# More General Regularization Terms and Image Inpainting

### **Background:**

Based on the idea of Tikhonov regularization we can use more general regularization terms by transforming the vector x with some matrix  $G \in \mathbb{R}^{m \times n}$ . Specifically, let us consider the more general regularized problem

$$\min_{x \in \mathbb{R}^n} \|Ax - b\|_2^2 + \frac{\delta}{2} \|Gx\|_2^2, \quad \delta > 0 \text{ small.}$$
 (1)

The corresponding "regularized" normal equation then reads as

$$(A^T A + \delta G^\top G)x = A^T b. (2)$$

Observe that for G = I we obtain the standard Tikhonov (or  $L^2$ -) regularization. We easily see that if  $G^{\top}G$  is positive definite, then so is  $A^TA + \delta G^{\top}G$  for positive  $\delta$ , so that (2) is uniquely solvable.

We will apply this framework to the problem of image inpainting. Therefore assume you are given the deteriorated image b, which is obtained from the unknown original image x through the following masking operation

$$b_i = \begin{cases} x_i & i \in \text{indices,} \\ 0 & \text{else,} \end{cases}$$
 (3)

where indices is a list of random pixels. In words, the pixels in indices survived, the rest is set to zero and therefore lost. We want to recover those lost pixels. Note that the images are considered being flattened and thus vectors, so that the masking operation (3) can be written as a matrix-vector product

$$h = A x$$

for some quadratic matrix A. You will see below that A is not of full rank, so that we cannot simply solve this equation. Instead, we will seek for solutions of the regularized least squares problem (1) by solving the linear equation (2). For this purpose will stick to a particular G which is related to what is called Sobolev (or  $H^1$ -) regularization. Specifically we consider the 1-d finite difference quotient

$$G = \begin{pmatrix} 1 & & & \\ -1 & 1 & & & \\ & \ddots & \ddots & \\ & & -1 & 1 \end{pmatrix} \in \mathbb{R}^{(n+1)\times n},\tag{4}$$

which has 1 on the main diagonal, -1 on the first lower off-diagonal, 0 everywhere else and is of dimension  $(n+1) \times n$ . Then, given the measured image b, the masking operator A (we assume that we know which pixels are original) and the regularization G we reconstruct the unknown image x by solving equation (2). See Figure below for an example experiment.

Example experiment.

## Step by Step

First note that due to the high dimensional image data you need to work with sparse matrices (scipy.sparse); specifically you should work with the CSR format.

1. **Choose original image:** Choose an image and load it in gray-scale as  $H \times W$  numpy.ndarray using the code snippet:

```
def load_image_as_gray(path_to_image):
    import matplotlib
    img = matplotlib.image.imread(path_to_image)
    # ITU-R 601-2 luma transform (rgb to gray)
    img = np.dot(img, [0.2989, 0.5870, 0.1140])
    return img
```

2. Masking: Write a function

```
b, indices = masking(img, percentage),
```

which takes as input an image img as  $H \times W$  numpy.ndarray and a number percentage  $\in (0,1)$  which indicates the percentage of pixels that are randomly kept. It shall return the masked image b as an  $n := (H \cdot W)$ -dimensional vector and the list indices  $\subset \{0, \ldots, n-1\}$  indicating which pixels are original.

- Ultimately, the image needs to become a vector. For this purpose you can use for example the method .ravel(). No matter which method/function you choose, be aware of how the vector is flattened (standard is often: row-major/C-style order).
- To generate a random set of indices based on the parameter percentage have a look at the function numpy.random.choice.
- 3. Solving: Write a function

```
reconImg = inpainting(b, indices, delta, G),
```

which expects a deteriorated image b as vector of length n, a list indices  $\subset \{0, \ldots, n-1\}$  of length  $\le n$  indicating which pixels are original, a regularization parameter delta > 0 and a matrix  $G \in \mathbb{R}^{m \times n}$ . It then solves (2) and returns the solution as an n-dimensional vector reconImg.

- The sparse  $(n \times n)$  masking matrix A is zero everywhere except for  $a_{ii} = 1$  for  $i \in indices$ . For example, you can easily implement this matrix with sparse.coo\_matrix and then transform it to CSR format via its method .tocsr().
- You can implement the sparse matrix G from (4) with the help of the function scipy.sparse.eye.
- You can then solve the system (2) with scipy.sparse.linalg.spsolve.

# 4. Analysis:

- Play around with different choices for the parameters delta and percentage.
- You can plot your images (original, masked, reconstructed) with

```
matplotlib.pyplot.imshow((...).reshape(H,W), cmap='gray').
```

- Bonus: Try to recover the image with standard Tikhonov regularization, i.e., G = I. Do you have an idea why this does not work here?
- Bonus: With this choice of *G*, you get flattening artifacts in one dimension (horizontal or vertical depending on your flattening approach) and at the boundary of the reconstructed image. Do you have an idea why?
- 5. Bonus: Instead of removing randomized set of pixels, remove a patch of the image. Can you reconstruct it?

#### **Solution:**

```
import numpy as np
import matplotlib.pyplot as plt
import scipy.sparse as sparse
import scipy.sparse.linalg
def load_image_as_gray(path_to_image):
    import matplotlib
   img = matplotlib.image.imread(path_to_image)
    # ITU-R 601-2 luma transform (rgb to gray)
    img = np.dot(img, [0.2989, 0.5870, 0.1140])
    return img
def masking(img, percentage):
    """Randomly sets (1-percentage) \pm 100 % of the pixels to zero
    Parameters
    img : (H, W) ndarray
         original image
    percentage : float
                 number in (0,1)
    Returns
    b : ndarray
       masked image of shape (H*W, 1)
    indices : list
              of length \langle H*W, \text{ subset of } \{0, \ldots, (H*W-1)\}
              contains indices of pixels that were kept
    # flatten image in C order, i.e., append row by row
    print("Image dimensions: ", img.shape)
    img = img.ravel()
    n = len(img)
    # masking operator
    indices = np.random.choice(np.arange(n), replace=False,
                                size=int(n * percentage))
    b = np.zeros(n)
    b[indices] = img[indices]
    return b, indices
def inpainting(b, indices, delta, G):
    inpainting based on trivial 1-d Sobolev Regularization
    Parameters
    b : ndarray
       of shape (n, 1)
    indices : list or array-like
              of length \leq n, subset of \{0, \ldots, (n-1)\}
              contains indices of pixels that were kept
    delta : float
            positive number, regularization parameter
    G : numpy.ndarray
```

```
of dimension (m,n), determining regularization
    Returns
    reconImg : ndarray
              of shape (n, 1)
              reconstructed image
   n = len(b)
   # masking operator with A = A.T@A (n,n)
   A = sparse.coo_matrix((np.ones(len(indices)),
                          (indices, indices)), shape=(n, n)).tocsr()
   \# solve with scipy sparse (note that: A.T@A = A)
    reconImg = scipy.sparse.linalg.spsolve(A + delta * G.T@G, A.dot(b))
    return reconImg
if __name__ == "__main__":
    # INPUT PARAMETERS
   # -----
    path_to_image = 'spider.jpg' # 'happy_dog.jpg' # the image
    percentage = 0.2 # we randomly keep 100*percentage % of the data
   delta = 0.001 # regularization parameter
   # ORIGINAL IMAGE
   # -----
   img = load_image_as_gray(path_to_image)
   H, W = np.shape(img)
    # plot original image
    plt.figure("Image Inpainting")
    plt.subplot(1, 3, 1)
    plt.imshow(img, cmap='gray')
    plt.title("original")
   # MASKED IMAGE
   b, indices = masking(img, percentage)
   n = len(b)
   # plot noisy image
    plt.subplot(1, 3, 2)
    plt.imshow(b.reshape((H, W)), cmap='gray')
    plt.title("masked image: {}% of pixels randomly lost".format(
             (1-percentage)*100))
   # RECONSTRUCTED IMAGE
   # -----
   # difference quotient (k+1,k)
   G = sparse.eye(n+1, n, k=0) - sparse.eye(n+1, n, k=-1) # sparse.eye(n,n) #
    reconImg = inpainting(b, indices, delta, G)
    # plot denoised image
    plt.subplot(1, 3, 3)
    plt.imshow(reconImg.reshape((H, W)), cmap='gray')
   plt.title("reconstructed image: reg-param delta={}".format(delta))
# plt.savefig("Image_Inpainting")
```