Wildlife-train collisions: modelling and analysis in space and time

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* 1. Summary

Despite considerable research on collisions between and wildlife and vehicles, animal mortality from strikes by trains is rarely studied. In addition to animal welfare and conservation concerns, costs from train strikes may be considerable, and railway authorities have a vested interest to manage the problem.

To assess the risk of wildlife-train collisions, we quantified regional train movements in space and time, and determined likelihoods of occurrence of eastern grey kangaroos in Victoria, Australia. We then fitted a model to data on collisions between trains and kangaroos, accounting for time of day, train occurrence and speed, and kangaroo occurrence. We predicted collision rates on a passenger railway network based on three management scenarios that influenced train speed and occurrence of kangaroos near the railway lines.

The model fit and predictions were plausible. Speed was the most influential variable followed by presence of kangaroos. Reducing speeds in areas of high predicted kangaroo occurrence during periods of peak animal activity reduced collision rate the most.

Predictions from the model can help managers decide where, when and how best to mitigate collisions between animals and trains. It can also be used to predict high risk locations or times for (a) timetable changes (b) proposals for new railway lines or (c) disused lines considered for re-opening. The model framework is easily adaptable to other species and railway operations, and it allows managers to assess bias and uncertainty and calibrate/update accordingly.

* 1. Keywords

animal, framework, train, risk, species distribution model, speed, track, crepuscular, WTC, railway

Introduction

Roads and railways support human civilisations by facilitating economic and recreational activities. However, transportation networks may directly or indirectly disrupt ecological systems (Seiler & Helldin, 2006; van der Ree et al, 2015) and their environmental impacts must be managed (Spellerberg, 1998). Animal mortality due to collision with vehicles is one of the most visible impacts (Forman et al., 2003) and a primary cause of reductions in population size (Fahrig & Rytwinski, 2009)

Wildlife-vehicle collisions pose a serious global problem (Litvaitis & Tash, 2008), spawning a new discipline (road ecology) and inspiring research to develop solutions. For example, deer-vehicle collisions on roads are well-studied in North America (Huijser et al., 2007; Romin & Bissonette, 1996) and Europe (Sáenz-de-Santa-María & Tellería, 2015; Seiler, 2004). Moreover, management of wildlife-vehicle collisions will become increasingly important as new transportation networks are constructed and existing networks are expanded, perhaps most significantly in developing countries.

In addition to concerns about animal welfare (Sainsbury et al., 1995) and conservation status of threatened species (Dwyer et al., 2016; Jones, 2000), collision with large animals pose direct risks to the life of humans (Langley et al., 2006; Rowden et al., 2008). For example, moose are one of the largest animals struck by vehicles in North America and Europe and cause significant damage and injuries (Hurley et al., 2009). Vehicle collisions with deer, although smaller than moose, frequently kill humans in North America (Williams & Wells, 2005).

Information about the spatial and temporal distribution and magnitude of wildlife-vehicle collisions is useful to managers because it may help more effectively mitigate impacts (Mountrakis & Gunson, 2009). For example, knowing a collision hotspot along a transportation network for a particular species will assist managers to select the most appropriate form of mitigation (e.g. animal exclusion or change in vehicle speed). Data can also inform statistical modelling which helps to predict the probability of wildlife-vehicle collisions (Gunson et al., 2011).

The majority of wildlife-vehicle collision modelling deals with roads and traffic (van der Ree et al, 2015), yet, the problem extends to other forms of vehicular transportation such as air (van Belle et al., 2007), railway (Wells et al., 1999) and shipping (Laist et al., 2001) operations. Regardless of the mode of transport, the modelling of collisions share some common attributes (Forman et al., 2003). The movements or presence of animals are often considered in the models and may include behavioural traits (Roger & Ramp, 2009), andvehicle presence or movements can also be considered and may be grouped into a larger category of human behaviour as humans ultimately control speeds and trajectories of vehicles (Ramp & Roger, 2008).

Extensive railway networks with considerable train movements exist on every continent in the world, and although broader ecological effects have been discussed (De Santo, 1993; Givoni, 2006) and analysed (Waller & Servheen, 2005), very few studies analyse wildlife-train collisions (see Belant, 1995; Onoyama et al., 1998). Although many anecdotal records/observations of wildlife-train collisions exist, including derailments from elephants (Dorsey et al., 2015), we are only aware of one published study predicting wildlife-train collisions (Gundersen & Andreassen, 1998). Here, we develop a modelling framework to predict the rate of kangaroo collisions on the regional passenger railway network in Victoria. Our methods aim to inform railway operators of potential kangaroo collision risks and can be used to generalise to other species (e.g. deer) and railway operations (e.g. freight transport) elsewhere in the world.

* 1. Materials and Methods
     1. *Study Area*

We used a 1712-kilometre passenger railway network from regional Victoria, Australia (operated by V/line, a government-owned corporation) in south-east Australia to conduct our study (Figure 1). Trains operate on all sections of the network between 4 a.m. and 2 a.m. (the following day), with the largest volume occurring Monday through Friday between 7 a.m. and 9 a.m., and 4 p.m. and 6 p.m. Most trains operate at speeds of 100 km h-1 or less, however, some commuter trains operate at maximum speeds of 160 km h-1 where deemed appropriate.

* + 1. *Data Preparation*

To organise our data and modelling, we overlaid a one square kilometre grid on the railway network (Figure 2). In each grid cell we modelled species occurrences and quantified train movements and speeds.

Eastern grey kangaroos (Macropus giganteus, Shaw, 1790; "kangaroos" hereafter) are frequently struck animals in regional Victoria and are large enough to cause damage and subsequent maintenance (e.g. cleaning) of trains. V/line provided records of all reported collisions spanning a six-year period between 1 January 2009 and 31 December 2015, a total of 439 kangaroo collisions. Each record included incident date and time, name of service line (unique route between two towns), and nearest fraction of a kilometre post (physical sign markers indicating distance along a railway line). Using geographic information system (GIS) data on the regional railway network, we determined spatial coordinates (GDA94 MGA zone 55 projection) for all collisions from the reported kilometre post and service line. Although, the uncertainty in estimates of location were not explicitly reported, we assumed a maximum possible error of +/-500 metres.

*Species Occurrence*

Despite being widespread and common in many parts of Victoria, kangaroo occurrence is sparsely recorded in regional Victoria. To represent risk of collision by exposure to threat, we required distributional data across the entire study area and used species distribution modelling to predict relative likelihood of kangaroo occurrence. We emulated methods by Elith et al. (2008) to model and predict occurrence in each grid cell for the whole State of Victoria. The model was trained on data from the online Victorian Biodiversity Atlas (VBA, 2014) and included several environmental variables relating to the biology and behaviour of kangaroos (see Visintin et al., 2016). To reduce the effects of sampling bias, we also included four additional predictors: distance to urban areas and roads and the X and Y spatial coordinates of grid cell centroid in which the species was recorded as present.

*Characteristics of Railway Network*

To determine train movements across space and time, we accessed publicly available locations and times of train routes from V/Line general transit feed specification (GTFS) data (Public Transport Victoria, accessed online 3 March, 2016). GTFS is a standard publishing format developed and maintained by a community of public transport agencies for scheduling and spatial data. Since it is publicly available, it also allows software developers to write applications for mobile devices that track and report the locations of public transportation (see Hillsman, 2011). We used a spatial database (Postgres version 9.6; PostGIS version 2.3.0) to process this information and report the average scheduled number of trains, the total length of track, and average train speed in each grid cell for each hour of the day where trains occurred.

*Temporal Variation*

To account for temporal variation in collision risk throughout the day, we considered peak periods of train movements in relation to daylight hours (i.e. amount of darkness occurring when the most trains are in operation). By adding a function that allowed a bimodal response of collision rate to hour of day across all seasons, the crepuscular lifestyle of kangaroos (most active at dawn and dusk) is incorporated. This function uses hour and spatial location to determine shape with three components (Figure S1).

* + 1. *Statistical Modelling*

We adapted a single-species quantitative risk model (see Visintin et al, 2016) to fit and compare the relationship of kangaroo presence, characteristics of the railway network, and temporal patterns (kangaroo movements during high activity of trains) to collision likelihood. The likelihood that a collision occurs in a given grid cell ***i*** at hour ***j*** in month ***k*** (*pijk*= Pr(*Yijk*=1))depends on species occurrence ***O***, average number of trains ***V***, average train speed ***S***,crepuscular function c(*j,k*), and length of track ***T***:

cloglog(*pijk*) = *β0* + *β1* ln(***O****ijk*) + *β2*ln(***V****ijk*) + *β3*ln(***S****ijk*) + c(*i, j, k*) + ln(***T****ijk*) (1)

where:

c(*i, j, k*) = *γ1* sin(*π*(*j*-3)/12) + *γ2* sin(*π*(*j*-3)/12)2 + *γ3*a(*i, j, k*) (2)

and:

a(*i, j, k*) = exp(-((*j* - ***D1ik***) / ((24 - ***D2ik***) + ***D1ik***) / 2)2) - exp(-((*j* - ***D2ik*** - 24) / ((24 - ***D2ik***) + ***D1ik***) / 2)2) if *j* < ***D1ik*** (3)

= exp(-((*j* - ***D1ik***) / ((***D2ik -*** ***D1ik***) / 2)2) - exp(-((*j* - ***D2ik***) / ((***D2ik -*** ***D1ik***) / 2)2) if *j* < ***D2ik***

= exp(-((*j* - ***D1ik + 24***) / ((24 - ***D2ik***) + ***D1ik***) / 2)2) - exp(-((*j* - ***D2ik***) / ((24 -***D2ik***) + ***D1ik***) / 2)2) if *j* >= ***D2ik***

Dawn ***D1*** and dusk ***D2*** times for civil twilight (six degrees below horizon) were determined by using the 15th day of each month ***k*** and location ***i*** (set to a constant as our railway network is small - coordinates 37°S, 145°E)with a National Oceanic & Atmospheric Administration (NOAA) astronomical algorithm.

Prior to modelling, we centred all explanatory variables by subtracting their means (after log-transformations). Using pairwise analysis, all predictors exhibited Pearson's product moment correlation coefficients of less than 0.4 indicating low potential effects of multi-collinearity. Table 1 describes the variables used in the model.

We fit the data (n=291,120 one-kilometre grid cells) to a generalised linear model (McCullagh & Nelder, 1989) using maximum likelihood estimation with a binomial distribution and a complementary log-log link on the linear predictor. The complementary log-log link was selected over the more common logit link due to the mathematical theory underpinning our model - risk being measured by the rate of collisions (see Visintin et al., 2016). The model is similar to a proportional hazards model (discrete censored time) often used in survival analysis and epidemiological studies (Cox, 1984).

To assess performance, we cross-validated the model by randomly splitting the data into K=10 partitions. We used nine of these subsets for model fitting and one for assessing model accuracy. For each assessment we obtained two performance metrics; area under the receiver operator characteristic (ROC) curve (Metz, 1978) and regression of observations on predictions (Cox, 1989; Miller, 1991). We repeated this procedure for 100 iterations producing a total of 1000 sets of performance metrics and compared them with those from the model fitted to all data.

Using the model fitted on all data, we predicted the number of expected kangaroo-train collisions in the study area under different management scenarios:

A) no change to operations,

B) train speeds in high kangaroo occurrence areas, and

C) reduced kangaroo occurrence in areas with highest average speed of trains.

Scenario B involved reducing the speeds of trains in grid cells with kangaroo relative occurrence likelihoods of 0.5 or above during the hours of 5 a.m. to 9 a.m. and 4 p.m. to 8 p.m. We capped train speeds at 80 km h-1 for these areas (n=42 cells, total unique train trips=275). In scenario C, relative kangaroo occurrence was reduced by approximately half in all grid cells with average train speeds of more than 120 km h-1 (n=154 cells, total track length=121 km). This simulates reducing access of kangaroos to the railway network (e.g., by fencing). The values were modified for all hours of the day as this management strategy would most likely involve exclusion or reduction in animal populations which operate irrespective of temporal variation.

* 1. Results

Our model fitted the data of the signs of model coefficients (positive or negative) as expected. All variables except train frequency demonstrated high statistical significance in the model fit (Table 2). The relative risk of collisions increased with higher average train speeds, predicted kangaroo occurrence, train frequency and during hours of high kangaroo activity in grid cells. Train counts, however, had very little influence on collisions, compared to the other predictors (Table 2; Figure 4).

The strongest and most significant predictor was train speed; collision risk increased exponentially with considerable increases at speeds above 85 km hr-1. Increasing train speed from 110 to 130 km hr-1 doubled collision risk, however, the effect of speed also demonstrated large uncertainty, with wide confidence intervals (Figure 4).

Kangaroo occurrence was the second most influential predictor of risk of collision. Collision risk increased rapidly at low values of occurrence and more slowly at higher values. Collision risk increased approximately 10-fold across the range of values for kangaroo occurrence (Figure 4).

The bi-modal functional form for the effect of kangaroo activity on collision risk demonstrated a plausible shape (Figure 4). Collision risk peaked at approximately 5:45 a.m. and 6:15 p.m. with a higher risk occurring in the morning. The h response of collision risk over time was most uncertain in the evening peak. The lowest collision risk occurred at noon and both peaks showed similar shape - the density and spread of collision risk around the maxima .

The models fitted on all data and fitted on the subsets of the data during cross-validation performed similarly. The ROC value was 0.82 for both the full data model (Figure 3b) and mean of the cross-validated models (Figure 3c). Likewise, the calibration statistics (intercept and slope of regression line between observations and predictions) were similar for both the full data model (Figure 3a) and mean of the cross-validated models (Figure 3c). The uncertainty in the calibration metrics was higher than that of the ROC values as shown by the 95% confidence intervals. The overall calibration of the full data model was good for low collision rates where the uncertainty around the observed rates was also low. Observed rates with higher uncertainty were evident at higher predicted values (Figure 3a).

Both of the simulated management scenarios reduced the predicted number of collisions from the baseline estimated with no management (Scenario A). Scenario C reduced expected collisions by approximately 3.2% whilst scenario B reduced collisions by 1.2% (Table 3).

* 1. Discussion

Our model demonstrates that kangaroo-train collisions are related to train speed, kangaroo exposure to moving trains, and the coincidence of periods of high train and kangaroo activity. All of these relationships are consistent with expectations and also shown in related studies on railway (Gundersen & Andreassen, 1998) and road (Lao et al., 2011; Roger et al., 2012, Visintin et al., 2016) collisions.

Train speed was an important predictor for collision risk. As trains increased speed, the risk of collisions increased rapidly, up to our typical maximum speed of 160 km h-1. Collision risk relating vehicle speed to the size and velocity of animals has been demonstrated through simulation (Jaarsma et al., 2006) concluding that smaller and slower-moving species are more vulnerable. Moreover, as these relationships are often exponential, high speed vehicles may become significantly problematic regardless of species trait. It should be noted that our study utilised published schedule data to interpolate train movements in space and time. Therefore, there is uncertainty in both the location and trajectories of actual trains. Further study using global positioning system (GPS) waypoints of train movements would reduce some of this uncertainty.

Kangaroo occurrence is also a useful predictor for collision risk. Collision risk consistently increased with predicted relative occurrence, as found for roads (Lao et al., 2011; Roger & Ramp, 2009). One feature of the model framework is the flexibility of choice in how to represent species occurrence. We employed published methods to determine kangaroo occurrence, however, the framework is not limited to this model type. The species distribution modelling literature is vast and covers topics relating to model choice (Guillera-Arroita et al., 2015), calibration and bias (Phillips & Elith, 2010), sources of data (van Strien et al., 2013), and validation (Chivers et al., 2014). Our framework also allows incorporation of data from population viability analyses to test the effects of population dynamics on collision risk. For example, h collisions can correlate with expected counts of single species in a given area (Skorka et al., 2013). Kangaroos in Victoria are not subject to hunting pressure to the same extent as ungulates in parts of North America and Europe, which has been shown to affect collisions (Seiler, 2005). However, population control of kangaroos occurs periodically in certain areas and our model framework can accommodate changes in occurrence driven by these factors.

Temporal patterns, such as the crepuscular activity of wildlife, have implications for collision risk. Hourly and seasonal patterns have been implemented differently in wildlife collision research. Some studies treat temporal predictors as a categorical variable (Dussault et al., 2006), whilst others have explicitly defined cyclic functions (Thurfjell et al., 2013). Our model uses three variables to define a functional form relating to the crepuscular (bi-modal) nature of kangaroo activity; which also happens to coincide with peak train activity in particular seasons. This temporal function can be easily modified to suit the behaviour of any target species (e.g. nocturnal or diurnal). Moreover, seasonal movements such as migration (see Neumann et al., 2012) may also be included in the model specification. Kangaroos do not migrate, thus our crepuscular function (equation X) only focussed on the coincidence of peak train activity (no seasonal variation) with peak kangaroo activity using sunrise and sunset times (seasonal variation) when the species is known to be most active (Dawson, 2012).

The collision data used for this study has unique properties with respect to reporting bias and errors. Train operators are obligated to report the time and location of large animal strikes as they usually result in damage to or required cleaning of trains (V/Line, pers. comm.). Therefore, these data are less subject to reporting bias compared to many road collision studies (but see Snow et al., 2015). Moreover, spatial and temporal errors in reported collisions are assumed to be less as standardised mechanisms such as collision report forms, GPS devices, and distance signage are implemented in railway operations. Similar practices are used by some road authorities to collect and archive carcass data (Huijser et al., 2007), however, the coverage is often sparse due to the spatial extent of road networks and temporal uncertainty of collision events. Technology has been shown to assist with data collection (Olson et al., 2014; Shilling, 2015) and similar approaches may also be applied to railway networks.

We used existing data to create predictors for the model framework. The data are publicly-available online and, in some cases, maintained and updated regularly. This reduces potential costs involved in the collection of data. Moreover, the model framework may be easily updated as new information becomes available. As some of the data in the framework result from modelling (species occurrence) or interpolation (train movements), some discretion about potential uncertainty should be used when drawing inferences. For example, the relative effect of each predictor may be weighted according to associated uncertainty or experts may be used to assess sub-model predictions (e.g. species occurrence - see Clevenger et al., 2002; Wintle et al., 2005).

Our framework allows management decisions to be made in two distinct areas: reduction of animal presence (e.g. deterrents or exclusions) or reduction of train threat (e.g. adjusted schedules or speeds). The choice of mitigation may be influenced by the effects of each predictor on collision likelihood (e.g. if speed is more correlated or has a stronger influence). This is determined by examining the model fit or predicting responses based on changes in parameter values (e.g. increasing likelihood of kangaroos). Mitigation choice may also be limited by operational objectives. Fencing may be chosen to exclude animals on railways when changes to train speed and frequencies are not desirable, regardless of the effect in the model. Most high-speed railways are fenced as the speed of trains is the dominant technological characteristic and reducing it may is contrary to its public service objective ().

Each of our management scenarios reduces collisions which is a positive outcome. Although many negative outcomes are related to collisions (e.g. animal welfare, loss of life), monetary costs are a useful metric for comparing management options. From a transportation authority perspective, collisions incur costs through removal of trains from service for cleaning and repair. These costs will vary by railway operator and are useful to compare with costs of mitigation. For example, let us assume that a Victorian regional passenger train must be taken out of service following a collision with a kangaroo and the cost of this activity is $20,000. By reducing expected collisions by 10, we have an estimated savings of $200,000. If the costs of different management strategies are also calculated, a cost-benefit analysis may be performed. This has been applied to collisions with road vehicles (Huijser et al., 2009) and the concepts are similar for railway transport.

Herein, we have demonstrated a model framework that functions as an effective management support tool. It utilises existing sources of data, is logically organised, and is transferable/scalable to other networks and species. Other potential uses of the framework may include an ongoing implementation where the model is updated based on new information and reports risk to operators in real-time.

* 1. Data Accessibility

Model Dataset - Archived on GitHub

R Code - Archived on GitHub

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* 1. Tables

Table 1: Predictor variables used in collision model. Note, prior to modelling all variables were centred by subtracting their means. The collision model includes both the linear and quadratic term of LIGHT.

|  |  |  |
| --- | --- | --- |
| Variable | Description | Units |
| EGK | Relative likelihood of kangaroo occurrence in grid cell | – |
| TRAINS | Train frequency in grid cell | trains h-1 |
| SPEED | Mean train speed in grid cell | km h-1 |
| LIGHT | Relative intensity of ambient light in grid cell based on month | – |
| DAWNORDUSK | Time between dawn and dusk in grid cell based on month | hr |

Table 2: Summary of model fit using all data (n=291120). Highly significant variables are marked with an asterisk.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Variable | Beta Coefficient Estimate | Standard Error of Coefficient Estimate | z-value | Pr(>|z|) |
| Intercept | -7.2 | 0.09 | -79.03 | 0.00E+00\* |
| EGK | 0.61 | 0.06 | 10.46 | 0.00E+00\* |
| TRAINS | 0.01 | 0.09 | 0.16 | 8.71E-01 |
| SPEED | 3.62 | 0.31 | 11.53 | 9.29E-31\* |
| LIGHT | -0.65 | 0.11 | -5.82 | 6.02E-09\* |
| LIGHT2 | -1.87 | 0.17 | -10.85 | 2.06E-27\* |
| DAWNORDUSK | 0.25 | 0.07 | 3.76 | 1.73E-04\* |

Table 3: Summary of predicted collisions based on different management scenarios. Expected collisions are a total across the entire regional network for a period of one year.

|  |  |  |
| --- | --- | --- |
| Scenario | Description | Expected Total Collisions |
| A | no change to current operations or infrastructure | 404 |
| B | moderated train speeds in high kangaroo occurrence areas during peak travel times | 399 |
| C | controlled kangaroo occurrence in areas with highest average speed of trains | 391 |

* 1. Figures

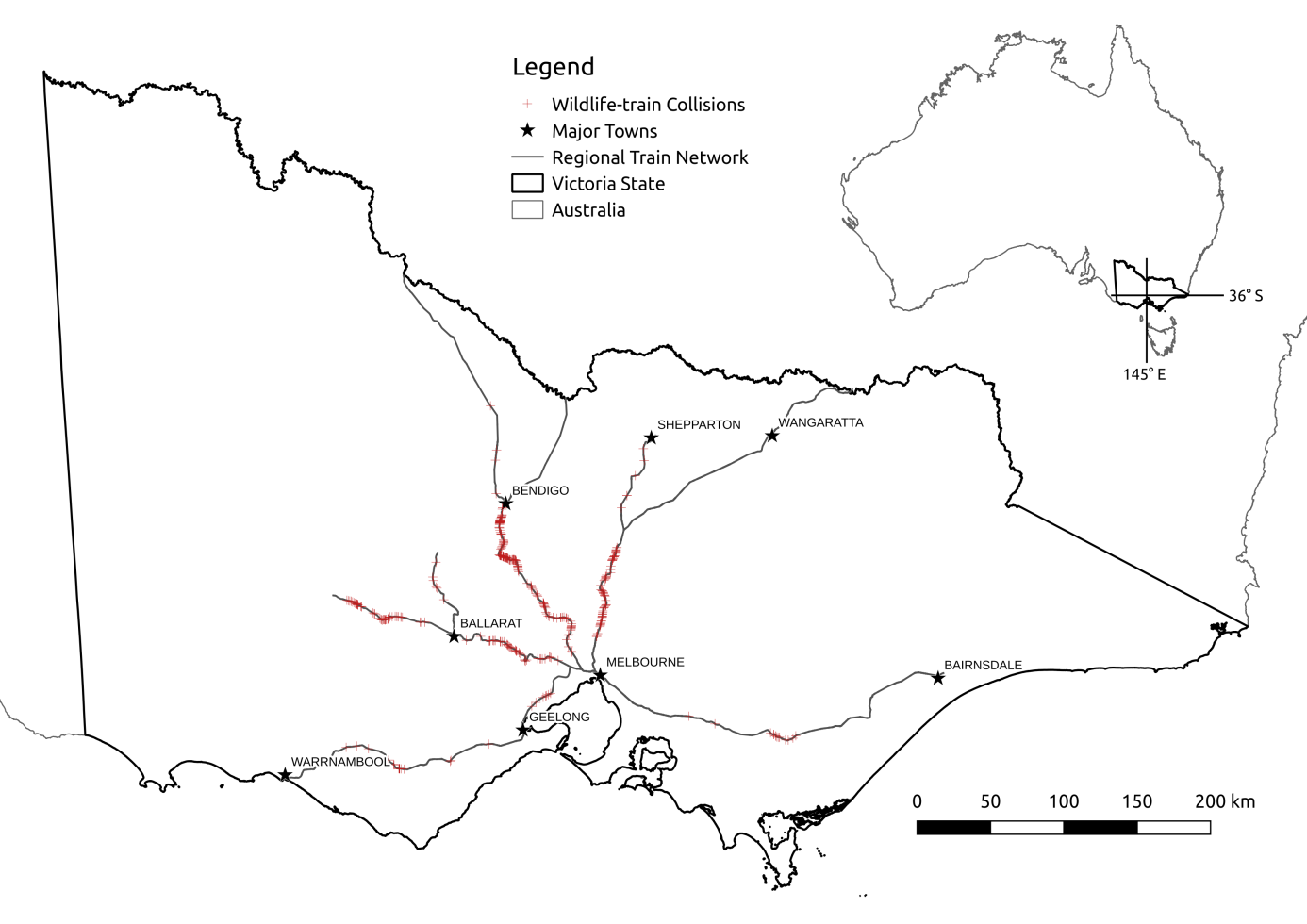
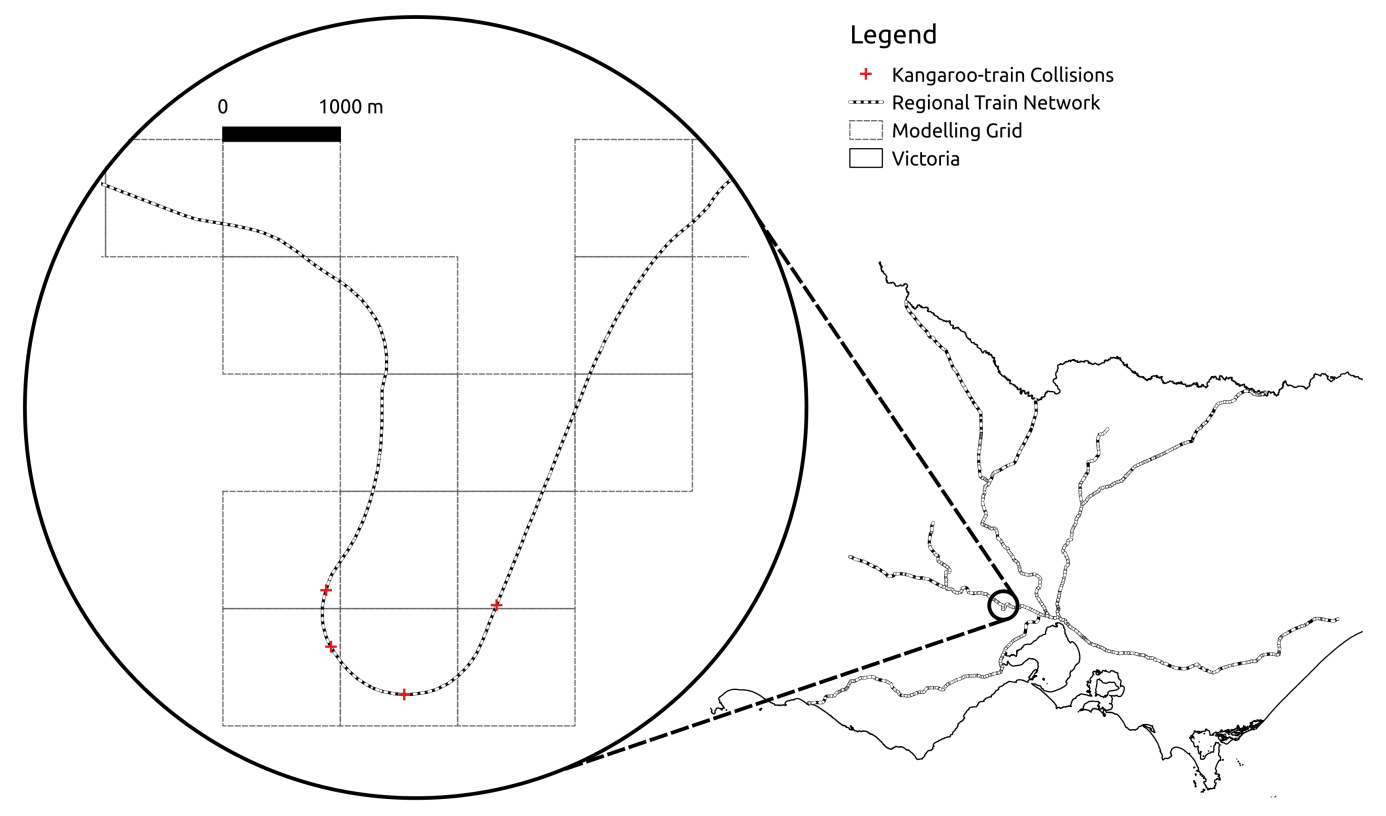
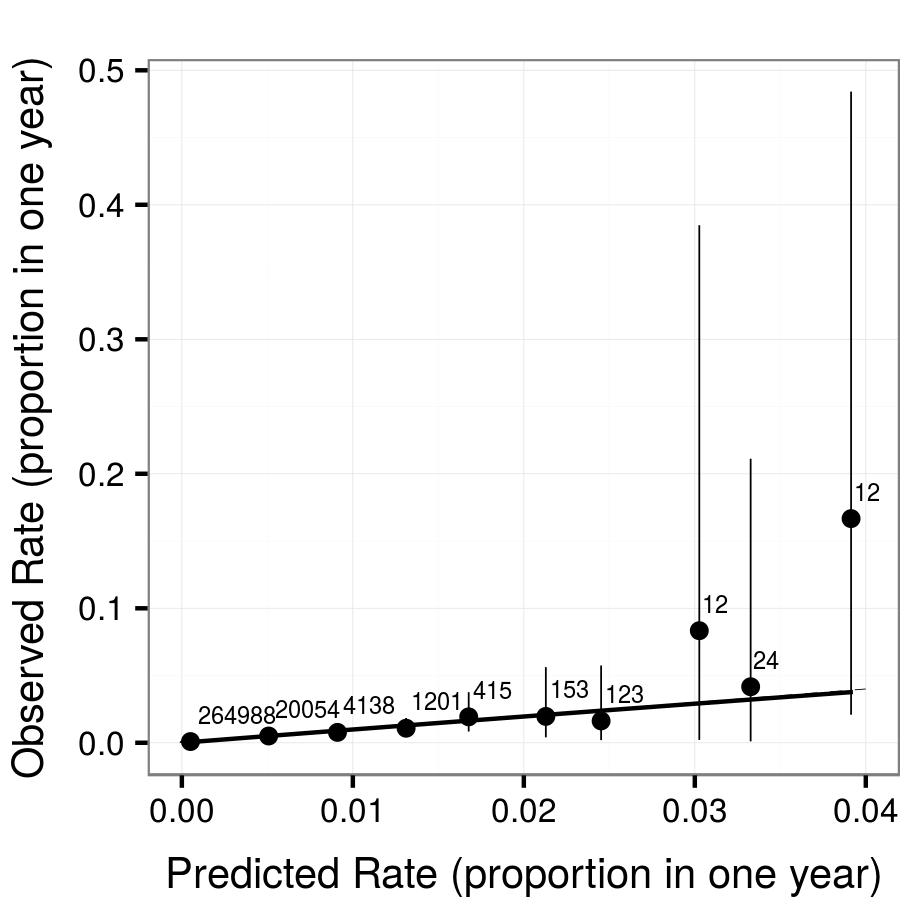
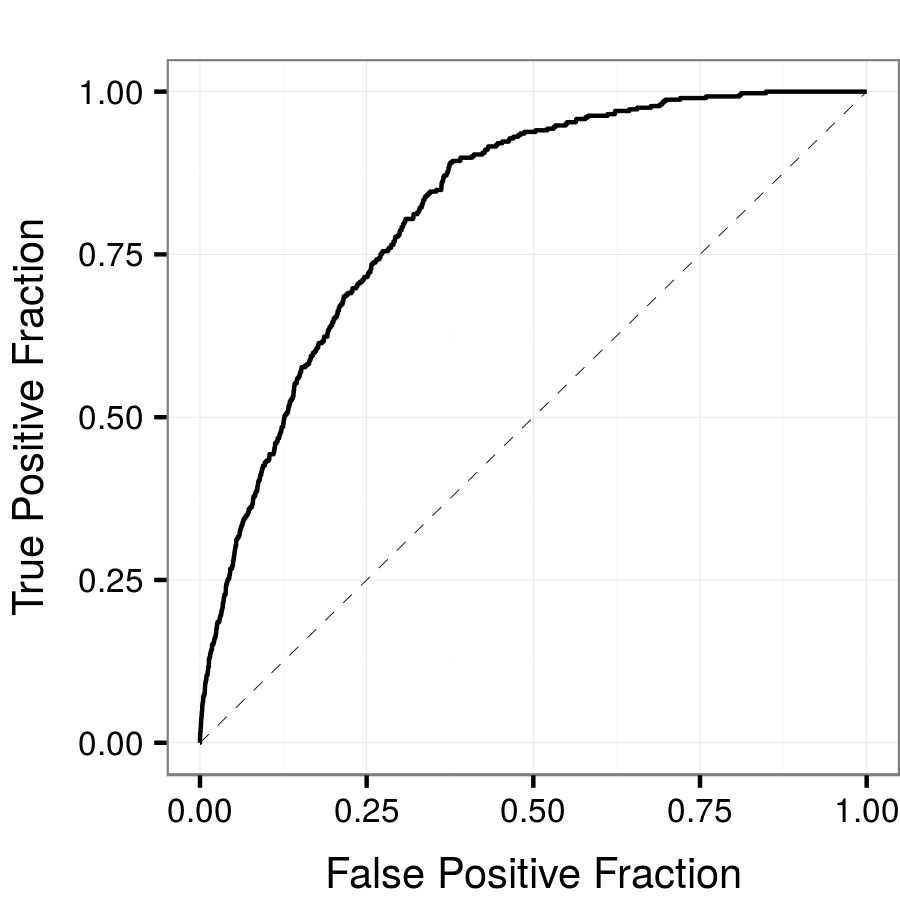
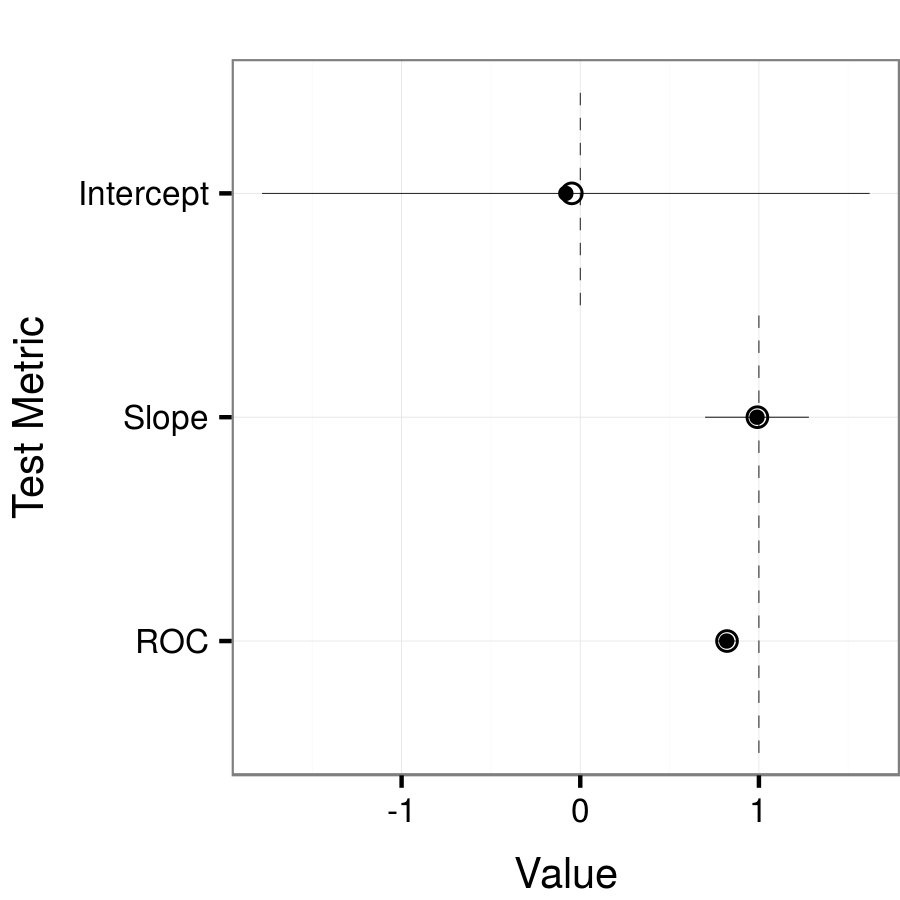
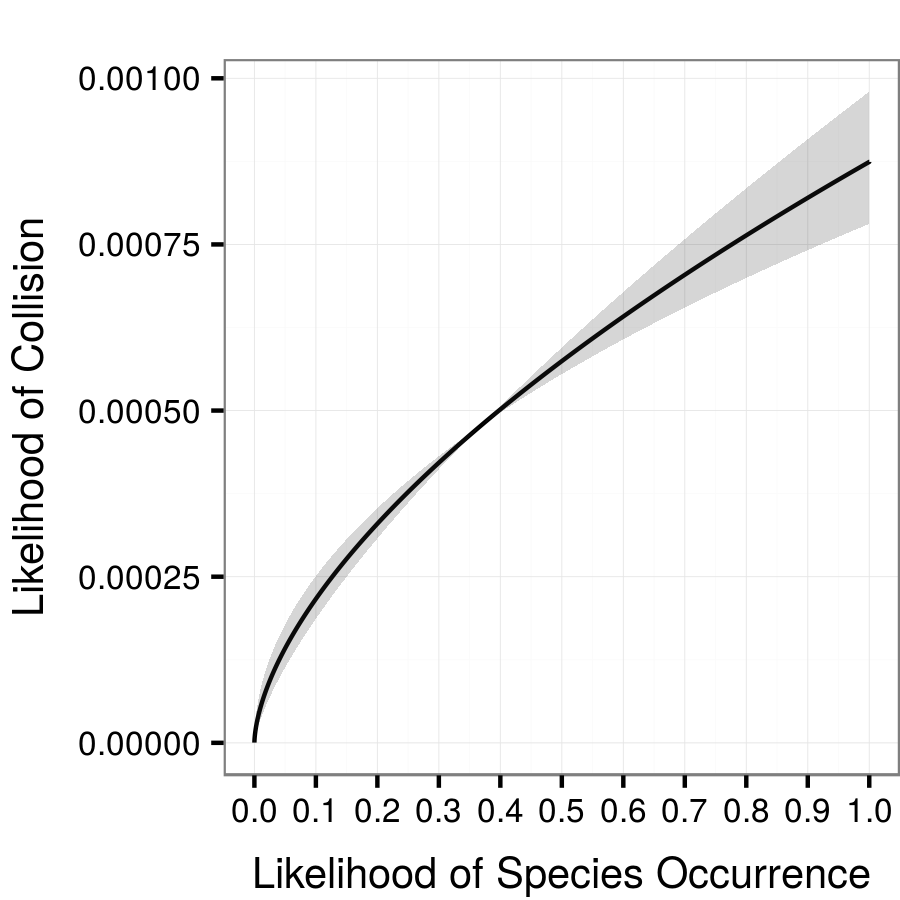


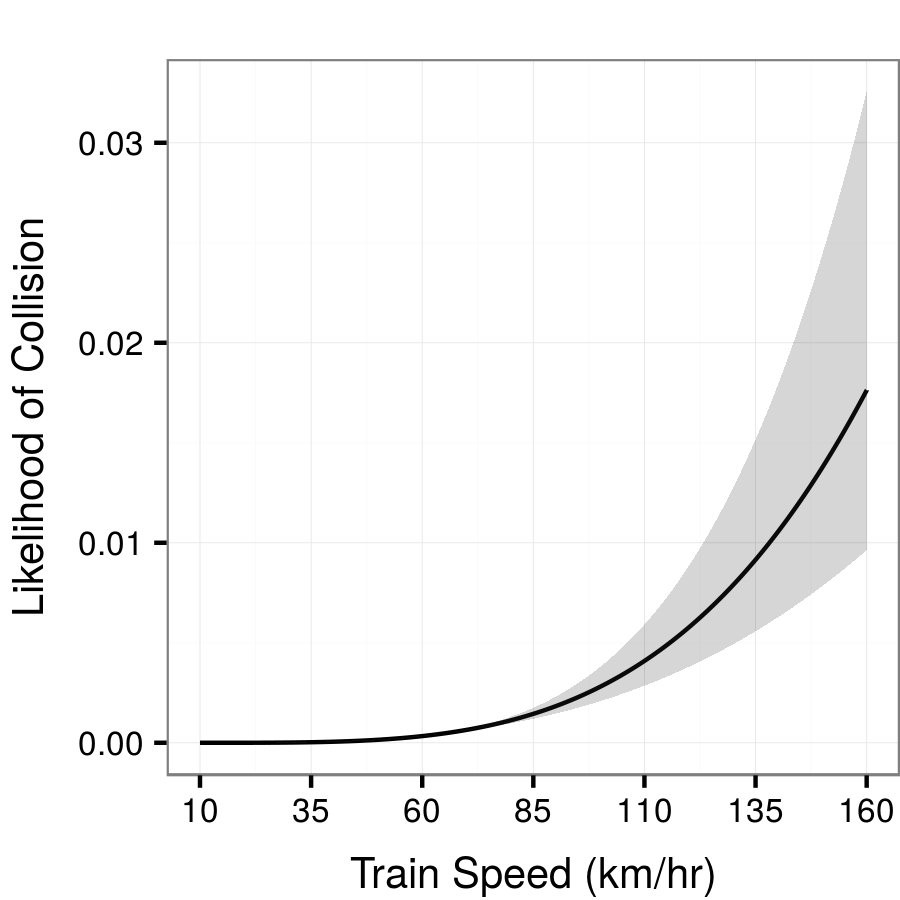
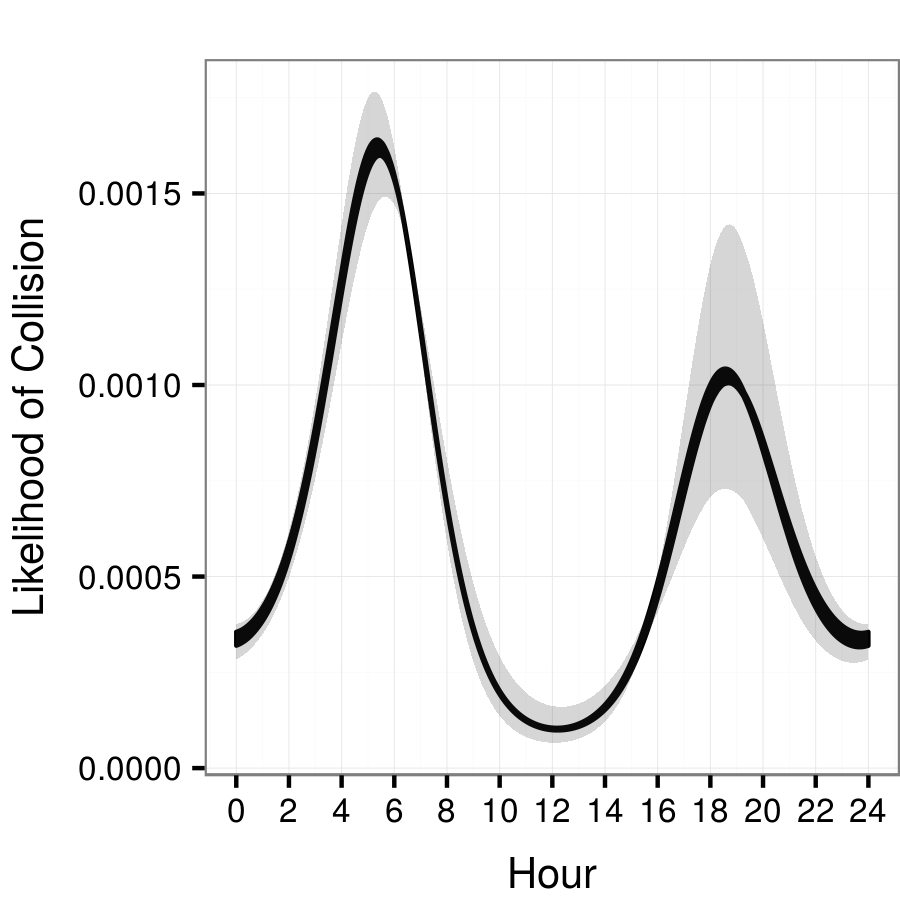
Figure 1: Wildlife-train collisions reported between 2009-2014 in Victoria.



**Figure 2:**  Grid used to organise modelling data (number of cells: 2015; extents: 104000,5741000 x 556000,6084000; projection: GDA94 MGA zone 55)

a) b) c)**Figure 3:**  **a)** Calibration plot showing rate of observed collisions against predicted rate of collisions. Dots represent the observed rate with 95% confidence intervals at the medians of each bin of predictions (10 total). Labels indicate the total observations in each bin. A regression line is shown between the dependent variable and the predicted values (response-scale) of the model. Perfect calibration is shown by the dashed line (intercept of 0 and slope of 1) **b)** ROC (receiver operating characteristic) curve measuring discrimination ability of model at all threshold values (see Metz, 1978). **c)** Comparison between the collision model fit on full data and on cross-validated subsets. "Intercept" and "Slope" result from regressing the dependent variable on the predicted values and measure calibration (see plot a); "ROC" measures discrimination between collisions and no-collisions (see plot b). For each metric, open circles represent the full data model and solid dots represent mean values - 95% confidence intervals shown as bars - for the 1000 cross-validated subsets. Dashed lines indicate the expected values for a perfectly calibrated and discriminatory model.

**Figure 4:**  Marginal effects of model predictors on collision risk. For species occurrence, number of trains, and train speed, non-target variables are held constant at mean values. For the effect of hour on collision risk, species occurrence, number of trains, and train speed were held at mean values. Shading indicates 95% confidence intervals around coefficient estimates.

**Figure S1:**  Components used to build crepuscular function.