

# Deep Neural Network based Power Management System Control for Hybrid Vehicle

Juhui Gim, Wansik Choi

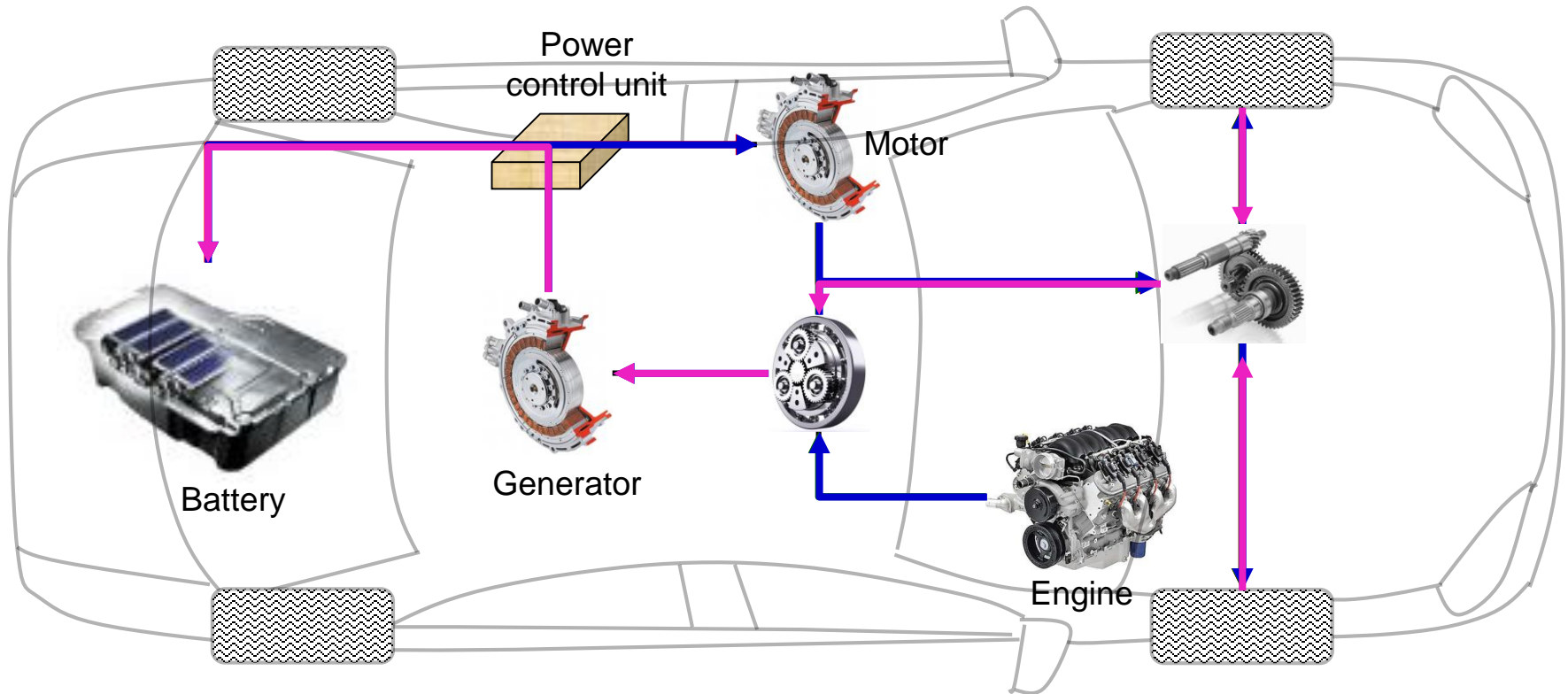
School of Mechanical Engineering,

Pusan National University,

BUSAN, KOREA

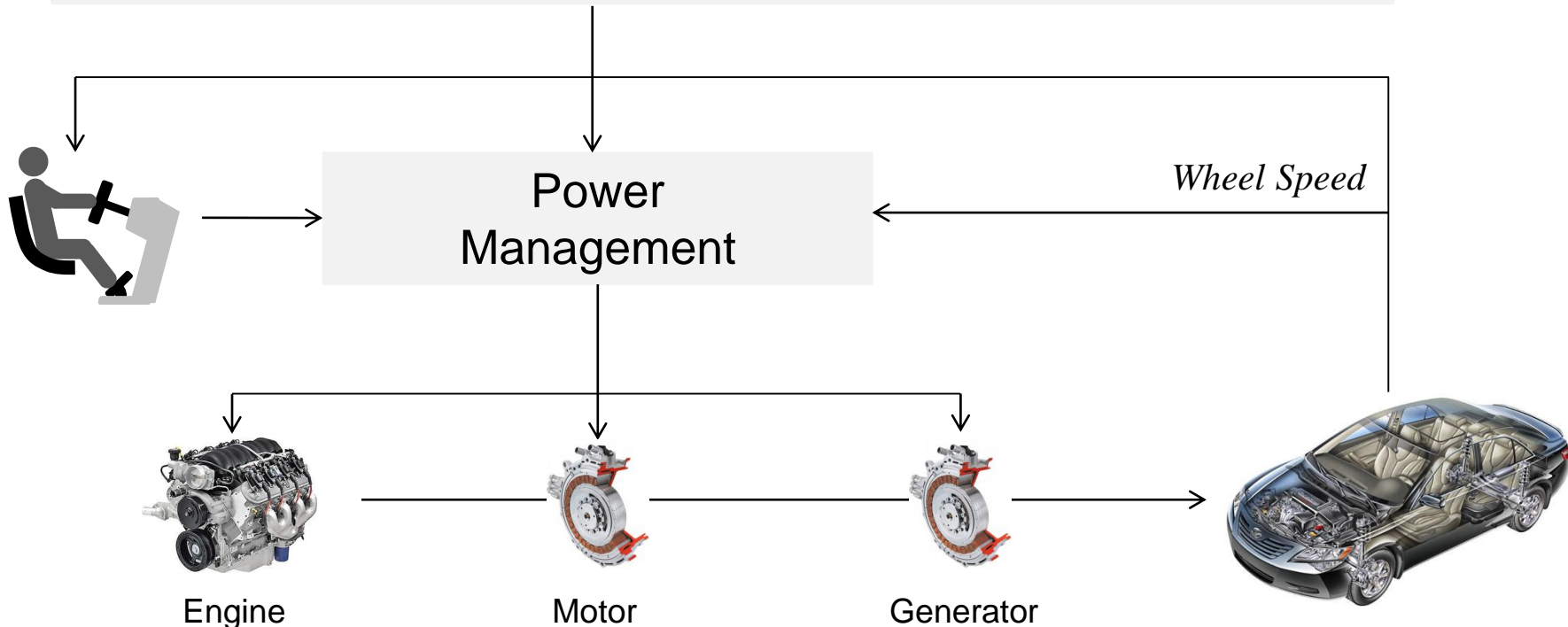
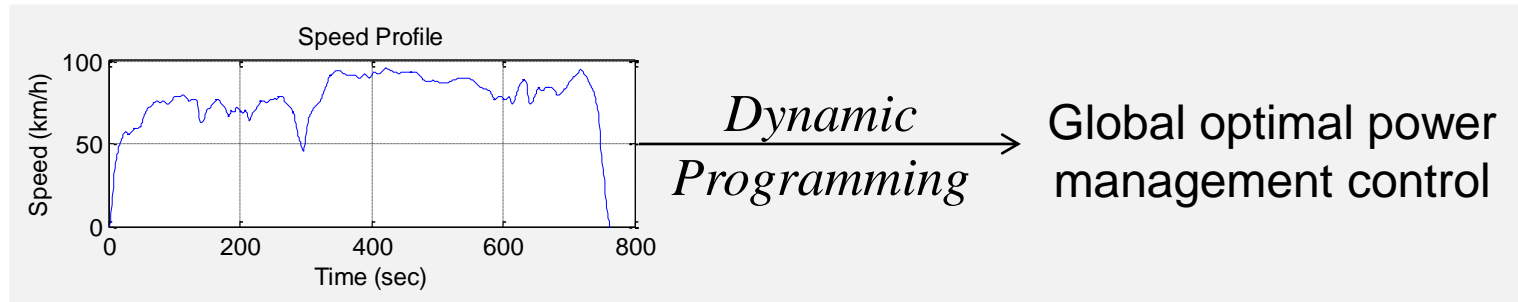
# Introduction

# Power Management System for HEV



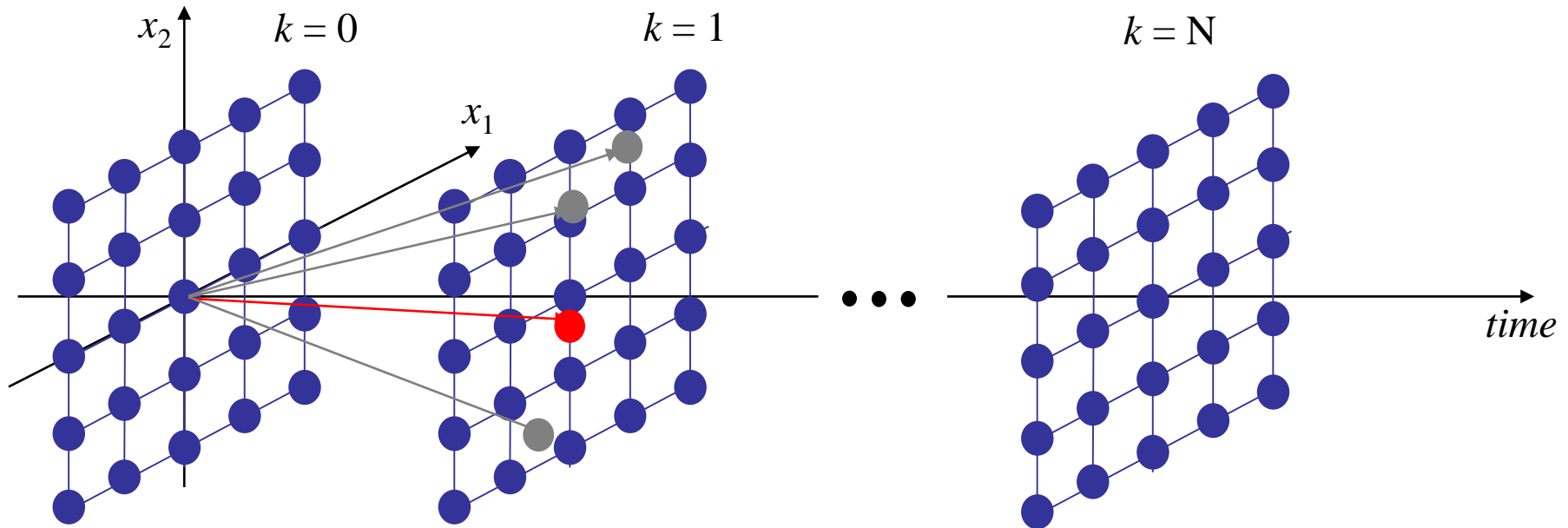
# Optimal PMS Control

- Dynamic Programming based PMS control strategy



# Dynamic Programming

- Global optimal method, but not practical



$$J_k^*(x_k) = \min_{u_{1,k}, u_{2,k}} [L(x_k, u_k)]$$

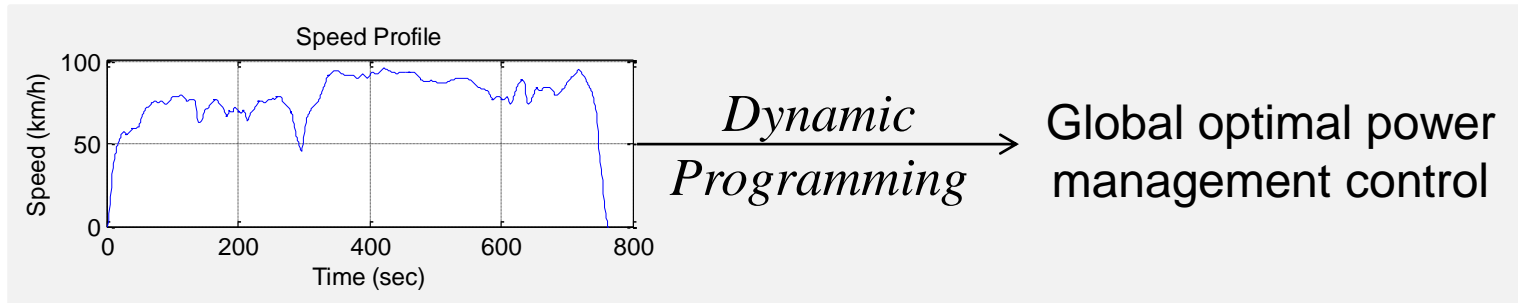
$$J_N^*$$

$$\text{minimize } J = \sum_{k=0}^{N-1} [J_k^*(x_k)] + J_N^*$$

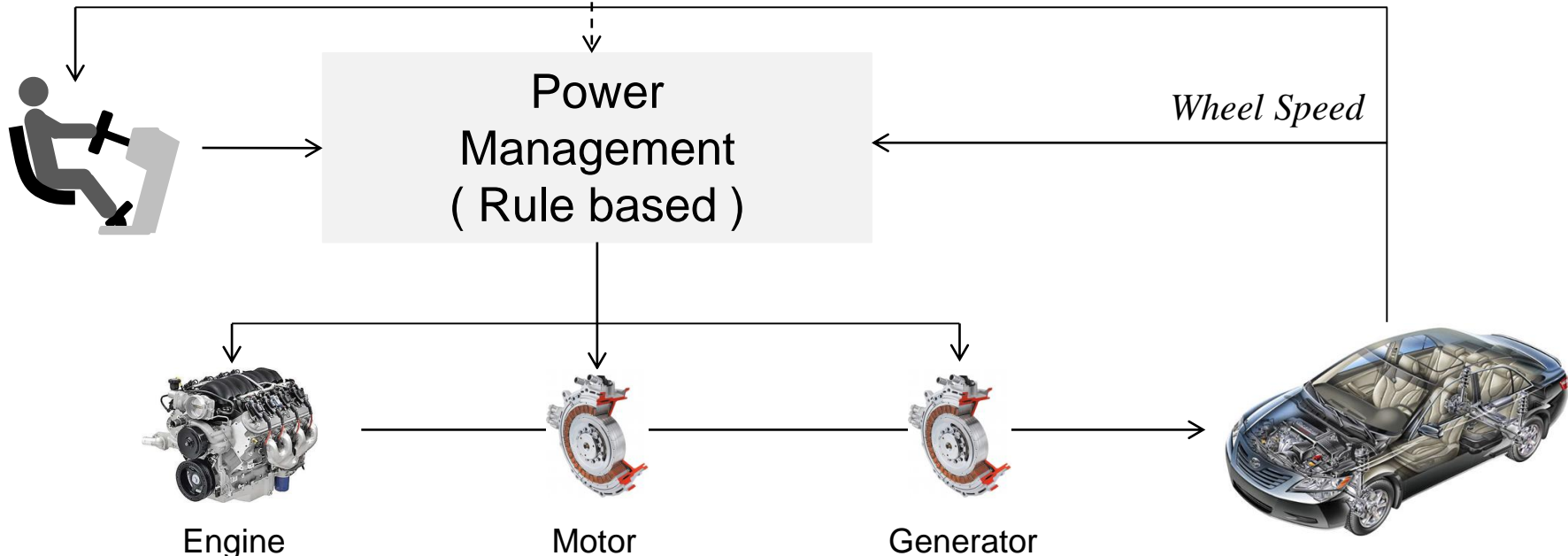
Requires future information → Impractical method in real

# Practical PMS Control

- Rule based PMS control strategy in real environment

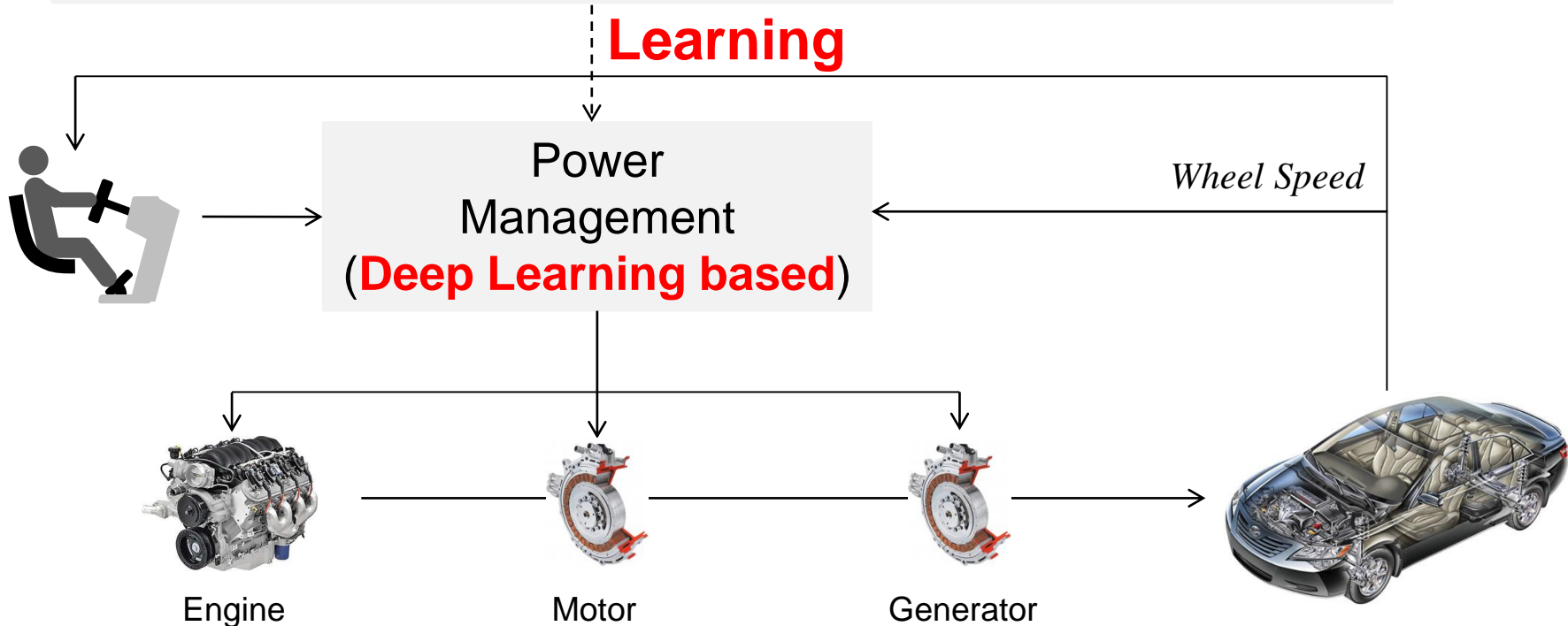
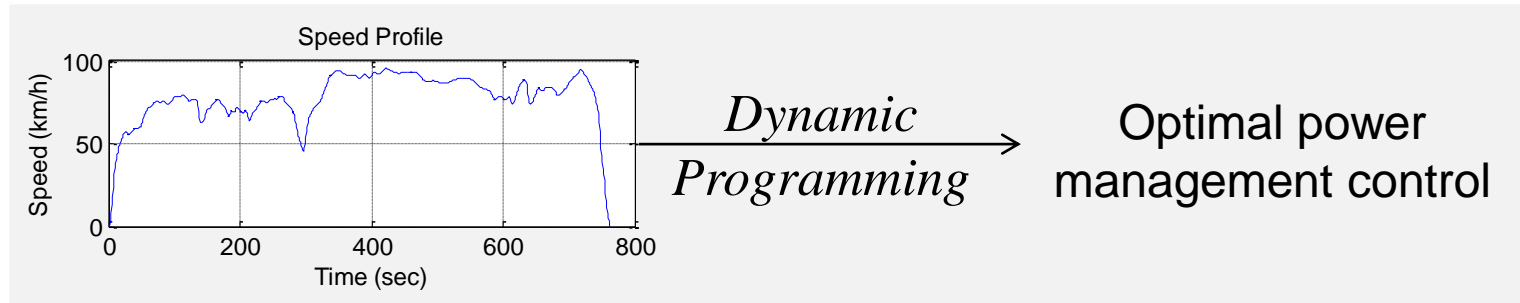


Rule extraction : not optimal, not flexible



# Proposed Research

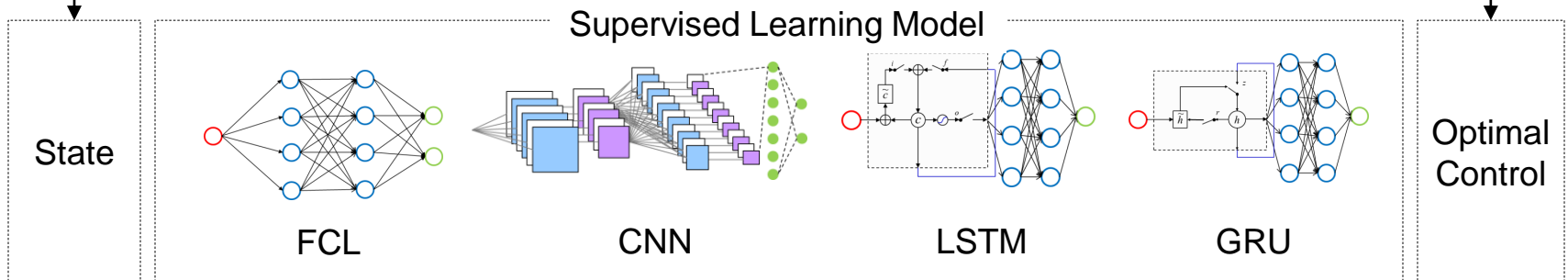
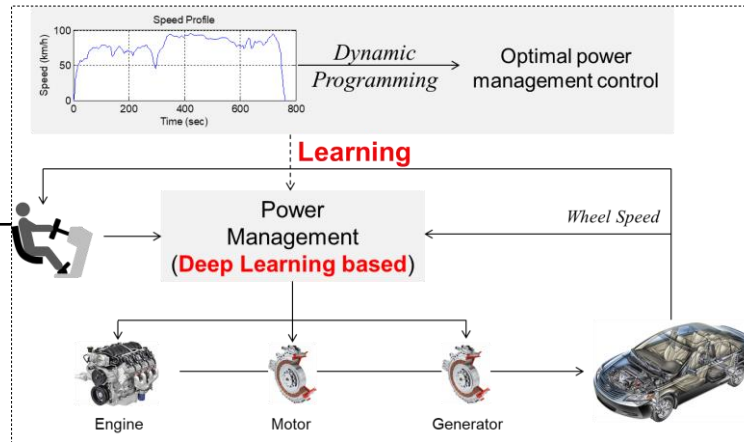
- Development of deep learning based PMS control strategy



# Objective

- Deep learning based model design for getting higher fuel efficiency

## (1) Supervised Learning

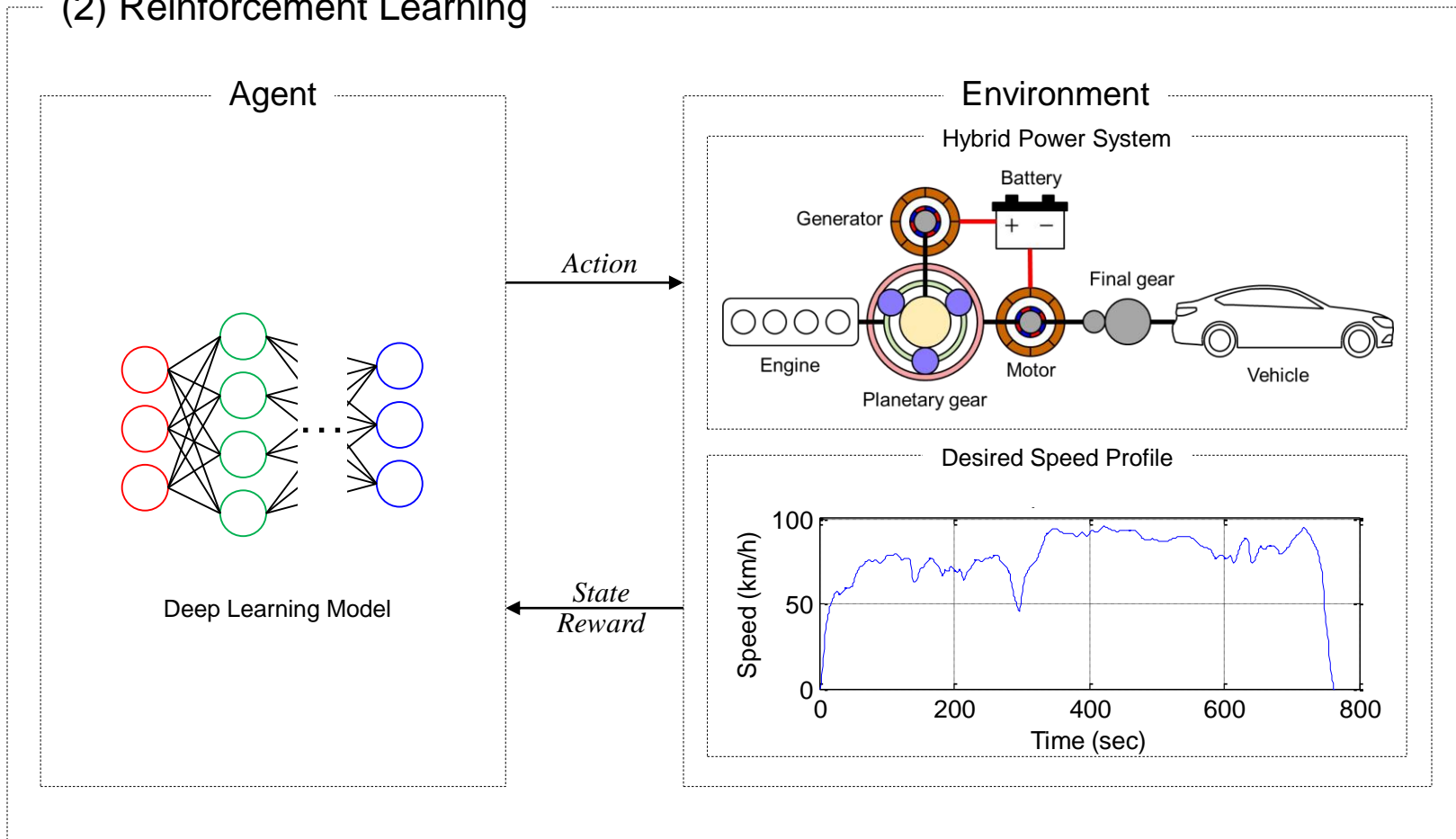




# Objective

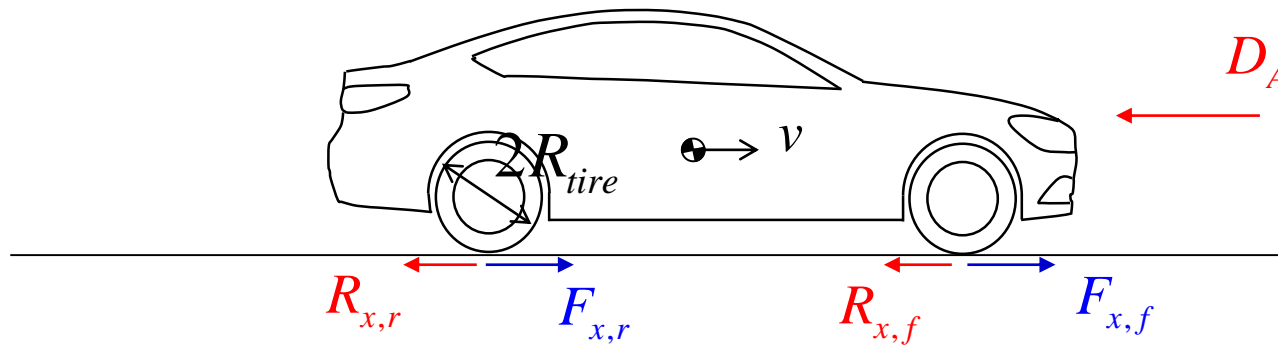
- Deep learning based model design for getting higher fuel efficiency

## (2) Reinforcement Learning



# Vehicle Model

# Longitudinal Vehicle Model



$$M\dot{v} = \underbrace{(F_{x,f} + F_{x,r})}_{\text{Traction force}} - \underbrace{D_A - (R_{x,f} + R_{x,r})}_{\text{Rolling resistance force} = f_v W = f_v M g}$$

$\swarrow$  Aerodynamic drag force  $= \frac{1}{2} \rho_{air} A_f C_d v^2$

$$P_{v[k]} = (F_{x,f} + F_{x,r}) v_{[k]}$$

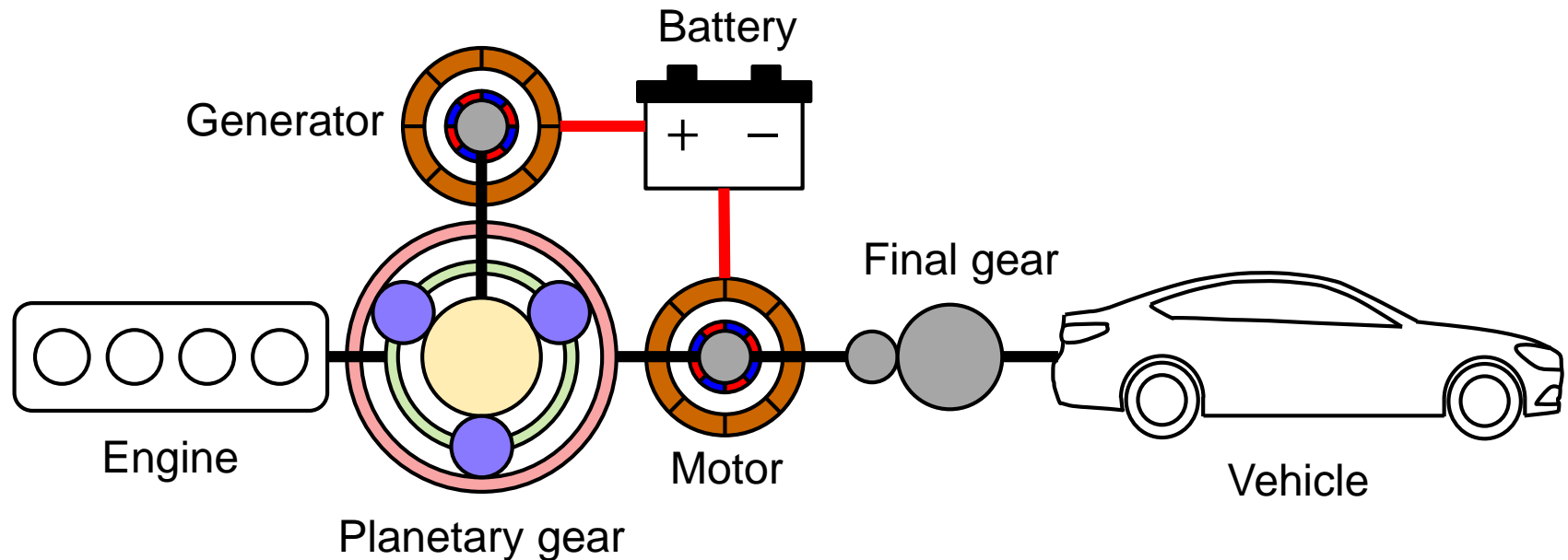
$\rho_{air}$  = air density [ $\text{kg} / \text{m}^3$ ]

$A_f$  = vehicle frontal area [ $\text{m}^2$ ]

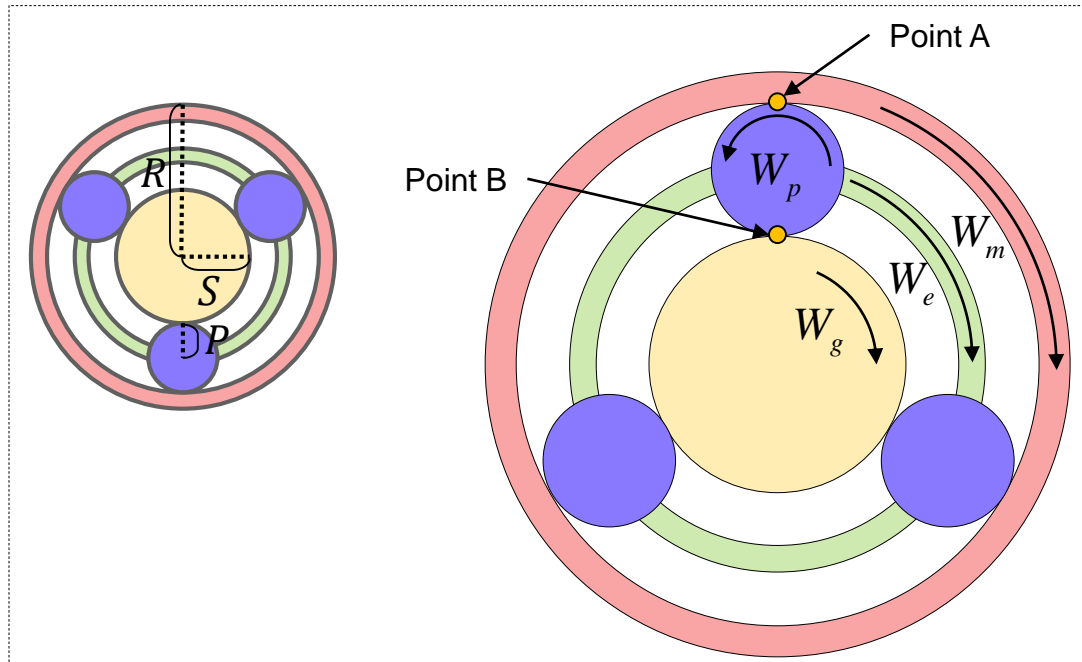
$C_d$  = aerodynamics drag coefficient

$f$  = rolling resistance coefficient

# Hybrid Power System



# Kinematics of Hybrid Power System



At point A

$$W_m R = W_e (2P + S) - W_p P$$

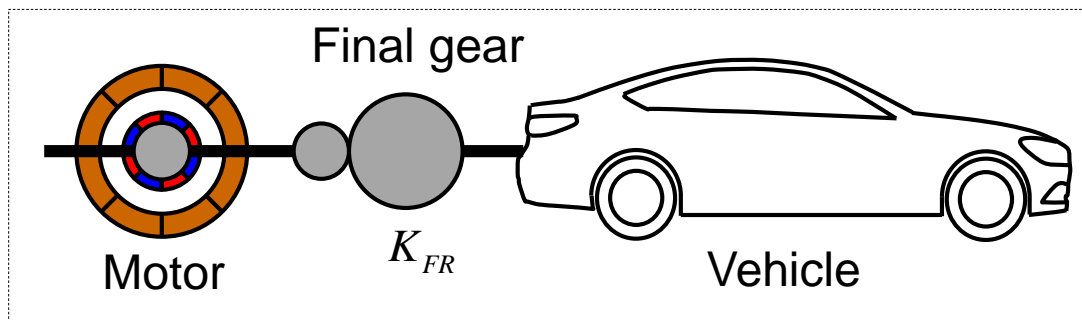
At point B

$$W_g S = W_e S + W_p P$$

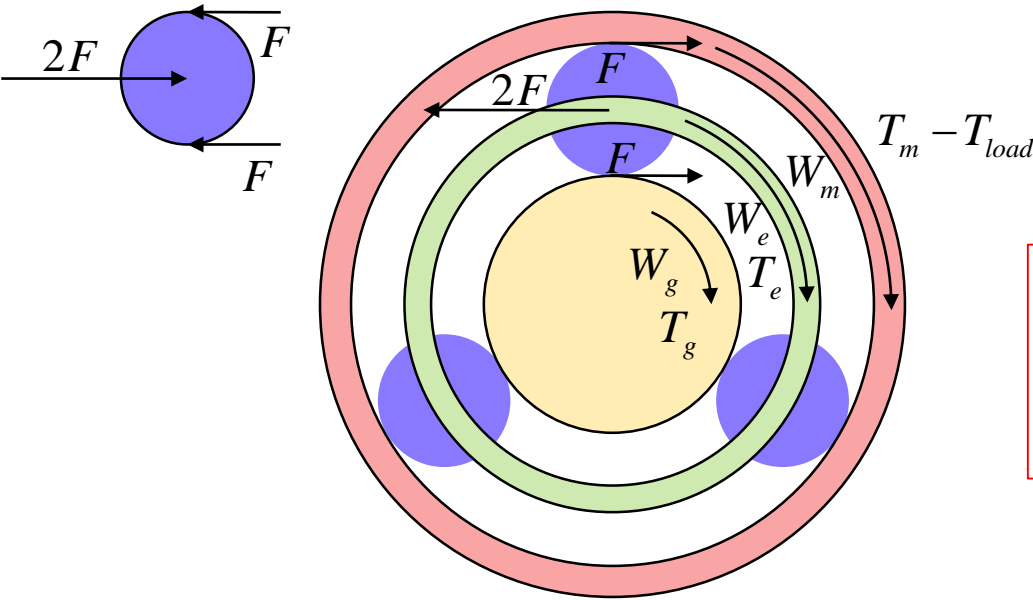
$$R = 2P + S$$

$$W_g S + W_m R = W_e (R + S)$$

$$W_v = K_{FR} W_m$$



# Dynamics of Hybrid Power System



$$T_g + SF = I_g \dot{W}_g$$

$$T_m - T_{load} + RF = I_m \dot{W}_m$$

$$T_e - (R + S)F = I_e \dot{W}_e$$

$$T_e - (S + P) \cdot 2F = I_e \dot{W}_e$$

$$R = 2P + S$$

Inertia of planetary gear is ignored

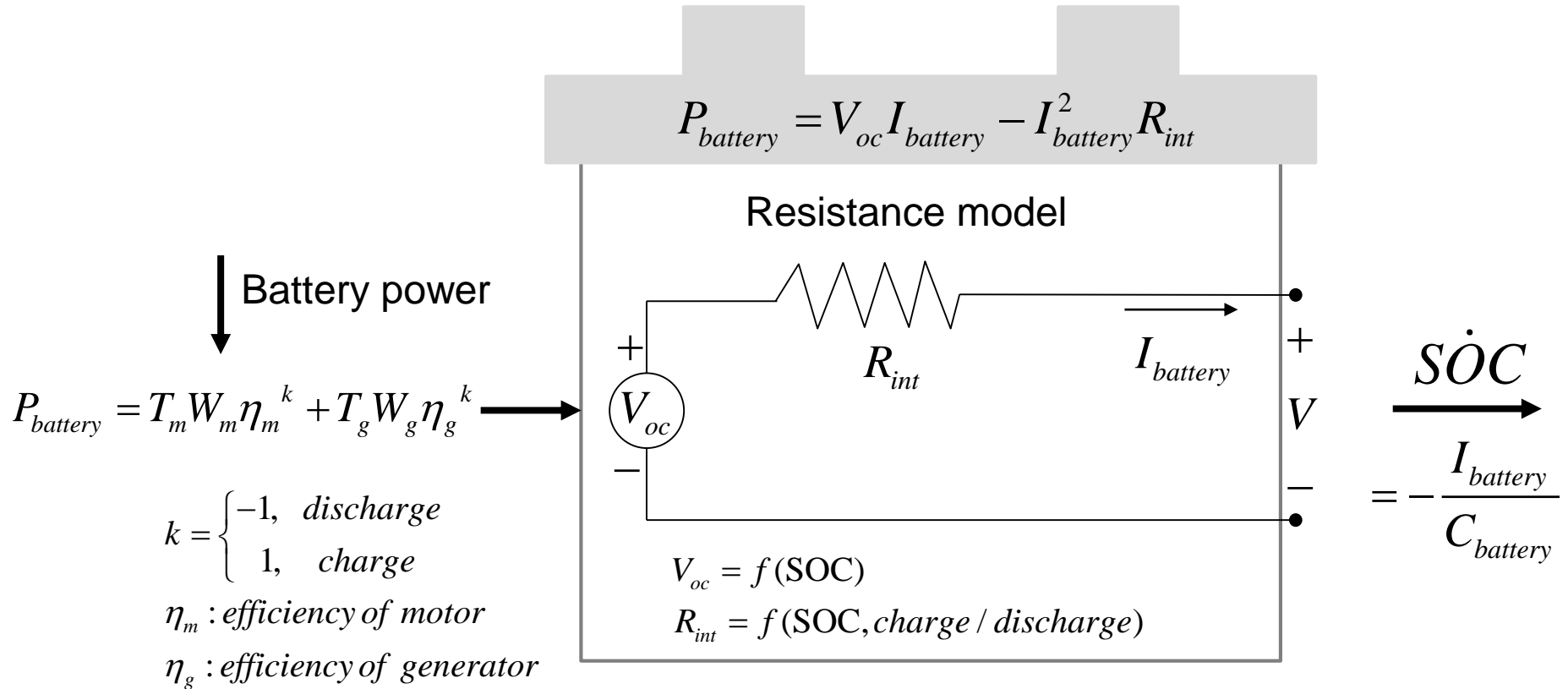
Dynamics Equation of Hybrid Power System

$$\begin{bmatrix} \left( \frac{R_{tire}}{K_{FR}} \right)^2 M + I_m & 0 & 0 & -R \\ 0 & I_e & 0 & R + S \\ 0 & 0 & I_g & -S \\ -R & R + S & -S & 0 \end{bmatrix} \begin{bmatrix} \dot{W}_m \\ \dot{W}_e \\ \dot{W}_g \\ F \end{bmatrix} = \begin{bmatrix} T_m - T_{load} \\ T_e \\ T_g \\ 0 \end{bmatrix}$$

$$T_{load} = \left( M\dot{v} + Mgf_v + \frac{1}{2} \rho_{air} A_f C_d v^2 \right) R_{tire}$$

$v$ : Velocity of the vehicle

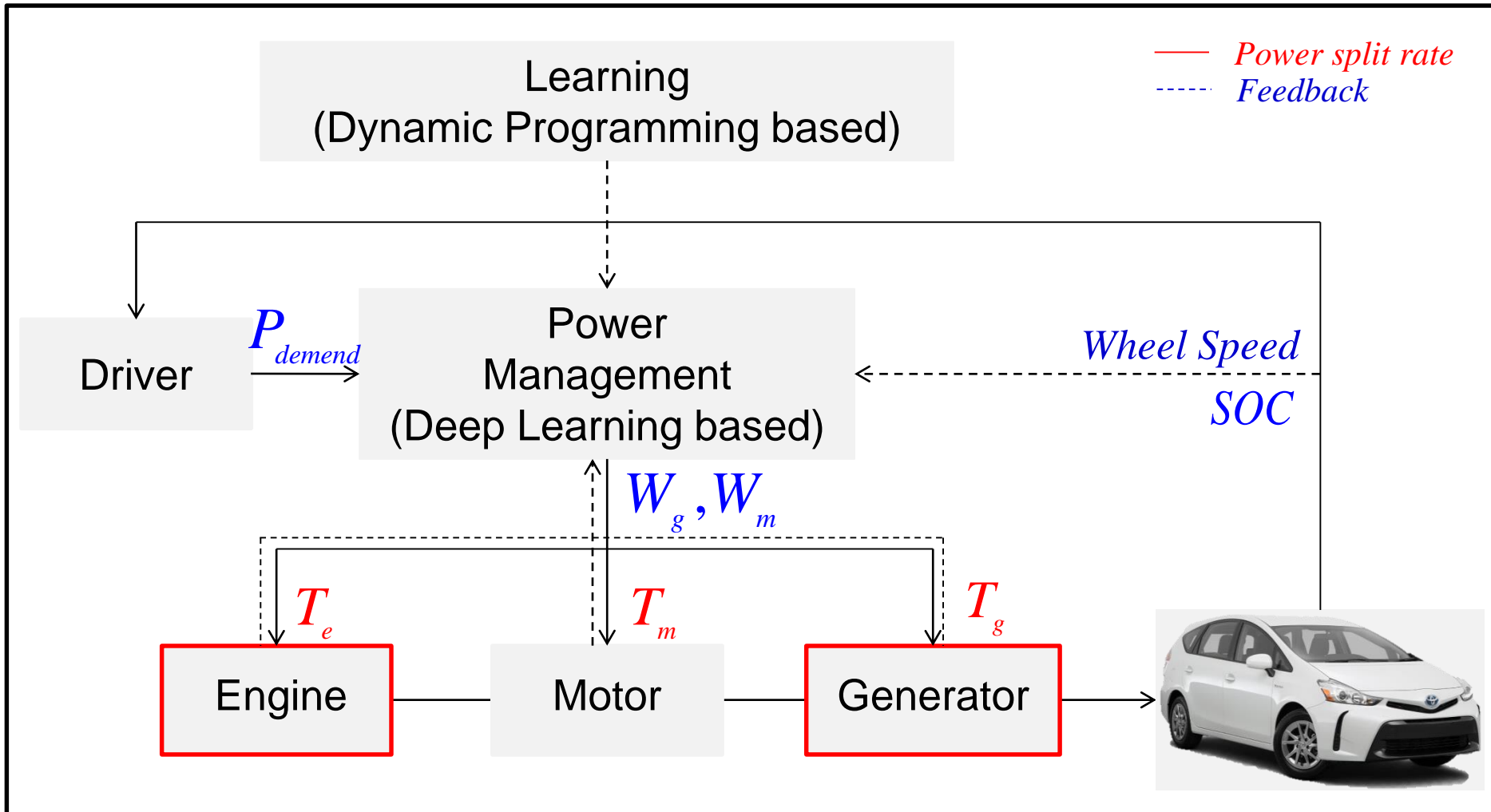
# Battery Dynamics



$$SOC = -\frac{V_{oc} - \sqrt{V_{oc}^2 - 4(T_m W_m \eta_m^k + T_g W_g \eta_g^k) R_{int}}}{C_{battery}}$$

$C_{battery}$  : battery capacity

# Apply to Vehicle

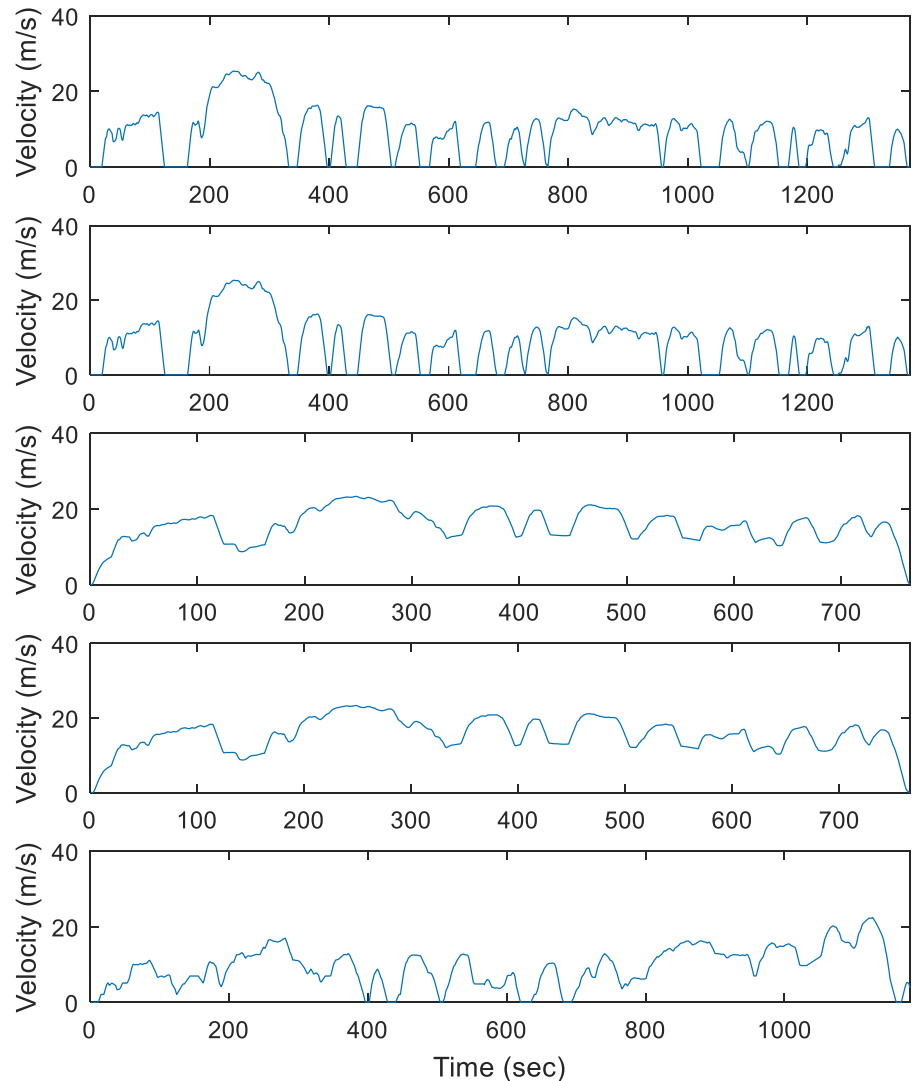
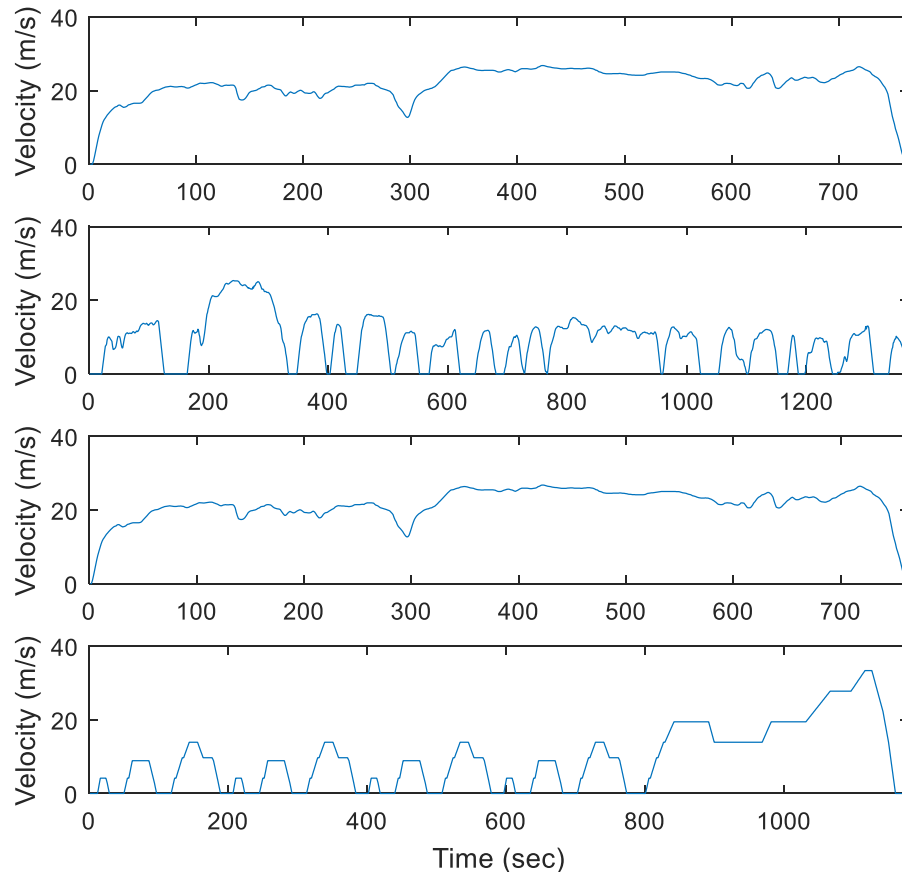




# Training Data

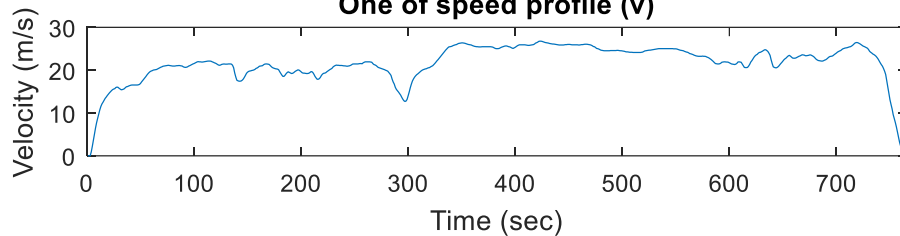
# Speed Profiles

- 9 speed profiles for training



# Additional Features from Speed Profiles

One of speed profile (v)

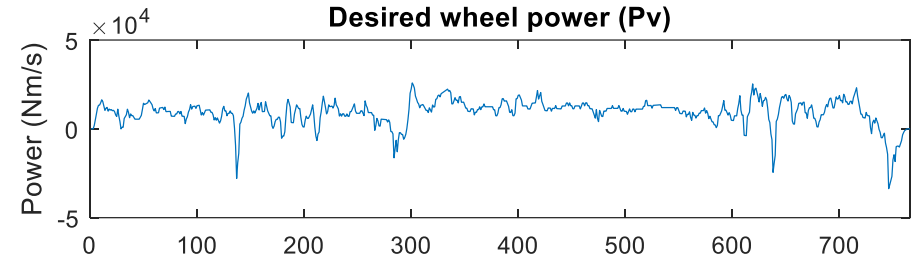


$$P_{v[k]} = \left( M\dot{v}_k + Mgf_v + \frac{1}{2} \rho_{air} A_f C_d v_{[k]}^2 \right) v_{[k]}$$

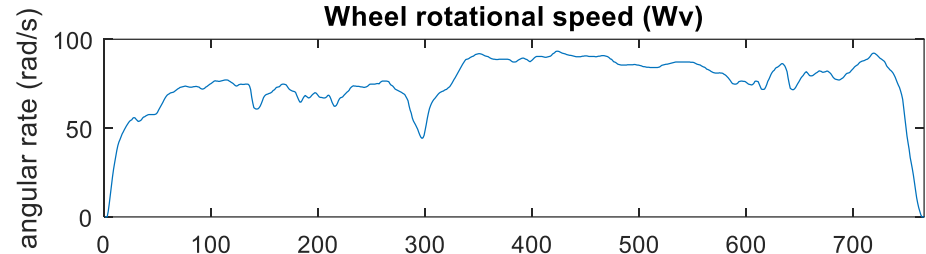
$$W_{v[k]} = \frac{v_{[k]}}{R_{tire}}$$

$$SOC_{[k]} = SOC_{[k-1]} + dSOC_{[k-1]} \cdot dt, SOC_{[0]} = 0.55$$

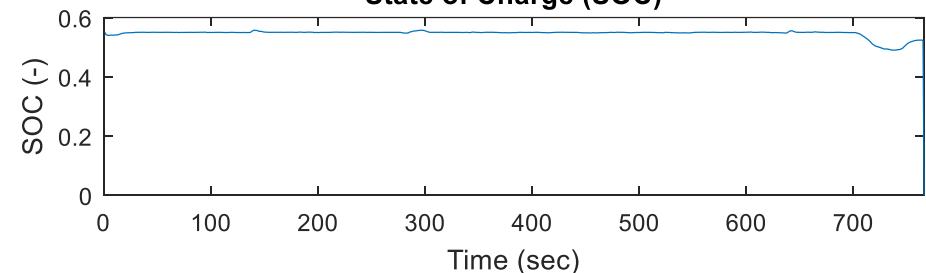
Desired wheel power (Pv)



Wheel rotational speed (Wv)

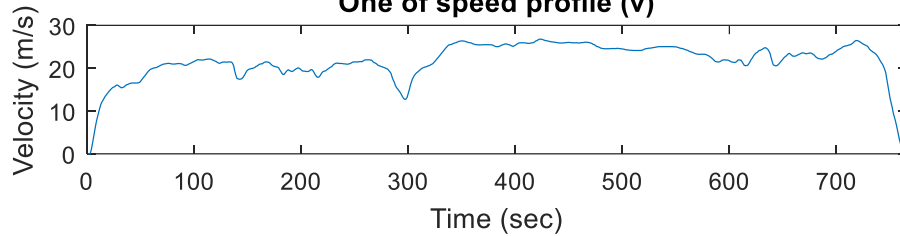


State of Charge (SOC)



# Additional Features from Speed Profiles

One of speed profile (v)

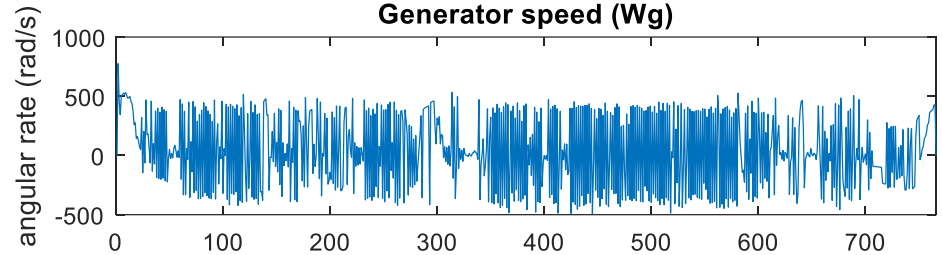


$$W_{g[k]} = \frac{W_{e[k]} \cdot (R + S) + W_{v[k]} \cdot K_{FR} \cdot (-R)}{S}$$

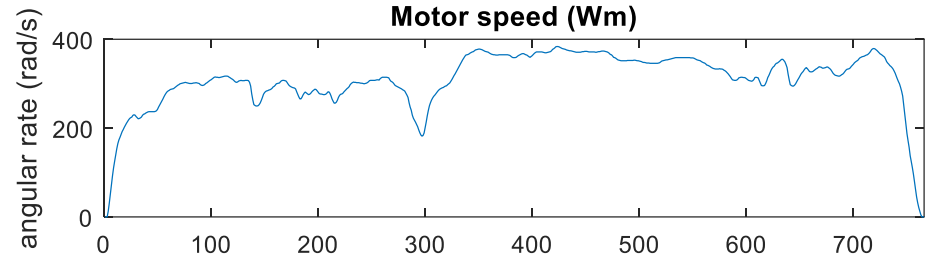
$$W_{m[k]} = W_{v[k]} \cdot K_{FR}$$

$$W_{e[k]} = W_{e[k-1]} + \left\{ T2W_{(1,1)} T_{e[k-1]} + T2W_{(1,2)} \left( T_{m+brake[k-1]} \cdot MR - T_{o[k-1]} \right) + T2W_{(1,3)} T_{g[k-1]} \right\} dt$$

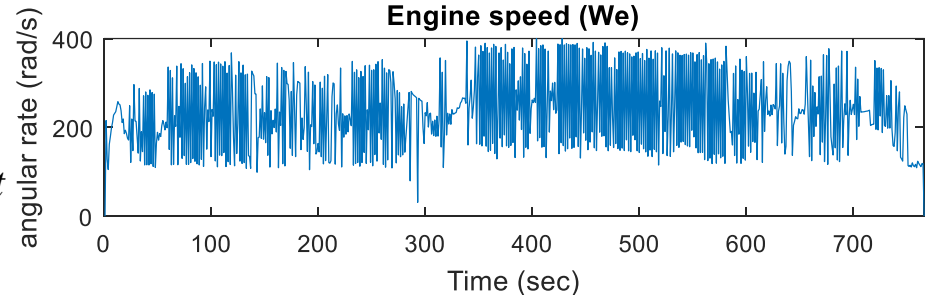
Generator speed (Wg)



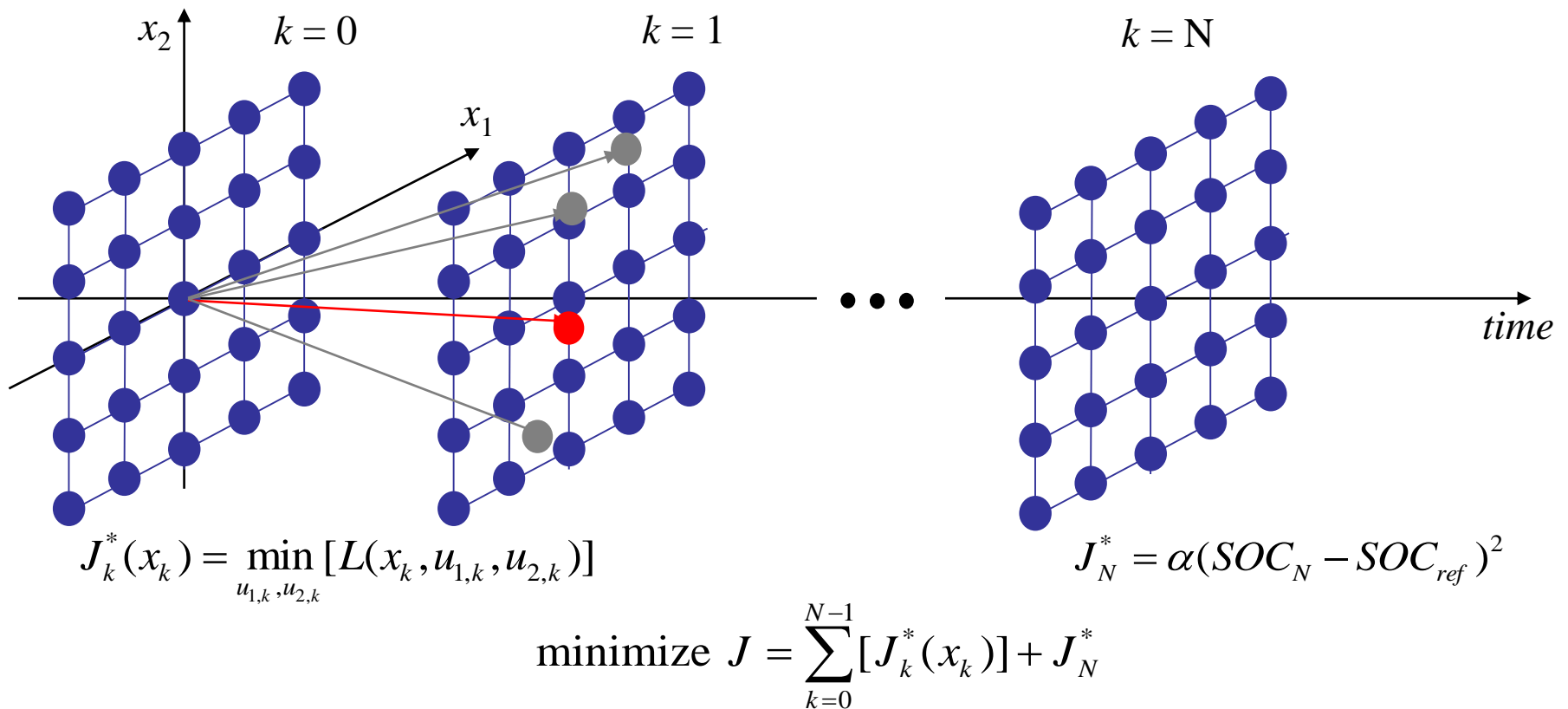
Motor speed (Wm)



Engine speed (We)



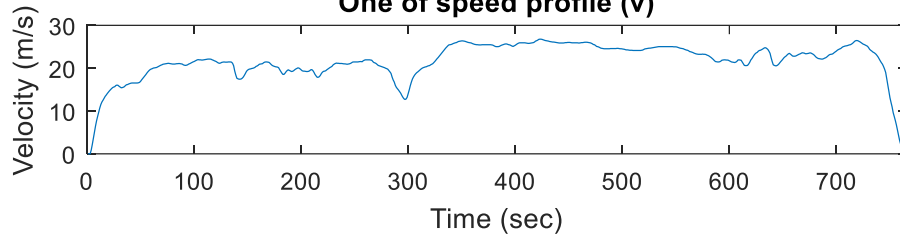
# Dynamic Programming



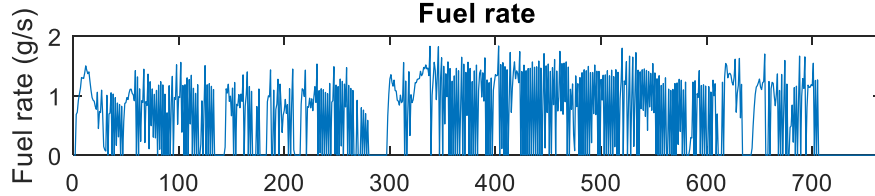
State variables	Engine speed ( $W_e$ ) SOC	Constraints	$0 \leq w_e(x_1) \leq 4000rpm$ $0.4 \leq SOC(x_2) \leq 0.7$
Control inputs	Engine torque ( $T_e$ ) Generator torque ( $T_{mg1}$ )		$-55 \leq T_{mg1}(u_1) \leq 55Nm$ $0 \leq T_e(u_2) \leq 100Nm$

# Additional Features from DP

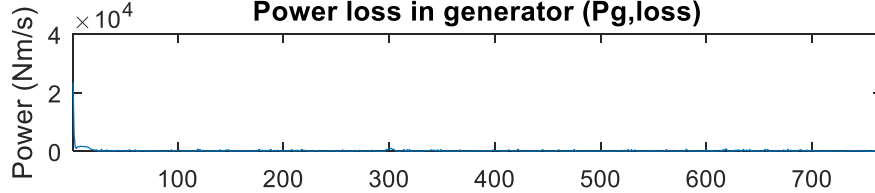
One of speed profile (v)



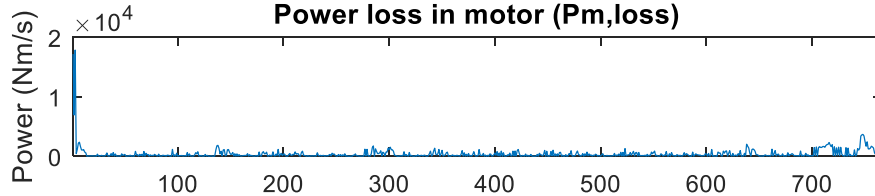
Fuel rate



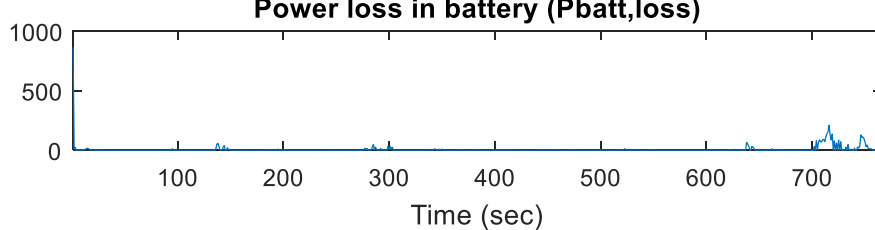
Power loss in generator ( $P_{g,loss}$ )



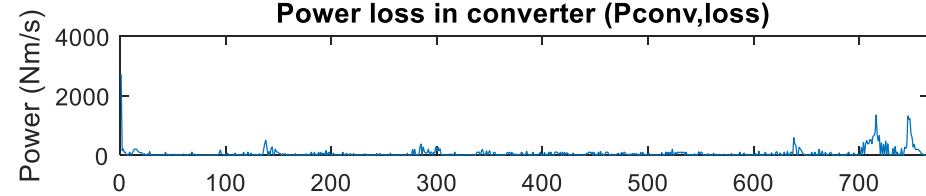
Power loss in motor ( $P_{m,loss}$ )



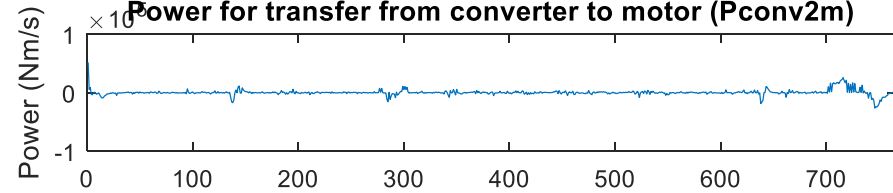
Power loss in battery ( $P_{batt,loss}$ )



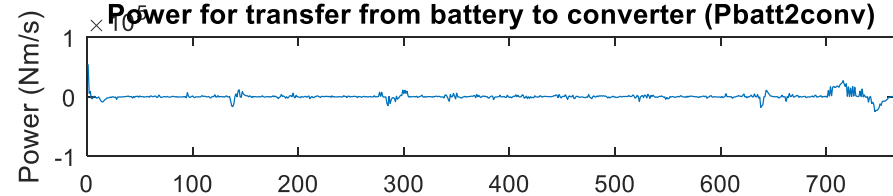
Power loss in converter ( $P_{conv,loss}$ )



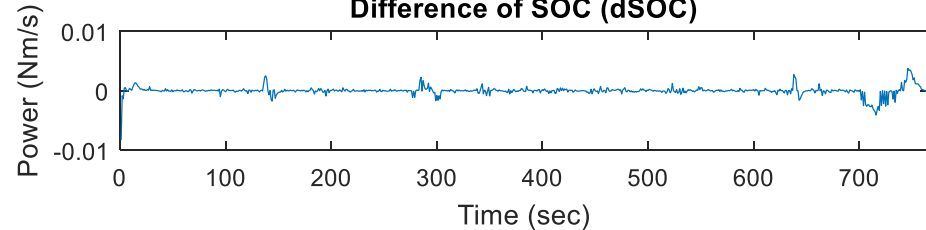
Power for transfer from converter to motor ( $P_{conv2m}$ )



Power for transfer from battery to converter ( $P_{batt2conv}$ )

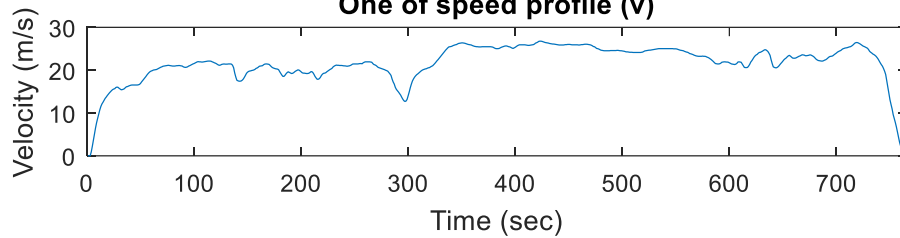


Difference of SOC (dSOC)

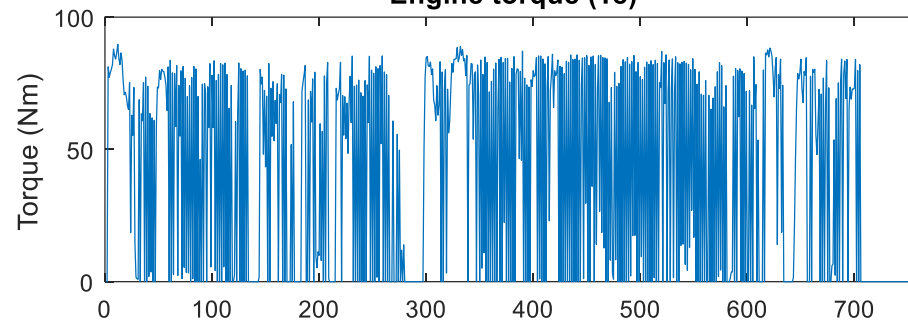


# Output from DP

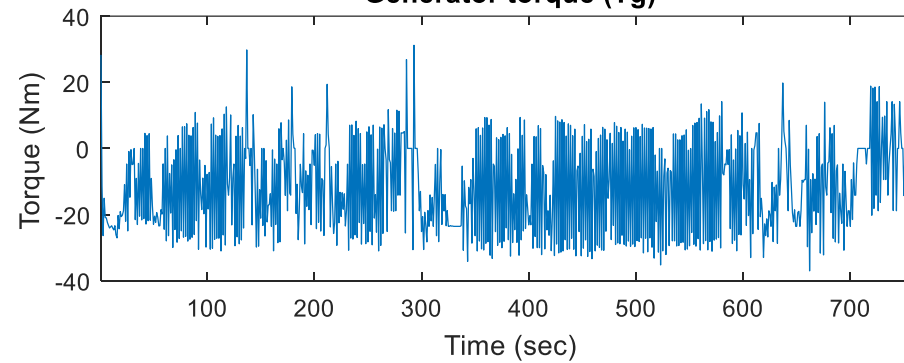
One of speed profile (v)



Engine torque ( $T_e$ )



Generator torque ( $T_g$ )

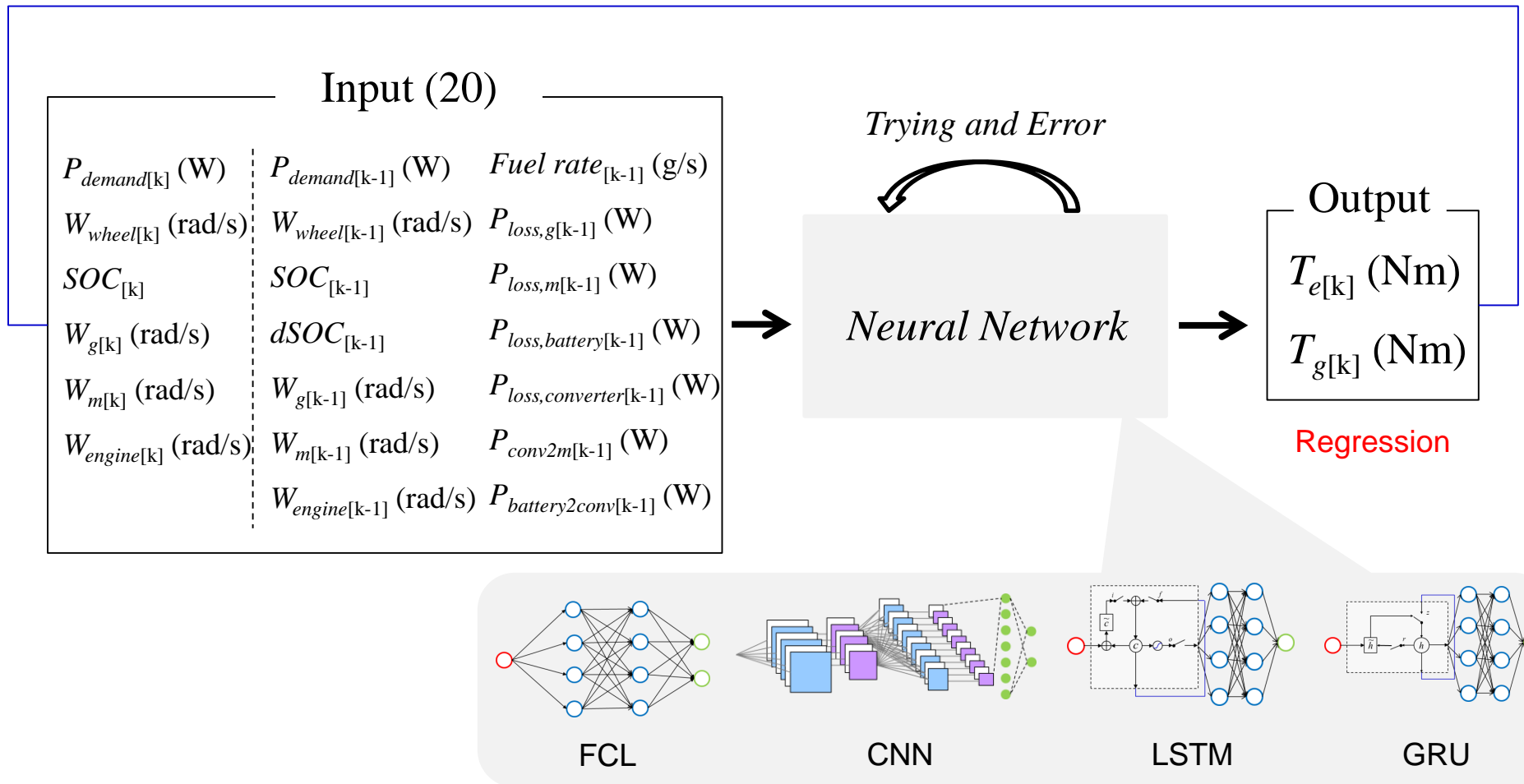


# Supervised Learning



# Supervised Learning: Problem Definition

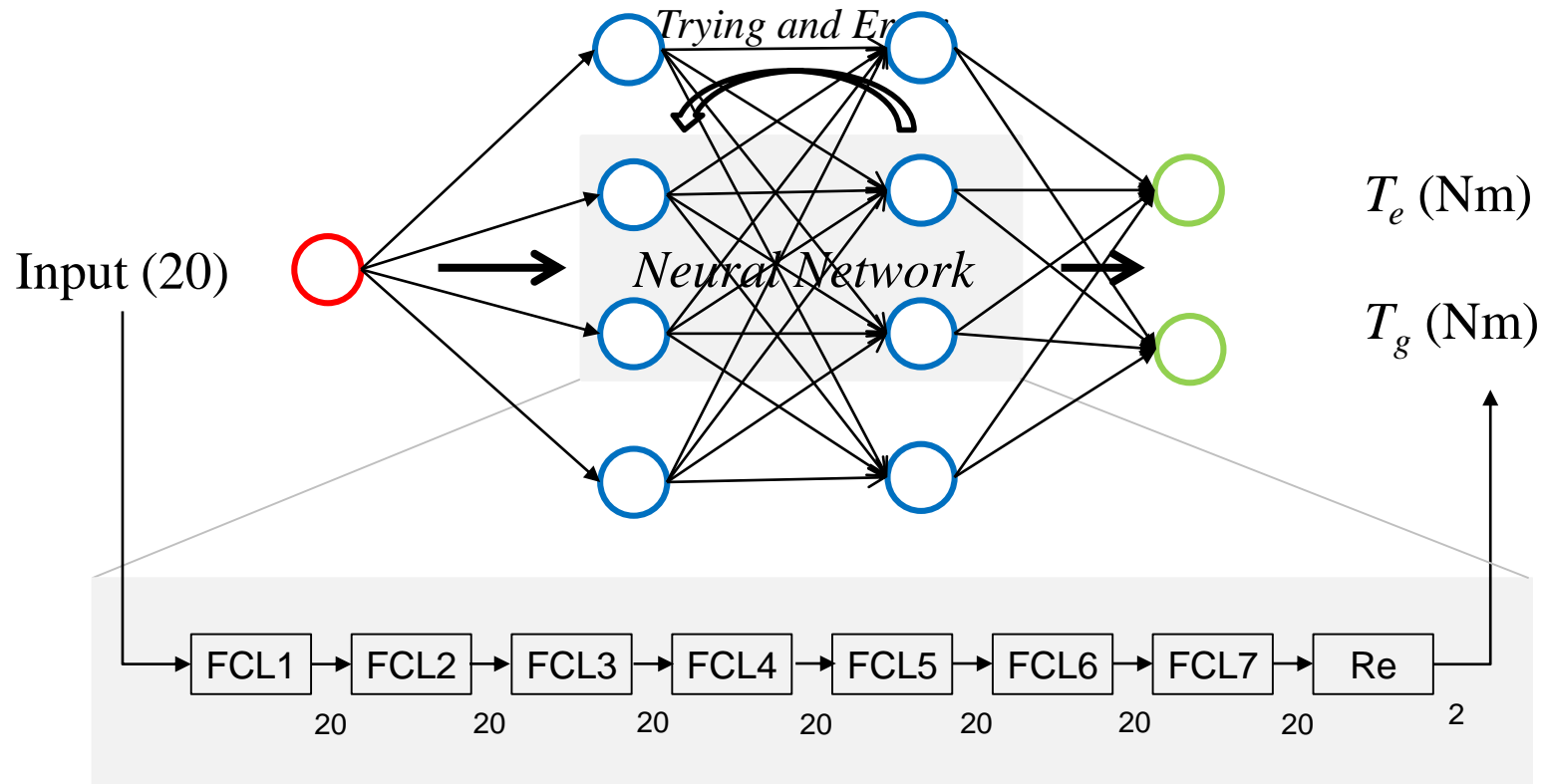
- $T_e/T_g$  prediction with various DNN structures (**Regression** problem)



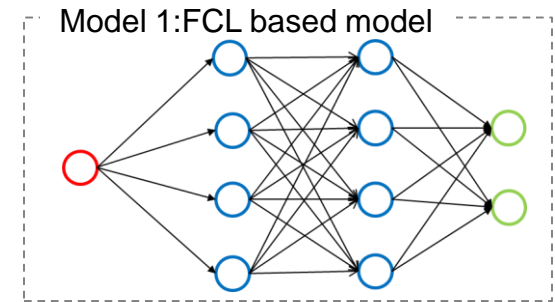
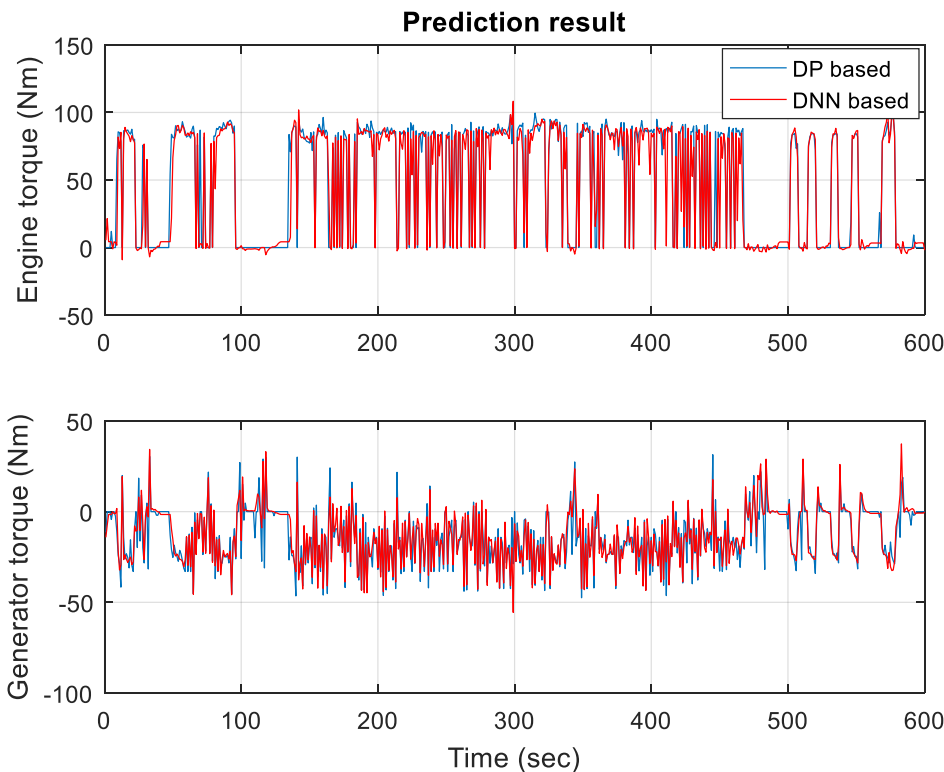
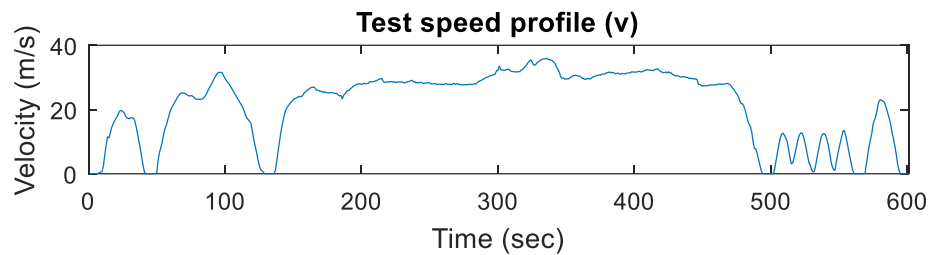
# Supervised Learning:

## Designed Model 1 (Based on FCL)

- Directly regression model with fully-connected layer



# Supervised Learning: Prediction Result for Model 1



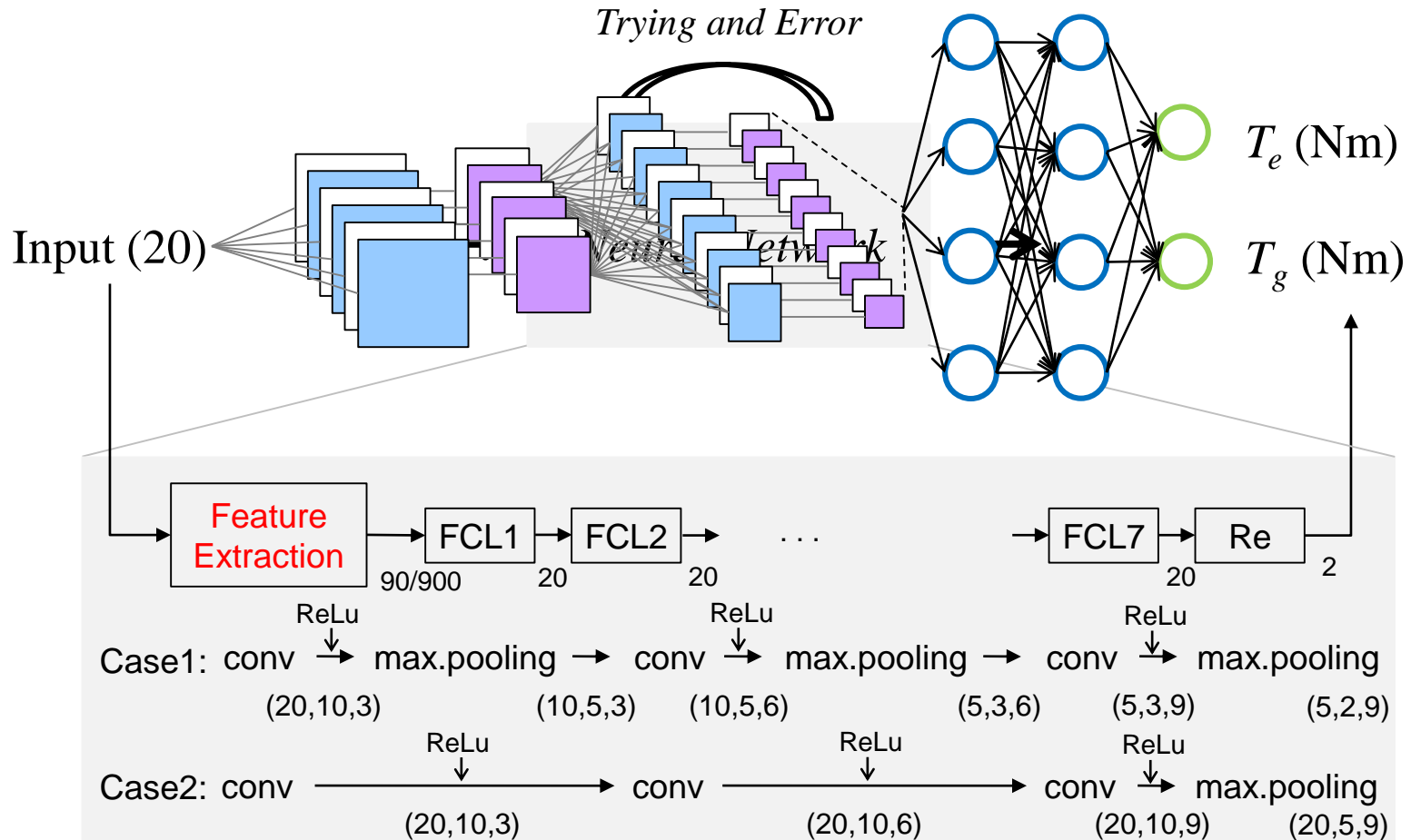
RMSE	Train result	Test result (US06)
$T_e$	9.6292	12.3085
$T_g$	3.6647	5.8915

# Supervised Learning:

## Designed Model 2 (Based on CNN)

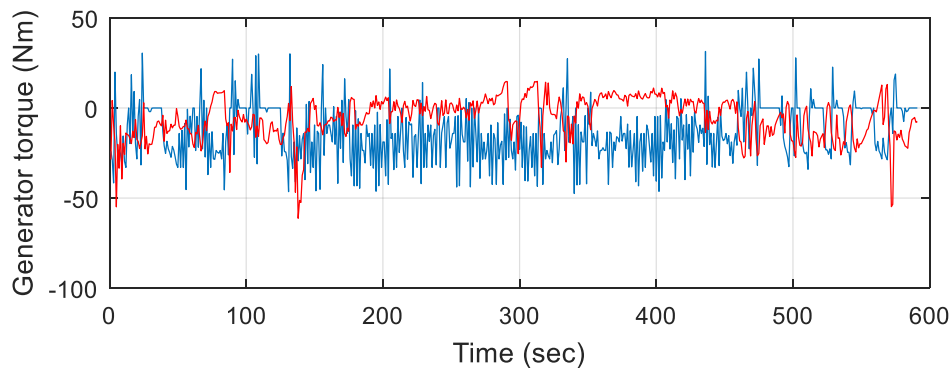
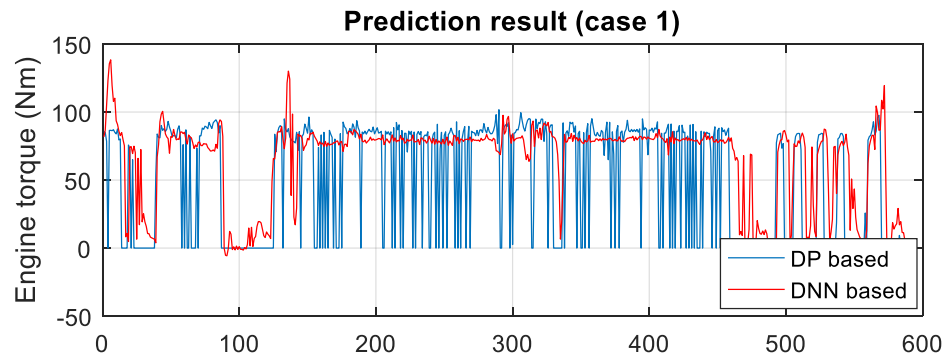
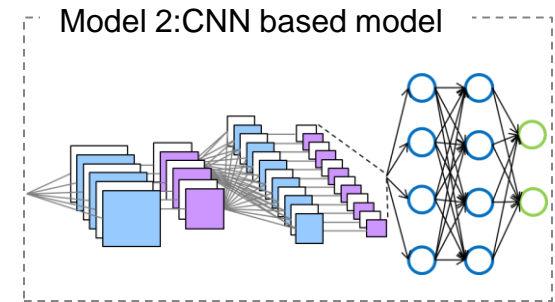
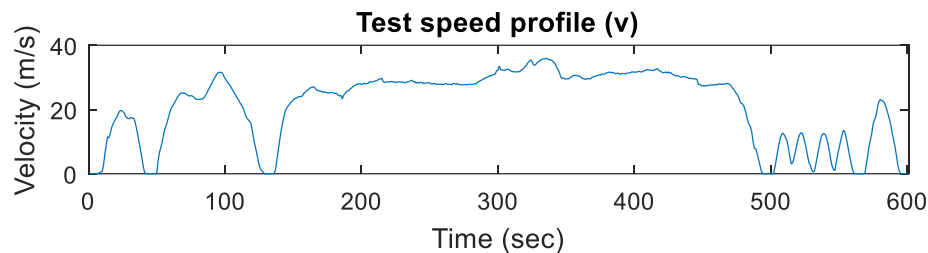
- Directly regression model with CNN

Time window = 10 steps



# Supervised Learning:

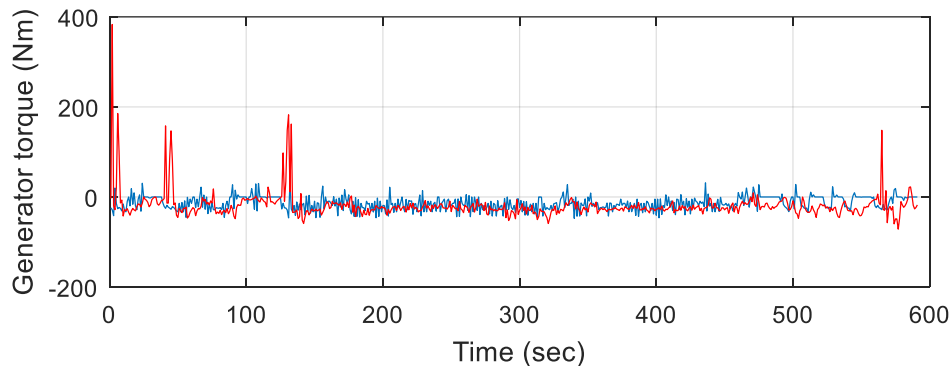
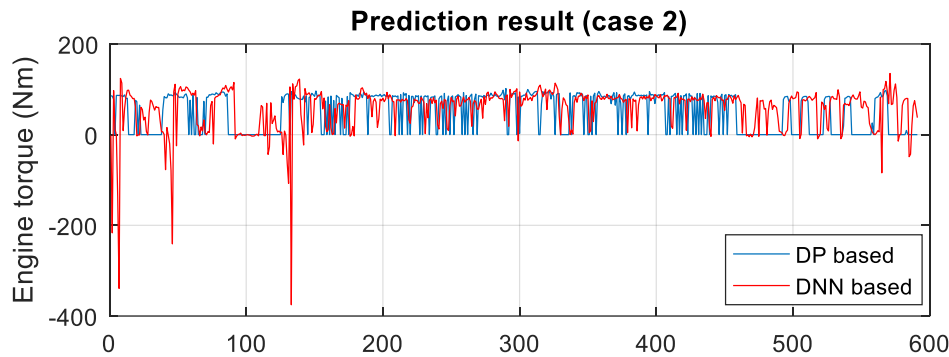
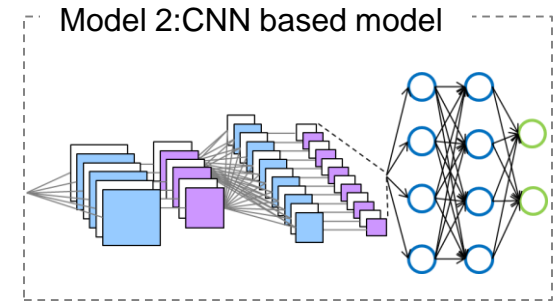
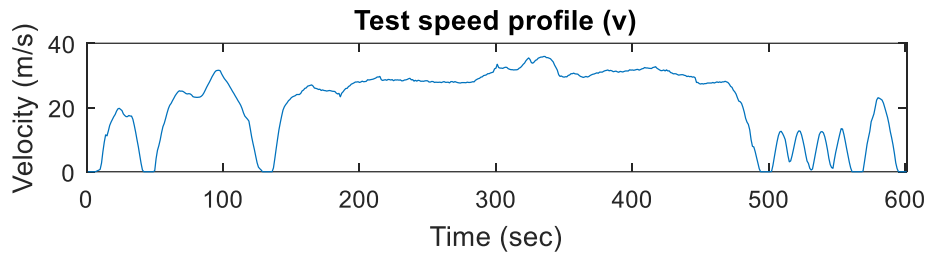
## Prediction Result for Model 2 (Case 1)



RMSE	Train result	Test result (US06)
$T_e$	43.3254	39.3080
$T_g$	16.3033	24.0154

# Supervised Learning:

## Prediction Result for Model 2 (Case 2)

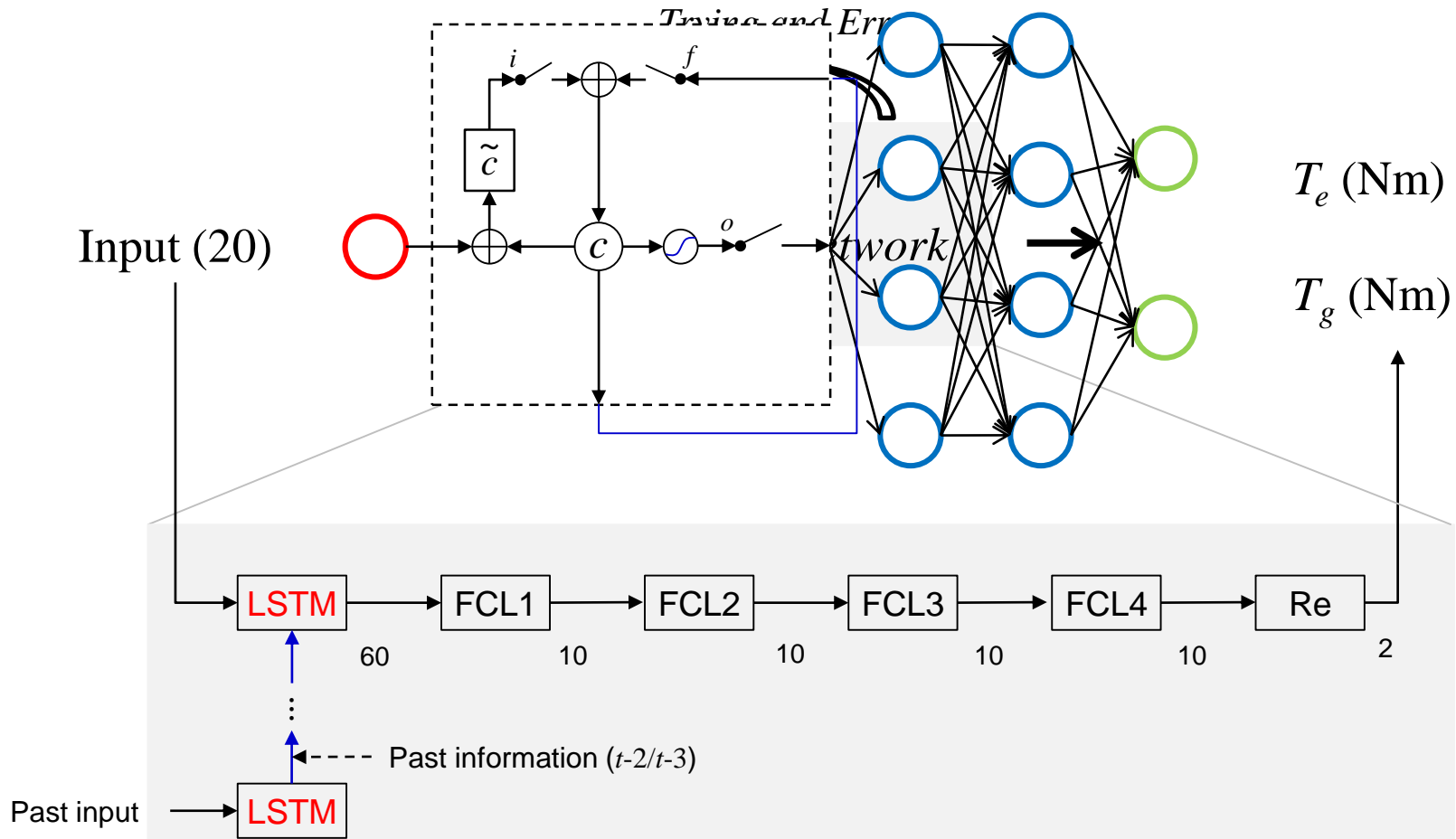


RMSE	Train result	Test result (US06)
$T_e$	44.1325	62.1841
$T_g$	22.5541	35.5008

# Supervised Learning:

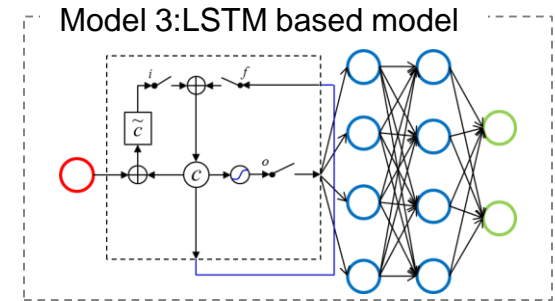
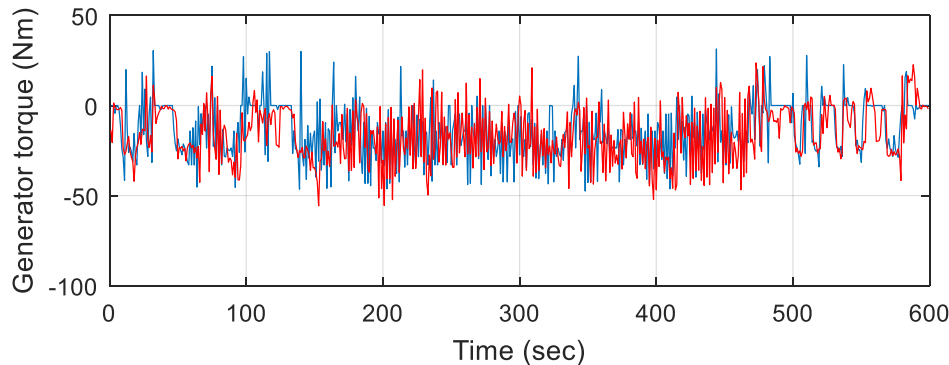
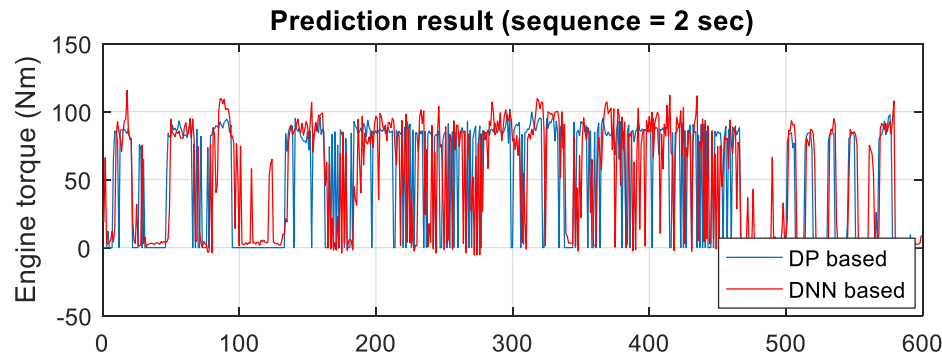
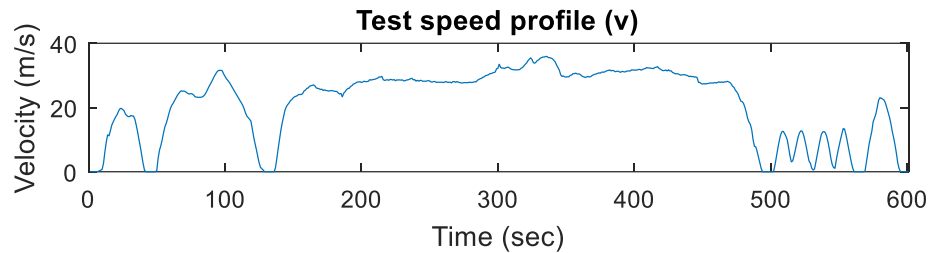
## Designed Model 3 (Based on LSTM)

- Directly regression model with LSTM



# Supervised Learning:

## Prediction Result for Model 3 (Sequence = 2 sec)

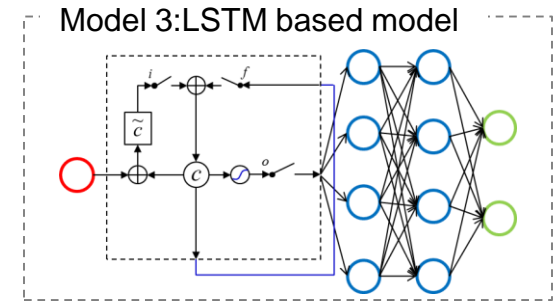
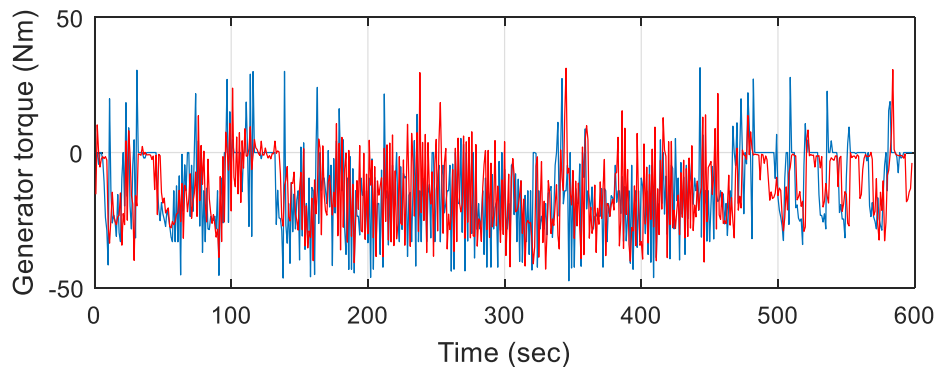
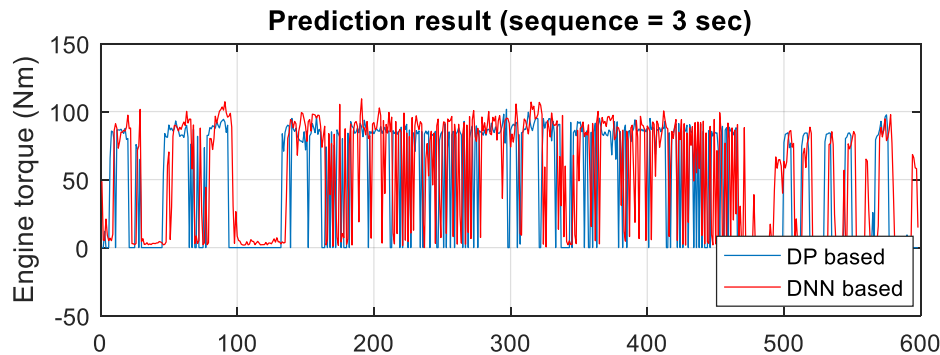
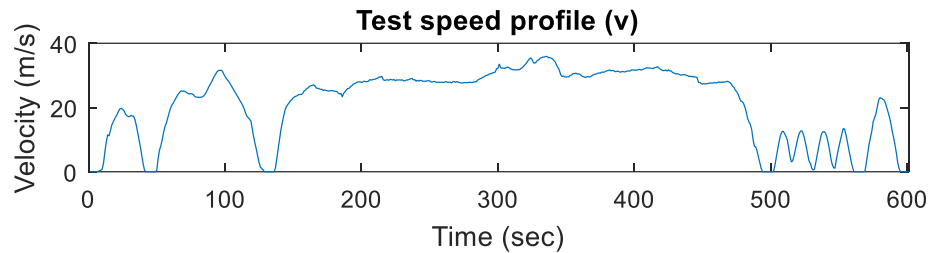


RMSE	Train result	Test result (US06)
$T_e$	31.9129	39.2727
$T_g$	11.2593	15.7211



# Supervised Learning:

## Prediction Result for Model 3 (Sequence = 3 sec)



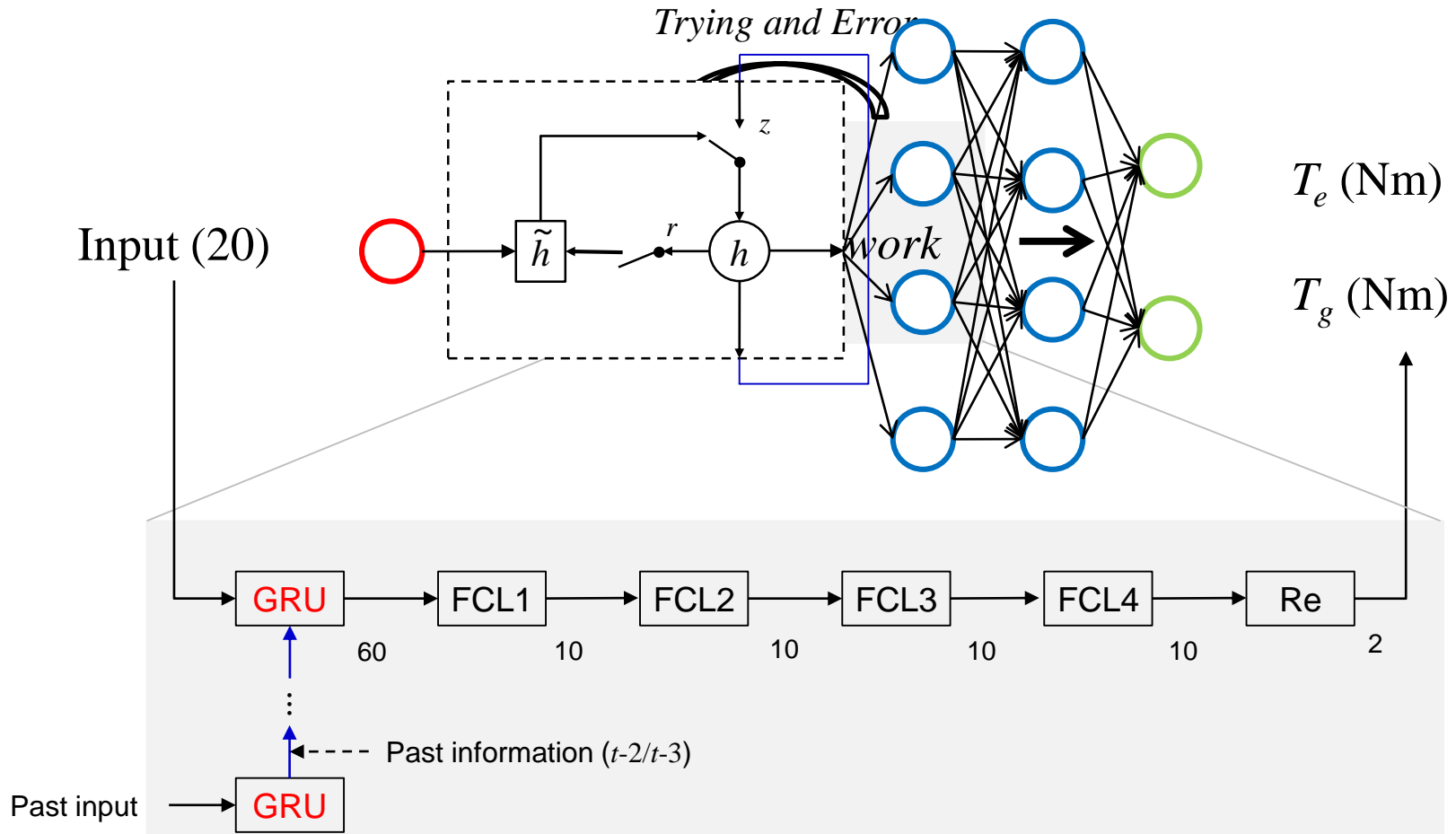
RMSE	Train result	Test result (US06)
$T_e$	32.7164	43.1871
$T_g$	11.0311	16.4077

➔ Sequence  $\uparrow \rightarrow$  accuracy  $\downarrow$   
 ( No time series feature in database )

# Supervised Learning:

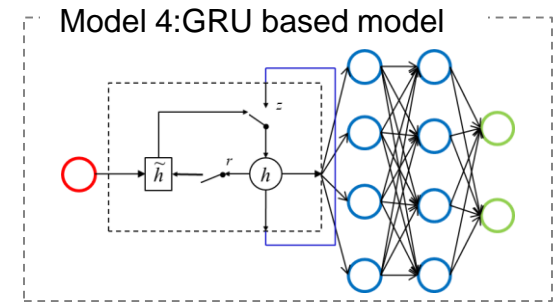
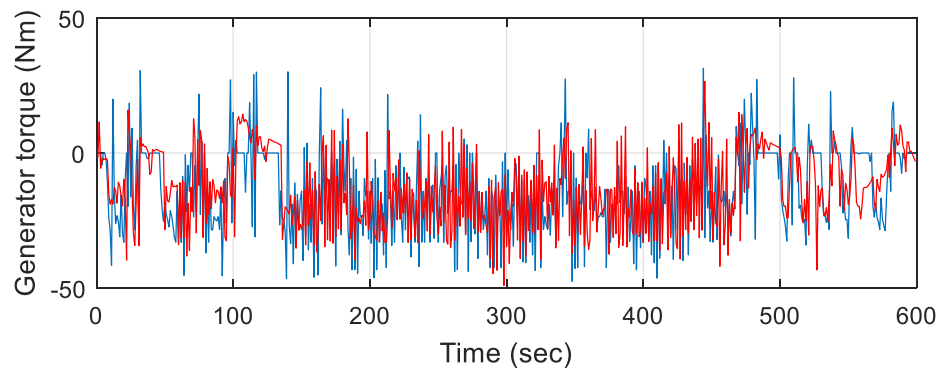
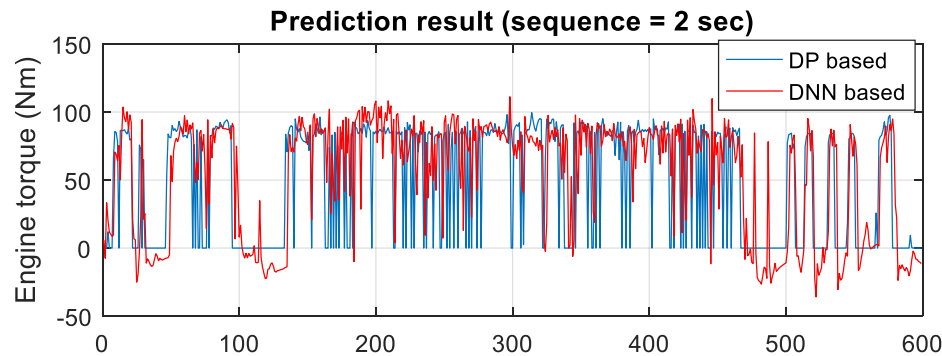
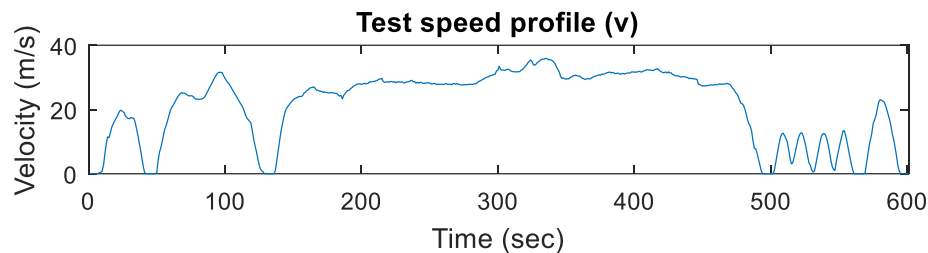
## Designed Model 4 (Based on GRU)

- Directly regression model with GRU



# Supervised Learning:

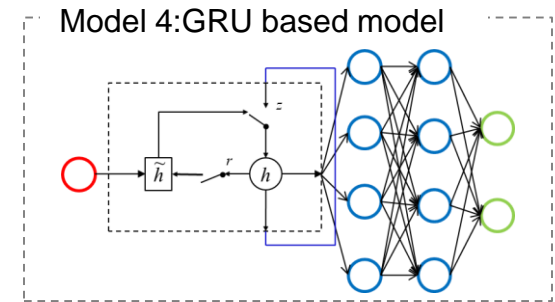
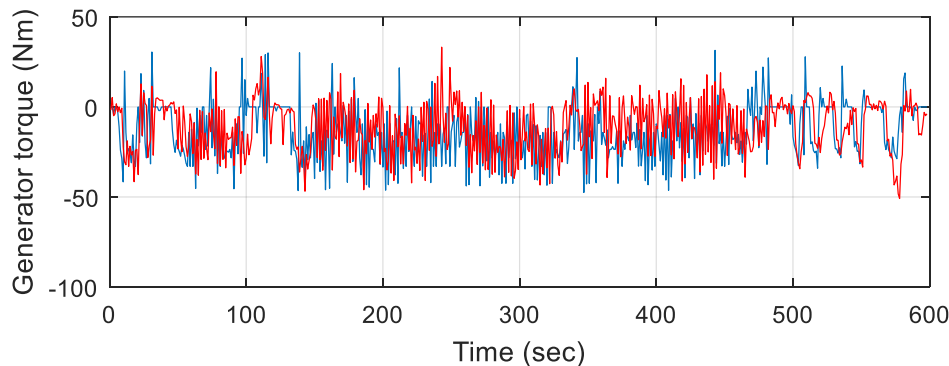
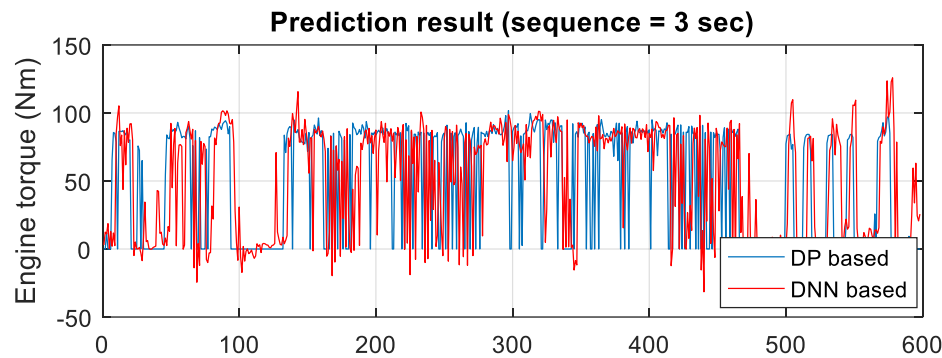
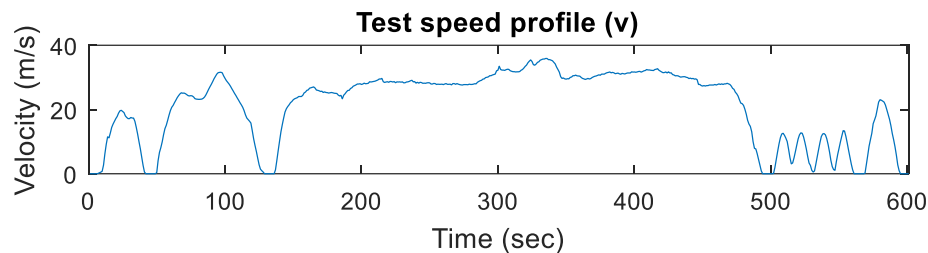
## Prediction Result for Model 4 (Sequence = 2 sec)



RMSE	Train result	Test result (US06)
$T_e$	29.2779	35.8741
$T_g$	11.0861	14.7434

# Supervised Learning:

## Prediction Result for Model 4 (Sequence = 3 sec)

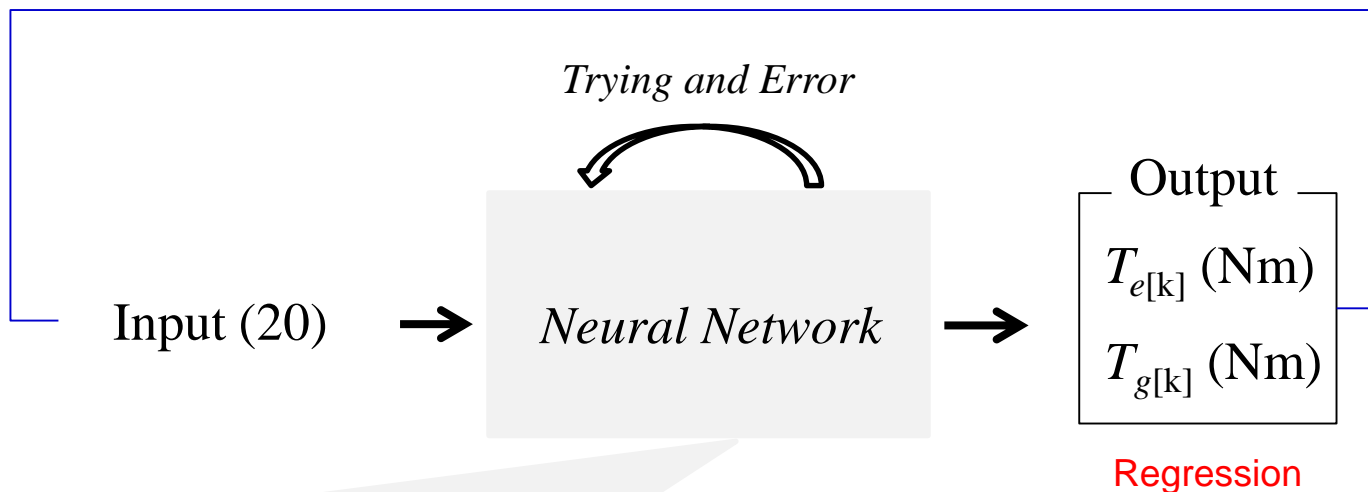


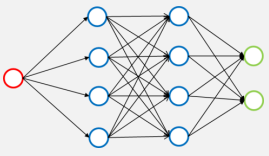
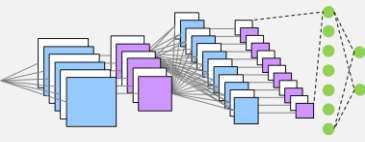
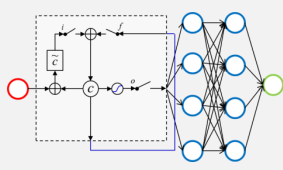
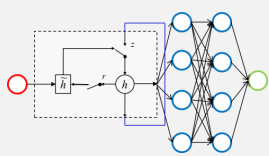
RMSE	Train result	Test result (US06)
$T_e$	33.7160	42.5497
$T_g$	12.5286	17.8591

➔ Sequence  $\uparrow \rightarrow$  accuracy  $\downarrow$   
 ( No time series feature in database )

# Supervised Learning:

## Summary of 4 Models for Direct Output Prediction



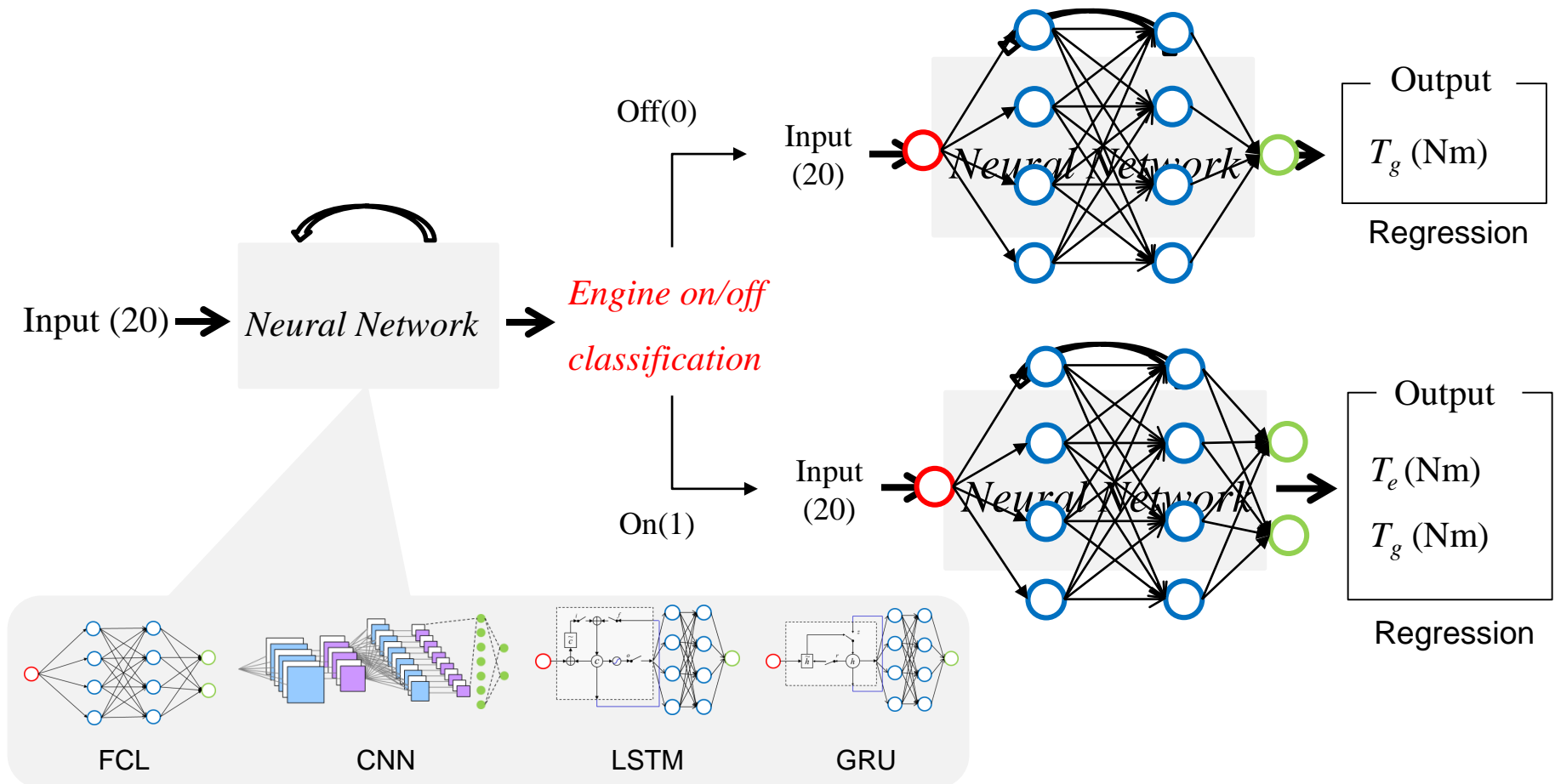
Lower RMSE for test data	 FCL	 CNN	 LSTM	 GRU
Error for $T_e$	12.3085	39.3080	39.2727	35.8741
Error for $T_g$	5.8915	24.0154	15.7211	14.7434

Best choice for regression

# Supervised Learning:

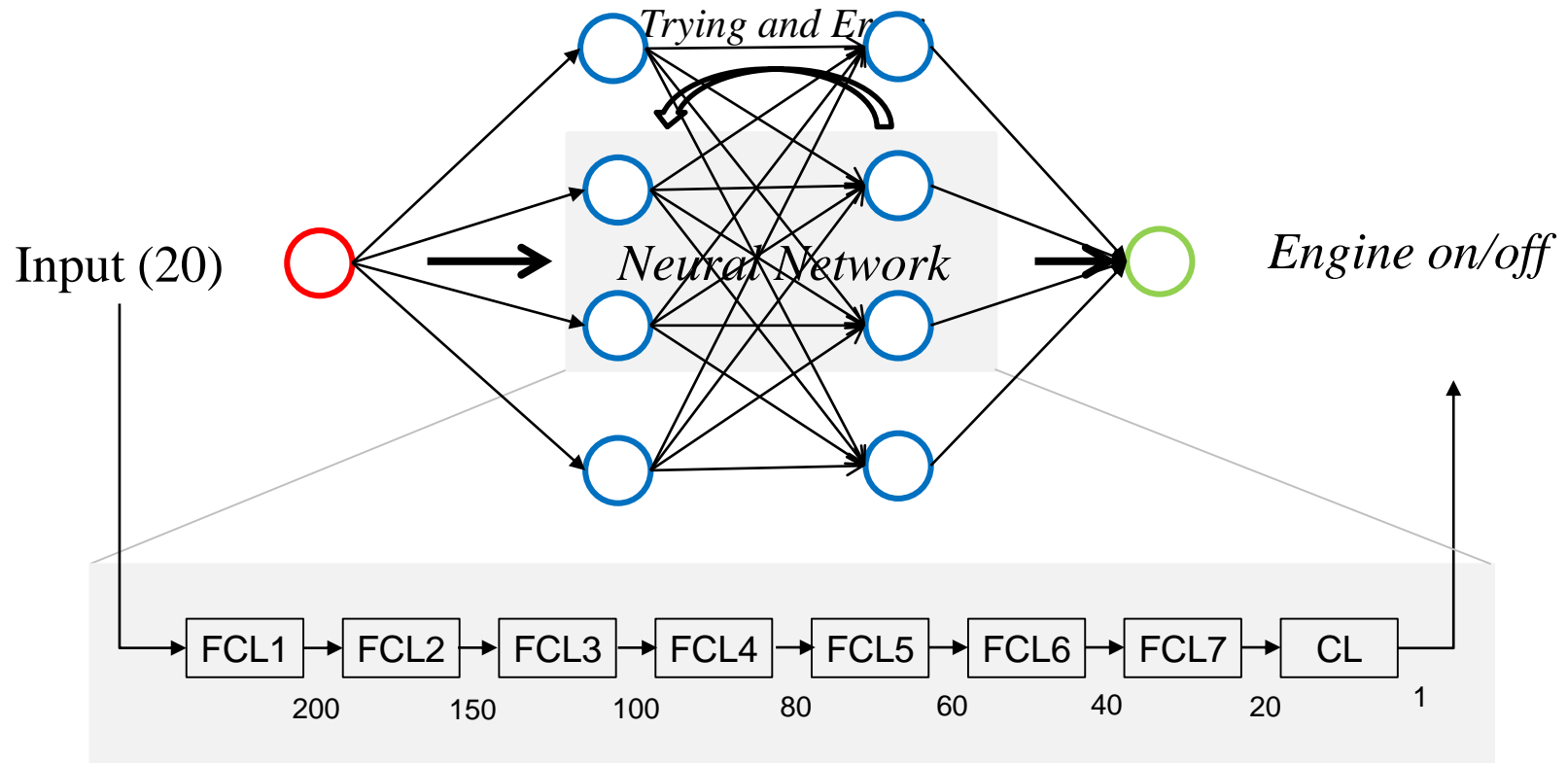
## New Approach with Classification of Engine Switching

- Engine switching prediction with various DNN structures (**Classification**)  
+  $T_e/T_g$  prediction with FCL structure

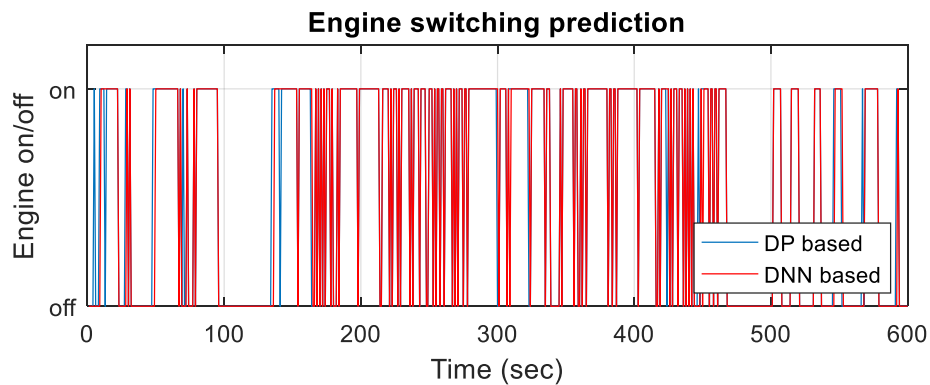
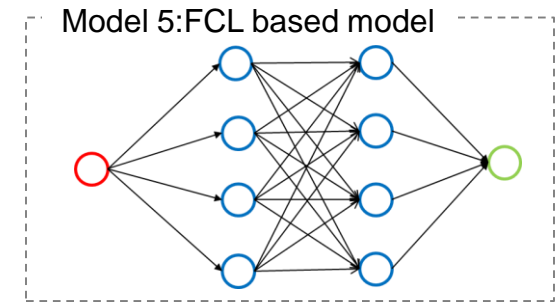
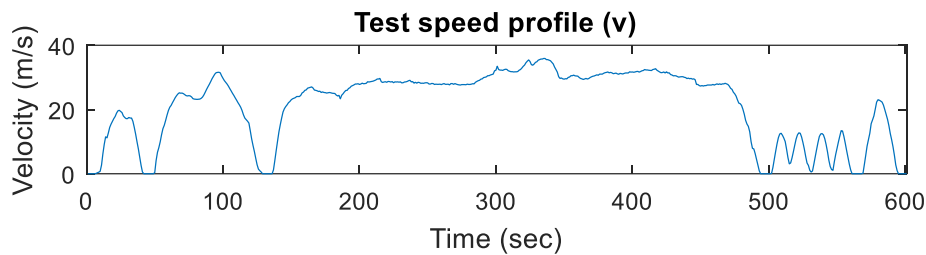


# Supervised Learning: Designed Model 5 (Based on FCL)

- Engine switching classification model with fully-connected layer



# Supervised Learning: Prediction Result for Model 5



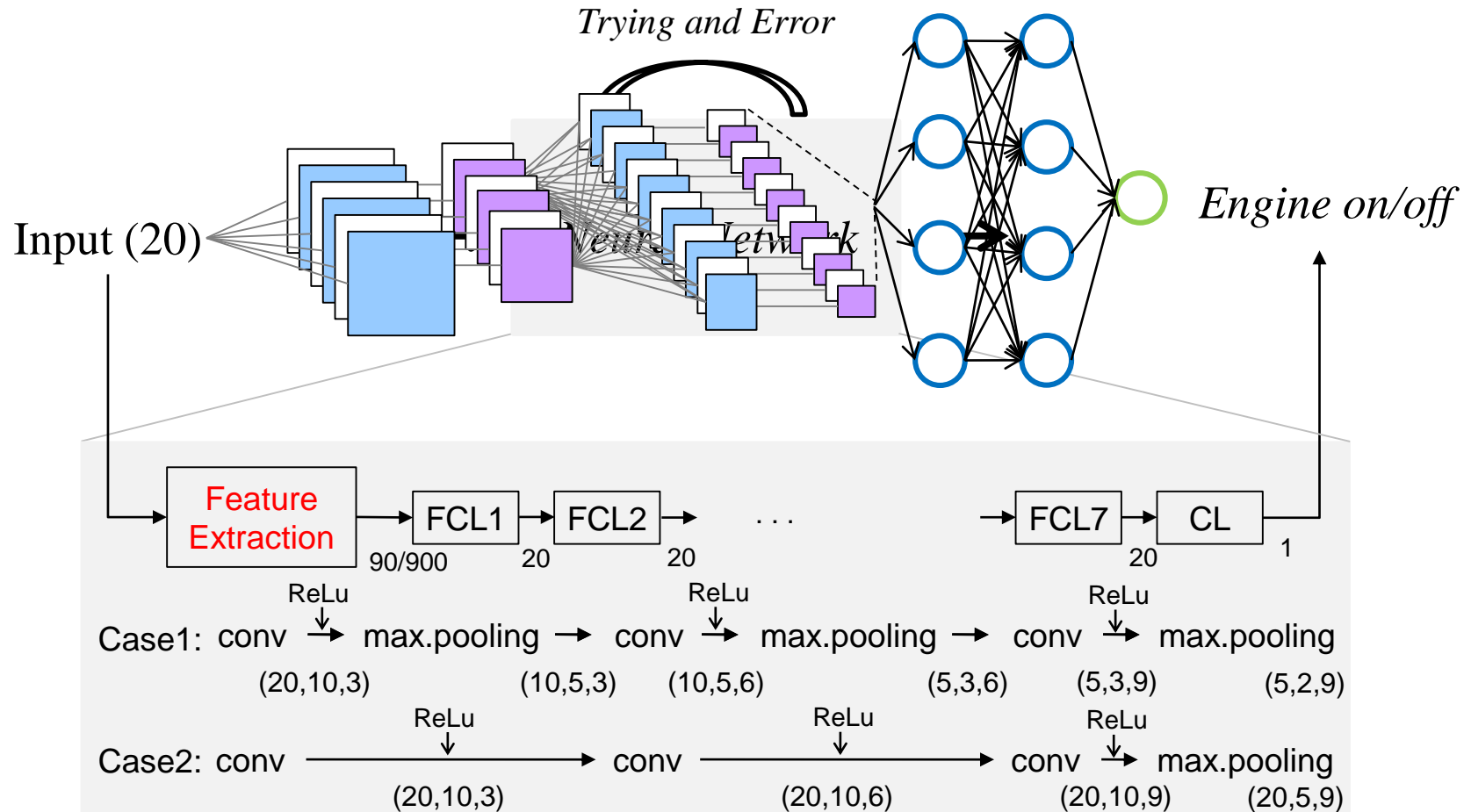
Accuracy (%)	Train result	Test result (US06)
<i>No-Sequence</i>	97.51	96.5



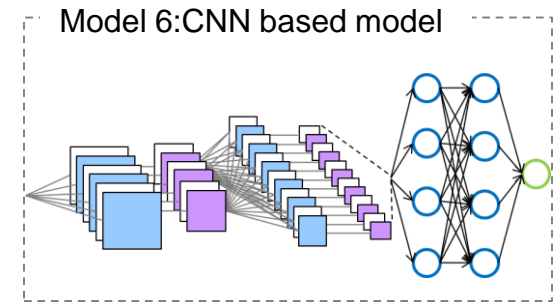
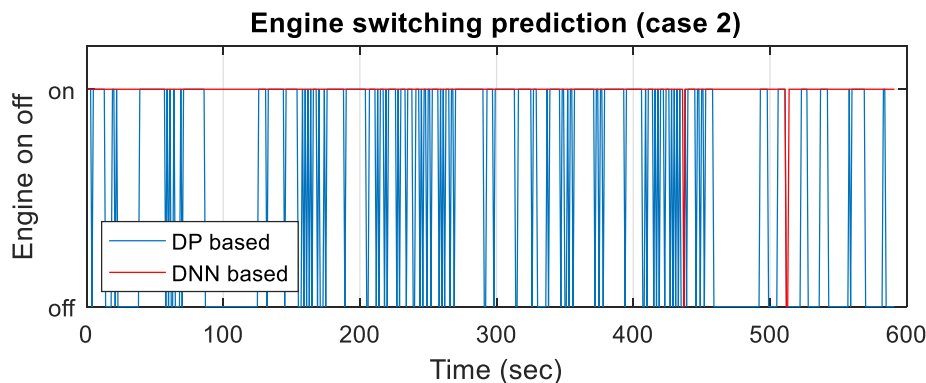
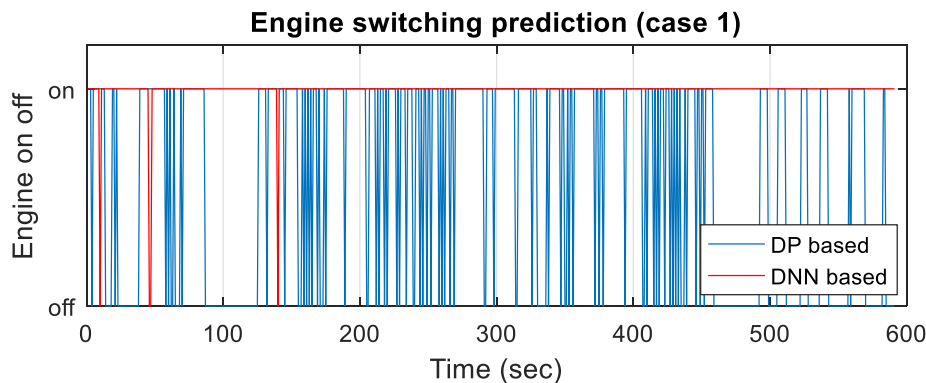
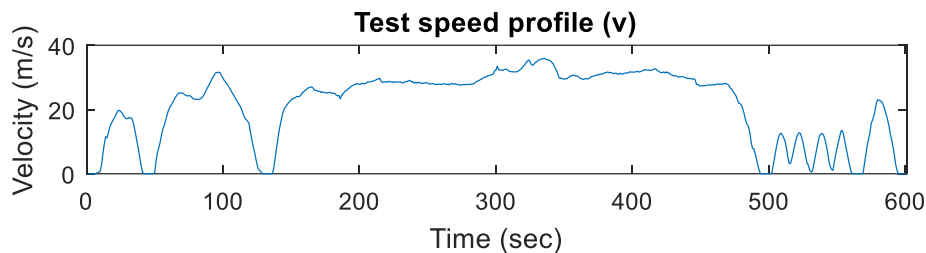
# Supervised Learning: Designed Model 6 (Based on CNN)

- Engine switching classification model with CNN

Time window = 10 steps



# Supervised Learning: Prediction Result for Model 6

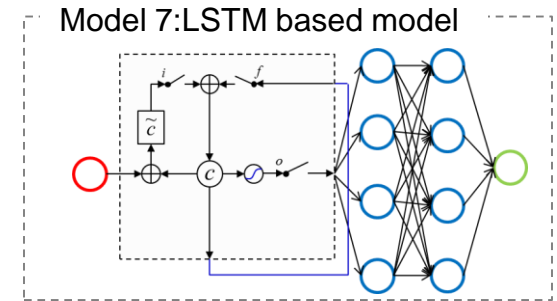
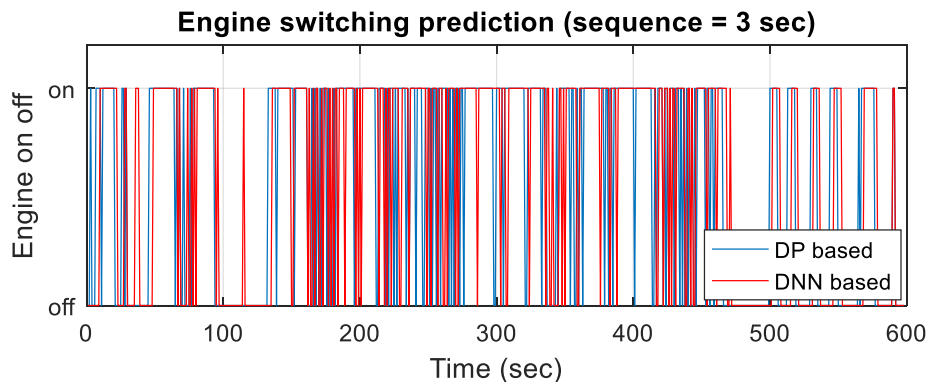
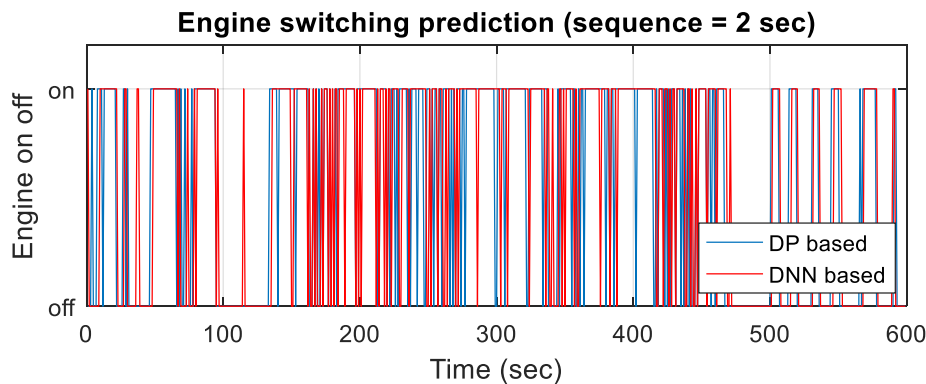
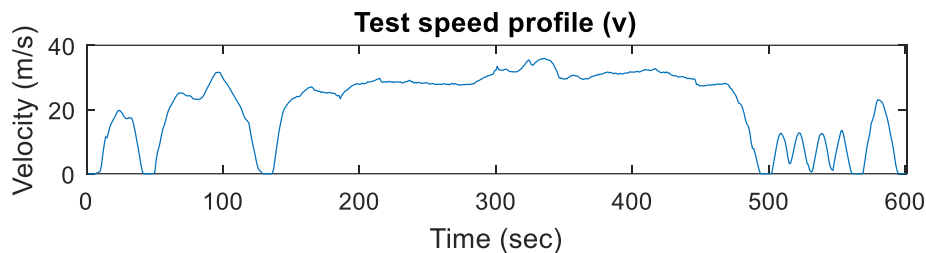


Accuracy (%)	Train result	Test result (US06)
Case1 (CPCPCP)	38.05	58.04
Case2 (CCCP)	38.05	59.22

➔ CNN is not effective for this case



# Supervised Learning: Prediction Result for Model 7

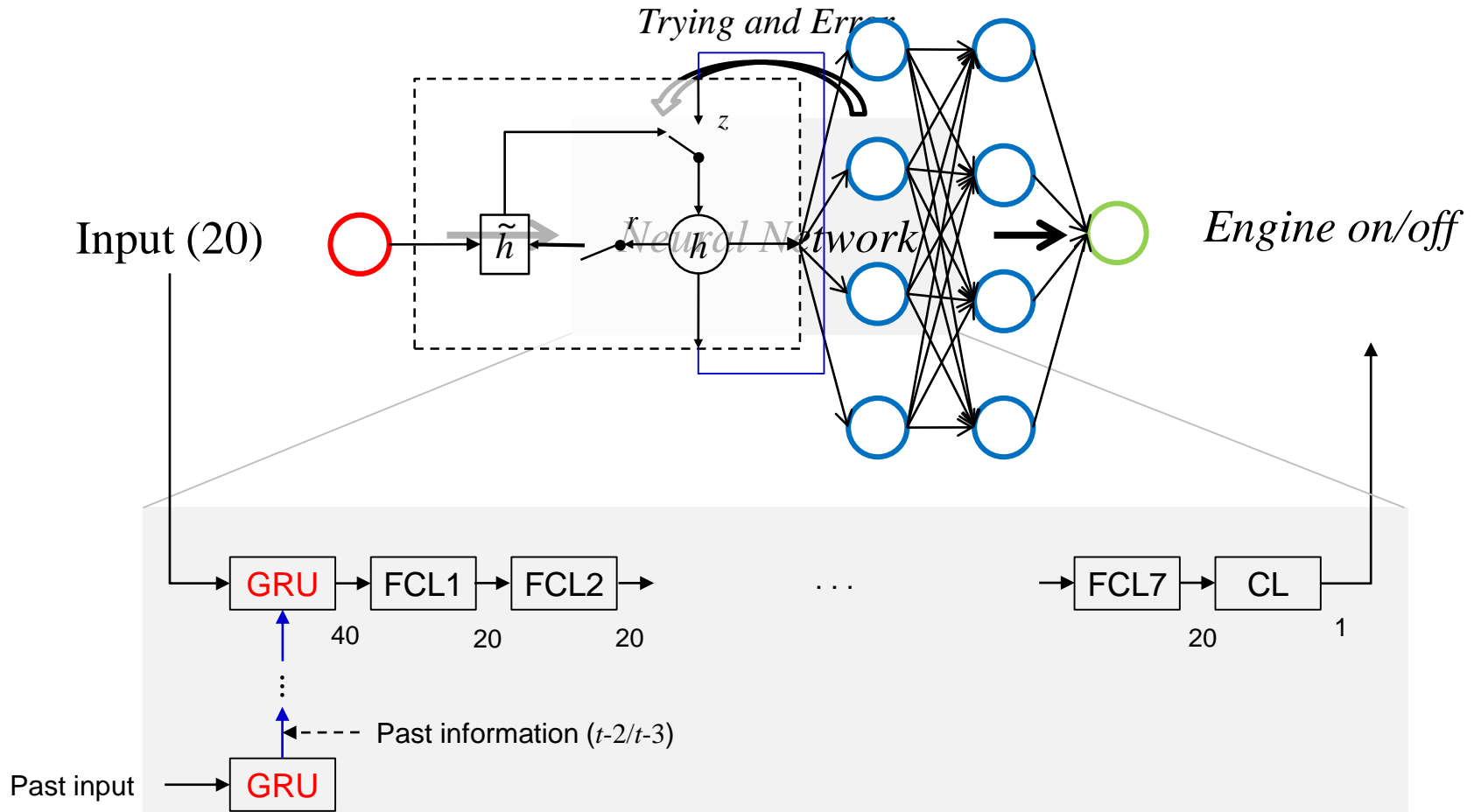


Accuracy (%)	Train result	Test result (US06)
<i>Sequence = 2 steps</i>	83.89	78.30
<i>Sequence = 3 steps</i>	68.18	72.74

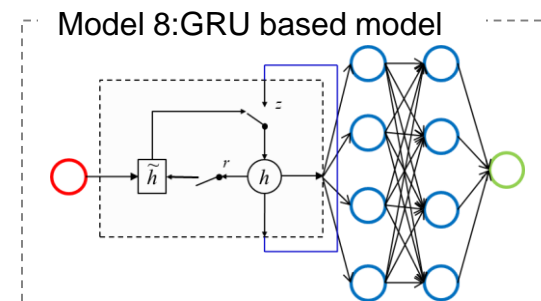
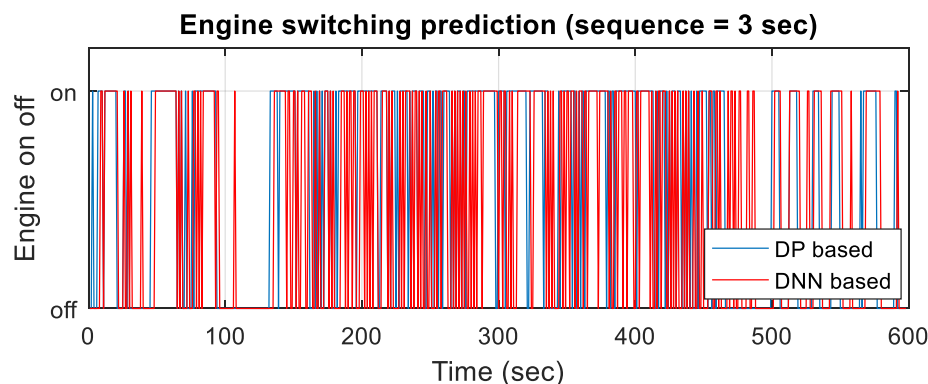
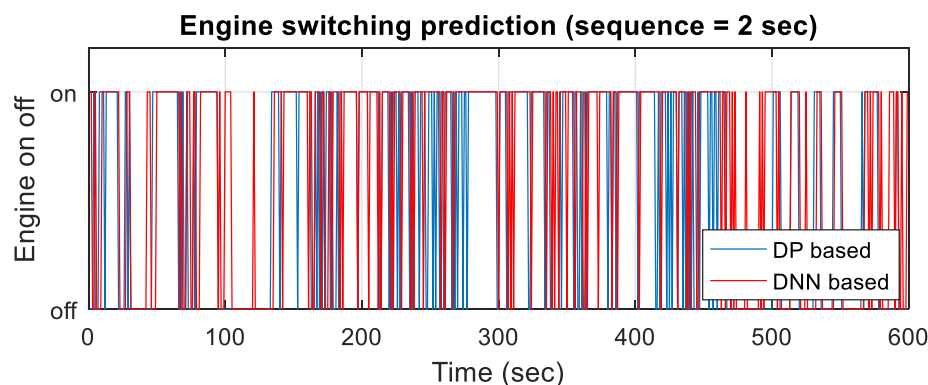
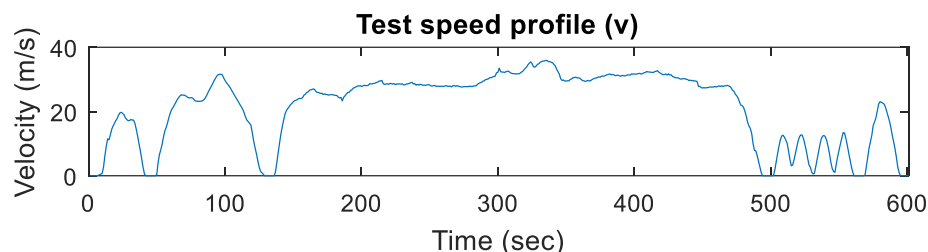
➔ Sequence ↑ ➔ accuracy ↓  
( No time series feature in database )

# Supervised Learning: Designed Model 8 (Based on GRU)

- Engine switching classification model with GRU



# Supervised Learning: Prediction Result for Model 8

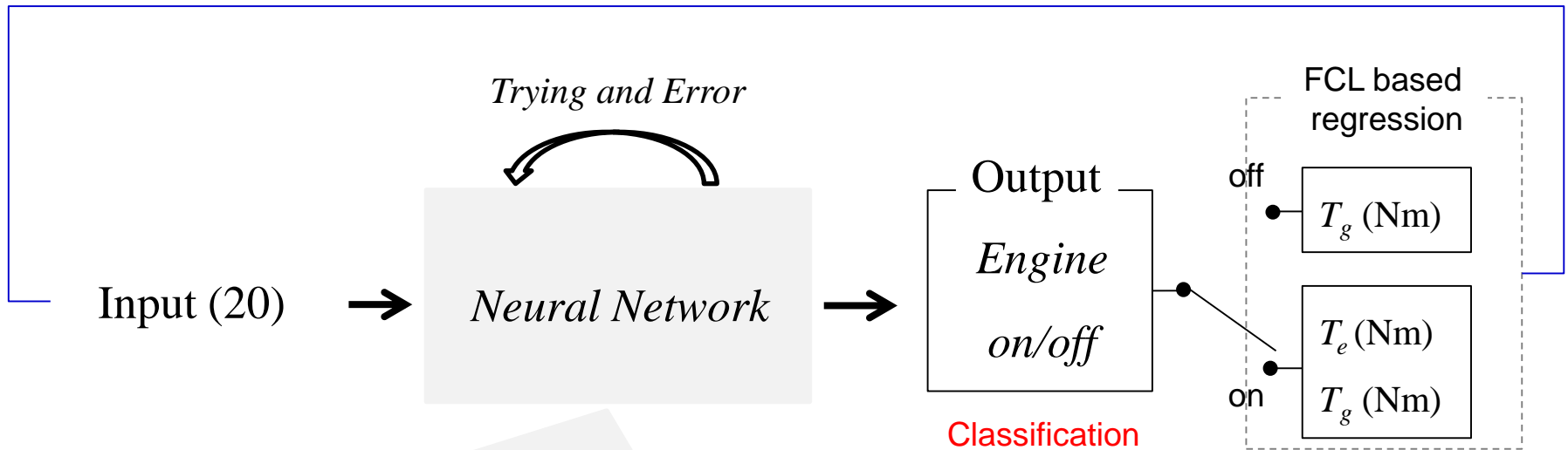


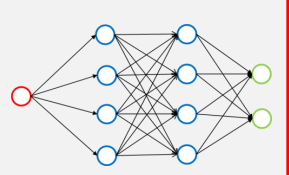
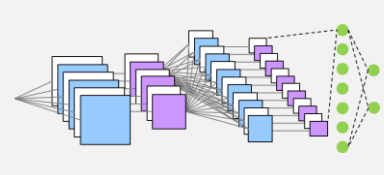
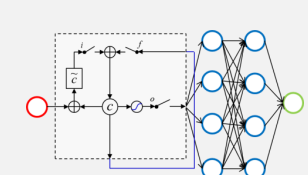
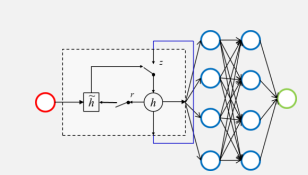
Accuracy (%)	Train result	Test result (US06)
<i>Sequence = 2 steps</i>	67.17	71.45
<i>Sequence = 3 steps</i>	76.68	68.90

➔ Sequence  $\uparrow$   $\rightarrow$  accuracy  $\downarrow$   
( No time series feature in database )

# Supervised Learning:

## Summary of 4 Models for Engine Switching Prediction

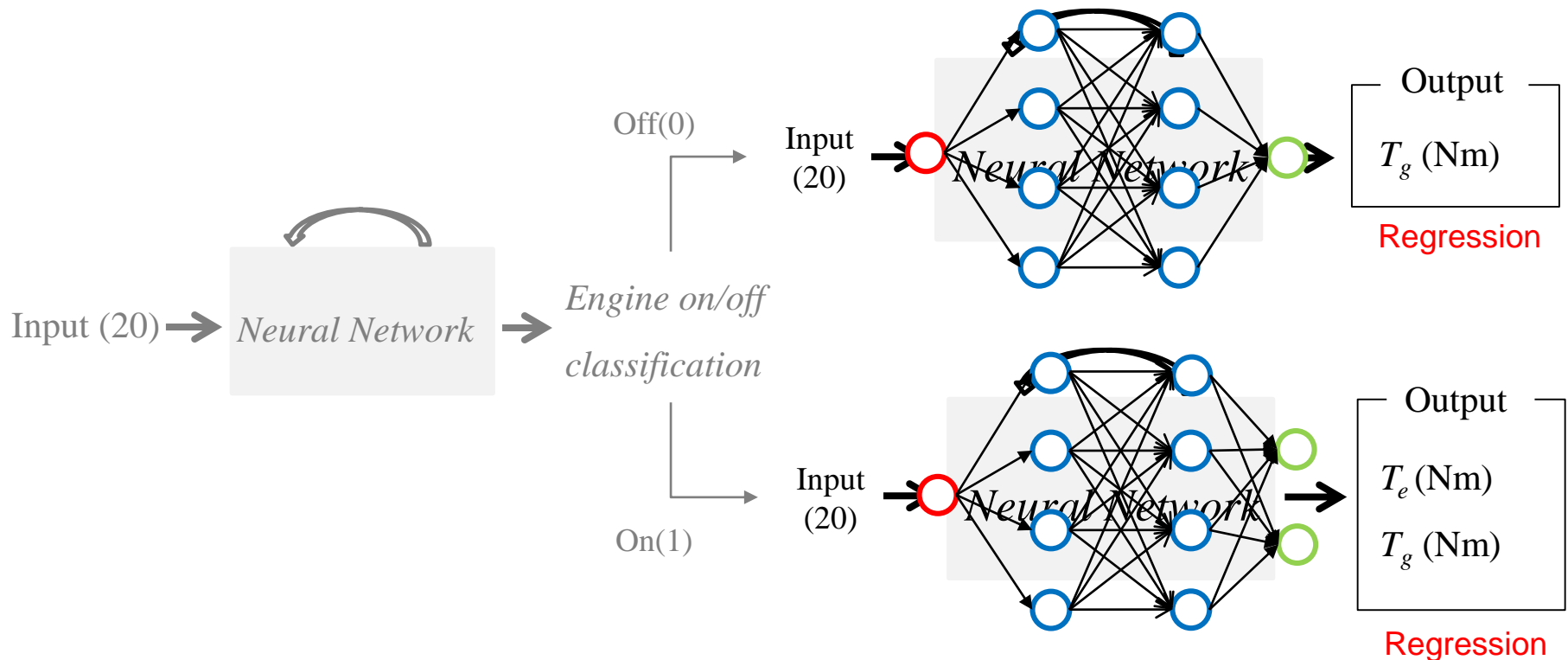


Higher accuracy for test data				
	FCL	CNN	LSTM	GRU
Accuracy for engine on/off	96.5%	59.22%	78.30%	71.45%

Best choice for classification

# Supervised Learning: Regression Model w.r.t. Engine Switching

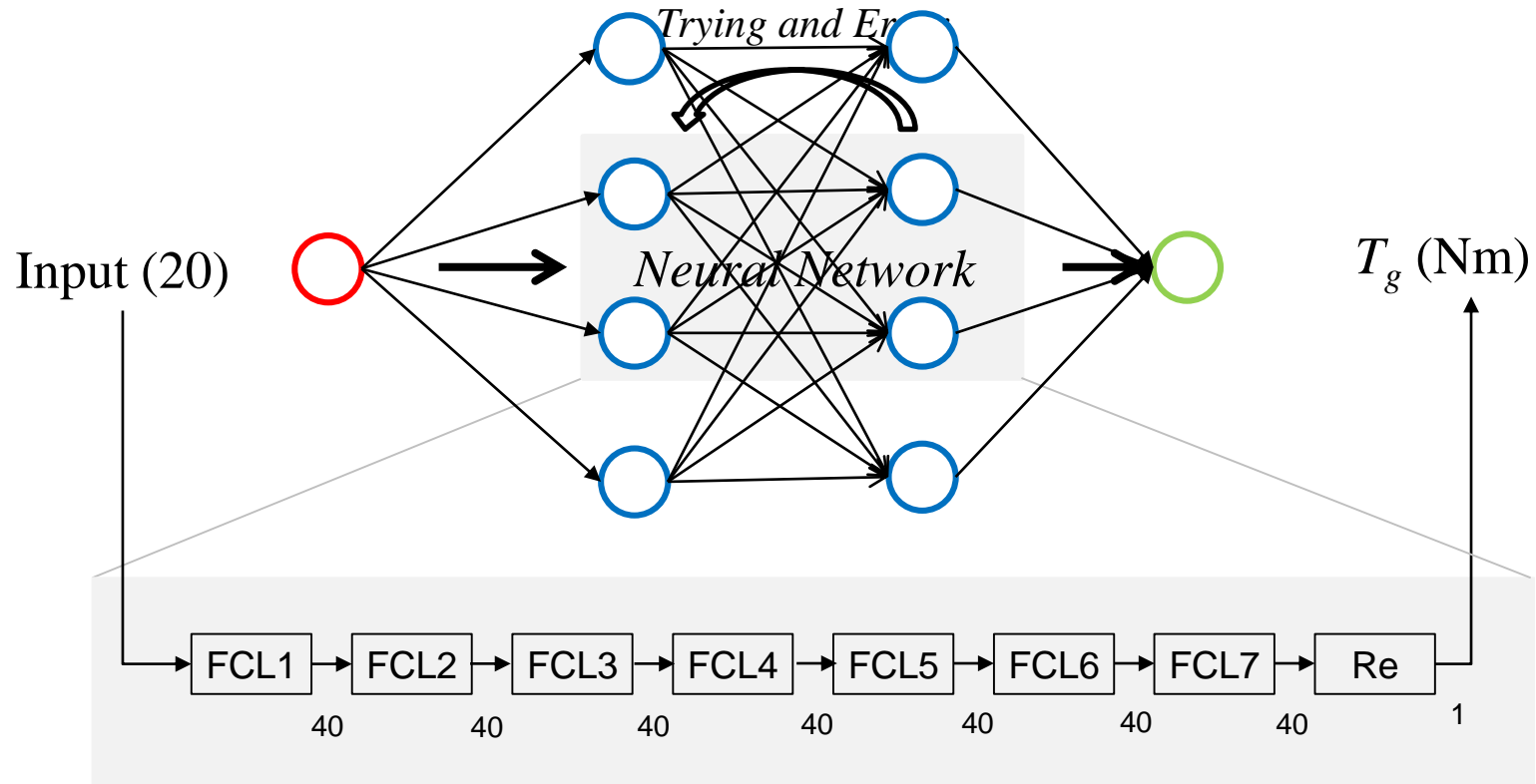
- $T_e/T_g$  prediction model with FCL structure w.r.t. engine switching





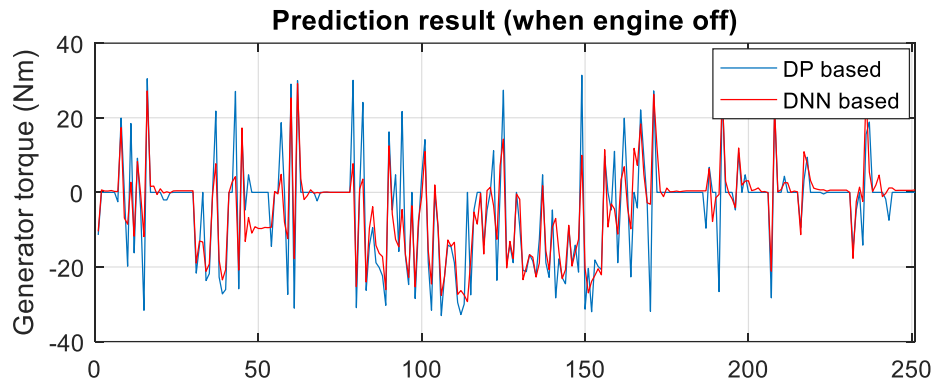
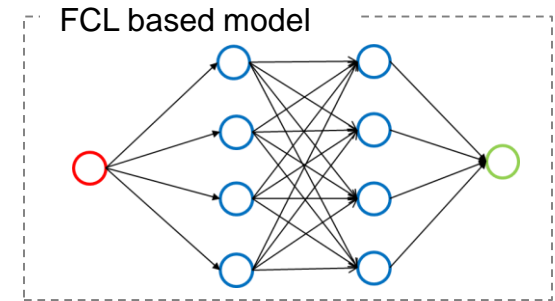
# Supervised Learning: Regression Model when Engine Off

- $T_g$  prediction model with fully-connected layer



# Supervised Learning:

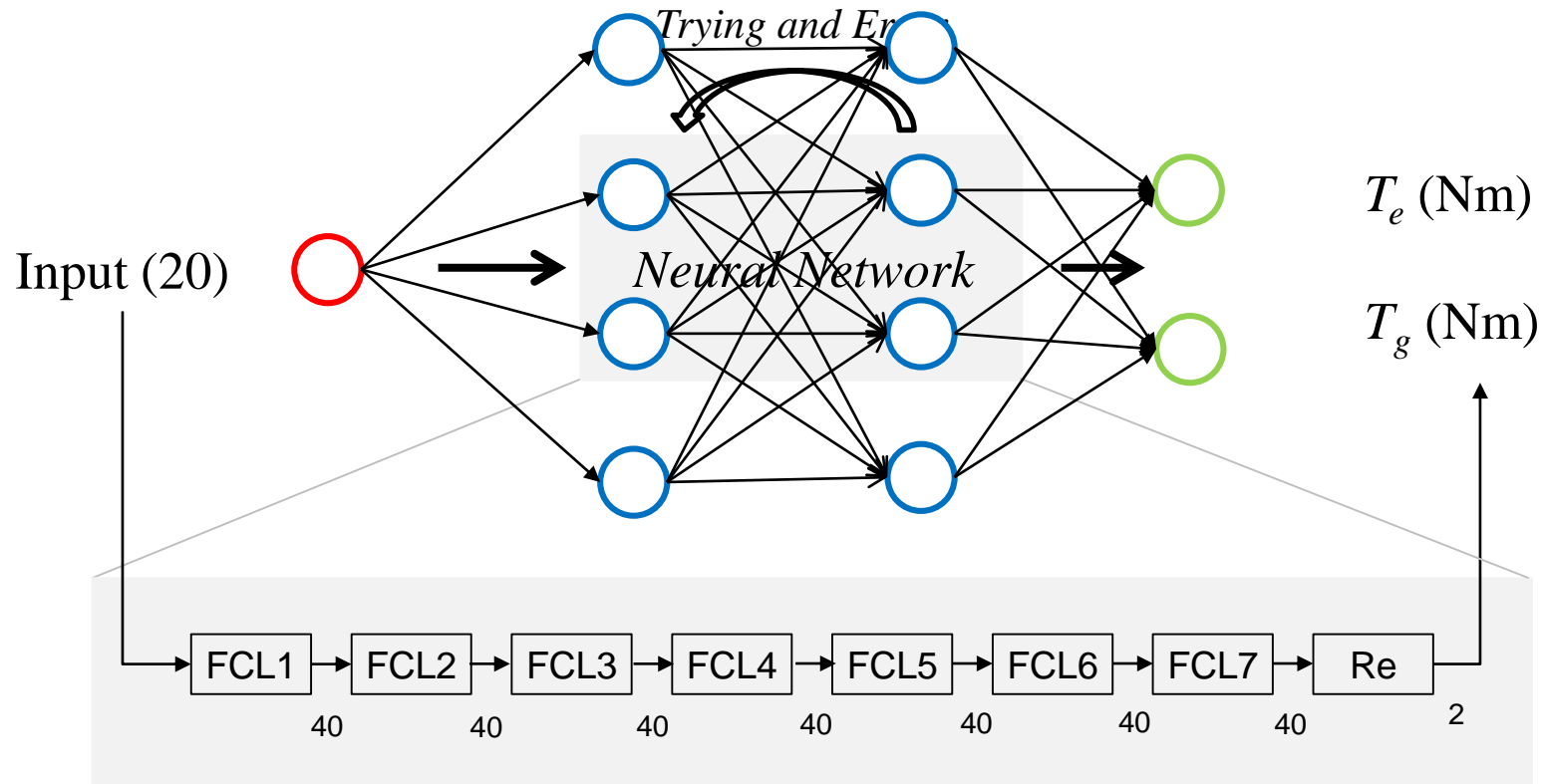
## Prediction Result for FCL Model when Engine Off



RMSE	Train result	Test result (US06)
$T_g$	3.1208	7.0292

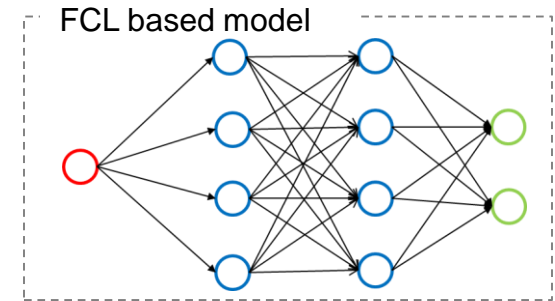
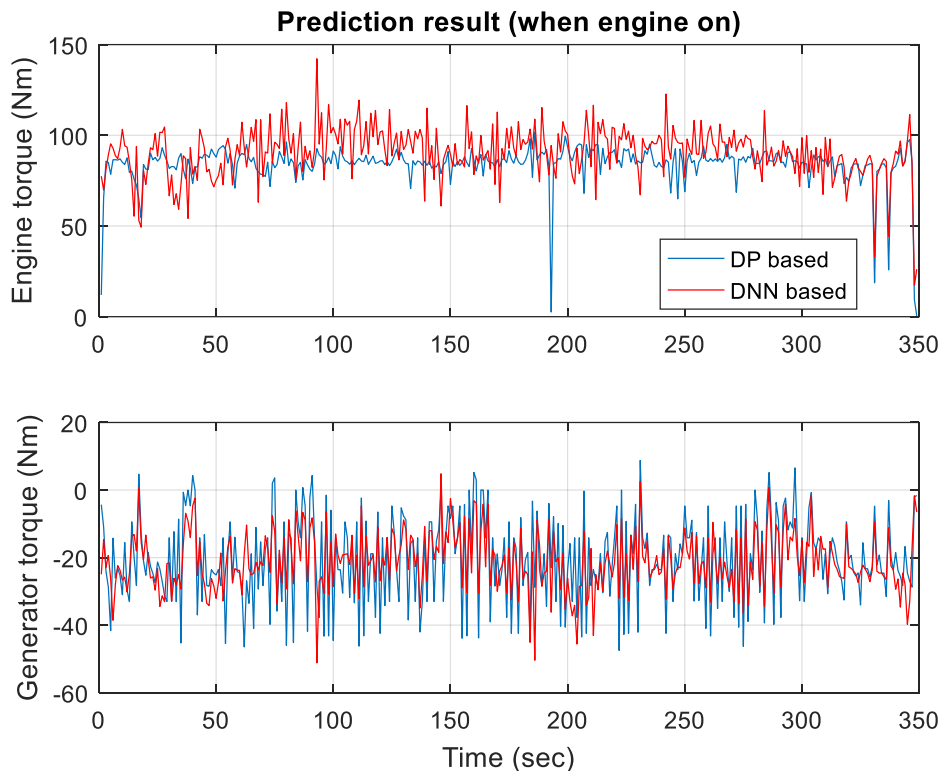
# Supervised Learning: Regression Model when Engine On

- $T_e/T_g$  prediction model with fully-connected layer



# Supervised Learning:

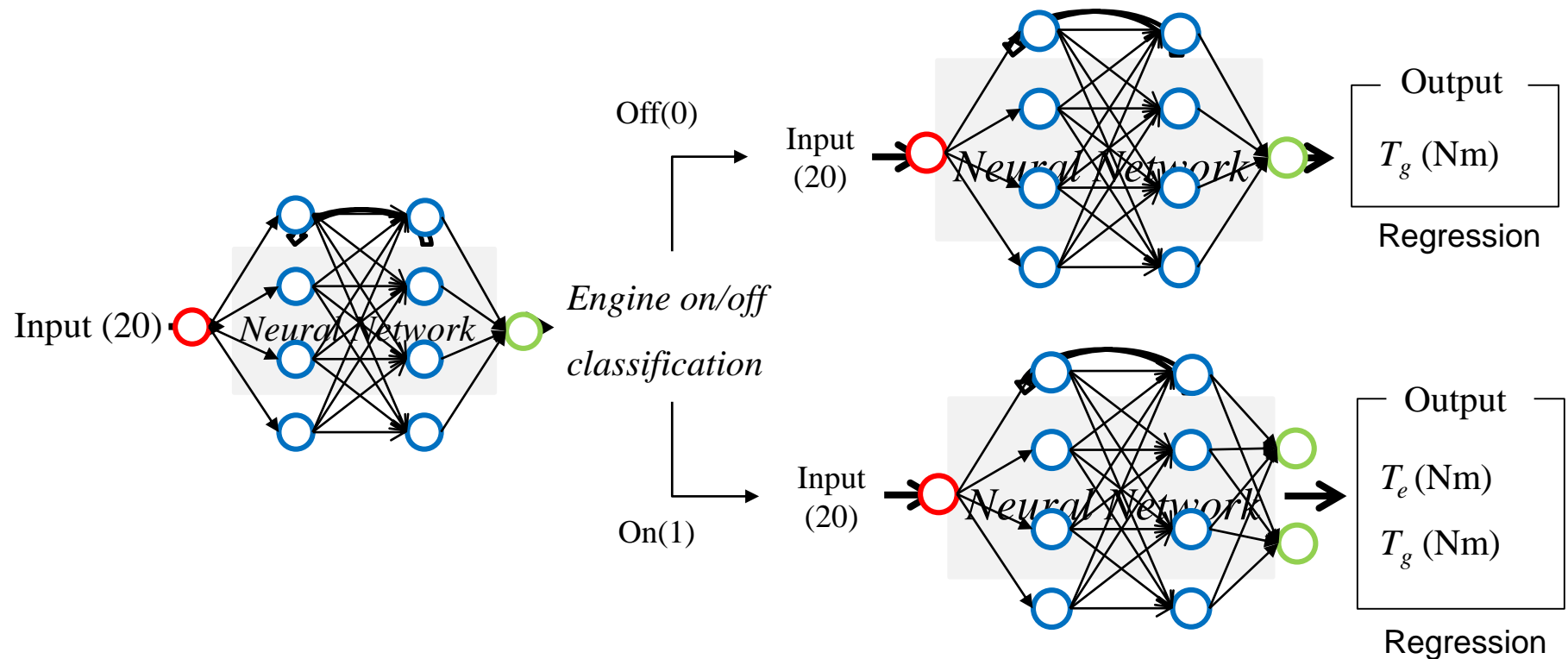
## Prediction Result for FCL Model when Engine On



RMSE	Train result	Test result (US06)
$T_e$	8.4417	14.5458
$T_g$	3.1392	8.3587

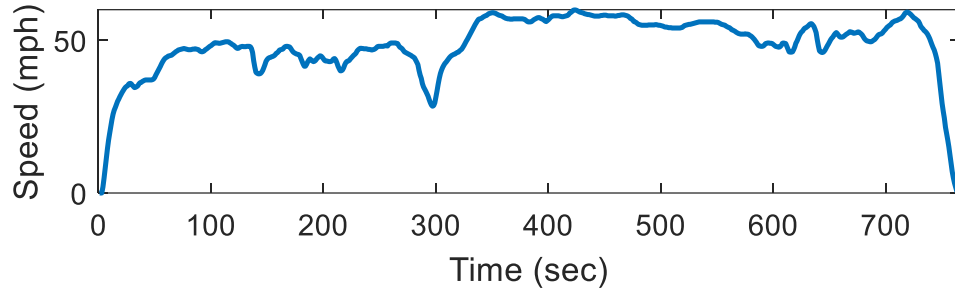
# Supervised Learning: Final Model for PMS Control

- Engine switching prediction with FCL structure  $\rightarrow T_e/T_g$  prediction with FCL structure



# Supervised Learning: Simulation Validation for Final Model

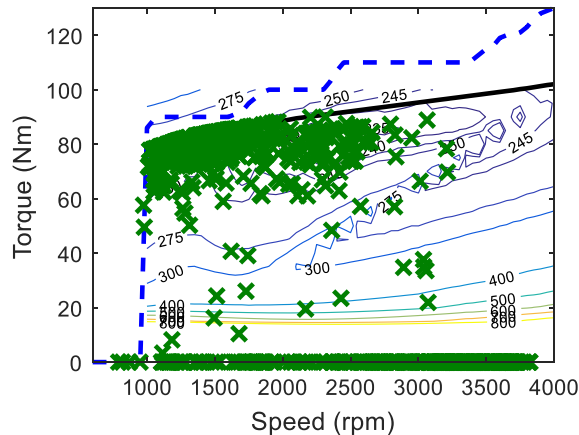
- Validation with train data (one of a highway cycle)



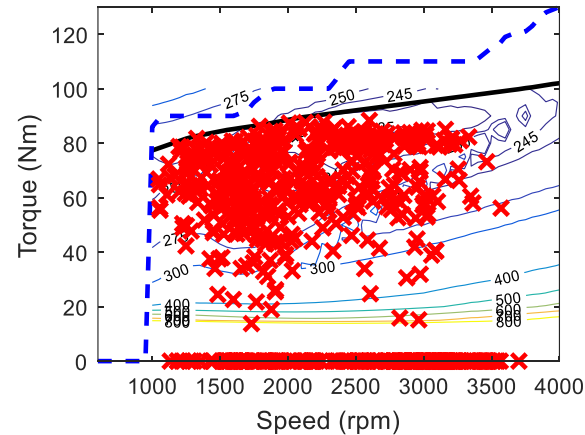
	Fuel Efficiency [mpg]
DP based	55.0117
DNN based	49.8925 (90.69%)

엔진 작동 구간

DP based

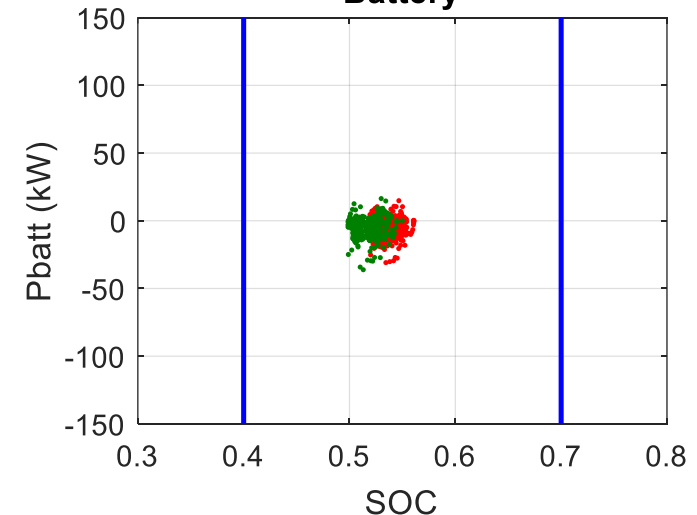


DNN based



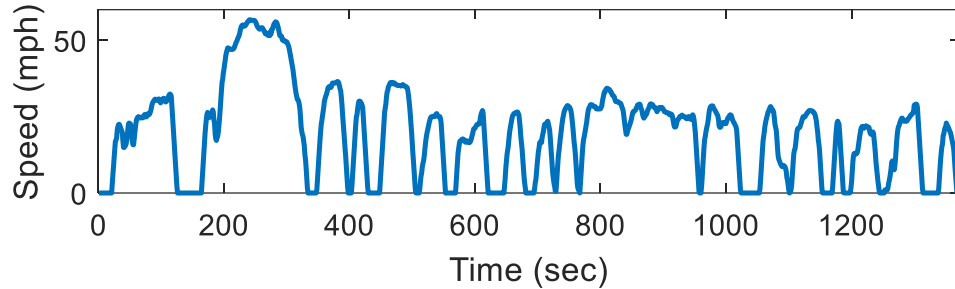
배터리 작동 구간

Battery



# Supervised Learning: Simulation Validation for Final Model

- Validation with train data (one of a city cycle)



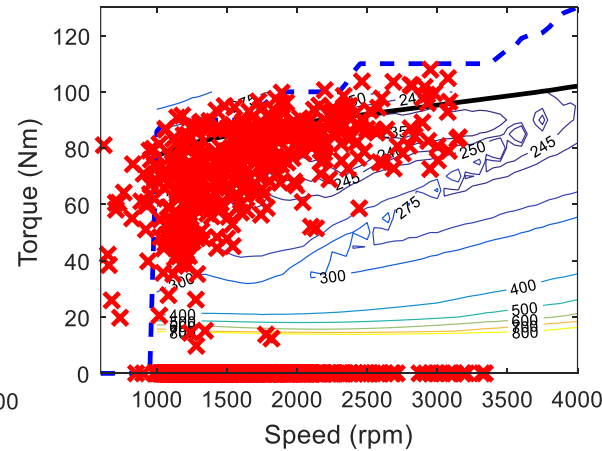
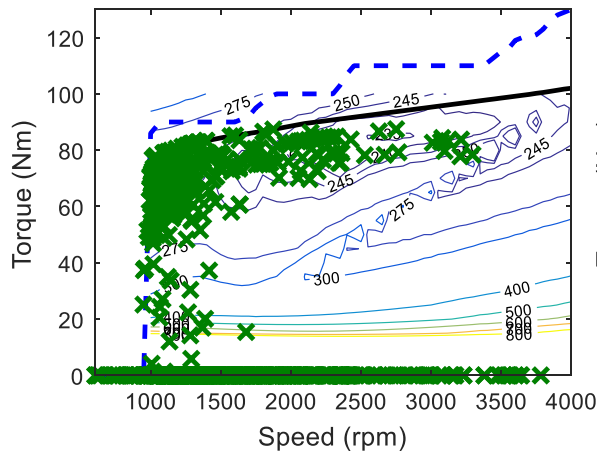
	Fuel Efficiency [mpg]
DP based	57.8730
DNN based	34.4497 (78.20%)

엔진 작동 구간

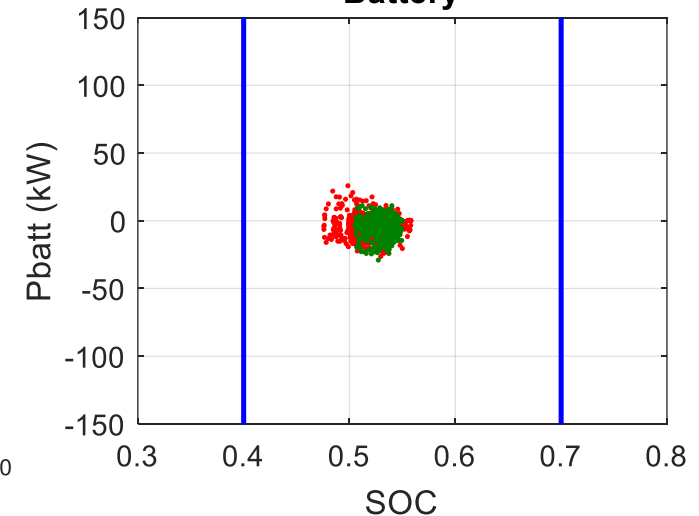
배터리 작동 구간

DP based

DNN based

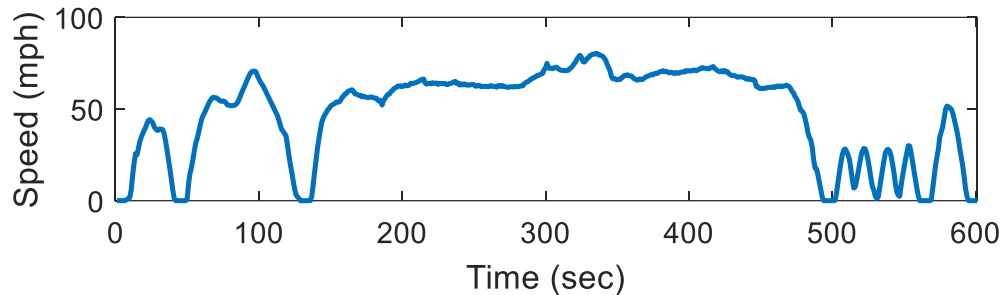


Battery



# Supervised Learning: Simulation Validation for Final Model

## Validation with test data

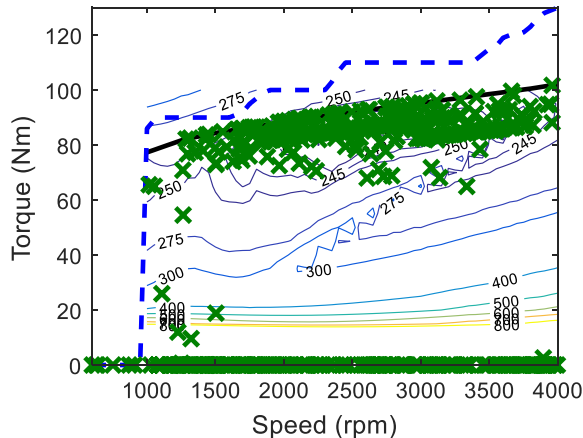


	Fuel Efficiency [mpg]
DP based	38.6082
DNN based	36.1688 (93.68%)

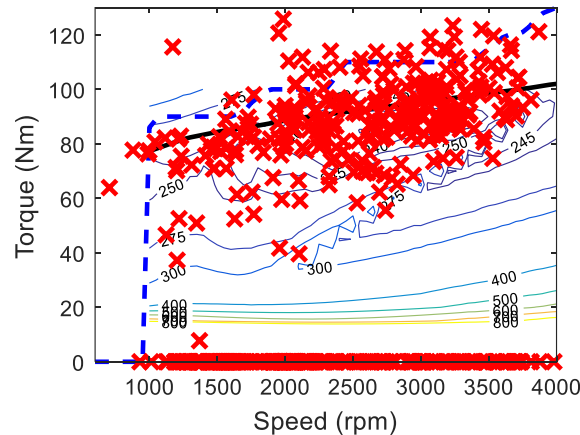
엔진 작동 구간

배터리 작동 구간

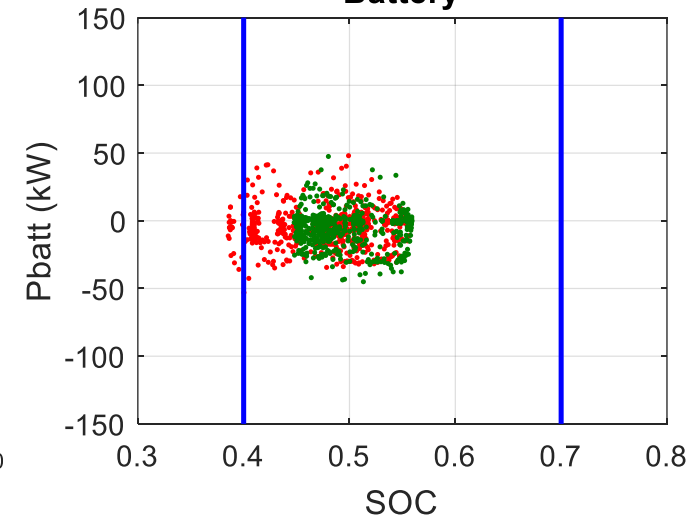
DP based



DNN based



Battery





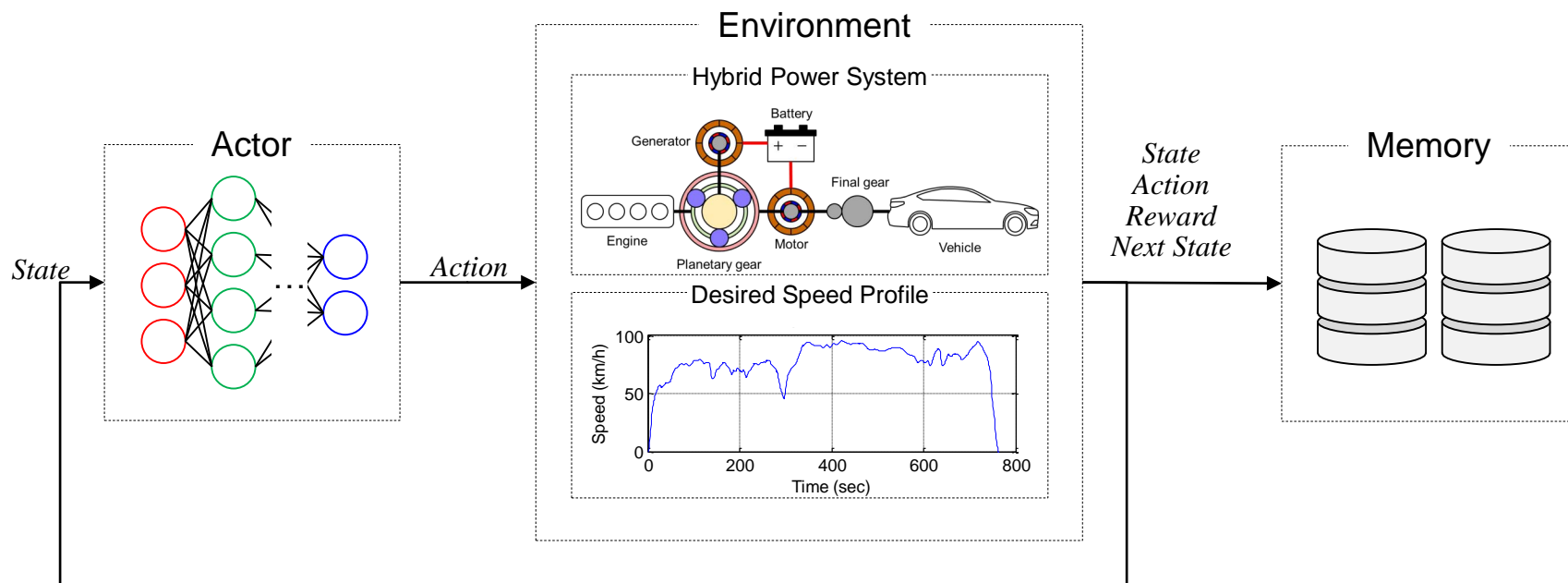
# Reinforcement Learning

# Reinforcement Learning: Deep Deterministic Policy Gradients

The model trained by the loop with 2 steps

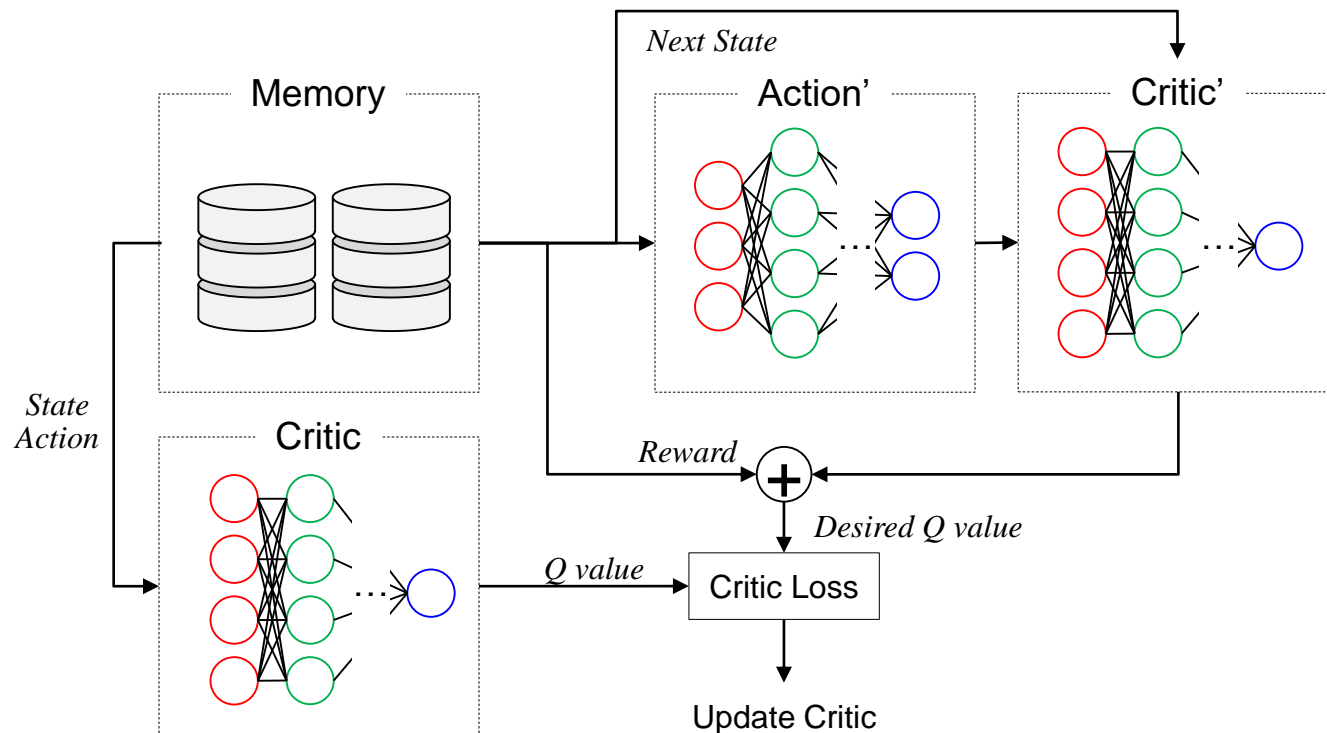
- 1) Exploration
- 2) Optimization

## ■ 1) Exploration



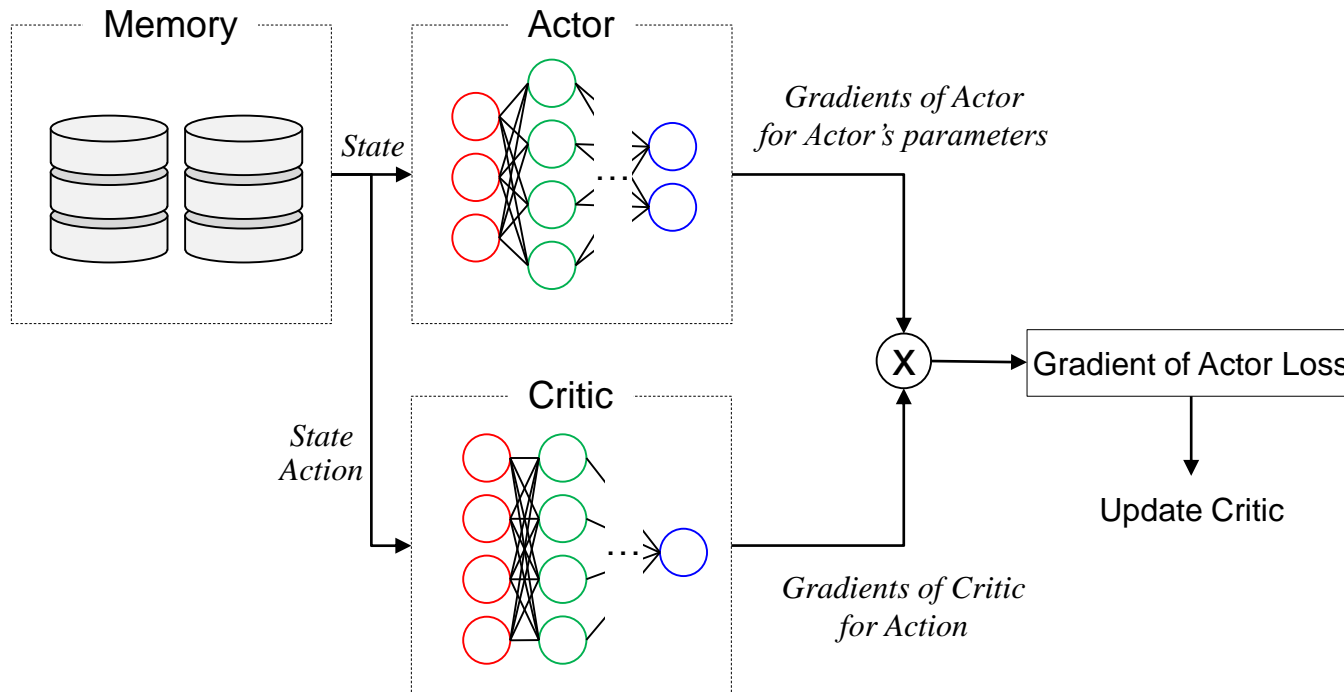
# Reinforcement Learning: Deep Deterministic Policy Gradients

## 2) Optimization – Update Critic



# Reinforcement Learning: Deep Deterministic Policy Gradients

## 2) Optimization – Update Actor

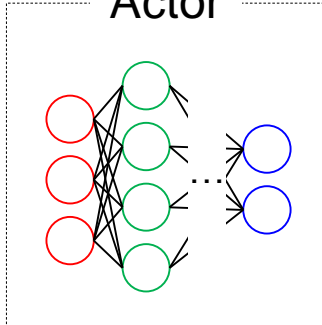


## 3) Soft copy Actor' and Critic'

Lillicrap, Timothy P., et al. "Continuous control with deep reinforcement learning." *arXiv preprint arXiv:1509.02971* (2015).

# Reinforcement Learning: Structure of Actor and Critic

Actor

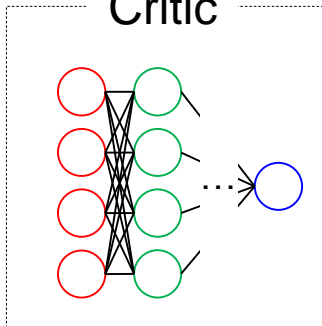


**Inputs:** acceleration, generator speed, engine speed, motor speed, SOC, mean of velocity, std of velocity (5)

**Outputs:** desired engine torque, desired engine speed (2)

**Hidden layers:** 5 hidden layers with 30 nodes (leaky relu)

Critic



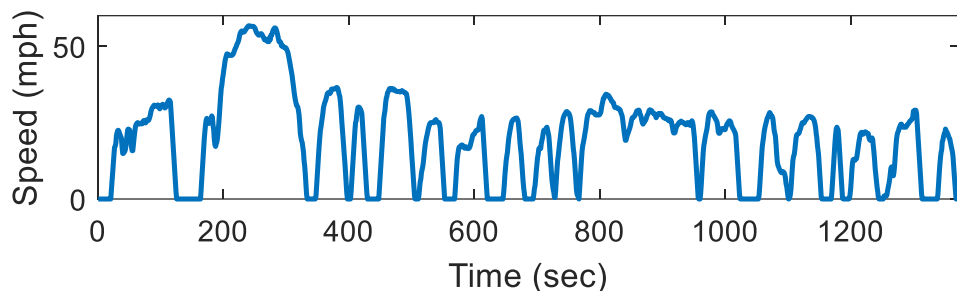
**Inputs:** acceleration, generator speed, engine speed, motor speed, SOC, desired engine torque, desired engine speed, mean of velocity, std of velocity (9)

**Outputs:** Q value (1)

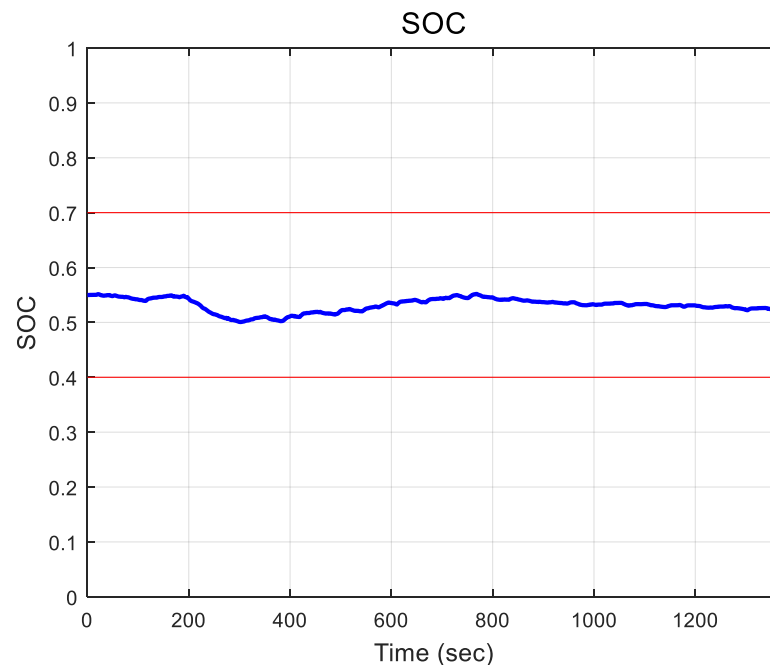
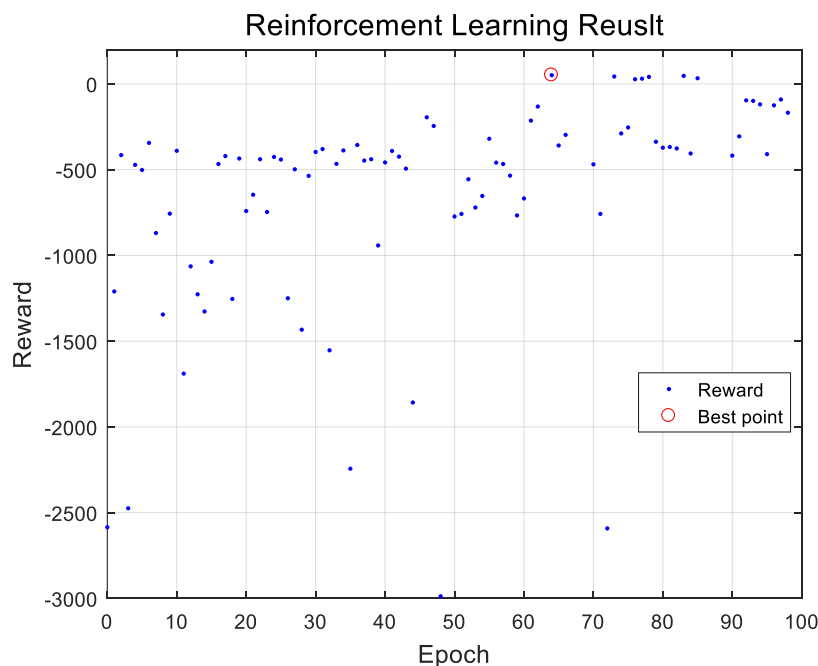
**Hidden layers:** 5 hidden layers with 40 nodes (leaky relu)

# Reinforcement Learning: Result

- One of a city cycle



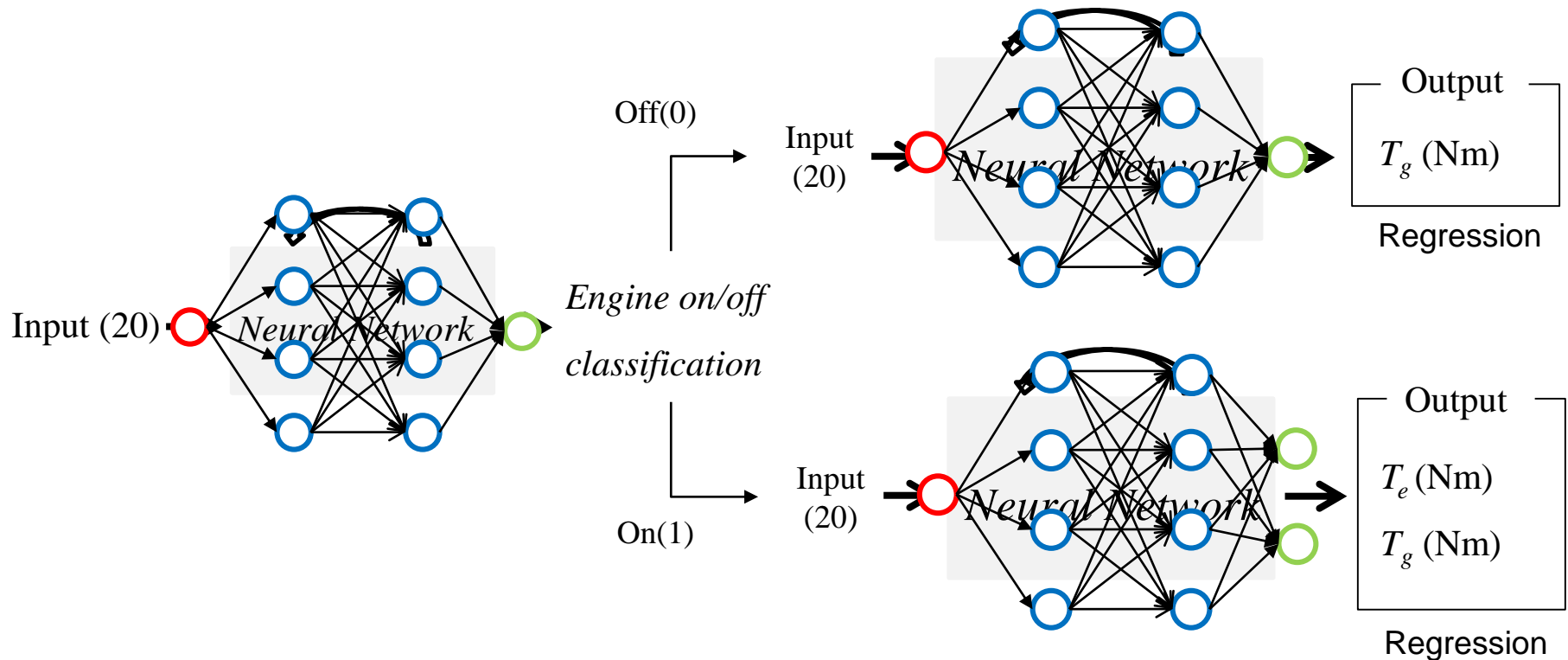
	Fuel Efficiency [mpg]
DP based	57.8730
RL based	29.9903 (51.82%)



# Conclusions

# Conclusions

- PMS control with supervised learning



DNN based fuel efficiency	36.1688 mpg (93.68%)
---------------------------	----------------------



# Conclusions

- PMS control with reinforcement learning

## The fuel consumption performance is worse than DNN

- The states **can not represent** the features clearly
  - Similar inputs with different outputs → Not trainable dataset)
- The inputs with exploration are **loosely bounded**
  - Without precise bound, explorations are useless (ex: break the SOC bound or cycle)

	Fuel Efficiency [mpg]
DP based	57.8730
RL based	29.9903 (51.82%)

# Thank you