

# STACKY APPROACH TO MOTIVIC PERIODS

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## NOTATIONS AND CONVENTIONS

- **Any sections with *to be written/added* are only sketched.**
- $\mathcal{S}$  denotes the  $\infty$ -category of anima. For  $\mathcal{C}$  an  $\infty$ -category, and  $X, Y \in \mathcal{C}$  we let  $\mathrm{Map}_{\mathcal{C}}(X, Y) \in \mathcal{S}$  denote the mapping space.

Fix base  $R$ , a discrete commutative ring. We consider the following homotopy rings:

- $\mathrm{CAlg} := \mathrm{CAlg}(\mathrm{Sp})$ , the  $\infty$ -category of  $\mathbb{E}_{\infty}$  algebra. This has two full subcategories, the *coconnective* and *connective* algebras.

$$\begin{array}{ccccc}
 \mathrm{CAlg}^{\mathrm{ccn}} := \mathrm{CAlg}_{\leq 0} & \xleftarrow{\tau_{\leq 0}} & \mathrm{CAlg} & \xrightleftharpoons[\tau_{\geq 0}]{} & \mathrm{CAlg}_{\geq 0} := \mathrm{CAlg}^{\mathrm{cn}} \\
 \mathrm{Sym}^{\mathrm{co}} \uparrow \downarrow & & \downarrow & & \mathrm{Sym} \uparrow \downarrow \\
 \mathrm{Mod}_{\leq 0} & \xleftarrow{\tau_{\leq 0}} & \mathrm{Mod} & \xrightleftharpoons[\tau_{\geq 0}]{} & \mathrm{Mod}_{\geq 0}
 \end{array}$$

- $\mathrm{SCR}$  the  $\infty$ -category of simplicial commutative rings. This is the sifted completion of the category of polynomial algebra  $\mathrm{Poly}_{\mathbb{Z}}$ . Dually, we have  $\mathrm{coSCR}$ , the  $\infty$ -category

of co-simplicial rings. Importantly, there is are Dold Kan and co dual Dold-Kan inducing

$$\theta : \text{SCR} \rightarrow \text{CAlg}^{\text{cn}}$$

$$\text{co}\theta : \text{coSCR} \rightarrow \text{CAlg}^{\text{ccn}}$$

these are equivalences when we consider relative over a base field  $k$  of characteristic zero.

- All three categories have the ordinary category of discrete rings,  $\text{CAlg}^{\heartsuit}$  embedding to it. We let  $\text{Aff}_R^{\heartsuit} := (\text{CAlg}_R^{\text{op}})^{\heartsuit} \hookrightarrow \text{Aff}_R$  be the ordinary category of affine schemes over  $R$ .
- $\text{CAlg}_R^{\text{aug}} := (\text{CAlg}_R^{\text{cn}})_{/R}$ , be the  $\infty$ -category of augmented  $R$ -algebra.
- $\text{Stk}_R := \text{Shv}_R := \text{Shv}_{\mathcal{S}}(\text{CAlg}_R^{\heartsuit}, \tau) \hookrightarrow \text{PStk}_R$  denotes the  $\infty$ -category of *stacks*<sup>1</sup> and prestacks over  $R$ . Unless stated otherwise,  $\tau$  is the fpqc-topology. Let the category of *pointed stacks* be denoted as  $(\text{Stk}_R)_* := (\text{Shv}_R)_*$ .
- $\text{dStk}_R := \text{Shv}_{\mathcal{S}}(\text{Aff}_R, \tau)$ , the category of *derived stacks*.

**Remark 0.1.** One can formulate a similar theory for  $\text{Cdga}$ , the  $\infty$ -category of commutative differential graded algebra (we use cohomological grading, as per convention here).

If  $R \in \text{Cdga}^{\heartsuit}$ , there are equivalences  $\text{Cdga}_R \simeq \text{CAlg}_R$ , with the  $\infty$ -category of  $\mathbb{E}_{\infty}$ -algebras over  $R$ . We will freely interchange between the variations in this case.  $\text{Cdga}_R$  is not as useful outside of characteristic zero, as there does not exist model categories.

## 1. INTRODUCTION

Let  $X \in \text{Sch}_{\mathbb{Q}}^{\text{sm,proj}}$ , and  $X^{\circ} : X \setminus D$ , where  $D$  is a divisor with normal crossing.

**Example 1.1.**  $X^{\circ} = \mathbb{P}^1 \setminus \{0, 1, \infty\}$ , studied in [Del89].

1.1. **Periods.** Periods are classically integrals of rational differential forms:

$$\log(2) = \int_{1 \leq z \leq 2} \frac{dz}{z}, \quad \zeta(2) = \int_{0 \leq t_1 \leq t_2 \leq 1} \frac{dt_1}{1-t_1} \frac{dt_2}{t_2}$$

More generally, they are the matrix coefficient from Grothendieck's comparison theorem

$$H_{\text{dR}}^*(X) \otimes_{\mathbb{Q}} \mathbb{C} \xrightarrow{\sim} H_{\text{Betti}}^*(X) \otimes_{\mathbb{Q}} \mathbb{C}$$

with respect to the  $\mathbb{Q}$  structure of de-Rham and Betti cohomology (of  $X(\mathbb{C})$ ) are *periods associated to  $X$* . These periods along with their enhancements through Hodge structures, has a natural action of "Galois group"<sup>2</sup> which should govern the arithmetic structure of periods.

<sup>1</sup>we simply refer sheaves as stacks, which is not the convention. Often these require some *geometric context*, see [Toë06], [Lur11a].

<sup>2</sup>For instance, in the approach of Deligne, he defined a *systems of realizations* [Del89]

1.2. **Goal.** We will study the *pro unipotent homotopy groups*

$$\pi_1^{U,?}(X^\circ, x)$$

in various realization  $? \in \{\text{ét}, \text{Betti}, \text{dR}, \text{cris}\}$ . We discuss the de Rham version in [Section 1.3](#).

1.3. **The de Rham unipotent homotopy groups.** In this section  $k$  would be a field of characteristic 0. What should be  $\pi_1^{U, \text{dR}}$ ? We propose a definition in [Definition 1.2](#). In [Proposition 1.1](#) we prove its equivalence to a classical definition. For a stack  $X$  one can associate its unipotent homotopy type  $\mathbf{U}(X)$  see [Section 3.1](#).

**Definition 1.1.** Denote the *de Rham complex functor*

$$\begin{array}{ccc} \text{CAlg}_k^{\heartsuit, \text{sm}} & \xrightarrow{\text{dR}} & \text{CAlg}_k^{\text{ccn}} \\ \downarrow & \nearrow & \\ \text{CAlg}_k^{\text{cn}} & & \end{array}$$

where on smooth discrete algebras,

$$\text{dR}(A) = \Omega_{A/k}^*$$

is the algebraic de Rham complex. This is then left Kan extended to  $\text{CAlg}_k^{\text{cn}}$ .

**Lemma 1.1.**  $A \in \text{Poly}_k \hookrightarrow \text{CAlg}_k^{\heartsuit}$ , a finitely generated polynomial algebra over  $k$ . then

$$(\text{Spec } A)^{\text{dR}} \simeq \text{Spec } dR A$$

where

$$(-)^{\text{dR}} : \text{Shv}_k \rightarrow \text{Shv}_k$$

is the associated endo functor of de Rham stack functor in [Example 3.1](#), and  $\text{Spec}$  is the Yoneda embedding, see [Proposition 3.1](#).

*Proof.* This is [[Mon22](#), Lem 2.0.5] combined with [[Mon22](#), Thm 2.0.1]. □

**Definition 1.2.** For a pointed cohomologically connected scheme  $X \in (\text{Sch}_k)_*$ , we let

$$\pi_1^{u, \text{dR}}(X) := \pi_1(\mathbf{U}(X^{\text{dR}}), *)$$

be its unipotent de Rham fundamental group scheme.

**Proposition 1.1.** If  $X^\circ$  is  $(\text{Aff}_{\mathbb{Q}}^{\heartsuit, \text{ft}})_*$ , a finite type pointed, connected, affine scheme over  $\mathbb{Q}$ , then

$$\pi_1^{u, \text{dR}}(X^\circ) \simeq \text{Spec } H^0(B(dR(X^\circ)))$$

in  $\text{Grp}(\text{Sch}_{\mathbb{Q}})$ , where the right hand side is the Bar complex construction definition of Haine [[Hai87](#)] the right-hand object being what is classically used to define the unipotent de Rham homotopy group, [[Bro14](#)].

*Proof.* Let  $A := \mathrm{dR}(X^\circ)$ . Consider the homotopy sheaf [Definition 3.3](#),

$$(\pi_1 \mathrm{Spec} A : R \mapsto \pi_1(\mathrm{Map}_{\mathrm{CAlg}_k}(A, R), *)) \in \mathrm{Shv}_{\mathrm{Set}}(\mathrm{CAlg}_k^\heartsuit, \mathrm{fpqc})$$

By Toën's representability [Theorem 3.1](#) and hypercompleteness of affine stacks [Proposition 3.2](#) this sheaf is representable by pro-unipotent group scheme,  $\pi_1^u(\mathrm{Spec} A, *) \in \mathrm{Grp}(\mathrm{Aff}_k)$ . By [\[Ols16, Ch.6\]](#) we have

$$\pi_1(\mathrm{U}(\mathrm{Spec} A), *) \simeq \pi_1^u \mathrm{Spec} A \simeq \mathrm{Spec} H^0 BA$$

where first equivalence is by [Definition 3.2](#). Lastly,

$$\mathrm{Spec} A \simeq (X^\circ)^{\mathrm{dR}}$$

by [Lemma 1.1](#). □

**Remark 1.1.** We would hope the proof generalize to schemes with log structures. A definition of de Rham homotopy of scheme with log structures can be found in [\[Shi00\]](#).

**Conjecture 1.1.** (1) *There exists  $X^{an}$  which is the (analytic) Betti stack of  $X$ , see [Section 4](#), such that the unipotent Betti homotopy group  $\pi_1^{u, \mathrm{Betti}}(X(\mathbb{C}), x)$  as defined in [\[Bro17\]](#) is isomorphic to  $\pi_1(\mathrm{U}(X^{an}))$ .*

(2) *A logarithmic Riemann-Hilbert comparison should induce Chen's comparison theorem [\[Hai01, Thm 3.1\]](#)*

$$\pi_1^{u, \mathrm{dR}}(X, x) \simeq \pi_1^{u, \mathrm{Betti}}(X(\mathbb{C}), x) \otimes \mathbb{C}$$

**Remark 1.2.** this is a little different to the comparison theorem as suggested [\[Toë06, Ch. 3.5\]](#). In this case  $X^\circ$  is only smooth, but *not projective*.

**1.4. Further directions.** By similar techniques of [\[Bha23\]](#), we should recover Haine's theorem: the pro-unipotent completion of de Rham fundamental group admits a mixed Hodge structure.<sup>3</sup> We collect a few examples below that suggests avenues with a view towards  $p$ -adic cohomology theories, such as  $X^\Delta$ ,  $X^{\mathrm{crys}}$  and  $X^{\mathrm{dR}}$ . (prismatic, crsyalline and de Rham stack, respectively). We hope that such work can spark new techniques and new phenomena, such as those used in  $p$ -adic integration theory, [\[Vol01\]](#).

In the examples below, let  $V$  be a complete discrete valuation ring with a perfect residue field of characteristic  $p > 0$  and fraction field  $K$ ,  $K_0 := \mathrm{Frac} W(k) \hookrightarrow K$ .  $X \in \mathrm{Sch}_V^{\mathrm{sm}, \mathrm{prop}}$ .

**Example 1.2.**  $\pi_1^{u, \mathrm{crys}}$  has a Tannakian description as given in Shiho's [\[Shi00\]](#). Part of the strategy is formal: for one Tannakian category when can consider the *nilpotent* part. In *op.cit. Ch.5* one constructs a unipotent crystalline de-Rham comparison map,

$$\pi_1^{u, \mathrm{crys}}(X_V^\circ, x) \otimes_{K_0} K \simeq \pi_1^{u, \mathrm{dR}}(X_K^\circ, x)$$

which has been shown in the case of cohomologies by Berthelot and Ogus.

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<sup>3</sup>This is important in Brown's approach, where he reduced his study of motivic periods to mixed Hodge periods [\[Bro17, p. 3\]](#).

## 2. RECOLLECTION ON CHEN'S THEOREM

Morgan showed that the homotopy Lie algebra of a smooth complex algebraic variety has a mixed Hodge structure by using Sullivan's minimal models. Haine [Hai87] generalized this result to arbitrary complex variety, the key result was using Chen's theorem, [Theorem 2.1](#). We begin by discussing an interpretation of Chen's theorem, [Section 2.1](#).

**2.1. Differential forms on loop space.** To be written. References: [Che73]. Our goal is to briefly review the proof of the following theorem.

**Theorem 2.1.** *Let  $x, y \in X^\circ(\mathbb{C})$ . For all integer  $N \geq 0$ ,*

$$(1) \quad \mathcal{O}(\pi_{1,N}^{uni,dR}(BdR(X^\circ)) \otimes \mathbb{C}) \simeq \mathcal{O}(\pi_{1,N}^{Betti}(X^\circ, x, y)) \otimes \mathbb{C}$$

where

$$\mathcal{O}(\pi_{1,N}^{uni,dR}(BdR(X^\circ))) := L_N B(dR(X^\circ))$$

$L_N$  being the length filtration on the bar complex. taking colimit along  $N$ , we induce

$$\mathcal{O}(\pi_1^{uni,dR}(BdR(X^\circ)) \otimes \mathbb{C}) \simeq \mathcal{O}(\pi_1^{uni,Betti}(X^\circ, x, y)) \otimes \mathbb{C}$$

The proof follows by using a combinatorial presentation of relative cohomology.

## 2.2. Relation to Malcev–Lie algebra.

## 3. RECOLLECTION ON STACKS APPROACH

Various cohomology theories – crystalline cohomology, syntomic cohomology, and Dolbeaut cohomology – admit a factorization to the category of stacks over some affine scheme  $\text{Spec } R$ ,

$$\begin{aligned} \text{Sch}_{\mathbb{Z}}^{\text{sep,ft}} &\rightarrow \text{Stk}_R \rightarrow D(R) \\ X &\mapsto X^? \mapsto \Gamma(X^?, \mathcal{O}_{X^?}) \end{aligned}$$

**Example 3.1.** The *de Rham stack*  $X^{\text{dR}}$  over  $\mathbb{Q}$ , has points given by  $X^{\text{dR}}(A) := X(A_{\text{red}})$  for any  $\mathbb{Q}$ -algebra  $A$  (cf. [GR14]).

This is often referred to as a *stacky approach* [Dri22] or *transmutation* [Bha23], which allows one to use six functor formalism and geometric techniques.

**3.1. Stacks approach to unipotent group scheme.** We recall the work of [MR23]. Let  $(X, x) \in (\text{Sch}_k)_*$  such that it is cohomologically connected <sup>4</sup> A classical homotopical invariant for schemes is the étale fundamental group introduced by Grothendieck. Nori, upgraded this definition to that of a *group scheme*,  $\pi_1^N(X, x)$ , which is constructed using Tannakian methods. One can associate a unipotent homotopy type  $\mathbf{U}(X)$ , which recovers Nori's unipotent homotopy group scheme, [Definition 3.2](#),

$$(2) \quad \pi_1(\mathbf{U}(X), x) \xrightarrow{\sim} \pi_1^{U,N}(X, x)$$

This is proved in [MR23, §3.1.].

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<sup>4</sup> $H^0(X, k) \simeq k$ .

**Proposition 3.1.** *We have an adjunction [Toë06, Cor. 2.2.4]*

$$\begin{array}{ccc} \mathrm{CAlg}_k^\heartsuit & & \\ \downarrow & \searrow & \\ (\mathrm{CAlg}_k^{\mathrm{ccn}})^{\mathrm{op}} & \xrightleftharpoons[\mathbf{U}]{\mathrm{Spec}} & \mathrm{PShv}(\mathrm{CAlg}_k^\heartsuit) \end{array}$$

The right adjoint is alternatively denoted as  $\Gamma(-, \mathcal{O})$ , the *global sections* functor.

**Definition 3.1.** An object in the essential image of  $\mathrm{Spec}$  in Proposition 3.1 is an *affine stack*, and the right adjoint  $\mathbf{U}$  is called the *affinization*.

From the results of [Toë06], discussed in Section 3.3, we can define the homotopy groups:

**Definition 3.2.** Let  $X \in (\mathrm{Sch}_k)_*$  which is cohomologically connected. Define

$$\pi_i^{\mathbf{U}}(X) := \pi_i(\mathbf{U}(X), *) \in \mathrm{Grp}(\mathrm{Aff}_k)$$

as the *unipotent homotopy groups of  $X$* , where  $\mathbf{U}$  is as defined in Proposition 3.1.

**Remark 3.1.** The unipotent type can be defined for  $(\mathrm{Stk}_R)_*$ . But they are not necessarily representable, see [Mon22, p. 5].

**Proposition 3.2.**  *$\mathrm{Spec}$  factors through  $\mathrm{Shv}_k^\wedge$ .*

*Proof.* By faithfully flat descent,  $\mathrm{Spec}$  factors through  $\mathrm{Shv}_k$ . For hypercompleteness see [Lur11b, Appendix D].  $\square$

**Example 3.2.**  $K(\mathbb{G}_a, i) := \mathrm{Spec} \mathrm{Sym}_k^{\mathrm{co}} k[-i]$  for  $i > 0$  are affine stacks.

**Example 3.3.** Zero truncated quasi-affine stacks are *not* affine.

**3.2. Homotopy and hypercomplete sheaves.** Let  $X \in \mathrm{Stk}_k$ ,  $R \in \mathrm{CAlg}^\heartsuit$  in this section. In this paper, we would only be considering hypercomplete sheaves.

**Definition 3.3.** Let  $n \geq 0$ , then

$$\pi_n(X, *) \in \mathrm{Shv}_{\mathrm{Set}}(\mathrm{CAlg}_R^\heartsuit, \mathrm{fpqc})$$

is the sheafification of the presheaf

$$A \mapsto \pi_n(X(A), *)$$

We will be interested in hypercomplete sheaves, see [CM21] for a discussion in the prestack setting.

**Definition 3.4.** A morphism  $f : X \rightarrow Y$  in an  $\infty$ -topos  $\mathfrak{X}$  is  $\infty$ -*connective* if

- (1) it is an effective epimorphism.

(2)  $\pi_k f = *$  for  $k \geq 0$ .

**Definition 3.5.**  $X \in \mathfrak{X}$  is *hypercomplete* iff it is local to  $\infty$ -connective morphism. We denote the hypercomplete objects as  $\mathfrak{X}^\wedge$ , fitting into an adjunction

$$\mathfrak{X}^\wedge \begin{matrix} \xleftarrow{\quad} \\ \xrightarrow{\quad} \end{matrix} \mathfrak{X}$$

Hypercompleteness can also be characterized by hypercoverings.

**Example 3.4.** Let  $(\mathcal{C}, \tau)$  be an  $\infty$ -stie, [Lur09, Ch.6]. Let  $\mathcal{D}$  be an  $(n+1, 1)$  category for  $n \geq 0$ , [Lur09, 2.3.4]. Then  $F \in \text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{D})$  satisfies descent for coverings iff it satisfies descent for hypercovering. In particular, this is useful when  $(\mathcal{C}, \tau)$  is an ordinary category as the representables factors through  $\text{Set} \hookrightarrow \mathcal{S}$ .

### 3.3. Representability results of Toën.

**Theorem 3.1.** [Toë06, Thm. 2.4.1, 2.4.5] *Let  $X \in (\text{Shv}_k^\wedge)_*$ , such that  $\pi_0 X \simeq *$ , then  $X$  is an affine stack iff  $\pi_i(X, *)$  is representable by an affine group scheme  $\pi_i^u X$  for all  $i > 0$ .*

**Remark 3.2.** [Toë06, Thm. 2.4.], if  $H^0(B) \simeq k$ , for  $B \in (\text{CAlg}_k^{\text{ccn}})_*$ , then  $\text{Spec } B$  is pointed connected.

### 3.4. Nori's unipotent scheme. To be written.

## 4. BETTI ANALYTIC STACK

To be written. Such stacks was discussed in [KpT08], [PY16]. The name *Betti analytic stack* can be misleading. This is stack  $X^{\text{an}}$  is designed so that

$$\pi_1(X^{\text{an}}, *) \simeq \pi_1(|X(\mathbb{C})|, *)$$

where  $X(\mathbb{C})$  is given the analytic topology.<sup>5</sup>

**Remark 4.1.** We do not yet have a correct definition of what  $X^{\text{an}}$  should be. There are - as of now - two ways to construct. One follows [PY16], which we write  $X_{\text{loc}}$ , the other from [Sch22, Ch.1], which we write  $X_{\text{Betti}}$ . At the level of cohomology:

$$R\Gamma(X_{\text{loc}}, \mathcal{O}_{X_{\text{loc}}}) \simeq R\Gamma(X_{\text{Betti}}, \mathcal{O}_{X_{\text{Betti}}}) \simeq R\Gamma(|X(\mathbb{C})|, \mathbb{C})$$

However, we still need to compute  $\pi_1$ .

We first recall the construction of  $X_{\text{loc}}$ . The natural map  $\pi : \text{Aff}_{\mathbb{C}} \rightarrow *$ , induces a geometric morphism

$$\text{Stk}_{\mathbb{C}} \begin{matrix} \xleftarrow{\pi^*} \\ \xrightarrow{\pi_*} \end{matrix} \mathcal{S}$$

**Definition 4.1.**  $(\text{Stn}_{\mathbb{C}}, \tau_{\text{an}})$ , denote the category of Stein complex analytic spaces with the analytic topology: this consists coverings  $\{U_i \rightarrow X\}_{i \in I}$ , where  $U_i \hookrightarrow_{\text{open}} X$  are open immersions, and  $\bigsqcup_{i \in I} U_i \rightarrow X$  is a surjection.<sup>6</sup> Let  $\text{AnStk}_{\mathbb{C}} := \text{Shv}_{\mathcal{S}}(\text{Stn}_{\mathbb{C}}, \tau_{\text{ét}})$ .

<sup>5</sup>The reason for this (bad) choice is also not to be confused with recent works, [SC23].

<sup>6</sup>One can also consider with respect to the  $\tau_{\text{ét}}$  étale topology, i.e.  $U_i \rightarrow X$

**Proposition 4.1.** *There is an analytification functor*

$$(-)^{an} : \left( \mathcal{A}ff_{\mathbb{C}}^{lfp}, \tau_{\acute{e}t} \right) \rightarrow (\mathcal{S}tn_{\mathbb{C}}, \tau_{an})$$

*Proof.* See [Lur11a], [Por18]. □

In particular there is a well defined functor

$$\begin{aligned} \mathcal{S}tk_{\mathbb{C}} &\rightarrow \mathcal{S} \\ X &\mapsto |X(\mathbb{C})| \end{aligned}$$

sending a stack to its underlying analytic topology.

**Definition 4.2.** we let  $X_{loc} := \pi^*(|X(\mathbb{C})|) \in \mathcal{S}tk_{\mathbb{C}}$  be the *analytic stack of local systems*.

**Lemma 4.1.** (1)  $*_{loc} \simeq \text{Spec } \mathbb{C}$ .

(2) For  $\text{Spec } A \in \mathcal{S}tk_{\mathbb{C}}$ ,  $\mathcal{Q}Coh(X_{loc} \times \text{Spec } A) \simeq \text{Fun}(|X(\mathbb{C})|, \text{Mod}_A)$ .

*Proof.* (1)  $\pi^*$  is left exact, so it preserves the terminal object.

(2) This is by induction. Write  $|X(\mathbb{C})|$  as the colimit of a tower cells,

$$|X(\mathbb{C})| \simeq \text{colim}_{n \in \mathbb{N}} X_n$$

Then use that  $\pi^*(-)$ ,  $\mathcal{Q}Coh(-)$ , and  $\text{Fun}(-, \text{Mod}_A)$  commutes with colimits in their variables □

(2) justifies why we call this the stack of local systems.  $\text{Fun}(|X(\mathbb{C})|, \text{Mod}_A)$  identify with the locally constant sheaves on  $\text{Op}(|X(\mathbb{C})|)$ , the site of open subsets of  $X(\mathbb{C})$ . This implies that  $\mathcal{O}_{X^{an}}$  corresponds to the constant sheaf. In particular  $\pi_* \mathcal{O}_{X^{an}} \simeq R\Gamma(|X(\mathbb{C})|, \mathbb{C})$ , where  $\pi_* : \mathcal{Q}Coh(X_{loc}) \rightarrow \mathcal{Q}Coh(*) \simeq \text{Mod}_{\mathbb{C}}$ .



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