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# 1 Refresher on Quantum Mechanics

## 1.1 Why quantum mechanics?

Want to describe "mechanics" (equations of motion) of atomic-scale things, like electrons in atoms and molecules

Why? These ultimately determine the energy, the shape, and all the properties of matter.

$$\lambda = h/p = h/mv$$

de Broglie wavelength (1924)

$$\lambda = h/p = h/mv \tag{1}$$

$$h = 6.626 \times 10^{-34} \text{ J s (Planck's constant)}$$
 (2)

**Table 1:** Car vs electron as a quantum particle

	Car	Electron
$\max m$	1000 kg	$9.1 \times 10^{-31} \text{ kg}$
velocity $v$	100  km/hr	0.01c
	typical value on the highway	typical value in an atom
momentum $p$	$2.8 \times 10^{-4}  \text{kg m/s}$	$2.7 \times 10^{-24} \text{ kg m/s}$
wavelength $\lambda$	$2.4 \times 10^{-38} \text{ m}$	$2.4 \times 10^{-10} \text{ m}$
	too small to detect. Classical!	Comparable to size of an atom.
		Must treat with QM!

How to describe wave properties of an electron? Schrödinger equation (1926)

Kinetic energy + Potential energy = Total Energy

Expressed as differential equation (Single particle, non-relativistic):

$$-\frac{\hbar^2}{2m}\nabla^2\Psi(\mathbf{r},t) + V(\mathbf{r},t)\Psi(\mathbf{r},t) = -i\hbar\frac{\partial}{\partial t}\Psi(\mathbf{r},t)$$
(3)

If the potential V is time-invariant, can use separation of variables to show that the steady-state, time-independent solutions are characterized by an energy E and described by:

$$-\frac{\hbar^2}{2m}\nabla^2\psi(\mathbf{r}) + V(\mathbf{r})\psi(\mathbf{r}) = E\psi(\mathbf{r})$$
(4)

$$\Psi(\mathbf{r},t) = \psi(\mathbf{r})e^{-iEt/\hbar} \tag{5}$$

## Table 2: Postulates of Non-relativistic Quantum Mechanics

Postulate 1: The physical state of a system is completely described by its wavefunction  $\Psi$ . In general,  $\Psi$  is a complex function of the spatial coordinates and time.  $\Psi$  is required to be:

- I. Single-valued
- II. continuous and twice differentiable
- III. square-integrable  $(\int \Psi^* \Psi d\tau)$  is defined over all finite domains)
- IV. For bound systems,  $\Psi$  can always be normalized such that  $\int \Psi^* \Psi d\tau = 1$

Postulate 2: To every physical observable quantity M there corresponds a Hermitian operator  $\hat{M}$ . The only observable values of M are the eignevalues of  $\hat{M}$ .

Physical quantity	Operator	Expression
Position $x, y, z$	$\hat{x},\hat{y},\hat{z}$	$x\cdot,y\cdot,z\cdot$
Linear momentum $p_x, \dots$	$\hat{p}_x,\dots$	$-i\hbar \frac{\partial}{\partial x}, \dots$ $-i\hbar \left( y \frac{\partial}{\partial z} - z \frac{\partial}{\partial y} \right), \dots$
Angular momentum $l_x, \dots$	$\hat{p}_x,\dots$	$-i\hbar\left(y\frac{\partial}{\partial z}-z\frac{\partial}{\partial y}\right),\ldots$
Kinetic energy $T$	$\hat{T}$	$-\frac{\hbar^2}{2m}\nabla^2$
Potential energy $V$	$\hat{V}$	$V(\mathbf{r},t)$
Total energy $E$	$\hat{H}$	$-\frac{\hbar^2}{2m}\nabla^2 + V(\mathbf{r}, t)$

**Postulate 3:** If a particular observable M is measured many times on many identical systems is a state  $\Psi$ , the average resuts with be the expectation value of the operator  $\hat{M}$ :

$$\langle M \rangle = \int \Psi^*(\hat{M}\Psi) d\tau$$

Postulate 4: The energy-invariant states of a system are solutions of the equation

$$\hat{H}\Psi(\mathbf{r},t) = i\hbar \frac{\partial}{\partial t}\Psi(\mathbf{r},t)$$

$$\hat{H} = \hat{T} + \hat{V}$$

The time-independent, stationary states of the system are solutions to the equation

$$\hat{H}\Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

**Postulate 5:** (The uncertainty principle.) Operators that do not commute  $(\hat{A}(\hat{B}\Psi) \neq \hat{B}(\hat{A}\Psi))$  are called /conjugate/. Conjugate observables cannot be determined simultaneously to arbitrary accuracy. For example, the standard deviation in the measured positions and momenta of particles all described by the same  $\Psi$  must satisfy  $\Delta x \Delta p_x \geq \hbar/2$ .

### 1.2 Postulates of Non-relativistic quantum mechanics

See table

#### 1.3 Notes on constants and units

Resource on physical constants: http://physics.nist.gov/cuu/Constants/ Resource for unit conversions: http://www.digitaldutch.com/unitconverter/

Unit converter available in Calc mode of Gnu emacs highly recommended

Table 3: Atomic units common for quantum mechanical calculations (see http://en.wikipedia.org/wiki/Atomic\_units)

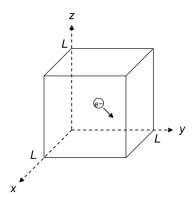
	Atomic unit	SI unit	Common unit
Charge	e = 1	$1.6021 \times 10^{-19} \text{ C}$	
Length	$a_0 = 1 \text{ (bohr)}$	$5.29177 \times 10^{-11} \text{ m}$	$0.529177 \; { m \AA}$
Mass	$m_e = 1$	$9.10938 \times 10^{-31} \text{ kg}$	
Angular momentum	$\hbar = 1$	$1.054572 \times 10^{-34} \text{ J s}$	
Energy	$E_h = 1 \text{ (hartree)}$	$4.359744 \times 10^{-18} \text{ J}$	$27.2114~\mathrm{eV}$
Electrostatic force	$1/(4\pi\epsilon_0) = 1$	$8.987552 \times 10^9 \text{ C}^{-2} \text{ N m}^2$	
Boltzmann constant		$1.38065 \times 10^{-23} \text{ J K}^{-1}$	$8.31447~\mathrm{J/mol~K}$

Energy units 1 eV =  $1.60218 \times 10^{-19} \text{ J} = 96.485 \text{ kJ/mol} = 8065.5 \text{ cm}^{-1} = 11064 \text{ K kB}$ 

## 1.4 Example: Energy states of an electron in a box

System defined by potential experienced by particle:

$$V(\mathbf{r}) = 0,$$
  $0 < x, y, z < L$   
 $V(\mathbf{r}) = \infty,$   $x, y, z \le 0, x, y, z \ge L$ 



3D box  $\rightarrow$  3 degrees of freedom/coordinates

### Schrödinger equation

$$-\frac{\hbar^2}{2m_e} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \psi(x, y, z) = E\psi(x, y, z)$$
 (6)

$$\psi(x, y, z) = 0, \quad x, y, z \le 0, \ x, y, z \ge L$$
 (7)

A second-order, linear, partial differential equation. Boundary value problem. Solve by separation of variables. Postulate  $\psi(x, y, z) = X(x)Y(y)Z(z)$ . Substituting and rearrange to get

$$-\frac{\hbar^2}{2m_e} \left( \frac{1}{X(x)} \frac{\partial^2 X(x)}{\partial x^2} + \frac{1}{Y(y)} \frac{\partial^2 Y(y)}{\partial y^2} + \frac{1}{Z(z)} \frac{\partial^2 Z(z)}{\partial z^2} \right) = E \qquad 0 < x, y, z < L$$
 (8)

 $ftn x + ftn y + ftn z = constant \rightarrow each term must be constant.$ 

### Equation for each dimension

$$-\frac{\hbar^2}{2m_e}\frac{\partial^2 X(x)}{\partial x^2} = E_x X(x), \qquad X(0) = X(L) = 0$$
(9)

Seek function that twice differentiated returns itself and satisfies boundary conditions.

$$X(x) = \sin \frac{n_x \pi x}{L}, \qquad n_x = 1, 2, 3, \dots$$
 (10)

$$E_{n_x} = \frac{n_x^2 \pi^2 \hbar^2}{2m_x L^2} \tag{11}$$

Solutions called *eigenfunctions* (or *wavefunctions*) and *eigenvalues*. Characterized by *quantum numbers*, one for each degree of freedom. These (and all QM) solutions have certain special properties, including that they are orthonormal and form a complete set.

#### Normalization

Seek a constant such that the inner eigenfunction product is unity.

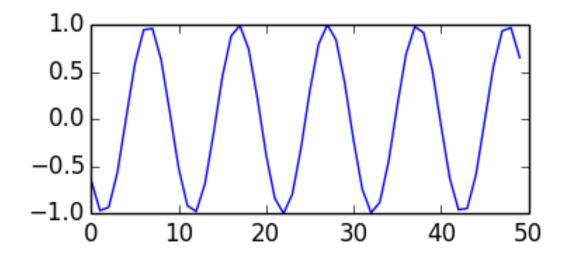
$$C^{2} \int_{0}^{L} \sin^{2} \frac{n_{x} \pi x}{L} dx = C^{2} L/2 = 1 \to C = \pm \sqrt{\frac{2}{L}}$$
 (12)

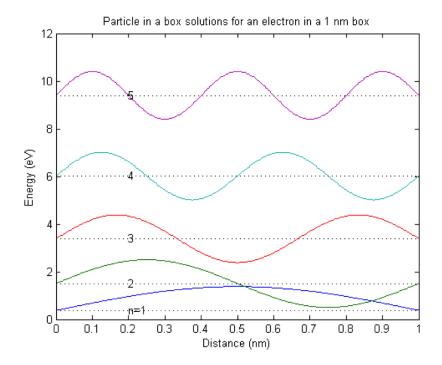
$$X(x) = \pm \sqrt{\frac{2}{L}} \sin \frac{n_x \pi x}{L}, \qquad n_x = 1, 2, 3, \dots$$
 (13)

### Orthonormal

$$\langle X_{n_x}|X_{n_x'}\rangle = \delta_{n_x,n_x'}$$
 Dirac notation (14)

```
import matplotlib, numpy
matplotlib.use('Agg')
import matplotlib.pyplot as plt
fig=plt.figure(figsize=(4,2))
x=numpy.linspace(-15,15)
plt.plot(numpy.sin(x))
fig.tight_layout()
plt.savefig('images/python-matplot-fig.png')
return 'images/python-matplot-fig.png' # return filename to org-mode
```



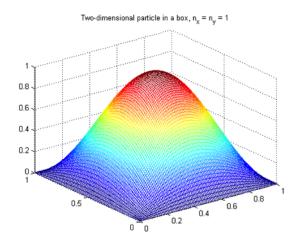


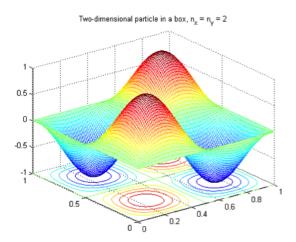
- $\bullet$  Energy increase with number of *nodes*.
- $E \propto n^2, \Delta E \propto n, \Delta E/E \propto 1/n$ . Relative spacing decreases with n.
- Is this real? See Ho, J. Phys. Chem. B 2005, 109, 20657.

### Three-dimensional solutions

$$\psi(x,y,z) = X(x)Y(y)Z(z) = \left(\frac{2}{L}\right)^{3/2} \sin\frac{n_x \pi x}{L} \sin\frac{n_y \pi y}{L} \sin\frac{n_z \pi z}{L}, \qquad n_x, n_y, n_z = 1, 2, 3, \dots$$
(15)

$$E = E_x + E_y + E_z = \frac{(n_x^2 + n_y^2 + n_z^2)\pi^2\hbar^2}{2mL^2}$$
(16)





# Properties of solutions:

- Symmetry of system introduces degeneracy in solutions
- Energy depends on volume  $\rightarrow$  pressure!

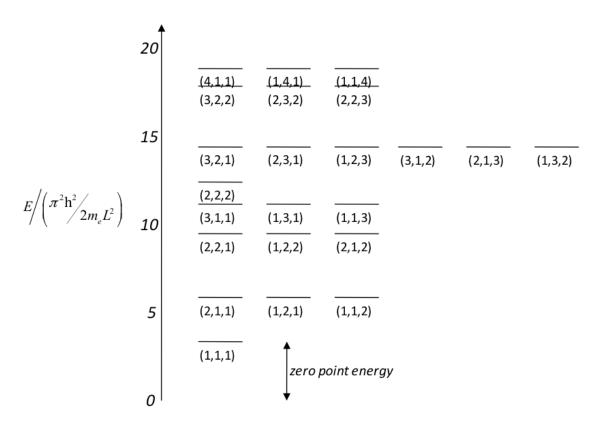


Figure 1: Energy sates of 3D Particle in a box