

# herding cats

## a chaotic field theory

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[ChaosBook.org/overheads/spatiotemporal](https://ChaosBook.org/overheads/spatiotemporal)

→ Chaotic field theory slides

→  $QM^3$  video channel

" $QM^3$  Quantum Matter meets Maths"

Lisbon

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## Q. what is a chaotic field theory?

### A. it is a field theory

field configuration  $\Phi$  probability

$$p(\Phi) = \frac{1}{Z} e^{-S[\Phi]}, \quad Z = Z[0]$$

partition function = sum over configurations

$$Z[M] = \int [d\phi] e^{-S[\Phi] + \Phi \cdot M}, \quad [d\phi] = \prod_z^{\mathcal{L}} \frac{d\phi_z}{\sqrt{2\pi}}$$

example : Euclidean  $\phi^4$  theory action

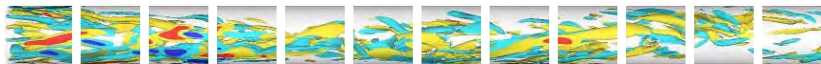
$$S[\Phi] = \int dx^d \left\{ \frac{1}{2} \sum_{i=1}^d (\partial_\mu \phi(x))^2 + \frac{\mu^2}{2} \phi(x)^2 + \frac{g}{4!} \phi(x)^4 \right\}$$

**Q. why a "chaotic" field theory?**

turbulence !

# a motivation : need a theory of **large** turbulent domains

pipe flow close to onset of turbulence <sup>1</sup>



we have a detailed theory of **small** turbulent fluid cells

can we can we construct the **infinite** pipe by coupling small turbulent cells ?

what would that theory look like ?

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<sup>1</sup> M. Avila and B. Hof, Phys. Rev. **E 87** (2013)

## the goal

build  
a chaotic field theory  
from  
the simplest chaotic blocks

using

- time invariance
- space invariance

of the defining partial differential equations

# Dreams of Grand Schemes : solve

Navier-Stokes

$$\rho \frac{\partial u_i}{\partial t} + \rho u_j \frac{\partial u_i}{\partial x_j} = \rho X_i - \frac{\partial p}{\partial x_i} + \mu \nabla^2 u_i$$

Einstein

$$R_{ik} - \frac{1}{2} g_{ik} R = \frac{8\pi k}{c^4} T_{ik}$$

$$R^i_{klm} = \frac{\partial \Gamma^i_{km}}{\partial x^l} - \frac{\partial \Gamma^i_{li}}{\partial x^m} + \Gamma^i_{ne} \Gamma^e_{km} - \Gamma^i_{nm} \Gamma^e_{ke}$$

Yang-Mills

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu}$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g C_{abc} A_\mu^b A_\nu^c$$

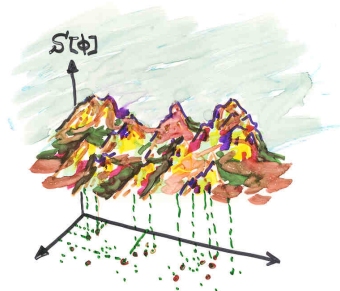
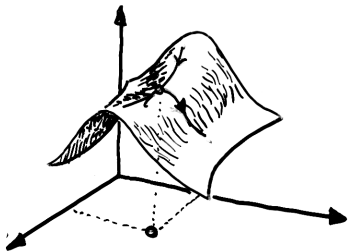
Quantum

# QFT path integrals : semi-classical WKB quantization

a fractal set of saddles

TURBULENT Q.F.T. ?

a local unstable  
extremum



$$(\text{observable}) = \sum_{\text{set}}^{\text{fractal}} \frac{e^{i S_n[\phi_c]/\hbar}}{\sqrt{\frac{\partial^2 S}{\partial \phi_i \partial \phi_j}}}$$

learn to **count** + weigh **unstable saddles**



## Q. what is a chaotic field theory?

### A. say it three times

coin flip

spatiotemporal cat<sup>a</sup> serves here as an introduction to the

spatiotemporally chaotic field theory<sup>b</sup> which is the simplest example of

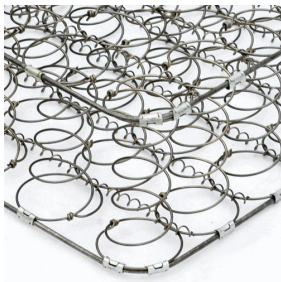
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<sup>a</sup>P. Cvitanović and H. Liang, *Spatiotemporal cat: A chaotic field theory*, In preparation, 2021.

<sup>b</sup>M. N. Guderof et al., *Spatiotemporal tiling of the Kuramoto-Sivashinsky flow*, In preparation, 2021.

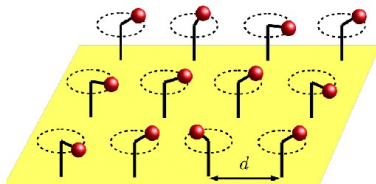
## take-home :

harmonic field theory



tight-binding model  
(Helmholtz)

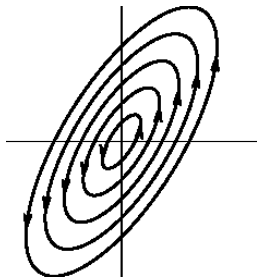
chaotic field theory



Euclidean Klein-Gordon  
(damped Poisson)

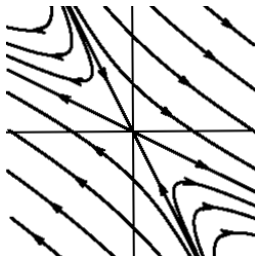
## take-home :

harmonic field theory



oscillatory eigenmodes

chaotic field theory



hyperbolic instabilities

Mephistopheles knocks at Faust's door and says, "Du mußt es dreimal sagen!"

. "You have to say it three times"

— Johann Wolfgang von Goethe

. *Faust I - Studierzimmer 2. Teil*

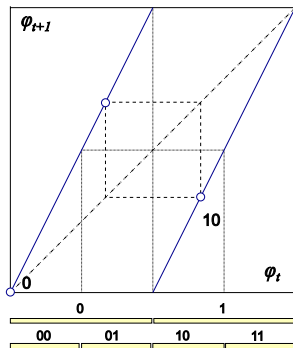
- 1 what this is about
- 2 coin toss
- 3 temporal cat
- 4 spatiotemporal cat
- 5 bye bye, dynamics

(1) coin toss, if you are stuck in XVIII century

time-evolution formulation

## fair coin toss

### Bernoulli map



$$\phi_{t+1} = \begin{cases} 2\phi_t \\ 2\phi_t \pmod{1} \end{cases}$$

$\Rightarrow$  fixed point  $\bar{0}$ , 2-cycle  $\bar{01}$ ,  $\dots$

a coin toss

the essence of deterministic chaos

## what is (mod 1) ?

map with integer-valued 'stretching' parameter  $s \geq 2$  :

$$x_{t+1} = s x_t$$

(mod 1) : subtract the integer part  $m_t = \lfloor s x_t \rfloor$   
so fractional part  $\phi_{t+1}$  stays in the unit interval  $[0, 1)$

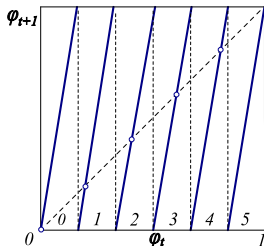
$$\phi_{t+1} = s \phi_t - m_t, \quad \phi_t \in \mathcal{M}_{m_t}$$

$m_t$  takes values in the  $s$ -letter alphabet

$$m \in \mathcal{A} = \{0, 1, 2, \dots, s-1\}$$

## a fair dice throw

### slope 6 Bernoulli map



$$\phi_{t+1} = 6\phi_t - m_t, \quad \phi_t \in \mathcal{M}_{m_t}$$

6-letter alphabet

$$m_t \in \mathcal{A} = \{0, 1, 2, \dots, 5\}$$

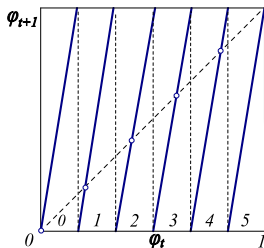
6 subintervals  $\{\mathcal{M}_0, \mathcal{M}_1, \dots, \mathcal{M}_5\}$



# what is chaos ?

## a fair dice throw

6 subintervals  $\{\mathcal{M}_{m_t}\}$ ,  $6^2$  subintervals  $\{\mathcal{M}_{m_1 m_2}\}, \dots$



each subinterval contains a periodic point, labeled by  $M = m_1 m_2 \dots m_n$

$N_n = 6^n - 1$  **unstable** orbits

## definition : chaos is

positive Lyapunov ( $\ln s$ ) - positive entropy ( $\frac{1}{n} \ln N_n$ )

**definition : chaos is**

positive **Lyapunov** ( $\ln s$ ) - positive **entropy** ( $\frac{1}{n} \ln N_n$ )

- **Lyapunov** : how fast is local escape?
- **entropy** : how many ways of getting back?

$\Rightarrow$  **ergodicity**

the precise sense in which **dice throw**  
is an example of deterministic chaos

## (2) field theorist's chaos

lattice formulation

## lattice Bernoulli

recast the time-evolution Bernoulli map

$$\phi_{t+1} = s\phi_t - m_t$$

as 1-step difference equation on the temporal lattice

$$-\phi_{t+1} + s\phi_t = m_t, \quad \phi_t \in [0, 1)$$

field  $\phi_t$ , source  $m_t$

on each site  $t$  of a 1-dimensional lattice  $t \in \mathbb{Z}$

write an  $n$ -sites lattice segment as

the field configuration and the symbol block

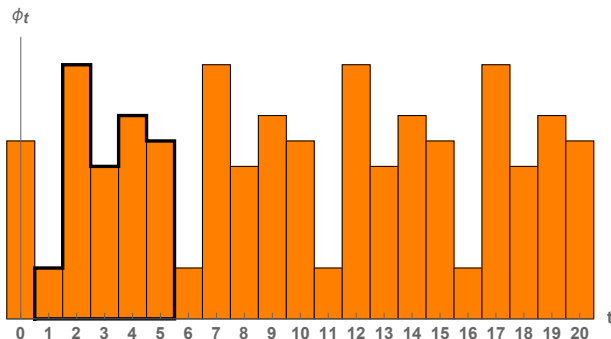
$$\Phi = (\phi_{t+1}, \dots, \phi_{t+n}), \quad \mathbf{M} = (m_{t+1}, \dots, m_{t+n})$$

‘M’ for ‘marching orders’ : come here, then go there, ...

## scalar field theory on 1-dimensional lattice

write a periodic field over  $n$ -sites Bravais cell as  
the **field configuration** and the **symbol block** (sources)

$$\Phi = (\phi_{t+1}, \dots, \phi_{t+n}), \quad \mathbf{M} = (m_{t+1}, \dots, m_{t+n})$$



‘M’ for ‘marching orders’ : come here, then go there, ...

## think globally, act locally

Bernoulli condition at every lattice site  $t$ , local in time

$$-\phi_{t+1} + s\phi_t = m_t$$

is enforced by the global equation

$$(-r + s1) \Phi = M,$$

$[n \times n]$  shift matrix

$$r_{jk} = \delta_{j+1,k}, \quad r = \begin{pmatrix} 0 & 1 & & & \\ & 0 & 1 & & \\ & & & \ddots & \\ & & & 0 & 1 \\ 1 & & & & 0 \end{pmatrix}$$

compares the neighbors

## think globally, act locally

solving the lattice Bernoulli system

$$\mathcal{J}\Phi = M,$$

$[n \times n]$  Hill matrix  $\mathcal{J} = -r + s \mathbf{1},$

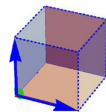
is a search for zeros of the function

$$F[\Phi] = \mathcal{J}\Phi - M = 0$$

the entire global lattice state  $\Phi_M$  is now

a single fixed point  $(\phi_1, \phi_2, \dots, \phi_n)$

in the  $n$ -dimensional unit hyper-cube



$$\Phi \in [0, 1]^n$$

orbit stability



## orbit Jacobian matrix

solving a nonlinear

$$F[\Phi] = 0 \quad \text{fixed point condition}$$

with Newton method requires evaluation of the  $[n \times n]$

## orbit Jacobian matrix

$$\mathcal{J}_{ij} = \frac{\delta F[\Phi]_i}{\delta \phi_j}$$

what does this global orbit Jacobian matrix do?

- 1 fundamental fact !
- 2 global stability of lattice state  $\Phi$ , perturbed everywhere

(1)

fundamental fact

## (1) fundamental fact

to satisfy the fixed point condition

$$\mathcal{J}\Phi - \mathbf{M} = 0$$

the orbit Jacobian matrix  $\mathcal{J}$

- 1 stretches the unit hyper-cube  $\Phi \in [0, 1)^n$  into the  $n$ -dimensional **fundamental parallelepiped**
- 2 maps each periodic point  $\Phi_{\mathbf{M}} \Rightarrow$  integer lattice  $\mathbb{Z}^n$  point
- 3 then translate by integers  $\mathbf{M} \Rightarrow$  into the origin

hence  $N_n = \text{total } \# \text{ solutions} = \# \text{ integer lattice points within the fundamental parallelepiped}$

the **fundamental fact**<sup>2</sup> : **Hill determinant** counts solutions

$$N_n = \text{Det } \mathcal{J}$$

$\#$  integer points in fundamental parallelepiped = its volume

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<sup>2</sup>M. Baake et al., J. Phys. A **30**, 3029–3056 (1997).

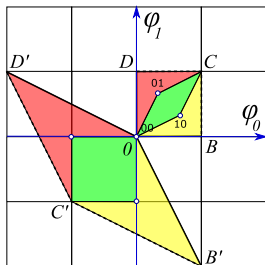
**example : fundamental parallelepiped for  $n = 2$**

orbit Jacobian matrix for  $s = 2$  ;

unit square basis vectors ; their images :

$$\mathcal{J} = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}; \quad \Phi_B = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rightarrow \Phi_{B'} = \mathcal{J} \Phi_B = \begin{pmatrix} 2 \\ -1 \end{pmatrix} \cdots,$$

## Bernoulli periodic points of period 2



$$N_2 = 3$$

fixed point  $\Phi_{00}$

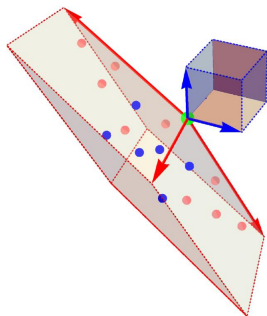
2-cycle       $\Phi_{01}, \Phi_{10}$

square  $[0BCD] \Rightarrow \mathcal{J} \Rightarrow$  fundamental parallelepiped  $[0B'C'D']$

## fundamental fact for any $n$

### an $n = 3$ example

$\mathcal{T}$  [unit hyper-cube] = [fundamental parallelepiped]



unit hyper-cube  $\Phi \in [0, 1)^3$

$n > 3$  cannot visualize

a periodic point  $\Rightarrow$  integer lattice point : ● on a face, ● in the interior

(2)

orbit stability

## (2) orbit stability vs. temporal stability

### orbit Jacobian matrix

$\mathcal{J}_{ij} = \frac{\delta F[\Phi]_i}{\delta \phi_j}$  stability under **global** perturbation of the whole orbit  
for  $n$  large, a huge  $[dn \times dn]$  matrix

### temporal Jacobian matrix

$J$  propagates **initial** perturbation  $n$  time steps  
small  $[d \times d]$  matrix

$J$  and  $\mathcal{J}$  are related by<sup>3</sup>

### Hill's 1886 remarkable formula

$$|\text{Det } \mathcal{J}_M| = |\det(\mathbf{1} - J_M)|$$

$\mathcal{J}$  is **huge**, even  $\infty$ -dimensional matrix  
 $J$  is **tiny**, few degrees of freedom matrix

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<sup>3</sup>G. W. Hill, Acta Math. 8, 1–36 (1886).

## field theorist's chaos

### definition : chaos is

expanding	Hill determinants	$\text{Det } \mathcal{J}$
exponential $\sharp$	field configurations	$N_n$

the precise sense in which  
a (discretized) field theory is deterministically chaotic

**note** : there is no 'time' in this definition



periodic orbit theory

## volume of a periodic orbit

Ozorio de Almeida and Hannay<sup>4</sup> 1984 :

# of periodic points is related to a Jacobian matrix by

### principle of uniformity

“periodic points of an ergodic system, counted with their natural weighting, are uniformly dense in phase space”

where

‘natural weight’ of periodic orbit M

$$\frac{1}{|\det(1 - J_M)|}$$

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<sup>4</sup>A. M. Ozorio de Almeida and J. H. Hannay, J. Phys. A **17**, 3429 (1984).

## periodic orbits partition lattice states into neighborhoods

how come **Hill determinant**  $\text{Det } \mathcal{J}$  counts periodic points ?

‘principle of uniformity’ is in<sup>5</sup>

### periodic orbit theory

known as the **flow conservation** sum rule :

$$\sum_{\mathbf{M}} \frac{1}{|\det(1 - \mathcal{J}_{\mathbf{M}})|} = \sum_{\mathbf{M}} \frac{1}{|\text{Det } \mathcal{J}_{\mathbf{M}}|} = 1$$

sum over periodic points  $\Phi_{\mathbf{M}}$  of period  $n$

state space is divided into

**neighborhoods** of periodic points of period  $n$

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<sup>5</sup>P. Cvitanović, “Why cycle?”, in *Chaos: Classical and Quantum*, edited by P. Cvitanović et al. (Niels Bohr Inst., Copenhagen, 2020).

## periodic orbit counting

how come a  $\text{Det } \mathcal{J}$  counts periodic points ?

**flow conservation sum rule :**

$$\sum_{\Phi_M \in \text{Fix} f^n} \frac{1}{|\text{Det } \mathcal{J}_M|} = 1$$

Bernoulli system 'natural weighting' is simple :

the determinant  $\text{Det } \mathcal{J}_M = \text{Det } \mathcal{J}$  the same for all periodic points,  
whose number thus verifies the **fundamental fact**

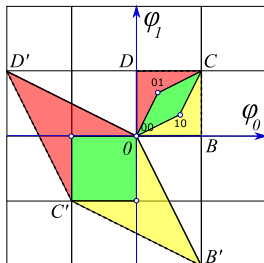
$$N_n = |\text{Det } \mathcal{J}|$$

**the number of Bernoulli periodic lattice states**

$$N_n = |\text{Det } \mathcal{J}| = s^n - 1 \quad \text{for any } n$$

remember the fundamental fact?

## period 2 example



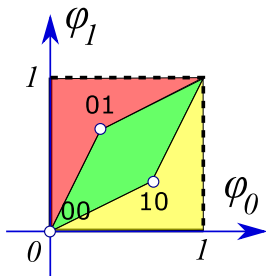
fixed point  $\Phi_{00}$   
2-cycle  $\Phi_{01}, \Phi_{10}$

$\mathcal{I}$  [unit hyper-cube] = [fundamental parallelepiped]

look at preimages of the fundamental parallelepiped :

## example : lattice states of period 2

unit hypercube, partitioned



fixed point  $\Phi_{00}$   
2-cycle  $\Phi_{01}, \Phi_{10}$

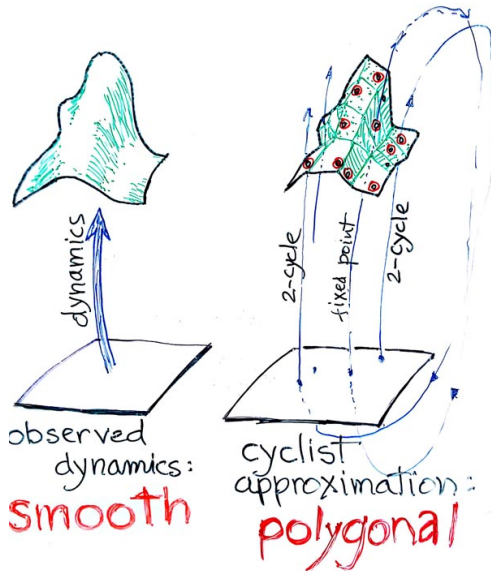
## flow conservation sum rule

$$\frac{1}{|\text{Det } \mathcal{J}_{00}|} + \frac{1}{|\text{Det } \mathcal{J}_{01}|} + \frac{1}{|\text{Det } \mathcal{J}_{10}|} = 1$$

sum over periodic points  $\Phi_M$  of period  $n = 2$

state space is divided into

neighborhoods of periodic points of period  $n$



tessellate the state space by recurrent flows

zeta function



## periodic orbit theory : counting lattice states

### topological zeta function

$$1/\zeta_{\text{top}}(z) = \exp \left( - \sum_{n=1}^{\infty} \frac{z^n}{n} N_n \right)$$

- 1 weight  $1/n$  as by (cyclic) translation invariance,  $n$  lattice states are equivalent
- 2 zeta function counts **orbits**, one per each set of equivalent lattice states

## Bernoulli topological zeta function

counts **orbits**, one per each set of lattice states  $N_n = s^n - 1$

$$1/\zeta_{\text{top}}(z) = \exp \left( - \sum_{n=1}^{\infty} \frac{z^n}{n} N_n \right) = \frac{1 - sz}{1 - z}$$

numerator  $(1 - sz)$  says that Bernoulli orbits are built from  $s$  fundamental **primitive** lattice states,

the fixed points  $\{\phi_0, \phi_1, \dots, \phi_{s-1}\}$

every other lattice state is built from their concatenations and repeats.

**solved!**

this is 'periodic orbit theory'

And if you don't know, now you know

## summary : think globally, act locally

the problem of enumerating and determining all **lattice states** stripped to its essentials :

- 1 each solution is a zero of the global **fixed point** condition

$$F[\Phi] = 0$$

- 2 **global stability** : the orbit Jacobian matrix

$$\mathcal{J}_{ij} = \frac{\delta F[\Phi]_i}{\delta \phi_j}$$

- 3 **fundamental fact** : the number of period- $n$  orbits

$$N_n = |\text{Det } \mathcal{J}|$$

- 4 **zeta function**  $1/\zeta_{\text{top}}(z)$  : all predictions of the theory

## next : a kicked rotor

Du mußt es dreimal sagen!

— Mephistopheles

- 1 what this is about
- 2 coin toss
- 3 **kicked rotor**
- 4 spatiotemporal cat
- 5 bye bye, dynamics

## coin toss ? that's not physics !

Field Theory should be Hamiltonian and energy conserving  
Quantum Mechanics requires it

because that is physics !

need a system as simple as the Bernoulli, but mechanical

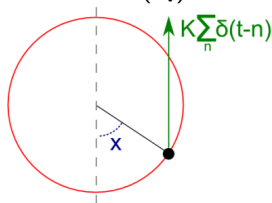
so, we move on from running in circles,  
to a mechanical rotor to kick.

## (1) the traditional cat

time-evolution formulation

## example of a “small domain” dynamics : a single kicked rotor

an electron circling an atom, subject to  
a discrete time sequence of angle-dependent kicks  $F(x_t)$



### Taylor, Chirikov and Greene standard map

$$\begin{aligned}x_{t+1} - x_t &= p_{t+1} \quad \text{mod } 1 \\p_{t+1} - p_t &= F(x_t)\end{aligned}$$

→ chaos in Hamiltonian systems

## the simplest example : a cat map evolving in time

force  $F(x) = Kx$  linear in the displacement  $x$  ,  $K \in \mathbb{Z}$

$$x_{t+1} = x_t + p_{t+1} \quad \text{mod } 1$$

$$p_{t+1} = p_t + Kx_t \quad \text{mod } 1$$

Continuous Automorphism of the Torus, or

### time-evolution cat map

a linear, area preserving map of a 2-torus onto itself

$$\begin{bmatrix} \phi_t \\ \phi_{t+1} \end{bmatrix} = J \begin{bmatrix} \phi_{t-1} \\ \phi_t \end{bmatrix} - \begin{bmatrix} 0 \\ m_t \end{bmatrix}, \quad J = \begin{bmatrix} 0 & 1 \\ -1 & s \end{bmatrix}$$

for integer 'stretching'  $s = \text{tr } J > 2$  the map is

beloved by ergodicists :

hyperbolic  $\Rightarrow$  perfect chaotic Hamiltonian dynamical system



## a cat is literally Hooke's wild, 'anti-harmonic' sister

### for $s < 2$ Hooke rules

local restoring oscillations  
around the sleepy z-z-z-zzz resting state

### for $s > 2$ cats rule

exponential runaway  
wrapped global around a phase space torus

cat is to chaos what harmonic oscillator is to order

there is no more fundamental example of chaos in mechanics

(2) spatiotemporal cat

lattice formulation

## cat map in lattice formulation

replace momentum by velocity

$$p_{t+1} = (\phi_{t+1} - \phi_t)/\Delta t$$

obtain

$$\begin{bmatrix} \phi_t \\ \phi_{t+1} \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -1 & s \end{bmatrix} \begin{bmatrix} \phi_{t-1} \\ \phi_t \end{bmatrix} - \begin{bmatrix} 0 \\ m_t \end{bmatrix}$$

temporal lattice formulation is pretty<sup>6</sup> :

### 2-step difference equation

$$-\phi_{t+1} + s\phi_t - \phi_{t-1} = m_t$$

integer  $m_t$  ensures that

$\phi_t$  lands in the unit interval

$$m_t \in \mathcal{A}, \quad \mathcal{A} = \{\text{finite alphabet}\}$$

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<sup>6</sup>I. Percival and F. Vivaldi, *Physica D* **27**, 373–386 (1987).

think globally, act locally

spatiotemporal cat at every instant  $t$ , local in time

$$-\phi_{t+1} + \mathcal{S} \phi_t - \phi_{t-1} = m_t$$

is enforced by the global equation

$$\mathcal{J} \Phi = M,$$

where

## orbit Jacobian matrix

$$\mathcal{J} \Phi - M = 0$$

with

$$\Phi = (\phi_{t+1}, \dots, \phi_{t+n}), \quad M = (m_{t+1}, \dots, m_{t+n})$$

a [lattice state](#), and a [symbol block](#)

and  $[n \times n]$  [orbit Jacobian matrix](#)  $\mathcal{J}$  is

$$-r + s \mathbb{I} - r^{-1} = \begin{pmatrix} s & -1 & & -1 \\ -1 & s & -1 & \\ & -1 & \ddots & \\ & & s & -1 \\ -1 & & -1 & s \end{pmatrix}$$

## think globally, act locally

solving the spatiotemporal cat equation

$$\mathcal{J}\Phi = M,$$

with the  $[n \times n]$  matrix  $\mathcal{J} = -r + s \mathbb{1} - r^{-1}$

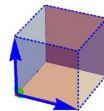
can be viewed as a search for zeros of the function

$$F[\Phi] = \mathcal{J}\Phi - M = 0$$

where the entire global lattice state  $\Phi_M$  is

a single fixed point  $\Phi_M = (\phi_1, \phi_2, \dots, \phi_n)$

in the  $n$ -dimensional unit hyper-cube

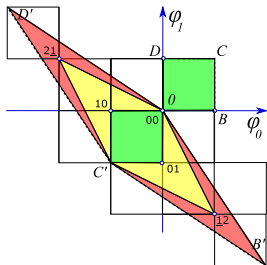


$$\Phi \in [0, 1)^n$$

## fundamental fact in action

### temporal cat fundamental parallelepiped for period 2

square  $[0BCD] \Rightarrow \mathcal{J}$  = fundamental parallelepiped  $[0B'C'D']$



$$N_2 = |\text{Det } \mathcal{J}| = 5$$

fundamental parallelepiped  
= 5 unit area quadrilaterals

a periodic point per each unit volume

## spatiotemporal cat zeta function

is the generating function that counts **orbits**  
substituting the **Hill determinant** count of periodic lattice states

$$N_n = \text{Det } \mathcal{J}$$

into the topological zeta function

$$1/\zeta_{\text{top}}(z) = \exp \left( - \sum_{n=1} \frac{z^n}{n} N_n \right)$$

leads to the elegant explicit formula<sup>7</sup>

$$1/\zeta_{\text{top}}(z) = \frac{1 - sz + z^2}{1 - 2z + z^2}$$

**solved!**

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<sup>7</sup>S. Isola, Europhys. Lett. **11**, 517–522 (1990).



## what continuum theory is temporal cat discretization of?

have

### 2-step difference equation

$$-\phi_{t+1} + s\phi_t - \phi_{t-1} = m_t$$

discrete lattice

### Laplacian in 1 dimension

$$\phi_{t+1} - 2\phi_t + \phi_{t-1} = \square \phi_t$$

so temporal cat is an (anti)oscillator chain, known as

### $d = 1$ Klein-Gordon (or damped Poisson) equation (!)

$$(-\square + \mu^2) \phi_t = m_t, \quad \mu^2 = s - 2$$

did you know that a cat map can be so cool?

that's it! for spacetime of **any** dimension

lattice Klein-Gordon equation

$$(-\square + \mu^2) \phi_t = m_t$$

solved completely and analytically!

## summary : think globally, act locally

the problem of determining all global solutions stripped to its bare essentials :

- 1 each solution a zero of the global **fixed point** condition

$$F[\Phi] = 0$$

- 2 compute the orbit Jacobian matrix

$$\mathcal{J}_{ij} = \frac{\delta F[\Phi]_i}{\delta \phi_j}$$

- 3 **fundamental fact**  $N_n = |\text{Det } \mathcal{J}| = \text{period-}n \text{ states}$

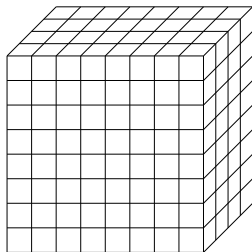
- 4  $\Rightarrow$  **zeta function**  $1/\zeta_{\text{top}}(z)$

chaotic field theory

# Euclidean lattice field theory

**scalar field**  $\phi(x)$

evaluated on lattice points

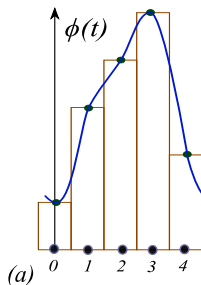


$$\begin{aligned}\phi_z &= \phi(x) \\ x &= a z = \text{lattice point} \\ z &\in \mathbb{Z}^d\end{aligned}$$

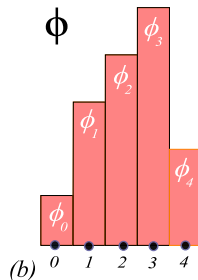
a periodic point per each unit cell

## example : discretization of a 1d field

scalar *field*  $\phi(x)$  evaluated on lattice points



periodic field  $\phi(t)$   
is a function of  
continuous coordinate  $t$



corresponding discretized  
period-5 lattice state  
 $\Phi = \overline{\phi_0 \phi_1 \phi_2 \phi_3 \phi_4},$

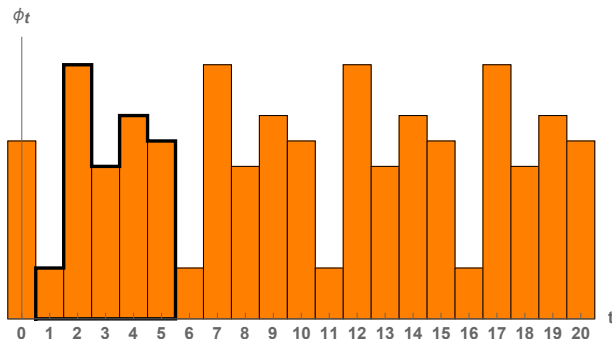
Horizontal:  $t$  coordinate, lattice sites marked by  
dots, labelled by  $t \in \mathbb{Z}$

the value of the discretized field  $\phi_t \in \mathbb{R}$  is plotted as  
a bar centred at lattice site  $t$

## Bravais cell lattice tiling

write a periodic field over  $n$ -sites Bravais cell as the **lattice state** and the **symbol block** (sources)

$$\Phi = (\phi_{t+1}, \dots, \phi_{t+n}), \quad M = (m_{t+1}, \dots, m_{t+n})$$



‘M’ for ‘marching orders’ : come here, then go there,  $\dots$

## field theory is defined by its action

### field theory

field configuration  $\Phi$  occurs with probability

$$p(\Phi) = \frac{1}{Z} e^{-S[\Phi]}, \quad Z = Z[0]$$

partition function = sum over all configurations

$$Z[M] = \int [d\phi] e^{-S[\Phi] + \Phi \cdot M}, \quad [d\phi] = \prod_z^{\mathcal{L}} \frac{d\phi_z}{\sqrt{2\pi}}$$

‘source’  $M$



## example : Euclidean $\phi^4$ theory

### continuum action

$$S = \int dx^d \left\{ \frac{1}{2} \sum_{i=1}^d (\partial_\mu \phi(x))^2 + \frac{\mu^2}{2} \phi(x)^2 + \frac{g}{4!} \phi(x)^4 \right\}$$

### lattice action

$$S[\Phi] = \sum_{z,z'} \frac{1}{2} \left\{ \phi_z \left( -\square + \mu^2 \right)_{zz'} \phi_{z'} \right\} + \sum_z \frac{g}{4!} \phi_z^4.$$

in 'lattice units' :  $a = 1$

# QFT path integrals : semi-classical WKB quantization

a fractal set of saddles

TURBULENT Q.F.T. ?

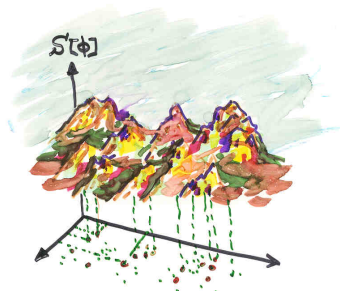
## WKB backbone

classical field theory

extremal condition  $\rightarrow$  eqs

$$\frac{\delta S[\phi]}{\delta \phi_z} = m_z$$

classical solution  $\phi$   
satisfies the extremal  
condition on every lattice  
site

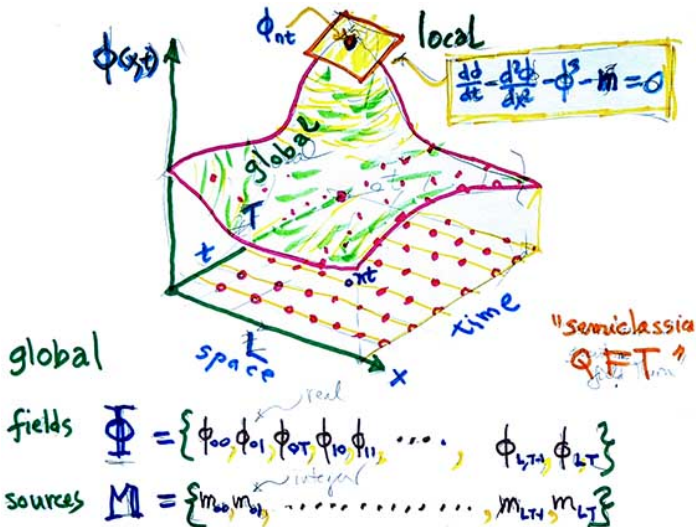


$$(\text{observable}) = \sum_{\text{set}}^{\text{fractal}} \frac{e^{i S_n[\phi_c]/\hbar}}{\sqrt{\frac{\partial^2 S}{\partial \phi_i \partial \phi_j}}}$$

learn to

**count** + weigh **unstable saddles**

think globally, act locally



for each symbol array  $M$ , a periodic lattice state  $\Phi_M$

## orbit Jacobian (Hill, Hessian, ...) matrix

each lattice state has its own

$$\mathcal{J}[\Phi] = \begin{pmatrix} s_0 & -1 & 0 & 0 & \cdots & 0 & 0 & -1 \\ -1 & s_1 & -1 & 0 & \cdots & 0 & 0 & 0 \\ 0 & -1 & s_2 & -1 & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & -1 & s_{n-2} & -1 \\ -1 & 0 & 0 & 0 & \cdots & 0 & -1 & s_{n-1} \end{pmatrix},$$

stretching factor  $s_t = V''[\phi_t]$  is

function of the site field  $\phi_t$  for the given lattice state  $\Phi$

- 1 can compute Hill determinant  $\text{Det } \mathcal{J}$
- 2 Hill-Lindstedt-Poincaré :  
all calculations should be done on reciprocal lattice
- 3 toolbox : discrete Fourier transforms, irreps of  $D_n$

## popular 1d lattice field theories

spatiotemporal lattice field theory

$$-\phi_{t+1} + V'[\phi_t] - \phi_{t-1} = m_t$$

spatiotemporal Bernoulli

$$-\phi_{t+1} + s\phi_t = m_t$$

spatiotemporal cat

$$-\phi_{t+1} + s\phi_t - \phi_{t-1} = m_t$$

spatiotemporal Hénon

$$-\phi_{t+1} + a\phi_t^2 - \phi_{t-1} = m_t$$

spatiotemporal  $\phi^4$  theory

$$-\phi_{t+1} + \frac{g}{3!}\phi_t^3 - \phi_{t-1} = m_t$$

## in crystallography symmetries rule

There are only two 1-dimensional space groups  $G$ :  
*p1* infinite cyclic group  $C_\infty$  of all lattice translations,

$$C_\infty = \{\cdots, r_{-2}, r_{-1}, 1, r_1, r_2, r_3, \cdots\}$$

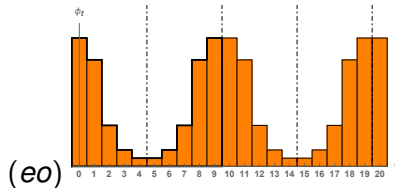
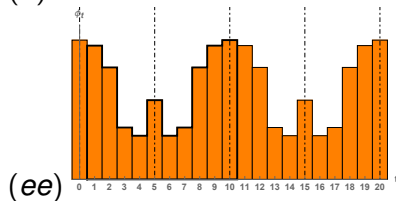
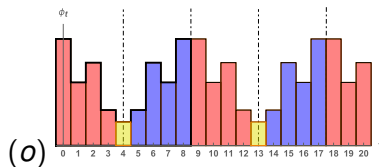
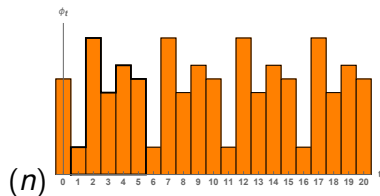
*p1m* infinite dihedral group  $D_\infty$  of all translations and reflections<sup>9</sup>,

$$D_\infty = \{\cdots, r_{-2}, \sigma_{-2}, r_{-1}, \sigma_{-1}, 1, \sigma, r_1, \sigma_1, r_2, \sigma_2, \cdots\}$$

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<sup>9</sup>Y.-O. Kim et al., Pacific J. Math. **209**, 289–301 (2003).

## 4 kinds of Bravais lattice states



(n) *no reflection symmetry*:  $H_5$  invariant period-5 lattice state

(o) *odd period, symmetric*: an  $H_{9,8}$  invariant period-9

(ee) *even period, even symmetric*:  $H_{10,0}$  invariant period-10

(eo) *even period, odd symmetric*:  $H_{10,9}$  invariant period-10

## group actions

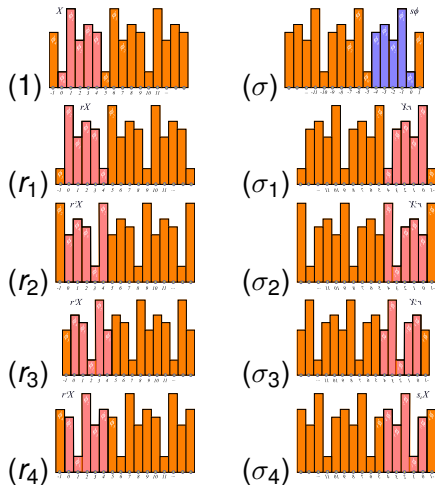
group multiplication  $g_i g_j$

	$r_j$	$\sigma_j$
$r_i$	$r_{i+j}$	$\sigma_{j-i}$
$\sigma_i$	$\sigma_{i+j}$	$r_{j-i}$

either adds up translations,  
or shifts and then reverses their direction



# $D_\infty$ orbit of a generic lattice state



lattice state  $\Phi = \overline{\phi_0\phi_1\phi_2\phi_3\phi_4}$ , no reflection symmetry  
 $D_\infty$ -orbit is isomorphic to  $D_5$  : 10 distinct lattice states

## zeta functions unlike 1980's

periodic orbit theory : counting lattice states<sup>10</sup>

### Lind zeta function

$$\zeta_{Lind}(t) = \exp \left( \sum_H \frac{N_H}{|G/H|} t^{|G/H|} \right)$$

sum is over all subgroups  $H$  of space group  $G$

$N_H$  is the number of fixed points of  $H$

$|G/H|$  is the number of states in  $H$  orbit

- 1 Lind zeta function counts group **orbits**, one per each set of equivalent lattice states

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<sup>10</sup>D. A. Lind, "A zeta function for  $Z^d$ -actions", in *Ergodic Theory of  $Z^d$  Actions*, edited by M. Pollicott and K. Schmidt (Cambridge Univ. Press, 1996), pp. 433–450.

## zeta functions unlike 1980's

periodic orbit theory :

counting lattice states for reflection-symmetric systems<sup>11,12</sup>

### Kim-Lee-Park zeta function

$$\zeta_{\sigma}(t) = \sqrt{\zeta_{top}(t^2)} e^{h(t)},$$

where  $\zeta_{top}$  is the Artin-Mazur zeta function, and the counts of the 3 kinds of symmetric orbits are

$$h(t) = \sum_{m=1}^{\infty} \left\{ N_{2m-1,0} t^{2m-1} + (N_{2m,0} + N_{2m,1}) \frac{t^{2m}}{2} \right\}$$

---

<sup>11</sup>M. Artin and B. Mazur, *Ann. Math.* **81**, 82–99 (1965).

<sup>12</sup>Y.-O. Kim et al., *Pacific J. Math.* **209**, 289–301 (2003).

- 1 coin toss
- 2 kicked rotor
- 3 spatiotemporal cat
- 4 **bye bye, dynamics**

## insight 1 : how is turbulence described?

**not by the evolution of an initial state**

exponentially unstable system have finite (Lyapunov) time and space prediction horizons

but

**by enumeration of admissible field configurations**

and their natural weights

## insight 2 : description of turbulence by d-tori

### 1 time, 0 space dimensions

a phase space point is *periodic* if its orbit returns to itself after a finite time  $T$ ; such orbit tiles the time axis by infinitely many repeats

### 1 time, $d-1$ space dimensions

a phase space point is *spatiotemporally periodic* if it belongs to an invariant  $d$ -torus  $\mathcal{R}$ ,  
i.e., a block  $M_{\mathcal{R}}$  that tiles the lattice state  $M$ ,  
with period  $\ell_j$  in  $j$ th lattice direction

### insight 3 : can compute 'all' solutions

Bernoulliland - rough initial guesses converge

no exponential instabilities

reciprocal lattice

## what we still do not understand today

- 1 solved so far only 1-dimensional spatiotemporal lattice, point group  $D_1$
- 2 should all time-reversal symmetric systems be analyzed this way ?
- 3 should all dynamical systems should be solved on reciprocal lattice ?
- 4 for 2-dimensional spatiotemporal chaotic field theory, still have to do this for square lattice point group  $D_4$
- 5 then, solve the problem of turbulence (Navier-Stokes, Yang-Mills, general relativity)



**Verbrechen des Jahrhunderts : das Ende der Zeit**

**die Zeit ist tot**  
also, an die Arbeit!

## bye bye, dynamics

- 1 goal : describe states of turbulence in infinite spatiotemporal domains
- 2 theory : classify, enumerate all spatiotemporal tilings
- 3 example : spatiotemporal cat, the simplest model of “turbulence”

there is no more time

there is only enumeration of  
admissible spacetime field configurations

crime of the century : this the end of time

time is dead

now, get to work

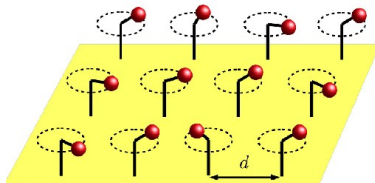
## take-home :

traditional field theory



Helmholtz

chaotic field theory



damped Poisson, Yukawa