## TTIC 31230, Fundamentals of Deep Learning

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### Speech Recognition

Connectionist Temporal Classification (CTC)

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Connectionist Temporal Classification: Labelling Unsegmented Sequence Data with Recurrent Neural Networks

Alex Graves, Santiago Fernández, Faustino Gomez, Jürgen Schmidhuber, ICML 2006

This is currently the dominant approach to speech recognition.

### CTC

In CTC a grachical model is computed by a deep network where the probability of the gold label in that model can be computed exactly by dynamic programming.

When the loss can be computed exactly one can simply backpropagate on the loss computation.

Later we will consider cases where computing the loss exactly is intractible.

#### CTC

A speech signal x[T, J] is labeled with a phone sequence y[N] with  $N \ll T$ .

x[t, J] is a speech signal vector.

 $y[n] \in \mathcal{P}$  for a set of phonemes  $\mathcal{P}$ .

The length N of y[N] is not determined by T and the correspondence between n and t is not given.

$$\Phi^* = \underset{\Phi}{\operatorname{argmin}} E_{\langle x, y \rangle \sim \operatorname{Train}} - \ln P_{\Phi}(y[N] \mid x[T, J]) \quad N << T$$

### The CTC Model

We define a model

$$P_{\Phi}(z[T] \mid x[T,J])$$

$$z[t] \in \mathcal{P} \cup \{\bot\}$$

y[N] is the result of removing  $\perp$  from z[T].

$$z[T] \Rightarrow y[N]$$

$$\perp$$
,  $a_1$ ,  $\perp$ ,  $\perp$ ,  $\perp$ ,  $a_2$ ,  $\perp$ ,  $\perp$ ,  $a_3$ ,  $\perp \Rightarrow a_1, a_2, a_3$ 

### The CTC Model

For  $p \in \mathcal{P} \cup \{\bot\}$  we have an embedding vector e[p, I]. The embedding is a parameter of the model.

We take the phonemes z[t] to be independently distributed.

$$p_{\Phi}(Z[T] \mid x[T,J]) = \prod_{t} P_{\Phi}(z[t] \mid x[T,J])$$

$$h[T, \tilde{J}] = \text{RNN}_{\Phi}(x[T, J])$$

$$P_{\Phi}(z[t] \mid x[T,J]) = \operatorname{softmax} \ e[z[t],I] \ W[I,\tilde{J}] \ h[t,\tilde{J}]$$

### **Dynamic Programming**

Let  $\vec{y}[t]$  to be the prefix of y[N] emitted by the first t elements of z.

$$\vec{y}[t] = z[1:t] - \bot$$
  
 $\vec{F}[n,t] = P(\vec{y}[t] = y[1:n])$ 

$$F[0,0] = 1$$
  
For  $n = 1, ..., N$   $F[n,0] = 0$   
For  $t = 1, ..., T$   
 $F[0,t] = P(z[t] = \bot)F[0,t-1]$   
For  $n = 1, ..., N$   
 $F[n,t] = P(z[t] = \bot)F[n,t-1] + P(z[t] = y[n])F[n-1,t-1]$ 

## **Back-Propagation**

$$\mathcal{L} = -\ln F[N, T]$$

We can now back-propagate through this computation.

# $\mathbf{END}$