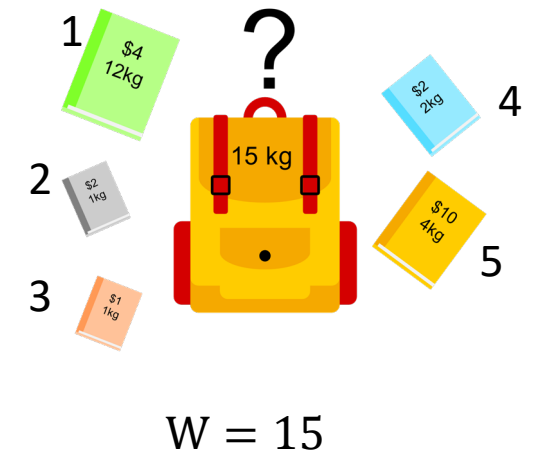
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	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
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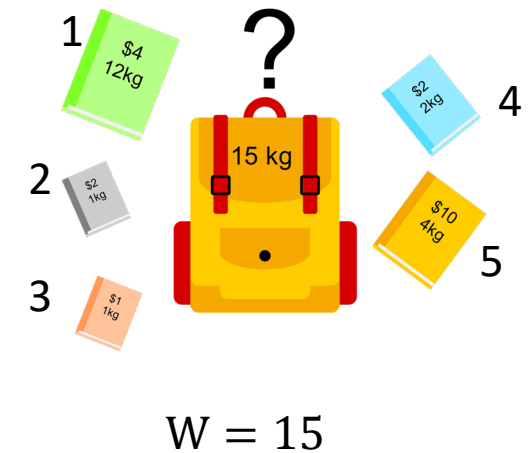
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	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
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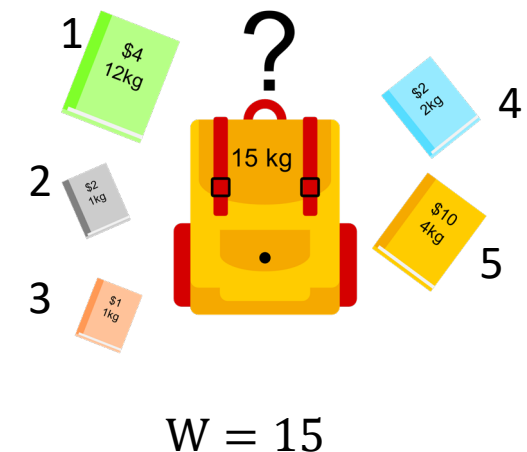
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j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
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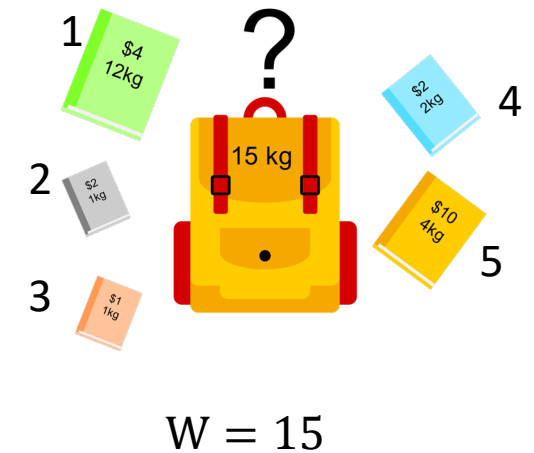
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j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
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	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
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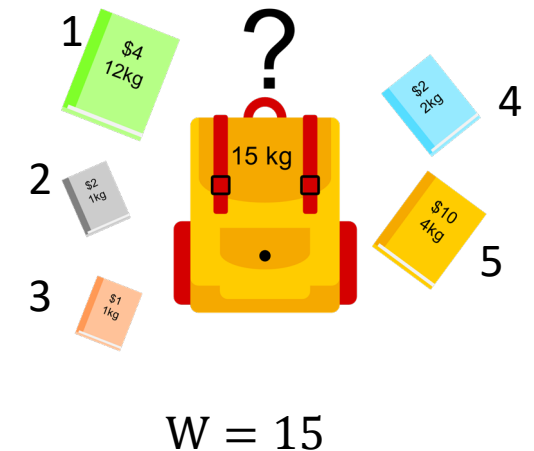
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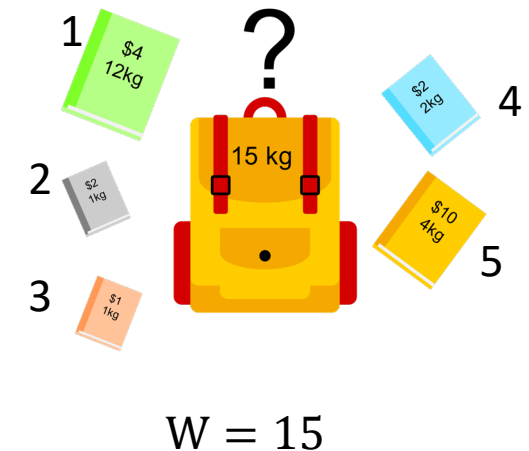
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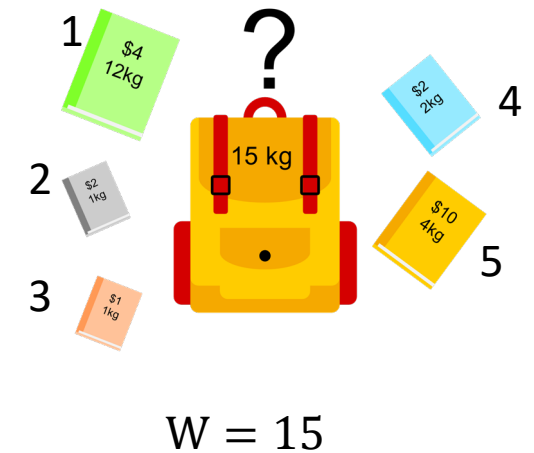
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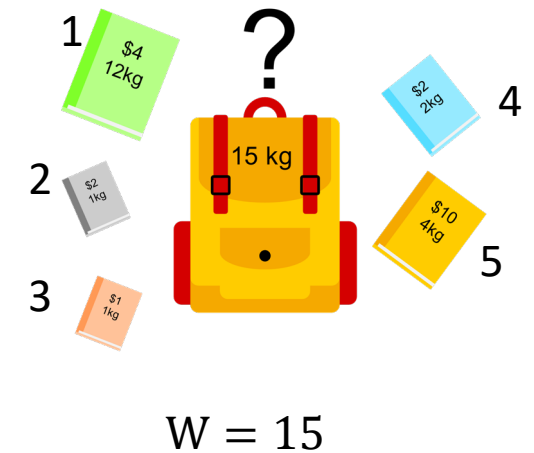
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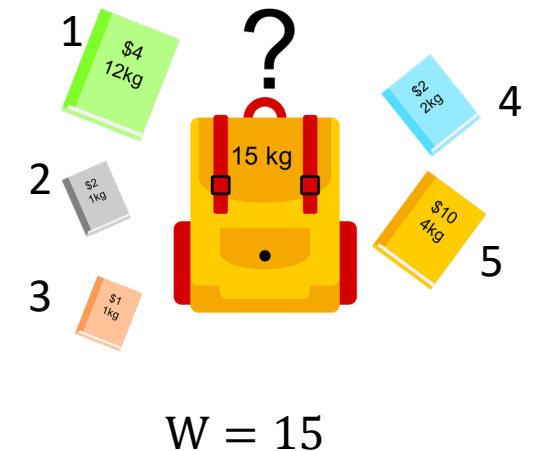
		w															
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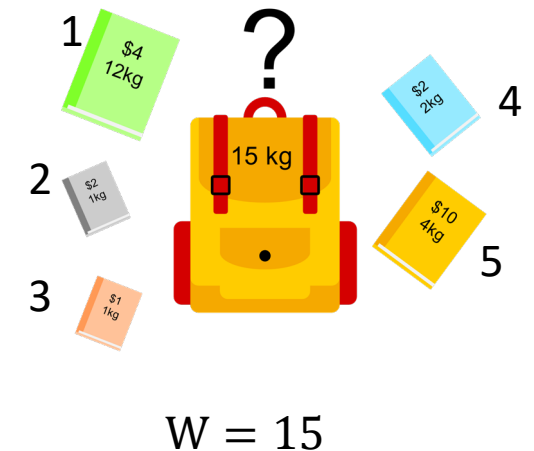
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	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



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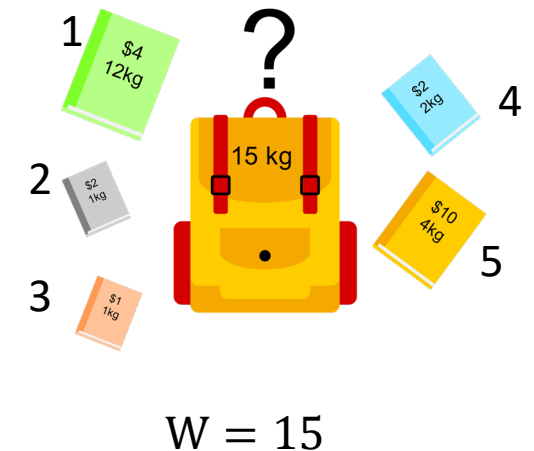
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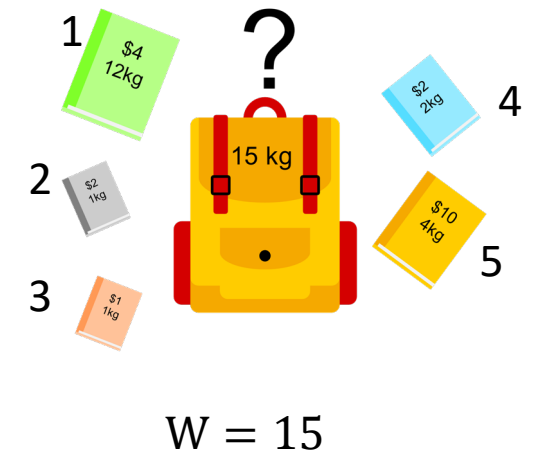
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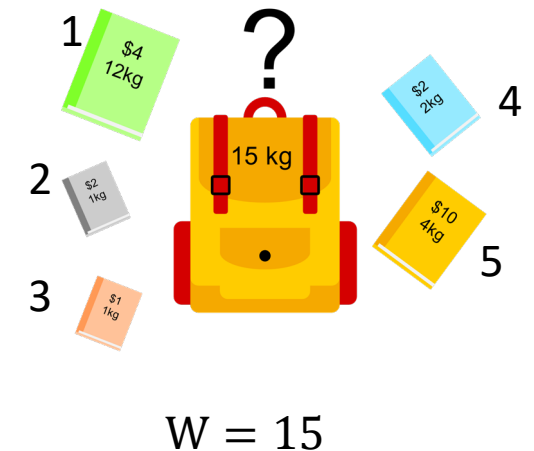
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 - $m[j, w] = \max\{m[j - 1, w], m[j - 1, w - w_j] + v_j\}$ if $w_j \leq w$

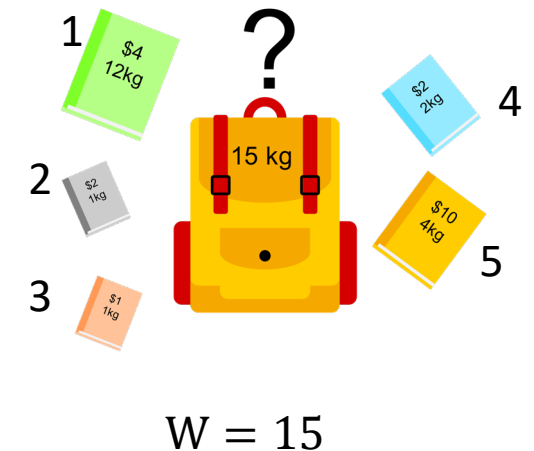
		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



Dynamic programming: Knapsack

- What are the subproblems of (0-1) knapsack?
 - Computing $m[j, w]$: the max value one can get over the first j items with total weight at most w
 - $m[0, w] = 0$ for any w
 - $m[j, w] = m[j - 1, w]$ if $w_j > w$
 - $m[j, w] = \max\{m[j - 1, w], m[j - 1, w - w_j] + v_j\}$ if $w_j \leq w$

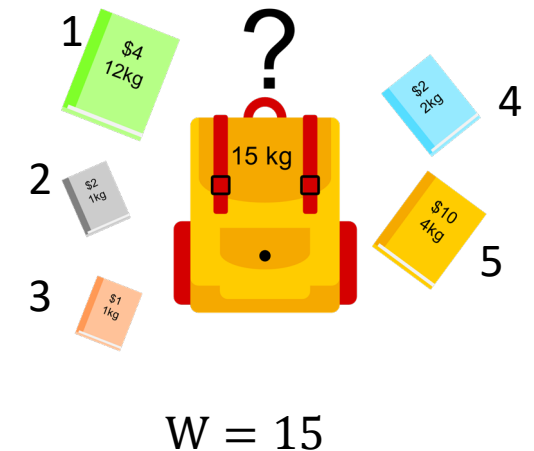
		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



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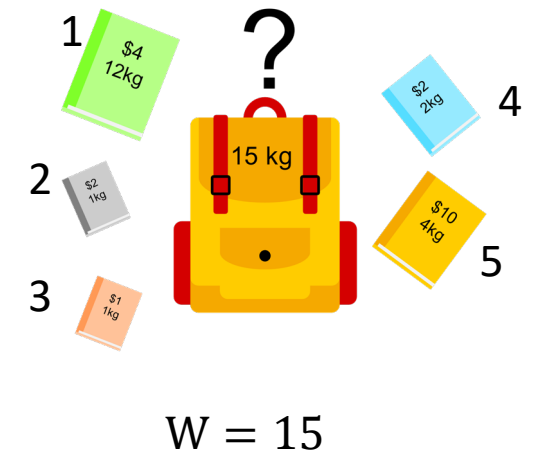
		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



Dynamic programming: Knapsack

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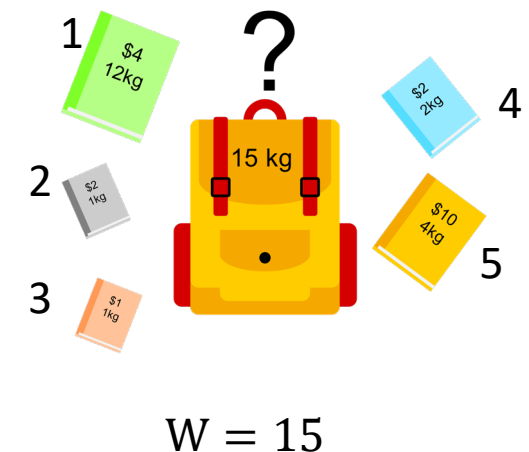
		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



Dynamic programming: Knapsack

- What are the subproblems of (0-1) knapsack?
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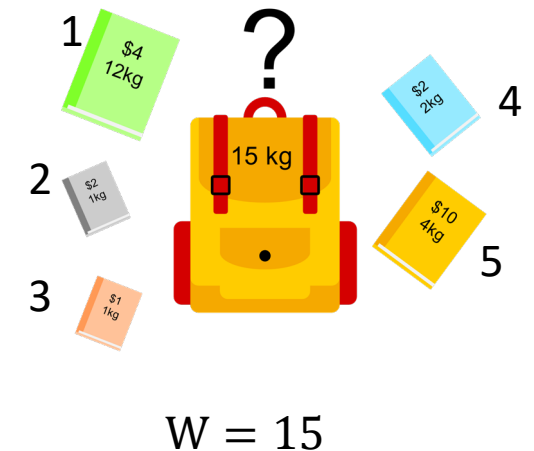
		w															
j		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



Dynamic programming: Knapsack

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 - $m[j, w] = \max\{m[j - 1, w], m[j - 1, w - w_j] + v_j\}$ if $w_j \leq w$

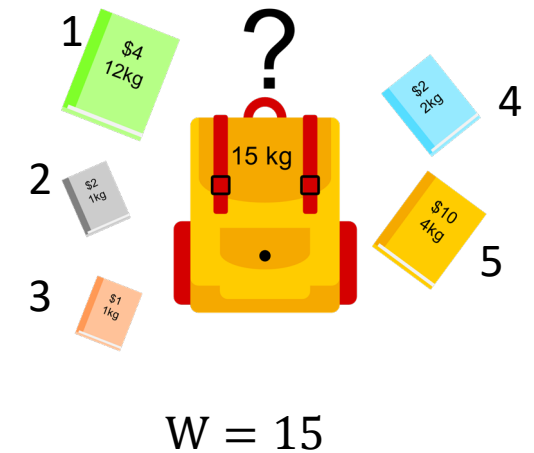
		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

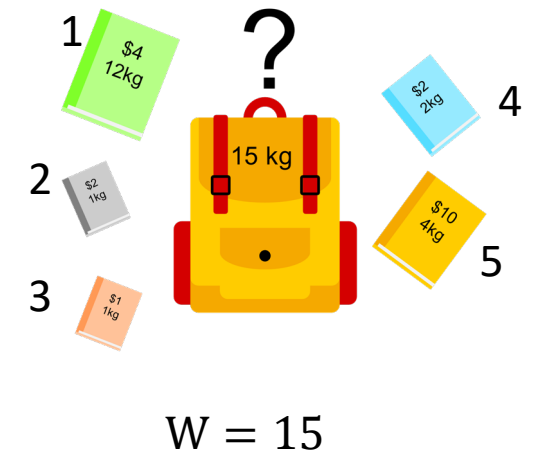


Solution: $\{...\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

j	w															
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

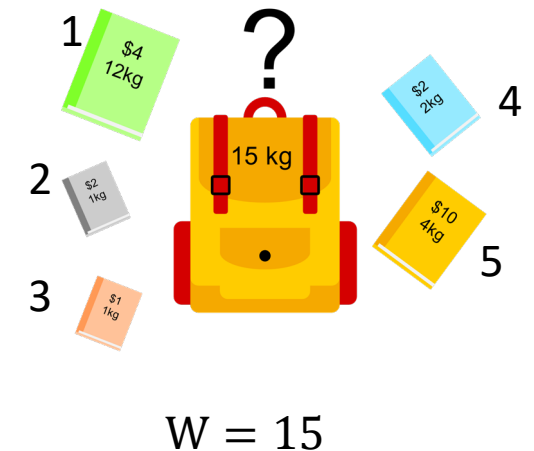


Solution: $\{\dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
j		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

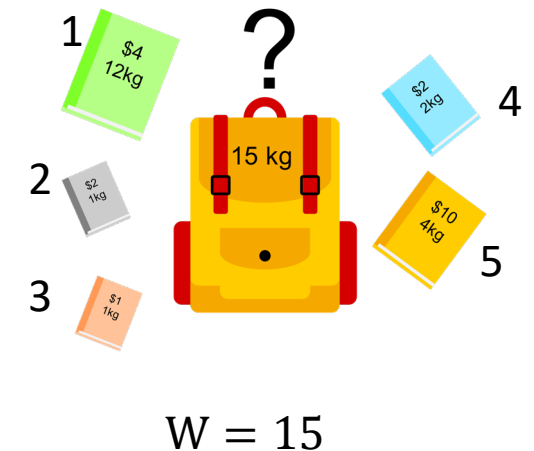


Solution: $\{5, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

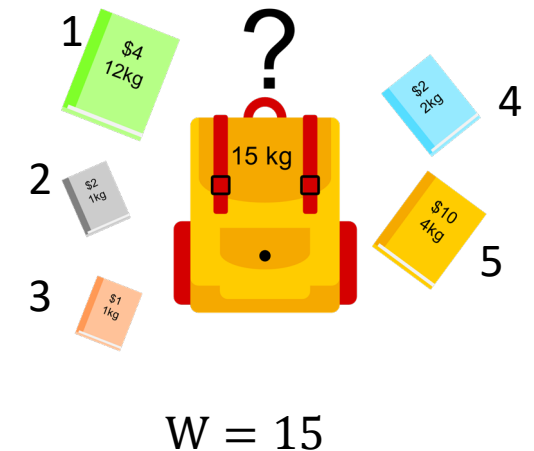


Solution: $\{5, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

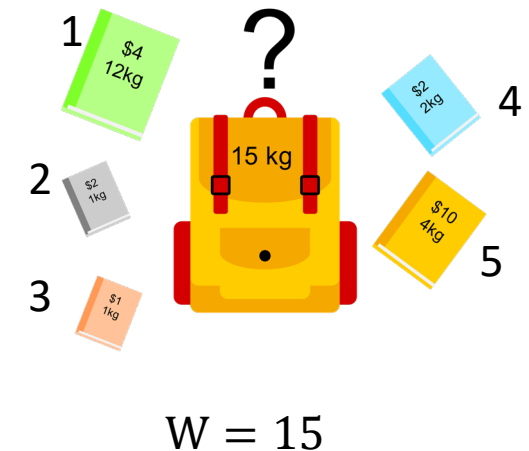


Solution: $\{5, 4, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

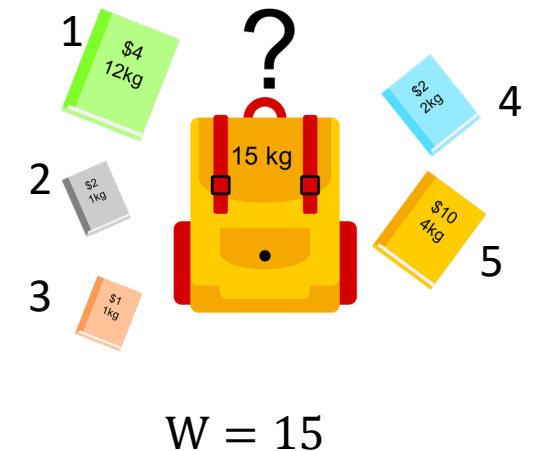


Solution: $\{5, 4, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

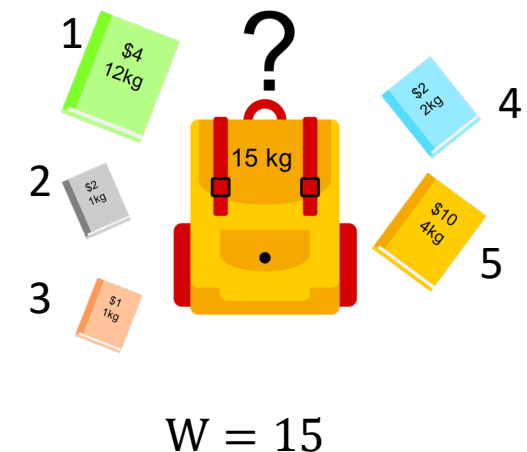


Solution: $\{5, 4, 3, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



Solution: $\{5, 4, 3, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
j		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



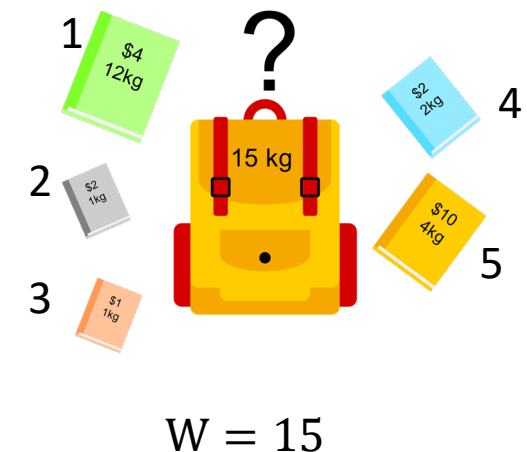
$W = 15$

Solution: $\{5, 4, 3, 2, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15

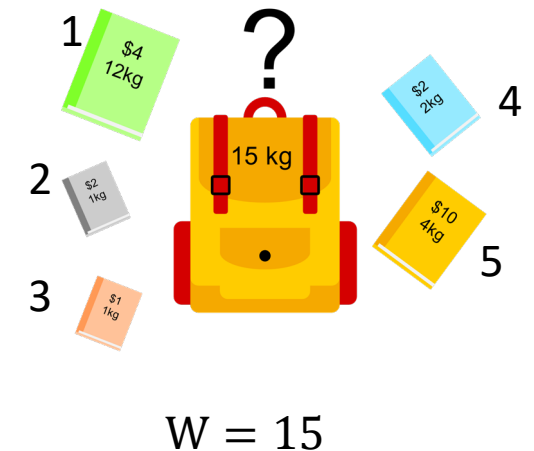


Solution: $\{5, 4, 3, 2, \dots\}$

Dynamic programming: Knapsack

- How to compute the set of items of the solution, not just the total value?
 - Compute set of items recursively using $knapsack(j, w)$ function
 - If $j = 0$ then return empty set $\{\}$
 - Else if $m[j, w] > m[j - 1, w]$ then $\{i\} \cup knapsack(j - 1, w - w_j)$
 - Else return $knapsack(j - 1, w)$

		w															
j		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0	0	0	0	0	0	4	4	4	4
	2	0	2	2	2	2	2	2	2	2	2	2	2	4	6	6	6
	3	0	2	3	3	3	3	3	3	3	3	3	3	4	6	7	7
	4	0	2	3	4	5	5	5	5	5	5	5	5	5	6	7	8
	5	0	2	3	4	10	12	13	14	15	15	15	15	15	15	15	15



Solution: {5,4,3,2}

0-1 Knapsack using dynamic programming

- Correctness
- Time complexity: $O(nW)$
- Space complexity: $O(nW)$
- What about NP-completeness?
 - Knapsack is NP-complete
 - But isn't it conjectured that NP-complete problems cannot be solved in polynomial time?
 - Input W contributes only $\log W$ to the problem input, unlike n . Why?
 - W can be encoded using only $\log W$ bits.
 - Indeed, there are n items and each item contributes some value to the input, so that is $\Theta(n)$ bits in total.
 - Knapsack has **pseudo-polynomial runtime** and thus is said to be **weakly NP-complete**



Beyond 0-1 Knapsack

- Given a set of items numbered 1 to n , such that item i has weight w_i and value v_i , and a total weight W , determine which items to put in the collection so that their total weight is $< W$ and their total value is as large as possible.
- Unbounded Knapsack:
 - No longer constrained to at most one of each item
 - $x_i \geq 0$ denotes how many items i you have in the collection
 - Goal:** maximise $\sum_{i=1}^n v_i x_i$, but keeping $\sum_{i=1}^n w_i x_i \leq W$
- You can similarly define subproblems and use either bottom-up or top-down dynamic programming approach.
- And there are many other knapsack variations, as well as many other ways to solve (or approximate) them.



Applications of dynamic programming

- **Combinatorial optimization problem:**
 - Knapsack problems,
 - Travelling Salesman problems,...
- **Other computer science problems:**
 - Tower of Hanoi (puzzle),
 - Matrix chain multiplication (mathematic operation),...
- **But also for computational problems in other fields:**
 - Bioinformatics and computational biology
 - Economy,
 - Control theory,
 - Operations research ,..

Algorithmic paradigms: Summary

Generic framework that underlies a class of algorithms:

- **Exhaustive search,**
- **Backtracking,**
- Greedy algorithms
- Recursion
- Divide and conquer
- **Dynamic programming**
- (Sweep-line algorithms)

Summary

Today's lecture:

Introduced:

- Exhaustive (or brute-force) search and backtracking,
- Problem substructure,
- Dynamic programming.

- **Next Lecture:**
- **Any questions?**