Conditional Diffusion Probabilistic Models for Super Resolution Microscopy

Anonymous Author(s)

Affiliation Address email

Abstract

Single-molecule localization microscopy (SMLM) techniques are a mainstay of fluorescence microscopy and can be used to produce a pointillist representation of living cells at diffraction-unlimited precision. Classical SMLM approaches leverage the deactivation of fluorescent tags, followed by spontaneous or photoinduced reactivation, which can be used to estimate of the density of a tagged biomolecule in cellular compartments. Standard SMLM localization algorithms based on maximum likelihood estimators or least squares optimization require tight control of activation and reactivation to maintain sparse emitters, presenting a tradeoff between imaging speed and labeling density. Recently, deep models have generalized SMLM to densely labeled structures by predicting high-resolution kernel density estimates (KDEs) from low resolution images with convolutional networks. However, estimated KDEs may contain irregularities due to finite sample sizes and limited model capacity. Denoising diffusion probabilistic models (DDPMs) are well suited conditional super resolution tasks, demonstrating promising results in detail reconstruction, while directly providing uncertainties in model predictions. Here, we adapt DDPM to the task of single molecule localization, and demonstrate that combining traditional CNNs with a DDPM permits uncertainty quantification of KDEs and improves localization precision over a wide range of experimental conditions.

20 1 Introduction

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

21

22

23

24

25

27

28

29

30

31

34

35

Single molecule localization microscopy (SMLM) relies on the temporal resolution of fluorophores whose spatially overlapping point spread functions would otherwise render them unresolvable at the detector. Common strategies for the temporal separation of molecules involve molecular photoswitching from dark to fluorescent Estimation of molecular coordinates is then carried out via modeling the optical impulse response of the imaging system and fitting model functions to the data. However, such models are only well-suited to isolated molecules, reducing the number of molecules in the field of view and limiting temporal resolution in super resolution microscopy. This issue has incited a series of efforts to increase the density of fluorescent molecules imaged in a single frame while developing appropriate models for dense localization.

Previous approaches to this issue has been to predict super-resolution images from a sparse set of localizations with conditional generative adversarial networks (Ouyang 2018) or direct prediction of molecular coordinates using neural networks (Nehme 2020; Speiser 2021). However, diffusion models are an appealing alternative because they model a distribution of high-resolution images that are compatible with a measurement. Although conditional VAEs and conditional GANs can provide a distribution of images with enhanced resolution, both are known to suffer from mode collapse and produce insufficient diversity in their outputs. Diffusion models are a recently developed alternative

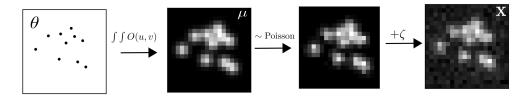


Figure 1: Generative model of single molecule localization microscopy images

to VAEs and GANs that excel at producing diverse samples and have been successfully applied to solve inverse problems.

Here we focus on the class of models which perform single molecule localization using neural networks. In this approach, one estimates molecular coordinates by predicting kernel density estimates (KDEs) y, which are latent in the raw data x, using a convolutional neural network DeepSTORM, followed by thresholding (Nehme 2021). Such methods are currently the state of the art for dense localization microscopy, but may exhibit localization bias, and produce KDEs with aberrant structure due to lack of regularization. Building on this work, we propose combining a modified DeepSTORM architecture with a denoising diffusion probabilistic model (DDPM) which models a distribution of KDEs y, providing a novel mechanism for uncertainty quantification.

47 2 Background

48 2.1 Image Degradation Model

The central objective of single molecule localization microscopy is to infer a set of molecular coordinates θ from noisy, low resolution images \mathbf{x} . We therefore begin by defining the likelihood on measured low-resolution images $p(\mathbf{x}|\theta)$. In fluorescence microscopy, each pixel is a Poisson random variable (Smith 2010; Nehme 2020; Chao 2016), with expected value

$$\omega = i_0 \int O(u) du \int O(v) dv \tag{1}$$

where $i_0 = \eta N_0 \Delta$. The scalar parameters η, Δ are the photon detection probability of the sensor and the exposure time, respectively. Without loss of generality, we assume $\eta = \Delta = 1$. Most importantly, N_0 represents the signal amplitude, which we assume maintains a fixed value. The optical impulse response O(u,v) is often approximated as a 2D isotropic Gaussian with standard deviation σ (Zhang 2007). This approximation has the convenient property, that the effects of pixelation can be expressed in terms of error functions. For example, given a fluorescent emitter located at $\theta = (u_0, v_0)$, we have that

$$\int O(u)du = \frac{1}{2} \left(\operatorname{erf} \left(\frac{u_k + \frac{1}{2} - u_0}{\sqrt{2}\sigma} \right) - \operatorname{erf} \left(\frac{u_k - \frac{1}{2} - u_0}{\sqrt{2}\sigma} \right) \right) \tag{2}$$

where we have used the common definition $\operatorname{erf}(z)=\frac{2}{\sqrt{\pi}}\int_0^t e^{-t^2}dt$. Our generative model also incorporates a normally distributed white noise per pixel ζ with offset o and variance σ^2 . Ultimately, we have a Poisson component of the signal, which scales with N_0 and a Gaussian component, which does not. Therefore, in a single exposure, we measure:

$$\mathbf{x} = \mathbf{s} + \zeta \tag{3}$$

The distribution of x is the convolution of the distributions of s and ζ ,

$$p(\mathbf{x}_k|\theta) = A \sum_{q=0}^{\infty} \frac{1}{q!} e^{-\omega_k} \omega_k^q \frac{1}{\sqrt{2\pi}\sigma_k} e^{-\frac{(\mathbf{x}_k - g_k q - \sigma_k)}{2\sigma_k^2}}$$
(4)

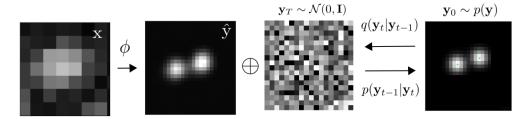


Figure 2: Conditional diffusion model for sampling kernel density estimates

- where $p(\zeta_k) = \mathcal{N}(o_k, \sigma_k^2)$ and $p(s_k) = \operatorname{Poisson}(\omega_k)$, A is some normalization constant. In practice, (4) is difficult to work with, so we look for an approximation. We will use a Poisson-Normal approximation for simplification. Consider,
 - $\zeta_k o_k + \sigma_k^2 \sim \mathcal{N}(\sigma_k^2, \sigma_k^2) \approx \text{Poisson}(\sigma_k^2)$ (5)

Since $\mathbf{x}_k = \mathbf{s}_k + \zeta_k$, we transform $\mathbf{x}_k' = \mathbf{x}_k - o_k + \sigma_k^2$, which is distributed according to

$$\mathbf{x}_k' \sim \text{Poisson}(\omega_k')$$
 (6)

where $\omega_k' = \omega_k + \sigma_k^2$. This result can be seen from the fact the convolution of two Poisson distributions is also Poisson. We then arrive at the following log likelihood

$$\ell(\mathbf{x}|\theta) = -\log \prod_{k} \frac{e^{-\left(\mu_{k}'\right)} \left(\mu_{k}'\right)^{n_{k}}}{n_{k}!} \approx \sum_{k} n_{k} \log n_{k} + \mu_{k}' - n_{k} \log \left(\mu_{k}'\right) \tag{7}$$

71 3 Denoising Diffusion Probabilistic Model for SMLM

- We consider datasets $(\mathbf{x}_i, \mathbf{y}_i, \hat{\mathbf{y}}_i)_{i=1}^N$ of observed images \mathbf{x}_i true kernel density estimate (KDE) images \mathbf{y}_i , and KDE estimates $\hat{\mathbf{y}}_i = \phi(\mathbf{x}_i)$. Observations \mathbf{x}_i are generated under the image degradation
- model. We aim to develop a framework for sampling from $p(\hat{\mathbf{y}}|\mathbf{x},\mathbf{y})$.

75 4 Conditional Denoising Diffusion Model

- 76 Point estimates \hat{y}_i produced by the DeepSTORM architecture lack uncertainty quantification. To
- address this, we propose a DDPM to model the conditional distribution $p(\hat{\mathbf{y}}|\mathbf{x},\mathbf{y})$. Consider the fac-
- torization $p(\hat{\mathbf{y}}|\mathbf{x},\mathbf{y})p(\mathbf{x}|\mathbf{y})p(\mathbf{y}) = p(\mathbf{x}|\mathbf{y},\hat{\mathbf{y}})p(\mathbf{y}|\hat{\mathbf{y}})p(\hat{\mathbf{y}})$. Given that \mathbf{x} is conditionally independent
- of $\hat{\mathbf{y}}$, we find

$$p_{\Psi}(\hat{\mathbf{y}}|\mathbf{x},\mathbf{y}) = p(\mathbf{y}|\hat{\mathbf{y}})$$

- where the DDPM Ψ is trained on pairs $(\mathbf{y}_i, \hat{\mathbf{y}}_i)_{i=1}^N$. The conditional DDPM generates a target image
- \mathbf{y}_0 in T refinement steps. Starting with a pure noise image $\mathbf{y}_T \sim \mathcal{N}(0, \mathbf{I})$, the model iteratively
- refines the image through successive iterations according to learned conditional transition distributions
- 83 $p(\mathbf{y}_{t-1}|\mathbf{y}_t,)$ such that $\mathbf{y}_0 \sim p(\mathbf{y}|\hat{\mathbf{y}})$

4.1 Gaussian Diffusion

- 85 Diffusion models (Sohl-Dickstein 2015; Ho 2020) are a class of generative models inspired by
- nonequilibrium statistical physics, which slowly destroy structure in a data distribution $p(\mathbf{y}_0|\mathbf{x})$ via
- a fixed Markov chain referred to as the *forward process*. In essence, the forward process gradually
- adds Gaussian noise to the data according to a variance schedule $\beta_{0:T}$

$$q(\mathbf{y}_t|\mathbf{y}_0) = \prod_{t=1}^{T} q(\mathbf{y}_t|\mathbf{y}_{t-1}) \quad q(\mathbf{y}_t|\mathbf{y}_{t-1}) = \mathcal{N}\left(\sqrt{1-\beta_t}\mathbf{y}_{t-1}, \beta_t I\right)$$
(8)

An important property of the forward process is that it admits sampling y_t at an arbitrary timestep t in closed form (Ho 2020). Using the notation $\alpha_t := 1 - \beta_t$ and $\gamma_t := \prod_{s=1}^t \alpha_s$, we have

$$q(\mathbf{y}_t|\mathbf{y}_0) = \mathcal{N}\left(\sqrt{\gamma_t}\mathbf{y}_0, (1-\gamma_t)I\right)$$
(9)

The usual procedure is then to learn a parametric representation of the *reverse process*, and therefore generate samples from $p(\mathbf{y}_0)$, starting from noise. Here, we are concerned with conditional diffusion models, which sample from a distribution $p(\mathbf{y}_0|\hat{\mathbf{y}})$. Formally, $p_{\theta}(\mathbf{y}_0|\hat{\mathbf{y}}) = \int p_{\theta}(\mathbf{y}_{0:T}|\hat{\mathbf{y}})d\hat{\mathbf{y}}_{1:T}$ where \mathbf{y}_t is a latent representation with the same dimensionality of the data. $p_{\theta}(\mathbf{y}_{0:T}|\hat{\mathbf{y}})$ is a Markov process, starting from a noise sample $p_{\theta}(\mathbf{y}_T) = \mathcal{N}(0, \mathbf{I})$.

$$p_{\theta}(\mathbf{y}_{0:T}) = p_{\theta}(\mathbf{y}_T) \prod_{t=1}^{T} p_{\theta}(\mathbf{y}_{t-1}|\mathbf{y}_t) \quad p_{\theta}(\mathbf{y}_{t-1}|\mathbf{y}_t) = \mathcal{N}\left(\mu_{\theta}(\mathbf{y}_t), \beta_t I\right)$$
(10)

where we reuse the variance schedule of the forward process (Ho 2020). We seek to learn a denoising model μ_{θ} which computes the mean of the Gaussian transition density at each time step t. For all t>0, the mean of the transition density is computed as

$$\mu_{\theta}(\mathbf{y}_{t}, \hat{\mathbf{y}}, \gamma_{t}) = \frac{1}{\sqrt{\alpha_{t}}} \left(\mathbf{y}_{t} - \frac{(1 - \alpha_{t})}{\sqrt{1 - \gamma_{t}}} f_{\theta}(\mathbf{y}, \hat{\mathbf{y}}, \gamma_{t}) \right)$$
(11)

where f_{θ} is a neural network. Only at t=0 is this mean directly a function of ${\bf x}$.

4.2 Optimization of the Denoising Model

100

113

To reverse the diffusion process, we optimize a neural denoising model f_{θ} that takes as input $\hat{\mathbf{y}}$ and a noisy target image $\mathbf{y}_t \sim q(\mathbf{y}_t|\mathbf{y}_0)$. That is, this noisy target image \mathbf{y}_t is drawn from the marginal distribution of noisy images at a time step t of the forward diffusion process.

$$\mathbf{y}_t = \sqrt{\gamma} \mathbf{y}_0 + \sqrt{1 - \gamma} \epsilon, \quad \epsilon \sim \mathcal{N}(0, \mathbf{I})$$
 (12)

In addition to a source image \mathbf{y}_0 and a noisy target image \mathbf{y}_t , the denoising model f_θ takes as input the sufficient statistics for the variance of the noise γ , and is trained to predict the noise vector ϵ .

We make the denoising model aware of the level of noise through conditioning on a scalar γ . The proposed objective function for training f_θ is

$$\mathbb{E}_{(\hat{\mathbf{y}},\mathbf{y}_0)(\epsilon,\gamma)} \left[f_{\theta} \left(x, \sqrt{\gamma} \mathbf{y}_0 + \sqrt{1 - \gamma} \epsilon \, \middle| \, \mathbf{y}_t, \gamma \right) - \epsilon \right], \tag{13}$$

where $(\hat{\mathbf{y}}, \mathbf{y}_0)$ is sampled from the training dataset and $\gamma \sim p(\gamma)$. The distribution of γ has a big impact on the quality of the model and the generated outputs. For our training noise schedule, we use a piecewise distribution for γ , $p(\gamma) = \frac{1}{T} \sum_{t=1}^T U(\gamma_{t-1}, \gamma_t)$ (Nanxin 2021). Specifically, during training, we first uniformly sample a time step $t \sim \{0, ..., T\}$ followed by sampling $\gamma \sim U(\gamma_{t-1}, \gamma_t)$. We set T=100 in all our experiments.

4.3 Optimization of the DeepSTORM architecture

A first pass at localization treats localization as a binary classification problem, such that 0 denotes a vacant pixel and 1 denotes an occupied pixel containing an emitter. Direct learning of pixel-wise classification with cross-entropy loss leads to an imbalance of occupied and unoccupied pixels in dense localization problems (Nehme 2020). CE loss is usually either weighted [51], replaced with a Focal loss [52], or applied to a "blobbed" version of the desired boolean volume e.g. by placing a disk

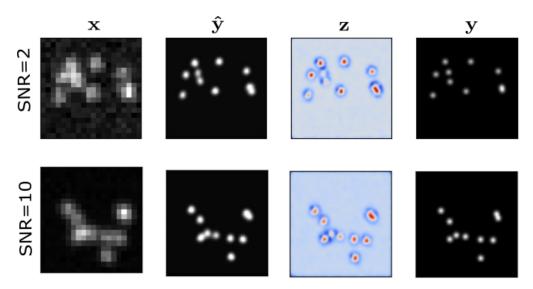


Figure 3: Kernel density estimates for various signal to noise ratios (SNR)

around each GT position [53–55]. Alternative methods take a soft version of the binary classification problem. That is, by placing a small Gaussian around each GT position (e.g. with std of 1 pixel), and matching continuous heatmaps, backpropagation yields more meaningful gradients and eases the learning process convergence.

Localization heatmaps thus form a natural encoding for SMLM images, which can be input to our conditional diffusion model. Therefore, to encode raw data \mathbf{x} into a more tractable representation, we train the DeepSTORM architecture (Nehme 2020). Raw coordinates θ are binned into an upsampled image \mathbf{z} .

$$\mathcal{L}(\mathbf{y}, \hat{\mathbf{y}}) = ||\mathbf{y} - \hat{\mathbf{y}}||^2$$

5 Experiments

We set T=100 for all experiments and treat forward process variances β_t as hyperparameters, with a linear schedule from $\beta_0=10^{-4}$ to $\beta_T=10^{-2}$. These constants were chosen to be small relative to data scaled to [-1,1], ensuring that reverse and forward processes have approximately the same functional form while keeping the signal-to-noise ratio at x_T as small as possible ($L_T=D_{KL}(q(x_T|x_0)||\mathcal{N}(0,I))\approx 10^{-5}$ bits per dimension in our experiments).

To represent the reverse process, we used the DDPM architecture based on a U-Net backbone (Ho 2020). Parameters are shared across time, which is specified to the network using the Transformer sinusoidal position embedding?. We use self-attention at the 16×16 feature map resolution??. Details are in Appendix A.

and the channel multipliers at different resolutions (see Appendix A for details). To condition the model on the input x, we up-sample the low-resolution image to the target resolution using bicubic interpolation. The result is concatenated with y_t along the channel dimension. We experimented with more sophisticated methods of conditioning, such as using, but we found that the simple concatenation yielded similar generation quality.

142 6 Related Work

143 6.1 Diffusion Models

Prior work of diffusion models ?? require 1-2k diffusion steps during inference, making generation slow for large target resolution tasks. We adapt techniques from ? to enable more efficient inference.

Our model conditions on γ directly (vs t as in ?), which allows us flexibility in choosing the number of diffusion steps, and the noise schedule during inference. This has been demonstrated to work well for speech synthesis ?, but has not been explored for images. For efficient inference, we set the maximum inference budget to 100 diffusion steps, and hyper-parameter search over the inference noise schedule. This search is inexpensive as we only need to train the model once ?. We use FID on held-out data to choose the best noise schedule, as we found PSNR did not correlate well with image quality.

6.2 Fisher Information Metric

We use the Fisher information as an information theoretic criteria to assess the quality of the proposed algorithms, with respect to the root mean squared error (RMSE) of our predictions of θ . The generative model $\ell(\mathbf{x}|\theta)$ is also convenient for computing the Fisher information matrix (Smith 2010) and thus the Cramer-Rao lower bound, which bounds the variance of a statistical estimator of θ , from below i.e., $var(\hat{\theta}) \geq I^{-1}(\theta)$. It is shown in the appendix, that the Fisher information is straightforward to compute under the Poisson likelihood (7)

$$\mathcal{I}_{ij}(\theta) = \mathbb{E}\left(\frac{\partial \ell}{\partial \theta_i} \frac{\partial \ell}{\partial \theta_j}\right) = \sum_{k} \frac{1}{\omega_k'} \frac{\partial \omega_k'}{\partial \theta_i} \frac{\partial \omega_k'}{\partial \theta_j}$$
(14)

References

153

- 161 [1] Nehme, E., et al. *DeepSTORM3D: dense 3D localization microscopy and PSF design by deep learning.*162 Nature Methods 17, 734–740 (2020).
- [2] Ouyang, W., et al. *Deep learning massively accelerates super-resolution localization microscopy*. Nature Biotechnology 36, 460–468 (2018).
- 165 [3] Speiser, A., et al. *Deep learning enables fast and dense single-molecule localization with high accuracy*.
 166 Nature Methods 18, 1082–1090 (2021).
- 167 [4] Sohl-Dickstein J., et al. Deep unsupervised learning using nonequilibrium thermodynamics. ICLR (2015).
- 168 [5] Ho J., et al. *Denoising Diffusion Probabilistic Models*. Advances in Neural Information Processing Systems (2015).
- 170 [6] Nanxin C., et al. WaveGrad: Estimating Gradients for Waveform Generation . ICLR (2021).
- 171 [4] Chao, J., et al. Fisher information theory for parameter estimation in single molecule microscopy: tutorial.
- Journal of the Optical Society of America A 33, B36 (2016).
- 173 [5] Schermelleh, L. et al. Super-resolution microscopy demystified. Nature Cell Biology vol. 21 72–84 (2019).
- [6] Zhang, B., et al. Gaussian approximations of fluorescence microscope point-spread function models. (2007).
- [7] Smith, C.S., Fast, single-molecule localization that achieves theoretically minimum uncertainty. Nature
- 176 Methods 7, 373–375 (2010).
- 177 [8] Nieuwenhuizen, R., et al. *Measuring image resolution in optical nanoscopy*. Nature Methods 10. 557-562 (2013).
- 179 [9] Huang, F., et al. *Video-rate nanoscopy using sCMOS camera-specific single-molecule localization algorithms*. 180 Nat Methods 10, 653–658 (2013).
- 181 [10] Rust, M., et al. Sub-diffraction-limit imaging by stochastic optical reconstruction microscopy (STORM). 182 Nat Methods 3, 793–796 (2006).
- 183 [11] Betzig, E., et al. *Imaging intracellular fluorescent proteins at nanometer resolution. Science* 313, 1642–1645 (2006).
- 185 [12] Weigert, M., et al. *Content-aware image restoration: pushing the limits of fluorescence microscopy.* Nat. 186 Methods 15, 1090 (2018).
- 187 [13] Falk, T., et al. *U-net: deep learning for cell counting, detection, and morphometry*. Nat. Methods 16, 67–70 (2019).
- 189 [14] Boyd, N., et al. *DeepLoco: fast 3D localization microscopy using neural networks*. Preprint at bioRxiv 190 https://doi.org/10.1101/267096 (2018)

- [15] Zelger, P., et al. Three-dimensional localization microscopy using deep learning. Opt. Express 26, 33166-33179 (2018) 191
- 192
- [16] Zhang, P., et al. Analyzing complex single-molecule emission patterns with deep learning. Nat. methods 15, 193
- 913 (2018) 194
- [17] Saharia, C., et al. *Image Super-Resolution via Iterative Refinement*. https://doi.org/10.48550/arXiv.2104.07636 (2021) Preprint at arXiv 195
- 196