# DRUM TRANSCRIPTION IN POLYPHONIC MUSIC USING SEMI-SUPERVISED NON-NEGATIVE MATRIX FACTORIZATION

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#### **ABSTRACT**

In this paper, a drum transcription algorithm using semisupervised non-negative matrix factorization has been presented. This method allows users to separate percussive activities from harmonic activities with pre-trained drum templates, and detect drum events from the extracted percussive activity matrix. A polyphonic subset from ENST drum dataset has been used for training and testing of the algorithm. The system has been tested using different rank settings, and a cross-performer validation process has been performed to evaluate the reliability of the system. The results show that the system can achieve 61 to 77% recognition rate on multiple drums in polyphonic music. In the future, more efforts will be put on differentiating different playing styles and more drum parts, leading toward a complete drum transcription system.

#### 1. INTRODUCTION

Transcribing music content into sheet music or any form of score is an essential skill to musicians for analysis and composition purposes. However, It is often considered a time-consuming and non-trivial task, for it requires repetitive listening and integral knowledge of music and instruments. With the advance of computing power and various machine learning techniques, a system that has the abilities to automatically recognize music content has become a plausible idea and interests many researchers in the field of Music Information Retrieval (MIR) [1]. In general, Automatic Music Transcription (AMT) systems could not only serve as a tool to record the music content, identify notes from improvisations, but also lead to the realization of a music intelligence system [2]. To build a complete AMT system, many subtasks and challenges, such as multi-pitch detection, onset detection, instrument recognition, and rhythm extraction, have been attempted [1]. Comparing with pitched instruments, transcribing unpitched music events such as percussive sounds seem to be less addressed, and a robust algorithm to detect drum sounds in polyphonic music is still an open question in this field.

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Drum track, especially in pop music, often plays an important role in determining music structure, style, rhythm and tempo. In many cases, it shares the same importance as the harmonic part in the music. That said, a good drum transcription system could provide essential information of the music content, and could potentially facilitate the realization of many interesting applications. For example, a drum transcription and drum source separation task could mutually benefit from each other and provide the possibility to manipulate drum sounds within an existing drum track [3], [4]. Also, music education could be an application of a drum transcription system, for it could help students identify drum event within a polyphonic music, and provide instructions by analyzing and comparing the differences between the input and reference performances. Furthermore, such system could also be integrated as a part of machine listening, improving the existing systems of robotic musicians[5].

Therefore, this study aims to explore alternative solutions to drum transcription in polyphonic music. The final goal of this paper is to find a potential direction to push the limit of this task, leading toward further musical applications.

# 2. RELATED WORKS

The early attempts to transcribe percussive sounds main focused on classifying monophonic signals [6][7]. With standard approaches such as feature extraction and classification, fairly high accuracy were reported in the previous studies. However, in the real use case, a drum transcription system is expected to work in polyphonic signal instead of monophonic. Therefore, solving this problem in the context of polyphonic music had become another criterion, and different methods could be found in previous research [8][15]. According to [15], recent studies on the drum transcription in polyphonic music could be categorized into three types: segment and classify, separate and detect, match and adapt. For the first type of approaches, the common procedure starts by applying onset detection to the audio signal in order to segment the music event. Once the event has been detected, various features from time or spectral domain of the signal will be extracted, and a classifier will be trained to classify the event based on the extracted features. This type of approaches seem to perform well when the data and features are well chosen [13], [15]. However, to get good results, sufficient amount of data, careful preprocessing and training steps are required in this type of systems. Additionally, to handle the situation of simultaneous sounds, more classes need to be trained.

The second type of approaches is based on the assumption that music signal is a superposition of different sound sources. By decomposing the signal and into different source templates and corresponding activities, the music content could be transcribed by detecting onsets of these activities. Different methods such as Independent Subspace Analysis (ISA) [16], Prior Subspace Analysis (PSA) [8], and Nonnegative Matrix Factorization (NMF) [14], [17], [18] are the examples in this category. This type of approaches is usually easier to interpret, since most of the decompositions have been done on the spectrogram of the signal. Also, the separate nature allows simultaneous events to be handled easily in this case. However, to be able to transcribe different kinds of music, a large template might be required prior to the decomposition. Moreover, the number of rank during the decomposition process could be difficult to determine in some cases.

The third type of approaches uses pre-trained templates to detect drum events [19][20]. An iterative process has been taken to search for the closest matches to these templates and adapt them. The proposed system has been evaluated and performed well in MIREX 2005 drum detection competition. However, to coverage a wider range of sounds, multiple seed templates need to be prepared prior to the process.

In this paper, a method based on the NMF approach has been presented. Although NMF has been proven to be effective in music transcription (cite 2003 Smaragdis, NMF), it is still difficult to determine the number of sources in the target signal for a correct rank parameter setting. Therefore, the goal of this paper is to develop an algorithm that provides a more general use case, which only requires users to input a few drum samples prior to the decomposition process. This method aims to serve as an alternative way to transcribe drum tracks in polyphonic music, leading toward an end user application for general drum transcription tasks.

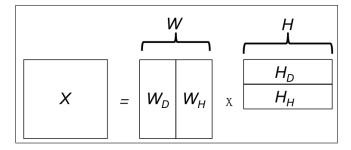
### 3. METHOD

## 3.1 Algorithm Description

The basic concept of NMF is to approximate a non-negative matrix V with two non-negative matrix W and H as shown in equation (1).

$$V \approx WH$$
 (1)

That is, given a  $m \times n$  matrix V, the NMF will decompose the matrix into the product of a  $m \times r$  matrix W and a r n matrix H. The r is the rank of the NMF decomposition, W is the basis matrix, and H is the activity matrix. In the case of spectrogram, V is the spectrogram to be decomposed, W would be the spectra of the salient components, and H would be the activity of these components respect to



**Figure 1**. Illustration on the factorization process. The  $W_D$  is a pre-trained drum basis matrix, and it will not be updated over the iterations

time. These matrices could be obtained through an iterative process to minimize the distance measure between the approximate and target spectrograms using the algorithm described in (cite algorithm NMF 2001).

To apply this method directly to the transcription tasks, however, some situations need to be taken into consideration. First of all, in the real situation, the number of sound sources within a recording is unknown. That said, it is very difficult to set a correct rank number and obtain a clear representation of the decomposed components. Secondly, when the rank number is high, it is hard to identify the corresponding instrument of every component in the basis matrix W. To address these issues, different methods have been proposed in the previous studies. In (cite Virtanen 2005), a SVM was trained to separate drum components from the harmonic components with a empirically set rank number during the factorization process. The identified drum components and their corresponding activities could later be used to reconstruct the drum signal, achieving the goal of drum source separation. However, this method requires separated data to train the classifier, and the results could be data-dependent. In (cite co-factorization 2010), a co-factorization algorithm was proposed to simultaneously factorize a target signal a the drum track, and use the basis matrix from the drum track to identify the drum components in the target signal. This method ensures the drum components in both basis matrices to be the same over the iterations, thus, the harmonic parts could be isolated by removing the drum components. The need to obtain a drum track prior to the factorization process, however, could be difficult in many application scenarios.

In this paper, a method based on (cite co-factorization 2010) has been proposed to remove the requirement the prior drum track. As shown in Figure 1, assuming a signal contains drum and harmonic parts, its spectrogram could be decomposed into basis matrix W and activity matrix H. In this case, the W consists of  $W_D$  and  $W_H$ , and the H consists of  $H_D$  and  $H_H$  respectively. Here, we first initialize  $W_D$  with drum templates to be detected. Next, given an arbitrary rank number, the  $W_H$ ,  $H_H$ , and  $H_D$  could be initialized with random numbers. An iterative process is taken to minimize the cost function as shown in equation (2). By applying gradient decent and multiplicative update rules, the matrices could be updated by the equation (3) (4) (5), and the corresponding drum events could be detected from

$$H_D$$
.

$$C = \frac{1}{2}||X - W_D H_D - W_H H_H||^2 \tag{2}$$

$$H_D \leftarrow H_D \frac{W_D^T X}{W_D^T W_D H_D + W_D W_H H_H} \tag{3}$$

$$W_H \leftarrow W_H \frac{X H_H^T}{W_H H_H H_H^T + W_D H_D H_H^T} \tag{4}$$

$$H_H \leftarrow H_H \frac{W_H^T X}{W_H^T W_H H_H + W_H W_D H_D} \tag{5}$$

Finally, the method described above can be summarized as the following steps:

Step 1. Construct a  $m \times r$  drum basis matrix  $W_D$ ,  $H_r$  is the number of drum components to be detected.

Step 2. Given a fixed rank k, initialize a  $m \times k$  matrix  $W_H$ , a  $r \times n$  matrix  $H_D$  and a  $k \times n$  matrix  $H_H$ .

Step 3. Normalize  $W_D$  and  $W_H$ .

Step 4. Update  $H_D$ ,  $W_H$ , and  $H_H$  using equation (3), (4) and (5).

Step 5. Calculate the cost of current iteration using equation (2).

Step 6. Repeat step 2 to step 5 until it converges.

#### 3.2 Processing Steps

The system flowchart is shown in Figure 2. The basic setup is shown on the right hand side. The STFT of the signals will be first calculated using a window size = 2048 and a hop size = 512. A pre-trained drum basis matrix will be constructed from the training data of single drum sounds. Next, the semi-supervised NMF will be performed with a given rank k. Finally, in the onset detection stage, the drum activity matrix will be used as novelty functions to determine the onset positions and their corresponding classes.

The grey area in Figure 2 is another optional setup of the drum transcription system. To enhance the performance on hihat detection, the testing music signal and the training drum samples will be passed into a 2nd order butterworth high-pass filter first. The cutoff frequency is derived from the average spectrum of the training drum samples and is set to 8000 Hz. The extracted activity matrix from this part is used only for hihat detection. More details on the template extraction and activity detection will be elaborated in the following sections.

#### 3.2.1 Template Extraction

The drum basis matrix is created by extracting template spectrum from the training drum samples. To extract the template spectrum for one class of drum, all the events in

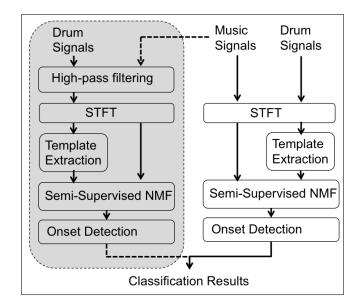


Figure 2. Flowchart of the drum transcription system.

the class are firstly concatenated. Next, the median spectrum within these concatenated events is selected as the template. Each individual event has a length of 4 blocks (approximately 80ms), and only three classes (hihat, bass drum and snare drum) are trained in the current setup.

# 3.2.2 Activity Detection

Once the drum activity matrix has been calculated, the drum transcription could be achieved by onset detection and peak picking. The activity of each entry in the drum basis matrix could be considered as the novelty function of each individual drum, and a median filter of a window size = 100ms is used to create an adaptive threshold for better pick peaking results.

# 4. EVALUATION

# 4.1 Dataset Description

In this project, all of the experiments have been conducted on the minus one subset from the ENST public drum dataset (cite: ENST DATASET). This dataset consist of recordings from three different drummers performing on their own drum kits. Each set of the recordings contain single hits, short phrases of drum beats, drum solos, and short play through with the accompaniments. Particularly, the minus one subset, which has 64 tracks of polyphonic music, is used in this paper. Each track in the minus one subset has a length of approximately 70 seconds, and its style varies from each other. Specifically, this subset contains many drum playing techniques such as ghost notes, flam, and dragetc, which could be considered difficult for many of the existing drum transcription algorithms. The accompaniments are mixed with the wet mix in the dataset without any modification of the magnitudes. The onset counts of each class within different drummers recordings are shown in Table 1. More details on the creation process of this dataset could be found in their corresponding paper.

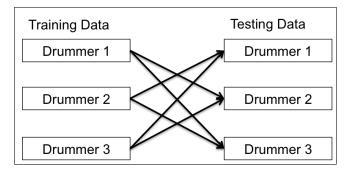


Figure 3. Cross-performer validation process.

As for the training process, the drum samples were taken from the tracks of single hits. Each track contains 5 to 6 single hits on different drums. The onset position of these single hits were assigned directly from the annotation during the training process.

Drummer#	1	2	3	Total
HH	1942	2145	1813	5900
BD	2140	1488	1378	5006
SD	2165	2079	1994	6238
Total	6247	5712	5185	17144

Table 1. Onset counts in selected dataset.

#### 4.2 Evaluation Procedure

In this paper, two different evaluation processes have been performed. The evaluation only focus on transcribing close hihat, snare drum and bass drum, therefore, for tracks that are performed with ride cymbal, open hihat, cross stick..etc, they are excluded in the evaluation process. A subset of 53 out of the 64 tracks are used in the evaluation process.

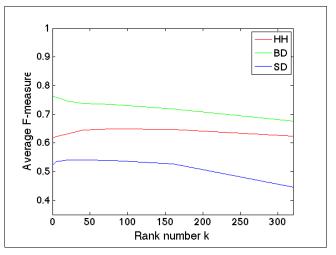
The first evaluation process uses training samples from all the drummers to train the drum basis matrix, and test it on all the 53 tracks. In addition, two experiments using filters to enhance the performances have been conducted. All the filters are set with a constant cutoff frequency derived from the average spectrum.

The second evaluation process involves a cross-performer validation. As shown in Fig 2, when a drummer is selected as the source of training data, the trained basis matrix will be constructed only based on his recordings, and will be used to decompose the other drummers recordings. This process aims to simulate the real situation, where the drum sounds in the template might be totally different from the sounds in the target signals.

The evaluation metrics follow by the standard calculation of the precision, recall and F-measure. A difference within 50ms between the annotation and a hit is considered as true positive.

#### 4.3 Evaluation Results

To determine the rank k of the algorithm, k = 5, 10, 20, 40, 80, 160, 320 have been tested. The resulting F-measures of



**Figure 4**. Average F-measure versus rank change in harmonic activity matrix.

every drum are shown in Figure 4. A general trend of performance drop as *k* increases could be observed, especially for lower frequency sounds such as SD and BD.

		Original	HPF+LPF+BPF	HPF only
НН	P	0.605	0.671	0.675
	R	0.713	0.737	0.736
	F	0.654	0.702	0.704
BD	P	0.723	0.662	0.740
	R	0.837	0.855	0.839
	F	0.776	0.746	0.786
SD	P	0.678	0.591	0.676
	R	0.566	0.583	0.569
	F	0.617	0.587	0.618

**Table 2**. Transcription results using all training templates.

Although the results seem to be comparable, NMF based method still has some advantages over instance based methods: 1. simultaneous sounds will be detected separately 2. require less labeled data during the training process 3.

#### 5. CONCLUSION

In this paper, a drum transcription system in polyphonic music using semi-supervised NMF has been presented. This method uses a pre-trained drum basis matrix to decompose the target signal, extract the drum activity matrix, and adapt the harmonic parts. This evaluation results show that this method is able to achieve 61 77% accuracy in polyphonic music. Furthermore, the results from the crossperformer validation indicate that this method still apply to the situations when minimum prior knowledge about the drum sounds in the target signal is given.

Train	ing	Drummer 1		
Testi	ing	Drummer 1 Drummer 2 Drumme		Drummer 3
	P	0.662	0.621	0.564
НН	R	0.670	0.749	0.692
	F	0.666	0.679	0.622
BD	P	0.620	0.781	0.850
	R	0.393	0.914	0.900
	F	0.481	0.842	0.874
SD	P	0.639	0.800	0.589
	R	0.546	0.608	0.487
	F	0.588	0.691	0.533

**Table 3.** Transcription results using drummer 1 training templates.

Train	ing	Drummer 2		
Testi	ing	Drummer 1 Drummer 2 Drummer		Drummer 3
	P	0.661	0.600	0.541
HH	R	0.667	0.787	0.699
	F	0.664	0.681	0.610
BD	P	0.466	0.846	0.699
	R	0.603	0.986	0.854
	F	0.525	0.910	0.769
SD	P	0.625	0.849	0.529
	R	0.550	0.624	0.486
	F	0.585	0.719	0.506

**Table 4.** Transcription results using drummer 2 training templates.

# 6. REFERENCE

# 7. EQUATIONS

Equations should be placed on separated lines and numbered. The number should be on the right side, in parentheses.

$$E = mc^2 (6)$$

# 8. CITATIONS

All bibliographical references should be listed at the end, inside a section named "REFERENCES," numbered and in alphabetical order. Also, all references listed should be cited in the text. When referring to a document, type the numbering square brackets [1] or [1–3].

#### 9. REFERENCES

- [1] E. Author: "The Title of the Conference Paper," *Proceedings of the International Symposium on Music Information Retrieval*, pp. 000–111, 2000.
- [2] A. Someone, B. Someone, and C. Someone: "The Title of the Journal Paper," *Journal of New Music Research*, Vol. A, No. B, pp. 111–222, 2010.

Train	ing	Drummer 3		
Testi	ing	Drummer 1 Drummer 2 Drum		Drummer 3
	P	0.614	0.599	0.523
НН	R	0.660	0.717	0.675
	F	0.636	0.652	0.589
BD	P	0.506	0.862	0.800
	R	0.636	0.965	0.932
	F	0.564	0.910	0.861
SD	P	0.477	0.615	0.564
	R	0.580	0.598	0.534
	F	0.523	0.607	0.548

**Table 5**. Transcription results using drummer 3 training templates.

[3] X. Someone and Y. Someone: *Title of the Book*, Editorial Acme, Porto, 2012.