# Conditional Diffusion Probabilistic Models for Super Resolution Microscopy

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#### Abstract

Single-molecule localization microscopy (SMLM) techniques are a mainstay of fluorescence microscopy and can be used to produce a pointillist representation of living cells at diffraction-unlimited precision. Classical SMLM approaches leverage the deactivation of fluorescent tags, followed by spontaneous or photoinduced reactivation, which can be used to estimate of the density of a tagged biomolecule in cellular compartments. Standard SMLM localization algorithms based on maximum likelihood estimators or least squares optimization require tight control of activation and reactivation to maintain sparse emitters, presenting a tradeoff between imaging speed and labeling density. Deep models have generalized SMLM to densely labeled structures, yet uncertainty quantification is still lacking. Recently, denoising diffusion probabilstic models (DDPMs) have been adapted conditional super resolution tasks, demonstrating promising results in detail reconstruction, while directly providing uncertainties in model predictions. Here, we adapt DDPM to the task of single molecule localization, and demonstrate that DDPM approaches the Cramer-Rao lower bound on localization uncertainty over a wide range of experimental conditions.

## 17 1 Introduction

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Single molecule localization microscopy (SMLM) relies on the temporal resolution of fluorophores 18 whose spatially overlapping point spread functions would otherwise render them unresolvable at the 19 detector. Common strategies for the temporal separation of molecules involve transient intramolecular 20 rearrangements to switch from dark to fluorescent states or the exploitation of non-emitting molecular 21 radicals. Estimation of molecular coordinates in SMLM is acheived by modeling the optical impulse 22 response of the imaging system. However, dense localization suffers from the curse of dimensionality 23 - the parameter space volume grows exponentially with the number of molecules, which is often 24 25 unknown a priori. Exploration of this high dimensional parameter space in dense SMLM is often 26

Previous approaches to this issue has been to predict super-resolution images from a sparse set of localizations with conditional generative adversarial networks (Ouyang 2018) or direct prediction of coordinates using deep neural networks (Nehme 2020; Speiser 2021). However, diffusion models are an appealing alternative because they infer a distribution of deconvolved images that are compatible with an observation. Although conditional VAEs and conditional GANs can provide a distribution of deconvolved images, both are known to suffer from mode collapse and produce insufficient diversity in their outputs. Diffusion models are a recently developed alternative to VAEs and GANs that excel at producing diverse samples and have been successfully applied to solve inverse problems. Here, we present a novel diffusion model for deconvolution in single molecule localization microscopy.

Denoising diffusion probabilistic models (DDPM) have emerged as powerful generative models, exceeding GANs and VAEs in a variety of generative modeling tasks. Nevertheless, learning diffusion models directly in data space can limit expressivity of the model (Vahdat 2021). Therefore, we build on previous approaches by using a CNN to compute a latent representation  $\mathbf{z}_i$ . A denoising diffusion probabilistic model (DDPM) is then used to model the distribution  $P_{\Phi}(\mathbf{y}|\mathbf{z})$ .

Inversion of the degradation function F is generally intractable, particularly when fluorescent molecules are dense within the field of view. This difficulty arises because the parameter  $\theta$  is typically of large and unknown dimension, rendering maximum likelihood estimation or Markov Chain Monte Carlo sampling computationally difficult. Previous solutions to this problem leverage convolutional neural networks (CNNs) to infer coordinates directly by learning a deterministic image transformation  $F^{-1}$ , which we refer to as a "localization map" (Nehme 2021). Such methods faithfully capture the information content in degraded images; however, such methods apply arbitrary thresholding to the CNN localization map, potentially creating erroneous localizations, and do not permit sampling.

We seek a generative approach, which casts localization as an image restoration problem, where a high resolution kernel density estimate y is reconstructed from a low resolution image x. Building on previous efforts, we utilize a CNN learns a representation which compresses x while preserving the relevant information to the prediction of y.

## **2** Denoising Diffusion Probabilistic Model for SMLM

We consider datasets  $(\theta_i, \mathbf{x}_i, \mathbf{y}_i)_{i=1}^N$  of observed images  $\mathbf{x}_i$  and kernel density estimate (KDE) images  $\mathbf{y}_i$ , given an underlying set of object coordinates  $\theta_i$ . Observations  $\mathbf{x}_i$  are generated from  $\theta_i = (r_1, ..., r_N)$  under an image degradation model F. We aim to develop a framework for sampling from  $p(\mathbf{y}_i|\mathbf{x}_i)$  and inference of  $\theta_i$ , while fulfilling a resolution criterion under the condition  $|r_i - r_j| \ge \epsilon; \forall (i,j)$ .

## o 2.1 Degradation Model

The central objective of single molecule localization microscopy is to infer a set of molecular coordinates  $\theta$  from noisy, low resolution images  $\mathbf{x}$ . We therefore begin by defining the likelihood on measured low-resolution images  $p(\mathbf{x}|\theta)$ . In fluorescence microscopy, each pixel is a Poisson random variable (Smith 2010; Nehme 2020; Chao 2016), with expected value

$$\omega = i_0 \int O(u) du \int O(v) dv \tag{1}$$

where  $i_0 = \eta N_0 \Delta$ . The scalar parameters  $\eta, \Delta$  are the photon detection probability of the sensor and the exposure time, respectively. Without loss of generality, we assume  $\eta = \Delta = 1$ . Most importantly,  $N_0$  represents the signal amplitude, which we assume maintains a fixed value. The optical impulse response O(u,v) is often approximated as a 2D isotropic Gaussian with standard deviation  $\sigma$  (Zhang 2007). This approximation has the convenient property, that the effects of pixelation can be expressed in terms of error functions. For example, given a fluorescent emitter located at  $\theta = (u_0, v_0)$ , we have that

$$\int O(u)du = \frac{1}{2} \left( \operatorname{erf} \left( \frac{u_k + \frac{1}{2} - u_0}{\sqrt{2}\sigma} \right) - \operatorname{erf} \left( \frac{u_k - \frac{1}{2} - u_0}{\sqrt{2}\sigma} \right) \right) \tag{2}$$

where we have used the common definition  $\operatorname{erf}(z) = \frac{2}{\sqrt{\pi}} \int_0^t e^{-t^2} dt$ . Our generative model also incorporates a normally distributed white noise per pixel  $\zeta$  with offset o and variance  $\sigma^2$ . Ultimately, we have a Poisson component of the signal, which scales with  $N_0$  and a Gaussian component, which does not. Therefore, in a single exposure, we measure:

$$\mathbf{x} = \mathbf{s} + \zeta \tag{3}$$

The distribution of x is the convolution of the distributions of s and  $\zeta$ ,

$$p(\mathbf{x}_k|\theta) = A \sum_{q=0}^{\infty} \frac{1}{q!} e^{-\omega_k} \omega_k^q \frac{1}{\sqrt{2\pi}\sigma_k} e^{-\frac{(\mathbf{x}_k - g_k q - \sigma_k)}{2\sigma_k^2}}$$
(4)

where  $p(\zeta_k) = \mathcal{N}(o_k, \sigma_k^2)$  and  $p(s_k) = \operatorname{Poisson}(\omega_k)$ , A is some normalization constant. In practice, (4) is difficult to work with, so we look for an approximation. We will use a Poisson-Normal approximation for simplification. Consider,

$$\zeta_k - o_k + \sigma_k^2 \sim \mathcal{N}(\sigma_k^2, \sigma_k^2) \approx \text{Poisson}(\sigma_k^2)$$
 (5)

Since  $\mathbf{x}_k = \mathbf{s}_k + \zeta_k$ , we transform  $\mathbf{x}_k' = \mathbf{x}_k - o_k + \sigma_k^2$ , which is distributed according to

$$\mathbf{x}_k' \sim \text{Poisson}(\omega_k')$$
 (6)

where  $\omega_k' = \omega_k + \sigma_k^2$ . This result can be seen from the fact the convolution of two Poisson distributions is also Poisson. We then arrive at the following log likelihood

$$\ell(\mathbf{x}|\theta) = -\log \prod_{k} \frac{e^{-\left(\mu_{k}'\right)} \left(\mu_{k}'\right)^{n_{k}}}{n_{k}!} \approx \sum_{k} n_{k} \log n_{k} + \mu_{k}' - n_{k} \log \left(\mu_{k}'\right) \tag{7}$$

#### **2.2** Fisher Information Metric

We use the Fisher information as an information theoretic criteria to assess the quality of the proposed algorithms, with respect to the root mean squared error (RMSE) of our predictions of  $\theta$ . The generative model  $\ell(\mathbf{x}|\theta)$  is also convenient for computing the Fisher information matrix (Smith 2010) and thus the Cramer-Rao lower bound, which bounds the variance of a statistical estimator of  $\theta$ , from below i.e.,  $\mathrm{var}(\hat{\theta}) \geq I^{-1}(\theta)$ . It is shown in the appendix, that the Fisher information is straightforward to compute under the Poisson likelihood (7)

$$\mathcal{I}_{ij}(\theta) = \mathbb{E}\left(\frac{\partial \ell}{\partial \theta_i} \frac{\partial \ell}{\partial \theta_j}\right) = \sum_k \frac{1}{\omega_k'} \frac{\partial \omega_k'}{\partial \theta_i} \frac{\partial \omega_k'}{\partial \theta_j}$$
(8)

## 3 Conditional Denoising Diffusion Model

Given datasets  $(\theta_i, \mathbf{x}_i, \mathbf{y}_i)_{i=1}^N$  which represent samples drawn from an unknown conditional distribution  $p(\mathbf{y}|\mathbf{x})$ . This is a one-to-many mapping in which many target images may be consistent with an input image. The conditional DDPM model generates a target image  $y_0$  in T refinement steps. Starting with a pure noise image  $y_T \sim \mathcal{N}(0, I)$ , the model iteratively refines the image through successive iterations according to learned conditional transition distributions  $p(y_{t-1}|y_t, x)$  such that  $y_0 \sim p(\mathbf{y}|\mathbf{x})$ 

## 3.1 Gaussian Diffusion

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Diffusion models (Sohl-Dickstein 2015; Ho 2020) are a class of generative models inspired by nonequilibrium statistical physics, which slowly destroy structure in a data distribution  $p(\mathbf{y}_0|\mathbf{x})$  via a fixed Markov chain referred to as the *forward process*. In essence, the forward process gradually adds Gaussian noise to the data according to a variance schedule  $\beta_{0:T}$ 

$$z = \phi(x)$$

$$(y_T \sim \mathcal{N}(0, I)) \qquad y_0 \sim p(y|z)$$

$$(y_{t-1}|y_t) \qquad (y_{t-1}|y_t) \qquad (y_{t-1}|y_t)$$

$$q(\mathbf{y}_t|\mathbf{y}_0) = \prod_{t=1}^{T} q(\mathbf{y}_t|\mathbf{y}_{t-1}) \quad q(\mathbf{y}_t|\mathbf{y}_{t-1}) = \mathcal{N}\left(\sqrt{1-\beta_t}\mathbf{y}_{t-1}, \beta_t I\right)$$
(9)

An important property of the forward process is that it admits sampling  $x_t$  at an arbitrary timestep t in closed form (Ho 2020). Using the notation  $\alpha_t := 1 - \beta_t$  and  $\gamma_t := \prod_{s=1}^t \alpha_s$ , we have

$$q(\mathbf{y}_t|\mathbf{y}_0) = \mathcal{N}\left(\sqrt{\gamma_t}\mathbf{y}_0, (1-\gamma_t)I\right) \tag{10}$$

The usual procedure is then to learn a parametric representation of the *reverse process*, and therefore generate samples from  $p(\mathbf{y}_0)$ , starting from noise. Here, we are concerned with conditional diffusion models, which instead sample from a conditional distribution  $p(\mathbf{y}_0|\mathbf{x})$ . Formally,  $p_{\theta}(\mathbf{y}_0|\mathbf{x}_0) = \int p_{\theta}(\mathbf{y}_{0:T}|\mathbf{x}_0)d\mathbf{x}_{1:T}$  where  $y_t$  is a latent representation with the same dimensionality of the data.

108  $p_{\theta}(\mathbf{y}_{0:T}|\mathbf{x})$  is a Markov process, starting from a noise sample  $p_{\theta}(y_T) = \mathcal{N}(0, I)$ .

$$p_{\theta}(\mathbf{y}_{0:T}) = p_{\theta}(\mathbf{y}_T) \prod_{t=1}^{T} p_{\theta}(\mathbf{y}_{t-1}|\mathbf{y}_t) \quad p_{\theta}(\mathbf{y}_{t-1}|\mathbf{y}_t) = \mathcal{N}\left(\mu_{\theta}(\mathbf{y}_t), \beta_t I\right)$$
(11)

where we reuse the variance schedule of the forward process (Ho 2020). We seek to learn a denoising model  $\mu_{\theta}$  which computes the mean of the Gaussian transition density at each time step t. However, learning diffusion models directly in data space can limit expressivity of the model (Vahdat 2021). Since we are primarily interested in learning a restoration  $\mathbf{y}$ , we choose to define an encoder  $\phi$  such that  $\mathbf{z} = \phi(\mathbf{x}_0)$ . The reverse process then becomes  $p_{\theta}(\mathbf{y}_0|\mathbf{z} = \phi(\mathbf{x}_0)) = \int p_{\theta}(\mathbf{y}_{0:T}|\mathbf{z})d\mathbf{x}_{1:T}$ . For all t > 0, the mean of the transition density is computed as

$$\mu_{\theta}(\mathbf{y}_{t}, \mathbf{x}, \gamma_{t}) = \frac{1}{\sqrt{\alpha_{t}}} \left( \mathbf{y}_{t} - \frac{(1 - \alpha_{t})}{\sqrt{1 - \gamma_{t}}} f_{\theta}(\mathbf{y}, \mathbf{x}, \gamma_{t}) \right)$$
(12)

where  $f_{\theta}$  is a neural network. Only at t=0 is this mean directly a function of x.

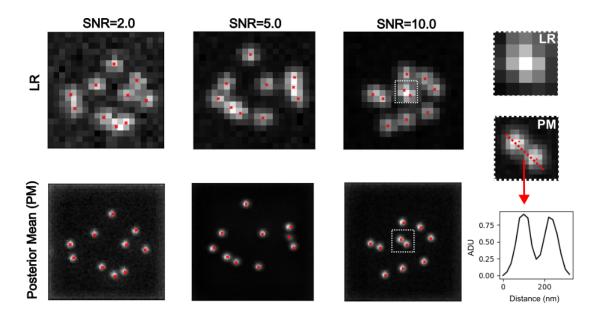
#### 116 3.2 Optimization of the Denoising Model

To reverse the diffusion process, we utilize an encoding  $\mathbf{z} = \phi(\mathbf{x})$  and optimize a neural denoising model  $f_{\theta}$  that takes as input  $\mathbf{z}$  and a noisy target image  $\mathbf{y}_t \sim q(\mathbf{y}_t|\mathbf{y}_0)$ ,

$$\mathbf{y}_t = \sqrt{\gamma} \mathbf{y}_0 + \sqrt{1 - \gamma} \epsilon, \quad \epsilon \sim \mathcal{N}(0, I)$$
 (13)

This definition of a noisy target image  $\mathbf{y}_t$  is drawn from the marginal distribution of noisy images at a time step t of the forward diffusion process. In addition to a source image  $\mathbf{y}_0$  and a noisy target image  $\mathbf{y}_t$ , the denoising model  $f_\theta$  takes as input the sufficient statistics for the variance of the noise  $\gamma$ , and is trained to predict the noise vector  $\epsilon$ . We make the denoising model aware of the level of noise through conditioning on a scalar  $\gamma$ . The proposed objective function for training  $f_\theta$  is

$$\mathbb{E}_{(\mathbf{z}, \mathbf{y}_0)(\epsilon, \gamma)} \left[ f_{\theta} \left( x, \sqrt{\gamma} \mathbf{y}_0 + \sqrt{1 - \gamma} \epsilon \, \middle| \, \mathbf{y}_t, \gamma \right) - \epsilon \right], \tag{14}$$



where  $\epsilon \sim \mathcal{N}(0,I)$ ,  $(\mathbf{z},\mathbf{y}_0)$  is sampled from the training dataset and  $\gamma \sim p(\gamma)$ . The distribution of  $\gamma$  has a big impact on the quality of the model and the generated outputs. For our training noise schedule, we use a piecewise distribution for  $\gamma$ ,  $p(\gamma) = \frac{1}{T} \sum_{t=1}^T U(\gamma_{t-1}, \gamma_t)$  (Nanxin 2021). Specifically, during training, we first uniformly sample a time step  $t \sim \{0, ..., T\}$  followed by sampling  $\gamma \sim U(\gamma_{t-1}, \gamma_t)$ . We set T = 100 in all our experiments.

# 4 Experiments

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We set T=100 for all experiments and treat forward process variances  $\beta_t$  as hyperparameters, with a linear schedule from  $\beta_0=10^{-4}$  to  $\beta_T=10^{-2}$ . These constants were chosen to be small relative to data scaled to [-1,1], ensuring that reverse and forward processes have approximately the same functional form while keeping the signal-to-noise ratio at  $x_T$  as small as possible ( $L_T=D_{KL}(q(x_T|x_0)||\mathcal{N}(0,I))\approx 10^{-5}$  bits per dimension in our experiments).

To represent the reverse process, we used the DDPM architecture based on a U-Net backbone (Ho 2020). Parameters are shared across time, which is specified to the network using the Transformer sinusoidal position embedding?. We use self-attention at the  $16 \times 16$  feature map resolution??. Details are in Appendix A.

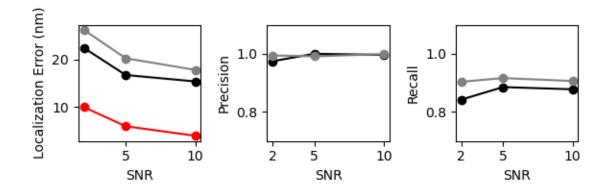
and the channel multipliers at different resolutions (see Appendix A for details). To condition the model on the input x, we up-sample the low-resolution image to the target resolution using bicubic interpolation. The result is concatenated with  $y_t$  along the channel dimension. We experimented with more sophisticated methods of conditioning, such as using, but we found that the simple concatenation yielded similar generation quality.

## 4.1 Localization Error Analysis

## 145 5 Related Work

#### 146 5.1 Diffusion Models

Prior work of diffusion models ?? require 1-2k diffusion steps during inference, making generation slow for large target resolution tasks. We adapt techniques from ? to enable more efficient inference. Our model conditions on  $\gamma$  directly (vs t as in ?), which allows us flexibility in choosing the number of diffusion steps, and the noise schedule during inference. This has been demonstrated to work well for speech synthesis ?, but has not been explored for images. For efficient inference, we set the maximum inference budget to 100 diffusion steps, and hyper-parameter search over the inference



noise schedule. This search is inexpensive as we only need to train the model once ?. We use FID on held-out data to choose the best noise schedule, as we found PSNR did not correlate well with image quality.

## 5.2 Localization Microscopy with Deep Networks